## Modeling deltaic lobe-building cycles and channel avulsions for the Yellow River delta, China

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## **Key Points:**

- Patterns of Yellow River deltaic lobe development are reproduced by a quasi-2D numerical model
- Avulsions are less frequent and occur farther upstream when a delta lobe progrades
- The Yellow River deltaic system aggrades to 30 to 50% of bankfull flow depth before avulsion

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#### Abstract

River deltas grow by repeating cycles of lobe development punctuated by channel avulsions, so that over time, lobes amalgamate to produce a composite landform. Existing models have shown that backwater hydrodynamics are important in avulsion dynamics, but the effect of lobe progradation on avulsion frequency and location has yet to be explored. Herein, a quasi-2D numerical model incorporating channel avulsion and lobe development cycles is developed. The model is validated by the well-constrained case of a prograding lobe on the Yellow River delta, China. It is determined that with lobe progradation, avulsion frequency decreases and avulsion length increases, relative to conditions where a delta lobe does not prograde. Lobe progradation lowers the channel bed gradient, which results in channel aggradation over the delta topset that is focused farther upstream, shifting the avulsion location upstream. Furthermore, the frequency and location of channel avulsions are sensitive to the threshold in channel-bed superelevation that triggers an avulsion. For example, avulsions occur less frequently with a larger superelevation threshold, resulting in greater lobe progradation and avulsions that occur farther upstream. When the delta lobe length prior to avulsion is a moderate fraction of the backwater length  $(0.3-0.5\overline{L}_b)$ , the interplay between variable water discharge and lobe progradation together set the avulsion location, and a model capturing both processes is necessary to predict avulsion timing and location. While this study is validated by data from the Yellow River delta, the numerical framework is rooted in physical relationships and can therefore be extended to other deltaic systems.

## **1** Introduction

The development of a fluvial-deltaic system over timescales of decades to millenia is characterized by repeated lobe switching: a process whereby a primary distributary channel progrades basinward, building a lobe until an avulsion causes the distributary channel to shift, generating a new lobe (Frazier, 1967). Over time, lobes amalgamate and produce a delta that typically maintains an approximately radially symmetric planform (Figure 1). Many large, lowland fluvial-deltaic systems require tens to thousands of years between avulsions (Jerolmack & Mohrig, 2007). As a result, field studies of modern channel avulsions have identified, at most, only a few events (Frazier, 1967; Wells & Dorr, 1987; Coleman, 1988; Smith et al., 1989; Brizga & Finlayson, 1990; McCarthy et al., 1992; Richards et al., 1993; Xue, 1993; van Gelder et al., 1994; Törnqvist, 1994; Jones & Harper, 1998; Assine, 2005; Jerolmack, 2009; Donselaar et al., 2013). Insights into deltaic lobe-building have benefited from outcrop and experimental research, where multiple avulsions can be examined (e.g., Mohrig et al. (2000); Hajek and Wolinsky (2012)). However, outcrop studies of avulsions are subject to uncertainty around reconstructing relevant system characteristics, including river slope, regional geography, and the timing of events (Lynds et al., 2014; Sheets et al., 2002). Experimental studies document delta growth through many lobe building cycles, and are valuable because system boundary conditions are controlled (Whipple et al., 1998; Kim et al., 2006; Kim & Jerolmack, 2008; Hoyal & Sheets, 2009; Paola et al., 2009; Sheets et al., 2002; Reitz & Jerolmack, 2012; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016). Additionally, physically-based numerical models provide the opportunity to assess system responses to changing boundary conditions over a range of spatiotemporal scales (Parker, Paola, Whipple, & Mohrig, 1998; Parker, Paola, Whipple, Mohrig, Toro-Escobar, et al., 1998; Paola, 2000; Sun et al., 2002; Parker, Muto, Akamatsu, Dietrich, & Lauer, 2008; Parker, Muto, Akamatsu, Dietrich, & Wesley Lauer, 2008; Kim et al., 2009; Moran et al., 2017; K. M. Ratliff et al., 2018; Chadwick et al., 2019).

The ability to predict when and where a natural lobe-switching avulsion will occur is a major motivator for fluvial-deltaic research, because the unanticipated civil disruption associated with flooding and channel relocation is at odds with society's desire for landscape stability and continued socioeconomic use of deltaic landforms and channels.



Figure 1: a) Delta system edge (thick red line) and lobe extent (thin red line), traced from a photograph of a fan from a physical experiment (Reitz & Jerolmack, 2012). b) Sketch demonstrating a conceptual model for deltaic system growth, where deltas grow through a series of lobe-building cycles, with typical timescales of development indicated (Jerolmack & Mohrig, 2007).

Additionally, on highly anthropic deltas, river engineering such as upstream dams and flow diversions restrict flow pathways and collectively alter sediment delivery necessary to sustain deltas and coastlines (Nittrouer & Viparelli, 2014). Avulsion is thus a double-edged sword: engineering limits avulsion hazards, but also diminishes sediment supply and enhances land-loss; yet, allowing avulsions to occur naturally threatens the economic utility of deltas by causing the rapid displacement of the channel and land flooding. To both minimize the impact of flooding and ensure sediment delivery to the coast, engineered avulsions and diversions have been implemented to approximate natural delta development (van Gelder et al., 1994; Xu, 2003; Allison & Meselhe, 2010; Paola et al., 2011; Peyronnin et al., 2013; Yuill et al., 2016). Accurately assessing the spatiotemporal likelihood of natural avulsions could inform targeted engineering practices that seek to minimize flooding while maximizing sediment delivery to the coastline.

An important scaling metric in fluvial-deltaic systems is the extent of channel impacted by non-uniform flow, known as the backwater length  $\overline{L}_b$  (e.g., Paola and Mohrig (1996)):

$$\overline{L}_b = \frac{H_m}{S_0},\tag{1}$$

where  $H_m$  is the flow depth at the channel outlet, and  $S_0$  is the reach-averaged channel bed slope. Throughout this article an overline is used to denote a value calculated by a scaling metric (e.g., equation 1). In the backwater reach during low and moderate water discharge, a downstream deceleration of reach-average flow velocity results in a spatial divergence in sediment transport, and as a result the channel bed aggrades (Parker, 2004; Snyder et al., 2006; Parker, Muto, Akamatsu, Dietrich, & Lauer, 2008; Parker, Muto, Akamatsu, Dietrich, & Wesley Lauer, 2008; Nittrouer et al., 2012). High water discharge events (i.e., floods) cause a downstream acceleration of flow velocity by hydrodynamic drawdown, which erodes the channel bed near the river mouth (Lamb et al., 2012). The net effect of the two conditions is to produce a preferred region of net bed aggradation (Chatanantavet et al., 2012; Chatanantavet & Lamb, 2014; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016; Chadwick et al., 2019), which raises river stage and in time superelevates the water surface above the floodplain. This produces a gravitational instability favoring an avulsion (Smith et al., 1989; Bryant et al., 1995; Mohrig et al., 2000; Slingerland & Smith, 2004; Edmonds et al., 2009). Indeed, numerous studies have demonstrated that the avulsion length ( $L_A$ )—the distance from contemporaneous coastline to avulsion location—scales with the backwater length ( $\overline{L}_b$ ) (Jerolmack & Swenson, 2007; Chatanantavet et al., 2012; Ganti et al., 2014; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016; Zheng et al., 2019). The avulsion timescale ( $\overline{T}_A$ ) is inversely related to the the rate of sediment aggradation on the channel bed  $v_a$ :

$$\overline{T}_A = \frac{\beta H_{bf}}{v_a},\tag{2}$$

where  $H_{bf}$  is a characteristic channel bankfull flow depth, and  $\beta$  is a coefficient that varies between 0.3 and 1 on modern delta systems, but may be > 1 for fan-delta systems (Törnqvist, 1994; Bryant et al., 1995; Heller & Paola, 1996; Mohrig et al., 2000; Martin et al., 2009; Ashworth et al., 2004; Stouthamer & Berendsen, 2001; Jain & Sinha, 2004; Jerolmack & Mohrig, 2007; Ganti et al., 2014; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016; Moran et al., 2017). The time to avulsion is minimized in the backwater region because channel bed aggradation is enhanced here (equation 2) (Jerolmack & Swenson, 2007; Hoyal & Sheets, 2009; Jerolmack, 2009; Nittrouer et al., 2012; Chatanantavet et al., 2012; Lamb et al., 2012). An avulsion is also dependent on a "trigger" event, typically a flood, that produces a sustained levee breaching flow and initiates a new channel (Mohrig et al., 2000; Slingerland & Smith, 2004; Edmonds et al., 2009; Hajek & Wolinsky, 2012; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016). Water flow through a crevasse can also reoccupy a relict channel pathway, which may be a pre-existing low on the floodplain (Slingerland & Smith, 2004; Edmonds et al., 2009; Reitz et al., 2010; Reitz & Jerolmack, 2012).

Experimental and numerical studies indicate that subaqueous levee growth near the river outlet leads to channel extension (Rowland et al., 2009, 2010; Mariotti et al., 2013; Falcini et al., 2014; Chatanantavet & Lamb, 2014). While some previous modeling research has included delta progradation using a downstream moving boundary condition, most models do not simulate channelization and lobe progradation (Parker, 2004; Parker, Muto, Akamatsu, Dietrich, & Wesley Lauer, 2008; Chatanantavet et al., 2012; Chatanantavet & Lamb, 2014). Furthermore, as a delta with similar sized lobes migrates basinward, it is predicted that the avulsion node should migrate basinward as well, because the avulsion location is linked to the distance to the coastline (i.e., backwater length scaling; Jerolmack (2009); Ganti et al. (2014)). Basinward avulsion node migration is recognized in physical experiments (Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016), but has been only minimally documented in numerical modeling (Chadwick et al., 2019). As such, the influence of delta lobe progradation on avulsion timing and location remains unclear.

