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# 8 Dynamics of Aggregates and Sinking Carbon Fluxes in a

## 9 Turbulent Ocean

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## 48 Abstract:

49 The ocean's biological pump, a critical component of the Earth's carbon cycle,

50 transports organic matter from the surface ocean to depth, which is dominated by the

51 sinking particles, often in the form of large (>1 mm) marine snow aggregates. Controls

52 on carbon export are thought to be driven solely by ecological processes that produce 53 and repackage sinking particles. Here, we present observations illustrating the

54 important roles that storm-generated turbulence has on the abundance, characteristics

55 and sinking fluxes of sinking particles. Turbulence creates and destroys aggregates and

56 the vertical mixing induced by storms enhances their vertical transport. Evidence of the

57 importance of biological processes is also observed. In all, these observations illustrate

- the complex interplay of physical and biological processes regulating the ocean's
- 59 biological pump and the challenges in creating a predictive understanding of its function.
- 60

61 Teaser: The ephemeral nature of marine snow controls the sinking of carbon to depth

- and its contributions to the ocean's biological pump.
- 63

64 Short Title: On the ephemeral nature of marine snow and the ocean's biological pump 65

66 Keywords: Biological Carbon Pump, Marine Snow, Aggregate Dynamics, Ocean Turbulence,

67 Sinking Carbon Export Fluxes

## 68 Introduction

- 69 The ocean's biological pump transports organic matter, created by phytoplankton
- 70 productivity in the well-lit surface ocean, to the ocean's dark interior, where it is
- 71 consumed by animals and heterotrophic microbes and remineralized back to inorganic
- forms (1–3). This downward transport of organic matter, dominated by the gravitational
- 73 settling of particles, sequesters respired carbon dioxide from exchange with the
- 74 atmosphere on timescales of months to millennia, depending on the depth at which
- remineralization occurs and on ocean circulation and mixing processes (4,5). A
- 76 predictive understanding of the biological pump function is critical to assess its role on
- future climate states and to measure the efficacy of carbon dioxide removal
- interventions aimed at contributing to net negative greenhouse gas emissions (6,7).
- 79 Much of what has been learned about the ocean's biological pump has come from field
- 80 studies where the life cycle of particles is followed from their production in the upper
- ocean to their export to depth (1,8,9). The sinking speed of most particles (roughly 50 to
- 100 m d<sup>-1</sup>) dictates a 5-to-10-day time scale to study the upper 500 m of the water
- column (estimated as the depth region of interest divided by a typical sinking time
- scale). Metrics for the efficiency of the biological pump, such as the e-ratio (export flux
- leaving the upper ocean divided by the net primary production, NPP), integrate
- 86 biogeochemical fluxes over this time scale. The challenge arises when the biotic and
- 87 abiotic factors vary on shorter time scales, such as during bloom events (10).
- 88 The rapid increase and subsequent decrease in phytoplankton biomass caused by the
- 89 spring bloom in the subarctic oceans have long been of interest to oceanographers (11-
- 15). The North Atlantic spring bloom is characterized by the dominance of siliceous
- 91 diatoms followed by a transition to mixed flagellate communities as the diatom
- dominated biomass sinks out of the upper ocean (16,17). The relationship between
- 93 primary productivity and sinking particle export is largely thought to be a balance
- 94 between bottom-up and top-down controls (particle production vs. grazing) driven by the
- annual cycle of upper layer mixing (18,19). Here, we examine the demise of the North
- 96 Atlantic spring bloom from the 2021 EXport Processes in the Ocean from RemoTe
- 97 Sensing (EXPORTS-NA) field campaign and demonstrate that abiotic physical
- 98 processes, occurring on synoptic time scales, can be the dominant factor regulating the
- 99 gravitational component of the biological carbon pump.
- 100 Results
- 101 The Oceanographic Setting

102 The EXPORTS-NA field campaign was conducted within an anticyclonic eddy in the

103 northeast Atlantic Ocean (20). An anticyclone was chosen as it would in principle retain

104 water parcels within its core for the planned 25 days of sampling (21). Multiple sampling

- assets were deployed with the goals of understanding temporal changes within the
- 106 eddy's core and assessing the spatial/temporal changes outside of it. The location of the
- 107 eddy center was monitored using multiple methods and the success of this approach
- 108 was verified using an instrumented, Lagrangian float that remained near the eddy center
- at depth of roughly 75 m throughout the cruise (20,21). Water property analyses
- showed that the eddy core waters (ECWs), within 15 km of the analyzed eddy center
- and below ~100 m, were retained throughout the experiment (20). However, above the
- eddy core, the surface core waters (SCWs) were subjected to a sequence of four
- 113 intense storms (each with maximum hourly wind speeds exceeding 40 kts), which
- 114 interrupted the ship-based sampling, deepened the mixed layer, and exchanged
- significant fractions of the SCWs with waters outside of the eddy due to Ekman
- 116 transport (Figs. 1a & S1; 20).
- 117 Initially, the SCWs were characterized by extremely low silicate (SiO<sub>4</sub>) (<0.4  $\mu$ M),
- elevated nitrate (NO<sub>3</sub>) (~5  $\mu$ M; Fig. 1b), moderate chlorophyll *a* concentrations (~1.1 mg
- 119 m<sup>-3</sup>), and a dominance of microphytoplankton pigment biomarkers (~80% of the
- summed accessory pigment biomarkers; Fig. 1c). Together, this suggests that a bloom
- of diatoms had occurred previously and terminated due to  $SiO_4$  limitation (22). Analysis
- 122 of the upper ocean silica and nitrogen budgets indicates that ~70% of the diatom bloom
- had already been exported from the mixed layer before our arrival (22). The remaining
- 124 nitrate supported the production of non-silicified phytoplankton during our field
- 125 occupation and storm-induced, mixed layer entrainment supported intermittent diatom
- 126 production (22,23; Fig. S2). This dual-phase bloom scenario is typical of the North
- Atlantic spring bloom (16). Thus, we here focus on the second phase of the springbloom and its associated particle export.
- 129 Most surface layer biogeochemical variables were highest upon arrival at the eddy and
- 130 decreased over time, including: chlorophyll *a*, particulate organic carbon (POC) and
- 131 biogenic silica concentrations, the contribution of microphytoplankton pigments to
- accessory pigments, and water column integrated rates of net primary production (NPP)
- 133 (20,22,23; Fig. 1c-e). Notably, vertically integrated rates of NPP decreased by >50%.
- 134 The first storm event (May 7-11) had a large impact on the retention of SCWs and ~75%
- of these were exchanged with waters from outside of the eddy core region, while daily
- 136 mean mixed-layer depths deepened from 22 to 68 m (20).
- 137 While NPP decreased two-fold in the field study, upper ocean sinking POC fluxes
- 138 increased two-fold from the first to the third sediment trap deployments (Fig. 1e; Table
- 139 S1). Comparing the POC flux at ~100 m to the POC stocks above it provides a measure
- 140 of turnover of POC due to export from the upper ocean. These export turnover times
- 141 were 2 to 3 months during the first two sediment trap deployments and about one month
- 142 for the last. Turnover times for the production of POC (= POC inventory / NPP) were
- 143 considerably shorter (1 to 2 weeks; Table S1), illustrating that much of the fixed organic

2 Mix Layer Depth (m) wind stress (Pa) .5 50 1 0.5 0 6.0  $NO_3 \ (mmol/m^3)$ (mmol/m<sup>3</sup>) 5.5 Sio 0.5 4.5 1.5 fraction microphyto 0.5 0.0 20 POC (mmol/m<sup>3</sup>) 2 10 10 2 2 2 (mmol/m<sup>3</sup>) SO 0.5 d) 0 n 30 NPP (mmol C/m<sup>2</sup>/d) 100 (mmol C/m<sup>2</sup>/d) POC Flux 20 50 10 0 0

carbon was utilized by the upper ocean ecosystem and not exported to depth. However,
 both lines of evidence suggest a residence time of upper ocean POC stocks of >1 week.

