

1 **Win, lose, or draw: evaluating dynamic thermal niches of northeast Pacific**  
2 **groundfish**

3 Short title: thermal niches of northeast Pacific groundfish

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42

## 43 **Abstract**

44           Understanding the dynamic relationship between marine species and their changing  
45 environments is critical for ecosystem based management, particularly as coastal  
46 ecosystems experience rapid change (e.g., general warming, marine heat waves). In this  
47 paper, we present a novel statistical approach to robustly estimate and track the thermal  
48 niches of 30 marine fishes along the west coast of North America. Leveraging three long-  
49 term fisheries-independent datasets, we use spatiotemporal modeling tools to capture  
50 spatiotemporal variation in species densities. Estimates from our models are then used to  
51 generate species-specific estimates of thermal niches through time at several scales:  
52 coastwide and for each of the three regions. By synthesizing data across regions and time  
53 scales, our modeling approach provides insights into how these marine species may be  
54 tracking or responding to changes in temperature. While we did not find evidence of  
55 consistent temperature-density relationships among regions, we are able to contrast  
56 differences across species: Dover sole and shortspine thornyhead have relatively broad  
57 thermal niche estimates that are static over time, whereas several semi-pelagic species  
58 (e.g., Pacific hake, walleye pollock) have niches that are both becoming warmer over time  
59 and simultaneously narrowing. This illustrates how several economically and ecologically  
60 valuable species are facing contrasting fates in a changing environment, with potential  
61 consequences for fisheries and ecosystems. Our modeling approach is flexible and can be  
62 easily extended to other species or ecosystems, as well as other environmental variables.  
63 Results from these models may be broadly useful to scientists, managers, and stakeholders  
64 — monitoring trends in the direction and variability of thermal niches may be useful in  
65 identifying species that are more susceptible to environmental change, and results of this

66 work can form quantitative metrics that may be included in climate vulnerability  
67 assessments, estimation of dynamic essential fish habitat, and assessments of climate risk  
68 posed to fishing communities.  
69

## 70 **Introduction**

71           The relationship between marine species and their environment is complex and  
72 dynamic, shaped by both biological interactions and physical factors. In recent years, the  
73 urgency to understand this relationship has intensified due to the rapid rate of climate  
74 change and its profound impact on marine ecosystems (1). Tools to understand these  
75 effects of climate change have grown in response, including rapid advancements in both  
76 the complexity of statistical approaches for modeling the spatiotemporal variability of  
77 species (2–4) and methods used to quantify environmental drivers of distribution.  
78 Quantifying the tolerance of marine species to temperature or oxygen across their range is  
79 critical for prioritizing species that may be most at risk (5–7) or for making predictions in  
80 novel environments (e.g., unsampled areas in space or under future environmental  
81 conditions). These predictive efforts are grounded in the concept of the Grinnellian niche  
82 (8), which emphasizes the importance of the physical environment and the species' role  
83 within its ecosystem to their distribution patterns (9). The Grinnellian niche concept  
84 (where a species may exist) is closely related to the concept of the realized environmental  
85 niche (where a species does exist). Understanding how species niches change in time or  
86 space allows for predicting more accurately how fish populations, and the fisheries that  
87 depend on them, may shift in response to climate change (10); identifying species tolerance  
88 thresholds (11); and understanding the dynamics of invasive species (12).

89           In marine environments experiencing long-term changes with respect to  
90 temperature, oxygen, or other dynamic ocean variables, species niches may be shaped by  
91 life-history characteristics, species interactions, ability to adapt to new environments, as  
92 well as temporal variability in the environment. For instance, as their natal environment

93 changes, highly mobile species that are unconstrained by specific structural habitats or  
94 substrate types may be able to move to novel environments, and experience little to no  
95 change in their realized environmental niche. Variability in niche width may also be  
96 affected by a species' sensitivity to changes in the environment; species that are highly  
97 adapted or insensitive to change may have broader niche widths than more sensitive  
98 species (Fig. 1). Finally, the upper and lower bounds of a species range may be affected by  
99 different processes; both lower and upper bounds may shift in a warming environment if  
100 cooler habitats disappear, while upper bounds may also be influenced by species-specific  
101 metabolic constraints (13).

102  
103 Figure 1. Illustration of potential changes in thermal niche widths in a warming  
104 environment (mean temperature represented with dashed line). In each plot, the realized  
105 thermal niche is indicated by the shaded regions, and each plot shows a different  
106 combination of thermal niche width (columns) and dispersal capacity (rows). Purple  
107 shading indicates realized niches shrinking when all habitats warm evenly while orange  
108 shading indicates how the niche is affected when cooler areas are available despite overall  
109 warming. Though not shown, upper bounds of thermal niches may be further constrained  
110 by metabolic limits.

111  
112 The environmental niches of species can be estimated using a variety of methods,  
113 including laboratory experiments (14), field observations, and statistical modeling. As lab  
114 and field experiments can be prohibitively expensive on a large geographic scale, we focus  
115 on statistical modeling approaches for describing realized environmental niches from

116 existing field observations. Common frameworks for statistical approaches include species  
117 distribution models (SDMs), environmental niche modeling (ENM), and habitat suitability  
118 models (HSMs). Though data inputs or interpretation may differ slightly between these  
119 approaches (15), a common thread across these techniques is making inferences about the  
120 breadth of distribution of environmental variables that an organism inhabits or could  
121 potentially inhabit (i.e., niche width). While the terminology around models and niche  
122 concepts may cause confusion, we follow recent applications of the Grinnellian niche to  
123 define the space that an organism may inhabit, constrained by multiple environmental  
124 variables (9).

125         There are several existing statistical approaches for quantifying niche width or  
126 ranges (16). Some previous studies have taken a largely empirical approach, using the  
127 observed range of environmental conditions where species are found (9). Model-based  
128 approaches have also been developed, generally with presence-only data (e.g., ecological  
129 niche factor analysis, MaxEnt), though assumptions about absences may be problematic in  
130 some settings (15). More recently, model-based approaches have been used to estimate  
131 shifts in environmental niches using range edges (17). Recognizing that no single approach  
132 will perform best for all species and ecosystems (18), we aim to develop a model-based  
133 approach to estimating Grinnellian niches, using georeferenced surveys of biological and  
134 abiotic conditions (19).

