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6 Embracing uncertainty: Foundations of a learning 7 system for food systems transformation

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27 Abstract

28 Despite a broad consensus on the necessity of fundamental change, endeavors to transform 29 food systems appear to have reached an impasse. Greater engagement with the uncertainty 30 of food systems could open up new ways of triggering transformation directed towards 31 achieving more sustainable and inclusive outcomes. As a way of reorienting current food 32 system change efforts to better embrace uncertainty, we propose a framework for a transformative learning system that serves two aims. First, the framework highlights the 33 34 importance of locally led action, experimentation, and learning, providing a way of focusing 35 on the core capacities and skills needed to act in the face of uncertainty. Second, it outlines 36 the different types of learning functions that need to operate at different scales of food 37 systems to trigger disruptive, coordinated, and more democratic change processes. The 38 operationalization of this framework necessitates shifts in roles and ways of working across 39 the landscape of food system interventions. The discussion will address the who and how of this potential change, as well as its subsequent impact on the operational modalities of 40 41 individuals, the process of change itself, and the structures and institutions involved in the 42 process. We argue that embracing uncertainty and the focus on learning has the potential to 43 facilitate a more agile and locally relevant change process. This would allow actors to learn

- 44 from decentrally pursued food systems reforms, leading to the emergence of diverse
- 45 pathways that complement on-going efforts and potentially accelerate transformation
- 46 efforts.
- 47 **Keywords**: Complex systems; Sustainability; Equity; Localization; Tropentag 2023

48 1. Introduction

49 There is a growing recognition of the need for transformations in global food systems (Fanzo 50 et al., 2020; IPES-Food and ETC Group, 2021). Currently, these systems are failing to achieve 51 the desired societal outcomes (Ruggeri Laderchi et al., 2024; Rockström et al., 2023) and are 52 causing significant negative impacts on people, climate, and the environment (Béné, 2022; 53 Fanzo et al., 2021; Thornton, 2023). The lack of capacity to respond effectively to 54 uncertainties, such as disruptions and unexpected external challenges, further exacerbates 55 these effects. While the challenges faced by food systems manifest differently across different 56 regions, the challenges are universal and the urgency to address them is growing (Webb et al., 57 2020). Despite the global consensus on the need for change, recent years have seen 58 insufficient progress in overhauling food systems, resulting in slowed progress or even 59 backsliding in meeting food and nutrition security goals or tackling environmental externalities 60 (FAO et al., 2023). This situation prompts a critical question: why has there been so little progress despite widespread agreement on the need for transformation? 61

62 The theories of systems transformation have been critiqued for several reasons. First, these 63 theories have been criticized for their inability to provide adequate guidance on the 64 implementation of food systems transformation, as well as for their lack of consensus on the 65 types of pathways to catalyze such transformation (Hubeau et al., 2017; Leach et al., 2020; 66 Feola, 2015; Scoones et al., 2020). Second, the intricate connections and feedback loops 67 between local action and broader national, regional, and global settings are often overlooked (Douthwaite and Hoffecker, 2017; Mayne et al., 2017, Woltering and Boa-Alvardo, 2024, 68 69 Mausch et al. 2020; Conti et al. 2024; Hebnick et al. 2021; Fanzo et al. 2024). This detaches 70 local action from the global transformation agenda, from which they could otherwise 71 contribute valuable insights. A major challenge is the overwhelming scale of the task implied 72 by transformation (Stirling, 2014), which can make practical action seem daunting at the local 73 level. As a result, local initiatives may prioritize smaller, more manageable, and isolatable 74 problems within their sphere of control. Similarly promising efforts at the local level can often 75 appear insignificant and invisible in the broader context of food systems, which are inherently 76 susceptible to systemic dynamics arising from uncertainty, prevailing patterns of power, and 77 the dynamics of incumbency (Conti et al., 2021; Thompson and Scoones, 2009). Yet, wicked 78 problems, such as those confronting food systems, that require transformative change, do not 79 lend themselves to linear and technologically focused problem-solving methods (Newman and 80 Head, 2017; Wanzenböck et al., 2020).

81 In this paper, we argue that to effect the needed change in our food systems, actors involved 82 in transformation should adopt a more adaptive approach that embraces uncertainty in how 83 change is governed and supported from the global to the local level. To help achieve this, we 84 propose a framework for a transformative learning system as a way of re-orienting 85 development practice toward a more locally centered and experimental modality where local 86 learning is explicitly connected into broader learning and adaptation processes. The rationale 87 for proposing such a framework and approach is rooted in a renewed interest in development 88 thinking on uncertainty and system complexity. We outline the conceptual foundations and 89 the debates about how this may substantially reframe current development efforts to affect 90 the food systems change that is needed. For example, Scoones (2024, p. 6) argues that "taking 91 uncertainty seriously means rethinking our world quite fundamentally - from top to bottom, 92 from politics and policy to individual practice".

93 More specifically, we argue that a more pragmatic approach to navigating uncertainty is to 94 embrace 'muddling through'¹ as opposed to the prevailing assumption that we can engineer 95 pathways to a better future. This shift in focus entails that localized action assumes a central 96 role in efforts for food systems transformation (Sengers et al. 2019), while ensuring that 97 changes at the higher levels of the food system are effectively implemented. A focus on 98 localized action has the potential to provide valuable insights that may have been previously 99 overlooked (Moallemi et al. 2019; Moallemi et al. 2020) or intentionally sidelined due to 100 vested interests or power asymmetries. The transformative learning system framework that 101 we propose offers a way of conceptualizing how different capacities for learning and 102 adaptation can be utilized and developed in an integrated way. We suggest that the 103 intersection of locally-led action and a learning system has the potential to trigger broader 104 scale system transformation. This intersection is where new insights, forms of knowledge, and 105 system dynamics will emerge.

