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Critical transition in barrier islands' dune ecosystem and the sudden loss of barrier's resilience

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1 **Critical transition in barrier islands' dune ecosystem and the** 2 **sudden loss of barrier's resilience**

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9 **Barrier islands cover a large fraction of US coasts and support unique ecosystems and coastal in-**
10 **frastructure. The 'barrier' function of a barrier island depends on coastal dunes that can prevent**
11 **storm flooding and widespread ecosystem loss. Furthermore, dune-less barriers are more susceptible**
12 **to breaching and potential drowning under sea level rise. Here we study the transition from richly-**
13 **vegetated barriers with mature dunes ('high' state) to dune-less barren barriers ('barren' state) using**
14 **data from a representative set of barrier islands in Virginia, US. We find that these two states are pos-**
15 **sible stable solutions of a non-linear stochastic dynamics characterized by a tipping point at which**
16 **barriers with elevation around beach berms experience a critical transition into a permanently bar-**
17 **ren state. Our results suggest that frequently-flooded dune-less barren islands are a natural endpoint**
18 **of barrier's evolution under sea level rise (SLR).**

19 **Introduction**

20 Barrier islands are dynamic coastal landforms that provide protection from storms and high-energy waves
21 to coastal infrastructure and ecosystems such as marshes, mangroves, oyster reefs and seagrass meadows.

22 This role is mainly controlled by barrier's elevation and is thus a natural result of the competition between
23 wind-driven sand accretion, which leads to dune formation and increases barrier's elevation, and water-
24 driven (mainly wave runup) dune overtopping that erodes the dunes and decreases barrier's elevation ¹⁻³.
25 The dunes that represent the highest natural feature on a barrier are the primary structure that dictates the
26 effect of erosional processes on the island by mitigating the impact and reducing the frequency of storm
27 overwashes ⁴⁻⁶. Without dunes, a barrier island is susceptible to frequent coastal flooding ⁷, which are
28 expected to accelerate even faster than the global mean sea level rise in the near future ⁸, and can become
29 barren and potentially drown if sediment supply is low enough ^{9,10}. A barren barrier, in addition to the
30 expected reduction of biodiversity given the lack of dunes and frequent flooding, would offer little protection
31 to inland coastal infrastructure and ecosystems. As important, low-elevation and narrow barriers undergo
32 a faster landward migration, or marine transgression, as more overwash events are able to transport sand
33 from the beach to the backbarrier ⁷, potentially exposing stored carbon-rich organic deposits from wetlands
34 and coastal lagoons to high-energy waves at the nearshore ¹¹. Barrier migration can have a large impact
35 on the size and characteristic of the coastal zone ¹² and could potentially shift the carbon budget of the
36 entire coastal system from a net carbon sink to a carbon source ^{13,14}. Barrier elevation thus offers a good
37 description of barrier state, in which case the formation and post-storm recovery of coastal dunes provide a
38 crucial indication of barrier resilience and ulterior dynamical response to external drivers.

39 Barrier island dynamics is a complex problem that involves the interaction of sediment transport, hydrody-
40 namics and vegetation across a wide range of spatial and temporal scales ^{15,16}. Simple models generally
41 focus on the average planform dynamics using mass conservation but without resolving dune dynamics ¹⁷⁻²².
42 More complex process-based models ²³⁻²⁵ can capture the effect of individual storm impacts on barriers and
43 dunes, whereas large-scale models tend to focus on the long-term barrier response to sediment supply,
44 storms and SLR, but again greatly simplifying the dune dynamics and thus failing to capture the actual

45 stochastic response of barrier elevation^{26–32}. A common approach in all models is to resolve the barrier
46 migration rate, which is crucial for the ulterior evolution of the barrier system, using a phenomenological
47 estimation of sand fluxes due to storm overwashes^{26,27,32}. Since for a given storm the occurrence and inten-
48 sity of an overwash event is primarily determined by barrier’s elevation, a consistent physical description of
49 barrier migration requires resolving dune dynamics first.

50 The dynamics of barrier island elevation was recently investigated with a stochastic point model that re-
51 solved the competition between dune growth and water-driven vertical erosion that determines whether a
52 barrier island has a dune or not at a given alongshore position³³. The stochastic model has the advantage
53 of analytically describing the phase space of the barrier elevation state, defined by the probability den-
54 sity function (PDF) of barrier elevation, in terms of two control parameters relating quantities that can be
55 measured remotely. These control parameters determine whether barriers are in a ‘high-barrier’ state with
56 well-developed dunes, a ‘barren’ state devoid of dunes and a ‘mixed’ state where dunes take longer to re-
57 covery after erosion and thus washovers (i.e. the sand deposited by an overwash) tend to persist for some
58 time³³.

59 The probability distribution of barrier elevation in the Virginia Barrier Islands (VBI) (Fig. 1, Methods)
60 indeed shows the three types of barrier islands: ‘barren’ barriers with elevations close to the beach berm
61 ($\sim 0.5\text{m}$) and lacking dunes, ‘high’ barriers with elevations around mature dunes ($\sim 2\text{m}$) as in the case of
62 Hog island, and more complex ‘mixed’ barriers in between (e.g. South Metompkin). Given the external
63 conditions are similar for all these islands, and assuming similar sand availability, this leads to the question
64 of what controls the transition from a ‘high’ barrier state, with complex dune and back-barrier ecosystems,
65 to a barren state?

66 Here we answer this question, and analyze its broader implications, by spatially extending the stochastic

67 point model and then quantitatively testing the model predictions using VBI data (Methods).

68 **Stochastic model of coastal dune dynamics at a point.** The stochastic model in ³³ describes the time
69 evolution of the PDF of barrier elevation at a point (i.e. at a given alongshore position), where the barrier
70 elevation h is defined as the highest elevation along a cross-shore transect on a barrier island. This model
71 combines a deterministic wind-driven dune growth ³⁴ with stochastic erosion driven by high-water events ³⁵.

72 High-water events (HWEs) are defined by periods when total water levels (including wave runup) continu-
73 ously exceed a given threshold, and are well represented by a Marked Poisson process with exponentially
74 distributed marks ³⁵. The stochastic model assumes this probabilistic description, formally validated for
75 HWEs overtopping elevations up to 0.5m above the characteristic beach elevation ³⁵, can be extended to
76 include the relatively low dunes in our study site, which is consistent with data and simulations of the re-
77 turn period of extreme events in Oregon ^{35,36}. During dune overtopping conditions, when the mark or size
78 of the HWE exceeds the dune crest, the model assumes for simplicity that the dune is completely eroded
79 up to a base elevation h_0 , which is thus defined as the maximum elevation after an overwash. Although
80 in reality there can be partial dune erosion, this assumption captures the onset of the so-called ‘overwash
81 regime’ in field data ³⁷ and makes analytical calculations possible by ignoring the detailed and complex
82 morphodynamics of dune erosion.