135         The objective of this paper is to extend existing spatiotemporal modeling tools to  
136 estimate the Grinnellian thermal niche for a novel dataset of 30 marine species on the West  
137 Coast of North America (USA, Canada) and gauge the sensitivity of species' thermal niches  
138 by quantifying changes in the locations and widths of species thermal niches through time.

139 Using three long-term fisheries-independent datasets collected across a large-scale  
140 gradient of temperatures and depths, we (1) fit five SDMs to evaluate support for regional  
141 variation in density–depth and density–temperature relationships, and (2) generate time-  
142 varying estimates of temperature niches for each species. Using time-varying niches, we  
143 also evaluate which species niches are changing in direction (trending warmer / colder) or  
144 variability (increasing / decreasing niche widths) in response to warming. Thus, we infer  
145 which species have been climate ‘winners’ or ‘losers’ based on the historical change in  
146 thermal niche.

## 147 **Methods**

### 148 **Data**

149 Around the world, fishery-independent surveys of marine fishes are routinely conducted to  
150 support science and management; these surveys sample both commercially important  
151 species, as well as species of conservation concern. We used fisheries-independent trawl  
152 survey data from the following three regions in the Northeast Pacific Ocean (Figure 2): the  
153 West Coast of the United States (California-Oregon-Washington states, COW), British  
154 Columbia (BC), and the Gulf of Alaska (GOA). Data from the US West Coast bottom trawl  
155 survey have been collected annually by NOAA Fisheries since 2003 (20). Surveys in British  
156 Columbia have been conducted by Fisheries and Oceans Canada, also annually since 2003.  
157 Surveys in British Columbia are stratified in four regions, with two regions usually sampled  
158 in odd years (Hecate Strait and Queen Charlotte Sound) and two in even years (West Coast  
159 Vancouver Island and West Coast Haida Gwaii, 21,22). Finally, we used data from the Gulf  
160 of Alaska bottom trawl survey on the continental shelf, which have been collected by NOAA  
161 Fisheries since 1984. The Gulf of Alaska survey region extends from the Islands of Four

162 Mountains in the Aleutian Islands to Dixon Entrance in Southeast Alaska. The Gulf of Alaska  
163 survey was conducted every three years until 1999, when the survey became biennial.  
164 Because of changes in the sampling design and gear use in 2001 and earlier, and limited  
165 overlap with other surveys before 2003, we only included 2003–2021 Gulf of Alaska survey  
166 data. Surveys from all three regions use a similar stratified random sampling design,  
167 allowing for data to be combined and broad comparisons to be made. Across the three  
168 regions, we restricted our analysis to data-rich species, retaining only those with at least 50  
169 observations in all survey years ( $n = 35$  species from the US West Coast,  $n = 31$  in British  
170 Columbia,  $n = 18$  in Gulf of Alaska; Supplementary Information [SI]). Species were further  
171 filtered to only include those occurring in two or more survey regions, yielding a total of 30  
172 species (Table S1). All code and data to reproduce our analysis are in our public Github  
173 repository <https://github.com/fate-spatialindicators/temperature-niche>.

174

## 175 **Models**

### 176 *Workflow*

177 Our approach to estimating the distribution of species thermal niches through time  
178 involved separately fitting coastwide spatiotemporal models to species' densities (catch  
179 per unit effort) and bottom temperature, so that predictions from each may be projected  
180 onto a regularized grid within the domain of the surveyed regions, for each year (2003 to  
181 2021). As an alternative to in situ bottom temperature, predicted temperature from  
182 regional oceanographic models could be used as a covariate. We then merge gridded  
183 predictions of density and temperature for each species - year combination to generate  
184 estimates (with uncertainty) of thermal niches.



## 185 *Constructing flexible species distribution models*

186 To estimate the responses of groundfish density to temperature in a variable  
187 environment, we implemented spatiotemporal generalized linear mixed models (GLMMs),  
188 which have been widely used in fisheries to assess population status via index  
189 standardization (23), quantify range shifts (24,25), and identify spatial areas with high  
190 recruitment (26). These spatiotemporal GLMMs are flexible in that commonly used  
191 distribution families can be used to model the response. Variants of these methods used in  
192 species distribution modeling and fisheries applications have either modeled species  
193 presence-absence or total catches, or have used a hurdle (delta) framework to separately  
194 model occurrence and positive catch rates (27). For each included species (Table S1), we  
195 constructed spatiotemporal GLMMs using total catch rate as a Tweedie distributed  
196 response (log link; 23) because these values are both zero inflated and positively skewed.

197 The general form of the spatiotemporal GLMM can be represented as

$$198 \mathbf{u}_t = f^{-1}(\mathbf{X}\mathbf{b} + \boldsymbol{\omega} + \boldsymbol{\epsilon}_t)$$

199 where  $\mathbf{u}_t$  represents a vector of predicted occurrences across all locations at time  $t$ ,  $f^{-1}()$   
200 is the inverse link function,  $\mathbf{X}$  represents a matrix of main fixed-effects coefficients (such as  
201 year effects, region, depth, or environmental covariates) with estimated coefficients  $\mathbf{b}$ . We  
202 separate the spatial variation  $\boldsymbol{\omega} \sim \text{MVN}(\mathbf{0}, \boldsymbol{\Sigma}_{\boldsymbol{\omega}})$  from the year-to-year spatiotemporal  
203 variation  $\boldsymbol{\epsilon}_t$ , where the spatial component represents a spatial intercept (treated as a  
204 Gaussian Markov random field) and the spatiotemporal component represents temporal  
205 deviations from  $\boldsymbol{\omega}$ . As the BC and GOA regions in our dataset do not have consistent  
206 sampling in each year, we modeled spatiotemporal variation as a random walk process  $\boldsymbol{\epsilon}_t$   
207  $\sim \text{MVN}(\boldsymbol{\epsilon}_{t-1}, \boldsymbol{\Sigma}_{\boldsymbol{\epsilon}})$  to allow for flexibility in estimating the spatial and temporal processes in

208 years without data (28). Latent spatial and spatiotemporal random fields were  
209 approximated using a triangulated mesh (29) with 1322 vertices (with a minimum distance  
210 between vertices of 50km) calculated with the INLA R package (30). For simplicity, we  
211 assumed a shared range parameter between the spatial and spatiotemporal fields, though  
212 we allowed each field to have a unique variance. Additional details of the mesh  
213 construction are given in the SI.

