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Embracing uncertainty: foundations of a learning system 6

### for food systems transformation 7

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#### Abstract 25

26 We propose a transformative learning system based on a review of uncertainty emerging from 27 system complexity. The framework is built on locally led action and embedded in a learning 28 system that aiming at transforming the food systems. It is widely agreed that food systems 29 need transformative change to meet societal goals. However, despite this agreement, the 30 implementation of a systems transformation agenda appears to have stalled. We argue that 31 the reason for this failure can be attributed to the complexity of the task and the inherent 32 uncertainty. Based on a review of uncertainty and complexity in change processes, we outline 33 a transformative learning system that has the capacity to achieve the intended 34 transformation. This system requires shifts in roles and modes of operation to facilitate change and to learn about system responses to localized disruptive change. Focusing on the 35 core functions of the new system, we discuss who and how this change can be triggered and 36 37 how this, in turn, will change the operational modalities of people, the process of change, and 38 the structures and institutions involved in the process. We argue that the foundations of 39 uncertainty and the focus on learning inherent in the new system will facilitate a more agile 40 process. This will allow actors to learn from decentrally pursued food systems reforms and 41 thereby the organic emergence of heterogeneous pathways.

42 Keywords: Complex systems; Sustainability; Equity; Localization; Tropentag 2023

### 43 1. Introduction

44 The need for transformations in our food systems is widely acknowledged, highlighting a 45 pressing global issue (Fanzo et al., 2020; IPES-Food & ETC Group, 2021). Currently, these 46 systems are failing to achieve desired societal outcomes (Ruggeri Laderchi et al., 2024; 47 Rockström et al., 2023) and are causing significant negative impacts on people, climate, and 48 the environment (Béné, 2022; Fanzo et al., 2021; Thornton, 2023). The lack of institutional 49 capacity to respond effectively to uncertainties such as disruptions and external challenges 50 exacerbates these effects. While the food systems related problems manifest in different ways 51 around the globe, they are present everywhere and the urgency to tackle them is growing. 52 Despite the global consensus on the need for change, recent years have seen insufficient 53 progress in reforming food systems, resulting in a regression in achieving food and nutrition 54 security goals. This situation prompts a critical question: why, despite widespread agreement 55 on the need for systemic transformation, has there been so little advancement in effecting 56 this change?

57 We argue that the theories of systems transformation provide insufficient guidance on how 58 to put food systems transformation into practice and lack agreement on the types of pathways 59 to catalyze such transformation (Hubeau et al., 2017; Leach et al., 2020; Feola, 2015; Scoones 60 et al., 2020). Furthermore, the connections and feedback loops between local action to 61 national, regional, and global connections are often overlooked (Douthwaite and Hoffecker, 62 2017; Mayne et al., 2017). This detaches local action from the global transformation agenda 63 that they could valuably contribute to. However, a major challenge is the overwhelming scale 64 of the task implied by transformation (Stirling, 2014), which can make practical action to 65 support it seem daunting at the local level. As a result, local initiatives may focus on smaller, 66 more manageable, and isolatable problems within their sphere of control, which may appear 67 insignificant in the context of the larger food system.

68 In this paper, we argue that to effect real change in our food systems, we must adopt a new 69 approach that embraces uncertainty in how change is governed and planned. We therefore 70 propose a new transformative learning system to effect the food systems change that is 71 needed. Food systems policies and interventions have mistakenly focused on seeking the 72 transformation ingredients in project-scale designs, planning methods, and impact 73 assessment techniques that are informed by a simple, linear impact logic. However, these 74 ingredients insufficiently manage uncertainties. Consequently, our proposed approach is 75 based on the idea that change is unpredictable and requires flexible thinking and methods 76 from systems science. This also requires awareness of complex food systems interactions 77 across scales that enable or inhibit change. Thus, we suggest that what is required to progress 78 food systems transformation is to advance capacities for localized but systems focused 79 learning and adaptation during change processes with inherently uncertain outcomes. To 80 accomplish this, we propose the idea of a transformative learning system as a way of thinking 81 about how different capacities to learn and adapt can be utilized and be developed in an 82 integrated way. This idea builds on the existing concepts and tools from systems sciences, 83 change management, and complexity-aware approaches.

84 We will outline how the roles of everyone involved in food systems reforms will have to 85 change. The focus will be on locally framed and implemented initiatives as the source of 86 disruption and inspiration within a cross-scale learning framework. This framework connects 87 experimentation and ensures systemic learning across scales. The proposed framework 88 responds to the deep uncertainty associated with challenges such as food systems 89 transformation. It is more practical to navigate the situation, i.e. *muddling through*<sup>1</sup>, rather 90 than believing that we can engineer pathways to a better future.

