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### Fracture Mechanical Properties of Damaged and Hydrothermally Altered Rocks, Dixie Valley - Stillwater Fault Zone, Nevada, USA

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### 8 Key Points:

- Rock and fracture mechanical parameters of altered and damaged fault zone rocks differ
   significantly from those of the protolith.
- Silicification increases compressive strength, fracture toughness, and subcritical index relative to chlorite-calcite altered rocks.
- Sealing of >85-90% of microfractures by quartz and calcite is associated with strength recovery.

### 15 Abstract

16 Damaged and hydrothermally altered rocks are ubiquitous in fault zones, with the degree 17 of damage and type and intensity of alteration varying in space and time. The impact of damage and alteration on hydromechanical properties of fault zones is difficult to assess without 18 19 characterizing the associated changes to rock and fracture mechanical parameters. To evaluate 20 the mechanical properties of fault rocks from different alteration regimes, we conducted 1) 21 double-torsion load-relaxation tests to measure mode-I fracture toughness (K<sub>IC</sub>) and subcritical 22 fracture growth index (SCI), 2) uniaxial testing to measure unconfined compressive strength 23 (UCS) and static elastic parameters, and 3) mineralogic and textural characterization of rock 24 from four sites in the footwall of the Dixie Valley-Stillwater fault zone. Alteration at these sites 25 includes: acid sulfate alteration and silicification associated with active fumaroles, intense 26 silicification after calcite and chlorite alteration in an epithermal setting, quartz-kaolinite-27 carbonate alteration from an intermediate depth system, and a calcite-chlorite-hematite 28 assemblage containing abundant unhealed damage. Silicification is associated with high K<sub>IC</sub>, 29 SCI, UCS, and increased brittleness, and in precipitation-dominated settings produces fault cores 30 that are as strong or stronger than adjacent damage zone material. Calcite-chlorite-hematite 31 assemblages containing abundant unsealed microfractures are approximately 4-5 times weaker 32 than the granodiorite protolith. Mechanical properties are not predicted by mineralogical 33 composition alone; a key control is the accumulation of damage and degree of healing. Measures 34 of strength increase when mineral precipitation reduces microfracture porosity to <10-15% of 35 total microfracture area. These results show that fault-proximal weakening or strengthening is

36 influenced by hydrothermal setting.

### 37 **1 Introduction**

Fault-fracture networks contribute critically to fluid flow in low-porosity crystalline rock,
 impacting the distribution of heat, fluid, and minerals in the upper crust. Hydraulically

40 conductive faults and fault segments are characterized by well-developed damage zones

41 composed of opening-mode and sheared fractures (Brown & Bruhn, 1996; Caine et al., 1996;

- 42 Sibson, 1996; Nelson et al., 1999; Davatzes & Aydin, 2003; Davatzes et al., 2003; Eichhubl et
- 43 al., 2009; Anders et al., 2013). The occurrence of opening-mode fractures in and around fault
- 44 zones, and the evolution of these flow systems from single fractures to complex interconnected
- networks by fracture reactivation, propagation, and coalescence provide a fundamental control
   on the permeability evolution of faults and fault-controlled hydrothermal and epithermal systems
- 47 (Sornette, 1999; Davatzes et al., 2003; Davatzes et al., 2005; Blenkinsop, 2008).

48 Chemical controls on conduit evolution are well documented in field, experimental, and 49 numerical studies, with dissolution, precipitation, and chemical alteration impacting the 50 hydraulic properties of fault-facture networks (Summers et al., 1978; Moore et al., 1983; 51 Berkowitz, 2002; Eichhubl et al., 2004, 2009). These effects are particularly pronounced in 52 chemically reactive environments encountered in high temperature hydrothermal systems

53 (Lowell et al., 1993), where dissolution, advection, and precipitation of different mineral species

54 in response to thermal and chemical disequilibrium and water-rock reactions lead to the

- 55 development of distinct alteration assemblages (Facca & Tonani, 1967; Henley & Ellis, 1983;
- 56 Simmons et al., 2005; Tosdal et al., 2009; Sillitoe, 2010). Large regions of alteration are 57 commonly associated with active hydrothermal systems (Browne, 1978) and around fossil

57 conduits associated with magmatic and hydrothermal ore deposits (Henley & Ellis, 1983).

59 However, some degree of alteration is common in most fault zones, where fracture-enhanced

fluid flow and mechanical grain size reduction promote chemical reactions (Bruhn et al., 1994;

61 Solum et al., 2010).

62 Mineralogical and textural changes associated with fault zone damage, fluid flow, and 63 hydrothermal alteration result in hydromechanical properties that differ from those of relatively 64 pristine samples commonly used in geomechanical laboratory tests. For example, Seront et al. 65 (1998) showed a decrease in porosity and permeability of argillically altered fault core samples 66 collected from the Dixie Valley-Stillwater fault zone, Nevada, with cemented damage zone rocks 67 exhibiting a 7-115% increase in compressive strength. Wyering et al. (2014) and Siratovich et al. 68 (2014) reported higher UCS in calcite and quartz  $\pm$  propylitic altered volcanic rocks than in the 69 same rocks dominated by quartz and clay alteration in the Taupo Volcanic Zone, New Zealand. 70 Allen et al. (2017) showed damage, fluid-rock interaction, and mineralization near the principal 71 slip zone of the Alpine Fault, New Zealand, resulted in reduced seismic velocity and 72 permeability, and increased anisotropy of both properties, relative to intact country rock. Less 73 work addresses the impact of damage and alteration on the fracture mechanical parameters 74 fracture toughness (K<sub>IC</sub>) and subcritical fracture growth index (SCI) (Atkinson, 1984; Kobayashi 75 et al., 1986; Major et al., 2018), despite 1) the importance of fractures and fracture growth in 76 fault systems and 2) distinct differences between naturally altered and damaged fault rocks and 77 relatively pristine geomechanical materials.

- For opening-mode (mode-I) fractures, the stress intensity at the fracture tip,  $K_I$ , may be written as a function of remote applied stress,  $\sigma_r$ , fracture length or height, *a*, and fracture geometry, *Y* (Brown & Strawley, 1966):
- 81

$$K_I = \sigma_r Y \sqrt{a}$$
 Eq. 1

82 Mechanical fracture propagation occurs when K<sub>I</sub> reaches a critical threshold, known as fracture

toughness ( $K_{IC}$ ).  $K_{IC}$  is more influential during early fracture growth when fracture length, *a*, is

- small and K<sub>I</sub> is proportionally lower (Engelder et al., 1993). Cyclic loading and chemical
- 85 corrosion at the fracture tip can lead to fracture growth below the critical stress intensity
- 86 threshold, referred to as subcritical fracture growth. Subcritical fracture growth is quantified by
- 87 the SCI parameter, which describes the relationship between fracture propagation velocity and
- 88 stress intensity for fracture propagation below K<sub>IC</sub> in the empirically derived equation (Pletka et
- 89 al., 1979):

90

$$V = V^* \left(\frac{K_I}{K_0}\right)^{SCI}$$
 Eq. 2

91 where V is velocity,  $V^*$  is a constant, and  $K_0$  is a normalization factor. Subcritical fracture

- growth has been invoked to explain long-term strength of the crust (Anderson & Grew, 1977;
- Rudnicki, 1980; Brantut et al., 2013), and differences in SCI may influence fracture spatial
- arrangements (Olson, 1993, 2004). Together, K<sub>IC</sub> and SCI characterize key fracture mechanical
- 95 properties in a deforming rock volume.



96

97 Figure 1. Field sites in the Dixie Valley – Stillwater fault zone (DVSFZ), Nevada, include Dixie

98 Meadows fumaroles (DM), Dixie Comstock epithermal gold deposit (DC), the Mirrors (M), and

99 the Box Canyons (BC). Parts a-d show representative field photographs from each site. Active

100 hydrothermal circulation within the Dixie Valley – Stillwater Fault zone manifests as hot springs

and fumaroles, and is utilized for electricity generation in the Dixie Valley geothermal

102 production area (Berry et al., 1980; NREL, 2016). Quaternary faults after USGS and NBMG

103 (2010). Geology modified from Crafford (2007).