106 This paper has two main goals: first, to highlight the need for a shift in thinking and practice 107 to embrace uncertainty in food systems; and second, to propose a framework for a new 108 transformative learning system to support this shift. It has been recognised that change is 109 unpredictable and that interactions across scales enable or inhibit change which thereby 110 requires flexible thinking and methods from systems science (Thompson and Scoones, 2009). 111 The proposed framework builds on existing concepts and tools but does not aim to provide a 112 detailed guide or exhaustively explore the full range of tools and learning functions, as these 113 must be adapted to specific contexts and addressed on a case-by-case basis. Instead, the 114 emphasis is placed on the manner in which diverse actors within food systems - such as 115 development agencies, local communities, or individuals - can engage and enhance each 116 other's actions to drive more effective transformation. We acknowledge that supporting 117 people and organizations to 'muddle through' by strengthening learning and evaluation 118 capacities is in itself not a new idea. This approach is fundamental to the established field of 119 complex systems practice (e.g. Wanzenböck et al., 2020; EEA, 2024; Hertz et al., 2021; Singh 120 et al. 2023; Moallemi et al. 2024; Patton, 2023; Ofir and Rugg, 2021). Furthermore, we 121 acknowledge that strengthening learning and evaluation capabilities in isolation is insufficient 122 to transform food systems. Instead, the objective of this paper is to highlight an alternative 123 and complementary approach that actors ranging from the local to system scale can adopt to 124 meaningfully engage in the food systems transformation agenda.

125 To provide the conceptual underpinning of the proposed transformative learning system 126 framework, we begin by giving an overview of foundational concepts addressing uncertainty 127 and complexity and how they relate to food systems transformation. Our discussion outlines 128 the implications of a more uncertainty-aware approach and how roles within the 129 transformation process will need to change, as well as where entry points for this shift are 130 already emerging. Taking current development practice as a starting point, we focus on four 131 enabling entry points to support the approach: (i) A shift in mindsets; (ii) New project designs 132 and theories of change; (iii) Evaluation methods; and (iv) Funding options for supporting new 133 roles and learning functions. We conclude with a reflection on how the proposed

¹ The term 'muddling through' draws from Lindblom (1959) and Hirschman and Lindblom (1971), who understand muddling through as incremental learning from changes in uncertain environments. Here, we think about muddling through as responding to and adapting to uncertainties in the implementation of interventions.

134 transformative learning system relates to the broader changes that are necessary to support

135 food systems transformation.

136 2. Uncertainty, complexity and transformative change

137 2.1 Renewed interest in uncertainty and complexity

138 The topic of uncertainty is receiving renewed attention in research tackling, among other 139 problems, global food systems challenges (e.g. Scoones and Stirling, 2020; DeMartino et al., 140 2024; Scoones, 2024). Unlike risk, where different known outcomes have known probabilities 141 of occurrence, uncertainty could manifest in different ways, falling sometimes under the 142 categories of Knightian, deep, or severe uncertainty which cannot be characterised 143 probabilistically and may not be assigned a likelihood of occurrence (Knight, 1921; Lempert et 144 al. 2003; Ben-Haim, 2006). In systems science, uncertainty is an inherent property of a 145 complex system (see for example Checkland, 1999; Checkland and Poulter, 2010). In this 146 context, complexity refers to systems that consist of an ensemble of numerous elements that 147 interact in a disordered manner, making it difficult to determine and predict cause-and-effect 148 relationships. Emergent properties are a result of the totality of the interactions of 149 components parts. Scoones (2024) argues that examples such as climate change, the Covid-150 19 pandemic and the global financial crisis as well as a range of persistent development 151 challenges, including sustainability and equity challenges of current food systems, display the 152 property of deep uncertainty and are rarely amenable to simple solutions.

153 Scoones and Stirling (2020) have noted that the recognition of the significance of uncertainty 154 is not a novel concept. Since the 1960s, development economists have advocated for 155 embracing uncertainty and, more broadly, system complexity (Hirschman and Lindblom, 1971; 156 DeMartino et al., 2024). However, DeMartino et al. (2024) argue that ideas around uncertainty 157 have been marginalized in development economics. Consequently, they advocate for 158 revitalizing heterodox approaches that explicitly account for uncertainty. This paper builds 159 from DeMartino et al. (2024) to argue that, like many societal challenges, the transformation 160 of food systems needs to be understood as a complex systems problem and embrace 161 uncertainty.

162 Uncertainty in food systems means that the future evolution, including the nature of shocks, 163 drivers, and outcomes, is unknown or unknowable. In an increasingly turbulent global context, 164 it is argued that we should replace the current control oriented, risk-based calculative 165 approach, where we assume we know about and can manage the future, with a more flexible, 166 practices-based approach that is responsive to uncertain conditions (Scoones, 2024; Stirling, 167 2010). Scoones (2024) goes on to propose that if uncertainty is to be navigated effectively, 168 new approaches are needed that are more open, inclusive and collective, some reclaimed and 169 adopted from previous times and different cultures. This echoes earlier calls to give greater 170 attention to complexity and uncertainty in international development practice, prompted by 171 a growing recognition that development projects are always embedded in and influenced by 172 the unpredictable dynamics of the broader systems in which they are embedded (Ramalingan 173 et al., 2008; Jones et al., 2015; Conti, et al., 2024).