Herein, a quasi-2D numerical model is developed to explicitly account for multiple lobe progradation and avulsion cycles, so as to mimic overall delta growth. The model is applied to the Yellow River delta (China) as a case study because this system is comprised of lobes that scale with the estimated backwater length (L. Yu, 2002; Ganti et al., 2014), and because a comprehensive record of avulsions makes this system arguably the best lowland delta in the world to compare with numerical predictions. This study also serves to determine future avulsion location and timing for the Yellow River delta, and thus guide decisions about engineered diversions.

## 2 Yellow River fluvial-deltaic system

The Yellow River drainage basin stretches across northern China, with water flowing primarily from west to east, draining an area of 752,000 km<sup>2</sup> over a river length of 5,460 km, before entering the Bohai Sea (Figure 2a) (van Gelder et al., 1994; Ren & Walker, 1998; Saito et al., 2000). A portion of the basin includes the Loess Plateau, an unconsolidated sediment deposit 100s of meters thick comprised of very fine sand and silt (Saito et al., 2001; L. Yu, 2002; Ma et al., n.d.; Zhu et al., 2018). This material is readily eroded and contributes to the sediment discharge, which exceeds 1 Gt/yr (L. Yu, 2002). Sediment concentration in the Yellow River is one to two orders of magnitude higher than other large lowland rivers (e.g., Mississippi River and Amazon River) (Z.-Y. Wang & Liang, 2000; L. Yu,



Figure 2: a) Location map of the lower Yellow River, where it exits the Loess Plateau and traverses  $\sim$ 900 km to the Bohai Sea. Lijin is situated at approximately the modern delta apex. Solid line box shows the approximate extent of Figure 2b. Dashed line shows the approximate extent of Figure 4a. b) Historical record of deltaic avulsions and coastline positions for the Yellow River delta, China (reproduced from Ganti et al. (2014), after Pang and Si (1979); van Gelder et al. (1994)).

2002). As such the delta is dynamic (e.g.,  $\overline{T}_A = 10^1$  yr estimated from equation 2, Jerolmack and Mohrig (2007)). For the lower 200 km of the Yellow River, flow depth is 2–6 m, and channel width is 300–500 m, with a mean value of approximately 400 m. In-channel sedimentation has driven frequent lobe-switching channel avulsions and progradation into the Bohai Sea (van Gelder et al., 1994; Z.-Y. Wang & Liang, 2000).

Since 1855, when the Yellow River avulsed to the north of the Shandong Peninsula, multiple lobes have amalgamated to build a delta into the Bohai Sea, totaling an area approximately 6,000 km<sup>2</sup> (Figures 2a,b) (Xue, 1993; Pang & Si, 1979; van Gelder et al., 1994; L. Yu, 2002; Fan et al., 2006). The natural avulsion timescale of the Yellow River delta prior to major engineering is  $T_{A,YR} = 7 \pm 2$  yr (Ganti et al. (2014); Figure 3). The streamwise distance from the location of avulsions to the contemporaneous coastline for the period from 1889 to 1931 yields the avulsion length mean and standard deviation  $L_{A,YR} = 52.5 \pm 12.3$  km, which is consistent with the estimated backwater range of  $\overline{L}_b = 21-54$  km (Ganti et al., 2014). The avulsion location has stepped basinwards over time at a rate of 0.18– 0.25 km/yr (Figure 2b, 3a, Ganti et al. (2014)). However, a decreasing trend in water discharge and ongoing river engineering in the past century has also contributed to downstream shifting of the avulsion location (H. Wang, Yang, Saito, et al., 2006; H. Wang et al., 2007; Liu et al., 2012; Ganti et al., 2014; Kong, Miao, Borthwick, et al., 2015). Discrepancy between data shown in this study, and that of Ganti et al. (2014) (Figure 3a), is due to georeferencing uncertainty, additional new data produced herein, and different regression approaches (Supplementary Material).

In 1976, the channel course of the Yellow River was changed through an engineered avulsion, which redirected the channel from the northern Diaokou course to the eastern Qingshuigou course (Figure 4a). The Qingshuigou pathway was maintained until 1996, when the the lower  $\sim$ 20 km of the course was again diverted (L. Yu, 2002). Thus the Qingshuigou lobe history is an example of the deltaic lobe building process, and is the used to validate the model.



Figure 3: a) Radially averaged coastline position (squares) measured as distance from Lijin and avulsion location (circles) measured as streamwise distance from Lijin, show progradation of the deltaic coastline and forward stepping of deltaic avulsions through time; data extracted from the historical record and satellite imagery (Figures 2b and 4b). Discrepancy between this study and Ganti et al. (2014) is due to georeferencing uncertainty, addition of new data, and difference in regression methods (Supplementary Material). b) Boxplot of avulsion time (actual data shown to side, n = 6),  $T_{A,YR} = 7 \pm 2$  yr (Ganti et al., 2014). c) Boxplot of avulsion length, as measured streamwise from the coastline to the avulsion location (actual data shown to side, n = 7), mean  $= L_{A,YR} = 52.5 \pm 12.3$  km.

## 3 Methods

#### 3.1 Measuring progradation of the Yellow River delta

Satellite remote sensing data are used to document Yellow River delta and delta lobe progradation over the last ~45 years (van Gelder et al., 1994; Xu, 2003; Chu et al., 2006; Fan et al., 2006; H. Wang, Yang, Li, & Jiang, 2006; J. Yu et al., 2011; Bi et al., 2014; Kong, Miao, Wu, et al., 2015; Kong, Miao, Borthwick, et al., 2015; Zhang et al., 2016; Zheng et al., 2017; Wu et al., 2017). Previous studies focused on the radially-averaged delta progradation rate, but a direct measure of lobe progradation rate is needed to validate the present numerical model. 80 cloud-free Landsat (1, 2, 3, 4, 5, 7, and 8) sensor measurements from 1973 to 1997 are collected for this study. The Landsat 1, 2, and 3 MSS sensor Band 7 measurements (n = 31) are manually georeferenced and the coastline is traced to  $\sim 60$  m accuracy. All other satellite measurements (from Landsat 4, 5, 7, and 8; sensors TM/ETM/OLI+TIRS Band 7; n = 49) are processed by computer script to derive the coastline location (Supplementary Material). The 1855 and 1955 mapped coastline positions (Figure 2b) are also georeferenced and traced. Uncertainty in coastline position arises due to georeferencing error and tidal stage at the time of image acquisition. For Landsat measurements, georeferencing error is small with respect to effects of periodic tidal stage; as such, uncertainty is assigned a conservative value of  $\pm 3$  km for these measurements (Supplementary Material). For historically mapped coastlines, there is a greater potential for mapping error, map distortion effects, and georeferencing errors to impact measurements; these coastlines are assigned an uncertainty value of  $\pm 15$  km. The 82 coastline traces document progradation of the subaerial Qingshuigou deltaic lobe (Figure 4b).



Figure 4: a) Landsat 2 satellite composite image (1978) with superimposed coastline trace from a 1976 Landsat 2 image (white dashed line). In 1976, an engineered avulsion at the small open circle changed the channel course from the north (dotted line, open arrow) to the east (solid line, solid arrow). When compared to the 1976 coastline, the underlying satellite image shows retreat of the former delta lobe in the north (Diaokou lobe) and development of a new lobe to the east (Qingshuigou lobe); development of the Qingshuigou lobe continued without subsequent avulsion until 1996. b) Coastline traces derived from historical record and satellite images. Traces from 1973 to 1982 are from manually georeferenced Landsat 1, 2, and 3 sensor measurements. Traces from Landsat 4, 5, 7, and 8 are derived by automatic image processing (details and positional error information are included in text). Thick black line represents a portion of the channel centerline during the progradation of the Qingshuigou lobe.

#### 3.2 Model design

The numerical model developed herein is a combination of an existing one-dimensional (1D) numerical framework (e.g., Parker (2004); Snyder et al. (2006); Parker, Muto, Akamatsu, Dietrich, and Lauer (2008); Parker, Muto, Akamatsu, Dietrich, and Wesley Lauer (2008); Chatanantavet et al. (2012); Moran et al. (2017)), and a two-dimensional (2D) delta growth model (e.g., Parker, Paola, Whipple, and Mohrig (1998); Parker, Paola, Whipple, Mohrig, Toro-Escobar, et al. (1998); Kim et al. (2009)) (Figure 5a,b). In the model, sediment transport and deposition are coupled to fluid flow through the normal flow and backwater regions, to evolve the channel bed in time in 1D. When a set of imposed avulsion criteria are met within the 1D framework, mass is redistributed in a radially-symmetric 2D delta framework to mime natural deltaic processes occurring over multiple avulsion cycles.

Delta processes that occur over multiple lobe progradation and avulsion cycles are spatially and temporally averaged by the radially-symmetric delta formulation. Conceptually, it is assumed that over a few avulsion cycles, fluvial processes will reach the entire delta topset surface (Sun et al., 2002; Ganti et al., 2014), a delta lobe will visit all locations along the delta coastline (Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016) and be reworked by coastal processes following abandonment. In this way, the model reproduces the long-term behavior of a prograding and aggrading delta. This radially-symmetric formulation does not require specifying the location of the river channel or delta lobes in the 2D framework, the number of delta lobes (Chadwick et al., 2019), or rates of coastal reworking (K. Ratliff, 2017; K. M. Ratliff et al., 2018). Overall, the model is most similar to Chadwick et al. (2019), but applies a different downstream boundary condition for lobe progradation, maintains a different formulation that accounts for multiple avulsion cycles, and emulates processes reworking a delta lobe upon abandonment (as opposed to stasis (Chadwick et al., 2019)).