104 Here we present experimental measurements of K<sub>IC</sub> and SCI from double-torsion load-

relaxation (DT-LR) fracture mechanics tests of samples of hydrothermally altered and damaged

106 rocks collected from four sites in the Dixie Valley – Stillwater fault zone, Nevada (Figure 1,

- 107 Table 1). Sample sites represent a variety of hydrothermal settings, including: 1) shallow acid
- 108 sulfate alteration at Dixie Meadows fumaroles, 2) epithermal silicification after chlorite-calcite

- 109 alteration at Dixie Comstock, 3) quartz-kaolinite-carbonate alteration and cementation in the
- 110 "Mirrors" normal fault exposure, and 4) chlorite-calcite-hematite dominated assemblages at the
- 111 Box Canyons. Alteration and deformation histories at these sites record exhumation from
- different temperatures, depths, and hydrothermal conditions (Figure 2) constrained by mineral
- assemblages, mineral textures, fluid inclusion homogenization temperatures, and geologic
- relationships, and illustrate the relative impact of damage-dominated versus precipitation-
- dominated settings on fracture mechanical behavior. We demonstrate that hydrothermal alteration, previously recognized as an important factor influencing rock mechanical properties
- alteration, previously recognized as an important factor influencing rock mechanical properties, also impacts the fracture mechanical properties influencing initiation, growth, and coalescence in
- also impacts the fracture mechanical properties influencing initiation, growin, and coalescence in
- 118 fault-fracture networks.

Site <sup>a</sup>	ID <sup>b</sup>	Field name	IGSN <sup>c</sup>	Material and alteration				
DM	1	052615-3A	IECAL003L	tuff; minor argillic				
DNI	2	052615-3B	IECAL003M	fault breccia; cemented				
	3	083114-2A	IECAL0028	gabbroic; albite, minor chlorite				
	4	052815-3B	IECAL001I	gabbroic; chlorite				
DC	5	052815-3A	IECAL001H	gabbroic; calcite, chlorite				
	6	061114-4B	IECAL001W	fault breccia; silicified				
	7	052815-2	IECAL001G	fault breccia; silicified				
м	8	030914-1	IECAL003S	mafic plutonic; quartz, kaolin				
IVI	9	052715-7A	IECAL003T	fault breccia; cemented				
	10	052615-1A	IECAL0004	granodiorite				
	11	052615-1B	IECAL0005	granite				
BC	12	071813-3	IECAL000F	granite; damaged zone				
	13	071813-2	IECAL000E	damage zone; chlorite, calcite				
	14	052615-2	IECAL0006	damage zone; chlorite, calcite				

119 Table 1. Sample Naming and Descriptions

- <sup>a</sup> DM = Dixie Meadows. DC = Dixie Comstock. M = Mirrors fault zone. BC = Box Canyons.
- <sup>b</sup> Sample ID numbers used in the text, tables, and figures. <sup>c</sup> Searchable International Geo Sample
- 122 Number (<u>http://www.geosamples.org</u>).

123



124 Figure 2. Temperature-depth conditions during development of dominant alteration assemblages

125 at each site. DM = Dixie Meadows, DC = Dixie Comstock, M = Mirrors, BC = Box Canyons.

126 Temperature-depth constraints for each sample site are discussed in the text. Average

127 temperature in the producing Dixie Valley geothermal reservoir (DV) is  $\sim$ 248 °C at 2.5-3 km,

128 with recorded temperatures as high as 285 °C (Blackwell et al., 2007). Boiling point with depth

129 for pure water from Haas (1971).

### 130 2 Geologic Setting

131 The Dixie Valley – Stillwater fault zone is a north to northeast striking, east dipping 132 basin-bounding normal fault system in northwest Nevada, USA (Figure 1). The Stillwater Range 133 is composed of Triassic phyllite, Jurassic mafic igneous and volcanic rocks of the Humboldt 134 Igneous Complex and associated sedimentary facies, small Cretaceous granitic plutons, and 135 Oligocene plutonic and volcaniclastic sequences, and is capped by mid-Miocene basalt (Page, 136 1965; Speed & Jones, 1969; Speed, 1976; Dilek & Moores, 1995; John, 1995; Kistler & Speed, 137 2000). The basin hosts a series of buried, nested grabens with >1.8 km of Quaternary lacustrine 138 and alluvial fan deposits (Okaya & Thompson, 1985; Bell & Katzer, 1990). Post 8 Ma dip-slip 139 displacement in northern Dixie Valley is between 2.2-2.9 km (Okaya & Thompson, 1985) and 140 post-Oligocene slip in southern Dixie Valley may exceed 6 km (Thompson et al., 1967; Parry &

141 Bruhn, 1990).

142 The region has attracted attention in part due to its location at the northern end of the 143 Central Nevada Seismic Belt (Wallace, 1984; Wallace and Whitney, 1984; Bell et al., 2004). 144 Historic seismicity in the Dixie Valley region includes: 1903 Wonder (~M6.5?), 1915 Pleasant 145 Valley (Ms 7.6-7.8), the 1954 Rainbow mountain sequence (Ms 6.3-7.0), and the 1954 Fairview 146 Peak – Dixie Valley sequence (M 7.2 to 6.8) (Slemmons, 1957; Wallace, 1984; Bell and Katzer, 147 1990; Caskey et al., 1996; Caskey et al., 2004; Bell et al., 2004). Fault scarps from the 1954 148 Dixie Valley earthquake parallel the Stillwater Range through the southern portion of the field 149 area, with older Quaternary fault scarps located throughout the Dixie Valley – Stillwater fault 150 zone (Figure 1).

151 The Dixie Valley geothermal field in northern Dixie Valley nets ~56 MWe from ~248 °C 152 fluids hosted in faulted and fractured Miocene basalt and Jurassic and Cretaceous plutons at 153 ~2.5-3 km depth (Benoit, 1992, 2015; Blackwell et al., 2000, 2007). Smaller producing geothermal fields and prospective resources occur north and south of the main installation. 154 155 Surface hydrothermal manifestations include fumaroles and hot springs, and fossil sinter and 156 travertine deposits (Lutz et al., 2002). Older exhumed hydrothermal alteration assemblages 157 include regional sodic and calcic metasomatism of Jurassic mafic rocks (Dilek & Moores, 1995; 158 Johnson & Barton, 2000), syn-magmatic potassic and sericite alteration of Oligocene granitic 159 rocks (Parry et al., 1991; Bruhn et al., 1994; John, 1995), and retrograde, fault-related sericite, 160 chlorite-carbonate-hematite, quartz-kaolinite, smectite, zeolite, and silicic assemblages in local segments of the fault system (Power & Tullis, 1989; Parry et al., 1991; Vikre, 1993; Bruhn et al., 161 162 1994; Caine et al., 2010).

#### 163 **3 Methods**

164 3.1 Mineralogical and Textural Characterization

165 We evaluated bulk mineralogy of damaged and altered rocks with X-ray diffractometry

166 (XRD) using a Bruker D8 Advanced X-ray Diffractometer with LynxEye detector (Table 2).

- 167 Samples were prepared as randomly oriented spray-dried powders (Hillier, 1999) and scanned at
- 168 ~0.01° increments from 4-66° 2-theta for 1.5-2 hrs. Initial analysis of XRD spectra was
- 169 conducted with EVA software, followed by Rietveld analysis using TOPAS 4.2 software.
- 170 Methods and spectra are included in the archived data (Callahan, 2019).

Alteration products and reactants were further described with thin section petrography.
 Host rock porosity, microfracture porosity, and microfracture porosity occluded by mineral
 cements were measured using point counting of blue-epoxy impregnated thin sections at 120X
 magnification and approximately 400 points per sample (Table 3). Total microfracture area was
 calculated from the sum of points encountering microfracture porosity or cement. Thin sections

176 were oriented approximately perpendicular to the dominant structural fabric.