146

147 Figure 1: Time series of oceanographic conditions in surface core waters during the

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Day May 2021

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148 **EXPORTS-NA study**. Shown are time series of a) wind stress (black; left) and daily minimum, 149 mean and maximum mixed layer depth (blue; right), b) mixed layer mean nitrate (NO<sub>3</sub>; black; 150 left) and silicate (SiO<sub>4</sub>; blue right) concentrations, c) mixed layer daily mean total chlorophyll a 151 concentrations (black; left) and fraction of microphytoplankton pigments of the summed 152 accessory pigment biomarkers (blue; right; following ref. 24), d) mixed layer mean particulate 153 organic carbon (POC; black; left) and biogenic silica (bSi; blue; right) concentrations and e) water column integrated rates of net primary production (NPP; black) and POC export fluxes 154 155 measured at roughly 100 m using sediment traps (blue; right; see Table S1 for details). All 156 measurements shown were made within 15 km of the eddy center. Error bars in panels b) to e) 157 are standard deviation determinations for each daily mean or trap collection.

#### 158 Distributions of Large Particle and Aggregates

Abundance-size distributions of large particles (~0.1 to 10 mm) were quantified as a

160 function of depth using imagery collected by three Underwater Vision Profilers (UVPs;

161 25; *Methods*). Shown in Figure 2a are selected daily mean profiles of particle volume

- spectra presented in differential form. Initially, high volumes of particles smaller than 1
- 163 mm were found in the mixed layer while comparatively low particle volumes of any size
- were found at depth. From May 12-16, the maximum sizes of particles in the SCWs
   increased to greater than 3 mm, which may have been caused, at least in part, by
- 166 horizontal exchanges of surface waters due to the storm-induced Ekman transport
- 167 (Johnson et al. 2024). After May 15, a plume of large particles (>3 mm) appeared
- beneath the ML and over the next several days sank into the ECW. By May 25, the
- 169 plume of large particles reached 500 m, implying an average sinking speed of ~33 m d<sup>-1</sup>
- 170 (300 m over 9 d). Post-bloom ecosystems in the North Atlantic often lead to rapid export
- 171 of sinking particles from the surface ocean, but the delay between the first appearance
- 172 of these particles in and just beneath the SCWs and their export was unexpected.
- 173 During the first 10 days of the study, daily mean particle volumes within the ECWs
- 174 (depths  $\geq$  100 m) were relatively low and dominated by smaller particles (Fig. 2a).
- 175 However, outside the eddy core at similar depths, significantly more and larger particles
- were observed (Fig. 2b). In particular, the particle volume spectra there were similar to
- those observed later in the study within the ECW (after May 22; Fig. 2a). This suggests
- 178 that the midwater depths outside of the eddy core had already been modified by the
- passage of sinking particle plumes, while this signal was absent within the ECWs until
- after May 15. Midwaters outside of ECW were likely enhanced by lateral mixing from a
- 181 patchwork of export flux events given the high degree of spatial heterogeneity in the
- 182 surface biological fields (example daily mean satellite chlorophyll distributions are
- shown in Fig. S1). The low abundance of large particles within the ECW observed
   initially confirms the high degree of water parcel retention in the anticyclone's ECW (20).
- 185 Importantly, the initial low particle volumes in the ECW provide a nearly pristine
- 186 environment for diagnosing the dynamical relationships among particles and sinking
- 187 particle fluxes.
- 188 The sinking speed estimate suggested from large particle plume can be refined to
- assess sinking speed as a function of particle size. Here, the sinking speed size
- 190 distribution,  $w_s(D)$ , was determined by assessing temporal changes in the depth of
- 191 particle abundance isosurfaces for each size bin (following ref. 26). Values of  $w_s(D)$
- increased with size and ranged from  $\sim 10 \text{ m d}^{-1}$  to nearly 50 m d<sup>-1</sup> for the largest
- 193 particles assessed (Fig. 2c). These values correspond well with study mean estimates
- 194 for fast-sinking particles determined from coordinated marine snow catcher (MSC) and
- sediment trap sampling (27) as well as theoretically derived sinking speed distributions(28).

#### 198 Figure 2: Vertical profiles of the particle volume size distribution for selected days. a)

199 Daily mean, differential particle volume spectra (ppmV/mm) profiles for selected days during the

cruise and within 15 km of the eddy center. Particle volume spectra are presented in differential form as they accentuate changes in the particle size spectra that are difficult to visualize using

202 particle abundance spectra. Bins are logarithmically distributed with bin centers from 0.13 to

10.3 mm. The black line denotes the daily mean MLD near the eddy center, while the gray line

denotes the depth of the daily mean 27.2 kg m<sup>-3</sup> isopycnal, which defines the upper boundary of

the ECW (ref. 20). The storm icons denote the four storm periods with intense winds and waves and interruption of the ship-based sampling. The number in the lower left corner of each

and interruption of the ship-based sampling. The number in the lower left corner of each
 spectrum profile is the number of UVP casts used to create the daily mean shown. b) Daily

208 mean particle volume spectra (ppmV/mm) profiles for selected days outside the eddy (15 to 60

209 km from eddy center). c) Mean particle sinking speed size distribution from following UVP

210 particle abundance isosurfaces (black solid line); the standard deviation of the sinking speed

estimates (gray shading); and two widely applied  $w_s(D)$  estimates (dashed lines; entries 8 & 9 in

212 Table 2 in ref. 28).



- 214 Structural Characteristics of the Large Particles Distribution
- 215 Knowledge of the physical structure of a particle is important for assessing its
- interactions with the environment and the organisms therein (3,29,30). Micrographs of
- sediment trap contents (Fig. 3a) show that large particles became more numerous,
- 218 increased in size, became fluffier. Further, the trap collection periods decreased in the
- 219 three trap deployments, indicating that the flux of large particles increased dramatically
- 220 over the course of the study. These findings were corroborated by MSC observations
- 221 (27) of increased aggregate abundances after May 12 and a higher number of large
- (>0.1 mm), fast-sinking aggregates over time and with depth (Fig. 3b). Daily mean
- aggregate abundances, determined via automated classification of individual UVP
- thumbnail images (see *Methods*), are consistent with the MSC results (Fig. 3b).
- Abundances of UVP-imaged aggregates show a similar increase after May 13 (Fig. 3c) and peak concentrations after May 24. Overall, more than 90% of the large particles (>1
- mm) characterized from the individual UVP images were identified as aggregates. The
- 228 correspondence between the three methods is reassuring, considering they quantify
- aggregates differently, either imaged from the bottom of a sediment trap, collected in a
- tray at the bottom of a marine snow catcher, or imaged *in situ*.
- 231 Large particles were extremely porous throughout the time series with solid particle 232 fractions (defined as 1–porosity) ranging from 10<sup>-2</sup> to 10<sup>-6</sup> (Fig. 3c). This range of solid 233 particle fractions is similar to previous in situ field determinations (31); however, the 234 entire previously reported range was observed during our study. Values of solid particle 235 fraction decrease in time, but no obvious changes in its depth distribution were found 236 (Fig. 3c). Particle solid fractions were estimated by two methods: from sediment trap 237 samples that collect sinking particles and from large volume pump-UVP pairings that 238 sample water column particles (see Methods). Determinations of the solid particle 239 fraction were higher for the sinking particles captured in traps than for particles imaged 240 in the water column as expected; but both estimates decreased in time, indicating that 241 the population of particles examined were becoming more porous (Fig. 3c). The 242 extreme particle porosity observed indicates that the fractal nature of imaged particles 243 must be considered in the quantitative analysis of particle mass using particle imaging
- 244 tools (29,32,33).



