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6	Early Paleocene Paleoceanography and Export Productivity in
7	the Chicxulub Crater
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28 29	Key Points

30	•	Export productivity in the Chicxulub crater was high for the first 320 kyr after the K-Pg
31		boundary, then declined for the next 900 kyr
32	•	The final decline in export productivity is associated with the turnover of calcareous
33		nannoplankton disaster assemblages
34	•	Export productivity change is not correlated to stratification or terrigenous input and was likely
35		driven to turnover in phytoplankton community

36 Abstract

37 The Chicxulub impact caused a crash in export productivity in much of the world's oceans which contributed to the extinction of 75% of marine species. In the immediate aftermath of the extinction, local 38 39 export productivity was highly variable, with some sites, including the Chicxulub crater, recording 40 elevated export production. The long-term transition back to more stable export productivity regimes has 41 been poorly documented. Here, we present elemental abundances, foraminifer and calcareous nannoplankton assemblage counts, total organic carbon, and stable carbon isotopes from the Chicxulub 42 43 crater to reconstruct long-term changes of productivity over the first 3 Myr of the Paleocene. We show 44 that export production was elevated for the first 320 kyr of the Paleocene and then declined over the next 45 ~900 kyr, remaining low thereafter. This interval is associated with fluctuations in water column 46 stratification and terrigenous flux, but these variables are uncorrelated to export productivity. Instead, we 47 suggest that the turnover in the phytoplankton community from a post-extinction assemblage dominated 48 by picoplankton (which promoted nutrient recycling in the euphotic zone) to a more normal Paleocene 49 pelagic community dominated by calcareous nannoplankton (which more efficiently removed nutrients 50 from surface waters and led to oligotrophy) is responsible for the decline in export production in the 51 southern Gulf of Mexico.

# 52 Plain Language Summary

53 The end Cretaceous mass extinction was caused by the impact of an asteroid on what is today the 54 Yucatán Peninsula, Mexico. The impact ejected aerosols and dust into the air that reduced sunlight 55 transmission, causing a severe decline in photosynthesis and the collapse of marine food webs. However, 56 the change in the amount of food created by photosynthesizing plankton that makes it to the seafloor 57 (export productivity) was variable across the oceans. At some places, including the Chicxulub crater, 58 export productivity was actually high immediately after the impact. We produced a ~3 million year record 59 of export productivity in the crater to determine how long it remained elevated and why it eventually declined. Export production was very high for the first 320,000 years after the impact and remained 60 61 elevated for the next 900,000 years. We found that this production was not related to the input of nutrients 62 via rivers around the Gulf of Mexico or how stratified the ocean was, but was probably driven by the 63 change in the cell size of phytoplankton and its impact on export over the first million years of the 64 Paleocene. 65 Keywords: K-Pg, Chicxulub Crater, Paleoproductivity, Foraminifera, Nannoplankton, Paleocene **1. Introduction** 66 67 At the end of the Cretaceous Period (66.0 Ma), the impact of an asteroid on the Yucatán carbonate platform in the southern Gulf of Mexico caused the extinction of 75% of marine species 68 69 (Alvarez et al., 1980; Smit et al., 1980; Hildebrand et al., 1991; Jablonski, 1995; Schulte et al., 2010), 70 including ~90% of pelagic calcifiers such as planktic foraminifera and calcareous nannoplankton (Bown, 71 2004; Fraass et al., 2015; Lowery et al., 2020). Dust and sulfate aerosols ejected from the evaporite-rich 72 carbonate target rock and soot from widespread wildfires blocked the sun, resulting in severe short-term 73 cooling (Wolbach et al., 1985; Pope et al., 1994; Vellekoop et al., 2014, 2016; Bardeen et al., 2017 74 Brugger et al., 2017; Artemieva et al., 2017; Gulick et al., 2019; Artemieva and Morgan, 2020) and 75 collapse of the food chain due to a sharp decline in photosynthesis (Zachos et al., 1989; D'Hondt et al., 76 1998; Kring, 2007). These effects were short-lived, however, as most dust, soot, and aerosols were 77 removed from the atmosphere on the order of years (Brugger et al., 2017; Tabor et al., 2020), and the

oceans quickly became hospitable for life, even at ground zero in the Chicxulub crater (Lowery et al.,
2018). Recent work quantifying oceanic pH changes across the K-Pg boundary has shown that postimpact ocean acidification lasted for ~ 40 kyr, and returned to pre-event values within 80 kyr, after a
period of overshoot (Henehan et al., 2019). Meanwhile, temperature proxies and modelling data indicate
that Deccan volcanism in the early Paleocene was insufficient to negatively impact early recovery
ecosystems (Hull et al., 2020).

84 Given the short duration of adverse environmental conditions in the earliest Paleocene, it is puzzling that global marine productivity took at least 1.8 myr to recover to pre-extinction levels (e.g., Hsü 85 86 and McKenzie, 1985; Zachos et al., 1989; D'Hondt and Zachos, 1998; Coxall et al., 2006; Birch et al., 2016). The collapse of export productivity at the K-Pg boundary has been observed via the vertical 87 gradient of  $\delta^{13}$ C between the surface ocean and seafloor. Under normal conditions, the sinking of  $^{12}$ C-88 enriched organic carbon (termed the "biological pump" and primarily driven by the sinking remains of 89 dead plankton) from the euphotic zone to the deep sea leaves the surface ocean enriched in <sup>13</sup>C and the 90 seafloor depleted in <sup>13</sup>C. The post-K-Pg collapse in this gradient can be explained by a 50% reduction in 91 92 the amount of organic matter exported from the euphotic zone (D'Hondt et al., 1998; Alegret et al., 2012; 93 Henehan et al., 2019) or less, if part of the signal is from extinction-related changes in planktic 94 for a minifer shell geochemistry (e.g., the extinction of photosymbiont-bearing planktics; Alegret et al., 95 2012; Birch et al., 2016). However, the exact mechanisms which controlled the eventual recovery of 96 productivity and the relationship between the recovery of export production and the recovery of marine 97 ecosystems remain unclear.