						Normalized wt%												
	Site	ID	Setting <sup>a</sup>	Cor spike <sup>b</sup> (wt%)	Cor <sup>c</sup> (wt%)	quartz	other feldspar	albite	amphibole	pyroxene	biotite	carbonate <sup>d</sup>	epidote	chlorite	muscovite	kaolin	other clay	oxides, sulfide
	DМ	1	dmg	10.14	10	50	38	_e	-	-	-	-	-	-	-	6	7	<1
_	DN	2	core	9.98	10	40	7	-	-	-	-	54	-	-	-	-	-	-
		3	dmg	10.00	9	10	30	46	-	-	-	<1	2	8	2	-	-	2
		4	dmg	9.98	9	2	33	26	-	-	-	1	1	32	4	-	-	-
	DC	5	dmg	10.16	9	1	36	21	-	-	-	19	-	22	-	-	-	1
		6	core	10.00	7	91	5	<1	-	-	-	-	-	4	-	-	-	<1
		7	core	10.00	6	97	-	<1	-	-	-	<1	-	3	-	-	-	<1
	м	8	dmg	10.00	10	49	-	-	-	-	-	8	-	-	3	40	-	<1
	IVI	9	core	10.00	10	60	-	-	-	-	-	10	-	-	2	28	-	<1
		10	proto	9.98	10	23	44	18	7	2	4	-	-	-	1	-	-	-
		11	proto	10.00	10	33	46	20	-	-	<1	-	-	-	1	-	-	<1
	BC	12	dmg	10.00	10	24	51	14	2	6	1	-	-	-	2	-	-	<1
		13	dmg	10.00	10	29	40	14	-	-	-	7	1	9	-	-	-	-
		14	dmg	10.00	10	19	46	21	-	-	-	2	<1	6	5	-	-	1

177 **Table 2**. Bulk Mineralogy from X-ray Diffraction of Spray Dried Powders

<sup>a</sup> Fault setting, proto = protolith, dmg = damage zone, core = fault core. <sup>b</sup> Corundum spike added

- 179 to milled samples. <sup>c</sup> Corundum in final Rietveld solutions <sup>d</sup> Predominantly calcite, except samples
- 180 8 and 9 which also contain Fe- and Mg- rich carbonates. ·- ' not included in final Rietveld
- 181 solution.

Site	ID	ID Setting		Setting Total porosity (%)		Fracture porosity (%)		Fi	ractur ement (%)	re t	Total fractured area (%)			Sealing (%)	n	
				+	-		+	-		+	-		+	-		
рм	1	dmg	7.1	2.4	1.9	0.0	0.7	0.0	0.7	1.1	0.5	0.7	1.1	0.5	100	434
DM	2	core	0.3	1.0	0.3	0.0	0.8	0.0	2.7	1.8	1.2	2.7	1.8	1.2	100	371
	3	dmg	0.7	1.2	0.5	0.7	1.2	0.5	5.3	2.3	1.8	6.0	2.4	1.9	89	383
	4	dmg	1.8	1.4	0.9	1.1	1.2	0.7	0.7	1.1	0.5	1.8	1.4	0.9	38	418
DC	5	dmg	0.7	1.1	0.5	0.0	0.7	0.0	3.6	1.9	1.4	3.6	1.9	1.4	100	451
	6	core	0.5	1.1	0.4	0.2	0.9	0.2	6.7	2.4	1.9	6.9	2.4	2.0	96	404
	7	core	1.6	1.5	0.9	0.8	1.3	0.6	11.8	3.1	2.6	12.6	3.1	2.7	93	381
м	8	dmg	0.6	1.0	0.5	0.4	0.8	0.3	2.8	1.5	1.1	3.2	1.6	1.2	88	504
IVI	9	core	0.3	0.6	0.2	0.0	0.5	0.0	5.0	1.6	1.3	5.0	1.6	1.3	100	659
	10	proto	0.0	0.7	0.0	0.0	0.7	0.0	0.2	0.9	0.2	0.2	0.9	0.2	100	410
	11	proto	0.5	1.0	0.4	0.3	0.9	0.3	3.0	1.7	1.2	3.3	1.8	1.3	92	428
BC	12	dmg	2.0	1.6	1.0	1.7	1.6	0.9	3.8	2.0	1.5	5.5	2.3	1.8	68	382
	13	dmg	5.6	2.2	1.7	5.1	2.1	1.6	14.3	3.1	2.7	19.4	3.5	3.1	74	418
	14	dmg	2.5	1.7	1.1	2.5	1.7	1.1	20.8	3.6	3.2	23.3	3.7	3.4	89	412

182 *Table 3. Sample Properties from Point Counting* 

183

3.2 Unconfined Compressive Strength and Static Elastic Characterization

184 We measured static elastic properties and unconfined compressive strength (UCS) with 185 uniaxial compressive strength tests using a GCTS rock mechanics system (Table 4). Plug 186 orientations were vertical (V), parallel to strike (H), down dip (D), or mutually perpendicular to 187 strike and dip (P) of local range front faults. Plug diameter was 25.4 mm and average plug length was approximately 53.0 mm. Loading was conducted at a preprogrammed axial strain rate of 188 0.055%/minute (~0.5 µm s<sup>-1</sup>). UCS is reported from peak, area-corrected load. Young's modulus 189 and Poisson's ratio were calculated from the middle portion of the loading curve where the 190 191 relationship between stress and strain was approximately linear. Complete load curves are 192 included in the archived data (Callahan, 2019).

S	ite	ID	Setting	UCS	S (MPa)	E	(GPa)		v	G	(GPa)	
				mean	± std dev	mean	$\pm$ std dev	mean	± std dev	mean	± std dev	n
г	м	1	dmg	62.4	10.3	15.1	0.8	0.10	0.06	6.9	0.0	2
		2	core	68.1	11.3	27.2	7.0	0.16	0.05	11.6	2.7	4
		3	dmg	-	-	-	-	-	-	-	-	-
		4	dmg	50.7	-	22.8	-	0.20	-	9.5	-	1
Ι	<b>)</b> C	5	dmg	148.0	-	48.2	-	0.22	-	19.7	-	1
		6	core	187.8	-	51.1	-	0.13	-	22.6	-	1
		7	core	286.5	12.7	62.8	1.6	0.11	0.02	28.3	0.3	2
	м	8	dmg	67.1	-	27.0	-	0.38	-	9.8	-	1
1	М	9	core	109.0	8.9	38.9	3.0	0.13	0.03	17.3	1.5	5
I	BC	10	proto	256.2	14.4	59.4	2.2	0.29	0.03	23.1	0.3	2

193 *Table 4.* Unconfined Compressive Strength and Static Elastic Properties

11	proto	99.8	20.8	40.0	5.6	0.24	0.04	16.1	1.7	2
12	dmg	-	-	-	-	-	-	-	-	-
13	dmg	-	-	-	-	-	-	-	-	-
14	dmg	51.1	5.5	17.6	2.2	0.25	0.10	7.0	0.4	3

194

#### 3.3 Double-Torsion Load-Relaxation Fracture Mechanics Testing

195 We used double-torsion load-relaxation (DT-LR) tests to measure  $K_{IC}$  and SCI using 196 multiple specimens of altered rocks. DT-LR tests were conducted by repeatedly propagating a 197 fracture down the axis of a specimen prepared as a thin rectangular wafer (Figure 3a). All 198 specimens were cut from the same blocks of material used for petrographic, mineralogic, and 199 mechanical characterization. Specimens were cut so the propagation directions of induced 200 fractures were typically vertical and/or parallel to the local structural grain, although this was 201 limited by poor material quality in some samples. Orientation information for each wafer is 202 included in the archived data (Callahan, 2019). Detailed descriptions of the testing apparatus, 203 method, and data reduction procedure used here can be found in Chen et al. (2017), and are 204 summarized below.

The DT testing apparatus consists of a base plate, specimen supports, a loading ram with internal force sensor, and a linear variable displacement transducer to record displacement (Figure 3b) K<sub>2</sub> at the frequencies of a base plate equation (Williams & Evens, 1073);

207 (Figure 3b). K<sub>I</sub> at the fracture tip was calculated using the equation (Williams & Evans, 1973):

208 
$$K_I = PW_m \sqrt{\frac{3(1+\nu)}{\varphi W t_n t^3}}$$
 Eq. 3

where P is load supported by a pre-fractured specimen,  $W_m$  is the moment arm of the DT apparatus,  $\nu$  is Poisson's ratio, W is specimen width, t is specimen thickness, and  $t_n$  is the

211 reduced thickness along an axial groove created by pulling the specimen across a recessed

212 diamond saw prior to testing (Figure 3c). If insufficient sample material existed for UCS tests, v

213 was estimated from similarly altered and damaged samples. The geometric correction factor,  $\psi$ ,

214 is based on individual specimen geometry (Fuller, 1979):

215 
$$\psi = 1 - 0.6302 \frac{2t}{w} + 1.2 \frac{2t}{w} e^{(\frac{-\pi W}{2t})}$$
 Eq. 4

We tested specimens with thickness (t), width (W), and length (L) dimensions of approximately 1.8 mm x 30 mm x 75 mm, respectively, meeting dimensional requirements of  $\sim 24t < 2W < L$  (Nara & Kaneko, 2005). Absolute specimen dimensions were similar to those used by Atkinson (1979b), Sano et al. (1992), and Chen et al. (2017) and were limited in part by sample size and load cell capacity. Pre-fractures were induced using the DT apparatus at low displacement rates (<1  $\mu$ m/s) until a distinct load drop was observed, indicating the formation of an edge crack.