Figure 3: Structural changes in large particle characteristics in time and depth. a)

247 Representative micrographs of gel trap contents from the three sediment trap deployments for 248 size range of 50 µm to 5 mm. Scale bars in lower left corners of images (red) are 1 mm. 249 Sampling depths and durations were 75 m and 6 days, 75 m and 3 days, and 105 m and 2 days 250 for the three collection periods. b) Aggregate abundance and composition determinations as 251 function of depth and time within the eddy center. UVP imaged aggregate abundance analysis 252 are the contours, while fast sinking, large aggregates hand collected from the MSC collections 253 are the filled circles. c) Solid particle fractions from geochemical/gel trap pairings (rectangles for 254 which length denotes the trap collection duration) and high-volume pump and UVP matchups 255 (circles). Depth of sample collections is shown in the color scale.

256 Abiotic Controls on Aggregates in the Surface Layer

Our observations reveal several examples where physical processes have proximate
controls on the dynamics of large particles. For example, large reductions in particle
volume and particle sizes are seen within the mixed layer close to the sea surface
where turbulence levels are highest (Figs. 2a & S4). This is particularly evident on May
14, 22, and 25, when wind stress and thereby near surface turbulence levels were
elevated (Fig. 1a). Turbulent shear rates are important for particle dynamics, as a
moderate amount facilitates collisions among particles and promotes increases in

- 264 particle sizes via shear coagulation, while high turbulence levels disaggregate particles
- 265 (34-36). Relating the largest particle size (D<sub>max</sub>) reliably sampled by the UVP (*Methods*)
- to turbulence levels within the mixed layer clearly shows the influence of mixed-layer
- turbulence on particle sizes. Over the entire study, maximum particle sizes within the
- 268 mixed layer increased more than three-fold with depth, while turbulent kinetic energy 269 dissipation rate, KE<sub>diss</sub>, estimated from air-sea momentum and buoyancy fluxes
- 270 (*Methods*), decreased rapidly (Fig. 4a). Maximum particle sizes are regulated, at least in
- 271 part, by shear disaggregation due to elevated turbulence levels (34,36) as evidenced by
- the decrease in maximum particle sizes with  $KE_{diss}$  when  $KE_{diss}$  >  $10^{\text{-7}}$  W kg^{\text{-1}}. Maximum
- particle sizes observed were only rarely reached the maximum aggregate size found inthe laboratory experiments of ref. (34) (blue line in Fig. 4b). Classic turbulence scaling
- predicts mixed-layer turnover times of an hour or less (scaling as  $Z_{ML}$  / u\*, where  $Z_{ML}$  is
- 276 the mixed-layer depth, u\* is the surface friction velocity =  $(\tau/\rho)^{1/2}$ ;  $\tau$  is wind stress and  $\rho$
- is seawater density); therefore, shear fragmentation must occur very guickly. Whereas
- turbulence levels near the surface favor smaller maximum particle size and
- fragmentation, those near the base of the mixed layer favor coagulation and larger
- particles. The largest particles were found at depth for intermediate values of  $KE_{diss}$  (Fig. 4b), suggesting that there is a turbulence level, roughly  $5x10^{-8}$  W kg<sup>-1</sup>, large enough to promote particle-particle encounters leading to coagulation, but not so large as to lead to disaggregation of those particles.
- 284 Over the study, daily mean estimates of KE<sub>diss</sub> averaged over the upper 50 m of the water column varied by more than three orders of magnitude due to the presence or 285 286 absence of storms (Fig. 4c). As a result, particles larger than 5 mm had a high potential 287 for fragmentation during storm events, while they were more apt to grow during the 288 guiescent periods between storms due to shear coagulation (Fig. 4c; see Methods for 289 calculation details). The effects of the storms are evident in the upper layer integrated 290 total particle volumes (Fig. 4d). Particle volumes were low just after each storm passed 291 and then increased rapidly during more guiescent periods. After the first two storms 292 (May 11-14 & May 16-19), total particle volumes increased nearly two-fold in just a few 293 days. Changes in the upper-layer mean particle volume size spectra mirrored changes 294 in the turbulence levels where particle sizes and volumes grew when turbulence levels 295 were low and decreased when turbulence was high (Fig. 4e). This is reflected in 296 temporal patterns in formation rates of particles > 5 mm by coagulation. Initially, upper-297 layer coagulation rates were small due to both low turbulence and a scarcity of particles 298 that can coagulate into particles larger than 5 mm (Fig. 4e). After each storm, particle 299 coagulation rates for particles > 5 mm in size increased dramatically as the lower 300 turbulence levels promoted coagulation and the production of particles large enough to 301 coagulate to yet larger ones. Thus, the dynamics of upper layer large particles (> 5 mm) 302 is driven by fragmentation when turbulence is high (upper layer mean KE<sub>diss</sub> greater 303 than about 10<sup>-6</sup> W kg<sup>-1</sup>) and coagulation when turbulence levels are lower (Fig. 4c).

Together, these data illustrate the highly ephemeral nature of large aggregates in the upper ocean as perturbed by intense storm conditions.



307 Figure 4: Turbulence and large particle dynamics in the upper 50 m. a) Individual 308 observations of the maximum particle size, D<sub>max</sub>, sampled by the UVP vs. depth within the 309 mixed layer (see *Methods* for how D<sub>max</sub> is estimated). Individual UVP observations and hourly KE<sub>diss</sub> estimates are shown where the color represents the data density in D<sub>max</sub>-depth space (red 310 311 highest, blue lowest). The solid blue line is the best fit line of  $D_{max}$  with depth. The black line and 312 gray envelope are the study mean and standard deviation envelope for the KEdiss vertical profile 313 within the mixed layer. b) Comparison of individual D<sub>max</sub> observations with KE<sub>diss</sub> estimates. Data 314 are the same as in a). The color for each observation represents the data density in KE<sub>diss</sub>-depth space (again, red is highest). Blue solid line is the average maximum aggregate size found in the laboratory study ( $D_{max} = 0.75$  (KE<sub>diss</sub>)<sup>-0.15</sup>; ref. 34). c) Time evolution of the mean KE<sub>diss</sub> in the 315 316 upper 50 m (black; hourly & daily) and the ratio of modeled particle fragmentation to particle 317 318 growth via coagulation for particles larger than 5 mm (blue line; > 1 fragmentation dominates &

< 1 coagulation). d) Total particle volume inventory, particle volume sinking flux at 50 m and</li>
their turnover time. e) Upper 50 m mean particle volume spectra as function of time. Black lines
are modeled time series of relative coagulation rates for large particles in the upper 50 m. The
uncertainty envelope for coagulation rates illustrates the variations in the ensemble created
using a range of fractal dimension scenarios. Also plotted (red line) is the maximum marine
snow size as function of upper layer KE<sub>diss</sub> based upon the laboratory experiments of ref. (34).