214 A challenge in estimating the effects of a changing environment on fish populations  
215 is that environmental variables such as temperature or oxygen are often correlated with  
216 depth. These effects are present in our datasets, though the relationship varies across  
217 regions (Fig. 2). Such correlations are not unique to the marine environment; many  
218 terrestrial datasets also include similar correlations with altitude (31). As correlated  
219 variables may be problematic for some SDMs (32,33), we fit five models to data from each  
220 species (Table S1), allowing for a range of assumptions about depth and temperature  
221 effects varying by region. All models included quadratic effects of depth (log-transformed,  
222 then standardized to have zero mean and unit variance) but differed with respect to the  
223 inclusion of quadratic effects of standardized bottom temperature and interactions  
224 between region, depth, and temperature (Table S2). We restricted the effects of  
225 temperature to be quadratic, following on theory and previous work relating temperature  
226 variability to species distributions (14,34,35).

227  
228 Figure 2. Map of study area in the Northeast Pacific Ocean, using fishery-independent data  
229 from the Gulf of Alaska (GOA), British Columbia (BC), and the West Coast of the USA  
230 (California, Oregon, Washington states = COW). Survey locations in 2015–2016 are shown

231 to depict the spatial extent sampled in each region; the inset illustrates the correlation  
232 between the natural log of depth in meters and temperature in degrees Celcius for each  
233 region.

234

235 We found the maximum marginal log likelihood using Template Model Builder  
236 (TMB; 36), which implements the Laplace approximation to integrate out random effects.  
237 Specifically, we fit all models in R 4.1.0 (37) using the sdmTMB package (38) which  
238 interfaces automatic differentiation in TMB with INLA (30). Models that successfully  
239 converged (positive-definite Hessian matrix, and a maximum absolute log likelihood  
240 gradient  $< 0.001$ ) were then compared using the marginal Akaike's Information Criterion  
241 (AIC; 39) to evaluate the data support for alternative relationships between depth or  
242 temperature by region. For each species, we used the model with the lowest AIC score to  
243 estimate the historical trend and variability of the thermal niche through time. This  
244 approach is flexible, allowing for variability in both the environment and distribution in  
245 space and time.

#### 246 *Estimating thermal niches*

247 First, we generated predictions of gridded bottom temperature data using  
248 observations from the trawl surveys in our analysis. We fit *in situ* bottom temperature  
249 measurements from each of the trawl surveys as the response variable with penalized  
250 regression splines on depth and calendar day and spatiotemporal variability  
251 (spatiotemporal fields allow mean bottom temperature to be slightly different in each year  
252 and to vary in a non-linear pattern over time). Spatiotemporal fields were modeled as an  
253 autoregressive AR(1) process, allowing warm and cool locations to persist across time

254 steps. A single model was fit to data from all three regions, and predictions were made  
255 using prediction grids for each region (cell size differed slightly by region with most cells  
256 being 13.72 km<sup>2</sup> in the GOA, 4 km<sup>2</sup> in BC, and 10.29 km<sup>2</sup> in COW; 20). Using 1 July as a  
257 standardized date, we used the fitted model to predict bottom temperature to the grid from  
258 each region. To better understand the temporal variability across regions, we calculated a  
259 spatially weighted average of gridded predictions to generate a standardized temperature  
260 index that accounts for year-to-year variability in sampled locations, depths, and  
261 temperatures.

262         Second, we used the fitted SDMs for species-specific catch rates described above to  
263 make predictions of gridded densities by year (using the same prediction grid as used in  
264 generating gridded bottom temperature). Model-based estimates of thermal niche means  
265 and ranges were generated for each species–year combination by using the projected  
266 species' densities in each grid cell as weights,  $p_{i,t} = \frac{E[y_{i,t}]}{\sum_{i=1}^n E[y_{i,t}]}$ , where  $E[y_{i,t}]$  is the model  
267 predicted density of a given species in cell  $i$  and time  $t$ . Gridded bottom temperature cells in  
268 each year were then sampled with replacement, using the density weights to assign higher  
269 probabilities to cells with higher densities (this procedure was repeated independently for  
270 each of the 30 species). To better understand potential drivers of change within each  
271 region, we repeated this resampling procedure by only using the data from each region; the  
272 distribution of these temperature values in each year represents the estimated thermal  
273 niche. To quantify the ability of each species to track changes in the environment, we  
274 calculated the correlation between the mean thermal niche for each species and the  
275 average temperature across its range (following work quantifying essential fish habitat, we  
276 used grid cells representing 95% of total density; 40).

277 *Changes in thermal niches in response to recent warming*

278 In addition to changes in the direction of the realized niche over time, the range of  
279 thermal niches may be expanding or contracting in response to warming conditions  
280 (9,41,42). To evaluate support for these hypotheses, we defined the niche width ( $\Delta w$ ) as  
281 the difference between the 90% and 10% prediction intervals, and modeled inter-annual  
282 variability in  $\Delta w$  as the response, with the year-over-year change in temperature ( $\Delta C$ ) as  
283 the predictor,

$$284 \quad \Delta w_{i,t} = \Delta C_{i,t} b_i + \delta_{i,t}$$

285 where species-specific (i) slopes are estimated as random effects,  $b_i \sim N(\mu, \gamma)$ , and residual  
286 error for species i at time t is  $\delta_{i,t} \sim N(0, \sigma)$ . So that we could make probabilistic statements  
287 about the densities of individual ( $b_i$ ) and hierarchical parameters ( $\mu, \gamma$ ), models were fit  
288 using Bayesian linear mixed models with the R package brms (43) interfacing with Stan via  
289 rstan (44,45). Modeling changes in differenced temperature and niche widths accounts for  
290 non-stationary patterns, such as trends over time, and because the expectation is zero, no  
291 intercept is needed. We implemented this regression model using four Markov chain Monte  
292 Carlo chains, each with a burn-in period of 2000 iterations (followed by 2000 samples).  
293 Half Student-t (3, 0, 2.5) priors were used on both standard deviations ( $\gamma, \sigma$ ), and a flat  
294 uniform prior was placed on the global mean,  $\mu$ . Convergence was assessed using R-hat  
295 diagnostics ( $< 1.05$ ) and the absence of divergent transitions.