2.2 Food Systems transformation as an arena of uncertainty and 174

complexity 175

176 Calls to recognize and engage with uncertainty and complexity in global development are 177 mirrored in current discourse on food systems transformation (Foran et al., 2014; Kampelmann et al., 2018; Conti et al., 2024; Moallemi et al. 2024). A complex systems 178 179 approach enables an understanding of the interconnected web of actors, drivers, and 180 interactions at different physical and temporal scales in the production, processing, 181 distribution, consumption, and disposal of foods, generating non-linear and uncertain (and 182 hence unpredictable) pathways of food systems transformations (IPES-Food, 2015). Such an 183 approach requires an awareness that solving systemic problems, such as food insecurity, 184 cannot be achieved by simply analyzing the component parts of the systems (Hambloch et al., 185 2023). Nonlinear cause-effects are inherent properties of the system itself (Conti et al., 2021; 186 Hambloch et al., 2023).

187 When attempting to improve food systems outcomes, the complexity and uncertainty of food 188 systems can manifest in various and often contradictory ways. Clear interactions exist 189 between different global targets, such as the SDGs, including synergies, trade-offs, and 190 feedback loops (Herrero et al., 2021). For example, achieving success in one area, such as 191 increasing food availability through improved farm productivity, may unintentionally result in 192 the exclusion of smallholder producers due to falling food prices, incomes, and profitability 193 (Mausch et al., 2020). Similarly, relying solely on smallholder farmers to address food 194 production and income shortfalls is unlikely to be effective unless systemic issues are also 195 addressed (Gassner et al., 2019). Many people in the global south, particularly those in fragile 196 environments, frequently confront uncertainties as a fundamental aspect of their daily lives, 197 and have strategies to address those uncertainties (see e.g. DeMartino, 2024). For example, 198 pastoralists in Kenya adopt diverse practices in response to droughts, including sharing 199 livestock, dividing herds, and negotiating land access (Mohamed and Scoones, 2023). 200 Uncertainty is thus part of daily lives and factoring in uncertainty is core part of these farmers' 201 decision-making process (Bacon et al., 2017; Molla et al., 2020).

202 The complexity and uncertainty of food systems are often exacerbated by power dynamics 203 and the political influence of dominant actors. Clapp (2021) and Bene (2022) argue that 204 political economy factors may well derail the great food systems transformation agenda. 205 Power and politics are key sources of inertia and path dependency in food systems 206 development and a key lock-in preventing the transformation of these systems to more 207 environmentally sustainable and inclusive pathways (Conti et al., 2021). This can lead to 208 unintended consequences, such as perpetuating poverty and malnutrition, despite well-209 intentioned development efforts (Leach et al., 2020, Bene, 2022; Even et al., 2024). For 210 instance, efforts to enhance diets and address nutritional security may face obstacles due to 211 the interests and incentives of influential actors in food value chains who are seeking new 212 sources of revenue rather than nutritional outcomes (Hambloch et al., 2023; Ansari et al., 213 2018). In addition, it is important to note that powerful actors and their interests can greatly 214 influence the way problems and solutions are presented. Furthermore, local priorities may 215 not always align with international priorities and more negotiation room need to be 216 incorporated. Harris (2023) illustrates this in relation to the nutrition agenda in Zambia, 217 outlining how the political economy plays out in this debate. In situations where the outcomes 218 and pathways for transforming food systems are highly uncertain, incumbent power and

- 219 politics may restrict the range of possible pathways and in doing so reduce the preparedness
- for shocks (Stirling, 2008; Scoones and Stirling, 2020; Scoones, 2024).

221 The implications for transformation and development practice that seek to contribute to this, 222 are that the approaches to and destinations of change pathways "remain deeply uncertain" 223 (Scoones and Stirling, 2020). Yet, uncertainty is not (only) something to fear; it can be a source 224 of opportunities (Scoones, 2024). When false narratives on control of complex problems are 225 overcome, new opportunities to collectively imagine different futures can emerge. These 226 opportunities emerge from a more diverse array of knowledge sources and have the potential 227 to catalyze innovation, disrupt established pathways, and foster unconventional partnerships 228 (Scoones, 2024). However, the current trend of expanding the range of indicators used in 229 development practice is counter to this thinking, and suggests an attempt to convert 230 uncertainties into quantifiable risks, disregarding the actual experiences of uncertainty and 231 the current mechanisms that deal with its consequences (Scoones, 1994; DeMartino et al., 232 2024). For instance, early warning systems for famines in the drylands of Eastern Africa are 233 intrinsically fraught with uncertainties related to weather forecasting and conflicts, which 234 increases the likelihood of underestimating the impacts on food insecurity (Krishnamurthy et 235 al., 2020).

236 Since the emerging effects of system uncertainties are experienced in highly context specific 237 ways, food systems transformation will necessarily need to be a locally rooted process (Sayer 238 et al., 2008). This will require local communities acquiring tacit knowledge which in itself is a 239 type of knowledge that is not gained in a plannable approach but reveals itself through 240 practice and the acquisition is therefore itself deeply uncertain in nature (Scoones, 2024). For 241 some time, it has been argued that dealing with uncertainty requires experimentation, 242 learning and adaptation in a particular setting to create new capacity and knowledge to act 243 (Watts et al. 2005; Ramalingan et al. 2008; Bossyns and Verle, 2016; Cronkleton et al. 2022, 244 Oliver et al., 2021). This requires a fundamentally different approach where locally-centered 245 action and learning take center stage and where supporting the capacity to act locally 246 cognisant of broader system dynamics is a core strategy

247 3. A locally centered, coordinated learning system for transformation

248 3.1 Learning centered on locally led action

249 In this paper, we argue for a learning focused approach that embraces uncertainties in food 250 systems transformation (similar to Oliver et al., 2021; EEA, 2024). To operationalize this novel 251 approach to development practice and policy, it is imperative to (re)assemble new and 252 existing tools, frameworks, and practices around this new task. To guide investment and 253 intervention here we suggest a framework that builds on ideas of Oliver et al. (2021) as a way 254 of engaging with the need to facilitate local actions and learning, and connect action and 255 learning across scales within systems during the transformation process. We believe that the 256 intersection of locally-led action and a learning system has the power to trigger broader scale 257 system transformation that complement and accelerate on-going change processes.