83 The deterministic dune growth model is based on complex process-based simulations ³⁴ that resolved sand
84 transport, wind aerodynamics and its interaction with the topography, vegetation growth and surface change,
85 and that reproduce the shape and dynamics of real dunes ^{34,38,39}. Physical simulations ³⁴ showed that dunes
86 grow up to a maximum height H , consistent with field data ^{3,38}. However, this maximum height is not
87 necessarily constant ⁴⁰, but depends on slowly varying external conditions such as shoreline position ³⁸
88 and factors affecting the establishment and survival of dune-building vegetation as reflected in vegetation’s

89 distance from the shoreline and elevation above water level ³⁹. After vegetation colonizes the back-beach
 90 and starts trapping wind-driven sand from the beach, and assuming no water overtopping, the simulated
 91 dune grows to the maximum height H during a characteristic time T_d following an exponential saturation
 92 curve of the form $H(1 - \exp(-t/T_d))$ ³⁴ consistent with data at different barrier islands ^{3,4,38}. The dune
 93 formation time T_d characterizes the undisturbed dune growth in the absence of dune's crest erosion.

94 For simplicity, the stochastic model assumes that the colonization of the washover by 'dune-building' veg-
 95 etation after an overwash is much faster than dune formation ³³. In that limit, T_d can be written in terms of
 96 the maximum dune growth rate G_d as $T_d = (H - h_0)/G_d$ ³⁴. The dune growth rate G_d is function of the
 97 sand supply from the beach to the dune and, although it is treated as a constant in the model as supported
 98 by field data ³⁸, it is a complex quantity that depend on the availability of dry sand on the beach ⁴¹, wind
 99 direction and intensity, and can be affected by dune toe erosion ⁴⁰.

100 The change dh in barrier elevation after a time interval dt is modeled by the stochastic equation ³³,

$$dh = \left(\frac{H - h}{T_d} \right) dt - \Delta h(h, t) \quad (1)$$

101 where $\Delta h > 0$ is the decrease in dune size after a random HWE ³³. Barrier elevation is assumed to be
 102 bounded by the maximum dune height H and the base elevation h_0 , and the maximum dune growth rate G_d
 103 is assumed to be constant over timescales of the order of a year, large enough to integrate daily and seasonal
 104 variations in wind regime and sand supply. Dune model's parameters H , h_0 and G_d will be estimated from
 105 data.

106 The steady state solution of Eq. 1 is given by the point PDF $f_\xi(\xi|\lambda_0^+, \bar{S}^+)$, describing the equilibrium
 107 distribution of the random variable ξ over a large-enough time interval and at a particular alongshore position
 108 (Methods, Eqs. M1 and M2). The normalized barrier elevation ξ is defined as $\xi = (h - h_0)/(H - h_0)$
 109 and the two control parameters λ_0^+ and \bar{S}^+ are: the frequency λ_0 of HWEs overtopping the base elevation

110 h_0 rescaled by the dune formation time T_d , $\lambda_0^+ = \lambda_0 T_d$; and the average size \bar{S} of the overtopping HWEs
111 rescaled by the maximum dune height relative to the base elevation, $\bar{S}^+ = \bar{S}/(H - h_0)$.

112 The comparison of the point PDF with an empirical distribution using real data requires a large number of
113 observations frequently sampled over time at the same alongshore location, which is exactly the opposite of
114 how most field data is collected, where elevation is measured over large spatial scales roughly once a year.
115 Therefore, the model has to be expanded alongshore (i.e. parallel to the shoreline) to take advantage of most
116 available data.

117 **Alongshore extension of the point model.** In the absence of water-driven erosion and under finite sand
118 supply from the beach, we assume that dunes can form everywhere along a barrier island. In a first approx-
119 imation, we assume that wind and water forcing, as well as sand availability, are identical in the alongshore
120 direction and that the spatial variations are limited to the randomness associated with preexisting morphol-
121 ogy (excluding the dunes) and vegetation characteristics. In that case, only the maximum dune height
122 $H(y)$ and base elevation $h_0(y)$ would change spatially with the alongshore position y . By definition, the
123 alongshore variations of the maximum dune height $H(y)$ represent a simplified characterization of the dune
124 morphology on a barrier island, such that a relatively uniform foredune ridge would be described by a
125 narrow distribution of the values $H(y)$ around the mean dune height, whereas a complex landscape with
126 multiple ridges would be described by a wider distribution encompassing the variety of elevations. Simi-
127 larly, the alongshore variations of the base elevation $h_0(y)$ would describe the alongshore morphology of
128 superimposed washovers or aeolian backbarrier deposits. Although both H and h_0 can change alongshore
129 randomly, we assume they remain relatively constant over timescales of the order of the dune formation
130 time T_d .

131 The spatial variation of H and h_0 affects the control parameters of the point PDF which now depend on their

132 local values. Although the change in \bar{S}^+ just follows its definition, the change in the rescaled frequency
 133 $\lambda_0^+ = \lambda_0 T_d$ is more subtle as both λ_0 and T_d depend on H and h_0 . Using the exponential distribution
 134 of the size S of HWEs³⁵, the frequency λ_0 of HWEs overtopping the base elevation h_0 can be written as
 135 $\lambda_0 = \lambda_r \exp(-h_0/\bar{S})$, where λ_r is the frequency of HWEs overtopping a reference beach elevation at which
 136 $h_0 = 0$ by definition³⁵. The undisturbed dune formation time is given by $T_d = (H - h_0)/G_d$.

137 Assuming for simplicity that the alongshore variations in H and h_0 are random and can be approximated by
 138 a normal distribution \mathcal{N} (in agreement with the data, Fig. 2), the alongshore PDF $f_h(h)$ is obtained by the
 139 integration of the steady-state solution f_ξ at a point (Eqs. M1 and M2), conditioned by H and h_0 , over all
 140 possible values of the parameters:

$$f_h(h) = \int_0^h \mathcal{N}_{h_0}(h_0) \left(\int_h^\infty \mathcal{N}_H(H) f_{h|H,h_0}(h) dH \right) dh_0, \quad (2)$$

141 where the conditional PDF $f_{h|H,h_0}$ is

$$f_{h|H,h_0}(h) = \frac{1}{H - h_0} f_\xi \left(\frac{h - h_0}{H - h_0} \middle| \lambda_0^+, \bar{S}^+ \right), \quad (3)$$

142 and the barrier elevation $h(y)$ is a random variable now understood as the highest elevation along cross-shore
 143 transects at different locations y alongshore a barrier island.

144 Assuming for simplicity that the local control parameters $\lambda_0^+(H, h_0)$ and $\bar{S}^+(H, h_0)$ can be approximated
 145 by their values at the mean maximum height \bar{H} and mean base elevation \bar{h}_0 ,

$$\bar{S}^+ = \bar{S}/(\bar{H} - \bar{h}_0) \quad (4)$$

$$\lambda_0^+ = \lambda_r e^{-\bar{h}_0/\bar{S}} (\bar{H} - \bar{h}_0)/G_d, \quad (5)$$

146 they become independent of the local variations and recover their meaning as global control parameters of
 147 the alongshore distribution f_h , only function of the average properties along a barrier island.