While carbon isotopes record the global average change in the strength of the biological pump,
biogenic barium is a paleoproductivity proxy which correlates with local organic matter flux from
overlying surface water (e.g., Griffith and Paytan, 2007). Barium proxy data indicates that export
production did not uniformly decline across the oceans after the Chicxulub impact, as some sites actually
show an increase (Hull and Norris, 2011). Broadly, sites from a range of water depths in the Gulf of

103 Mexico/North Atlantic/Tethys region record reduced export production in the early Danian (Alegret et al., 104 2001; Esmery-Senlet et al., 2015; Vellekoop et al., 2017), whereas sites in the central Pacific record 105 increased export production during the same time period (Hull and Norris, 2011). A new earliest Danian 106 record from the peak ring of the Chicxulub crater at International Ocean Discovery Program (IODP) Site 107 M0077 revealed that the crater itself experienced high export productivity within foraminifer biozone  $P\alpha$ , within a few 10s of kyrs of the impact (Lowery et al., 2018). However, it was unclear how long high 108 109 export productivity persisted at ground zero or how it relates to global patterns of heterogeneous export 110 production in the early Danian. Was this locality oceanographically pre-disposed to high export 111 productivity, or did changing conditions eventually lead to a decline? If so, what conditions shifted to 112 cause lower export production? Jones et al. (2019) found that calcareous nannoplankton "disaster assemblages" persisted in the crater for approximately 1 myr post impact, well after they were replaced 113 114 by incoming Paleocene taxa at other sites. Interestingly, the turnover from disaster assemblages to a succession of acmes of novel Paleocene nannoplankton species in the crater is associated with a shift in 115 surface waters from eutrophic to oligotrophic conditions (Jones et al., 2019). Jones et al. (2019) 116 117 speculated that these changes in the populations of primary producers are related to changes in export productivity, but lacked the data to test this, or determine what may have caused those changes. 118 119 Here, we compare the calcareous nannoplankton record of Jones et al. (2019) to planktic and 120 benthic foraminifera, and major, minor, and trace elements to reconstruct export productivity, water 121 column stratification, terrigenous flux, and phytoplankton population change during the early Paleocene 122 interval (66.0-62.5 Ma) of IODP Site M0077 in the Chicxulub crater (Figure 1) in order to document the 123 overall paleoceanographic evolution of the Chicxulub crater and to determine how long export production remained elevated after the K-Pg boundary. We then evaluate two competing hypotheses about the causes 124 125 of the eventual decline in export production: environmental changes in the southern Gulf of Mexico or 126 changes in the plankton ecosystem.

#### 127 2. Material and Methods

In 2016, IODP/ICDP Expedition 364 drilled the peak ring of the Chicxulub crater (Morgan et al., 2017), coring over 100 m of post-impact Paleogene sediments with nearly 100% recovery. Ten meters of Paleocene pelagic carbonates were recovered at the base of the post-impact section, conformably overlying the top of the impact breccia. The uppermost 40 cm of these pelagic carbonates is cut by three disconformities and spans the middle and late Paleocene; the rest of the section, the focus of this study, spans the earliest to middle Paleocene, and is continuous from 66 to ~62 Ma (Morgan et al., 2017).

#### 134 2.1 Microfossils

135 Samples for foraminiferal analysis were crushed with mortar and pestle into mm-sized pieces and 136 then soaked in a solution of peroxide and borax for at least one week. They were then sieved over a 45 um mesh to ensure recovery of generally small Paleocene taxa (care was taken to avoid juveniles in the 137 counts, but many mature specimens -i.e., with multiple whorls - smaller than the more common 63  $\mu$ m 138 139 cutoff were present). The sieve was soaked in methylene blue dye between samples to identify any 140 contamination. Sieved samples were dried in an oven and then split in a microsplitter to obtain a manageable number of foraminifera. At least 300 individuals were picked per sample. Additional 141 specimens were extracted using a solution with 80% acetic acid and 20% H<sub>2</sub>O, following the procedure of 142 Lirer (2000). The best-preserved of these were imaged with the Zeiss MERLIN Field Emission Scanning 143 144 Electron Microscope (FESEM) at the Universidad de Zaragoza.

# 145 2.2 XRF Core Scanning

Split cores were scanned with an AVAATECH XRF Core Scanner II at the University of
Bremen. The split core surface was covered with a 4-µm thick SPEXCerti Prep Ultralenel foil to avoid
contamination of the core material. Data were collected with a Canberra X-PIPS Silicon Drift Detector
(Model SXD 15C-1150-500) with a 1550 eV X-ray resolution, the Canberra Digital Spectrum Analyzer
DAS 1000, and an Oxford Instruments 50W XTF5011 X-Ray tube with rhodium target material, and ray
data were processed using the iterative least squares software WIN AXIL from Canberra Eurisys. To

152 obtain sufficient resolution, we used a slit-size of 12 mm and a step-size of 10 mm. We conducted three 153 line-scans to determine a range of element concentrations across the core section. For the first scan, we 154 used an accelerating voltage of 50 kV and a beam current of 1 mA with a sampling time of 20 seconds to 155 determine the concentrations of Ba and Sr. For the second scan, we used an accelerating voltage of 30 kV 156 and a beam current of 1 mA with a sampling time of 20 seconds to determine the concentrations of Sr, Rb, Zr, Zn, Pb, and Ni. For the third scan, we used an accelerating voltage of 10 kV and a beam current of 157 158 0.15 mA with a sampling time of 20 seconds to determine the concentrations of Al, Si, K, Ca, Ti, Fe, Mn, 159 and S. Ba, Ti, Al, Fe, and Ca scans are reported here.

# 160 2.3 Total organic carbon

161 Total organic carbon (TOC) was determined by measuring the difference between total carbon
162 (TC) and total inorganic carbon (TIC). TC and TIC were determined via ignition and acidification,
163 respectively, both of which produced CO<sub>2</sub> which was quantified with the infrared analyzer on an ELTRA

164 CS500 carbon sulfur analyzer, with analytical error of <2%.

## 165 2.4 Carbon Stable Isotopes

166 Bulk rock samples were taken every 5 cm for stable isotope analysis at the Biogéosciences Laboratory, University of Bourgogne Franche-Comté, Dijon, France. Samples were crushed in an agate 167 168 mortar and pestle into fine and homogeneous calcite powders, which were reacted with 100% phosphoric 169 acid at 70°C using a ThermoScientific DELTA V PLUS mass spectrometer, connected to a Kiel IV 170 carbonate preparation device. All isotopic values are reported in the standard  $\delta$ -notation in per mil relative to VPDB (Vienna Pee Dee Belemnite) by assigning a  $\delta^{13}$ C value of +1.95‰ to NBS19. External 171 reproducibility as determined by replicate analyses of laboratory standards was  $\pm 0.04\%$  (2 $\sigma$ ) for carbon 172 173 isotopes.