The purpose of the framework is twofold. Firstly, it conceptualizes the organization of an integrated set of learning functions that are centred on locally-led action. At the same time it recognises that learning and adaptation also need to take place at higher systems scales to truly engage with the uncertainties of the food systems transformation process. Secondly, and 262 perhaps more importantly, it serves as a framework for guiding investment in food systems 263 transformation toward the capabilities, institutional arrangements and sources of change 264 needed to enable these learning functions (see Oliver et al., 2021; EEA, 2024). Accordingly, 265 this framework is principally oriented towards rethinking development practice by practitioners and funders in the Global North. Nevertheless, it is also relevant for development 266 267 actors in the Global South. We refer to this as a transformative learning system because it is 268 transformative in two senses. Firstly, it supports local action and learning to disrupt and direct 269 efforts in the wider food systems to support local experimentation while it also helps to scale 270 promising transformation practices and processes where desirable outcomes are negotiated 271 between bottom up and top down processes. In this way, the learning dynamic between local 272 and system scales is enabled through virtuous learning cycles while remaining embedded in 273 wider system level changes. Secondly, it is transformative in the sense that it disrupts and 274 transforms the way individuals and communities engage with uncertainty by providing a 275 different way to imagine and organize the learning arrangement required in specific local 276 circumstances.

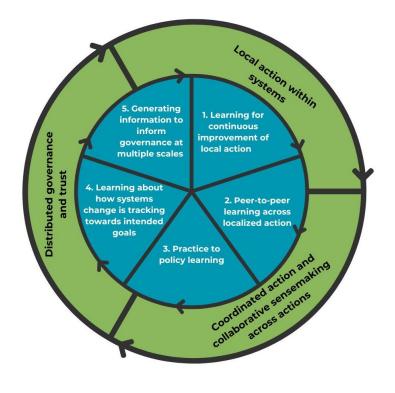
277 3.2 Capacities and functions of the learning system

The proposed framework builds on the recognition that additional capacities and skills will be critical for change in complex systems and in the food systems transformation process specifically (Oliver et al., 2021; EEA, 2024). Before describing the key learning functions in the proposed framework it is useful to describe the broad types of capacity that these learning functions will need to support. Below we describe three critical capabilities for food systems transformation based on an elaboration of the work of Oliver et al. (2021) and EEA (2024).

284 Capacity for local action within systems. The food systems transformation agenda suggests 285 that communities at local scales will need to navigate the uncertainties in both their responses 286 to a changing global context and in their attempts to identify new pathways to sustainable 287 and inclusive futures. In order to achieve this, individuals, projects, and organizations involved 288 in the transformation process will need the capacity to make sense of and refine their own 289 experimentation and adaptations through learning, evaluation, planning, and replanning 290 processes. Consequently, the need to support people, communities, organizations in 291 navigating these uncertainties and leading local initiatives to address and learn from 292 emergent issues becomes paramount. These groups are not only confronted with the 293 uncertainties surrounding a changing global context, but also uniquely positioned to drive 294 change within the transformation process, experiment with new approaches, and assess their 295 effectivenessThe necessity of providing support lies not merely in the provision of resources, 296 but in the facilitation of learning processes that enable strategic and tactical adaptation, thus 297 ensuring the effective navigation of emerging uncertainties.

298 Capacity for coordinated action and collaborative sensemaking across actions. A focus on 299 systems transformation that emerges from local disruption and inspiration gives rise to a need 300 for a greater degree of coordination across local initiatives. This involves synergizing efforts, 301 avoiding duplication, and building coalitions of interest. It also entails supporting broader 302 learning efforts to strengthen the collective 'muddling through' processes that uncertainty 303 demands. In order to facilitate this form of collaboration across local efforts, there is a need 304 for the incorporation of localized reflections in order to gain sight of negative consequences 305 and trade-offs that may be affecting people in other geographies. The strengthening of the 306 learning and evaluation capabilities of people and organizations will contribute to better

- 307 coordination across geographies. However, this process also requires a broader range of
- 308 methods in order to consider other forms of knowledge and insights, allowing tacit knowledge 309 to emerge.
- 310 Capacity for distributed governance and trust. New governance arrangements are necessary 311 for the integration of local knowledge and learnings across scales, ultimately leading to larger 312 systems transformation. These governance arrangements must be based on full local 313 leadership and agency, facilitating engagement in transformation within the lived realities of 314 people while being embedded across scales. Specifically, governance arrangements that form 315 the basis for legitimacy and resulting forms of leadership are required to set a broader and 316 more democratic global agenda. In essence, local ownership of transformation involves not 317 only the ownership of local agendas, actions, and outcomes, but also the assurance of a role 318 in influencing national and global agendas that, by their very nature, establish the framework 319 conditions for local action through regulatory frameworks, incentives, and market 320 mechanisms. The glue that holds these arrangements together is the establishment of trust, 321 which is founded on the transparency of the arrangements to collect information on 322 transformation outcomes and lessons rooted in the values of people and organizations.
- In order to transform food systems, it is essential that these capacities be purposefully directed. This will enable individuals within the system and the system itself to learn about systems transformation during the change process. The combination of these capacities (see above) and learning functions (see below) will serve as the foundation for the proposed locally centered and coordinated transformative learning system. Figure 1 summarizes how these learning functions and capacities work together to enable transformation.