148 By describing barrier elevation only by the maximum elevation along a cross-shore profile and simplifying
149 vertical erosion to an all or nothing process, the stochastic model given by Eqs. 2 and 3 reduces the complex
150 shape and dynamics of the barrier surface, including dunes', to a minimal physical description. Indeed,
151 the steady state alongshore elevation distribution f_h only depends on the two control parameters (Eqs. 4
152 and 5), defined over regional or island-based averages, and the alongshore distributions of the maximum
153 dune height and base elevation, \mathcal{N}_H and \mathcal{N}_{h_0} respectively.

154 **Estimation of model parameters.** The average size \bar{S} and mean frequency λ_r of HWEs overtopping the
155 reference beach elevation were estimated for the VBI as 0.3m and 18 events/year respectively³⁵. Therefore,
156 Eqs. 2–5 require five parameters (G_d, \bar{h}_0, \bar{H} and standard deviations σ_{h_0} and σ_H) to evaluate f_h and compare
157 it to the empirical alongshore distributions (Fig. 1).

158 A fundamental ingredient of the point model³³, supported by an idealized dune building dynamics³⁴,
159 is that in the absence of dune erosion, dunes at a given alongshore position grow up to the maximum
160 elevation H selected by the external conditions at that location, and there is a single stable equilibrium in
161 the system: $h = H$. In that case, the point PDF can be approximated as a delta function $f_\xi(\xi) \approx \delta(1 - \xi)$
162 for a single alongshore location, which leads to $f_h(h) \approx \mathcal{N}_H(h)$ once alongshore fluctuations are taken
163 into account (Eqs. 2 and 3). As expected, in the absence of dune erosion the steady state distribution of
164 barrier elevation f_h is just the alongshore distribution of maximum dune elevation \mathcal{N}_H characterizing the
165 alongshore morphology of mature dunes in the barrier.

166 In the absence of dune growth, either because of lack of aeolian transport, sediment supply or back-beach
167 vegetation³⁴ or because dune growth is negligible compared to erosion³³, we expect water-driven transport
168 (by waves and currents) to select a single equilibrium elevation of the barrier, the base elevation h_0 (Fig. 2a–
169 c). This water-driven equilibrium is called a beach berm in barren barriers (e.g. Fig. 1c). In that case, the

170 point PDF becomes $f_\xi(\xi) \approx \delta(\xi)$ and thus $f_h(h) \approx \mathcal{N}_{h_0}(h)$. That is, in the absence of dune growth the
171 steady state distribution of barrier elevation f_h becomes the alongshore distribution of the base elevation
172 \mathcal{N}_{h_0} selected by a balance of water-driven erosion and deposition.

173 Since by definition neither H nor h_0 change over time (at least over timescales of the order of few years),
174 we can estimate the distributions \mathcal{N}_{h_0} and \mathcal{N}_H by focusing on elevations with negligible growth rate in
175 the VBI data (Fig. 2). In particular, we find that the distribution of steady state elevations can indeed be
176 well approximated by a Gaussian distribution (Fig. 2). The estimated parameters are shown in Table 1 (see
177 Methods for further details, including the estimation of the maximum dune growth rate G_d .) Interestingly,
178 our estimated maximum dune growth rates G_d don't change much for such a diverse group of barrier islands,
179 and are roughly in the range $0.2 - 0.4\text{m/yr}$, comparable to measurements from barrier islands in Florida and
180 Texas^{3,4}, and coastal dunes in Oregon³⁸.

181 **Results and discussion**

182 **Predicted steady states of barrier elevation.** As discussed in the introduction, whether a barrier island has
183 a dune or not depends on the competition between dune growth, including sand supply from the beach, and
184 vertical water-driven erosion. For a given pair of control parameters (Table 1), the outcome of this competi-
185 tion is predicted by our model in two different but related ways. The first one is by the alongshore elevation
186 distribution function f_h at the steady state. In spite of the model simplicity and the several assumptions and
187 approximations behind the estimation of the model parameters, the predicted PDF obtained by numerical
188 integration of Eqs. 2 and 3, captures the main characteristics of the empirical distributions for the three
189 years analyzed (Fig. 1b and Fig. 3). Crucially, it reproduces the mode of the empirical distributions and
190 therefore the central quality defining barrier's elevation state: whether the mode is closer to the mean base
191 elevation \bar{h}_0 , thus defining a 'barren' barrier, or closer to the mean maximum dune height \bar{H} , thus defining a

192 ‘high’ barrier. Barrier steady state is thus completely determined by the control parameters λ_0^+ and \bar{S}^+ that
193 regulate the relative weight of the two potential modes of the steady-state point PDF f_ξ : a dune-less mode
194 at $h = h_0$ and the dune mode at $h = H$ ³³. The resulting character of the point PDF is then extended to the
195 alongshore distribution f_h .

196 The second way to describe barrier’s elevation state is by using the mean post-storm dune recovery time
197 \bar{T}_r^+ , rescaled by the dune formation time. This recovery time is defined as the average time spent by the
198 barrier elevation around the low-elevation mode at \bar{h}_0 after an overwash (Eq. M3), and can be interpreted as
199 the average duration of a washover before the dune starts to growth (see Methods for the formal definition).
200 By definition, when the rescaled recovery time is much larger than 1, dunes take very long to recover after
201 erosion. In that case, the island is effectively dune-less (e.g. in a ‘barren’ state) and its alongshore elevation
202 distribution function at the steady state will have a single mode at the average base elevation interpreted as
203 a beach berm (e.g. Fig. 1b for Cedar island). For example, model prediction for Cedar is $\bar{T}_r^+ \sim 30$ (Fig. 4),
204 which after multiplying by the estimated average dune formation time ($T_d \approx 6$ years, Table 1) leads to a
205 dune recovery time ~ 180 years. On the contrary, when the rescaled recovery time is less than 1, dunes do
206 recover after an overwash, in which case the island will evolve towards a ‘high’ state with mature dunes.
207 In this case, the alongshore elevation PDF at the steady state has a single mode at the average maximum
208 dune height (see Fig. 1b for Hog island). In between, barriers have widely distributed elevations combining
209 mature dunes and washovers. Therefore, the analytical function $\bar{T}_r^+(\lambda_0^+, \bar{S}^+)$ essentially defines the phase
210 space of barrier state (Fig. 4).

211 Plotting the values of the control parameters estimated for the VBI in the predicted phase space (Fig. 4)
212 clearly shows that the transition to barren barriers in this region is essentially driven by a 30-fold increase
213 in the rescaled frequency of HWEs (λ_0^+). From its definition (Eq. 5), the rescaled frequency is particularly
214 sensitive to the mean base elevation \bar{h}_0 (it decreases exponentially with it) compared to other parameters

215 such as the relative maximum dune height ($\bar{H} - \bar{h}_0$) or the maximum dune growth rate (G_d). Indeed,
216 changing only \bar{h}_0 while keeping all the other parameters as estimated for Hog creates a phase curve (i.e. a
217 path in the phase space) that describes a potential transition from Hog to South Metompkin and eventually
218 Cedar, Smith and North Metompkin (Fig. 4, dashed line). Therefore, in the VBI, the transition to a barren
219 state seems to be mainly controlled by the mean base elevation of the barriers.