To provide the conceptual logic of this transformative learning system, we review 91 92 foundational concepts addressing uncertainty and complexity. We begin by defining 93 uncertainty as a property of complex systems and explain why learning is critical to managing 94 it. Global development debates and practices are increasingly beginning to grapple with 95 uncertainty in complex systems as a key characteristic of pressing challenges such as 96 sustainability, food and nutrition security, and socially inclusive growth. After introducing the 97 theory and growing practice of dealing with uncertainty, we use this as a way to explain how 98 the food systems transformation agenda is one that needs to be approached with uncertainty 99 in mind. This foundation for the transformative learning system framework introduced above 100 is then further described in section 3. The implications for food systems transformation are 101 then discussed in section 4. Here we argue how a transformative learning system shift might 102 be initiated and what changes are needed in mindsets and practices, institutions and systems, 103 and financing mechanisms. We point to tools and approaches already in use that can be 104 adapted to the functions of the transformative learning system. We conclude with a reflection 105 on the larger changes and different ways of approaching global change.

### 106 2. Uncertainty, complexity and transformative change – a brief review

107 The topic of uncertainty is receiving renewed attention in research tackling, among other 108 problems, global food systems challenges (e.g. Scoones and Stirling, 2020; DeMartino et al., 109 2024). Unlike risk where different known outcomes have known probabilities of materializing, 110 uncertainty lacks calculable probabilities of certain outcomes (Scoones and Stirling, 2020). 111 Since the 1960s, development economists have advocated for embracing uncertainty and 112 more broadly system complexity (Hirschman and Lindblom, 1971; DeMartino et al., 2024). 113 However, DeMartino et al. (2024) argue that ideas around uncertainty have been marginalized 114 in development economics and therefore call for a revitalization of heterodox approaches that 115 explicitly account for uncertainty. This paper builds from DeMartino et al. (2024) to argue that, 116 like many societal challenges, the transformation of food systems needs to be understood as 117 a complex systems problem and embrace uncertainty.

- Uncertainty in food systems means that the future evolution, including the nature of shocks, drivers, and outcomes, is unknown or unknowable. Although there is a growing consensus that future food systems should be sustainable, inclusive, and just, it is uncertain which pathways, processes, and mechanisms are necessary to achieve this. The rising occurrences of climate-related disasters, pandemics, conflicts, and political and economic turmoil intensify uncertainties in food systems (Moore et al., 2023).
- 124 The implications for transformations, such as development practice, are that the approaches
- to and destinations of change pathways "remain deeply uncertain" (Scoones and Stirling,2020). However, current economic approaches are still dominated by attempts to control

<sup>&</sup>lt;sup>1</sup> The term 'muddling through' draws from Lindblom (1959) and Hirschman and Lindblom (1971), who understand muddling through as incremental learning from changes in uncertain environments. Here, we think about muddling through as responding to and adapting to uncertainties in the implementation of interventions.

127 uncertainties (DeMartino et al., 2024). The expansion of indicators aims to transform 128 uncertainties into quantifiable risks, disregarding the actual experiences of uncertainty and 129 the current mechanisms that deal with its consequences (Scoones, 1994; DeMartino et al., 130 2024). For instance, early warning systems for famines in the drylands of Eastern Africa are 131 intrinsically fraught with uncertainties related to weather forecasting and conflicts, which 132 increases the likelihood of underestimating the impacts on food insecurity (Krishnamurthy et 133 al., 2020). Simultaneously, many people in the global south, particularly those in fragile 134 environments, frequently confront uncertainties as a fundamental aspect of their daily lives 135 (see e.g. Scoones 1994). For example, pastoralists in Kenya adopt diverse practices in response 136 to droughts, including sharing livestock, dividing herds, and negotiating land access 137 (Mohamed and Scoones, 2023).

138 Complex systems theory provides a useful framework for understanding uncertainties 139 inherent in food systems transformations. According to this theory, emergent properties and 140 uncertain behaviors and consequences arise as change unfolds. Uncertainty is deeply 141 intertwined with the characteristics of complex systems which imply uncertain outcomes. It is 142 widely recognized that food systems must be understood and engaged with through the 143 framing of complex systems (Hall and Clark, 2010; Kampelmann et al., 2018).<sup>2</sup> This enables an 144 understanding of the complex and interconnected web of actors, drivers, and interactions at 145 different physical and temporal scales in the production, processing, distribution, 146 consumption, and disposal of foods, generating non-linear and uncertain (and hence 147 unpredictable) pathways of food systems transformations (IPES-Food, 2015).