329 330

Figure 1: Proposed capacities (in green) and functions (in blue) needed to embrace uncertainty
within a transformative learning system.

- We propose five key learning functions within the proposed framework to harness the capacities to embrace uncertainty for transformative change:
- Learning for continuous improvement of local action: This learning function focuses on framing problems on a local level and strengthening the learning and evaluation capacity of individuals and organizations to understand and manage their own transformation journey within the system. It provides the means to experiment with action and impact logics against aspirations to change parts of food systems performance, and to develop lessons about what works and where broader system blockages or lock-ins occur.
- 2. **Peer-to-peer learning across localized action:** This learning function focuses on
- 342 continuous, incremental, and adaptive peer-to-peer learning. It provides the means to
 343 generate lessons from transformation experiences in different contexts of uncertainty
 344 and across scales (people, organization, geographies).
- Practice-to-policy learning: This learning function focuses on locally embedded practiceto-policy learning. It emphasizes the application of knowledge from local practices to the development of policy and decision-making processes within governments, development agencies, and funding entities. It facilitates the dissemination of insights and lessons learned, with the objective of informing and guiding further policy and institutional reforms to achieve food systems performance goals.
- 4. Learning about how systems change is tracking towards intended goals: This learning
 function focuses on the collaborative development of mechanisms for tracking progress
 and directionality across different contexts, leveraging multiple forms of data and means
 of collecting them to extend performance insights.
- 5. Generating information to inform governance at multiple scales: This learning function
 focuses on generating information on governance, outcomes, and impacts. It provides the
 means for the transparent dissemination of information to different stakeholders
 regarding the progress of ongoing transformation processes aimed at achieving food
 systems performance goals. This approach highlights trade-offs and perverse
 consequences, especially for marginalized groups. Furthermore, it provides politically
- 361 influential metrics that have the capacity to catalyze sustained investment.
- 362

363 In order for learning to be meaningful and generate actionable insights, the development 364 systems within which the transformation should occur will need to change. Individuals within 365 this system must have agency in order to truly effect change. People have long been dealing 366 with uncertainties at the local level and have deep insights into how they unfold. This 367 fundamentally reframes the role of interventions to one of supporting autonomous 368 experimentation. This approach involves a locally-led strategic experimentation process that 369 aims to transform systems while acknowledging uncertainty and incorporating learning loops 370 into action. At the same time, it is important to emphasize that these localized experiments 371 must be interconnected and interact in a meaningful way. The insights gathered from these 372 experiments enhance other local experiments and the envisioned change accelerates through 373 to the wider food systems levels. It should be noted that we do not argue that other types of 374 intervention are redundant. Far from it, we posit that locally initiated actions, when integrated 375 with systemic interventions, can enhance their efficacy, rendering them more agile and 376 purpose-driven in the face of uncertainty.

377 3.3 A coordinated system of locally led experimentation

New approaches and lines of support should be aligned with the diverse visions and needs of 378 379 the people projects engage with when attempting to implement a system of people-focused, 380 local innovation and leadership during food systems transformations (Mausch et al., 2021a). 381 It is important to consider how current and future interventions could support multiple and 382 diverse locally-led pathways (Mausch et al., 2021b). This shift in focus raises different 383 questions that are at the heart of learning needs. The proposed process would facilitate the 384 generating of insights and directions regarding the current pathways and their inherent 385 diversity, with the participants' vision serving as the fundamental guiding principle. This 386 approach would enable a transformative reshaping of operational modalities and the focus of 387 support mechanisms, thereby democratizing the innovation process.

- 388 When local conditions are diverse and individual visions for the future are heterogeneous, a 389 localized² and decolonized³ approach to interventions becomes critically important. Embracing uncertainty essentially means that these approaches transcend their current 390 391 perception as rights-based. Instead, they emerge as pivotal catalysts for transformation in 392 uncertain environments. This paradigm shift challenges top-down approaches, solutions and 393 pathways by emphasizing the agency of people within the process. It also challenges dominant 394 solution-oriented development narratives that rarely reflect the lived reality of complexity and 395 uncertainty of food systems (Sanga and Schülter, 2025). To promote diversity and alternative 396 pathways, it is necessary to prioritize inclusivity, cultural sensitivity, strengthening capabilities 397 enhancement, adaptivity, and evidence-based approaches (Stirling, 2009; Tschersich and Kok, 398 2022; Cuppen, 2012).
- 399 We argue that uncertainty and the resulting need to connect locally led processes of 400 experimentation with a broader agenda of food systems transformation and the development 401 system this is currently embedded in requires a fundamental shift in roles across people and 402 structures within the system (see Figure 2). Ideas and actions will need to be shaped and led 403 locally by those people and communities that are affected. Simultaneously, organizations 404 implementing projects and broader structures and institutional arrangements (system) within 405 the sector must directly support these local processes, as well as connect localized actions towards learning across time and scale across all dimensions. 406