220 **Robustness of model predictions.** The most important model prediction is related to the steady state of
221 the barrier island and thus only depends on the control parameters (Eqs. 4 and 5). Parameters such as
222 the standard deviations of the base elevation and the maximum dune height, or even the type of random
223 distribution, certainly affects the shape of the alongshore PDF (Fig. 3) but not the position of the modes
224 that define the barrier state. Interestingly, the transition to a barren state, as described by the rescaled dune
225 recovery time in Fig. 4, depends weakly on the mean maximum dune height \bar{H} . This is because, in a first-
226 order approximation at the transition from ‘mixed’ to ‘barren’ states, the dune recovery time is only function
227 of the product of the control parameters $\lambda_0^+ \bar{S}^+$ and this product does not depend on \bar{H} (Eqs. 4 and 5). As
228 a result, the uncertainty in our estimations of the maximum dune height, in particular for barren barriers, has
229 little impact in our final results. This extends to model simplifications such as neglecting shoreline change
230 and ignoring vegetation characteristics and dynamics, which mainly affect the size and shape of mature
231 dunes^{38,39,42}. Furthermore, by focusing on post-storm dune recovery (which mainly depends on the erosion
232 of proto-dunes²) and not on the erosion of mature dunes, our predictions are relatively insensitive to the
233 way we model the probabilistic properties of extreme events, and our simplified description of the degree
234 of dune erosion after overtopping.

235 **Irreversibility of the barren state.** VBI data suggest that the transition to a barren state take place at
236 $\bar{h}_0 \approx 0.4\text{m}$, that is, when the mean base elevation \bar{h}_0 is determined by the beach berm and thus purely
237 controlled by water-driven transport. As shown in Fig. 2(a-c), this barrier elevation ($h \approx 0.4\text{m}$) is a relatively

238 strong attractor of the water-driven dynamics as elevations up to twice or more tend to be eroded in average,
239 in agreement with the predicted stochastic model dynamics. Indeed, the barren state is a stable state in
240 the stochastic model precisely because erosion dominates dune formation for barrier elevations around the
241 beach berm. This also suggests the barren state is irreversible as water-driven processes cannot increase the
242 mean base elevation above the beach berm once dunes are eroded following a large storm.

243 **Effect of sea level rise.** The model insight into the fundamental role played by the mean base elevation (\bar{h}_0)
244 in controlling barrier state allows for a novel way sea level rise (SLR) can impact barrier islands. Since h_0
245 is defined relative to a reference beach elevation tied to the water level ³⁵, in the absence of sand accretion
246 at a scale larger than the dune width, \bar{h}_0 would just decrease with SLR over time until the barrier undergoes
247 a transition to the lower elevation state (Fig. 5). Using the intermediate scenario for the average rate of
248 relative sea level rise (RRSLR) in the study site from 2020-2050 (RRSLR \approx 10mm/yr) ⁴³ it would take
249 \sim 25 years for \bar{h}_0 to decrease 0.25m (Fig. 5), which is the difference between the mean base elevation of
250 South Metompkin, a ‘high’/‘mixed’ barrier, and Parramore, a relatively barren barrier (Fig. 4 and Table 1).

251 More generally, by only decreasing \bar{h}_0 , SLR effectively increases the rescaled frequency of erosional events
252 (HWEs), decreases the dune recovery time and thus drives a potentially irreversible transition to the ‘barren’
253 equilibrium state. As shown in Fig. 5, this shift in barrier equilibrium can take place in a couple of decades,
254 which is almost certainly an underestimation because we are ignoring the expected increase in the frequency
255 of wave-driven coastal flooding ⁸.

256 **Critical transition in barrier islands’ dune ecosystem.** The loss of coastal dunes and the transition to
257 a barren state, driven by changes in the rescaled frequency of HWEs (λ_0^+) and exemplified by the phase
258 curve in Fig. 4, is an example of critical transition in a complex stochastic system ⁴⁴ (Fig. 5). As the
259 mean base elevation of the barrier decreases with SLR, λ_0^+ increases and external fluctuations in HWEs,

260 and the internal randomness in the underlying morphology, force the barrier to explore different elevations
261 at different frequencies. The frequencies are given by $f_h(h|\lambda_0^+(t))$ if changes are slow enough for the
262 system to remain close to the equilibrium state, and can be used to infer the basin of attraction of the two
263 main equilibrium elevations⁴⁴ (Fig. 5b-e): the mean base elevation $\bar{h}_0(t)$, itself function of time via SLR,
264 and the mean maximum dune height \bar{H} , which is selected by the interaction of the dune-building plant
265 ecosystem and shoreline-related abiotic stressors³⁴. As $\bar{h}_0(t)$ approaches the 0.5m threshold suggested by
266 the data ($\lambda_0^+ \approx 20$), the basin of attraction of \bar{H} becomes shallower and the recovery time greatly increases,
267 in what is called a critical slowing down (Fig. 5d). For even lower values of $\bar{h}_0(t)$, the dune basin of
268 attraction essentially disappears and the barrier crosses a tipping point with its state relaxing towards the
269 ‘barren’ equilibrium as eroded dunes cannot recover (Fig. 5e). This stochastic picture both formalizes and
270 generalizes a previous interpretation of barrier dynamics as bi-stable¹ which is not a suitable description of
271 a stochastic system.

272 In this context, the rescaled dune recovery time \bar{T}_r^+ ³³, interpreted as the average time to escape the low-
273 elevation basin of attraction, provides a metric to evaluate the critical slowing down that characterizes the
274 system close to the tipping point⁴⁴ and can thus formally describe barrier’s resilience.

275 **Implications for our understanding of barrier dynamics.** Barrier islands, and the dune and dune ecosys-
276 tems on them, are very complex systems integrating water and wind-driven sediment transport to the dynam-
277 ics of different plant ecosystems under the influence of tides, waves, wind and storms¹⁶. Here we showed
278 that, in spite of this complexity, barrier elevation can be well described by a relatively simple physics-based
279 stochastic model in terms of a few basic parameters: the frequency and average intensity of high-water
280 events, the dune growth rate, the maximum dune height and the base elevation after an overwash. The last
281 three in particular capture the complexity of the system in different ways. The alongshore distribution of the
282 maximum dune height captures the morphology of mature dunes along with the eco-geomorphic processes

283 controlling it, including type and characteristics of ‘dune-building’ vegetation and the effects of shoreline
284 change. Similarly, the alongshore distribution of the base elevation captures the outcome of overwashes,
285 beach berm’ dynamics and any preexisting morphology. Finally, the island-based maximum dune growth
286 rate contains all the spatiotemporal complexity of sand transport, including sand availability, taking place in
287 the bare surface between the shoreline and any dense vegetation layer. Interestingly, the apparent random-
288 ness of the alongshore distribution (over large enough spatial scales) suggests that only the mean values (for
289 both maximum dune height and base elevation) have physical meaning and that minor alongshore variations
290 due to the spatial complexity of the underlying processes can be characterized as random noise. This is in
291 contrast to other approaches attempting to correlate all the details of the alongshore variation of dune and
292 barrier morphology to many potentially relevant parameters acting over relatively small spatial scales ^{45,46}.