The principles of fluid mass and momentum conservation are used to calculate changes in flow depth (*H*) from the receiving basin, through the backwater region, and into the normal flow region upstream for a depth-averaged, gradually varied flow in the streamwise direction (*x*) for a given volumetric water discharge ( $Q_w$ ):

$$\frac{dH}{dx} = \frac{S - C_f Fr^2}{(1 - Fr^2)} + \frac{Fr^2}{(1 - Fr^2)} \frac{H}{B} \frac{dB}{dx},$$
(3)

where  $C_f = 0.001$  is the dimensionless coefficient of friction for the Yellow River (Ma et al., n.d.),  $Fr^2 = Q_w^2/gB^2H^3$ is the Froude number for a rectangular channel, g is the gravitational acceleration constant, and B is the width of the flow, set by the channel width  $B_c$  in the confined fluvial portion of the model domain, and increasing by  $dB/dx = 2 \tan \theta$ , where  $\theta = 5^\circ$  for the geometric approximation of a spreading plume beyond the river mouth, as measured relative to the flow centerline (Supplementary Material Lamb et al. (2012); Chatanantavet et al. (2012)). A spreading plume abruptly increases the cross sectional area of the flow beyond the river mouth such that the water surface elevation at the river mouth is relatively fixed regardless of the river discharge (e.g., Rajaratnam (1976); Rowland et al. (2009); Lamb et al. (2012); Chatanantavet et al. (2012)).

The sediment transport per-unit-flow width  $(q_s)$  is calculated by:

$$q_s = \sqrt{RgD_{50}^3} \frac{\alpha}{C_f} \tau_*^n, \tag{4}$$

where *R* is the submerged specific gravity of sediment,  $D_{50} = 90 \ \mu m$  is the median grain diameter of the bed-material (Ma et al., n.d.),  $\tau_* = C_f U^2 / RgD_{50}$  is the Shields number, and  $\alpha = 0.895$  and n = 1.678 are adjusted coefficients to the generalized form of the Engelund and Hansen (1967) equation fit for the lower Yellow River at the Lijin Hydrological Station (Figure 2a) (Ma et al., n.d.). Sediment transport is assumed to reach transport capacity and equilibrium (An et al., 2018), and comprises total bed-material load (i.e., washload is not modeled). The upstream model boundary is assumed to be at steady state such that the channel bed elevation is approximately fixed.



Figure 5: Schematic (not drawn to scale) depicting numerical model immediately prior to an avulsion in a) the onedimensional long profile, showing the subaerial delta topset, change in channel bed elevation (shaded brown area) from the initial channel bed a bankfull flow depth below the topset (thick dark-brown line), water surface (blue line), the initial delta coastline position (which is also the initial mouth location before lobe progradation), and the current river mouth position and extent of lobe (shaded brown). b) Planform depiction of the delta system for the same time as (a) (the long profile would be a slice down the 45° axis), the floodplain (shaded in dark green) and a developed lobe (shaded in brown) depict the model depositional area.  $\Theta$  is the offshore-plume spreading angle, here set to 5° after Lamb et al. (2012). c) Long profile and d) planform schematic depicting numerical model immediately following an avulsion. Sediment in the delta lobe is redistributed along the delta front, and sediment deposited in the floodplain is redistributed axisymmetrically across the delta topset over the annulus area for each *x*-coordinate, thereby prograding and aggrading the delta. The channel bed is linearly interpolated to a bankfull flow depth below the topset for locations downstream of the avulsion location. The long-term bed evolution is modeled using a simplified equation for sediment mass conservation (Swenson et al., 2000; Paola & Voller, 2005; García, 2008):

$$(1 - \lambda_p)\frac{\partial \eta}{\partial t} = -\frac{\partial Q_s}{\partial x}\frac{1}{B_e},\tag{5}$$

where  $\eta$  is the channel bed elevation, *t* is time,  $\lambda_p = 0.4$  is the channel-bed porosity,  $Q_s = q_s B_c$  is the sediment flux over the flow width, and  $B_e$  is the effective width of sediment deposition (Chatanantavet & Lamb, 2014), defined by a piecewise function representing the combined widths of the channel  $(B_c)$ , floodplain  $(B_f)$ , and/or delta-lobe  $(B_o)$  that is determined as follows:

$$B_{e}(x) = \begin{cases} B_{c} + B_{f} & : x \le r \\ B_{c} + B_{o} & : r < x \le m \\ B_{c} & : x > m \end{cases}$$
(6)

where *r* denotes the edge of the delta topset and *m* is the mouth position at the end of the lobe. In this way, levee development is approximated by an area the width of  $B_f$  aggrading in-step with the channel bed. Values for depositional widths ( $B_c = 0.4$  km,  $B_f = 4$  km,  $B_o = 9$  km) are measured from satellite for the Yellow River delta system (Supplementary Material).

The initial channel bed slope is set as a constant value ( $S_0 = 6.4 \times 10^{-5}$ ), determined by water surface elevation measurements in the normal flow reach (Supplementary Material); this value is consistent with other slopes reported for the Yellow River (Chunhong et al., 2005; Ganti et al., 2014). Subsidence for the lower Yellow River delta is rapid and spatially variable due to ground-fluid extraction (5–10 mm/yr, (Higgins et al., 2013)); model subsidence is conservatively parameterized as a spatially constant rate of 5 mm/yr. The slope of the receiving basin serves as a downstream boundary condition for a prograding lobe; in the model, the Bohai Sea slope is set to an order of magnitude lower than the channel ( $6.4 \times 10^{-6}$ ) for depths greater than 18 m (L. Yu, 2002; H. Wang, Yang, Li, & Jiang, 2006).

At the onset, the model is configured such that the initial delta arclength (i.e., radially-symmetric coastline length) is 80 km over the delta opening angle  $\Gamma = 90^{\circ}$ , approximately the Yellow River delta coastline length as measured in 1855 at the initiation of the Yellow River delta at its present location (Figure 2b, Figure 5b, van Gelder et al. (1994)). The delta topset initially has a constant slope, equal to the channel bed slope. Initial flow depth at the mouth ( $H_m$ ) is equal to the bankfull flow depth  $H_{bf} = 4.5$  m, which is calculated for a bankfull discharge of  $Q_{w,bf} = 3000 \text{ m}^3/\text{s}$  (Z.-Y. Wang & Liang, 2000; Zheng et al., 2017). The model uses a grid spacing of 0.6 km over a 400 km domain and variable timestepping routine to maximize computational efficiency and numerical stability; the range of timesteps is approximately ten seconds to one quarter of a day. The model evolves repeatedly (solving Equations 3–5) and updates the channel bed profile.

Daily variations in water discharge are important for modeling the Yellow River system because the small bedmaterial grain size ( $D_{50} = 90 \ \mu$ m) and minimal channel form drag (Ma et al., n.d.) enhance sediment transport such that geomorphically significant changes occur even for low discharges (Ma et al., n.d.). Water discharge data from the Lijin Hydrological Station (river kilometer 100, as measured upstream from the river mouth) are used as a boundary condition. Three water discharge inputs are designed for the model. A dataset of daily-averaged discharges from 1976 to 1996 is used to simulate lobe growth for testing the model by comparing to the development of the Qingshuigou lobe (Figure 6a). Another water discharge dataset is produced by averaging daily measurements from a single calendar day of all years from 1950 to 2000 (Figure 6b); for example, all measurements from January 1 are averaged to produce the first value in the 365-day timeseries. With this calendar-mean input, bankfull discharge ( $Q_{w,bf} = 3000 \text{ m}^3/\text{s}$ ) is nearly reached each year, while for the remainder of the year the river experiences lower flows, averaging  $\sim 400 \text{ m}^3/\text{s}$ . The calendar-mean input approximates the water discharge distribution for the Yellow River delta, and is used to simulate delta evolution into the future under similar conditions. A third dataset uses flooding discharge as an input parameter



Figure 6: a) Daily-average water discharge from 1976 to 1996 at Lijin. b) Calendar day average of daily-average water discharge from 1950 to 2000 at Lijin. c) Artificial water discharge inputs. Dashed line in all plots is the lower Yellow River bankfull discharge,  $Q_{w,bf} = 3000 \text{ m}^3/\text{s}$  (Z.-Y. Wang & Liang, 2000; Zheng et al., 2017).

while holding the duration of the flood fixed (Figure 6c); this artificial discharge timeseries is designed to be similar to the calendar-mean discharge curve, but allows for the exploration of the effects of low-to-flood-flow disparity. To this end, the low-flow periods of the input timeseries have a constant discharge (400 m<sup>3</sup>/s) and a flood discharge that varies in magnitude with respect to a bankfull discharge ( $Q_{w,artifical flood} = 500$  to 3000 m<sup>3</sup>/s).

The location of the river mouth is initially imposed where the delta topset intersects sea level (i.e., the extent of the subaerial delta). During model simulation, the location of the channel mouth is determined to be the most basinward location where the channel bed has aggraded such that the flow depth is less than the formative discharge depth ( $H_{form}$ ). Thus, flow in the spreading plume beyond the river mouth converts into channelized flow as the mouth and lobe prograde (i.e., equation 6, Figure 5a,b). The treatment of lobe progradation is similar to Chadwick et al. (2019), and is different from previous work that uses a moving boundary formulation for the foreset wedge and fixes the location of plume spreading (Chatanantavet et al., 2012; Chatanantavet & Lamb, 2014). The formative discharge of the lower Yellow River is determined to be  $1300 \pm 100 \text{ m}^3/\text{s}$ , based on the discharge with maximum geomorphic work potential, which is defined by the product of the frequency of flood recurrence and magnitude of associated sediment transport (e.g., Wolman and Miller (1960); Jerolmack and Brzinski (2010), see Supplementary Material); the formative depth is calculated to be  $H_{form} = 2.6 \text{ m}$ . Thus, the flow depth at the mouth for most of the model run time is equal to the formative flow depth ( $H_m \approx H_{form}$ ). Following a change in the mouth location, the channel and effective depositional widths ( $B_c$  and  $B_e$ ) are updated appropriately.