296 To evaluate support for spatial homogeneity in bottom temperature trends within  
297 each region (e.g. all habitat warming similarly, Fig. 1), we de-meant the predicted gridded  
298 bottom temperature for grid cell, and then calculated the standard deviation across all  
299 spatial grid cells within each region, by year. Annual time series of standard deviations  
300 from each region were then normalized to the 2003 values. To evaluate support for  
301 biomass being redistributed in warmer years (as a result of movement or other factors), we  
302 calculated anomalies with respect to temperature and species densities for each grid cell  
303 (de-meaning the time series of each independently). We then calculated the correlation and  
304 associated uncertainty between temperature anomalies and density anomalies for each  
305 species--year combination.

## 306 **Results**

### 307 *Changes in bottom temperature*

308 Our coastwide model of bottom temperature demonstrates differences in the  
309 magnitude and variability of sampled temperatures across the Northeast Pacific. Over the  
310 past two decades, on average, bottom temperatures in BC were warmer than other regions,  
311 and temperatures in the GOA were coolest (Fig. 3). Similarly, the aggregate bottom  
312 temperature index for the GOA exhibited relatively high variability compared to other  
313 regions (Fig. 3). As the sampling in each of the three regions is spatially random within  
314 strata, differences in mean temperature and the variability of temperature partially reflects  
315 differences in bathymetry (e.g., samples in the GOA were generally taken in depths  
316 shallower than 250 m, while approximately one third of samples in COW waters were  
317 deeper than 500 m, Fig. S3).

318

319 Figure 3. Estimated annual bottom temperature index from each of the regions (Gulf of  
320 Alaska, GOA; British Columbia, BC; California-Oregon-Washington, COW) in our analysis  
321 (projected to July 1). Points and solid lines represent means; ribbons represent 95%  
322 confidence intervals. Similar indices by depth bin are given in Fig. S3.

323

324 *Sensitivity of groundfish to changes in temperature*

325 We found strong support for the temperature and depth effects on species' densities  
326 to vary spatially (27 of 30 species, Table S3). For the majority of species in our analysis, the  
327 marginal effects of temperature supported a concave relationship between density and  
328 temperature (Fig. 4; exceptions included blackbelly eelpout and yellowtail rockfish). The  
329 marginal effects of temperature in our SDMs do not support consistent temperature-  
330 density relationships among regions, and do not support consistent differences across  
331 regions (such as stronger temperature gradients at lower latitudes).

332

333 Figure 4. Estimated conditional effects of temperature, by region, for each of the 30 species  
334 in our analysis. Marginal effects are not shown for species–region combinations that lack  
335 enough data to be included in our models (Table S1). For some species (Dover sole, etc.)  
336 the most supported model does not include region-specific temperature effects, and a  
337 similar curve is applied to all regions (slight differences remain because of small  
338 differences in the spatial fields between regions). For each species-region combination, the  
339 marginal temperature effect is only shown over the range of empirical data (temperatures

340 where the species is present). All other variables (spatial random effects, depth) are held at  
341 0.

342

### 343 *Model based estimates of thermal niches*

344 The estimated thermal niches for the species in our analysis appear to be species  
345 specific — depending on depth patterns, for example — but not associating with other  
346 identifying features (Fig. 5, S4). We found that species inhabiting southern areas of the  
347 California Current region, and those associated with shallow habitats, had the warmest  
348 thermal niches (e.g., rockfishes, big skate, lingcod; Fig. 5; Fig S5) while species found at  
349 deeper depths had the coolest thermal niches (e.g., sablefish; Fig. 5). Seven species had  
350 estimated niches whose mean temperatures indicated significant change through time;  
351 these included Walleye pollock (1.33 °C / decade), sharpchin rockfish (0.37 °C / decade),  
352 Pacific hake (0.27 °C / decade), sablefish (0.20 °C / decade), longnose skate (0.15 °C /  
353 decade), Pacific ocean perch (0.14 °C / decade), and spotted ratfish (-0.14 °C / decade).  
354 Across regions, we found the highest associations between bottom temperature and  
355 estimated niche midpoints to occur in the Gulf of Alaska (Fig. S4).

356

357 Figure 5. Estimated coastwide, realized thermal niches for 30 species. Dark blue lines  
358 indicate mean estimates and ribbons represent 50%, 60%, 70%, 80%, and 90% predictive  
359 intervals. Red solid lines represent the species-specific empirical mean temperature in the  
360 core range (where 95% of the density was found over the entire time period) while red  
361 dashed lines represent the average temperature across the three regions in our analysis  
362 and are the same across plots.



363 *Changes in thermal niche widths in response to warming*

364 Our regression model relating changing thermal niche widths to changing  
365 temperatures estimated a near-zero global slope (-0.02, 95% CIs = -0.25, 0.22) but with  
366 considerable species-specific variation around that (Fig. 6). Species whose niches were  
367 estimated to narrow with increases in temperature included Pacific spiny dogfish,  
368 arrowtooth flounder, canary rockfish, three species of sole, and lingcod (Fig. 6). In contrast,  
369 the thermal niche widths of halibut, sablefish, and yellowtail rockfish were estimated to  
370 broaden with increasing temperature suggesting that an increase in temperature is  
371 associated with increases in the variability of thermal environments these species are  
372 found in.