148 Understanding food systems as complex systems requires an awareness that solving complex 149 systems problems, such as food insecurity, cannot be achieved by simply analyzing the 150 component parts of the system (Hambloch et al., 2023). Nonlinear cause-effects are inherent 151 properties of the system itself (Conti et al., 2023; Hambloch et al., 2023). When attempting to 152 improve food systems outcomes, the complexity and uncertainty of food systems can 153 manifest in various and often contradictory ways. Clear interactions exist between different 154 global targets, such as the SDGs, including synergies, trade-offs, and feedback loops. (Herrero 155 et al., 2021). For example, achieving success in one area, such as increasing food availability 156 through improved farm productivity, may unintentionally result in the exclusion of 157 smallholder producers due to falling food prices, incomes, and profitability (Mausch et al., 158 2020). Similarly, relying solely on smallholder farmers to address food production and income 159 shortfalls is unlikely to be effective unless more fundamental systemic issues are also 160 addressed. This is because returns to farming are marginal on small land parcels (Gassner et 161 al., 2019). Therefore, it is essential to address the material consequences that arise as 162 uncertainty unfolds, such as food price increases and food supply disruptions.

The implications of uncertainty are part of daily lives especially for smallholder farmers, operating farms with marginal returns, the implications of uncertain outcomes are core part of decision-making process (Bacon et al., 2017; Molla et al., 2020). Furthermore, the complexity and uncertainty of food systems are often exacerbated by power dynamics and the political influence of dominant actors (Clapp, 2021). This can lead to unintended

<sup>&</sup>lt;sup>2</sup> This broad field of theory-informed practice (praxis) draws on a number of earlier ideas and fields of practice, including soft systems thinking which involves an action-oriented process to analyze and address perceived problematic social issues (Checkland and Poulter, 2010).

168 consequences, such as perpetuating poverty and malnutrition, despite well-intentioned 169 development efforts (Leach et al., 2020). For instance, efforts to enhance diets and address 170 nutritional security may face obstacles due to the interests and incentives of influential actors 171 in food value chains who are seeking new sources of revenue (Hambloch et al., 2023; for a 172 case study, see Ansari et al., 2018). In addition, it is important to note that powerful actors 173 and their interests can greatly influence the way problems and solutions are presented. In 174 situations where the outcomes and pathways for transforming food systems are highly 175 uncertain, power and politics may restrict the range of possible pathways (Stirling, 2008; 176 Scoones and Stirling, 2020).

177 Research on food systems and complex systems theory highlights the significance of managing 178 uncertainty in practical-oriented transformation research (Thompson et al., 2007; De Martino 179 et al., 2024). Programs and projects operating in complex systems will inevitably have 180 unpredictable outcomes, making them highly uncertain. To address uncertainties, it is critical to adapt to emerging dynamics and processes through iterative, experimental cycles of 181 182 testing, learning, and readjustment. This helps change the course of system adaptation 183 (Thompson et al., 2007; Foran et al., 2014). To transform food systems successfully, it is 184 essential to acknowledge that the process is complex and uncertain. This requires a fundamentally different approach to intervention and transformation. 185

### 186 3. A coordinated autonomous learning system for transformation

### 187 3.1. Learning needs to be centered on locally led action.

Based on our review, transforming food systems requires adapting to complex system dynamics and uncertain future outcomes. However, it is unclear how actors should embrace this complexity and uncertainty. When outcomes and impacts are inherently unknowable, actions and interventions should follow principles<sup>3</sup> to ensure that common visions of inclusive, just, and sustainable food systems are achieved.

193 A transformation paradigm framed by uncertainty, it is argued, must be characterized by 194 experimentation, learning and adaptation in a particular setting to create a new capacity to 195 act (Bossyns and Verle, 2016). An increasing uncertainty-orientation underlines that food 196 systems transformation is necessarily a locally rooted process as emerging uncertainties are 197 highly context specific (Sayer et al., 2008). Consequently, this requires acquiring tacit 198 knowledge which in itself is a type of knowledge that is not gained in a plannable approach 199 but reveals itself through practice and the acquisition is therefore deeply uncertain in nature. 200 Coupled with the insight that, at the local level, people have long been dealing with 201 uncertainties and have deep insights how they unfold, fundamentally reframes the role of 202 interventions to one of supporting autonomous experimentation.