#### 174 **3. Results**

#### 175 **3.1 Age Model**

176	The age model used here (Table 1; Figure 2) is updated from the Expedition 364 Science Party
177	(Gulick et al., 2017). Calcareous nannofossil taxonomy is based on the CP zonation scheme of Okada and
178	Bukry (1980) following the taxonomic concepts of Perch-Nielsen (1985) and Bown (1998). Planktic
179	foraminifer biostratigraphy is based on the P zones of Berggren and Pearson (2005) as modified by Wade
180	et al. (2011), following the taxonomic concepts of Olsson et al. (1999) and Pearson et al. (2006). Key
181	planktic foraminifer taxa are illustrated in Figure 3. Calibrated ages assigned to each datum are those
182	reported in Appendix 3 of the Geologic Time Scale 2012 (Gradstein et al., 2012). Samples were taken at 2
183	cm increments from 616.2 -616.6 mbsf, and 5 cm increments above that. Paleomagnetic reversals are not
184	included in the age model because a heterogenous chemical remnant re-magnetization occurred
185	throughout the study interval obscuring the original polarity (Morgan et al., 2017; Gulick et al., 2019).
186	Although planktic foraminifera are abundant and diverse throughout the study interval, calcareous
187	nannoplankton are rarer and much less diverse in the Paleocene interval of Site M0077, and form globally
188	diachronous acmes for approximately 2 million years following the K-Pg mass extinction (Jones et al.,
189	2019). Nannoplankton zonal markers at Site M0077 are either absent (tops of CP2 and CP3) or
190	inconsistent with the planktic foraminifer datums (Tops of CP1 and CP4) (Figure 2). On the other hand,
191	first and last occurrences of biostratigraphically significant planktic foraminifera taxa occur in the correct
192	order and seem to indicate relatively constant sedimentation over the study interval (from the base of the
193	Paleocene to planktic foraminifer biozone P2). Additionally, planktic foraminifer acme events (e.g.,
194	Arenillas et al., 2000) also occur within the expected planktic foraminiferal biozones at Site M0077. For
195	these reasons, we consider the nannofossil datums which do occur at Site M0077 to be unreliable for age
196	control and did not include them in the age model. We are confident the first and last occurrence datums
197	of planktic foraminifera in the Chicxulub crater are coeval with those in the global ocean, and thus we
198	have used planktic foraminifer biozones listed in Table 1 to construct the age model.

# 199 3.2 M0077 Sedimentology and Terrigenous Flux

200 The Paleocene interval at Site M0077 is primarily pelagic carbonate with varying degrees of 201 dilution by terrigenous material (Figure 4). Magnetic susceptibility is a common tool to determine the 202 terrigenous component in pelagic carbonates (e.g., Liu et al., 2012), although without determining the 203 source of magnetic signal it loses some nuance, and so we use elemental data to provide more detail. Iron 204 is generally correlated with terrigenous flux, while calcium is primarily sourced from biogenic carbonate 205 (Rothwell and Croudace, 2015). Both Fe and Ca are often used to infer carbonate dissolution in deep sea 206 cores, particularly during the Paleogene, which was characterized by discrete episodes of  $CO_2$  release, 207 warming, and ocean acidification (Bralower et al., 2002; Edgar et al., 2007; Quillévéré et al., 2008; 208 Coccioni et al., 2010). However, we conclude that Fe and Ca variations at Site M0077 are driven by 209 changes in dilution rather than dissolution because: (1) the site is relatively shallow ( $\sim 700$  m) water depth 210 in the Paleocene (Lowery et al., 2018), well above the early Paleocene lysocline; and (2), intervals of 211 elevated Fe/depressed Ca do not correspond to intervals of reduced foraminifer preservation (Figure 5). 212 Core material at Site M0077 is strongly lithified, and had to be broken down with a mortar and pestle prior to soaking. An unfortunate side effect of this aggressive disaggregation is the fracturing of some 213 214 portion of the foraminiferal tests. We did not distinguish foraminifera broken in this way from fragments 215 of foraminifera which may have experienced partial dissolution on the seafloor due to deposition below 216 the lysocline, a common proxy for ocean acidification ("Foram Fractionation Index;" Thunell 1976). In 217 order to establish some quantitative proxy for foraminifer preservation, we instead report the number of 218 individuals in each counted population that could not be identified to the genus level. These "planktic spp." are excluded from population analysis (other than planktic/benthic ratio) but provide a useful 219 220 approximation of preservation, with more unidentifiable individuals indicating worse preservation. Figure 221 5 shows the lack of correlation between foraminifer preservation and Fe and Ca, and thus we interpret 222 variations in Fe as a proxy for terrigenous flux and not dissolution. Additionally, Ti/Al ratios are often 223 used to determine the relative contributions of fluvial and aeolian processes, as Ti is often associated with 224 coarser size fractions delivered by fluvial processes and Al with small, clay-sized material blown to sea as 225 dust (e.g., Ziegler et al., 2009; Govin et al., 2012).

226 Large variations in terrigenous flux are evident in the Paleocene interval of Site M0077 (Figure 4). Overall, terrigenous flux was low for the first ~ 1 Myr of the Danian and higher thereafter. Numerous 227 228 shorter peaks are superimposed on this long-term trend. The base rate of terrigenous flux, particularly 229 measured in Fe, is very low below 615.6 mbsf (65.4 Ma), has an initial peak at 615.0 mbsf (65.2 Ma), 230 decreases somewhat, and then remains relatively elevated for the rest of the study interval. It should be 231 noted that the closest land was > 500 km to the west in modern central Mexico (Gulick et al., 2019), and 232 thus terrigenous material only slightly diluted the pelagic carbonate at Site M0077. Ti/Al is positively 233 correlated with Fe (Figure 4), indicating that intervals of increased terrigenous flux to Site M0077 were driven by periods of enhanced fluvial input to the Gulf of Mexico. Thus, changes in terrigenous flux are a 234 235 useful proxy for changes in continental weathering in the Gulf of Mexico basin.

# 236 **3.3 Water Column Structure**

237 Planktic foraminiferal paleoecology provides insight into local hydrography. Planktic 238 for a provide the formattion of the second sec 239 single-species isotopic analysis (e.g., Aze et al., 2011; Birch et al., 2012). The pervasive foraminiferal recrystallization throughout Site M0077 prevents this kind of geochemical analysis, but fortunately we 240 can use the Paleocene compilation of Aze et al. (2011) and other published records to assign the species 241 242 to depth habitats (Table 2). The use of planktic foraminifer populations to reconstruct water column 243 stratification is fairly common, particularly the relative abundance of deeper dwelling taxa (e.g., Leckie et 244 al., 2002; D'Onofrio et al., 2016; Lowery et al., 2020). Here, we use the relative proportion of mixed 245 layer, thermocline, and sub thermocline taxa to reconstruct the degree to which the water column was 246 stratified (Figure 6). Dominance of mixed layer taxa indicates the lack of suitable habitat for 247 thermocline/subthermocline species, suggesting weak stratification with the mixed layer habitat extending 248 through much of the photic zone. Higher abundances of thermocline and subthermocline taxa indicate a 249 more stable habitat for these species, which may result from stronger water column stratification.

Conversely, a dominance of mixed layer taxa may indicate a lack of strong vertical stratification with nohabitat for organisms which live below stratified layers.

Overall, Site M0077 is dominated by mixed layer taxa for the first ~ 200 kyr of the Danian, 252 253 followed by a shift to more stratified waters from ~ 200-400 kyr (616.3-615.9 mbsf) after the boundary, a 254 return to mixed-layer dominated waters from 400-900 kyr (615.9-614.9 mbsf) after the boundary, and 255 finally a more permanent shift toward stable stratified waters after 900 kyr (above 614.9 mbsf) (Figure 6). Schaefer et al. (2020) found biomarker evidence for intermittent photic zone euxinia beginning around 1 256 myr after the K-Pg boundary, just above the level where we find the final shift to stable stratified waters. 257 258 Photic zone euxinia implies a lack of downward mixing of oxygenated surface waters, providing 259 additional evidence for increased stratification. The lack of evidence for photic zone euxinia below this 260 level suggests that poor stratification eliminated habitat space for thermocline and subthermocline species 261 in intervals dominated by mixed layer taxa.