373  
374 Figure 6. Estimated change in the thermal niche range (difference between the 90% and  
375 10% intervals, with units in degrees Celsius) of 30 species resulting from a change in 1  
376 degree of temperature. Points represent the posterior means, horizontal lines represent  
377 the 95% credible intervals, and colors correspond to the correlation between observed and  
378 predicted changes in niche widths for each species. The horizontal red line at zero  
379 represents no change.

380  
381 Across the three regions in our study, bottom temperatures in British Columbia  
382 exhibited the largest trend in spatial variability (declining variability, indicating more  
383 homogeneous conditions within the survey area; Fig. S6), though these trends were  
384 generally small in magnitude. There appeared to be little evidence of the redistribution of  
385 biomass from warmer to cooler spatial regions (Fig. S7), though several species had

386 consistent negative relationships between density and warming (longspine thornyhead,  
387 Pacific cod, silvergrey rockfish). In contrast, Pacific spiny dogfish and longnose skate  
388 demonstrated positive correlations with temperature (higher density in warmer areas).

## 389 **Discussion**

390 The effects of climate change and warming oceans have been evident in a wide  
391 range of species around the world; these effects include shifts in distribution and changes  
392 in abundance (46), recruitment (47), metabolism (48), and reproduction or growth  
393 (including phenology; 49,50). As the effects of warming conditions are expected to vary  
394 among species and over space and time, the thermal niches that species inhabit are  
395 expected to shift in direction or expand/contract in response to warming conditions  
396 (7,9,41,51). Understanding the sensitivities of species to change and how well species are  
397 able to track changes in the environment is critical for sustainably managing fisheries,  
398 prioritizing resources for future data collection and protecting species at risk (52). While  
399 previous approaches in the Northeast Pacific have used more qualitative approaches to  
400 prioritize climate vulnerability (53), our modeling represents a powerful quantitative  
401 approach using *in situ* measurements from fishery-independent observations across a large  
402 continuous geographic area.

403 Using three long-running fishery-independent datasets collected across the shelf of  
404 the Northeast Pacific, we applied novel spatiotemporal modeling techniques to (1) develop  
405 coastwide models relating species densities to depth and temperature, and (2) use  
406 predictions from SDMs to generate dynamic estimates of species realized thermal niches.  
407 Our results highlight a spatial contrast between the cooler and shallower waters of Alaska  
408 (where temperatures on average are more variable) with the warmer and deeper waters

409 off the West Coast of the USA (Fig. 3, Fig. S3); for species occupying a wide range of depths  
410 (e.g., sablefish), deeper waters with less variable temperatures may offer a refuge as future  
411 temperatures increase at other depths and in other regions, provided that other conditions  
412 in deep waters along the West Coast remain ecologically suitable. Though historical  
413 variability may not be indicative of future change, multi-decadal scale forecasts suggest  
414 that over the next 75 years, sablefish and other groundfishes will shift to deeper waters in  
415 the California Current, reducing their availability to fisheries (54). These projected shifts  
416 may not change the niche width for species like sablefish that do not have additional  
417 habitat requirements, but for structure-associated species such as rockfish that typically  
418 found near boulders and rock formations, niche widths may narrow since such structure is  
419 less common farther from shore. Several ecological hypotheses, including the climate  
420 variability hypothesis and Rapoport's Rule, have been proposed to suggest why the  
421 environmental niches of species inhabiting higher latitudes are wider than those inhabiting  
422 tropical regions (55). Results from our study do not support strong latitudinal gradients  
423 across regions, and these findings complement recent physiology - distribution models,  
424 which have also contradicted these hypotheses (56).

425         Several species in the Northeast Pacific groundfish community indicated either  
426 directional change in thermal niches or a narrowing of the niche width in response to  
427 changing conditions. Our analysis provided evidence of warming thermal niches for two  
428 pelagic species (walleye pollock in Alaska, Pacific hake in BC/COW, Fig. 4) and several  
429 demersal species (including sablefish and Pacific Ocean perch) – however our analysis did  
430 not support a narrowing of niche widths in response to temperature for these species.  
431 Species whose niche widths did appear to narrow over time in association with warming

432 included Pacific spiny dogfish, canary rockfish, and arrowtooth flounder (Fig. 5). As there  
433 have not been large redistributions of biomass for most species across survey regions over  
434 the last 20 years, these directional changes in niches and narrowing of niches in response  
435 to warming are more likely driven by local relationships between temperature and density  
436 (within survey regions). Using arrowtooth flounder and walleye pollock as examples, the  
437 increased warming of the thermal niches for these species since the mid-2000s (Fig 5) is  
438 largely driven by the directional change in temperatures in the Gulf of Alaska over this  
439 period, where the majority of biomass for these species is concentrated (Fig 3, Fig S5).  
440 These distinctions between species tracking climate with a constant or broadening niche  
441 width and species whose niche width is narrowing can help define climate winners and  
442 losers. Taken together, changes in spatial distribution and niche width provide insight into  
443 how climate is influencing marine community structure.

444

#### 445 *Interpreting changes in environmental niches*

446 There are a number of potentially confounding processes that make interpreting  
447 changes in the direction or variability of environmental niche widths complicated, and  
448 changes in the mean or variance may not always be associated with distribution shifts. For  
449 example, estimated thermal niches may warm more rapidly if a species' distribution shifts  
450 to warmer waters but also if their distribution remains static and surrounding ocean  
451 conditions warm at a similar rate. Changes in thermal niches may also occur if the depth  
452 distribution of a species shifts or if external pressures that are not spatially random (e.g.,  
453 fisheries harvests) remove large portions of a population. Similarly, changes in the thermal  
454 niche width of a species may not be independent of a change in distribution; niches may

455 narrow as a result of a species' shifting its distribution to a less variable environment but  
456 also may occur if temperatures across the range become more uniform. Finally, apparent  
457 changes in the direction or width of an environmental niche may also be driven by changes  
458 in population age structure; if older individuals are much more resilient to a broader range  
459 of environmental conditions than younger individuals, a large cohort pulse through the  
460 population may lead to inference that the environmental niche is shifting or contracting  
461 over time. Furthermore, many species demonstrate ontogenetic shifts in habitat that could  
462 complicate inference (either gradual shifts in depth along seafloor, or vertical distribution  
463 changes due to the presence of pelagic and demersal life stages). Future work extending  
464 our approach to include multiple stage- or age-classes may help refine our understanding  
465 of thermal niches for these species.