325 The storm events also influenced large particle dynamics by rapidly altering the depth of 326 the surface mixed layer, which in turn impacted the vertical transport of large particles 327 (Fig. 1a). As noted above, a pulse of sinking particles was observed traversing from the base of the mixed layer starting on May 14 and reaching 500 m on about May 25 (Fig. 328 329 2a). However, it is not clear what drove the timing of the sinking particle flux event. 330 Initially (May 7), the UVP-imaged particle volume spectra showed very few particles > 1 mm below the mixed layer (Fig. 2a). After the first storm (May 12 and 14), larger 331 332 particles were observed both within the mixed layer, but also below this depth (to ~80 333 m). The first storm not only exchanged SCWs with waters from outside the eddy, but it 334 also deepened the mixed layer from 22 to 68 m (20; Fig. 5a). After the storm passed, 335 the mixed layer shoaled rapidly, effectively exporting particles beneath the shallower 336 mixed layer into the depth interval from 22 to 68 m, in a process similar to the seasonal 337 mixed layer pump (37). Evaluating this for all storm events, both particle sizes and total 338 particle volume between the depth interval from 40 to 80 m rapidly increased in between 339 storms (Figs. 5a & b). Temporal patterns in the relative coagulation rates of large 340 particles (> 5 mm) for the 40 to 80 m layer support the idea that coagulation was a

341 significant driver of this increase (Fig. 5b).

The coupled turbulent and particle dynamics provide an explanation for the timing of the pulse of sinking large particles. Initially, large (> 5 mm) particle coagulation rates were

pulse of sinking large particles. Initially, large (> 5 mm) particle coagulation rates were
 extremely low in the 40 to 80 m layer. After the first storm, these rates increased rapidly

345 as the abundance of particles available to make large aggregates increased, due to

- 346 mixed layer pumping as well as via coagulation due to the moderate turbulence levels.
- 347 These large particles then sank at velocities of order 50 m d<sup>-1</sup> (Fig. 2c), sinking into the
- ECWs as can be seen on May 12 and 14 (Fig. 2a). The following storm, on May 15,
- 349 accelerated this process. The large observed particle export pulse resulted from a

350 complex combination of processes, including mixed layer entrainment and detrainment

- 351 transporting large particles to depth, shear coagulation creating larger particles, and
- 352 finally their sinking into the eddy interior.





354 Figure 5: Dynamics of large particles at depth. a) Total particle volume depth-time series 355 from the large (> 0.1mm) particle imagery (ppmV). Shown also in the red and pink lines are the 356 daily minimum, mean, and maximum mixed layer depths from the instrumented glider which 357 profiled roughly every two hours near the eddy center (20). b) Daily mean particle volume 358 spectrum averaged for the layer between 40 to 80 m as a function of particle size. Overlayed 359 are modeled time series of relative coagulation rates for large particles in the same layer where 360 the uncertainty envelope illustrates the variation in the ensemble created using different fractal dimension scenarios. c) Relative changes in the vertical profile of the abundances of small 361 362 particles (0.13 > D > 0.51 mm) with depth and time relative to the mean profile from May 4 and 363 5.

Last, repeated storm forcing suggests there was a successive reworking of particles in the upper ocean leading to increased particle porosity in time. This may have led to the explosive growth of large aggregates (> 5 mm) later in the study. Evidence for this can be found by evaluating the turnover time scales for POC and particle volumes in the

368 upper 100 m of the water column. Turnover time scales for POC production

- 369 (JPOC/NPP) were about a week or two, while turnover time estimates for POC export
- 370 were months (Table S1). Contrasting this, turnover times for large particle volumes
- 371 (JVol/VolFlux(100m)) were about a week at the beginning of the cruise and decreased to
- about a day (Table S1). This implies that large particles were being rapidly created and
- exported, yet most of the particle mass, as measured by the POC concentration, was
- retained in the upper ocean. In fact, more than 90% of the POC in the MSC collections
- 375 was found in the suspended fraction (27).
- We suggest that the processes of sequential building and destroying of aggregates in
- 377 the mixed layer may lead to a pool of particles whose porosity increases over time.
- 378 Turbulence limits the size of aggregates by shearing them into two or more fragments
- by the smallest eddies in the flow, the Kolmogorov scale (34,36). Laboratory
- 380 experiments on marine aggregates show that individual shear disaggregation events
- result in pairs of fragment particles most of the time (34,38). These fragments should
- have a similar fractal nature to their parent and when coagulated again, the resulting
- aggregates should become even more fractal and more porous. This hypothesis is
- 384 consistent with observations of particle porosity growing over time during the
- 385 experiment (Fig. 3c).
- 386 Aggregate Dynamics and Sinking Particle Carbon Export
- 387 Changes in the particle size distribution illustrate a net transport of large particles to 388 depth (Fig. 2a) and likely an export of organic carbon to depth. However, given the large 389 degree of porosity and its changes over time (Fig. 3c), correspondence between imaged 390 particles and sinking POC fluxes is not guaranteed. Here, we compare estimates of 391 sinking particle volume fluxes, determined from the imaged large particle distribution 392 and an assumed sinking particle velocity size distribution (see *Methods*), to traditional 393 measurements of sinking particle export.
- 394 Sinking particulate organic carbon (POC) fluxes were determined directly using surface
- tethered and neutrally buoyant sediment traps, as well as by several other tools that
- 396 provide indirect or proxy flux determinations (Fig. 6). These include optical sediment
- traps, globally calibrated to provide estimates of sinking POC fluxes (OST-POC; 39), a
- 398 prototype, upward-viewing time lapse camera mounted on the Lagrangian float
- (SnoCAM), <sup>234</sup>Th-derived POC fluxes (40), analysis of the fast-sinking components of
   POC in the MSC collections (27), and determinations of the particle volume sinking flux
- 401 from in situ particle imaging (see *Methods*). Sinking particle fluxes and their proxies all
- 402 show similar patterns with increasing fluxes in time and this signal propagating to depth
- 403 over time. Before May 16, sediment trap-measured POC fluxes were relatively low with
- 404 strong attenuation beneath the mixed layer (Fig. 6b). From May 14 to May 23, these
- fluxes increase by a factor of two in the upper ocean and that increase propagates to
- 406 depth and were also detected by the <sup>234</sup>Th-derived POC fluxes (Fig. 6a). Sinking particle

- 407 fluxes determined from the MSC collections follow this same pattern, but show a
- 408 dramatic increase in flux after May 25 reaching ~60 mmol-C m<sup>-2</sup> d<sup>-1</sup> in the upper layers
- 409 (27). This late study increase is supported by  $^{234}$ Th-derived POC fluxes at 95 m (40).
- The optical sediment traps and SnoCAM detected a large increase in particle export at
- ~80 m, matching the initial patterns detected in the UVP particle volume fluxes.
- 412 However, flux determinations from the optical sediment traps and SnoCAM decreased
- sharply after May 23, potentially due to spatial heterogeneity in sinking fluxes and/or an
- 414 under sampling by the small-cross section beam transmissometer in the case of the
- 415 OST (39), and low sensitivity of the prototype SnoCAM to large, low fractal-dimension
- 416 aggregates as were observed later in the study (Fig. 3bc).



418 Figure 6: Sinking POC fluxes and proxy measurements. a) Sinking POC fluxes over time from different flux proxies. The black line shows the POC flux from the Optical Sediment Trap 419 420 (OST); the brown line is the particle area flux sampled by the SnoCAM; and the black dashed line is the POC flux estimated from deficits of  $^{234}$ Th at 75 m (Fig. 9 in ref. 40). The mean (± s.d.) 421 422 depth of the OST and SnoCAM measurements was 75 ± 14 m. b) POC fluxes from geochemical 423 sediment traps (rectangles), the marine snow catcher (circles) and UVP-determined particle 424 volume flux estimates (contours). All measurements were made near the eddy center and are 425 scaled to the common color bar.