To ensure people-focused, local innovation and leadership during food systems transformations, new approaches and lines of support should align with the diverse visions and needs of the people projects engage with (Mausch et al., 2021). It is important to consider how current and future technologies could support diverse and multiple pathways defined

<sup>&</sup>lt;sup>3</sup> While there is a multitude of elaborations of principles in existence, we do not see fundamental disagreements among them, so we do not dive into a review but broadly refer to them as principles of inclusivity, justice and sustainability.

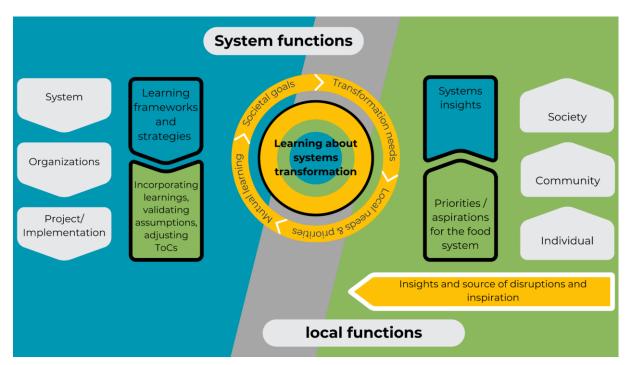
and led by the people (Mausch et al., 2021a). This shift in focus raises different questions that
are at the heart of learning needs. The process would provide insights and directions on
current pathways and their inherent diversity, with the participants' vision at the core. This
would allow for a transformative reshaping of operational modalities and focus of support
mechanisms, democratizing the innovation process.

212 When local conditions are diverse and individual visions for the future are heterogeneous, a 213 localized<sup>4</sup> and decolonized<sup>5</sup> approach to interventions becomes critically important. Both can 214 also be understood as ways to embrace uncertainty more directly, even if they are not 215 explicitly stated as such. This challenges top-down defined solutions and pathways and 216 emphasizes the agency of the people in the process. To promote diversity and alternative 217 pathways, it is necessary to prioritize inclusivity, cultural sensitivity, capability enhancement, 218 adaptivity, and evidence-based approaches. This requires a significant shift in finance 219 mechanisms, with more financial resources allocated to local actors who are involved in the 220 local context and may be part of social movements that oppose unjust practices.

221 We argue that uncertainty and the resulting need to connect locally led processes of 222 experimentation with a broader food systems transformation agenda requires a fundamental 223 shift in roles across people and structures within the system. Actions and ideas will be shaped locally by those people and communities that are affected. Simultaneously, organizations 224 225 overseeing projects and broader structures and institutional arrangements must directly 226 support these local processes, as well as connect localized actions towards learning across 227 time and scale at the meta-level. Figure 1 summarizes the changes in roles within an 228 integrated learning system and the resulting benefits.

<sup>&</sup>lt;sup>4</sup> Localization of development has recently regained significant traction and appears to be mainstream now among development agencies (Bilsky et al., 2021; North and Longhurst, 2013; Reddy, 2016). Localization of development refers to the shift towards increased access for local actors to funding streams, decision-making spaces, capacity development, local leadership, and policy influence (Robillard et al. 2021). Fundamentally, it recognizes that local actors are often better positioned to contextualize uncertainty and respond to it. Localization became formally part of mainstream humanitarian development after the 2016 World Humanitarian Summit. For the currently wide endorsement, see for example the widely signed and endorsed (Charter for Change, 2024)

<sup>&</sup>lt;sup>5</sup> "Decolonizing development means disrupting the deeply-rooted hierarchies, asymmetric power structures, the universalization of Western knowledge, the privileging of whiteness, and the taken-for-granted Othering of the majority world." (Sultana 2019, p. 34). This involves addressing power inequalities in development finance and implementation, respecting, and including diverse forms of knowledge, and promoting a diversity of transformation pathways in food systems (Nelson and Edwards, 2020). Despite also being a political project, decolonizing development implies the redefinition of goals where personal life goals become the focus and multiple pathways are therefore supported independently from outside agendas or priorities (Domptail et al., 2023; Herring et al., 2020).



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Figure 1: Shifting roles for connecting localized experimentation and action to system scalelearning and transformational change.

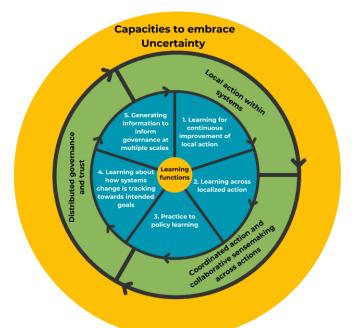
Rather than attempting to control unknowable processes and outcomes, we argue that the emerging learning focused approach must recognize and embrace uncertainties. The emphasis should be on providing a framework to guide investments and actions that will facilitate local actions learning and connect these across scales within the system during the transformation process. The intersection of this learning system and shift in focus to localized leadership and action is critically important. This intersection is where new insights, forms of knowledge, and insights about system dynamics will emerge.