An avulsion occurs in the model when sediment aggradation on the channel bed elevates the bankfull water surface  $(\eta + H_{bf})$  to a critical height (Mohrig et al., 2000; Jerolmack & Mohrig, 2007; Ganti et al., 2014; Ganti,

Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016; Moran et al., 2017; K. M. Ratliff et al., 2018; Chadwick et al., 2019). This aggradation creates channel instability by superelevation ( $\Delta Z$ ), which is calculated at each model node as the difference between the elevation of the radiallysymmetric delta topset (*Z*) and the channel bed elevation:

$$\Delta Z(x) = \eta(x) + H_{bf} - Z(x). \tag{7}$$

This is equivalent to comparing the levee height to the average floodplain height, or to other channel pathways on the floodplain, as it is assumed that levees grow in-step with the channel bed (e.g., K. M. Ratliff et al. (2018); Chadwick et al. (2019)). When the superelevation metric exceeds the critical aggradation threshold for avulsion ( $\Delta Z > \beta H_{bf}$ , equation 2), the avulsion setup threshold is reached. An avulsion must occur within the domain of the radially-symmetric delta (as opposed to the delta lobe), thus ensuring a lobe switching event. Finally, only one avulsion is permitted per flooding cycle; this restriction is necessary because the avulsion is executed instantaneously in model time and prevents multiple avulsions in a single flood event. If all of these three conditions are satisfied, then an avulsion is triggered at the appropriate spatial *x*-coordinate at time *t*.

When an avulsion is triggered, the volume of sediment deposited within the lobe portion of the model domain is distributed along the entire delta coastline, thus prograding the delta (Figure 5d); the increase in delta radius is calculated according to mass conservation, and is a function of the lobe volume, basin depth, and coastline length at the time of avulsion (Supplementary Material). To aggrade the delta system before avulsion cycle n + 1, where n + 1is the cycle following the  $n^{\text{th}}$  avulsion cycle, the sediment deposited within the model floodplain at each *x*-coordinate (i.e., equations 5–6) during cycle *n*, is redistributed axisymmetrically across the delta topset over an annulus area A(x). The updated topset elevation is calculated for each *x*-coordinate (Figure 5d):

$$Z_{n+1}(x) = Z_n(x) + \frac{\Delta \eta_n(x) \Delta x B_f}{A(x)},$$
(8)

where  $\Delta \eta_n$  is the change in bed elevation during avulsion cycle *n*,  $\Delta x$  is the *x*-coordinate grid spacing, and  $A = \pi/\Gamma_{rad}((L + \Delta x/2)^2 - (L - \Delta x/2)^2)$  is the area of an annulus at each *x*-coordinate, where  $\Gamma_{rad}$  is the delta opening angle in units of radians, and *L* is the *x*-coordinate distance from the delta apex. This method of redistributing lobe and floodplain sediment averages deltaic processes occurring over multiple lobe progradation and avulsion cycles. The formulation assumes that 1) deposition covers the entire delta topset and delta front after a few avulsion cycles (i.e., channels and lobes), and 2) physical processes reworking the deltaic deposits (e.g., waves, channel lateral migration) effectively redistribute sediment across the delta surface and front (Chu et al., 2006; Reitz & Jerolmack, 2012; Anthony, 2015; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016). Under these assumptions, the radially-symmetric formulation approximates the development of delta over multiple avulsion cycles, and assumes that the resurfacing is uniform and no sediment is lost from the delta. This treatment of delta growth occurs instantaneously during the modeled avulsions, so development of the quasi-2D deltaic system is due exclusively to floodplain and lobe sediment redistribution.

An avulsion marks the initiation of a new channel, which requires updating the bed to a new profile. Downstream of the avulsion location and extending to the delta coastline, the new channel bed is set to be one bankfull flow depth below the delta topset (Mohrig et al., 2000; Hajek & Edmonds, 2014); beyond the radially-symmetric delta coastline the bed is reset to the initial basin elevation (Figure 5c). Upstream of the avulsion location the bed remains unchanged, which creates a step in the bed-elevation profile. A linear interpolation across 21 model nodes centered at the avulsion location ( $\sim$ 7 km in each direction) smooths the step in bed elevation (Ganti et al., 2019), and is necessary for numerical stability.



Figure 7: a) Mean delta radius as measured from the datum of the city of Lijin, with best-fit linear regression to data from 1855 to 1996. Note that this dataset is also displayed in Figure 3a. b) Lobe length as measured streamwise from the west end of the channel centerline mapped in Figure 4b, with a best-fit linear regression to data from 1976 to 1996 (highlighted). This regression is a measure of the lobe progradation rate.

To test the model, several runs are performed with variable boundary conditions. First, a validation run is conducted to compare model predictions to recorded lobe growth from the Qingshuigou lobe. The model is then run over multiple lobe-building cycles to evaluate the controls on channel-bed aggradation patterns that set up avulsion timing and location. Specifically, model runs vary the superelevation avulsion setup threshold and the low-to-flood flow disparity, because these factors have been shown to impact the timing and location of avulsions (K. Ratliff, 2017; K. M. Ratliff et al., 2018; Chadwick et al., 2019).

### 4 **Results**

#### 4.1 Measured Yellow River delta progradation

Yellow River delta progradation during the period from 1976 to 1996 (Qingshuigou lobe) is well-constrained based on satellite measurements. The 82 coastline positions are processed to derive a mean radius of the coastline over the imposed 90° range of the delta extent (Figure 7a, also displayed in Figure 3). The average deltaic radius increases through time; the data spanning from 1855 to the 1996 avulsion (n = 81) are fit with a linear regression, yielding a delta progradation rate of  $0.26 \pm 0.02$  km/yr (Figure 7a). This regression is strongly influenced by the historically mapped delta coastlines; excluding these from the regression yields an estimated rate of  $0.13 \pm 0.03$  km/yr, which may be related to the installation of reservoir structures along the Yellow River course (H. Wang et al., 2010; S. Wang et al., 2015).

The Qingshuigou lobe growth is measured by tracking the intersection of a coastline and the channel centerline during during lobe growth (Figure 4b, black line) (Figure 7b). This dataset covers the time period of growth from 1976 to 1996 (i.e., the duration of Qingshuigou lobe growth, n = 75) and yields a best-fit line with an average lobe

Citation	Mean del	ta Unspecified	Qingshuigou	Avulsion
	growth rate (km/yr)	lobe (km/yr)	lobe (km/yr)	node (km/yr)
Qian et al. (1993)		$1.38 \pm 0.45*$		
van Gelder et al. (1994)	0.15	1.5	$1.7\pm0.1^{\dagger}$	
Li et al. (1999)		1.29		
ZY. Wang and Liang		2.6 <sup>‡</sup>	2.3	
(2000)				
Xu (2003)			1.1–1.2	
Fan et al. (2006)		1–4	1.3	
Ganti et al. (2014)	$0.12 \pm 0.7$			$0.18\pm0.02$
Zheng et al. (2017)		2–3		
This study	$0.26\pm0.02$		$1.43\pm0.06$	$0.15\pm0.03$

Table 1: Compilation of measured delta development rates for the Yellow River delta. This study presents results that are similar to those measured by other researchers.

\* mean and std. dev. of pre-engineered lobes

<sup>†</sup> annualized over measured record

<sup>‡</sup> average of two engineered lobes

growth rate of  $1.43 \pm 0.06$  km/yr for a total lobe length of  $\sim 30$  km (Figure 7b). This regression is a measure of the rate of linear lobe progradation. Numerous other authors have reported rates of Yellow River delta and delta-lobe growth which generally agree with these findings (Table 1).

#### 4.2 Model Results

#### 4.2.1 Lobe progradation validation

The measured water discharge curve (Figure 6a) and the model parameterizations (Table 2) are used to simulate lobe growth over 21 years, thus replicating the time for the Qingshuigou lobe development (Figure 8). Over this period, the channel bed aggrades unevenly, and the locus of sedimentation occurs within the backwater region (Figure 8a). Initially, channel bed deposition is focused near the channel mouth, in the form of a vertically aggrading mouth bar. After a  $\sim$ 2 year period of no lobe progradation while the mouth bar aggrades, the delta mouth and lobe prograde unsteadily for 26 km (Figure 8). This yields an an annualized rate of 1.24 km/yr (Figure 8b), and regression to the mouth position over time yields a rate of 1.14 km/yr (not plotted). A regression of the lobe position through time *after* the  $\sim$ 2 year period (i.e., when the model is not sensitive to the initial conditions) yields a rate of 0.94 km/yr (Figure 8b). These rates compare well with satellite observations (Figure 7b), which show an average mouth progradation rate of 1.43  $\pm$  0.06 km/yr.

The timing of the peak discharge from each year is extracted from Figure 6a and plotted as horizontal dashed lines in Figure 8b. Notably, pulses of mouth progradation coincide with the peak discharge of a year's flood, however, not every flood produces a pulse of mouth progradation. At the end of the model run, approximately 46% of the total sediment volume deposited in the model domain is part of the deltaic lobe, which is defined to include sediment deposited overbank in the lobe and in the channel bed of the lobe (i.e.,  $B_c + B_o$ ). When this depositional volume is



Figure 8: Model result from 1976 to 1996 Qingshuigou lobe progradation validation run. a) Long profile depiction of channel bed evolution through 21 years, showing the aggradation of the bed followed by progradation of the mouth from blue to yellow lines denoting time progression. b) No avulsion occurred during the model run and the mouth location was prograded by  $\sim$ 26 km at an annualized rate of 1.24 km/yr. A regression to the mouth location through time gives a rate of 0.94 km/yr. Horizontal blue dashed lines denote timing of peak floods from hydrograph in Figure 6a. c) Proportion of sediment deposited in delta or lobe region of the model, grouped into discrete discharge bins and normalized to the cumulative input sediment flux for that discharge bin. Discharges above  $\sim$ 2000 m<sup>3</sup>/s are dominated by deposition in the delta lobe instead of the delta (red and blue lines, respectively). Discharges above  $\sim$ 3500 m<sup>3</sup>/s show more sediment deposited than input, indicating erosion of the channel bed in the delta lobe (normalized per unit length).