- 426 Overall, particle dynamics and particle sinking determined from the time course of
- 427 particle volume fluxes from UVP imagery correspond well with the POC export
- 428 determined from the other approaches (Fig. 6b). The general increase in the sinking
- 429 particle volume fluxes in both time and depth corresponds with the direct sinking POC
- 430 fluxes and most of their proxies. Correspondence was also good with the MSC-derived
- 431 POC fluxes, although the MSC fluxes are considerably higher in the upper 200 m late in
- the study and suggest lower flux transmission values. Although this is not a quantitative

- 433 comparison of POC flux measurement methods (a subject of future work), it does
- 434 support the use of particle imagery of large particle distributions for understanding
- 435 vertical flux processes in the upper ocean.
- 436 Biotic Controls on Particles in the Upper Mesopelagic Zone

437 Apparent biotic controls on the particle size distribution can also be quantified in the 438 upper mesopelagic zone of the eddy core waters. After May 20, abundance of small (≤ 0.51 mm) particles within the ECW increased by a factor of more than two compared 439 to May 4-5 (Fig. 5c). It is unlikely these smaller particles sank from the surface ocean 440 441 given the time required for these slow-sinking particles to traverse many 100's of meters 442 (Fig. 2c). Horizontal advection can also be ruled out due to the retentive nature of the 443 eddy and KE<sub>diss</sub> levels are too low for significant shear disaggregation to occur. More 444 likely, the observed increase in small particles is driven by biological processes, such as 445 the destruction of large particles by zooplankton via sloppy feeding and/or animal-

- 446 generated shears (41,42).
- 447 Support for this hypothesis can be found in estimates of the encounter rate between
- 448 large (>0.51 mm) particles and zooplankton (see *Methods*). For the three days where
- simultaneous zooplankton and large particle data were available, estimated encounter
- 450 rates increased by factors of 10 to nearly 30-fold from May 11 to May 26 (Table S2).
- 451 This was due to a modest increase in zooplankton abundance (2x) and a strong
- 452 increase in large particle abundances (>10x), consistent with the suggestion that the
- disaggregation of large particles by zooplankton created these smaller particles.

The imaged particle data can be used to quantify the rate of small particle (< 0.51 mm) production from large ( $\geq$  0.51 mm) particles using a two size-class model of particle conserved volumes, which will be linearly related to particle biomass (44). The model (Eq. 1) relates the time rate of change of small and large particle conserved volumes to the sum of their sinking through the water column, the transformation of large particles to small ones with a specific rate  $\beta$ , and the consumptive losses of each with a specific rate  $\gamma$ , or

461

$$\frac{\partial P_S}{\partial t} = w_S \frac{\partial P_S}{\partial z} + \beta P_L - \gamma P_S$$
(1a)

462

 $\frac{\partial P_L}{\partial t} = w_L \frac{\partial P_L}{\partial z} - \beta P_L - \gamma P_L$ (1b)

Large and small particle conserved volumes (and their gradients with respect to time and depth) were estimated via in situ particle imaging, with observed particle volumes converted to conserved volumes assuming an ensemble of particle fractal dimension values to account for particle porosity and its uncertainties. The model coefficients are determined by linear regression (see *Methods*).

- 468 The mean rate of transformation of large to small particles ( $\beta$ ) is small (0.010 [0.006
- s.d.]  $d^{-1}$ ) yet positive for all ensemble members, demonstrating there is a net production
- 470 of small particles from large ones. This estimated rate corresponds to nearly a 30%
- 471 increase in small particle abundance for the 25-day experimental period, accounting for
- some, but not all of the observed, two-fold increase shown in Figure 5c. The net
- 473 production of small particles from large ones occurs simultaneously with a large
- 474 increase in zooplankton-to-large particle encounter rates (Table S2) suggesting that
- zooplankton-particle interactions, via zooplankton consumption, sloppy feeding,
- 476 fragmentation by swimming action, or a combination thereof, is the likely source
- 477 (41,44,45). Sloppy feeding will also enhance the solubilization of particles into dissolved
- forms which are widely available to the mesopelagic microbial community (46,47).
- 479 The two size-class model results also support several of our previous findings. For
- 480 example, modeled large and small particle sinking speeds were 68.9 (2.7 s.d.) m  $d^{-1}$
- 481 and 12.1 (1.6 s.d.) m d<sup>-1</sup>, respectively, consistent with assessments presented here (Fig.
- 1c) and study-mean determinations of sinking particle settling speeds made using
- paired MSC and sediment trap collections (27). The retrieved specific consumption rate
- 484 ( $\gamma = 0.118 [0.008 \text{ s.d.}] \text{ d}^{-1}$ ), is considerably higher than microbial O<sub>2</sub> consumption rates
- 485 made on individual particles at this site (48), but it suggests that the consumption by
  486 grazers contributes substantially to particle losses.

## 487 Discussion

488 The observations presented here show that physical dynamics of the upper ocean can play a key role in particle transformations and the efficiency of sinking carbon export 489 490 from the upper ocean. Mixed layer turbulence is required to produce and maintain a 491 pool of marine snow aggregates that sink to depth, yet too much turbulence fragments 492 them, thereby decreasing their sinking velocities and export potential. The passage of storms deepened the mixed layer, which rapidly shoals after the storms passed, leading 493 494 to the isolation of particles roughly 30 m beneath the mixed layer. There, these particles 495 were protected from the intense turbulent shear levels and the lower turbulence levels 496 enabled increases in particle size through coagulation and thereby higher sinking rates. 497 Last, the repeated storm forcings and turnover time scales for upper ocean POC and 498 total particle volumes suggest a reworking of particles in the upper ocean leading to 499 increasing particle porosity in time, which in turn may be related to the observed 500 explosive growth of large aggregates (> 5 mm) late in the study. Together, these 501 physical processes have a direct effect on particle size and sinking fluxes. They also 502 influence the efficiency of the biological pump by increasing the residence times of 503 particulate material in the upper layers, enabling more time for microbes and metazoans 504 to remineralize and graze these particles. The net result of these competing processes will vary as a function of the coupling among food web, particle, and physical 505

- 506 oceanographic dynamics, presenting a challenge for observing and modeling the
- 507 mechanisms regulating important carbon cycling metrics, such as e-ratios and
- 508 remineralization length scales.
- 509 We also show that biotic processes in the mesopelagic zone are disaggregating large (>
- 510 0.5 mm) particles into smaller ones (< 0.5 mm). This is likely related to the abundance
- and activity of zooplankton as other sources of these smaller particles within the ECWs
- 512 seems unlikely. This supports recent studies suggesting that disaggregation processes
- are a critical component of flux attenuation with depth (47,49-51). Biological
- 514 disaggregation is an important, yet poorly represented, process in models of the
- 515 biological pump (3,52). Further, the production of small particles at depth provides a
- 516 mechanism for their presence in sediment traps besides sinking from the sea surface
- 517 (53). Thus, both abiotic and biotic particle aggregation and disaggregation processes
- 518 need to be included in observational assessments and numerical models of the
- 519 biological pump (8,52).
- 520 The sampling design for the EXPORTS-NA study leveraged the retentive nature of an
- 521 anticyclonic eddy to enable observations of coupled ecological / biogeochemical
- 522 processes in as close to a Lagrangian fashion as possible. The present observations of
- 523 the large particle distribution inside and outside of the eddy core waters demonstrated
- 524 that this goal was achieved, essentially separating temporal-vertical changes from
- 525 lateral transport processes that would obscure signals of vertical export. This meant that
- 526 the ECWs provided a near-pristine laboratory to understand the relationships among
- 527 particle dynamics and sinking particles fluxes. In all, this work illustrates the importance
- 528 of Lagrangian sampling designs to provide the required observational data for 529 understanding the biological pump, particularly for sites with high eddy kinetic energy
- 529 understanding the biological pump, particularly for sites with high eddy kinetic energy
- 530 levels (8,20,54).
- 531 Last, there is a great deal of interest in the development of ocean-based carbon dioxide
- reduction (CDR) strategies to reduce atmospheric CO<sub>2</sub> levels (7). Measuring and
- validating the efficacy of a CDR action is critical for validating the carbon offsets its
- 534 produces. Several biotic ocean CDR methods, such as ocean iron fertilization or
- artificial upwelling, attempt to intensify carbon export fluxes by spurring upper ocean
- 536 NPP rates. Our work demonstrates that a complex combination of physical,
- 537 biogeochemical and ecological processes will determine the fates of this enhanced
- 538 carbon export and illustrates the complexity and challenges in monitoring and validating
- 539 the additional carbon sequestered by the CDR action.
- 540