² Localization of development has recently regained significant traction and appears to be mainstream now among development agencies (Bilsky et al., 2021; North and Longhurst, 2013; Reddy, 2016). Localization of development refers to the shift towards increased access for local actors to funding streams, decision-making spaces, capacity development, local leadership, and policy influence (Robillard et al. 2021). Fundamentally, it recognizes that local actors are often better positioned to contextualize uncertainty and respond to it. Localization became formally part of mainstream humanitarian development after the 2016 World Humanitarian Summit. For the currently wide endorsement, see for example the widely signed and endorsed Charter for Change (Charter for Change, 2024)

³ "Decolonizing development means disrupting the deeply rooted hierarchies, asymmetric power structures, the universalization of Western knowledge, the privileging of whiteness, and the taken-for-granted Othering of the majority world." (Sultana 2019, p. 34). This involves addressing power inequalities in development finance and implementation, respecting, and including diverse forms of knowledge, and promoting a diversity of transformation pathways in food systems (Nelson and Edwards, 2020). Despite also being a political project, decolonizing development implies the redefinition of goals where personal life goals become the focus and multiple pathways are therefore supported independently from outside agendas or priorities (Domptail et al., 2023; Herring et al., 2020).

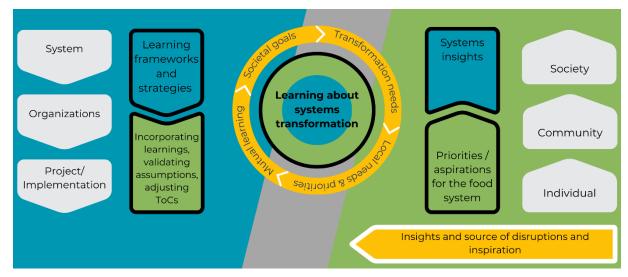




Figure 2: Shifting roles for connecting localized experimentation and action to system scale
learning and transformational change.

This process would open additional and complementary pathways towards the needed food systems transformation. The emphasis should be on an expanded system to guide investments and actions that will facilitate local action learnings. During the transformation process, this system further connects these learnings across scales within the development system. This new system builds on autonomous action and learning will offer new approaches for transformation and has the ability to embrace uncertainty.

416 4. Steps towards putting a transformative learning system into practice

417 4.1 Entry points from current practice to trigger change

The arguments proposed by Scoones (2024) stress the importance of locally-led action as a 418 419 strategy for addressing food systems transformation. This mirrors a growing trend in 420 development thinking (Booth and Unsworth, 2014; OECD, 2024). Many international 421 development agencies have instituted guidelines that stress the importance of local 422 leadership (Charter for Change, 2024). This shift in focus signifies a reorientation of 423 development practice and policy towards local leadership and action. It is also a strategy that 424 has the potential to address the context specificity of uncertainty and leverage the 425 accumulated knowledge and practices within these contexts. Consequently, this reorientation 426 paves the way for the transformative learning system to be rolled out and for the emergence 427 of the complementary pathways we believe can contribute to the realization of the necessary transformation. 428

Furthermore, many individual tools needed are already in use today in food or other related sectors (e.g. multi-stakeholder platforms, theories of change, MEL systems) and will remain relevant for the new learning system. These instruments must be deployed in different modes and for a set of adjusted goals. Table 1 summarizes the new roles emerging from the previous section and provides some examples of existing tools that can be deployed in different ways to address these new roles. 435 Table 1: Practical steps towards the transformative learning system: changing roles and corresponding tools for people and system.

| | People | | System | |
|--|--|---|--|--|
| Function | New role | Existing tools | New role | Existing tools |
| Learning for continuous | Primary source of knowledge, problem framing, solution identification, project leadership | Design-thinking toolbox (Korner 2021), Community engagement workshops, leadership training | Capacity development for systemic thinking, accepting higher risk for innovation | Experimental approaches (Sengers et al. 2019), grant schemes, challenge programs, system dynamics modelling (Moallemi et al. 2021) |
| Learning across localized action | Facilitate emancipatory learning, challenge status quo | Peer-to-peer support networks, communities of practice (Strasser et al. 2022) | Utilize existing capabilities, learning-oriented approach, sustainable financing | Complex Theories of Change (Marciniak et al. 2024), experimental systemic interventions, adaptive project management |
| Practice to policy learning | Generate and disseminate disruptive lessons and information | Stock-taking exercises | Bridge practical experiences and policy-making | Communities of practice, donor coordination, policy think tanks, research networks, Theory based evaluation (Rogers, 2007) |
| | Provide localized insights, manage trade-offs | Mapping exercises, local case studies Modeling/ integrated assessment (Moallemi et al. 2024a) | Reflexive approach, focus on process and complexity, develop complex metrics | Analysis and learning facilitation capabilities Lazurko et al. 2025), new process indicators, data collection protocols for national statistics and citizen science (Fritz et al. 2019), Modeling/ integrated assessment |
| Generating information to inform governance at multiple scales | Integrate diverse knowledge sources | Collaborative research platforms (Norström et al. 2020), knowledge sharing forums | Ensure adherence to principles in outcome assessments | Principle-based assessment guidelines, systemic evaluation frameworks |

- In practice, however, there is currently a lack of integration among tools. The transformative
 learning system suggested here argues for a more deliberate approach which explicitly
 incorporates uncertainty and embeds relevant tools. This implies short-term adjustments
 with longer-term changes in sight to be the starting point for connecting and implementing
- 441 the learning elements across locations and levels.