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Parameter	Symbol	Model input	Units
fluid density	ρ	1000	kg/m <sup>3</sup>
sed. density	$ ho_s$	2650	kg/m <sup>3</sup>
porosity	$\phi$	0.4	1
domain length	L	400	km
spatial step	dx	0.66	km
time step	dt	8-21600	S
median grain size	$D_{50}$	90	μm
initial bed slope	$S_0$	$6.4  imes 10^{-5}$	1
bankfull discharge	$Q_{bf}$	3000	m <sup>3</sup> /s
formative discharge	$Q_{form}$	1300	m <sup>3</sup> /s
bankfull flow depth	$H_{bf}$	4.5	m
formative flow depth	$H_{form}$	2.6	m
backwater length-scale	$\overline{L}_b$	40	km
channel width	$B_c$	0.4	km
floodplain width	$B_f$	4	km
lobe width	$B_o$	9	km
delta opening angle	Γ	90	0
plume spreading angle	Θ	5	0

Table 2: Parameterization for Qingshuigou lobe progradation validation run and long-term model runs.

normalized to account for the proportionately short length of the delta lobe with respect to the upstream channel length, 88% of sediment per-unit-length is deposited in the lobe (Figure 8c), with the remaining 12% of sediment resulting in channel and floodplain aggradation in the radially-symmetric delta topset.

Deposited sediment volumes are calculated for discrete discharge bins over the duration of the validation run, deposition in the delta is separated from deposition in the lobe (i.e., exclusive of one another), and the volume in each bin is normalized to the cumulative input sediment flux for that discharge bin. Thus, comparing the fractions deposited in each model region for a discharge bin indicates where most of the deposition occurs for a given water discharge range. Discharges above  $\sim 2000 \text{ m}^3/\text{s}$  are dominated by deposition in the delta lobe (red line, Figure 8c). Additionally, more sediment is deposited in the delta lobe for discharges above  $\sim 3500 \text{ m}^3/\text{s}$  than is input for the same time, indicating erosion of the channel bed and deposition of the sediment in the delta lobe.

The input water discharge curve exceeds 2000 m<sup>3</sup>/s for only ~10% of the duration of the model run, yielding sediment deposition in the upstream channel and floodplain for a majority of the time and in the delta lobe for a small fraction of time. Nonlinearity in water discharge to sediment flux relationships (e.g., equation 4) means that though discharges  $\geq 2000 \text{ m}^3$ /s make up only 10% of duration of the run, ~42% of total sediment input to the model domain is from this period. However, ~88% (per-unit-length) of the total sediment is eventually deposited in the delta lobe (Figure 8). This mass balance accounting demonstrates that sediment is eroded from the channel bed during the largest floods in the simulation and redeposited in the delta lobe, despite the markedly short duration of these flood events.

#### 4.2.2 Channel bed aggradation patterns over multiple avulsion cycles

The following model runs explore controls on channel bed sedimentation and deltaic lobe evolution patterns over multiple avulsion cycles; the model is evolved for 24 avulsion cycles (i.e., a sufficient number to characterize model behavior), and the three-avulsion-cycle spin-up period at the start of the run is discarded (Chadwick et al., 2019). The avulsion timing ( $T_A$ ), avulsion length ( $L_A$ ), and lobe length at time of avulsion ( $L_L$ ) are assessed by mean and standard deviation values.

Figure 9 shows results of a baseline long-term model run, which is useful to demonstrate how the model simulates delta evolution over multiple avulsion cycles, examine dynamic patterns of erosion and deposition within an avulsion cycle, and compare to other model runs and the historical record of Yellow River delta development. Water discharge repeats using the calendar-day average timeseries (Figure 6b), the avulsion setup threshold is  $0.5H_{bf}$ , and the remaining parameters are shown in Table 2. The avulsion time and length, and lobe length at the time of avulsion, are shown in Figures 9d–f. After three lobe cycles (a model spin-up period), the time between avulsions and the lobe length vary about a mean value (Figure 9c). Each lobe cycle begins with a brief period during which a mouth bar aggrades before the lobe progrades (similar to Figure 8).

Over the duration of the model run, the delta system coastline has prograded approximately 40 km (Figure 9a), and the channel bed and delta topset have aggraded 2–3 m near the initial coastline position. The predicted avulsion length is  $L_A = 51.6 \pm 17.3$  km (mean  $\pm$  standard deviation) measured upstream from the channel mouth, which is  $1.3\overline{L}_b$ ; for all model runs the backwater length-scale is calculated from equation 1 using the formative discharge flow depth and initial channel bed slope ( $\overline{L}_b = (H_{form}/S) = (2.6 \text{ m}/6.4 \times 10^{-5}) \approx 40 \text{ km}$ ). At the end of the model run, the channel bed long profile is convex-up, a marker of avulsion setup due to hydrodynamic drawdown and variable water discharge (Chadwick et al., 2019). The predicted time between avulsions is  $T_A = 21.8 \pm 3.3$  yr. Lobe length at the time of avulsion is  $L_L = 25.6 \pm 3 \text{ km} (0.6\overline{L}_b)$ .

The location of avulsion is shown in Figure 9c, where a periodic forward stepping of the node through time is apparent. The forward stepping predicted by a linear best-fit is 0.06 km/yr. Over the duration of the model run, the rate of radial delta system expansion slows; it is expected that the delta radius *r* scales with the square root of time *t* ( $r \approx t^{1/2}$ , Swenson et al. (2000); Reitz et al. (2010); Carlson et al. (2018)). However, the modeled radial growth rate is not better explained by a power-law regression than a linear regression, which is simpler and offers a direct comparison with the record of Yellow River delta growth. The linear regression of delta system radial growth is 0.07 km/yr (Figure 9c), though it is worth noting that the rate exceeds 0.1 km/yr during early model development.

#### 4.2.3 Variable water discharge and avulsion setup

Complementary to previous studies that indicate that the avulsion location is impacted by variable water discharge (Lamb et al., 2012; Chatanantavet et al., 2012; Chatanantavet & Lamb, 2014; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016), herein the downstream translation of the backwater region as a result of lobe progradation is explored to assess the impact on avulsion setup. Figure 10 explores the setup to the 15<sup>th</sup> avulsion (i.e., the 15<sup>th</sup> avulsion cycle) in the model run depicted in Figure 9, which is selected as a characteristic avulsion cycle ( $T_A = 21$  yr,  $L_A = 49$  km or  $1.2\overline{L}_b$ ,  $L_L = 23$  km or  $0.6\overline{L}_b$ ). Figure 10a shows spatiotemporal changes in erosion and deposition through the avulsion cycle, and Figure 10d, c, and b examine morphodynamics during flood and subsequent low-flow cycles #6, #12, and #18, respectively (marked by brackets in Figure 10a).



Figure 9: Model result from a 24-avulsion cycle run with calendar-averaged input water discharge data. a) Long profile and b) planform depiction of model immediately prior to the 24<sup>th</sup> avulsion; by which time the channel bed has aggraded  $\sim$ 3 m over the model run, in step with the topset aggradation of  $\sim$ 2 m. The delta coastline has prograded approximately 40 km; blue to yellow lines denote time progression. c) Delta lobe and delta coastline progradation, and avulsion location through time. Stars are timing and location of avulsions, shaded region denotes backwater region of model domain. Delta system growth occurs through repeating lobe progradation and avulsion cycles. Box-plots of avulsion statistics (excluding three-avulsion-cycle spin-up period) for d) avulsion time (*T<sub>A</sub>*), e) avulsion length (*L<sub>A</sub>*), and f) lobe length at time of avulsion (*L<sub>L</sub>*).



Figure 10: a) Deposition and erosion rates through the setup to the  $15^{th}$  avulsion (i.e., the  $15^{th}$  avulsion cycle) in the model run depicted in Figure 9. Change in bed elevation for flood and subsequent low-flow cycles b) #18, c) #12, and d) #6. Points mark the maximum deposition/erosion location for that flood cycle; shaded area is the standard deviation of the two cycles before and after (not visible in b and c).

At the onset of the avulsion cycle, the channel bed just upstream of the recent avulsion location is significantly eroded, and this sediment is deposited along the length of channel that has just been cut, downstream of the avulsion and to the mouth bar (Figure 10a). After about four flood cycles, the model oscillates between periods of erosion and deposition along most of the channel length, driving transient bed reworking in the backwater zone. For the remainder of the the avulsion cycle, the locations of maximum sediment erosion and deposition are approximately 60 km upstream of the channel mouth, and gradually translate downstream as the delta lobe progrades. Furthermore, the location of maximum bed deposition is near the upstream extent of the backwater region throughout the avulsion cycle (Figure 10b–d). At the end of this cycle, an avulsion occurs just upstream of the backwater region ( $L_A \approx 1.0\overline{L}_b$ ), where superelevation is maximized due to a net aggrading channel bed (e.g., Chadwick et al. (2019)).