## 262 **3.4 Export Productivity**

263 Export production, the removal of organic matter from the euphotic zone to the deep sea, is 264 primarily driven by the biological pump, in which organic matter is moved downward via biological pathways like sinking, fecal pellets, the daily vertical migration, etc. (Zhang et al., 2018). The pump is 265 266 usually described as having two parts: the export of net primary production out of the euphotic zone (~ 267 100 m depth) and the scavenging and remineralization of that organic carbon as it sinks to the seafloor, or 268 at least deep enough to be removed from the short-term carbon cycled (~ 1000 m depth) (e.g., Boyd and 269 Newton, 1995; Buessler, 1998; Legendre and Rivkin, 2002; Boyd and Trull, 2007; Buessler and Boyd, 270 2009; Henson et al., 2012). The amount of organic matter exported from the euphotic zone is often referred to as "export efficiency" (e.g., Buessler and Boyd, 2009) or pump "strength" (e.g., Henson et al., 271 272 2012), and the amount of organic matter that sinks below 1000 m is called "transfer efficiency" (Buessler and Boyd, 2009) or pump "efficiency" (Henson et al., 2012); we opt to use strength vs. efficiency here 273

(Henson et al., 2012). In the modern ocean these variables can be directly measured via satellites and
water sampling, but in paleoceanographic studies we can only indirectly reconstruct export production via
sedimentary proxies.

277 Biogenic barium, primarily preserved in marine sediments as barite ( $BaSO_4$ ), strongly correlates 278 with modern export production (Dymond et al., 1992; Francois et al., 1995; Eagle et al., 2003; Paytan and 279 Griffith, 2007) and is thus a commonly used export productivity indicator (e.g., Payton et al., 1996; Bains 280 et al., 2000; Griffith and Paytan, 2012). Barite is primarily formed in marine environments during the 281 remineralization of sinking organic matter, but it can also be sourced from terrigenous sediments. 282 Therefore, barium is normalized to the terrestrially-sourced element titanium (Dymond et al., 1992; 283 Paytan and Griffith, 2007). This "excess barium" proxy has been used to reconstruct export production in 284 the early Paleocene using XRF data (Hull and Norris, 2011), as we do in this study. Different continental 285 drainage basins may have differing Ba/Ti ratios, and thus long-term changes in sediment source area or dust vs. riverine flux may complicate interpretation of export productivity (Payton and Griffith, 2007). 286 287 However, significant changes in the sediment source to the southern Gulf of Mexico did not occur until 288 the Laramide Orogeny, which began in the late Paleocene and therefore would not have influenced early 289 Paleocene sedimentation (Galloway et al., 2000). Shorter term sedimentation changes related to impact-290 driven land denudation (e.g., Tschudy et al., 1984) were on the order of 8-20 kyr (Vajda et al., 2004), too 291 brief to explain the trends we observe. Because sediment is exclusively pelagic limestone, we consider 292 sedimentary source changes to be an unlikely driver of observed trends in biogenic barium. Another 293 possible source of barium in our study area is from the crater hydrothermal system, which was active 294 throughout our study interval, and which caused the precipitation of secondary barite in pore fluids in the 295 underlying impact breccia (Kring et al., 2020). We also regard this as an unlikely source of Ba enrichment 296 in the pelagic Paleocene sediments we examine here because secondary barite is only observed in the 297 impact breccia, meters below the contemporary Danian seafloor (Kring et al., 2020). Additionally, Ba is 298 only enriched in the lower few meters of the post impact sediments, while other hydrothermal elements

are enriched throughout our study interval, indicating that the hydrothermal system was active for
millions of years after the crater formed (Kring et al., 2020) and that Ba was not supplied to the seafloor
by this mechanism.

302 While Ba/Ti ratios can tell us about the overall strength and efficiency of the whole biological 303 pump, foraminifer ecology can help us understand some of its component parts. Planktic foraminifera live 304 in the upper water column and record conditions related to primary production. Paleocene planktic 305 foraminifer taxa exhibit adaptations which allows them to make some groups better adapted to different 306 levels of primary productivity. In the early Danian, some new genera (*Eoglobigerina* and the Subbotinids) 307 evolved spines, long protrusions of calcite which provide an anchor for rhizopods (i.e., feeding 308 appendages) and allow them to hold on to motile prey, enabling these groups to adapt a carnivorous 309 lifestyle and graze upon other zooplankton (Hemleben et al., 1991; Olsson et al., 1999). On the other hand 310 microperforate and smooth normal perforate planktic foraminifera (in the Paleocene, these include Guembelitria, Globoconusa, Parvularugoglobigerina, Woodringina, Chiloguembelina, etc.) are unable to 311 312 eat zooplankton, which are generally able to free themselves from unsupported rhizopodal networks; 313 these foraminifera are primarily grazers, feeding on phytoplankton and any organic detritus that drifts by (Hemleben et al., 1991). In the modern ocean, photosymbiont-bearing planktic foraminifera tend to 314 315 dominate in oligotrophic subtropical gyres (e.g., Hemleben et al., 1991). Photosymbiosis existed in 316 planktic foraminifera in the Cretaceous but all those groups went extinct at the K-Pg boundary, and the 317 strategy re-evolved several million years later at the end of our study interval, beginning with Praemurica 318 inconstans and followed by Acarinina, Morozovella, and Igorina (Norris, 1996; Birch et al., 2012). 319 Spinose and symbiont-bearing planktic foraminifera are better adapted to food-limited environments, and 320 should be predominant in oligotrophic waters. On the other hand, non-spinose, non-symbiont bearing 321 planktics, the grazers, are best adapted to eutrophic environments, and should be dominant there.