442 4.2 Shifting mindsets

443 The foundation of the new learning system is a shift in mindsets and ways of thinking. 444 According to Centola et al. (2018), a relatively small minority of about 25% of a group can 445 effectively catalyze a shift in social conventions, thereby reaching a tipping point. This can be 446 initiated by posing different questions, adding new dimensions to the discussion, and 447 implementing novel practices. Subsequently, building strategic alliances within and across all 448 levels can start momentum. Similarly, at the organizational level, food systems actors should 449 form strategic alliances with groups that are challenging current system practices, such as 450 social movements, labor unions, and farmers' organizations, to enable transformative change 451 from the grassroots level, rather than trying to engineer it from the top-down (Behar, 2022). 452 This is where mutual learning occurs, and local and global agendas intersect to facilitate 453 transformative change.

For example, the Stakeholder Approach to Risk-informed and Evidence-based Decisionmaking (SHARED) process (see Neely et al., 2021) brings multiple forms of evidence and diverse voices into development planning and design. The application of this process already led to the intentional integration of learning loops (act - reflect - replan) into the Turkana (Kenya) county development plan (Neely et al. 2021). Within a process such as the one in Turkana, the JUSTRA matrix can support reflection on power imbalances and help to identify approaches to overcome these (Conti et al., 2025).

461 The beginning of the change process is simple, yet challenging. Adopting a learning 462 perspective and reflecting on current projects from the vantage point of systems 463 transformation is crucial. This involves adopting an adaptive and reflexive approach that 464 allows for a different set of questions to be asked. Adding new and different types of metrics 465 into monitoring indicators that prioritize the process of change, meticulously examining the 466 assumptions underlying theories of change, or engaging in alternative dialogues with people 467 engaged in or affected by the project. Embracing the uncertainty that is already part of daily 468 operations and using it as a catalyst for reflection can offer new perspectives and insights. 469 These initial steps can be incorporated into existing project approaches with minimal or no 470 additional cost, while generating valuable insights that are instrumental in facilitating systems 471 transformation processes.

472 4.3 New project designs and Theories of Change

However, the project environment itself requires a different setup. Beyond the shift in mindsets and the corresponding incorporation of new perspectives, adjustments within the current project environment are needed to effect a change of the sector. For the learning system to start functioning, the focus must be on the new sources of disruption to the current system and new avenues for generating insights into the system responses. This will require new types of project governance, as well as engagement processes that will take different forms. Most radically, it would take the opposite form of today's standard operations and start 480 from the local population that engages an agency to support their muddling process, rather481 than agencies implementing solutions in a location (Sanga and Schülter, 2025).

482 This new arrangement will result in a shift of the roles that project participants and local 483 organizations play in the process. Projects will be designed and led locally and institutions will 484 assume a supporting role providing feedback into the process and offering learning 485 frameworks and strategies. The tools currently in use are already able to accommodate these 486 changes and remain relevant, although they will need to be deployed in different and more 487 integrated ways and forms. One example is Theories of Change (ToCs). In practice, these would 488 be slightly more complex with locally led projects and corresponding ToCs being embedded in 489 a higher-level learning structure that is able to facilitate learning and progress assessment. For 490 the system-level learnings, ToCs will also need to be broadened to incorporate more elements 491 that reflect the complexity of food systems dynamics, which are beyond the control of 492 localized actions, yet are highly relevant as they influence the local environment. Therefore, 493 it is crucial to make explicit assumptions regarding these interactions and subsequently 494 prioritize the assessment of their validity.

495 Projects will need to be learning oriented. They need to acknowledge the scarcity of system 496 capacity, be trust-based, build on local innovation and knowledge (Liverpool-Tasie et al., 497 2020), and embrace adaptive, responsive management approaches and reflexive learning. 498 Over time, this learning-oriented approach will foster a deeper understanding of system 499 responses to local changes and facilitate the transformation process through adjusted sets of 500 local actions that trigger system changes in the desired direction. This broader learning 501 process should be cascaded, reflecting, and linking local learning. A multi-way coordination 502 and communication process, designed to facilitate diverse intersecting learnings, will support 503 these shifts and insights, and trigger a new set of changes within the evolving local 504 components of the system. It is critical to acknowledge and embrace uncertainty as an 505 inherent feature of this process. Rather than seeking to eliminate or control uncertainty, it is 506 crucial to recognize it as a key element that facilitates the learning journey and facilitates the 507 understanding of system change (Thompson and Scoones, 2009).

508 4.4 Evaluation approaches

509 In order to effectively harness the potential of localized insights, it is necessary to employ 510 evaluation methods that can handle complexity. The necessary tools already exist (see for 511 example Westhrope, 2012 or Molas-Gallart et al. 2021). However, reframing these tools to 512 align with more decentralized and locally-led initiatives, ensures the participation at all levels 513 and facilitates interpretations from diverse perspectives. A shift in focus to more 514 argumentative and formative types of evaluation, with a stronger orientation towards 515 processes rather than outcomes, is likely to be necessary. Reflexive learning by participants 516 rather than external assessment and control will help to overcome biases and shed light on 517 previous blind spots.

Transformative change in food systems takes time, likely extending beyond the duration of standard project timelines. Consequently, the necessity arises for newly established process, impact, and outcome indicators to be embedded at the institutional level rather than at the project level, particularly for medium- to long-term timeframes. One example of this can be found in UNDP's portfolio approach (UNDP, 2023) which aims to improve understanding of how transformation takes place. Furthermore, their Causality Assessment for Landscape Interventions (CALI) approach (UNDP, 2022) as one example of a participatory and complexity aware program roll out highlighted the benefits to the participating communities. Not only did their agency increase but additional perspectives offered improved the program itself (Bina, 2025). UNDP also offers guidance on the use and application of tools (Haldrup, 2024), including ToCs and MEL systems, which need to put more emphasis on explicitly exploring and learning about causal processes and mechanisms rather than narrowly focusing on outcome and impact components.