#### 4.2.4 Avulsion time and length scale controls

The avulsion setup threshold is varied over ten model runs (Figure 11a–c), because this condition has been shown to impact the location of deltaic avulsions (K. Ratliff, 2017). The avulsion time, avulsion length, and lobe length all increase nonlinearly with increasing setup threshold. The avulsion time (Figure 11a) increases from approximately one year for the smallest setup thresholds, and tapers off to 60 yr for a setup threshold of  $1.0H_{bf}$ . Avulsion length (Figure 11b) is approximately  $0.5\overline{L}_b$  for avulsion setup thresholds less than  $0.4H_{bf}$ , above which the avulsion length increases with the setup threshold and produces avulsions at  $3.0\overline{L}_b$  for a setup threshold of a full bankfull flow depth ( $1.0H_{bf}$ ). Lobe length (Figure 11c) behaves similarly to the avulsion length, increasing from zero length (i.e., no lobes developed) to lobes that are approximately as long as the backwater length scale ( $1.0\overline{L}_b$ ). For a setup threshold of



Figure 11: Avulsion time and length and lobe length at the time of avulsion for model runs which test the effect of change in a–c) avulsion setup threshold, and d–f) flood intensity. Data points and error bars represent mean and standard deviation, respectively, of 21 avulsions following a three-avulsion-cycle spin-up period. In a–c, increasing the setup threshold for avulsion produces a nonlinear increase in avulsion time and length and lobe length. The 1:1 line in c relates the setup threshold to lobe length though a scaling prediction (Ganti et al., 2014; Chadwick et al., 2019). In d–f, small flood discharge runs ( $\leq 1000 \text{ m}^3/\text{s}$ ) separate from the higher flood discharge runs: avulsion time and length are comparatively small and no lobes develop. For larger flood discharges, avulsion time is longer but roughly constant, and avulsion length and lobe length increase linearly.

 $0.4H_{bf}$ , the model predicts a lobe length of  $0.5\overline{L}_b$ , and avulsions occurring at roughly the backwater length (Figure 11b-c).

The magnitude of the flood in the artificial water discharge input (Figure 6c) is varied for six model runs, and the avulsion setup condition is held fixed at  $0.5H_{bf}$  for all runs. These runs explore a similar condition to model runs by Chadwick et al. (2019), and examine the impact of variable water discharge on lobe progradation and avulsion timing and location. Bankfull discharge is  $Q_{w,bf} = 3000 \text{ m}^3/\text{s}$  (Z.-Y. Wang & Liang, 2000; Zheng et al., 2017), and so these runs explore a flooding discharge that ranges from 500 m<sup>3</sup>/s ( $\ll Q_{w,bf}$ ) to 5000 m<sup>3</sup>/s ( $\gg Q_{w,bf}$ ); the low-flow duration of each artificial discharge input is 400 m<sup>3</sup>/s. The outcome shows variable avulsion times, increasing abruptly from less than 10 yr for artificial flood discharges  $\leq 1000 \text{ m}^3/\text{s}$  ( $< 0.5Q_{w,bf}$ , Figure 6c) to  $\sim 30 \text{ yr}$  for a flood discharge of 5000 m<sup>3</sup>/s (Figure 11d). Similarly, the avulsion length for artificial flood discharges  $\leq 1000 \text{ m}^3/\text{s}$  is approximately  $0.25\overline{L}_b$ , and increases by an order of magnitude (to  $2.5\overline{L}_b$ ) for a  $\sim 3\times$  increase in flood discharge (Figure 11e). The lobe length trend resembles the avulsion length pattern; it is zero for artificial flood discharges  $\leq 1000 \text{ m}^3/\text{s}$ , and increases linearly for ever increasing flood discharges (Figure 11f).

Avulsion time and length heatmaps are produced by running the model over a range of avulsion setup threshold and flood discharge pairs, ranging from setup = 0.2 to  $0.6H_{bf}$ , and flood discharge = calendar-mean input and 2000 to  $3500 \text{ m}^3$ /s (Figure 12). The mean time and length of avulsions following the model spin-up period are used characterize avulsions for each setup-discharge pair. In gross, the avulsion time metric is largely controlled by the setup threshold,



Figure 12: Heatmaps of mean a) avulsion time and b) avulsion length for a range of setup and flood discharge pairs. Gray circle shows the model run in Figure 9. Gray lines denote the distribution of the Yellow River delta avulsion record data: mean (solid line,  $T_{A,YR} = 7 \pm 2$  yr and  $L_{A,YR} = 52.5 \pm 12.3$  km),  $\pm 1$  standard deviation (dashed line), and the upper and lower bounds of the data range (dotted line).

but the avulsion length metric is more evenly influenced by the combination of setup condition and flood discharge. Similar to the model runs varying the setup condition, an increase in setup threshold results in a nonlinear increase in both the avulsion time and length (Figure 12).

The predicted avulsion time and length for each condition pair are compared to the mean values tabulated for the Yellow River delta ( $T_{A,YR} = 7 \pm 2$  yr and  $L_{A,YR} = 52.5 \pm 12.3$  km). The mean, standard deviation, and range of the Yellow River delta are denoted by the gray contours (Figure 12). The contours run on an angle across the heatmaps, such that there are multiple setup-and-discharge pairs which produce avulsion times and lengths that are consistent with observations. However, the areas covered by the range of the data are nearly mutually exclusive, overlapping only for a setup conditions of 0.2–0.3 $H_{bf}$  paired with flood discharges 3000–3500 m<sup>3</sup>/s.

## 5 Discussion

#### 5.1 Lobe progradation validation

The validation run (Figure 8) demonstrates that the model produces values of lobe progradation (0.94 km/yr, 1.24 km/yr annualized) that are consistent with measurements of the Qingshuigou lobe  $(1.43 \pm 0.06 \text{ km/yr}$ , Figure 7). One particularly important model input is the delta lobe width, because the lobe progradation rate scales with the quotient of sediment volume input to the lobe and the average lobe cross-sectional area (i.e., average width times depth). The volume of sediment input is determined by hydraulics and a sediment transport prediction that is derived independently of lobe progradation observations (i.e., equations 3–4). Despite uncertainty in values of sediment flux and basin depth, the model outcome using a single lobe width is effective to produce measured rates of delta lobe progradation. Potentially, relaxing the model assumption that washload (i.e., mud) does not contribute to lobe progradation might improve the predicted progradation rate. Note that in the measured record of lobe growth (Figure 7b), the Qingshuigou lobe immediately progrades with the avulsion in 1976. The brief spin-up period in the model occurs because deposition initiates on an approximately planar channel bed, and the mouth bar must aggrade before lobe progradation begins. This explains why the lobe progradation rate obtained by regression (0.94 km/yr) is slower than the measured rate. While the model under-predicts the rate and timing of lobe progradation, the model captures the integrated progradation rate (i.e., annualized, 1.24 km/yr).

In the validation run, the model predicts that the lobe progrades almost exclusively during floods (Figure 8b), because during these events, sediment deposition is primarily in the delta lobe and mouth bar (Figure 8c). The measured record of Qingshuigou lobe growth (Figure 7b) lacks the resolution to identify pulses of lobe progradation, making a high-resolution temporal comparison impossible. Although, a few satellite measurements record the lobe located well beyond the position predicted by the average measured growth rate, corresponding to years with historically large floods (e.g., 1983–1986, Figure 6), which could indicate pulses of lobe progradation coinciding with river flood events. Additionally, the deltaic lobe deposits have a pronounced mouth bar and steep foreset, consistent with the morphology of the modern Yellow River delta (Fan et al., 2006; Wu et al., 2015; Y. Wang et al., 2016; Zheng et al., 2019).

Approximately 90% of the input discharge curve is below 2000 m<sup>3</sup>/s, when sediment deposition is dominantly upstream of the lobe, yet, roughly 88% of sediment per unit length is deposited in the delta lobe. This implies that sediment is intermittently stored in the channel during low flow conditions, and eroded with ensuing large floods, at which time sediment is relocated to the foreset (Chatanantavet & Lamb, 2014). Indeed, a large volume of sediment is redistributed to the delta lobe during floods, as is indicated by more sediment deposited in the lobe during a flood than is input to the model, a condition that requires supply from the eroded channel bed (Figure 8). Thus, hydrodynamic drawdown causes significant morphological change of the channel bed and delta system.

#### 5.2 Spatially and temporally averaged avulsion cycle dynamics

The radially-symmetric delta formulation assumes that processes occurring over multiple avulsion cycles can be spatially and temporally averaged, which is different from other models simulating lobe-building and avulsion cycles (K. Ratliff, 2017; K. M. Ratliff et al., 2018; Chadwick et al., 2019). To test this assumption, the long-term baseline model run (Figure 9) is compared to the measured record of Yellow River delta development in Figure 3. The measured Yellow River delta coastline progradation rate for the last 150 yr (0.26 km/yr) compares to the modeled rate early in the run (> 0.1 km/yr, Figure 9c), Additionally, the mean avulsion length corresponds with the backwater length scale

 $(L_A = 51.6 \pm 17.3 \approx \overline{L}_b = 40 \text{ km})$ , and the mean avulsion timescale is within a factor of three of the measured avulsion timescale  $(T_A = 21.8 \pm 3.3 \text{ yr} \text{ and } T_{A,YR} = 7 \pm 2 \text{ yr})$ . The modeled avulsion node forward-stepping and coastline progradation rates are sub-parallel, supporting the notion that forward-stepping of the avulsion location is linked with the coastline position (Jerolmack, 2009; Ganti et al., 2014; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016). The mismatch in coastline progradation and node forward-stepping rates is likely related to uncertainty in initial model configuration: the regional slope of the Yellow River delta could be up to a factor of two higher (Chunhong et al., 2005; Ganti et al., 2014), which potentially changes an important model boundary condition. An increased slope would lead to larger sediment flux reaching the delta and enhanced progradation; however, increasing slope would also modulate the basin depth that the lobe progrades into, which would lead to complex lobe and delta dynamics (Carlson et al., 2018). Regardless, the correspondence between model predictions and the Yellow River delta record suggests that over many avulsion cycles, spatial and temporal averaging of delta processes is justified.

At shorter time and space scales, the radially-averaged formulation is susceptible to the effects of model initial conditions. In the baseline model run, the first few avulsions occur at the initial delta coastline (Figure 9c), where there is a slope-break in the radially-symmetric delta that persists until the topography is smoothed by the redistribution of floodplain material across the topset. Chadwick et al. (2019) observed that developing a more natural superelevation reference profile after multiple avulsion cycles eliminates geometric artifacts and these "geometric avulsions" that persist from the initial floodplain topography.