223

Figure 3. Double-torsion test schematic, apparatus, and specimen geometry. a) Oblique view of specimen showing induced pre-fracture length ( $a_0$ ) and subsequent fracture growth increments during load-decay tests. Arrow indicates direction of fracture propagation. b) Double-torsion apparatus. c) Cross-section of double-torsion specimen, with load and support points (semicircles) and dimensions: W = width, W<sub>m</sub> = moment arm, t = thickness, t<sub>n</sub> = reduced thickness.

230 DT tests for fracture toughness were conducted on pre-fractured specimens at fast 231 displacement rates (180-220 µm/s) to total failure (Figure 4a). DT-LR tests for SCI were 232 conducted by loading pre-fractured specimens at low displacement rates (1-2 µm/s) until fracture 233 propagation was indicated by a rapid drop in supported load. Displacement was stopped, and the 234 load allowed to decay for 5-10 minutes. Ideal DT-LR test patterns included a high pre-fracture 235 load, a subsequent plateau region of lower peak loads and load-decay curves that were used to 236 calculate SCI, and a final load drop upon complete specimen failure (Figure 4b). A separate 237 estimate of fracture toughness (K<sub>IC</sub>\*) based on the stress intensity from peak loads in the plateau 238 region during slow displacement tests was evaluated as a proxy for K<sub>IC</sub>. Load and displacement 239 were recorded at 14 Hz for DT tests and 5 Hz for DT-LR tests. All tests were conducted under 240 ambient conditions at 23-24°C. Relative humidity was not measured for all tests, but commonly

ranged between 58-75% and could have contributed small variations to test results (Nara et al.,



243



Figure 4. Load and displacement patterns from DT tests. a) DT test pattern during rapid loading
to failure used to derive K<sub>IC</sub>. b) Slow loading, DT-LR tests used to derive SCI and K<sub>IC</sub>\*. SCI is
derived from load-decay cycles at constant displacement. K<sub>IC</sub>\* is derived from local load
maxima sustained at the start of each load-decay cycle.

Fracture propagation velocity was calculated from the load relaxation curve (Evans, 1972):

250 
$$V = -\phi \left(\frac{a_0 P_i}{P^2}\right) \frac{dP}{dT}$$
 Eq. 5

251 where  $a_0$  is initial fracture length, and  $P_i$  is load at the start of each load-decay cycle. The 252 correction factor for fracture front geometry,  $\phi$ , was assumed to be 0.2 (Williams & Evans, 1973; 253 Atkinson, 1979a; Chen et al., 2017). Because pre-fracture lengths were difficult to observe in 254 these materials, we followed Chen et al. (2017) and use  $a_0$  of 12.7 mm, with later cycles using  $a_n$ 255  $= a_0 + n^* 12.7$  mm, a nominal value that accounts for the average number of fracture growth 256 increments and specimen length. Variation of a<sub>0</sub> has limited impact on calculated fracture front 257 velocity (Chen et al., 2017). SCI was calculated from K-V curves using an in-house LabView 258 script for smoothing and fitting following derivations described in Holder et al. (2001). 259 Individual specimen dimensions, peak loads, K<sub>IC</sub>, K<sub>IC</sub>\*, derived SCI, and load-decay curves are 260 included in the archived data (Callahan, 2019).

#### 261 4 Sample Sites and Materials

- 262 4.1 Acid Sulfate Alteration and Silicification at Dixie Meadows Fumaroles
- 263 The Dixie Meadows fumaroles site is located near the northern terminus of the 1954
- 264 Dixie Valley fault rupture and west of Dixie Meadows Hot Springs (Figure 1). At this site,

- 265 fumarole-related alteration in Oligocene tuff is exposed in the footwall of the Dixie Valley –
- 266 Stillwater fault zone. Alteration products here include native sulfur, sulfate minerals, kaolin
- 267 group minerals, montmorillonite, calcite, and quartz (Kennedy-Bowdoin et al., 2004; Lamb et
- al., 2011; Schwering, 2013), a suite of minerals reflecting shallow acid sulfate alteration related
- to ongoing fumarole activity and boiling or near boiling conditions in the shallow subsurface
- 270 (Figure 2).

271



Figure 5. Photomicrographs of Dixie Meadows samples. Sample 1 (a, b) contains quartz (Qz),

- 273 hematite (Hem), kaolinite (Kln) alteration of Oligocene tuff in the damage zone at Dixie
- Meadows. Sample 2 (c, d) is a microquartz-cemented fault breccia with abundant calcite clasts
- 275 (Cal). Abbreviations after Whitney and Evans (2010).

276 We tested multiple plugs and DT specimens from two samples from Dixie Meadows 277 Fumaroles: a moderately altered tuff (sample 1), and a portion of exhumed fault core composed 278 of weakly silicified bladed calcite and microquartz (sample 2) (Figure 5, Table 2). Sample 1 is a 279 pale, non-welded, devitrified tuff, with partially dissolved feldspar phenocrysts. The dominant 280 mineral species are quartz and feldspar, with lesser kaolinite and undifferentiated clay. Vugs in 281 pumice are commonly filled with kaolinite, whereas vugs in partially dissolved feldspar grains 282 contain small euhedral quartz crystals. Hematite occurs as disseminated grains and as fracture 283 fill. Pores are typically smaller than 0.5 mm, with some secondary pores in dissolved grains 284 exceeding 2 mm. Total porosity is  $\sim$ 7.1%, and total microfracture area is low ( $\sim$ 0.7%) (Table 3). 285 Sample 2 is coarse-grained fault breccia, mineralogically and texturally dominated by multiple 286 generations of quartz and calcite, with minor hematite and sericite. Calcite occurs as bladed laths 287 and as disseminated, fine-grained, intergrowths with microquartz. Microquartz occurs as clasts 288 and as cement between clasts. Clast sizes range from 1 cm to <1 mm. Total porosity and total 289 microfracture area are low (0.3% and 2.7%, respectively).

290

4.2 Na-Ca Alteration and Silicification at Dixie Comstock Epithermal Gold Deposit

291 The Dixie Comstock epithermal gold deposit is located along a north-striking portion of 292 the Dixie Valley – Stillwater fault zone (Figure 1). Several temporally distinct episodes of 293 alteration are preserved in the Dixie Comstock area. Early and widespread sodic and calcic 294 alteration of the Jurassic Humboldt Igneous Complex (Dilek & Moores, 1995; Johnson & 295 Barton, 2000) is overprinted by aureoles of quartz, albite, sericite, kaolinite, and iron oxide 296 around apophyses of Cretaceous granite (Vikre, 1993). Alteration in the mine area is dominated 297 by silicification of the range front fault, with portions of the silicified fault core exceeding 2 m in 298 thickness and approaching 100% quartz. Silicification overprints and entrains earlier 299 assemblages. Intense silicification extends ~300 m north and south of the mine workings, with 300 quartz veins in the footwall and minor silicification of fault breccia extending 1.5 km along strike 301 and at least several hundred meters down dip. Bladed calcite (this study) and liquid- and vapor-302 rich inclusions (Vikre, 1993) indicate boiling conditions existed in shallow parts of the system, 303 and fluid inclusion microthermometry indicate temperatures between 160-180 °C (Vikre, 1993). 304 Boiling near 170 °C suggests exhumation from as shallow as 76 m (Haas, 1971) (Figure 2).