466

#### 467 *Links to management*

468 Our analysis represents an application of statistical models to quantify  
469 environmental tolerances to marine fishes throughout their range. Tracking changes in the  
470 direction or breadth of thermal niches may be useful for management; these approaches  
471 may help in prioritizing species for more frequent stock assessments and identifying  
472 species that may be most at risk to future change. In each of the study regions, efforts are  
473 already underway to include ecosystem/environmental information within the fisheries  
474 management process. For example, Ecological and Socio-Economic Profiles (ESP) have  
475 been developed for priority stocks in the Alaska groundfish fishery management plans. The  
476 ESP is a standardized methodology and framework to identify critical processes that may  
477 impact the survival of a given stock and to integrate this information within the stock

478 assessment process (ESPs, 52). Risk tables, a tool to address ecosystem/environment and  
479 population dynamic concerns external to stock assessments and inform harvest  
480 recommendations (57), have also been developed for Alaska groundfish stocks and are  
481 gaining traction in U.S. west coast fisheries management as well. Changes in species habitat,  
482 distribution, and thermal niches are key considerations for ESPs and for assessing current  
483 and future risk posed to marine species, fisheries, and fishing communities in the face of a  
484 rapidly changing climate.

485         Additional modeling *to tease apart intraspecific variation in responses may make*  
486 *our outputs or those from similar analyses* even more valuable for management efforts.  
487 Some of the species in our analysis are relatively long lived (lifespans > 50 years) and may  
488 have differential vulnerability to changes in the environment across multiple life stages  
489 (58–60). Understanding stage-specific impacts of changes in the environment may help  
490 managers better anticipate potential impacts of environmental change; for example, the  
491 ability to predict changes in population recruitment may help set precautionary harvest  
492 levels and forecast future population sizes in age structured population models. There are  
493 also potential linkages to transboundary management. For example, Pacific hake  
494 represents a commercially important species whose distribution is both linked to  
495 temperature and is highly variable year to year across the USA–Canada border (61).  
496 Similarly, dogfish appear to have narrowing niches in warmer years, indicating a greater  
497 coastwide vulnerability to bycatch. Results from our modeling may be combined with  
498 global or regional climate models and applied to additional species to identify future  
499 hotspots of density or areas of elevated bycatch risk.

500

## 501 *Conclusion*

502 Our approach represents an advance in the development of model-based approaches for  
503 estimating environmental niches from spatial fishery-independent data. The species  
504 distribution models used represent correlative approaches, and a number of additional  
505 extensions could be made to increase understanding of the impacts of climate change on  
506 marine fishes (62). One potential advance is to further integrate different kinds of data,  
507 such as data from experimental approaches or lab studies (63), to better understand  
508 metabolic processes or thresholds. Acknowledging the potential for observed distribution  
509 or abundance shifts to be affected by external factors (e.g., fisheries), intrinsic dynamics  
510 (e.g., changing age- or sex-structure), as well as impacts of climate, may help disentangle  
511 the effects of these various factors on empirical changes in niche distribution. Finally,  
512 effects of warming are expected to be non-linear and interact with other abiotic drivers  
513 (e.g., oxygen, habitat); our univariate approach focused on temperature may be easily  
514 extended to include additional dimensions to explore these more complicated interactions.

## 515 **Acknowledgements**

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## Supporting information captions

Table S1. Summary of the 30 species included in our analyses; each is encountered in fishery-independent trawl surveys in at least two of the three Northeast Pacific regions (GOA = Gulf of Alaska, BC = British Columbia, COW = California-Oregon-Washington on the US West Coast).

Table S2. Table of candidate models included in our sensitivity analysis of estimating environmental niche. Columns with `.' represent models with estimated interactions between variables. For models with interactions, interaction terms are estimated for both the linear and quadratic coefficients.

Table S3. Table of marginal delta-AIC model selection values; smallest values (0, in bold) represent the most parsimonious models. Cells with missing values represent models that did not appear to converge (non-positive-definite Hessian matrix, or large final maximum gradients).

Figure S1. Estimated thermal midpoint (and range, as lines) for groundfish species from the Gulf of Alaska (GOA), British Columbia (BC), and California-Oregon-Washington (COW). Estimates are compared with and without a depth effect included, and the red line represents the 1:1 line.

Figure S2. Estimates of the range and midpoint of the quadratic effects of bottom temperature on groundfishes in the NE Pacific by region (color; the Gulf of Alaska, GOA; British Columbia, BC; California-Oregon-Washington, COW). All models are fit to unstandardized data, so both axes are in units of degrees Celsius.

Figure S3. Estimated temperature index ( $^{\circ}\text{C}$ ) from fishery-independent trawl surveys by region (the Gulf of Alaska, GOA; British Columbia, BC; and California-Oregon-Washington, COW), stratified by depth bins. Shaded regions represent 95% confidence intervals, and solid lines (and points) represent index means. The proportion of samples in each depth bin varies across regions. The shallow depth bin represents 87% of the tows in the GOA, 76% of tows in BC, and 48% of tows in COW; the middle 250–500 m depth bin represents 11% of tows in the GOA, 22% of tows in BC, and 20% of tows in COW.

Figure S4. Correlations (Corr) between the estimated thermal niche and temperature within the range of each species, stratified by region (the Gulf of Alaska, GOA; British Columbia, BC; and California-Oregon-Washington, COW). This can be calculated as the correlation between the solid red and blue lines in Figure 5.

Figure S5. Estimated realized thermal niches by region, for 30 species. Ribbons indicate 80% predictive intervals (colored by region) and solid lines represent the mean. Regions include the Gulf of Alaska (GOA), British Columbia (BC), and California-Oregon-Washington (COW).

Figure S6. Spatial standard deviation of predicted gridded bottom temperature data for each of the three regions in our analysis (GOA = Gulf of Alaska, BC = British Columbia, COW = California - Oregon - Washington states). The standard deviation time series across all cells in a region is represented (normalized by the estimate for that time series in 2003, so that all series start at 1). The dark grey line represents the spatial standard deviation across the entire survey domain (for years when survey data were collected in all regions).