293 We would like to emphasize that the predictions from the stochastic model concern only the steady state of
294 barrier elevation and not barrier’s current state. A barrier can be classified as ‘barren’ based on the estimated
295 control parameters and still have well-developed dunes (or vice versa). However, such barrier would lack
296 resilience since dunes cannot recover after erosion and thus, by the definition, the barrier would remain
297 barren. In this context, the very large return period of the extreme events (e.g. large storms) needed to
298 completely erode meters-tall dunes can prove misleading because the relaxation to the ‘barren’ equilibrium
299 can be greatly accelerated by shoreline erosion, which can erode dunes at a much faster rate. Shoreline
300 erosion can then ‘push’ the system into the ‘barren’ equilibrium made possible by passive inundation under
301 SLR, in which case we would expect more barren barriers in regions with larger erosion rate ^{47,48}. This
302 mechanism would connect barrier resiliency to the local underlying geology and erosion rates ^{48,49}.

303 Barrier elevation state is also closely related to its migration rate, as a barrier in a ‘high’ state would barely
304 migrate, essentially shrinking and sinking under SLR until potentially transitioning into the ‘barren’ state
305 where it would experience a maximum migration rate function of the underlying geology ⁵⁰. In fact, under

306 some approximations, the solution of the stochastic model can be used to estimate the average overwash-
307 driven sand flux across a barrier island³³. This could improve the predictions of large-scale coastal models
308 that don't resolve the actual dune dynamics and could allow us to better understand the effect of the expected
309 acceleration in barrier migration on the overall barrier system^{26,51}. Therefore, although the transition into
310 a 'barren' state doesn't necessarily lead to drowning, because a barrier island lacking dunes can still have a
311 large marsh platform that prevents it to become a submerged shoal (as it's the case with most of the barren
312 islands in the VBI), identifying a change in barrier equilibrium from a 'high' to the 'barren' state could be
313 the very first indication of a broader shift in the global coastal system.

314 Placing barrier islands in the broader class of (eco)systems undergoing critical transitions⁵² has the potential
315 to advance the theoretical understanding of coastal dynamics at different spatial and temporal scales, and
316 lead to simpler large-scale coastal models suitable for inclusion into Earth system models⁵³ and models
317 coupling human-coastal system to natural and socioeconomic drivers^{54,55}.

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449 **METHODS**

450 **Extraction of alongshore barrier elevation data.** Digital elevation models (DEM) of the Virginia barrier
451 islands for three different years (2014, 2016, 2017) were obtained from the USGS Lidar scans ([www.ncei.
452 noaa.gov/maps/bathymetry/](http://www.ncei.noaa.gov/maps/bathymetry/)). The data, originally referred to the NAVD88 datum, was shifted
453 relative to a representative value of the beach elevation, 1.5m, obtained from the analysis of high water event
454 (HWEs) and related to the average wave run-up³⁵. We use this reference beach elevation as an unbiased way
455 to define the body of the islands that naturally excludes the back-barrier marshes and other low-elevation
456 features unrelated to wave-driven transport (Fig. S2). Homogeneous parts of each island were considered
457 to ensure a stationary process with the help of features present in the DEM, for e.g. Metompkin island was
458 split into two portions since the southern portion of the island presents a partial dune system whereas the
459 northern portion lacks any dunes. Islands where shrubs were exposed to the shoreline due to coastal erosion
460 were not considered for the analysis. Cross-shore lines were constructed on natural portions of the selected
461 barrier islands at a constant spacing of 10m using GIS software. For each cross-shore line (alongshore
462 position y) and year (t), the barrier elevation $h(y, t)$ was extracted as the maximum elevation along the
463 cross-shore profile. Therefore, the alongshore elevation profile $h(y, t)$ includes all possible features in the
464 barrier island: beach berms, overwash fans, primary dunes (or foredunes) and secondary dunes. For narrow
465 islands (i.e. islands without secondary dunes) this method extracts the dune height without the complexity
466 involved in the precise determination of the foredune crest⁵⁶. By definition a positive elevation ($h(y) > 0$)
467 means the feature at y is above the reference beach elevation.

468 **Steady-state point PDF.** From the stochastic point model in³³, the steady-state PDF of the rescaled barrier
469 elevation ξ is

$$f_{\xi}(\xi) = \left(\int_0^1 \frac{\phi(\xi)}{1-\xi} d\xi \right)^{-1} \frac{\phi(\xi)}{1-\xi} \quad (\text{M1})$$

470 where

$$\phi(\xi) = \exp \left[-\lambda_0^+ e^{-\frac{1}{\bar{S}^+}} \left(E_i \left(\frac{1}{\bar{S}^+} \right) - E_i \left(\frac{1-\xi}{\bar{S}^+} \right) \right) \right]. \quad (\text{M2})$$

471 and the exponential integral is $E_i(x) = -\int_{-x}^{\infty} x^{-1} e^{-x} dx$.

472 The PDF $f_\xi(\xi)$ has a minimum at $\xi_{\min} = \bar{S}^+ \ln \lambda_0^+$ and therefore is strictly bimodal for $0 < \xi_{\min} < 1$.

473 **Mean dune recovery time.** The rescaled mean dune recovery time \bar{T}_r^+ is defined as the mean excursion
474 time below the minimum of the steady state (ξ_{\min}) divided by the dune formation time T_d . From ³³

$$\bar{T}_r^+ = \frac{1}{\phi(\xi_{\min})} \int_0^{\xi_{\min}} \frac{\phi(\xi')}{1-\xi'} d\xi'. \quad (\text{M3})$$

475 Outside the bimodal region, $\bar{T}_r \equiv 0$ when f_ξ has a single high-elevation mode ($\xi_{\min} \leq 0$ for $\lambda_0^+ \leq 1$), and

476 $\bar{T}_r \rightarrow \infty$ when f_ξ has a single low-elevation mode ($\xi_{\min} \geq 1$ for $\lambda_0^+ \geq e^{1/\bar{S}^+}$, white region in Fig. 4).

477 **Estimation of model parameters: base elevation.** At the steady state we expect the PDF f_s of stable
478 elevations to be approximated as $f_s(h) = a_0 \mathcal{N}_{h_0}(h) + (1 - a_0) \mathcal{N}_N(h)$ with normalization constant a_0 .
479 This constant depends on the relative contribution of dune growth and erosion in the resulting stochastic
480 dynamics, such that $a_0 \sim 0$ when erosional processes dominate whereas $a_0 \sim 1$ otherwise. However, the
481 complexity of barrier island dynamics and the fact that elevation data for the rate calculation is three years
482 apart, and therefore we are sampling over several realizations of the stochastic process, imply that deviations
483 from the ideal form of f_s are to be expected (Fig. 2 and Fig. S1).

484 In spite of the inherent uncertainty of this method, fitting f_s by two Gaussians seems to capture the distri-
485 bution \mathcal{N}_{h_0} of base elevations, and thus \bar{h}_0 and σ_{h_0} , relatively well (solid red lines in Fig. 2 and Fig. S1).