305 Dixie Comstock samples were obtained from a distal portion of the footwall (sample 3), 306 from the footwall behind the main mineralized deposit (samples 4 and 5), and from the silicified 307 fault core (samples 6 and 7) (Figure 6). Alteration reactions are dominated by selective 308 replacement of feldspars and mafic minerals with chlorite, calcite, and sericite and intense 309 silicification (Table 2). Sample 3 is a medium-grained gabbro, dominated by plagioclase and 310 altered mafic minerals. Alteration minerals include albite, chlorite, and minor quartz, epidote, 311 sericite, sulfides, oxides, and trace calcite. Plagioclase is partially albitized, and exhibits minor 312 chloritization and sericitization. Plagioclase laths are broken, but intragrain fractures have no 313 observable porosity. Total porosity is low (~0.7%) and total microfracture area is intermediate 314 (~6%). Samples 4 and 5 retain primary textures, but plagioclase and mafic minerals are 315 increasingly replaced by chlorite in sample 4 and by calcite in sample 5. Plagioclase laths are 316 cloudy. Calcite occurs as replacement and as thin cement lining fractures. Cataclastic zones 317 contain crushed plagioclase and calcite with hematite and pyrite. Sample 4 has low total 318 microfracture area (1.8%) and intermediate porosity (1.8%), whereas Sample 5 has higher total 319 microfracture area (3.6%) and lower porosity (0.7%) (Table 3). Samples 6 and 7 were collected 320 from different locations within the silicified fault core and reflect textural, mineralogical, and 321 mechanical variations observed in this material. These samples are dominated by fine-grained, 322 intergrown, microquartz with minor chlorite, feldspar, and plagioclase, and trace sericite, calcite, 323 and sulfides and oxides. Both samples contain evidence of multiple generations of brecciation 324 and quartz cementation in the form of broken and rounded clasts of earlier microquartz breccia. 325 Total microfracture area in Sample 6 is intermediate (6.9%) and porosity is low (0.5%). Total 326 microfracture area in Sample 7 is high (12.6%), but intense silicification has reduced porosity to 327 1.6%. In both samples, porosity is restricted to quartz lined and nearly occluded vugs and 328 partially quartz- or calcite-cemented fractures.



329

Fault core

330 Figure 6. Photomicrographs of Dixie Comstock samples (3-7). Dixie Comstock samples show progressive alteration from background

- propylitic and Na-Ca altered gabbroic rocks through increased calcite-chlorite alteration and late silicification. Sample 3 (a, b) with 331 chlorite (Chl), calcite (Cal), and epidote (Ep) after mafic minerals, sericite (Ser) and albite (Ab) in Ca-rich plagioclase (Pl), and 332
- 333 secondary quartz from a distal portion of the damage zone. Samples 4 (c, d) and 5 (e, f) record increasing chloritization and

334 calcification of plagioclase and mafic minerals and calcite-filled fractures near the mineral deposit. Fault core samples 6 and 7 (g-j)

335 contain massive silicification, abundant quartz-filled fractures, and relict altered grains.

336 4.3 Quartz-Kaolinite-Carbonate Alteration at the "Mirrors" Fault Zone Exposure

337 The Mirrors site is located along a northeast-striking section of the Dixie Valley – 338 Stillwater fault zone southwest of the producing geothermal field (Figure 1). The 339 protoliths at the Mirrors are intrusive and extrusive components of the Jurassic Humboldt 340 Igneous Complex (Page, 1965; Speed, 1976; Dilek & Moores, 1995). Alteration includes 341 regional sodic, calcic, and chlorite alteration, with later kaolinite, carbonate, and quartz 342 after mafic minerals and feldspars in well-developed fault damage zone and core (Lutz & 343 Moore, 1996; Caine et al., 2010). Physical conditions during the dominate phase of 344 alteration are constrained by post-Miocene exhumation of <2 km (Power & Tullis, 1989, 345 1992) and the occurrence of quartz with kaolinite indicating temperatures <270 °C

- 346 (Figure 2). Ferroan dolomite, chalcedony, goethite, and barite (Lutz & Moore, 1996) and
- 347 chalcedony with kaolinite (this study) indicate that at least some alteration occurred at
- 348 temperatures <180 °C.



349

**Figure 7**. Photomicrographs of Mirrors samples (8 and 9). Sample 8 (a, b) includes

351 replacement of feldspars by kaolinite (Kln), amphiboles by ankerite and calcite (Cb),

- hematite (Hem) and abundant quartz cement (Qz) in the fault damage zone. The
- 353 occurrence of kaolinite with chalcedony indicates alteration continued <180 °C. Sample 9
- 354 (c, d) records multiple cycles of deformation and cementation by calcite, ankerite, and355 quartz in the fault core.

Samples from the Mirrors include altered and moderately silicified fault damage
zone (Sample 8) and fault core material (Sample 9) (Figure 7, Table 2). Sample 8 is an
argillic-silicic altered fine to medium grained mafic plutonic rock. Mineralogy is
dominated by quartz and kaolinite, with lesser carbonate (calcite, ankerite), sericite, and
trace hematite. Primary magmatic textures are cryptic, with kaolinite replacing feldspars,

calcite replacing amphibole, and degraded pyroxene. Quartz is dominantly fine grained, 361 362 intergrown microquartz, with rare chalcedony-lined, kaolinite-filled pockets. Carbonate 363 occurs as small (<50 µm), disseminated grains, as fill in thin, discontinuous fractures, and replacing amphibole grains <2 mm long. Sample 9 was obtained from the cemented fault 364 365 core. Sample texture is heterogenous at the thin section scale. Cement is dominantly fine 366 grained, interlocking microquartz, with angular to sub-angular clasts <2 cm long of 367 broken calcite and ankerite veins. Macroscopic textures indicate repeated brecciation, 368 alteration, and cementation during exhumation, with little primary texture preserved. 369 Kaolinite, sericite, and calcite occur in the matrix, with some sericite and carbonate 370 replacing clasts. Samples 8 and 9 both contain intermediate total microfracture area (3.2 371 and 5.0%, respectively), and low total porosity (0.6 and 0.3%), similar to porosity 372 between 0.3-0.4% previously measured in Mirrors fault core samples (Seront et al., 373 1998).

374

4.4 Chlorite-Calcite-Hematite Alteration and Damage at the "Box Canyons"

375 The Box Canyons site is located along a portion of the Dixie Valley - Stillwater 376 fault zone that ruptured in the 1954 Fairview Peak – Dixie Valley earthquake sequence 377 (Figure 1). Host lithology is Oligocene-Miocene granite and granodiorite (John, 1995). 378 Fault-proximal alteration records progressive exhumation, with early, deep, potassium 379 feldspar-biotite to chlorite-calcite-hematite ±epidote alteration, sericite-quartz-kaolinite-380 smectite and zeolite alteration (Parry et al., 1991; Bruhn et al., 1994). Oligocene-Miocene 381 K-Ar ages from sericite are coeval with magmatism (Parry et al., 1991). Alteration 382 occurred across a range of depth and temperature conditions (Figure 2). Mineral 383 equilibrium and fluid inclusion studies indicate potassic alteration occurred at <6 km and 384 300-350 °C (Parry & Bruhn, 1990; Parry et al., 1991). Epidote, chlorite, calcite 385 assemblages record alteration >240 °C. Parry et al. (1991) reported homogenization 386 temperatures in fluid inclusions in microfractures in quartz as low as 180-190 °C and stilbite in outcrop, suggesting alteration continued <140 °C and <2.5 km, although we did 387 388 not observe zeolite locally.

389 Box Canyon samples (10-14) record increasing alteration and damage of granite 390 and granodiorite (Figure 8, Table 2). Background samples include two plutonic phases: a 391 less altered granodiorite (Sample 10) and a more altered granite (Sample 11). Quartz 392 grains in sample 10 contain minor deformation. Plagioclase laths up to 1.5 mm in length 393 contain small, uneven fractures, but no rotation and only minor sericitization. Biotite and 394 amphibole are relatively pristine. All crystals are intergrown, with little interstitial space, 395 no observed porosity, and low total microfracture area (0.2%). Feldspars in Sample 11 396 contain patches of albite, and cloudy, vacuolized cores. Damage includes transgranular 397 fractures with minor, early hydrothermal biotite. Total microfracture area is intermediate 398 (3.3%) with low porosity (0.5%). Sample 12 was collected near the range front fault, but 399 away from the chlorite-calcite-hematite altered area. Hydrothermal biotite replaces mafic 400 minerals and occurs as fracture fill in orthoclase. Feldspars and some plagioclase laths 401 contain cloudy, vacuolized cores, large sericite grains, and increased albitization. Porosity 402 (2%) is comparable to 1.2% previously reported for Box Canyon damage zone samples 403 (Seront et al., 1998), and occurs in microfractures and in partially dissolved feldspars. 404 Total microfracture area is intermediate (5.5%). Samples 13 and 14 were both collected

- 405 from the most altered area in the Box Canyons site, the "ultra damaged carapace" of
- 406 Seront et al. (1998), and contain among the highest microstructural heterogeneity at the
- 407 thin section and specimen scale. Alteration minerals include carbonate and chlorite, with
- 408 lesser sericite, epidote, and sulfides and oxides. Damage includes multiple cross-cutting
- 409 cataclastic bands, broken grains, and thin, partially calcite- and hematite-filled fractures
- 410 (Figure 8). Total microfracture area is high (19.4-23.3%). Porosity (2.5-5.6%) occurs in
- 411 late, partially calcite-filled fractures, and at the edges of cataclastic bands.