The radially-symmetric delta formulation is unable to smooth the initial slope-break without sediment redistributed to aggrade the topset. For example, in the model runs where the water discharge disparity is low (i.e.,  $Q_{w,flood} \leq 1000$ , Figure 11d–f), sediment deposits within the delta backwater region rather than lobe, stymieing lobe progradation, and causing an avulsion relatively rapidly that is located near the initial delta coastline (i.e., a geometric avulsion). Topset aggradation depends on the redistribution of floodplain sediment, hence, the small volume of sediment deposited during this relatively brief avulsion cycle yields little topset aggradation and the model initial conditions persist, priming yet another avulsion at the same location. A benefit of the Chadwick et al. (2019) model is that the initial topographic slope-break is always smoothed after four avulsion cycles; in this way, the reference profile and channel bed aggradation are locked in-step. In the present model, fixing the sediment redistribution area A as function of distance from the delta apex L (equation 8), yields a formulation very similar to Chadwick et al. (2019).

The radially-symmetric delta system maintains a slope roughly parallel to the channel bed (Figure 9a). It might be intuited that the downstream increase in annulus area over which sediment is redistributed would steepen the slope over time, because sediment spread over a smaller area (upstream) aggrades faster. This would be true, if channel bed and floodplain aggradation during an avulsion cycle (i.e.,  $\Delta\eta$ , equation 8) were constant along the channel. However, the channel and floodplain aggrade more rapidly in the backwater region and downstream reaches of the channel, which overpowers the downstream increase in redistribution area *A*, and the radially-symmetric delta topset autogenically maintains a slope similar to the channel bed. The downstream increase in *A* may not be compensated by a downstream increase in aggradation in all real-world delta systems though, and there may be cases where the delta system steepens in time.

The forward-stepping of the avulsion location through time is not monotonous; there is a superimposed cycle of intermittent back-stepping (Figure 9c) due to the radially-symmetric delta formulation. Locations along the channel that receive proportionally more sediment during the avulsion cycle redistribute more material, and so the topset aggrades faster. Most sediment over an avulsion cycle is deposited downstream of the previous avulsion location, and so the topset aggrades faster there, raising the elevation to attain critical superelevation, and subsequent avulsions

move upstream to where there has been slower topset aggradation and less aggradation is required to produce sufficient superelevation. When all upstream topset locations aggrade, the avulsion node jumps forward, and the back-stepping cycle repeats. This intermittent back-stepping behavior is consistent with a theory of delta evolution and scaling: Ganti et al. (2014) suggest that if the scale of lobe length approaches the backwater length scale, the avulsion node episodically steps forward, interspaced with times when the avulsion node is relatively stationary and the avulsion length varies around a mean value. In this perspective, the modeled intermittent avulsion location back-stepping represents the period of relative stationarity of the avulsion node. An alternative formulation that prevents back-stepping would be to aggrade the entire topset area evenly with each avulsion; however, this limits the development of autogenic topographic grading of the delta topset that is necessary for a backwater-mediated avulsion node (Chadwick et al., 2019).

#### 5.3 Controls on avulsion setup and timing

Previous research into the factors controlling deltaic avulsion setup has considered only a single avulsion cycle (e.g., Chatanantavet et al. (2012); Moran et al. (2017)), or sought to identify the effect of flow variability on a preferential avulsion location (e.g., Chatanantavet and Lamb (2014); Chadwick et al. (2019)), or examined the relative influence of waves and/or tides and fluvial input on delta morphology and avulsion timing (Hoitink et al., 2017; K. M. Ratliff et al., 2018). The effect of lobe building on channel bed development under non-uniform flow conditions has been minimally explored as a control on avulsion location (K. Ratliff, 2017; Chadwick et al., 2019); herein, impacts of lobe progradation are examined in relation to avulsion setup.

The 24 avulsion cycle simulations explored above (Figures 9–11) affirm previous work regarding flow variability and a preferential avulsion location. Over the duration of the simulation in Figure 9, avulsions occur at a distance upstream of the mouth within a factor of two of the backwater length ( $L_A \approx L_b$ ), which is consistent with observations from natural deltas (Chatanantavet et al., 2012; Ganti et al., 2014; Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Chadwick et al., 2019). During a single avulsion cycle (Figure 10), erosion, deposition, and lobe progradation interact to set up avulsion through superelevation. The location of preferential aggradation and superelevation in the present model is broader and not as well-defined as in other studies (Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Chadwick et al., 2019). This leads to a large region of the delta which is nearly-equally set for an avulsion, and hence avulsions occur over most of the topset (Figure 10b).

This broad region of superelevation is a consequence of the prograding lobe, which is defined in the delta system via moving boundary coordinates. When the lobe progrades, aggradation in the backwater reach is reduced because sediment is routed to the deltaic lobe instead of being captured upstream to the channel bed. Conversely, progradation of the lobe lowers the fluvial slope and causes the channel system to shift the sediment depocenter upstream in order to reestablish its equilibrium slope (i.e., maintain constant sediment transport capacity) over the delta topset (Figure 13, Kim et al. (2006, 2009)). The length scale of lobe progradation predicted herein is larger than documented in previous studies (Ganti, Chadwick, Hassenruck-Gudipati, Fuller, & Lamb, 2016; Chadwick et al., 2019), and so the region of upstream aggradation is spread over a broader area. An important effect of shifting aggradation is to also move the avulsion location upstream, though the preferential avulsion length itself is modulated by variable water discharge (Chadwick et al., 2019).

Lobe progradation as an important control on avulsion location is documented with model runs that explore the control of avulsion setup threshold (Figure 11). Each of these runs has identical boundary conditions, and so sediment flux, per unit time, is fixed across the model runs. Hence, avulsion time increases for a constant sediment supply, as





Figure 13: Sketch through backwater zone with channel bed depicted for three stages of delta development. Aggradation of the channel bed begins near the mouth bar and in the backwater region, and proceeds until the lobe progrades. For an avulsion occurring without any lobe progradation (1), maximum aggradation and this avulsion would occur within the backwater reach, where low-flow deposition and flood-flow erosion set up a clear preferential node for avulsion (e.g., Chatanantavet et al. (2012); Ganti, Chadwick, Hassenruck-Gudipati, Fuller, and Lamb (2016); Chadwick et al. (2019)). For a fixed sediment volume, deposition in the lobe reduces sediment deposited upstream, which slows (but does not halt), channel bed aggradation upstream. Thus, at some later time (2, 3), when the avulsion setup threshold is reached, the location of avulsion is farther upstream. This results in larger avulsion time and length as a result of higher setup conditions *and/or* lobe progradation due to larger floods (i.e., Figure 11).

this condition requires more time to aggrade the channel bed (Figure 11a). The increased time to avulsion lets the mouth bar aggrade and also progrades the lobe. In turn, aggradation occurs upstream to maintain a constant fluvial slope (Figure 13). However, the interplay between lobe progradation and variable water discharge is nuanced: while the length of the lobe increases from zero to  $\sim 0.3\overline{L}_b$  for setup thresholds  $0.1-0.3H_{bf}$  (Figure 11c), the avulsion length remains fixed at  $\sim 0.5\overline{L}_b$ . In these runs, where the lobe length is small, the variable water discharge appears to be the most important factor determining where the avulsion occurs. This suggests that there is a trade-off point at a lobe length  $\sim 0.3\overline{L}_b$ , where deltaic avulsion location preference is set primarily by lobe progradation and upstream aggradation.

Similarly, the model runs with increasing artificial flood intensity highlight sensitivity to variable water discharge regimes, coupled with lobe progradation dynamics (Figure 11d–f). Recent numerical modeling has demonstrated that low-to-flood-flow disparity is necessary to set up a consistent avulsion node located at roughly the backwater length ( $L_A \approx 1.0\overline{L}_b$ , Chadwick et al. (2019)). The low disparity model runs herein produce geometric avulsions (i.e.,  $Q_{w,flood} \leq 1000$ , simulating a case similar to a constant discharge), because no delta lobe progrades and the model initial conditions are not smoothed. In contrast, larger flood discharges redistribute sediment from the channel bed to the delta lobe (e.g., Figure 8c), which simultaneously drives lobe progradation and avulsion setup due to low-to-flood-flow dynamics (e.g., Chadwick et al. (2019), Figure 10). This co-dependence suggests that variable water discharge and lobe progradation must not be considered mutually exclusive in evaluating the timing and location of avulsions.

For a delta system that maintains a constant slope, the lobe progradation rate scales with the vertical aggradation rate upstream:

$$P \approx \frac{v_a}{S},\tag{9}$$

where P is the lobe progradation rate (Paola, 2000; Ganti et al., 2014; Chadwick et al., 2019). Therefore, the length of a delta lobe at the time of avulsion is estimated by:

$$L_L \approx P \cdot \overline{T}_A,\tag{10}$$

and by combining equation 9 with Equations 1–2:

$$L_L \approx \beta \cdot \overline{L}_b,\tag{11}$$

where  $\beta$  is the avulsion setup threshold coefficient (Chadwick et al., 2019). The numerical experiments that vary the avulsion setup threshold explore this scaling prediction (Figure 11a–c). For an avulsion setup threshold of  $1.0H_{bf}$ , the model predicts lobes that build out to approximately the backwater length ( $L_L = 1.0\overline{L}_b$ ) and avulsions that occur at  $\sim 3.0\overline{L}_b$  (Figure 11c). Indeed, for the full range of setup thresholds tested the length of lobes is scaled to the avulsion setup threshold (1:1 line in Figure 11c). The development of this lobe scaling is an autogenic behavior of the model, and arises only after the model spin-up period (during which lobes of more variable size are produced; e.g., Figure 9c). This is consistent with Chadwick et al. (2019), who suggest that it is necessary to bury initial model conditions before assessing autogenic dynamics like avulsion setup and timing.