486 Indeed, for the ‘barren’ barriers (Cedar, North Metompkin and Smith) the corresponding mean base eleva-
487 tion \bar{h}_0 reproduces the lower (beach berm) equilibrium of barrier elevation, defined by the conditions $G = 0$

488 and $G' < 0$ on the average growth rate function $G(h)$ (red dots in Fig. 2a and Fig. S1 a,b), and the growth

489 rate can be well approximated by the linear function $G(h) = (\bar{h}_0 - h)/\Delta t$, with $\Delta t = 3\text{yr}$ (black dashed
490 lines in Fig. 2a and Fig. S1 a,b).

491 In Hog and Parramore, \bar{h}_0 corresponds to a local minimum of $G(h)$ (red dots in Fig. 2b and Fig. S1c),
492 similar to South Metompkin (Fig. S1d).

493 The larger standard deviation for South Metompkin (Table 1) is due to the presence of several overwash
494 fans with a relatively complex morphology as compared with the more uniform beach berm morphology in
495 ‘barren’ barriers (Figs. S2).

496 **Estimation of model parameters: maximum dune height.** The extraction of the distribution of maximum
497 dune elevations \mathcal{N}_H is more challenging as not all barriers have well-developed dunes. For Hog and South
498 Metompkin, f_s peaks at elevations $\sim 2\text{m}$ and can be used to estimate \mathcal{N}_H , and thus \bar{H} and σ_H (blue lines in
499 Fig. 2 k and l). The other islands don’t have a well-developed dune system and therefore the fitted higher
500 elevation Gaussian (gray lines in Fig. 2 g, i and j) cannot be used to properly estimate \mathcal{N}_H . Interestingly,
501 the value $\bar{H} \sim 2\text{m}$ from Hog is consistent with the dune stable equilibrium in Smith (blue dot in Fig. 2 c),
502 defined by the conditions $G = 0$ and $G' < 0$ on the average growth rate function $G(h)$ (solid black line in
503 Fig. 2 c).

504 In general, for islands other than Hog or South Metompkin, \bar{H} and σ_H are approximated by their values for
505 Hog, which is assumed to be representative of a well-developed dune system in the region. For Parramore,
506 we assume \bar{H} can be approximated by the dune equilibrium elevation (blue dot in Fig. 2 d) and use the
507 standard deviation of the fitted higher elevation Gaussian (gray line in Fig. 2 j) as an approximation for σ_H .

508 Note that the larger standard deviation for South Metompkin (Table 1) reflects a complex morphology with
509 primary and secondary relic dunes (Fig. S1), which are included in the way we define the barrier elevation

510 data. In contrast, the relatively narrow distribution in Hog reflects its single, and relatively uniform, dune
511 ridge (Fig. S1).

512 **Estimation of model parameters: maximum dune growth rate.** We estimate the maximum dune growth
513 rate G_d as the average of the ten highest growth rates (excluding the maximum) for initial elevations above
514 the typical beach berm (approximated here as 0.4m) where the potential availability of dry sand and presence
515 of vegetation allows dune building (red dashed lines in Fig. 2a-f).

516 **Data availability** The data generated by this study will be made available before publication.

517 **Code availability** The code that integrates the equations can be made available upon request to the authors.

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522 **Competing Interests** The authors declare no competing interests.

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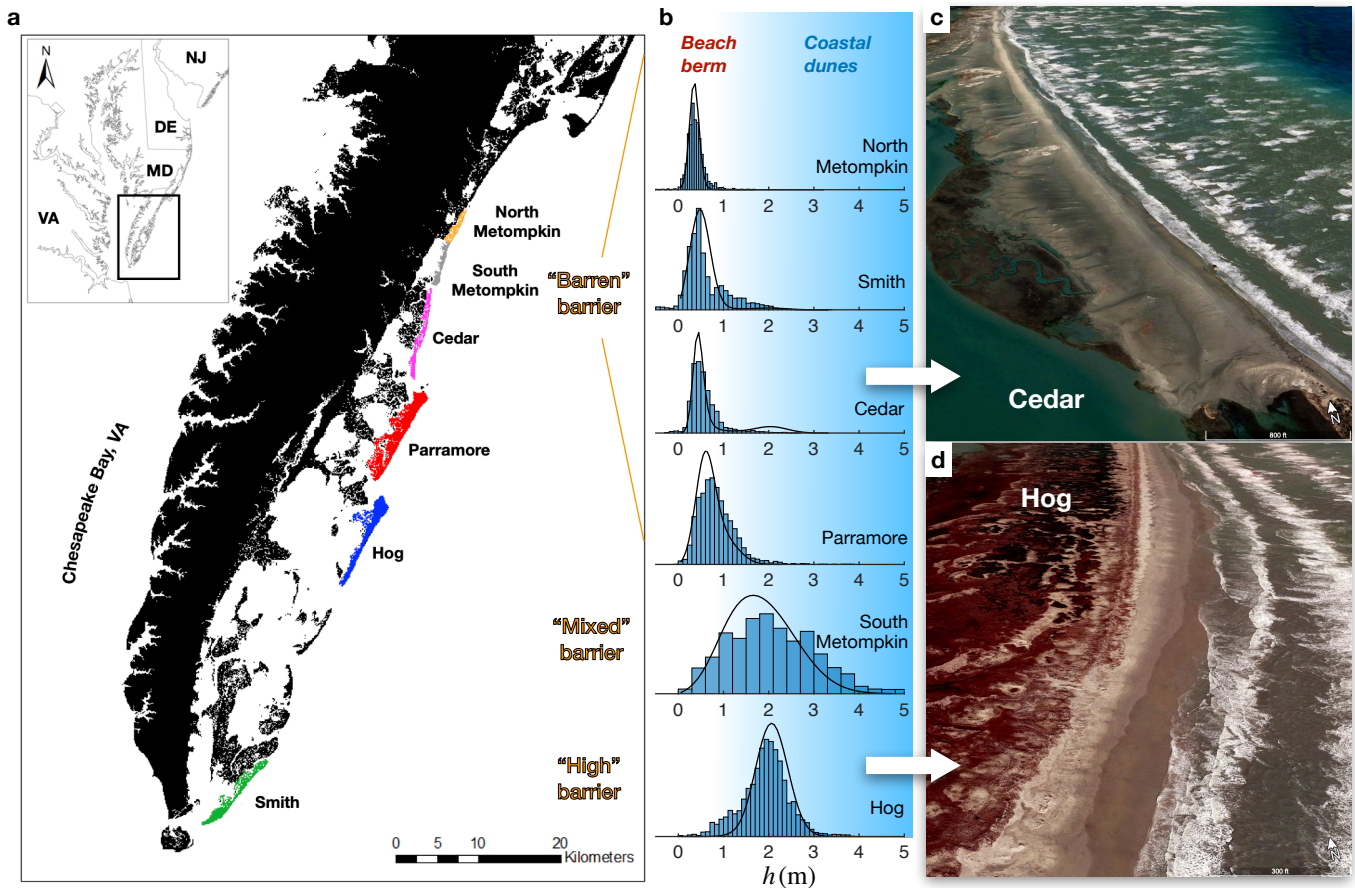


Figure 1: Alongshore distribution of barrier elevation and barrier classification. (a) The study area comprises the Virginia Barrier Islands (VBI), where we analyzed five representative islands and divided Metompkin into two sections (North and South) with very different morphological characteristics (Methods). (b) Alongshore distributions of barrier elevation h showing the three types of barrier islands classified based on their elevation: “Barren” islands ($h \lesssim 1\text{m}$) that lack vegetated dunes and are dominated by a beach berm with typically unvegetated overwash fans (c), “high” islands ($h \gtrsim 1\text{m}$) with well-developed dunes stabilized by vegetation (d), and “mixed” islands with a broad distribution of elevations (e.g. South Metompkin). The distribution functions predicted by our stochastic model (Eq. 2) are shown as solid lines in (b). Images from Google Earth.