413 Figure 8. Photomicrographs from Box Canyon samples (10-14). Alteration in background granodiorite (Sample 10; a, b) and granite

- 414 (Sample 11; c, d) includes minor sericitization (Ser) of feldspar (Fld) and albitization (Ab) of plagioclase (Pl). Damage zone Sample 415 12 (e, f) contains relict amphibole (Amph), sericite (Ser), and increased microfracture porosity. Samples 13 and 14 are obtained from
- 416 the most altered and damaged area at the Box Canyons and include extensive replacement of plagioclase with calcite (Cal) and
- 417 chlorite (Chl), partially calcite- and hematite- (Hem) cemented fractures, open fractures, and cataclastic (g-j). Damage increases from
- 418 isolated open fracture to cataclastic bands (g) and intense brecciation or fragmentation of grains and veins (i).

419

#### 420 5 Experimental Results and Analysis

#### 421 5.1 UCS and Static Elastic Properties

We conducted UCS tests on 24 plugs from 11 samples of crystalline rock with varying types and degrees of alteration and damage (Table 4, Figure 9). The number of successful repeat tests was limited in some samples by the amount and quality of sample material. When multiple orientations were tested, strength and elastic measurements from plugs with different orientations were generally within error of one another (archived data and Supplemental Figure S1).

428 Samples with the highest UCS and Young's modulus include minimally altered 429 granodiorite (Sample 10), silicified samples (6 and 7), and the calcified Sample 5. Silicified samples have the highest compressive strength (up to 286.5 MPa) and Young's 430 431 modulus (62.8 GPa), and among the lowest Poisson's ratios (0.11-0.13). The strength of 432 the silicified epithermal material is greater than minimally altered granodiorite collected 433 from the Box Canyons site, and six times higher than the weakest altered and damaged 434 samples. The weakest materials contain disseminated chlorite and calcite alteration and a 435 higher percentage of open and partially cemented microfractures.



436 Increasing alteration, damage --> fault core

Figure 9. Structural setting, alteration, UCS, and Young's modulus (E) for samples from
each site. The Box Canyons site is dominated by increasing fault-proximal damage,
resulting in decreased strength, whereas the epithermal environment at Dixie Comstock
is associated with silicification of the fault core and significant increases in compressive
strength and Young's modulus.

442 5.2 Fracture Mechanical Properties

443 We calculated K<sub>IC</sub> for 13 samples (6-21 specimens per sample) using rapid 444 displacement to total failure (Table 5), and K<sub>IC</sub>\* for 13 samples from 337 plateau loads (6-73 per sample) during slow displacement DT-LR tests (Table 6). Twelve samples were 445 tested for both K<sub>IC</sub> and K<sub>IC</sub>\*. Despite experimental work indicating loading rate 446 447 dependence on  $K_{IC}$  in some materials (Atkinson & Meredith, 1987), we observed no 448 consistent difference between fracture toughness calculated from rapid or slow 449 displacement tests (Figure 10). The average standard deviation for  $K_{IC}$  is 21%. When measurements of K<sub>IC</sub> are combined with measurements of K<sub>IC</sub>\*, the average standard 450

deviation is reduced to 17%. All figures use K<sub>IC</sub> from rapid displacement tests unless
 otherwise noted.

453 We observed significant variation in  $K_{IC}$  between background and more damaged, altered, and cemented fault core and fault proximal material (Figure 11). The highest 454 maximum K<sub>IC</sub> (3.84 MPa $\sqrt{m}$ ) and the highest mean K<sub>IC</sub> (3.20 MPa $\sqrt{m}$ ) were measured in 455 silicified samples from Dixie Comstock. These K<sub>IC</sub> values are greater than relative 456 457 pristine granodiorite collected from the Box Canyons site (2.08 MPa $\sqrt{m}$ ) and as much as 458 six times higher than the weakest altered and damaged samples (0.56 MPa $\sqrt{m}$ ). Moderate 459 silicification (50-60 wt% quartz) in fault core material from the Mirrors is associated with 460 intermediate K<sub>IC</sub> values similar to unaltered granodiorite. The transect from least altered 461 granodiorite to damage zone samples at the Box Canyons shows a reduction in K<sub>IC</sub> from 462 >2.0 to <0.7 MPa $\sqrt{m}$ . Similarly altered plutonic rocks from both Dixie Comstock and the 463 Box Canyons, characterized by minor chlorite, calcite, hematite, ±epidote alteration and 464 unhealed damage had similarly low  $K_{IC}$  (~0.7 MPa $\sqrt{m}$ ). A positive correlation between K<sub>IC</sub> and UCS (Figure 12) is consistent with the underlying mechanism of failure in UCS 465 tests, microfracture growth and coalescence, which is in turn influenced by K<sub>IC</sub>. 466

S:to	ID	D Setting			Fractur	re Toug	ghness (MPa√	m)		
Site	ID ID	Setting	Minimum	Q1 <sup>a</sup>	Median	Q3 <sup>b</sup>	Maximum	Mean	$\pm$ std dev	n
DM	1	dmg	0.45	1.15	1.25	1.45	1.87	1.24	0.29	21
DM	2	core	0.75	0.90	1.17	1.47	1.54	1.16	0.31	12
	3	dmg	0.25	0.55	0.73	0.84	0.95	0.68	0.20	14
	4	dmg	0.30	0.46	0.60	0.66	0.70	0.56	0.13	8
DC	5	dmg	1.81	1.94	2.13	2.25	2.48	2.12	0.23	7
	6	core	1.84	2.38	2.77	2.93	3.39	2.67	0.44	10
	7	core	2.88	2.93	3.07	3.45	3.84	3.20	0.33	10
м	8	dmg	1.86	1.99	2.20	2.33	2.66	2.20	0.27	6
IVI	9	core	1.11	1.92	2.09	2.24	2.47	1.98	0.40	15
	10	proto	1.53	1.85	2.01	2.27	2.79	2.08	0.32	16
	11	proto	0.33	0.83	0.99	1.22	1.41	1.01	0.26	19
BC	12	dmg	0.74	0.97	1.12	1.20	1.34	1.07	0.18	10
	13	dmg	-	-	-	-	-	-	-	-
	14	dmg	0.31	0.43	0.62	0.85	1.24	0.67	0.28	11

467 **Table 5**. K<sub>IC</sub> from Rapid Displacement DT Testing

468 <sup>a</sup> First quartile. <sup>b</sup> Third quartile.

469 **Table 6**. K<sub>IC</sub>\* from Fracturing Plateau Loads During DT-LR Tests

Sito	m	Setting	Peak Stress Intensity (Slow Loading) (MPa√m)											
Site	ID	Setting	Minimum	Q1	Median	Q3	Maximum	Mean	$\pm$ std dev	n				
DM	1	dmg	1.02	1.11	1.16	1.20	1.31	1.16	0.08	26				
	2	core	1.16	1.31	1.46	1.57	2.05	1.46	0.21	16				
	3	dmg	0.22	0.53	0.73	0.90	1.08	0.70	0.27	12				
DC	4	dmg	-	-	-	-	-	-	-	-				
	5	dmg	1.04	1.35	1.85	2.01	2.30	1.77	0.39	20				

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	6	core	2.60	2.90	3.00	3.08	3.49	3.01	0.18	25
	7	core	1.82	2.79	2.93	3.10	3.58	2.94	0.36	21
м	8	dmg	1.74	1.90	1.99	2.12	2.43	2.01	0.15	36
IVI	9	core	1.17	1.56	1.84	1.96	2.69	1.79	0.32	73
	10	proto	1.61	1.81	1.83	2.03	3.07	2.02	0.41	16
	11	proto	0.96	1.09	1.17	1.30	1.45	1.18	0.15	24
BC	12	dmg	1.23	1.35	1.47	1.56	1.71	1.46	0.16	6
	13	dmg	0.35	0.48	0.51	0.58	0.74	0.53	0.13	13
	14	dmg	0.44	0.54	0.62	0.70	0.79	0.62	0.11	18