Broadly, the model is consistent with field and experimental observations of delta avulsions and lobe building processes: a setup threshold of  $0.4-0.5H_{bf}$  (Figure 11a–c) produces avulsions at roughly the backwater length  $(L_A \approx 1.0\overline{L}_b)$ , Chatanantavet et al. (2012); Ganti, Chadwick, Hassenruck-Gudipati, Fuller, and Lamb (2016)). Recent laboratory experiments that capture backwater mediated deltaic avulsions, variable water discharge, and lobe progradation effects predict avulsions at ~ $0.5L_b$  for a setup level of ~ $0.3H_{bf}$  at the time of avulsion (Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016), which is generally consistent with the predictions made by the model (Figure 11b).

Overall, these results emphasize the importance of considering lobe size when evaluating avulsions in the field, from the rock record, or in experiments. If lobe development is small ( $L_L < 0.3\overline{L}_b$ ), then the avulsion setup is controlled mostly by variable water discharge. As the lobe length approaches the backwater length ( $L_L > 0.5\overline{L}_b$ ), the backwater region translates downstream substantially, and lobe progradation influences the avulsion location, though the node setup itself arises due to variable discharge dynamics (Chadwick et al., 2019). Therefore, in deltas where the lobe progrades to a moderate fraction of the backwater length ( $0.3-0.5\overline{L}_b$ ), the dynamic interplay between variable water discharge and lobe progradation sets the avulsion location, and a model capturing both processes is necessary to simulate development.

#### 5.4 Origin of hydrodynamic and geometric avulsions

A similar relationship between setup threshold, lobe progradation, and avulsion length is documented in a recent numerical delta model by K. Ratliff (2017) and K. M. Ratliff et al. (2018). Their model produces "geometric" avulsions, which arise independently of variable water discharge or backwater hydrodynamics, but still scale with the backwater length. Instead, geometric avulsions occur at a topographic slope-break, defined by where a delta landsurface (possessing a relatively flat gradient) protrudes from a terrestrial land-surface (possessing a steeper gradient) (K. Ratliff, 2017; K. M. Ratliff et al., 2018; Chadwick et al., 2019). Geometric avulsions arise due to the slope-break: the floodplain elevation is nearly sea-level at the topographic slope-break, and because lobe progradation drives channel bed aggradation upstream (e.g., Figure 13), superelevation is reached most rapidly at the slope-break (K. Ratliff, 2017; Chadwick et al., 2019).

The present model, as well as the Chadwick et al. (2019) model, couple floodplain development and channel bed aggradation in a manner that effectively smooths the topographic slope-break and suppresses geometric avulsions after a few avulsion cycles (e.g., Figure 9). Furthermore, Chadwick et al. (2019) systematically document the importance of the reference floodplain profile used in calculating superelevation; using a more natural reference profile that developed after multiple avulsion cycles eliminated the geometric avulsions in their model, and a backwater-scaled avulsion node emerged only with variable flows. This reference profile maintains a slope-break in the K. Ratliff (2017) model, due to a weaker channel and floodplain coupling, and so geometric avulsions should persist in their model under either constant or variable flow regimes. Additionally, a steeper terrestrial land-surface slope in the K. M. Ratliff et al. (2018) model  $(1 \times 10^{-3})$  than in the present model  $(1 \times 10^{-5})$  produces a larger slope-break, which requires a stronger channel-floodplain coupling to smooth.

The present model behaves similarly to the K. Ratliff (2017) model, insofar that lobe progradation is found to be a first-order control on the avulsion length, and that initial avulsions occur at a topographic slope-break between the delta and lobe. However, accounting for deltaic floodplain sedimentation in essence smooths the slope-break, and avulsion dynamics are then dictated by autogenic behavior of the fluvial system (i.e., discharge variability). Without this slope-break smoothing, geometric avulsions may occur at the backwater length scale, but the length scale is set by the distance from the slope-break to the river mouth, which may be a function of delta age, regional slope, intensity of coastal processes, or model initial conditions.

#### 5.5 Avulsion triggering

An avulsion is dependent on a trigger, because a sustained levee breaching flow is necessary to establish a new channel course (Slingerland & Smith, 2004; Edmonds et al., 2009; Hajek & Wolinsky, 2012). Avulsion triggering may prove to be an important factor in natural systems, where flood discharge magnitude and frequency render avulsion timing stochastic. Ganti et al. (2014) demonstrate that rivers with highly variable flood intensity exhibit shorter avulsion timescales than expected by a "channel-fill timescale" that considers the superelevation of the channel (i.e., equation 2). Two interpretations of this finding include: 1) the variable flood intensity produces more focused aggradation at the avulsion node, and/or 2) the channel does not require the level of superelevation expected to produce an avulsion.

In the model runs with increasing artificial flood intensity, the increase in sediment flux due to larger flood discharge does not reduce the avulsion timescale (Figure 11d), as might be expected from a mass conservation perspective. This is because increased sediment delivery occurs during flood discharge, with deposition almost exclusively in the delta lobe. Indeed, over a range of setup conditions and flood discharge inputs, the modeled avulsion timescale is insensitive to the variability of flood discharge (Figure 12), but the avulsion location is impacted as the lobe progrades and the channel bed aggrades upstream (i.e., Figure 13). In summary, increased sediment delivery due to flooding only marginally impacts aggradation rate and avulsion time.

All considered, this favors the latter interpretation, which explains why avulsion time measured for the Yellow River delta may be less than predicted: higher flood intensity variability (and thus greater stage variability) produces more frequent overbank flooding at lower levels of superelevation, thereby reducing avulsion time (Ganti et al., 2014). Thus, the modeled avulsion times are best interpreted as upper limits to the range of expected avulsion times, though

the trends observed are reliable. Forward models that predict avulsion timing and location could be better informed by flood intensity records. It also may be necessary to address flood stochasticity and avulsion trigger when modeling avulsion timing (e.g., Chadwick et al. (2019)).

#### 5.6 Comparison with Yellow River deltaic avulsion record

The records of timing and location of Yellow River deltaic avulsions provide the opportunity to evaluate the appropriate avulsion setup threshold for this system by querying the model. The natural time and length scales of avulsions on the Yellow River delta are  $T_{A,YR} = 7 \pm 2$  yr, and  $L_{A,YR} = 52.5 \pm 12.3$  km. The avulsion length is closely matched for conditions across the range of setup-discharge pairs, and the avulsion time is best matched for an avulsion setup condition of  $0.2-0.3H_{bf}$  (gray contour lines, Figure 12). The setup threshold that best coincides with the avulsion time corresponds to an avulsion length of  $\leq 30$  km, which is shorter than observations.

Due to flood stochasticity and avulsion triggering uncertainty (Section 5.5), the avulsion times calculated here are interpreted as upper limits. This puts a larger weight on the predicted avulsion length. The Yellow River delta is therefore interpreted to aggrade to 0.3 to  $0.5H_{bf}$  before avulsion, corresponding to an expected annual flood regime of 2500–3500 m<sup>3</sup>/s. These results are in general agreement with other field and laboratory research that estimate an avulsion setup condition between 0.3 and  $1.0H_{bf}$  (Mohrig et al., 2000; Ganti et al., 2014; Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016; Moran et al., 2017).

Superelevation on the modern Yellow River delta may be due to an upstream-migrating sediment wave, termed a "morphodynamic backwater" (Zheng et al., 2019), instead of the traditional hydrodynamic backwater (Ganti, Chadwick, Hassenruck-Gudipati, & Lamb, 2016). A morphodynamic backwater effect was not observed in any of the model runs herein, at least to the degree that it influenced the timing and location of avulsion. Zheng et al. (2019) favor such a morphodynamic backwater based on an observed longitudinal trend of decreasing erosion downstream, which they argue implies minimal hydrodynamic drawdown and thus precludes discharge-mediated setup of a preferential avulsion node. However, 1) erosion need not occur along the entire channel course during flood discharge (e.g., Figure 10), and 2) observations before and after a flood may record *net* aggradation, despite transient bed erosion in the backwater zone (e.g., Figure 10), because eroded sediment is immediately spent on progradation which lowers channel slope and drives aggradation upstream (e.g., Figure 13). Indeed, the progradation of the lobe and mouth bar is evidence of erosion of the channel bed at the mouth (Figure 15 in Zheng et al. (2019)). A convex-up water surface long profile during floods would be indicative of hydrodynamic backwater setup (Chadwick et al., 2019), and the model presented herein reproduces such a pattern (e.g., Figure 8a, 9a). Interpreting the cause of modern avulsion setup is further complicated by the decades-long reduction in water discharge reaching the Yellow River delta (H. Wang et al., 2007), which has reduced the backwater length of the delta, and likely shifted the preferred superelevation location downstream.

## 6 Conclusion

Fluvial-deltaic systems develop through repeated cycles of lobe building, initiated by the growth of a distributary channel and culminated by an avulsion. Predictive models for avulsion location and timing provide useful tools for understanding fluvial-deltaic processes, so as to facilitate interpretation of the sedimentary record and the future engineering of deltas. The quasi-2D numerical model developed herein explicitly accounts for multiple deltaic lobe

cycles and planform delta growth, and thus provides insight into the processes that set up avulsion. It is found that the development of deltaic lobes drives upstream channel bed aggradation in response to reducing fluvial slope, as lobe progradation increases channel length. This upstream bed aggradation produces avulsions that occur less frequently and farther upstream than is realized in conditions that do not produce lobes. Specifically, when the delta lobe length is a moderate fraction of the backwater length  $(0.3-0.5\bar{L}_b)$ , the dynamic interplay between variable water discharge and lobe progradation set the avulsion location, and a model capturing both processes is necessary. It is shown that increasing low-to-flood-flow disparity increases erosion at the mouth and drives lobe progradation, which in turn shifts the avulsion location upstream. Thus, the location of an avulsion is sensitive to the superelevation threshold, because larger lobes develop when the superelevation threshold is increased. The model parameter space is explored to produce a range of realistic avulsion time and length scales for the Yellow River delta system. Comparing the avulsion time and length scales for the Yellow River delta system aggrades to 30 to 50% of a bankfull flow depth before an avulsion.

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