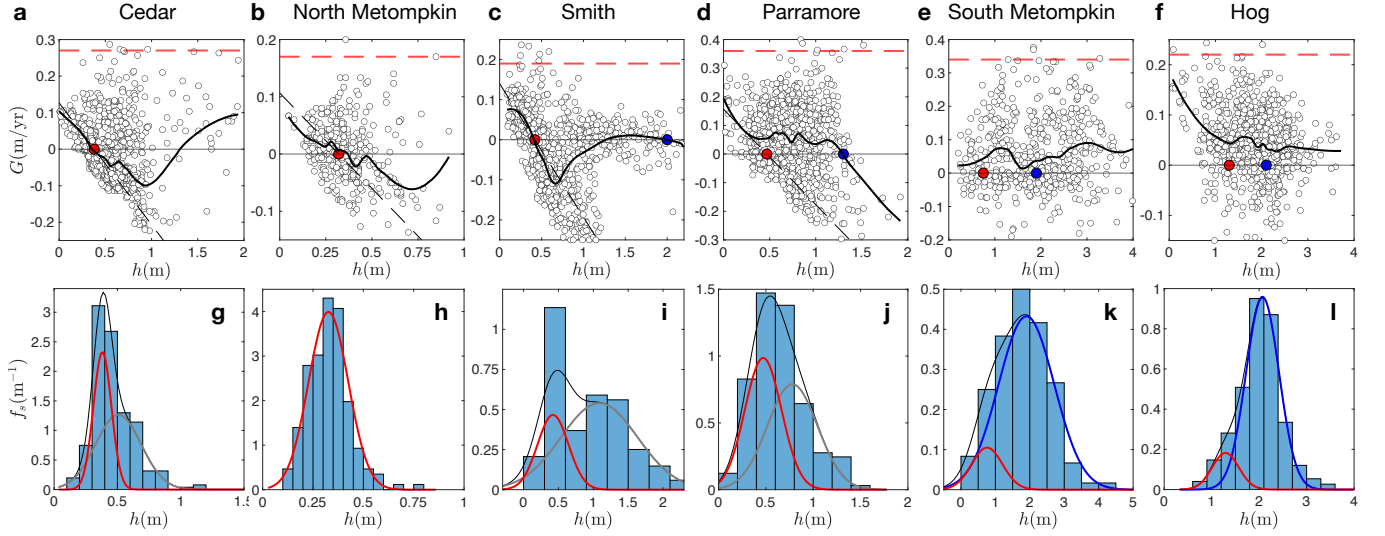


Figure 2: Estimation of model parameters and stable equilibria in island dynamics. (a–f) Rate of elevation change $G(y) = [h(y, 2017) - h(y, 2014)]/3\text{yr}$ for a given alongshore location y (dots) as function of the initial alongshore elevation $h(y, 2014)$ relative to the reference beach elevation (Methods). The solid line is the average growth rate $G(h)$. The red dashed line is the maximum dune growth rate G_d (Methods). (g–l) Probability density function f_s of elevations h with very small rates of change ($|G| < 2.5\text{cm/yr}$). Solid black line is a fit with two Gaussians, the first one (in red) describes the alongshore distribution \mathcal{N}_{h_0} of the base elevation h_0 . For Hog and South Metompkin (k and l), the second Gaussian (in blue) describes the alongshore distribution \mathcal{N}_H of maximum dune elevations H (Methods). In (g–j), the Gaussian in gray has no clear interpretation. Red dots in (a–f) correspond to the lower-elevation stable equilibrium \bar{h}_0 defined by the mode of the red Gaussian in (g–l). In (a–c), this equilibrium is consistent with the formal definition $G = 0$ and $G' < 0$, as shown by the solid black line. The black dashed line in (a–d) shows the linear growth rate $G(h) = (\bar{h}_0 - h)/3\text{yr}$. Blue dots in (c–f) correspond to the dune stable equilibrium \bar{H} defined either by the condition $G = 0$ and $G' < 0$ or by the mode of the blue Gaussian (e–f). See Methods for more details.

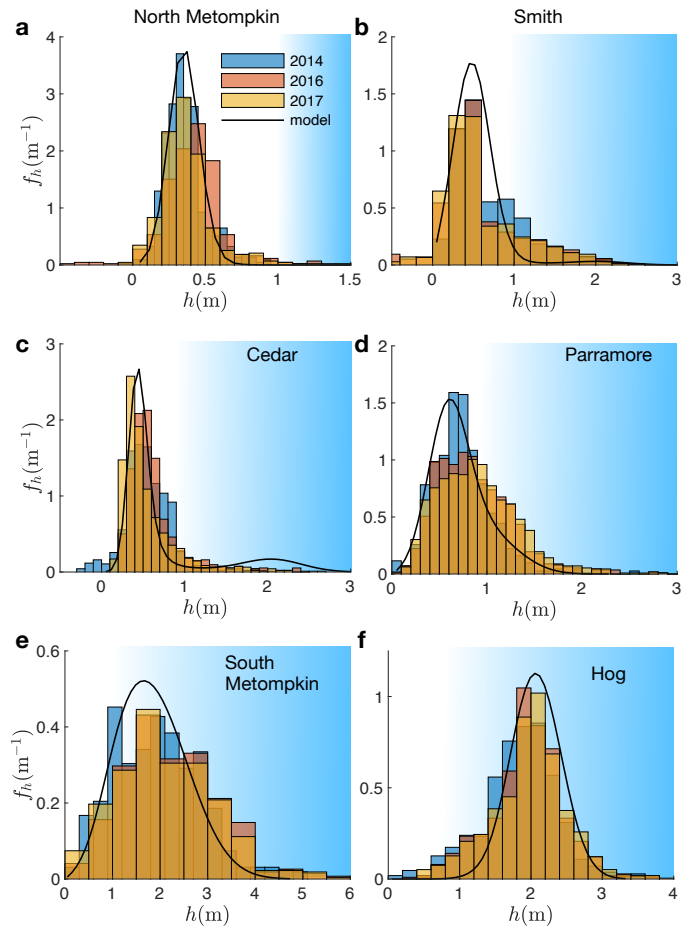


Figure 3: **Comparison with model predictions.** Probability distribution densities of dune heights (relative to the reference beach elevation, see Methods) obtained from real data for the three different years present in the analysis, and the predictions from the steady state stochastic model (solid lines). The model predicts the steady-state PDF from the parameters estimated for each island (Table 1).