531 4.5 Funding for new roles and functions

532 While there is scope to start the process within current mechanisms, new or adapted financing 533 mechanisms and approaches have the power to accelerate the process and effect deeper 534 changes. In a facilitative role, financing mechanisms and conditions should avoid glossing over 535 inherent uncertainties. The new functions of the transformative learning system will need to 536 accommodate two basic elements: 1. Institutional funding to allow learning functions to 537 perform beyond projects, 2. Funding for autonomous localized action in the absence of 538 predefined outputs and outcomes - this can be done directly or indirectly through other 539 institutional arrangements.

540 Opening up spaces for experimentation and localized muddling with a larger vision for food 541 systems transformation has the potential to accelerate the changes we urgently need. The 542 Think Tank Initiative, for example, highlighted that core funding, committed for 10 years, 543 enabled organizations to learn and implement strategic shifts (Christoplos et al. 2019). 544 Another strong example is the UN Forest and Farm Facility⁴, a multi-donor fund and 545 partnership that provides direct support to forest and farm producer organizations. This 546 initiative has channeled more than 60 million USD to fund activities and priorities which are 547 annually determined—and adapted—by producers themselves. While donors have routinely 548 pushed for clearer, more measurable targets, the program retains a flexible window to fund 549 participatory and adaptive learning systems and ensures programmatic guidance by a Global 550 Steering Committee composed of local farmers and producers (FAO, 2025). Along with closer 551 vertical and horizontal coordination, and a focus on the comparative advantages of both 552 donor agencies and grantee organizations at all levels, a learning system could quickly gain 553 traction and effect change.

554 5. Conclusions

555 In order to effectively transform food systems, it is essential to navigate ever-increasing 556 uncertainties. The implementation of the proposed transformative learning system needs to 557 be an experimental and empirical process, unfolding in the context of perpetual uncertainty. 558 Indeed, uncertainty can be seen as a positive feature of systems, and by embracing it, we can 559 "transform[ing] our perspectives on uncertainty from ones of despair and fear to those of 560 hope and opportunity" (Scoones 2024, p2). The localized entry point is well-positioned to 561 facilitate this transformation, as solutions and options become more tangible and actionable. 562 This process requires significant changes at all levels and among all stakeholders. The 563 magnitude of required change may appear daunting, potentially leading to a reversion to 564 addressing problems in isolation within the process. However, the shift to a learning system

⁴ "The FFF is a partnership launched in September 2012 between FAO, IIED and IUCN, and AgriCord. Its Steering Committee is formed by members affiliated with forest producer, community forestry, indigenous peoples' organizations, international research community, business development service provider organization, private sector, government, and donors." (FAO, 2025, About)

for transformative change could begin gradually and in a decentralized manner. Furthermore,
this shift would not replace on-going efforts; rather, it offers additional perspectives and
insights that have the potential to accelerate the transformation.

The new actions that emerge from new roles will differ from those previously employed. Changes in funding mechanisms, investments in R&D, infrastructure, and mindsets will be required as the process unfolds. More importantly, the argument here is that without an integrated set of learning and evaluation capacities that are locally rooted and reach across scales, choices, and priorities in different areas of investment and public debate, these choices will be blind to and unprepared for the uncertainty characteristic of reality as food systems undergo transformation.

- 575 This shift is redefining our notions of success and failure and broadening the range of actors 576 driving change and the skills they need to effectively navigate uncertainty. Using the emerging 577 mechanisms of localization and decolonization as a starting point, we proposed a new learning 578 system that can facilitate a democratic approach to transformation and limit the risks of 579 derailment by vested interests. Our proposed functions within this learning system include 580 learning for continuous improvement at a local scale, with horizontal connections through 581 peer-to-peer learning and vertical distribution of information through practice to policy 582 learning. Furthermore we propose that the learning system should facilitate the tracking of 583 directional change at a systems level, and should generate information for governance at 584 multiple levels. We believe that these functions would build further capacity for localised 585 action, would coordinate action and sensemaking across scales, and would build distributed 586 trust and governance into transforming food systems.
- 587 We believe that any intervention, regardless of its size, can contribute to learning and system 588 transformation during implementation. Each initiative should function as a systems probe that 589 facilitates local progress and, more importantly, improves our understanding of the system 590 itself, its reactions to the probe, and the underlying dynamisms. The focus should not be on 591 the extent to which the system has changed, but rather on the manner in which it has been 592 modified or remained locked-in. Through this process, we can learn how the entire system 593 reacts to certain shifts and how these changes translate to different outcomes.
- 594 Small tweaks and shifts in focus may be insignificant in isolation, but when embedded in a 595 larger learning system that spans all levels, they could become transformative. The argument 596 presented is that we should not strive for perfection in innovation, but rather for perfection 597 in learning. Food systems actors should embrace muddling as a new and valid approach that 598 serves a learning purpose - purposeful muddling. For those providing funds for transformation 599 initiatives, a shift in approaches and mechanisms is necessary. This involves embracing a 600 higher degree of uncertainty in outcomes and an increased focus on the process. Achieving 601 this objective and demonstrating its practical implementation will establish a new standard 602 far beyond the food systems arena, potentially impacting other complex systems grappling 603 with similar needs for transformative approaches and seemingly intractable challenges.

604 Statements and Declarations

The authors declared that they have no conflict of interest.

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