# 239 **3.2.** The mechanics of the learning system

The combination of a locally centered approach of experimentation enabling transformative change and the embeddedness into a system of learning to leverage broader systemic insights and facilitate adaptations calls for three critical capacities to embrace uncertainty needed at multiple scales for food systems transformation.

244 Capacity for local action within systems. When new roles are introduced in a learning system, 245 it is necessary to develop additional capacities and skills. It is important to strengthen the 246 capabilities and skills of individuals, projects, and organizations involved in the transformation 247 process. This will enable them to make sense of unfolding system-level events and outcomes 248 through learning, evaluation, planning, and replanning processes (Cronkleton et al. 2022). 249 There is ample evidence of the way many communities living under conditions of uncertainty 250 have developed a repertoire of coping strategies to handle unpredictable events such as 251 droughts or commodity price crashes (Scoones, 1994; Mohamed and Scoones, 2023). The food 252 systems transformation agenda suggests that communities across the world will need to deal 253 with a more profound degree of uncertainty that will require a series of adaptations at local, 254 national, and global scales. People, communities, organizations that have been dealing with 255 the uncertainties that affect them are arguably in the best position to also drive change within

- the transformation process and test new ideas and responses. What needs support is the
- strategic learning on their way through the uncertainty of unfolding events will thus be more
  important than ever.
- 259 Capacity for coordinated action and collaborative sensemaking across actions. With a focus on 260 system transformation that is emerging from local disruption and inspiration, a need for a 261 much greater degree of coordination across local initiatives emerges. This involves synergizing 262 efforts, avoiding duplication, and building coalitions of interest. It also entails supporting 263 broader learning efforts to strengthen the collective muddling through process that 264 uncertainty demands. Another part of this form of collaboration across local efforts is to gain sight of negative consequences and trade-offs that may be affecting people in other 265 266 geographies. Again, strengthening the learning and evaluation capability of people and 267 organizations will contribute to better coordination across geographies. However, this process 268 also requires a broader range of methods to consider other forms of knowledge and insights, 269 allowing tacit knowledge to emerge.
- 270 Capacity for *distributed governance and trust*. New governance arrangements are necessary 271 for integrating local knowledge and learnings across scales towards larger system 272 transformation. These governance arrangements need to be based on full local leadership and 273 agency to engage in transformation within the lived realities of people. Specifically, 274 governance arrangements that form the basis for legitimacy and resulting forms of leadership 275 are required to set a broader and more democratic global agenda. Put more simply, local 276 ownership of transformation involves not only ownership of local agendas, actions, and 277 outcomes, but also ensures a role in influencing national and global agendas that inevitably 278 set the framework conditions for local action through regulation, incentives, and market 279 mechanisms. The necessary glue in such arrangements are forms of trust that build on the 280 transparency of arrangements to collect information on transformation outcomes and lessons 281 rooted in the values of people and organizations.
- To transform the food system, it is necessary to embed capacities to embrace uncertainty in a system of learning functions. This will allow individuals within the system and the system itself to learn during the change process (see the inner circle of Figure 1). The combination of these capacities and functions, embedded within new roles, will form the framework of our proposed integrated transformative learning system (see Figure 2).



### 287

- 288 Figure 2: Capacities and functions within a transformative learning system under uncertainty.
- 289 We propose 5 key learning functions within this learning focused framework to harness the 290 capacities to embrace uncertainty for transformative change:
- Learning for continuous improvement of local action: Focuses on framing the problem
   locally and strengthening the learning and evaluation capacity of people and
- organizations to understand and manage their own transformation journey within the
- system. Provides the means to experiment with action and impact logics against
  aspirations to change parts of food systems performance, and to develop lessons about
- 296 what works and where broader system blockages or lock-ins occur.
- Learning across localized action: Focuses on continuous, incremental, and adaptive peer to-peer learning. Provides the means to generate lessons from transformation
   experiences in different contexts of uncertainty and across scales (people, organization,
   countries, ...).
- 301 3. **Practice to policy learning:** Focuses on locally embedded practice-to-policy learning.
- Provides the means to communicate lessons from local practice to policy and decision makers in governments, development agencies and funders. Provides information and lessons on the need for further policy and institutional reforms to achieve food systems performance goals.
- 4. Learning about how systems change is tracking towards intended goals: Focuses on
   collaboratively developing the means to track progress and directionality across different
   contexts and using multiple means to extend performance insights.
- 309 5. Generating information to inform governance at multiple scales: Focuses on generating
   310 information on governance, outcomes and impacts. Provides the means to generate
   311 information to transparently inform different stakeholders about the progress of ongoing
- 312 transformation processes towards food systems performance goals, highlighting trade-
- 313 offs and perverse consequences, especially for marginalized groups. Provides politically
- 314 powerful metrics to catalyze continued investment.