#### 541 Methods and Materials

542 Experimental Array, Siting and Oceanographic Setting: The EXPORTS-NA field campaign was 543 conducted ~150 km due east of the Porcupine Abyssal Plain (PAP) Observatory (55) in the 544 northeast Atlantic Ocean within an anticyclonic eddy (20,21; Fig. S1). Three research vessels 545 (RRS James Cook, RRS Discovery & R/V Sarmiento de Gamboa), three instrumented gliders. 546 an instrumented Lagrangian float and 10 water following surface drifters were deployed during 547 the experiment. The location of the eddy center was monitored by analyzing available horizontal 548 velocity measurements from the multiple sampling assets and verified by the instrumented 549 Lagrangian float that was deployed near the eddy center at depth of ~90 m (21). Measurements 550 within 15 km of the analyzed eddy center were deemed in the eddy core based upon water 551 property analyses. Below about 100 m, in the eddy core waters (ECWs), water parcels were 552 retained within the eddy throughout the experiment (20). Thus, changes in biogeochemical and 553 ecological properties in the ECWs were due to local processes and were independent of 554 changes due to horizontal advection. However, in the surface core waters (SCWs) above the 555 eddy, a series of four intense storms interrupted ship-based sampling (Fig. S1), deepened 556 mixed layer depths and exchanged significant fractions of the upper water column (roughly 25 to

557 75%) due to Ekman transport (20).

558 Measurement Protocols: Measurement protocols for all measurements made during EXPORTS

559 are available at <u>https://sites.google.com/view/oceanexports</u>. This includes the context variables 560 presented in Fig. 1 for phytoplankton pigments, POC, and bSi concentrations, as well as 14-C

- 561 NPP and sediment trap export fluxes.
- 562 Characterizing Large Particles using In Situ Imagery: Abundance and size of large particles and 563 aggregates were quantified as a function of depth using the Underwater Vision Profiler 5 (UVP; 564 25) deployed from each of the three research vessels. The UVP illuminates approximately 1 L of 565 seawater imaged at a pixel resolution of ~50 µm. Particles are identified as contiguous pixels 566 whose area is converted equivalent spherical diameter. Particle abundance size distributions 567 are then calculated for 25 logarithmically distribution bins with center bin diameters ranging from 568 0.09 to 23.9 mm. In standardizing the UVP data from the three ships, the first two bins were 569 removed from consideration, making 0.13 mm the smallest particle diameter bin center reliably 570 imaged (56). Particle abundance size distributions are averaged into 5 m vertical bins for 571 equivalent spherical diameters ranging from 0.13 to 10 mm. Given the UVP's sampling 572 frequency (6 Hz) and typical CTD frame lowering rates, nearly 100 individual scans make up 573 each 5-m vertical average. This corresponds to each 5 m bin sampling ~100 L of seawater. 574 Particle size spectra are reported here as particle volume spectra in differential form (units are 575 ppmV per mm bin width) as they accentuate changes in the particle size spectra compared to 576 visualizations made with particle abundance spectra and do not require the simultaneous 577 reporting of bin dimensions (57,58). Further details including the standardizing of UVP particle
- 578 size distribution observations from the three ships are included in ref. (56).

579 Total particle volumes are calculated as the integral of the differential particle volume spectra

580 over the range of available diameters. Vertical sinking flux for particles is calculated as the

581 integral of the daily mean differential particle volume spectra multiplied by an assumed sinking

582 speed distribution over the diameters considered. Here, a theoretical sinking speed distribution

583 from ref. (28) is used (their ref 8 in Table 2; the lower of the two curves presented in Fig. 2c).

584 The size of the largest particles robustly sampled, D<sub>max</sub>, is quantified as the largest differential

- 585 particle volume threshold value that that is consistently well sampled by the UVP. A threshold
- value of 4 ppmV/mm provided consistent assessment of D<sub>max</sub> for the mixed layer via
   experimentation with different thresholds.
- 588 Sinking speed size distributions were estimated from particle abundance distributions following
- ref. (26). For each size bin, particle abundance time-depth distributions were first smoothed and
- 590 then 6 to 10 particle abundance isosurfaces are selected. The mean and standard deviation of
- the slope determinations of the depth-time relationships for the period May 14 to 25 are then
- calculated. Bin centers used range from 0.11 to 3.65 mm.
- 593 Aggregates abundances were quantified from an analysis of thumbnail images of large
- 594 individual objects (> 1 mm). This classification was conducted first by using MorphoCluster (59),
- 595 which enables the fast, human-assisted assimilation of likewise-appearing objects into clusters
- and subsequent classification. Thumbnails and their classification were uploaded to EcoTaxa
- (60) where classifications were further checked. Abundances of "fluffy" and "very fluffy"
- aggregates classified in this manner were binned together into 5 m vertical bins and dailyaverages (61).
- 600 Sediment Trap Estimates of Sinking Particle Composition, Mass and Volume Fluxes: Surface-
- 601 tethered and neutrally-buoyant arrays of sediment traps were deployed three times during the
- 602 cruise as described in refs. (39,62). Cylindrical trap tubes (0.0113 m<sup>2</sup>) carried either poisoned
- brine (for bulk measurements of sinking POC, PIC, bSi, and mass flux) or polyacrylamide gel
- 604 collectors (63) for particle enumeration, size, and classification. POC flux was determined
- following ref. (39). PIC flux was measured by coulometric analysis (64) on gravimetric splits of
- 606 the same filters used for POC. Biogenic silica was measured by hot alkaline extraction of
- sample splits filtered onto polycarbonate membranes followed by spectrophotometric analysis
   (22). Gels were digitally imaged at 7x, 32x, and 115x magnification, then particles were
- 608 (22). Gels were digitally imaged at 7x, 32x, and 115x magnification, then particles were
   609 identified and enumerated following methods in ref. (63). Image pixel size at 32x resolution was
- 610 intercalibrated with the pixel size at 7x resolution following ref. (57). Particle diameter was used
- 611 to estimate volume, assuming particles were spheres. Volume and mass fluxes were finally
- 612 calculated by normalizing to trap deployment length and collection area. Surface tethered traps
- 613 were subjected to horizontal velocities exceeding 30 cm  $s^{-1}$  and the upper trap briefly was within
- the surface mixed layer during Epoch 3, so only data from neutrally-buoyant sediment traps are
- 615 shown in that Epoch.
- 616 Marine Snow Catcher Assessments of Aggregate Abundances and POC Fluxes: Sinking
- 617 particles and sinking aggregates (ESD >0.1 mm) were collected below the mixed layer down to
- 618 depths of 500 m using four Marine Snow Catchers (MSC) following methods in ref. (65). After
- retrieval, each MSC was placed on deck in an upright position for exactly 2 hours to allow the
- 620 sinking of aggregates inside a circular plastic tray placed inside the base section of the MSC.
- Right after, the water collected in the base overlying the tray was gently sampled and the tray
- 622 was transferred to the lab to manually pick individual aggregates (27). The aggregates were
- 623 visually sized and counted. On May 27, the POC mass of the sinking aggregates was measured
- and used to calculate the POC concentration associated with the sinking aggregates (65). The
   base water and tray water (without the sinking aggregates) were processed to assess the POC
- 626 concentrations of sinking particles. The sinking velocity of the entire sinking particle population
- 627 (i.e., sinking particles plus sinking aggregates) was calculated by dividing the POC fluxes
- 628 obtained from co-deployed sediment traps to the POC concentration of sinking particles

629 collected with the MSCs (27). Particle fluxes were calculated by multiplying the concentration of 630 sinking particles by an estimate of their average sinking velocity.