Benthic foraminifera are also powerful paleoenvironmental indicators. They are primarily
sensitive to changes in dissolved oxygen and food supply (Jorissen et al., 1995; Gooday, 2003; Van

324 Hinsbergen et al., 2005), and benthic abundance is also often inversely correlated with water depth (e.g., 325 Murray, 1976; Culver, 1988; Van der Zwaan et al., 1990; Leckie and Olson, 2003). The seafloor at Site 326 M0077 was clearly well-oxygenated throughout the study interval as evidenced by abundant ichnofauna 327 (Morgan et al., 2017; Rodriguez Tovar et al., in press) due to the lack of a crater wall to the northeast 328 (Gulick et al., 2008). The site was located in upper/middle bathyal depths (600-700 m; Gulick et al., 2008; 329 Lowery et al., 2018), and low-amplitude sea level change throughout the early Paleocene (e.g., Miller et 330 al., 2020) should not have affected the % benthics at this depth. With changes in oxygen and sea level thus ruled out, we are confident that food supply to the seafloor (i.e., export production) was the strongest 331 332 influence on % benthics at Site M0077. % Benthics may reflect changes in either the quantity or the quality (i.e., labile vs. refractory) of the organic matter that reached the seafloor (e.g., Jorissen et al., 1995). 333

334 Export productivity, measured by Ba/Ti, was high overall in the early Danian, and broadly 335 declined from 66.0 to ~64.5 Ma (616.5 to ~613.7 mbsf) (Figure 6). The interval of highest export 336 productivity terminated sharply around 65.7 Ma (616.2 mbsf). The subsequent period of decline is interrupted by a second peak in export production which occurred around 65.2 Ma (615.1 mbsf), after 337 338 which export production flattens out. The initial ~1 Myr period of high, generally declining export 339 productivity is also reflected in the foraminifera populations. Benthic foraminifera are more abundant 340 overall in the early Danian (Figure 6), indicating either higher export of organic matter to the seafloor 341 overall or a relatively large proportion of labile organic matter being exported. Likewise, non-spinose, 342 non-symbiont-bearing planktic foraminifera are more abundant in the early Danian as well (Figure 6). 343 This dominance is not an artifact of post-extinction communities being composed of only non-spinose 344 for a ppeared essentially immediately after the extinction: the lowest occurrences of *Eoglobigerina* and *Parasubbotina* occurs in Zone P0, while that of *Subbotina* occurs early 345 346 in Zone P1a, ~300 kyr after the boundary, indicating that an evolutionary advantage conferred by spines 347 existed in at least some parts of the ocean soon after the impact. The fact that the multiple existing species 348 of spinose foraminifera in the Chicxulub crater were out-competed by non-spinose foraminifera suggests

that spines did not confer much of an advantage at this *particular* place and time, which indicates food
must have been plentiful. Although not directly correlated with export productivity as measured by Ba/Ti,
these foraminifer proxies provide additional context on the state of different aspects of the biological
pump. Calcareous nannoplankton abundance data (Figure 6) show that the interval of overall high
productivity was dominated by calcareous nannofossil "disaster taxa" which bloomed in the aftermath of
the K-Pg mass extinction (Jones et al., 2019). These taxa persist longer at Chicxulub (~ 1 myr) than any
of the other sites.

The rest of the study interval is characterized by low and stable Ba/Ti ratios (with several small short-lived increases), higher abundances of oligotrophic planktic foraminifera, and fewer benthic foraminifera. As export productivity entered the last stages of its decline, the calcareous nannoplankton assemblage becomes more diverse, with the onset of a series of acme events, dominated by increasingly oligotrophic taxa (Jones et al., 2019).

# 361 4. Paleoceanographic evolution of the Chicxulub Crater

Collectively, our data indicate a shift from high export productivity and weak stratification in the earliest Paleocene to low export productivity and strong stratification a few million years later. The change between these two regimes also marks a shift in the plankton community. This progression occurs in several steps (see numbered, shaded bars on Figure 6) detailed below.

## 366 4.1 High export productivity, well-mixed water column (66.0-65.9 Ma).

367 The first 100 kyr after the Chicxulub impact (616.5-616.4 mbsf) were characterized by high

368 export production and were dominated by mixed-layer planktic foraminifera, predominantly

369 *Guembelitria, Globoconusa, and Parvularugoglobigerina, while the disaster taxon Cervisiella dominated* 

- the nannoplankton community. Schaefer et al. (2020) used biomarkers to document a bloom of
- 371 cyanobacteria in this interval as well. Several acmes of planktic foraminifera occurred across the Tethys
- and North Atlantic after that K-Pg boundary, termed Planktic Foraminiferal Acme Stages (PFAS;

Arenillas et al., 2000, 2006, 2016; Alegret et al., 2004). These represent a coeval succession of dominant
taxa in open marine sections over a wide geographic area. PFAS-1, the predominance of *Guembelitria*,
occurs in this earliest interval of post-impact sedimentary rocks (Figure 7).

376

#### 4.2 Very High export productivity, increasing stratification (65.9-65.7 Ma).

During the period from 100-320 kyr after the impact (616.4-616.1 mbsf), export productivity peaked, benthic foraminifer abundance increased, and thermocline and sub-thermocline dwelling foraminifera (*Eoglobigerina* and *Chiloguembelina*) became more common. This transition is coincident with a small increase in terrigenous flux (Figure 4). PFAS-2, the predominance of *Globoconusa* and *Parvularugoglobigerina*, occurs in this interval (Figure 7). The nannoplankton assemblage was still dominated by the calcareous resting cysts of dinoflagellates (*Cervisiella*).

## **4.3 Declining export productivity, well stratified water column (65.7-65.6 Ma).**

384 A sharp decrease in export productivity occurred ~320 kyr after the boundary (616.1 mbsf) in the 385 middle of a period of well-developed water column stratification. Braarudosphaera became predominant 386 in the nannofossil assemblage as Cervisiella declined, but this is coincident with an increase in 387 for a for a calcispheres tentatively identified as *Cervisiella* (Figure 6), suggesting that this taxon 388 may have just grown to a larger size because of a shift to particularly suitable conditions. Lieberand et al. 389 (2017) found *Braarudosphaera* oozes associated with hyperstratification during during the Oligocene. 390 This matches with increase in stratification we observe with planktic foraminifera at Site M0077. PFAS-391 3, the predominance of *Woodringina* and the sub-thermocline-dwelling *Chiloguembelina*, also begins in 392 this interval. This correlation, and the brief nature of this event, suggests that the changes in stratification 393 observed at Site M0077 are part of larger trends that extend at least across the North Atlantic. The Dan-394 C2 hyperthermal, which is not recorded in our carbon isotope data but which occurred at 65.7 Ma across 395 the North Atlantic (e.g., Ouillévéré et al., 2008; Barnet et al., 2019), may have caused an increase in 396 thermal stratification. A reduction in latitudinal temperature gradients during warm periods could have

reduced circulation and increased stratification. The lack of the diagnostic isotope excursion for this event
at Site M0077 may be due to a combination of low sedimentation rate and pervasive bioturbation
combined with diagenetic alteration of the carbonate; there is no evidence for a hiatus at this level. This
interval is not associated with any evidence for increased terrigenous flux.

# 401 4.4 Moderate export productivity, poorly stratified water column (65.6-65.1 Ma).

402 400 kyr after the impact (615.9 mbsf), water column stratification weakened and mixed layer taxa 403 again dominated the planktic foraminiferal assemblage. Benthic foraminifera reached their peak 404 abundance, perhaps indicating an increase in labile organic matter arriving at the seafloor. Foraminifer-405 sized calcispheres peaked and then declined as *Cervisiella* again came to dominate the nannofossil 406 assemblage (Jones et al., 2019). Export productivity had declined from its earlier peak but was still 407 relatively elevated compared to subsequent values.