315 The role of the framework is twofold. It will help conceptualize the organization of an 316 integrated set of learning processes needed to support engagement with the uncertainties of 317 the food systems transformation agenda. Perhaps more importantly, it will serve as a 318 framework for guiding investment in food systems transformation toward the capabilities and 319 institutional arrangements and sources of change needed to enable these learning functions. 320 We refer to this as a *transformative* learning system because it is transformative in two senses. 321 It is transformative in the sense that it provides a way of supporting local action and learning 322 to disrupt and direct efforts in the wider food systems to experiment through the 323 transformation, while keeping sight on the systemic changes unfolding and reflecting on 324 principles against the emerging outcomes. In this way, the learning dynamic between local 325 and systems scales is enabled through virtuous learning cycles. It is also transformative in the 326 sense that it disrupts and transforms the way societies engage with uncertainty challenges by 327 providing a different way to imagine and organize the learning arrangement required in such 328 circumstances. The transformation of food systems is one example, but there are many 329 equally pressing challenges of this kind.

330 Our purpose here is to highlight the emerging outcomes of a transformative learning system and not to unpack the sorts of tools that could support these learning functions. Supporting 331 332 people and organizations to muddle through by strengthening learning and evaluation 333 capacity is in itself not a new idea and is core to the established field of complex systems 334 practice. Nor could it be argued that strengthening learning and evaluation capability alone is 335 sufficient to transform food systems. There is an existing suite of tools and approaches to 336 operationalize the kinds of learning that these functions imply. Instead, our goal is to highlight 337 a different way that actors from the local to system scale can engage differently and 338 meaningfully in the food systems transformation agenda.

The new actions that emerge from new roles will be different. Changes in funding mechanisms, investments in R&D, infrastructure and mindsets will be required along the way. More importantly, the argument here is that without a more integrated set of learning and evaluation capacities are locally rooted and reach across scales, choices, and priorities in different areas of investment and public debate will be blind to and unprepared for the uncertainty of realities that will characterize food systems transformation.

# 345 4. Steps towards putting a transformative learning system into346 practice - a discussion

### 347 4.1. Triggering change

The implementation of the proposed transformative learning system needs to be a process of experimentation and testing under uncertainty, accompanied by research. It requires major changes at all levels and among all stakeholders. At times, the magnitude of change required may seem daunting and may lead to a reversion to the old habit of addressing problems in isolation within the process. However, the shift to a learning system for transformative change could begin gradually and in a decentralized manner.

In fact, many tools already in use today (e.g. multi-stakeholder platforms, theories of change, MEL systems) will remain relevant for the new learning system (see Table 1). They will need to be deployed in different modes and for a set of adjusted goals. For individuals in the sector,

- organizations in the sector, and the sector as a whole, this implies short-term adjustmentswith longer-term changes in sight.
- Table 1 summarizes the new roles emerging from the previous section and provides some examples of existing tools that can be deployed in different ways to address these new roles.

361 Table 1: Practical steps towards the transformative learning system: changing roles and corresponding tools for people and system.

	People		System	
Function	New role	Tools	New role	Tools
Leading and managing locally	Primary source of knowledge, problem framing, solution identification, project leadership	Design-thinking toolbox, Community engagement workshops, leadership training	Capacity development for systemic thinking, accepting higher risk for innovation	Experimental approaches, grant schemes, challenge programs
Learning across localized action	Facilitate emancipatory learning, challenge status quo	Peer-to-peer support networks, communities of practice	Utilize existing capabilities, learning-oriented approach, sustainable financing	Complex Theories of Change (ToCs), experimental systemic interventions, adaptive project management
Practice to policy interface	Generate and disseminate disruptive lessons and information	Stock-taking exercises	Bridge practical experiences and policy-making	Communities of practice, donor coordination, policy think tanks, research networks
Tracking how systems change/Transform	Provide localized insights, manage trade-offs	Mapping exercises, local case studies	Reflexive approach, focus on process and complexity, develop complex metrics	Analysis and learning facilitation capabilities, new process indicators, data collection protocols for national statistics and citizen science
New knowledge about systems	Integrate diverse knowledge sources	Collaborative research platforms, knowledge sharing forums	Ensure adherence to principles in outcome assessments	Principle-based assessment guidelines, systemic evaluation frameworks

We are already seeing glimpses of things moving in directions that are consistent with what the transformative learning system would look like - for example, the need for localization is widely recognized. This is the starting point connecting and implementing the learning elements across locations and levels.