631 Assessments of Solid Fractions of Sinking and Suspended Particles: Particle solid fractions

632 were estimated as the ratio of solid particle component volumes to total particle volume. For

633 sinking particles, measurements of bulk fluxes of POC, biogenic silica (bSi), and particulate

634 inorganic carbon (PIC) (described above) were used to estimate the mass fluxes of organic

635 matter, opal, and calcium carbonate, and representative component densities were then used to 636

estimate the volume flux of the solid fraction in the particles (POC to organic matter [66]; bSi to 637 opal [67]; PIC to CaCO<sub>3</sub>, stoichiometry and densities; [68]). For suspended particles, a similar

638 process was used to estimate the solid volume concentration from POC, bSi, and PIC

- 639 concentrations measured in the > 335  $\mu$ m size fraction of the large volume pump samples (40).
- 640 For sinking particles, the total particle volume flux was estimated as described above, while for
- 641 suspended particles, the volume concentration of  $\geq$  335 µm particles was estimated from UVP
- 642 images. For both suspended and sinking particles, solid fractions were determined by dividing

643 the corresponding solid volume by the total volume.

644 Estimation of Turbulent Kinetic Dissipation Rates from Air-Sea Flux Determinations: Turbulent kinetic energy dissipation rates (KE<sub>diss</sub>; W kg<sup>-1</sup>) were calculated for the upper 50 m of the water

- 645
- 646 column using established similarity scalings (69,70), or

647 
$$KE_{diss} = 0.58 \left( -\frac{g \alpha Q}{\rho_o c_p} + g \beta (E - P) S_o \right) + \frac{1.76 (\tau/\rho_o)^{3/2}}{\kappa z}$$
(M1)

648 The first term represents the contribution from surface buoyancy forcing, where g is gravity,  $\alpha$  is 649 the thermal expansion coefficient, Q is the surface net heat flux,  $\rho_0$  is a reference density,  $\beta$  is 650 the haline contraction coefficient, E is evaporation, P is precipitation and  $S_o$  is a reference 651 salinity. The second term represents the contribution from momentum input, where  $\tau$  is the 652 surface wind stress,  $\kappa$  is the von Karmen constant, and z is depth from the surface. Surface 653 heat and freshwater fluxes and wind stress were estimated using ship based meteorological 654 measurements processed with the COARE bulk formula. Additional details can be found in ref. 655 (20).

656 Modeling of Particle Coagulation and Disaggregation Rates: Particle coagulation rates are

657 calculated using standard coagulation theory with a turbulent shear coagulation curvilinear 658

kernel (29) using particle abundance and turbulent kinetic energy dissipation rates. Particle 659 coagulation rates are calculated using a size-class based discretization from which total

660 formation rates of large particles by coagulation are calculated (71). The UVP-determined ESD

661 volume distributions are converted to conserved volumes (which is linearly related to particle

662 biomass) ensuring total particle numbers remained the same (35). Large uncertainties exist for

663 the fractal dimensions used for this conversion. Thus, an ensemble of simulations was created

664 using a range of particle fractal dimensions from 1.5 to 2.3 covering a range of values from the

665 literature (57,72-75). The ensemble included both particle fractal dimensions that are constant in

666 time as well as examples that linearly decrease in time with initial and final values chosen 667 randomly from above range. The final ensemble was made up of 21 members. Means and

standard deviations of large particle formation rates by coagulation are calculated from the

668

669 ensemble and shown in Figs. 4e and 5b.

670 Disaggregation rates of large particles are calculated following the model of Alldredge et al.

671 (1990) (ref. 34). Maximum particle sizes are calculated as D<sub>max</sub> = 0.75 (KE<sub>diss</sub>)<sup>-0.15</sup>. Conserved

- particle volumes in aggregates larger than D<sub>max</sub> are redistributed into smaller particles such that
- 673 2/3 of the volume goes into the next size class smaller than the maximum particle size and the
- 674 remaining volume is distributed uniformly among the smaller size classes.
- 675 Rates of large particle (> 5 mm) formation due to differential sedimentation were also
- 676 determined (29). Conserved particle volume spectra were calculated as detailed above and
- 677 particle sinking speed was calculated using Equation 8 of Table 2 in ref. (28) (the lower estimate
- 678 in Fig. 2c). We find, for the 40 to 80 m layer where differential settlement should be more
- 679 important, that shear coagulation rates are more than twelve times greater than differential
- 680 sedimentation rates (Fig. S5). Hence, only rates of large particle formation due to shear
- 681 coagulation are used in the qualitative discussions in the text.
- 682 Optical Sediment Trap and SnoCAM Sinking Particle Flux Determinations: Sinking particle
- 683 fluxes were estimated at 75 m using two prototype tools for optical measurement of sinking
- 684 particle fluxes that were both mounted on the instrumented Lagrangian Float deployed in the
- 685 eddy core. A 25 cm beam transmissometer (~8 mm diameter collection area, C-Star, Sea-Bird
- 686 Scientific Inc., Bellevue, WA) was used as an optical sediment trap (39) and collected data at an
- 687 hourly frequency. A prototype upward-facing time-lapse camera (5 cm diameter collection area,
- 688 SnoCam, University of Rhode Island) collected images of sedimenting particles at 4 hour
- 689 intervals. For both instruments, particle flux was determined from the rate of signal increase
- 690 over time. For the transmissometer-OST, POC flux was empirically estimated from beam
- 691 attenuance flux (39). The SnoCam flux was reported as the rate of increase of particle projected 692 area ( $mm^2 m^{-2} d^{-1}$ ). The SnoCam imager was not optimized for sharp edge definition of porous,
- 693 low fractal-dimension particles.
- 694 Radiochemical Assessment of POC Fluxes: Radiochemical assessment of POC fluxes via <sup>234</sup>Th 695 measurements was carried out in accordance with the protocols described in ref. (76), wherein 696 2L seawater samples are precipitated with a MnO<sub>2</sub> coprecipitation method. Low-level beta decay activity (counts per minute) are then determined via counting on anti-coincidence beta 697 698 decay counters (Risø DTU National Laboratory, Denmark). Thorium-234 fluxes (dpm m<sup>-2</sup> d<sup>-1</sup>; 699 dpm = decays per minute) were analyzed using a non-steady state model (40). The non-steady 700 state model assumes that the study system changes on a timescale less than the half-life of 701 <sup>234</sup>Th (24.1 d). Thorium-234 fluxes were converted into POC fluxes by multiplying isotope fluxes by POC/<sup>234</sup>Th ratios, collected using *in situ* pumps (40). Notably, POC fluxes included in this 702 703 study are derived from the > 5  $\mu$ m particle size class.
- 704 Large Particle-Zooplankton Encounter Rates: Encounter rates between zooplankton and large particles are estimated using a simple, geometric encounter rate. Zooplankton abundance 705 706 (individuals m<sup>-3</sup>) in 9 discrete depth intervals spanning 0-1000 m are calculated from MOCNESS 707 net tows (three day-night pairs on May 11, 17, and 26) conducted in the eddy core. At sea 708 samples from each depth interval were split using a Folsom plankton splitter and processed 709 using protocols described in refs. (77,78). Half of the sample was size-fractionated using nested 710 sieves (200, 500, 1000, 2000, and 5000 µm), rinsed onto pre-weighed 0.2 mm Nitex mesh 711 filters, and frozen at -20°C for dry biomass analysis. The dry weight biomass of each size 712 fraction for each depth interval (mg m<sup>-3</sup>) was determined by dividing the biomass by the 713 seawater volume filtered through the net. For the May 17 day-night pair, the other half of the 714 sample was size-fractionated using the same nested sieves then preserved in sodium borate-715 buffered 4% formaldehyde. These preserved samples were imaged with a ZooSCAN version 3
- 716 at 2400 dpi (79). Briefly, at least 1500 particles per size fraction were scanned after