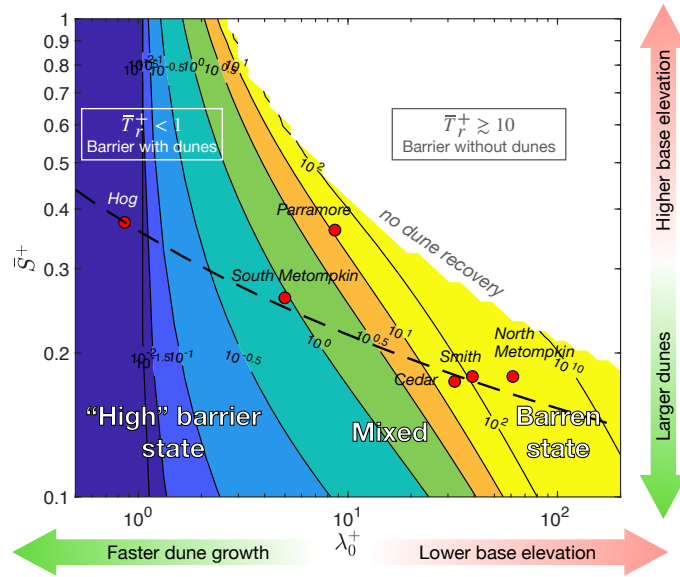


Figure 4: **Transition from ‘high’ to ‘barren’ barrier islands.** Barrier phase space showing the contour lines of the rescaled dune recovery time \bar{T}_r^+ (Methods) and the corresponding barrier classification as function of the two control parameters. Symbols representing the islands are based on the values in Table 1. The dashed line shows a parametric phase curve $(\lambda_0^+(\bar{h}_0), \bar{S}^+(\bar{h}_0))$ illustrating the transition from a ‘high’ barrier to a barren state as the base elevation \bar{h}_0 changes from 1.5m to 0 (left to right), while keeping all other parameters as for Hog island.

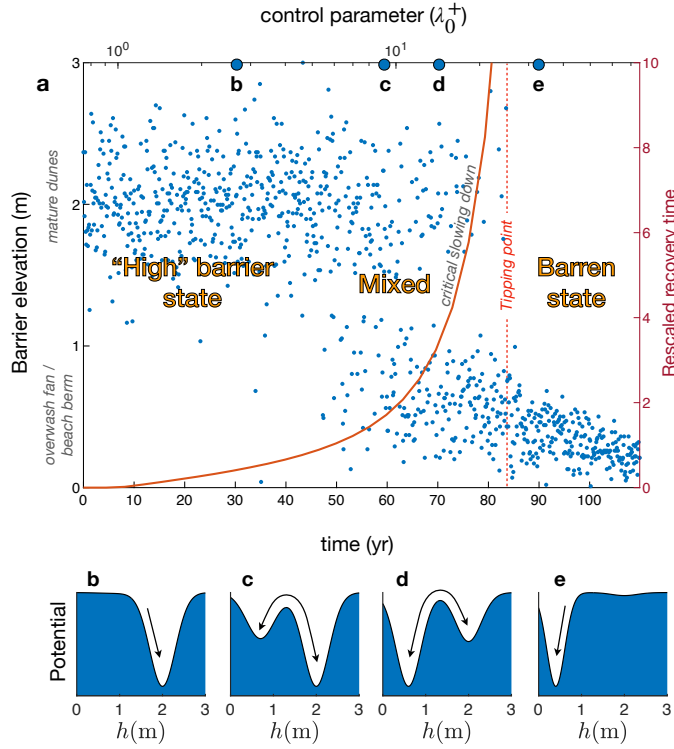


Figure 5: **Critical transition in barrier's elevation and the onset of potential barrier loss driven by SLR.** (a) Simulations of the steady-state stochastic dynamics, represented by the PDF $f_h(h|\lambda_0^+, \bar{S}^+)$ of barrier elevation h sampled four times per year (symbols), over the phase curve shown by the dashed line in Fig. 4. The parametric phase curve $(\lambda_0^+(\bar{h}_0), \bar{S}^+(\bar{h}_0))$ is function of mean base elevation $\bar{h}_0(t)$, which itself changes with time due to SLR as $\bar{h}_0(t) = 1.3\text{m} - Rt$. The rate R of relative SLR is assumed to be constant and equal to the average for the intermediate scenario estimated for the region from 2020-2050 ($R = 10\text{mm/yr}$)⁴³. All other parameters are taken as for Hog island (Table 1). The rescaled dune recovery time \bar{T}_r^+ over the phase curve (solid line) shows the critical slowing down of the dynamics as it approaches the critical transition to the low-barrier state, represented qualitatively by a tipping point. (b-e) Approximate basin of attraction of the two most probable equilibrium elevations, the mean maximum dune height \bar{H} and the mean base elevation \bar{h}_0 , represented by the inverted PDF $f_h(h)$ and interpreted as a potential function⁴⁴.

Table 1: **Model parameters, control parameters and average island elevation for VBI.** Estimated model parameters: mean \bar{h}_0 (m) and rescaled standard deviation σ_{h_0}/\bar{h}_0 of the base elevation, mean \bar{H} (m) and rescaled standard deviation σ_H/\bar{H} of the maximum dune height, maximum dune growth rate G_d (m/yr), mean dune formation time in the absence of dune erosion $\bar{T}_d(\text{yr}) = (\bar{H} - \bar{h}_0)/G_d$, mean frequency λ_r (yr^{-1}) and size \bar{S} (m) of HWEs overtopping the reference beach elevation. Control parameters λ_0^+ and \bar{S}^+ (Eqs. 4 and 5). Measured and predicted mean island elevation (m), \bar{h}_{meas} and \bar{h}_{pred} respectively.

Island	\bar{h}_0	$\frac{\sigma_{h_0}}{\bar{h}_0}$	\bar{H}	$\frac{\sigma_H}{\bar{H}}$	G_d	\bar{T}_d	λ_r	\bar{S}	λ_0^+	\bar{S}^+	\bar{h}_{meas}	\bar{h}_{pred}
N. Met	0.32	0.32	2.1	0.17	0.17	10.5	18	0.3	61	0.18	0.39	0.35
Smith	0.42	0.52	2.1	0.17	0.19	8.8	18	0.3	39	0.18	0.61	0.55
Cedar	0.38	0.26	2.1	0.17	0.27	6.4	18	0.3	32	0.17	0.58	0.73
Parr.	0.47	0.42	1.3	0.22	0.36	2.3	18	0.3	8.7	0.36	0.84	0.71
S. Met	0.75	0.60	1.9	0.42	0.34	3.4	18	0.3	5.0	0.26	2.10	1.84
Hog	1.30	0.21	2.1	0.17	0.22	3.6	18	0.3	0.9	0.37	1.92	2.07