## 367 4.2. Shifting mindsets and doing things differently

368 The foundation of the new system is a shift in mindsets and ways of thinking. For social 369 conventions, we know that it takes a relatively small minority of about 25% of a group to 370 change in order to reach tipping points (Centola et al., 2018). This can start with thinking about 371 different questions, adding new dimensions to the discussion, and doing things differently. 372 From there, it is a matter of building strategic alliances within and across all levels and building 373 momentum. Similarly, at the organizational level, food systems actors should form strategic 374 alliances with groups that are challenging current system practices, such as social movements, 375 labor unions, and farmers' organizations, to enable transformative change from the grassroots 376 level, rather than trying to engineer it from the top-down (Behar, 2022). This is where mutual 377 learning occurs, and local and global agendas intersect to facilitate transformative change.

378 For individuals, the beginning of the change process is simple, yet challenging. Adopt a 379 learning perspective and reflect on current projects with a systems transformation 380 perspective. This involves adopting an adaptive and reflexive approach that allows for a 381 different set of questions to be asked. It should involve adding new and different types of 382 metrics to monitoring indicators that focus more on the process of change, taking a hard look 383 at the assumptions in theories of change, or having a different conversation with people 384 engaged in or affected by the project. Embracing the uncertainty that is already part of daily 385 operations and using it as an element of reflection could already provide a new perspective 386 on the process and lead to new insights. These are the first steps that can be incorporated into 387 current project mechanisms at little or no additional cost while generating valuable insights 388 for systems transformation processes.

389 However, the project environment itself requires a different setup. Beyond these tweaks in 390 the current project environment, which are helpful to start the process of adding new 391 perspectives, they are not sufficient to transform the sector. For the learning system to start 392 functioning, the focus must be on the new sources of disruption to the current system and 393 new perspectives for generating insights into the system responses. This will need to be 394 accompanied by new types of project governance. Engagement processes will have to take 395 different forms. Most radically, it would take the opposite form of today's standard operations 396 and start from the local population that engages an agency to support their muddling process, 397 rather than agencies implementing solutions in a location.

398 This new arrangement will result in project participants and local organizations playing a very 399 different role in the process. Projects will be led locally and institutions will play a supporting 400 role providing feedback into the process and offering learning frameworks and strategies. The 401 tools currently in use are already able to accommodate these changes and remain relevant, 402 although they will need to be deployed in different ways and forms. One example is Theories 403 of Change (ToCs). On a practical level, this means a slightly more complex set-up where locally 404 led projects and corresponding ToCs need to be embedded in a higher-level learning structure 405 that is able to facilitate learning and progress assessment. For the system-level learnings, ToCs 406 will also need to be broadened to incorporate more elements that reflect the complexity of 407 food systems dynamics that are beyond the control of localized actions, but highly relevant as they influence the local environment. Therefore, clear assumptions of these interactionsshould be made and then focus on learning about their validity.

410 Projects need to be learning oriented. They need to acknowledge the scarcity of system 411 capacity, be trust-based, build on local innovation and knowledge (Liverpool-Tasie et al., 412 2020), and embrace adaptive, responsive management approaches and reflexive learning. 413 Over time, this will allow a deeper understanding of system responses to local changes and 414 facilitate the transformation process through adjusted sets of local actions that trigger system 415 changes in the right direction. This broader learning needs to be cascaded, reflecting, and 416 linking local learning. A multi-way coordination and communication process, designed to allow 417 different intersecting learnings, will support these shifts and insights, and trigger a new set of 418 changes within the already changing local parts of the system. Uncertainty at all levels is a key 419 feature of the process, and rather than shying away from or attempting to control these 420 uncertainties, they should be recognized as key aspects that facilitate the learning journey 421 towards understanding system change.

## 422 4.3. Implications for systemic change

423 For these localized lessons and insights to be harnessed effectively, evaluation methods that 424 can handle complexity must be deployed. The necessary tools already exist; reframing them 425 will improve the process. Evaluation also needs to be conducted in a more distributed format, 426 ensuring participation at all levels to allow for interpretations from all perspectives. There is 427 likely to be a need to shift the focus to more argumentative and formative types of evaluation, 428 with a stronger orientation towards processes rather than outcomes. Reflexive learning by 429 participants rather than external assessment and control will help to overcome biases and 430 shed light on previously blind spots.