- subsampling using a Motoda splitter (80). Raw images were processed in ZooProcess (81,82),
- then uploaded to EcoTaxa (60) for machine assisted identification and then manually validated.
- This dataset provides the abundance of zooplankton from each size fraction from each depth
- interval after accounting for fraction imaged and volume filtered. By dividing measured dry mass
- from the equivalent sample by this measured abundance, we can calculate the average size of
- a zooplankton in that size fraction and net. We assumed the same average size organisms for
- the May 11 and 26 day-night pairs to calculate zooplankton abundance from these tows.
- 724 Encounter rates are estimated assuming zooplankton within each depth interval are uniformly
- distributed using large particle abundances from the UVP and particle sinking speeds from Fig.
- 1c. The encounter cross section between zooplankton and large particles is assumed to be 1
- mm to take into account hydromechanical signal detection of particles by zooplankton (83).
- 728 Inverse Modeling Biotic Transformations of Small & Large Particles in the Mesopelagic: A
- simple inverse model (eq. 1) is used to assess the rates of transformation of large and small
- particle abundances in the mesopelagic via linear regression. The UVP-determined particle
- volume distributions are converted to conserved volumes following methods detailed previously.
- 732 Mean values and gradients over both depth and time are then calculated using the daily mean
- observations of the small and large conserved volumes for six temporal intervals spanning
- different portions of the experiment and four 50 m vertical intervals. Linear regression analysis is
- then applied to estimate the four parameters in the inverse model. This is repeated for each
- member of the ensemble and uncertainties are assessed as the standard deviation of theensemble of retrievals.
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- 986 Data Availability:
- 987 All EXPORTS data used here is archived at NASA's SeaWiFS Bio-optical Archive and
- 988 Storage System (SeaBASS) under the EXPORTS Experiment
- 989 (<u>https://seabass.gsfc.nasa.gov/experiment/EXPORTS</u>). Data collected during the
- 990 EXPORTSNA field expedition onboard the Sarmiento the Gamboa was archived under
- 991 the OTZ\_WHOI experiment (10.5067/SeaBASS/OTZ\_WHOI/DATA001) and cruise
- name SG2105. The intercalibrated UVP particle size distribution data set presented
- here is available at <a href="https://doi.org/10.31223/X58709">https://doi.org/10.31223/X58709</a>. To find out information about all
- 994 the data collected during the EXPORTS field campaigns, their data repositories and
- 995 availability, please visit: <u>https://sites.google.com/view/oceanexports/home</u>.

996 Supplementary Information:

#### 997



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Fig S1 - Satellite Chl and SSH of the eddy field with track of Lagrangian float and windstress time series showing ship work stoppages.



Supplemental Figure 2 - Vertical profiles of ratios of the fucoxanthin (biomarker pigment for diatom abundances) to total chlorophyll a concentrations (Fuco/Chl) and the silicate to nitrate concentrations (SiO<sub>4</sub>/NO<sub>3</sub>) in the eddy (blue) and outside the eddy (red) both before (May 4-7) and after (May 11-14) the first large storm. In the eddy is defined as stations located within 15 km of the analyzed eddy center and outside of the eddy is defined as those stations that are 15 to 60 km from the eddy center.

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- 1010 Table S1: Integrated POC and Particle Volume Inventories and Fluxes During Trap
- 1011 Deployments
- 1012

Trap deployment	Units	1	2	3	
Dates		May 5-11	May 12-15	May 22-24	
Integrated NPP	mmolC m <sup>-2</sup> d <sup>-1</sup>	119 120		53	
Integrated POC	mmolC m <sup>-2</sup>	829	812	788	
POC Turnover Time by NPP	day	7.0	6.7	14.9	
Trap Type & Depth		75 m STT 75 m STT		105 m NBST	
POC export	mmolC m <sup>-2</sup> d <sup>-1</sup>	11	9	22	
e-ratio	-	0.09	0.08	0.41	
POC Turnover Time by Export	day	75	90	36	
Integrated Particle Volume	ml m <sup>-2</sup>	421	1366	1279	
Particle Volume Flux @ 100 m	ml m <sup>-2</sup> d <sup>-1</sup>	69	435	1273	
Particle Volume Turnover Time	day	8.2	3.3	1.1	

1014 Notes: Determinations of water column integrated NPP rates from Table 1 in ref. (23) are 1015 averaged over the periods of the three trap deployment periods. Integrated POC stocks were 1016 calculated from available POC profiles water samplings profiles and averaged over the periods 1017 of the three trap deployments. Integrated POC export fluxes are determined using the 1018 shallowest depths available (Fig. 4b). E-ratios are calculated as the POC export flux at the base 1019 of the euphotic zone normalized by the NPP rate. Upper 100 m particle volume inventories and 1020 fluxes are calculated as in Figure 3d. Turnover times are calculated as the integrated POC or 1021 particle volume inventory in the upper 100 m divided by the export flux or for POC divided by 1022 NPP. STT is surface tethered trap array and NBST is Neutrally Buoyant Sediment Trap. 1023

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Figure S4: Upper layer contour of KE\_Diss from air-sea flux scaling relationships. Daily meanmixed layer depth is shown in the solid red line.





Figure S5: The ratio of large (> 5 mm) particle formation rate by turbulent shear to that by
differential sedimentation for particles in the 40–80 m depth-range.

1040 Table S2: Normalized Large Particle-Zooplankton Encounter Rates for the Mesopelagic

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	Zooplankton Abundances (# m <sup>-2</sup> )			Large (D> 0.51 mm) abundances (# m <sup>-3</sup> )			Normalized Encounter Rates		
Depth Interval (m)	May 17	May 26	May 17	May 17	May 17	May 26	May 11	May 17	May 26
200-300	10236	23243	2.0	2.0	2.0	23243	5.21x10 <sup>3</sup>	1.24x10 <sup>4</sup>	7.88x10 <sup>4</sup>
300-400	20724	23528	0.9	0.9	0.9	23528	3.58x10 <sup>3</sup>	4.30x10 <sup>4</sup>	6.34x10 <sup>4</sup>
400-500	18333	21585	0.6	0.6	0.6	21585	3.54x10 <sup>3</sup>	3.01x10 <sup>4</sup>	4.75x10 <sup>4</sup>

1042 Notes: Large particles are defined as those with D > 0.51 mm and zooplankton abundances are averaged from paired day-night tows 1043 (see *Methods*). Encounter rates are available for May 11, 17 and 26, all normalized to the vertical profile on May 11.