470

471 We calculated SCI for 13 samples, using 4 to 19 specimens per sample (Table 7). 472 In some tests, induced fractures propagated out of the axial groove, either due to 473 interaction with existing microstructures or improperly balanced loading. These decay 474 curves were not included in SCI calculations. The number of selected decay curves per 475 sample ranged from 1 to 53. The minimum and maximum standard deviations were 23-476 38%, with an average standard deviation for SCI  $\sim$ 30%. The highest mean SCIs were 477 measured in cemented fault core samples from Dixie Meadows, Dixie Comstock, and the 478 Mirrors (92.3 - 144.6). The Dixie Comstock samples show a systematic increase in SCI 479 with alteration and cementation, from low background values to higher values in the 480 silicified fault core. The range of mean SCI in fault damage zone material is smaller 481 (51.6-76.0). Plots of stress intensity versus velocity (K-V) show 1) fracture propagation 482 at lower stress intensities in weaker material and 2) steeper K-V curves in more altered, 483 fault proximal material with greater microstructural complexity (Figure 11). Calculated 484 fracture growth velocities (Eqs. 2 and 5) are generally between  $10^{-5}$  to  $10^{-7}$  m/s. This 485 range in fracture propagation velocity is similar to values calculated for rocks under 486 ambient conditions by other researchers (Atkinson, 1979a; Wilkins, 1980; Swanson, 487 1984; Nara & Kaneko, 2005; Chen et al., 2017) and is in part limited by sampling rate 488 and higher signal to noise ratios at slower propagation velocities.

Site	ID	D Setting -		SCI									
Site	ID	Setting	Minimum	Q1	Median	Q3	Maximum	Mean	± std dev	n			
DM	1	dmg	15.2	48.1	60.2	68.9	111.6	59.8	21.9	27			
DM	2	core	67.6	77.0	89.5	109.1	122.3	92.9	19.9	6			
	3	dmg	36.8	37.0	50.0	50.9	83.3	51.6	17.0	5			
DC	4	dmg	-	-	-	-	-	-	-	-			
	5	dmg	31.8	64.4	74.7	85.3	141.7	74.3	24.8	18			
	6	core	54.9	107.0	123.7	145.4	182.9	123.7	31.9	24			
_	7	core	72.4	114.0	142.9	175.4	202.1	144.7	38.5	15			
м	8	dmg	39.7	68.0	89.4	110.7	166.5	92.3	31.0	31			
IVI	9	core	35.8	68.0	98.2	111.5	172.2	94.4	30.2	53			
	10	proto	34.9	50.5	58.7	65.2	83.8	59.0	13.4	10			
BC	11	proto	43.1	60.9	74.2	89.6	109.4	74.9	18.7	16			
	12	dmg	29.1	51.3	64.1	71.2	81.5	60.3	16.8	8			

489 Table 7. Subcritical Fracture Growth Index (SCI) from DT-LR Tests



491 **Figure 10**. Relationship between  $K_{IC}$  and  $K_{IC}^*$ . Mean  $K_{IC}$  and  $K_{IC}^*$  from rapid and slow

- 492 displacement tests, respectively, are within error of one another internally and fall along a
- 1:1 line as a group (Tables 5 & 6). Samples identified by numbers. Sample 13 was not
- 494 tested with rapid loading and Figures 11-13 show  $K_{IC}^*$  for this sample. Sample 4 was not
- 495 tested with slow loading. Error bars are standard deviations of n samples.





**Figure 11**. Structural setting, alteration, K<sub>IC</sub>, SCI, and representative K-V curves for each

498 site. Box plots for  $K_{IC}$  and SCI show the complete range of DT test results (Tables 5 &

499 7). Alteration increases from left to right at each site, with increasing alteration

500 represented by heavier K-V curves and warmer colors. Numbers match sample IDs

501 discussed in the text.  $K_{IC}^*$  is plotted for Sample 13. Alteration and unhealed damage at

502 the Box Canyons (BC) result in a decrease in toughness, and a subsequent shift left of the

503 K-V curves. Healing by silicification (±calcite) at the other sites results in an increase in 504 toughness and SCI values in and near the fault core.



Figure 12. Relationship between K<sub>IC</sub> and UCS. Positive correlation between mean K<sub>IC</sub>
 and mean UCS suggests microfracture growth is an underlying failure mechanism in
 UCS tests. Samples identified by number. Errors bars show standard deviation of n
 samples.

#### 510 6 Discussion

505

511 6.1 Mechanical Properties in Altered vs. Pristine Rocks

512 We observed both increases and decreases in K<sub>IC</sub>, SCI, UCS, and elastic 513 properties in damaged, altered, and cemented fault zone material. Mean K<sub>IC</sub> in relatively 514 unaltered granodiorite from the Box Canyons is similar to reported values of  $K_{IC}$  from 515 Westerly granite (1.74 MPa $\sqrt{m}$ , Atkinson, 1984; 1.79 ±0.02 MPa $\sqrt{m}$ , Meredith & 516 Atkinson, 1985;  $1.43\pm0.05$  MPa $\sqrt{m}$ , Nasseri et al., 2009). SCI is similar to reported 517 values for Lac du Bonnet granodiorite (55.9, 58.5, Wilkins, 1980; 56, Lajtai & Bielus, 518 1986) and Westerly granite (35.9-39, Atkinson, 1982; 69, Swanson, 1984). However, the 519 most altered and damaged granitic and gabbroic rocks in our dataset show a significant 520 reduction in  $K_{IC}$  (<0.7 MPa $\sqrt{m}$ ), and silicification resulted in significant increases in 521 mean K<sub>IC</sub>, SCI, and UCS (up to 3.2 MPa $\sqrt{m}$ , 144.7, and 286.5 MPa, respectively). 522 Silicification at the Dixie Comstock site corresponds to an approximately 600% increase 523 in strength over the weakest footwall samples.

524 The observed fracture mechanical values in altered and damaged samples are 525 within ranges previous measured in rocks in general (Atkinson, 1984; Swanson, 1984), but rock type is a poor predictor of these properties. K<sub>IC</sub> in silicified material is similar to 526 both "black gabbro" (2.88 MPavm, Atkinson, 1984; 2.9 MPavm, Atkinson, 1982; 2.71-527 3.03 MPa $\sqrt{m}$ , Meredith & Atkinson, 1985) and quartzite (2.1-2.65 MPa $\sqrt{m}$ , Atkinson, 528 529 1984). Novaculite, which is mineralogically similar to the most silicified samples, has less than half of the strength (K<sub>IC</sub> of 1.335  $\pm 0.075$  MPa $\sqrt{m}$ ) and lower SCI (25.1) 530 531 (Atkinson, 1980). These findings suggest that experimental analogs based solely on rock 532 type or mineralogy are likely to be inadequate.

533 Instead, the reduction in toughness we observed in altered and damaged fault 534 proximal samples is comparable to strength reductions measured in other naturally and 535 experimentally damaged material. Meredith and Atkinson (1985) and Nasseri et al. 536 (2009) measured reduced K<sub>IC</sub> in thermally treated Westerly granite containing

537 experimentally induced microfractures. Siratovich et al. (2014) observed a factor of four

reduction in UCS with a tripling of connected porosity in volcanic rocks from the Taupo

539 hydrothermal field, New Zealand. Pola et al. (2014) reported a 45-50% reduction in UCS

and Young's modulus in altered and weathered samples from dissolution-dominated

- volcanic environments. Heap et al. (2015) reported a correlation between increasing
   connected porosity and reduced compressive strength for lava and tuff with different
- 543 degrees of advanced argillic (acid sulfate) alteration from the White Island volcanically-
- 544 hosted hydrothermal system, New Zealand.
- 545 Despite the occurrence of some degree of damage and alteration in most fault 546 systems, systematic investigations of the impact of alteration on fracture mechanical 547 properties are limited. Atkinson (1984) included a reference to dunite and serpentinized dunite, with serpentinization resulting in a >50% reduction in K<sub>IC</sub>, from 3.74 to 1.39 548 549 MPa $\sqrt{m}$ . Kobayashi et al. (1986) reported low K<sub>IC</sub> in Ogino tuff (~0.7 MPa $\sqrt{m}$ ); the smectite and zeolite altered tuff samples they tested had a lower density, lower UCS, and 550 551 lower E than the material that we tested, possibly reflecting a greater degree of argillic 552 alteration. Major et al. (2018) reported bleached sandstone from the Crystal Geyser 553 system, Utah, USA, has a lower K<sub>IC</sub> than adjacent hematite-cemented sandstone.