## 408 4.5 Stratification redevelops and productivity bottoms out (65.1-64.7 Ma)

409 Over the next ~ 400 kyr (614.9-614.1 mbsf) stratification gradually strengthened while export 410 productivity slowly declined following a final large peak just below this interval. The termination of this 411 peak is associated with the onset of the first bloom of incoming Paleocene nannoplankton, Futuyania petalosa, at the very base of this interval, 900 kyr after the K-Pg boundary (Jones et al., 2019). This taxon 412 413 becomes more abundant throughout this interval, in conjunction with a small peak in the Ba/Ti ratios indicating export production that was lower than before but still higher than what is to come. Declining 414 415 export productivity is associated with an increase in spinose foraminifera, which have a broader diet than 416 non-spinose, non-symbiont-bearing planktics and thus were (and still are) better suited for lower nutrient 417 waters (e.g., Hemleben et al., 1991).

Total organic carbon is essentially zero for the first million years of the Danian and is higher, although still low, from 65.0-62.5 Ma (Figure 6). TOC enrichment is controlled by both productivity and preservation (e.g., Pederson and Calvert, 1990), so an increase in TOC concurrent with a reduction in export productivity suggests an increase in the preservation potential of organic matter. The most likely
mechanism for this increase is reduced ventilation of the seafloor, suggesting enhanced stratification at
the study area after 65.0 Ma. Biomarker data indicate the development of intermittent photic zone euxinia
in the crater at this time, providing additional evidence for increased stratification (Schaefer et al., 2020).
This interval is concurrent with increasing terrigenous flux (Figure 5).

#### 426 4.6 Stable, Stratified Water Column, Low Export Productivity (64.7-62.6 Ma)

427 The rest of the lower Paleocene record at Site M0077 (614.1-610.6 mbsf), below a series of

428 stacked unconformities spanning the uppermost Danian to the PETM, documents a stable, stratified,

429 increasingly oligotrophic environment. A stepped decline in Ba/Ti at the base of this interval is associated

430 with the initiation of the acme of *Cruciplacolithus primus* and then *Coccolithus pelagicus* (Jones et al.,

431 2019). Following the *Praeprinsius* acme, which terminates around 63.5 Ma (Jones et al., 2019), no further

432 acmes occur, indicating that the post-extinction ecosystem was finally stabilized. Ba/Ti ratios are

433 essentially stable although increase slightly through this interval.

## 434 **5** What Drove the Decline in Export Production?

435 A clear change in export productivity occurs ~300 kyr after the K-Pg boundary at many sites around the globe, although with local differences in whether export production goes up or down. In the 436 437 western Gulf of Mexico, benthic foraminiferal assemblages indicate an increase to pre-extinction levels of 438 export production ~300 kyr post impact (Alegret et al., 2001; Alegret and Thomas, 2005). Benthic 439 for a similar increase ~300 kyr after the K-Pg boundary on the eastern 440 side of the Atlantic Ocean in Spain (Alegret and Thomas, 2005). At the Gubbio section in Italy there is an 441 increase in benthic foraminifer abundance around 300 kyr after the boundary, suggesting higher export 442 productivity (Coccioni et al., 2010). At Maud Rise in the Southern Ocean, Ba/Ti and Ba/Fe ratios begin to 443 rise ~300 kyr after the K-Pg boundary (Hull and Norris, 2011). At Shatsky Rise in the equatorial Pacific, 444 export productivity briefly increases ~300 kyr after the K-Pg (Hull and Norris, 2011). Birch et al. (2016)

found an initial recovery of export productivity ~ 300 kyr after the boundary at Walvis Ridge in the South
Atlantic. These sites are broadly distributed geographically, and represent a range of depositional
environments. Although there are other sites at which no change is observed at this point in time (e.g.,
Vigo Seamount, São Paulo Plateau, and Wombat Plateau; Hull and Norris, 2011), the lack of a globally
consistent shift in productivity may be considered analogous to other major paleoceanographic events,
like the Paleocene Eocene Thermal Maximum (e.g., Gibbs et al., 2006) or Oceanic Anoxic Event 2
(Tsikos et al., 2004), in which local signals often differ significantly from the global "average" change.

These widespread shifts in export productivity around 65.7 Ma could be driven by a shift in ocean circulation patterns driving a shift in thermal stratification and mixing processes which reduced nutrient delivery to the euphotic zone. Indeed, there are limited data in support of changes in stratification at this time, including at Walvis Ridge in the South Atlantic (Birch et al., 2016) and the Gubbio section in Italy (Coccioni et al., 2010).

457 An alternative explanation is that shifts in export production are part of the gradual recovery of 458 marine ecosystems after a major mass extinction event. In this hypothesis, changes in the plankton 459 ecosystem drove changes in the local biological pump and explain regional patterns of export productivity 460 change. Plankton ecology is the single most important control on the strength and efficiency of the 461 biological pump (e.g., Henson, 2012). In the modern ocean, net primary production (NPP) driven by large 462 celled phytoplankton like diatoms and coccolithophores results in a stronger biological pump and thus 463 higher export production (e.g., Boyd and Newton, 1995, 1999; Buessler, 1998; Legrende and Rivkin, 464 2002; Boyd and Trull, 2007; Lam et al., 2011; Boyd, 2015). Primary production by smaller-celled 465 picophytoplankton like algae and cyanobacteria sinks more slowly and is more easily remineralized in 466 surface waters (the "microbial loop"); in regions where picoplankton dominate primary production, 467 nutrients are constantly recycled at shallow depth and export production is primarily composed of more 468 refractory organic matter which is resistant to degradation (Legrendre and Michaud, 1998; de la Rocha 469 and Passow, 2007). Counter intuitively, even though the biological pump is weaker when primary

470 production is dominated by picoplankton (less organic matter is exported from the euphotic zone), it is more efficient (a larger proportion of the organic matter that is exported from the euphotic zone safely 471 472 sinks to the seafloor because it is more refractory). This is because even though larger celled 473 phytoplankton tend to sink more quickly, they don't sink quickly enough to avoid scavenging at 474 intermediate depths, so the abundance of larger phytoplankton has the net effect of removing organic 475 matter and nutrients from the euphotic zone but not exporting it efficiently to the seafloor. For example, 476 Henson et al. (2012) document a strong, inefficient biological pump at high latitudes driven by diatoms, 477 in which 15-25% of NPP sinks below the euphotic zone but only 1-10% of that material reaches 2000 m water depth (where it is considered "exported," i.e., removed from the short term carbon cycle). On the 478 479 other hand, they describe a weak, efficient pump at low latitudes driven by small-celled phytoplankton, 480 where only 1-5% of NPP makes it out of the euphotic zone but 20-35% of that makes it to 2000 m.