431 As it is recognized that transformative change in food systems takes time (almost certainly 432 longer than standard project timeframes), newly established process indicators as well as 433 impact and outcome indicators, need to be embedded at the institutional level rather than at 434 the project level for more medium- to long-term timeframes. One example of this can be 435 found in UNDP's portfolio approach (UNDP, 2023) which aims to improve understanding of 436 how transformation takes place. UNDP also offers some guidance on tools and their 437 application (Haldrup, 2024), such as ToCs and corresponding MEL systems which need to put 438 more emphasis on explicitly exploring and learning about causal processes and mechanisms 439 rather than narrowly focusing on outcome and impact components.

440 Pathways for change will inevitably be highly diverse. Rethinking scaling from the perspective 441 of a process of change rather than a solution and starting from the people to be supported 442 rather than the technology, would be one of the new types of questions to be asked, leading 443 to new knowledge about systems change. This is not to say that we should look for local 444 initiatives that work and scale them - on the contrary, scaling would look at principles and 445 value-based outcomes and scale approaches, processes and enabling systems rather than 446 approaches or technologies. This diversity of pathways and focus on highly localized or even 447 individual goals and resulting processes will ultimately highlight a new system of changes that 448 lead to overall systems change.

449 Importantly for international development practice, a focus on strengthening a transformative
450 learning system opens up a new avenue for interventions that focus on the institutional
451 developments needed to strengthen the functioning of these systems at all levels and scales.

### 452 4.4. Funding for new roles and functions

453 While there is scope to start the process within current mechanisms, new financing 454 mechanisms and approaches have the power to accelerate the process and effect deeper 455 changes. In a facilitative role, financing mechanisms and conditions should avoid glossing over 456 inherent uncertainties. The new functions of the transformative learning system will need to 457 accommodate two basic elements: 1. Institutional funding to allow learning functions to 458 perform beyond projects, 2. Funding for autonomous localized action in the absence of 459 predefined outputs and outcomes - this can be done directly or indirectly through other 460 institutional arrangements.

- Opening up spaces for experimentation and localized muddling with a larger vision for food systems transformation has the potential to accelerate the changes we desperately need. The Think Tank Initiative, for example, highlighted that core funding, committed for 10 years, enabled organizations to learn and implement strategic shifts (Christoplos et al. 2019). Along with closer vertical and horizontal coordination, and a focus on the comparative advantages of both donor agencies and grantee organizations at all levels, a learning system could quickly
- 467 gain traction and effect change.

### 468 Conclusions

- In order to effectively transform food systems, it is essential to navigate ever-increasing uncertainties. Transforming complex food systems must be a process of adapting to these systems and stimulating innovation to achieve new properties such as sustainability, equity, and justice. This approach supports alternative pathways and outcomes that may not yet be known. While the tasks may be urgent and daunting, the knowledge and capabilities of the
- 474 entire system of actors are broad and powerful.
- This shift is redefining our notions of success and failure and broadening the range of actors driving change and the skills they need to effectively navigate uncertainty. There is little disagreement about the norms and principles that should accompany these changes, but there has been little acknowledgement of uncertainty. Using the emerging mechanisms of localization and decolonization as a starting point, we proposed a new learning system that can facilitate a democratic approach to transformation and limit the risks of derailment by vested interests.
- We believe that any intervention, regardless of its size, can contribute to learning and system transformation during implementation. Each initiative should serve as systems probe that delivers local progress and, more importantly, improves our understanding of the system itself, its reactions to the probe, and the underlying dynamisms. The focus should not be on the degree to which the system has changed, but rather on how it has changed. Through this process we can learn how the entire system reacts to certain shifts and how these changes translate to different outcomes.
- Small tweaks and shifts in focus may be insignificant in isolation, but when embedded in a larger learning system that spans all levels, they can become transformative. The argument presented is that we should not strive for perfection in innovation, but rather for perfection in learning. Food systems actors should embrace muddling as a new and valid approach that serves a learning purpose - purposeful muddling. For those funding the transformation, it will require a shift in approaches and mechanisms that involve a higher degree of uncertainty in outcomes and an increased focus on the process. If food systems actors can achieve this and

- 496 demonstrate how to manage this complex agenda in practice, it can set a new standard far
- 497 beyond the food systems arena and have a positive effect on other complex systems facing
- 498 similar needs for transformative approaches and seemingly intractable challenges.

### 499 Statements and Declarations

500 The authors declared that they have no conflict of interest.

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