554 In the materials that we tested, filling of 85-90% of fracture porosity with mineral 555 cement is associated with a significant increase in all measures of toughness (UCS, K<sub>IC</sub>, SCI) (Figure 13). The observation that damaged rocks can regain significant strength and 556 557 resistance to fracture growth is consistent with other researchers that observed 558 strengthening resulting from mineral precipitation (quartz, Yasuhara, 2005; alunite, del Potro & Hürlimann, 2009, and Heap et al., 2015; phyllosilicate and calcite, Boulton et al., 559 560 2017; hematite and calcite, Major et al., 2018). Our sample base is too small to address 561 the differences in strength recovery resulting from specific fracture cement composition, 562 texture, or distribution. However, the addition of fracture-filling mineral cements in 563 particular hydrothermal settings will clearly impact the distribution of rock strength in hydrothermal systems. 564

565



566

567 **Figure 13**. Mechanical properties and fracture fill. Abundant open microfractures results 568 in mechanically weak samples. Cementation, indicated by reduction of remnant fracture 569 porosity to <15%, results in substantial increases in K<sub>IC</sub>, SCI, and UCS.

570

6.2 Implications for Strength Distribution in Fault-Hosted Hydrothermal Systems

571 Just as hydrothermal systems commonly contain systematic spatial variations in 572 alteration products related to temperature, fluid chemistry, and fluid-rock ratios 573 (Simmons et al., 2005; Tosdal et al., 2009; Nishimoto & Yoshida, 2010; Sillitoe, 2010), 574 the spatial distribution of mechanical properties in and around fault-fracture conduits is 575 expected to change with the dominant alteration mechanism and from competition 576 between the accumulation of damage versus healing. Where precipitation of strong 577 minerals outpaces deformation, fault rocks will experience interseismic strengthening. 578 Conversely, regions with a lower rate of mineral precipitation relative to deformation will 579 tend to undergo progressive weakening. A comparison of the Dixie Comstock and Box 580 Canyons results suggests a depth-dependent inversion between the mechanical properties 581 of fault rocks and host rocks in fault-hosted hydrothermal systems (Figure 14). In the 582 shallow, precipitation-dominated epithermal setting at Dixie Comstock, samples from the 583 thick, silicified fault core are up to six times stronger than chloritized footwall samples 584 and more resistant to fracture growth. The exhumed chlorite-calcite-hematite assemblage 585 preserved at the Box Canyons shows a reduction in UCS and K<sub>IC</sub> near the fault. The 586 reduction in fracture mechanical properties with depth, in particular, could be 587 exacerbated by increasing temperature and changes in fluid chemistry (Atkinson, 1979a; 588 Atkinson & Meredith, 1981; Meredith & Atkinson, 1985; Karfakis & Akram, 1993; 589 Balme et al., 2004; Funatsu et al., 2004; Rostom et al., 2012; Nara et al., 2013, 2014, 590 2017). However, chemically aided fracture growth is sensitive to rock composition, and 591 has not been thoroughly characterized in similarly altered fault rocks.





593 Figure 14. Schematic mechanical properties with depth in a fault hosting advective, high 594 temperature fluid flow. Inset shows upward migration of fluid, boiling, and rapid cooling, 595 resulting in mineral precipitation. Measures of strength and resistance to fracture 596 propagation (UCS, K<sub>IC</sub>, SCI) are generally lower in the fault core than in adjacent rock, 597 but in shallow, precipitation dominated hydrothermal regimes cementation of the fault 598 core by silicification (±calcification) increases rock strength relative to less cemented 599 damage zone and protolith. Increased dissolution in the near surface acid sulfate 600 environment is associated with a loss of strength.

601 The distribution of mechanical properties with depth in hydrothermal systems 602 may have implications for fault zone architecture and hydraulic properties. In portions of 603 hydrothermal systems dominated by dissolution and the accumulation of unhealed 604 damage, we expect deformation to become increasingly localized. In contrast, rapid 605 advection, cooling, and enhanced precipitation in shallow portions of fault-hosted 606 hydrothermal systems, where conditions approach the boiling point with depth curve (Figures 2, 14), may inhibit fracture growth in the primary conduits and promote fracture 607 608 growth in adjacent material, ultimately contributing to large volumes of fractured rock 609 and distributed alteration in shallow portions of these systems.

- 610 6.3 Broader Impacts of Alteration, Damage, and Healing
- 611 Hydrothermal alteration and the preservation or healing of damage impacts
- 612 several other geologic systems of particular interest to society, including mineral
- 613 deposits, volcano-magmatic systems, and fault systems more generally. Moir et al. (2013)
- 614 showed that dilatant damage around mineralized fault zones could be related to

contrasting mechanical properties in different host lithologies, a correlation which was 615 616 also observed around the Alpine Fault in New Zealand (Williams et al., 2016). Our results suggest that the distribution of fracture strength parameters, fracture growth, and 617 618 fracture permeability may be heterogeneous in both space and time around mineralizing 619 faults due to mechanical changes resulting from hydrothermal alteration. Rock 620 weakening caused by acid sulfate and argillic alteration in volcanic edifices is linked to 621 flank collapse (Lopez & Williams, 1993; Watters et al., 2000; Reid et al., 2001; del Potro 622 & Hürlimann, 2009). However, cementation by quartz or alunite in these environments may result in local densification and strengthening, with physical and mechanical contrast 623 624 between different alteration products potentially influencing where flank collapse 625 ultimately occurs. Opening-mode fractures are fundamental parts of fault initiation in 626 crystalline rock (Crider, 2015) and persistent elements in damage zones in mature fault 627 systems (Wilson et al., 2003; Davatzes et al., 2005). Subcritical fracture growth in 628 damage zones has been implemented in pre-and post-seismic behavior of fault systems 629 (Anderson & Grew, 1977; Rudnicki, 1980; Brantut et al., 2013). However, because some 630 degree of hydrothermal alteration is common in large seismogenic faults (e.g. Parry et al., 631 1991; Bruhn et al., 1994), geomechanical tests of pristine material alone may not 632 completely characterize the fracture mechanical properties of fault zones. The existence 633 of a healing threshold, where sealing of 85-90% fractures results in a significant increase 634 in material strength in our samples, has been documented in other fault settings (e.g. 635 Williams et al., 2016) and may represent a useful approximation of strength recovery in

636 faults and hydrothermal systems.

#### 637 7 Conclusions

638 We combined unconfined compressive strength tests, mineralogical and textural 639 characterization, and double-torsion load-relaxation fracture mechanics tests to measure 640 strength, elastic, and fracture mechanical properties in exhumed suites of rocks from 641 different hydrothermal alteration regimes preserved in the footwall of the Dixie Valley -642 Stillwater fault zone. The alteration regimes include 1) a shallow acid sulfate regime 643 dominated by quartz and calcite precipitation, 2) an epithermal regime dominated by 644 intense silicification after earlier sodic and calcic alteration, 3) a moderate depth and 645 temperature silicic-argillic regime dominated by quartz, kaolinite, and carbonates, and 4) 646 a retrograde alteration regime dominated by chlorite-calcite-hematite assemblages and 647 unhealed damage. Based on the alteration assemblages that we tested, the mechanical 648 contrast between fault core and host rocks changes between precipitation- vs damage-649 dominated regimes. Compared to minimally altered granodiorite, silicified fault rocks are 650 stronger and more resistant to fracture growth, whereas fault damage zone samples 651 containing chlorite-calcite-hematite alteration and abundant unhealed fractures are one 652 third to one fifth as strong. Sealing of >85-90% of microfracture porosity by quartz 653 and/or calcite in the fault zone in the epithermal environment is associated with a significant increase in UCS, K<sub>IC</sub>, and SCI above values of altered protolith in the 654 655 footwall. The mechanical properties that we measured in altered, damaged, and healed

656 fault zone samples are not readily predicted from geomechanical tests of pristine rocks.

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