After the K-Pg mass extinction, the dominant larger-celled phytoplankton of the Cretaceous, 481 482 calcareous nannoplankton, declined severely. Primary production was carried on by picoplankton like chlorophyte algae (Sepúlveda et al., 2009) and cyanobacteria (Schaefer et al., 2020; Bralower et al., in 483 484 *revision*), weakening the biological pump. In some oligotrophic regions, the shift toward picoplankton 485 and enhanced recycling of nutrients in the euphotic zone may have actually driven a local increase in 486 primary productivity (see discussion in Henehan et al., 2019). If the southern Gulf of Mexico was one of 487 these regions, then the recovery of calcareous nannoplankton would have facilitated a higher removal of 488 nutrients from the euphotic zone, thus causing the observed local shift from eutrophic to oligotrophic conditions. 489

Thus we have two hypotheses to explain the early Paleocene export productivity data at Site M0077: 1) increasing stratification (driven either by changes in global circulation or the local hydrologic cycle) reducing nutrient availability, or 2) a recovery of larger celled phytoplankton increasing export of nutrients and organic matter from the euphotic zone, reducing nutrient availability. Testing the latter hypothesis would require data on the relative abundance of groups of phytoplankton which do not usually 495 leave body fossils, like algae and cyanobacteria, and such data does not currently exist for any K-Pg 496 boundary section. Biomarker data from the Chicxulub crater do indicate a dominance of cyanobacteria in 497 the first few 100 kyrs after the K-Pg Boundary (Schaefer et al., 2020), corresponding to the interval of the 498 highest export production and thus providing some support for this idea. Bralower et al. (in revision) 499 found evidence of global blooms of microbial phytoplankton associated with the widespread deposition of 500 microcrystalline calcite above the K-Pg boundary. Moreover, Alvarez et al. (2019) found an increase in 501 average nannoplankton cell size coincident with the initial increase in diversity at Shatsky Rise in the 502 equatorial Pacific, indicating connection between nannoplankton cell size and the recovery of the 503 biological pump. However, without detailed plankton biomarker data we only have an incomplete picture 504 of the potential phytoplankton community and cannot directly test this hypothesis. We can, however, test the alternate hypothesis, that increasing stratification drove a decline in export productivity, by 505 506 determining if there is a correlation between proxies for stratification and/or terrigenous flux and export 507 productivity in the Chicxulub crater.

508 Figure 8 is a series of cross plots showing the lack of correlation between export productivity 509 indicated by Ba/Ti ratios and proxies for stratification and terrigenous flux. Figures 8A and B compare 510 two proxies for overall terrestrial input, total Fe and magnetic susceptibility, with export productivity; 511 both clearly show no trend. Figure 8C compares the ratio of Ti to Al, which tracks shifts in aeolian vs. 512 riverine input (e.g., Govin et al., 2012) with export productivity. There are clear shifts in the Ti/Al ratio 513 (Figure 4) coincident with shifts in Ca and Fe, indicating that shifts between wetter and drier climate 514 states drove changes in the delivery of terrigenous elements to Site M0077. However, when plotted 515 against Ba/Ti, it is clear that such shifts in sediment source have no bearing on export productivity. But 516 perhaps nutrients were sourced from depth, and there is a relationship between a weakly stratified water 517 column (facilitating upwelling) and enhanced export production. Figure 8D compares the percentage of 518 mixed layer planktic foraminifera with Ba/Ti to test this idea, and convincingly demonstrates that 519 changing stratification was unrelated to export productivity.

We therefore conclude that changes in terrigenous flux and stratification did not affect early Paleocene export productivity in the southern Gulf of Mexico. We favor the hypothesis that turnover in phytoplankton communities from picophytoplankton like cyanobacteria to larger phytoplankton like coccolithophores drove a strengthening of the biological pump and, paradoxically, a reduction in the nutrients in the photic zone and thus a decline in export production. Biomarker-based studies of the whole plankton ecosystem from multiple early Paleocene sites are necessary to test this hypothesis.

#### 526 6. Conclusions

527 The earliest Danian oceanic environment is often referred to as generally "unstable" (e.g., Hull et 528 al., 2011). Our data suggest that at least one component of this instability is a fluctuating degree of water 529 column stratification. Water column stratification varied widely over the first ~ million years of the Paleocene, but with an overall trend from poorly stratified to well stratified. Export productivity varied 530 531 over the same interval, with an overall decreasing trend. There is no strong correlation between proxies 532 for stratification or terrigenous flux with export productivity. Instead, we suggest that the decline in 533 export productivity was linked to turnover in the phytoplankton community, as post-impact blooms of 534 cyanobacteria (Schaefer et al., 2020; Bralower et al., in revision) and other non-fossilizing picophytoplankton gave way to larger calcareous nannoplankton. Picophytoplankton sink so slowly that 535 they are generally completely remineralized in the euphotic zone, increasing nutrients there and ensuring 536 537 that most organic export was highly refractory and thus likely to survive its trip to the seafloor. Larger 538 celled phytoplankton sink more quickly, and thus remove more nutrients from the euphotic zone. 539 However, this sinking is not fast enough to avoid scavenging below the euphotic zone, and the more 540 labile organic matter is more easily remineralized as it sinks, resulting in lower overall export of organic 541 carbon to the seafloor in regions not predisposed to high productivity. Existing data from Site M0077 542 support this plankton ecology hypothesis, with a dominance of cyanobacteria during the interval of 543 highest export production, and recovery of calcareous nannoplankton diversity as export production

declines, but more complete biomarker data on the rest of the non-fossilizing phytoplankton ecosystemare required to truly test it.

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## 547 Data Availability Statement

Planktic foraminifer data and XRF core scan data will be uploaded to the NOAA National Climate Data
Center before publication. Calcareous nannoplankton data are from Jones et al. (2019) and are archived as
GSA Data Repository Item 2019271.

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565

# 566 Appendix 1

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576 **Table 1.** Biostratigraphic datums for the Paleocene interval of Hole M0077A. Nannofossil datums

Taxon	Zone	Sample Above	Sample Below	Avg. Depth	Datum Age
Discoaster multiradiatus	Base of CP8	607.26	607.37	607.315	57.21
Morozovella acuta	Base of P4b	607.52	607.76	607.65	57.79
Heliolithus kleinpellii*	Base of CP5	607.52	607.76	607.65	59.94
Igorina pusilla	Base of P3a	609.28	609.3	609.29	62.3
Praemurica uncinata	Base of P2	610.6	610.65	610.63	62.6
Globanomalina compressa	Base of P1c	612.36	612.41	612.385	63.9
Chiasmolithus danicus*	Base of CP2	612.5	612.75	612.625	64.81
Subbotina triloculinoides	Base of P1b	615.21	615.26	615.235	65.25
Parvularugoglobigerina eugubina	Base of P1a	616.15	616.2	616.175	65.72
Parvularugoglobigerina eugubina	Base Pa	616.56	616.56	616.56	66

577 marked with asterisks are not used in the age model. Datum ages after Gradstein et al. (2012).

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579 Table 2. Planktic foraminifer depth habitat assignments based on the ecogroups of Aze et al., 2011. All assignments are from that paper unless

580 otherwise noted. No taxa assigned to Groups 5 and 6 appear in our dataset.

Aze et al. 2011 ecogroups	Group	Explanation	Members
Group 1	Open ocean mixed-layer tropical/subtropical, with symbionts	Very heavy $\delta^{13}\text{C}$ and relatively light $\delta^{18}\text{O}$	Morozovella, Igorina, Acarinina, Praemurica inconstans~, Preamurica pseudoinconstans~, Praemurica uncinata~
Group 2	Open Ocean mixed-layer tropical/subtropical, without symbionts	$\delta^{13}\text{C}$ lighter than species with symbionts; also relatively light $\delta^{18}\text{O}$	Guembelitria*, Parvularugoglobigerina*, Woodringina*, Globoconusa daubjergensis*†, Rectuvigerina cretacea*, Praemurica taurica, Subbotina triangularis,
Group 3	Open Ocean thermocline	Light $\delta^{13}\text{C}$ and relatively heavy $\delta^{18}\text{O}$	Globanomalina, Eoglobogerina Parasubbotina varianta, Subbotina trivialis, Subbotina triloculinoides
Group 4	Open Ocean sub-thermocline	Very light $\delta^{13}\text{C}$ and very heavy $\delta^{18}\text{O}$	Chiloguembelina midwayensis*, Chiloguembelina morsei^, P. pseudobulloides
Group 5	High Latitude	Species only found in high latitude sites	N/A
Group 6	Upwelling/high productivity	Species only found in sites of high productivity or upwelling	N/A

\*Olsson et al., 1999 and references therein

<sup>†</sup>Olsson (1999): "Although its abundance in near-shore sequences indicates a near-surface planktic habitat (Troelsen, 1957; Keller, 1989; Liu and Olsson, 1992), its oxygen isotopic signature and open-marine abundance patterns suggest a preference for relatively cool water masses (Premoli Silva and Boersma, 1989; D'Hondt and Keller, 1991; Liu and Olsson, 1992; D'Hondt and Zachos, 1993)."

~Norris (1996) and Birch et al. (2012) describe P. inconstans, P. pseudoinconstans, and P. uncinata, as symbiont-bearing.

^no isotope data are available for any other Paleocene Chiloguembelinids, so we place *Ch. morsei* in this group based on the data from its cousin *Ch. midwayensis*.





**Figure 1.** Location map showing the position of IODP Site M0077 within the Chicxulub crater.



588 **Figure 2.** Age-Depth plot showing the construction of the age model. Black circles are planktic

589 for aminifer datums, open diamonds are calcareous nannoplankton, several of which are missing from this

- 590 core. P zones are planktic foraminifer and CP zones are calcareous nannoplankton. The study focuses on
- the first ~3.5 myr of the Paleocene, to the base of Zone P2.



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Figure 3. SEM images of planktic foraminiferal index-species and other relevant species (scale bar = 100 microns). (a) *Guembelitria cretacea* (364-M0077A-39R-2 85-86 cm); (b) *Parvularugoglobigerina eugubina* (364-M077A-40R-1 17-18 cm); (c) *Parasubbotina pseudobulloides* (364-M0077A-39R-1 128-129 cm); (d) *Chiloguembelina morsei* (364-M0077A-39R-2 98-99 cm); (e) *Chiloguembelina midwayensis* (364-M0077A-39R-3 41-42 cm); (f) *Globoconusa daubjergensis* (364-M0077A-37R-2 116-117 cm); (g) *Subbotina triloculinoides* (364-M0077A-38R-2 60-61 cm); (h) *Eoglobigerina edita* (364-M077A-38R-2 60-61 cm); (i) *Globanomalina compressa* (364-M0077A-37R-1 116-117 cm);

601 37-38 cm).





**Figure 4.** Sedimentological proxies vs. depth. Core linescan composite of the Paleocene interval at Site M0077 is overlaid by XRF Fe counts. Increased Fe, decreased Ca, increased Ti/Al, and higher magnetic susceptibility are all proxies for higher terrigenous flux. Increased Ba/Ti indicated higher local export productivity.



Figure 5. Preservation vs Calcium and Iron XRF counts. Better preservation is toward zero on the y-axis
(i.e., fewer unidentifiable foraminifera). Two outliers >80,000 from pyrite-rich samples at the base of the
section were removed from the Fe plot. Ca shows a weak negative correlation with good preservation while
Fe shows a weak positive correlation with good preservation. This pattern is the opposite of trends caused
by dissolution.



614 Figure 6. Paleoceanography proxies plotted by age. Planktic foraminifer by depth habitat record the stratification of the upper water column; see Table 2 for species assigned to mixed layer, thermocline, and subthermocline planktic foraminifer groups. Planktic foraminifera by trophic strategy 615 616 record changes in paleoproductivity in the upper water column, Calcareous nannoplankton diversity shows the relative abundance of all (non-617 reworked) species of calcareous nannoplankton present; red taxa are the so called "disaster" opportunists, grey are incoming Paleocene taxa. Calcispheres shows the abundance of calcispheres >45 µm. %Benthics is the percentage of benthic foraminifera relative to all foraminifera, and is 618 619 interpreted to correspond primarily to abundance and quality of nutrient flux to the seafloor. Ba/Ti records paleoproductivity, with high ratios 620 indicating high productivity. TOC (total organic carbon) corresponds to changes in preservation potential of organic carbon at the seafloor. Numbered 621 gray bars represent discrete intervals discussed in Section 4 of the text.



**Figure 7.** Quantitative stratigraphic distribution of early Danian planktic foraminiferal groups at Site M0077 and Planktic Foraminiferal Acme Stages (PFAS) 1-3: PFAS-1 is the predominance of *Guembelitria*, PFAS-2 is the predominance of *Parvularugoglobigerina* and *Globoconusa* (or *Palaeoglobigerina* according to Arenillas and Arz, 2017), and PFAS-3 is the predominance of *Woodringina* and *Chiloguembelina*. A second acme of *Guembelitria* (or *Chiloguembelitria* according to Arenillas and Arz, 2017) occurs within this stage across the Tethys, as is also evident at Site M0077.

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**Figure 8.** Cross plots of Ba/Ti ratios (i.e., export productivity) with proxies for stratification and terrigenous flux: A) XRF Fe counts, a proxy for sedimentary dilution by terrigenous sediments, B) magnetic susceptibility, a proxy for the influx of terrigenous material, C) Ti/Al, a proxy for the relative abundance of riverine (Ti) vs. aeolian (Al) flux, D) the percent abundance of mixed layer foraminifera vs. total foraminifera, a proxy for the vertical stratification of the upper water column. R<sup>2</sup> values (and your eyeballs, probably) indicate that all 4 are uncorrelated and thus changes in export productivity were not related to terrigenous flux of water column stratification.

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