Figure S7. Estimated correlations between de-meaned gridded predictions of temperature and de-meaned gridded predictions of species densities (95% CIs are shown with error bars). Positive correlations indicate species-year combinations where warmer cells are associated with higher densities; negative correlations indicate species-year combinations where density declines as temperature increases spatially.



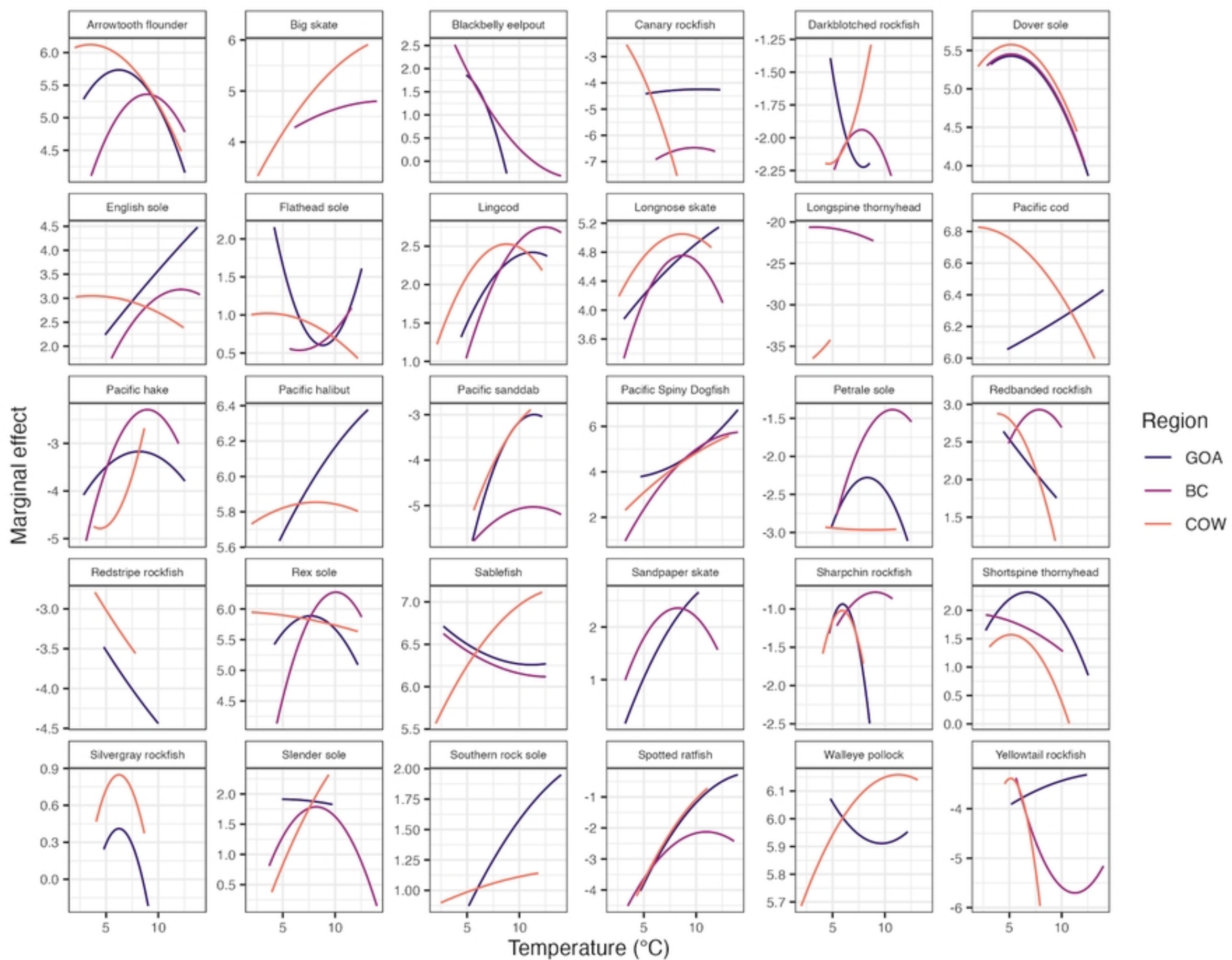


Figure 4



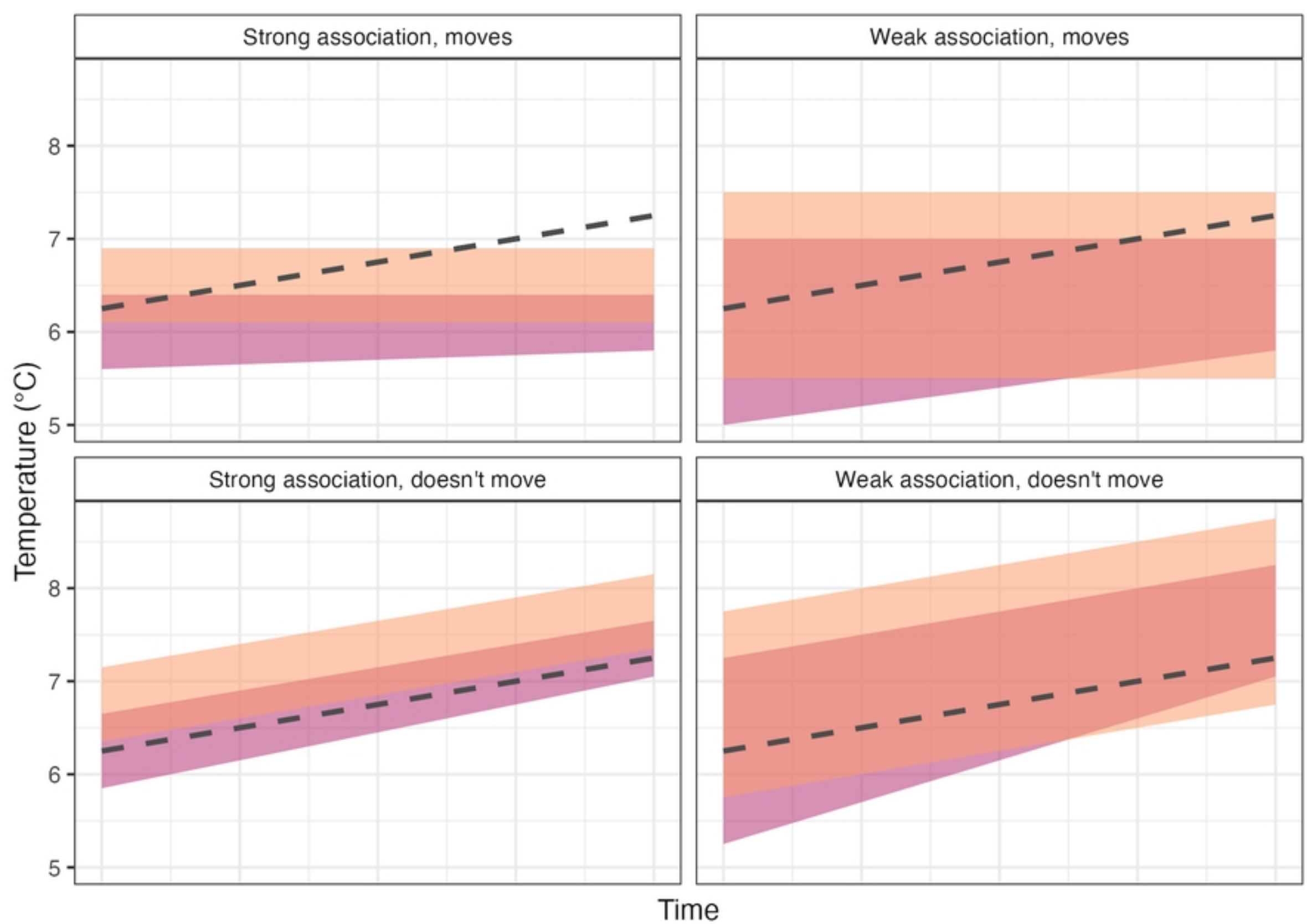


Figure 1

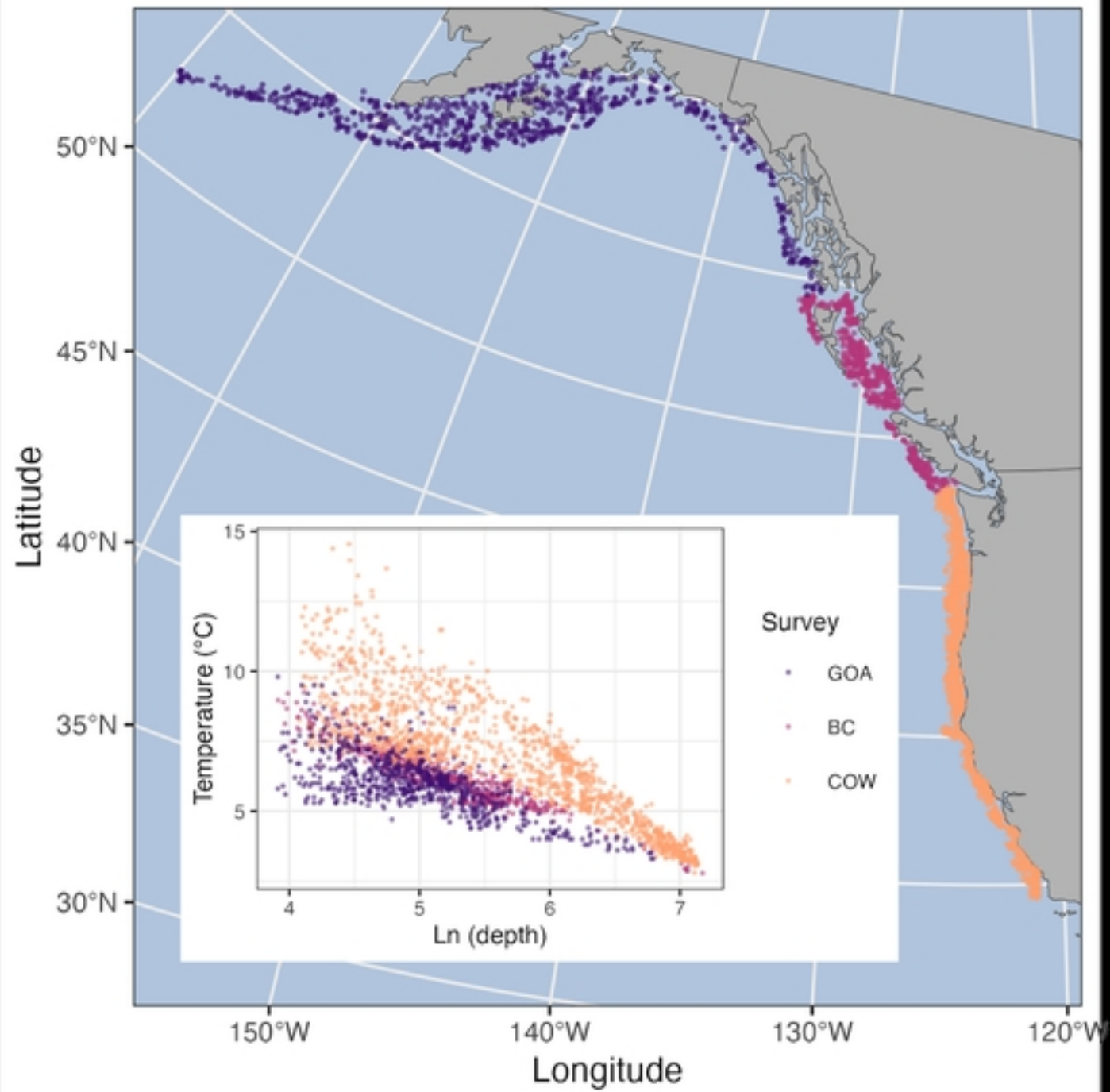


Figure 2

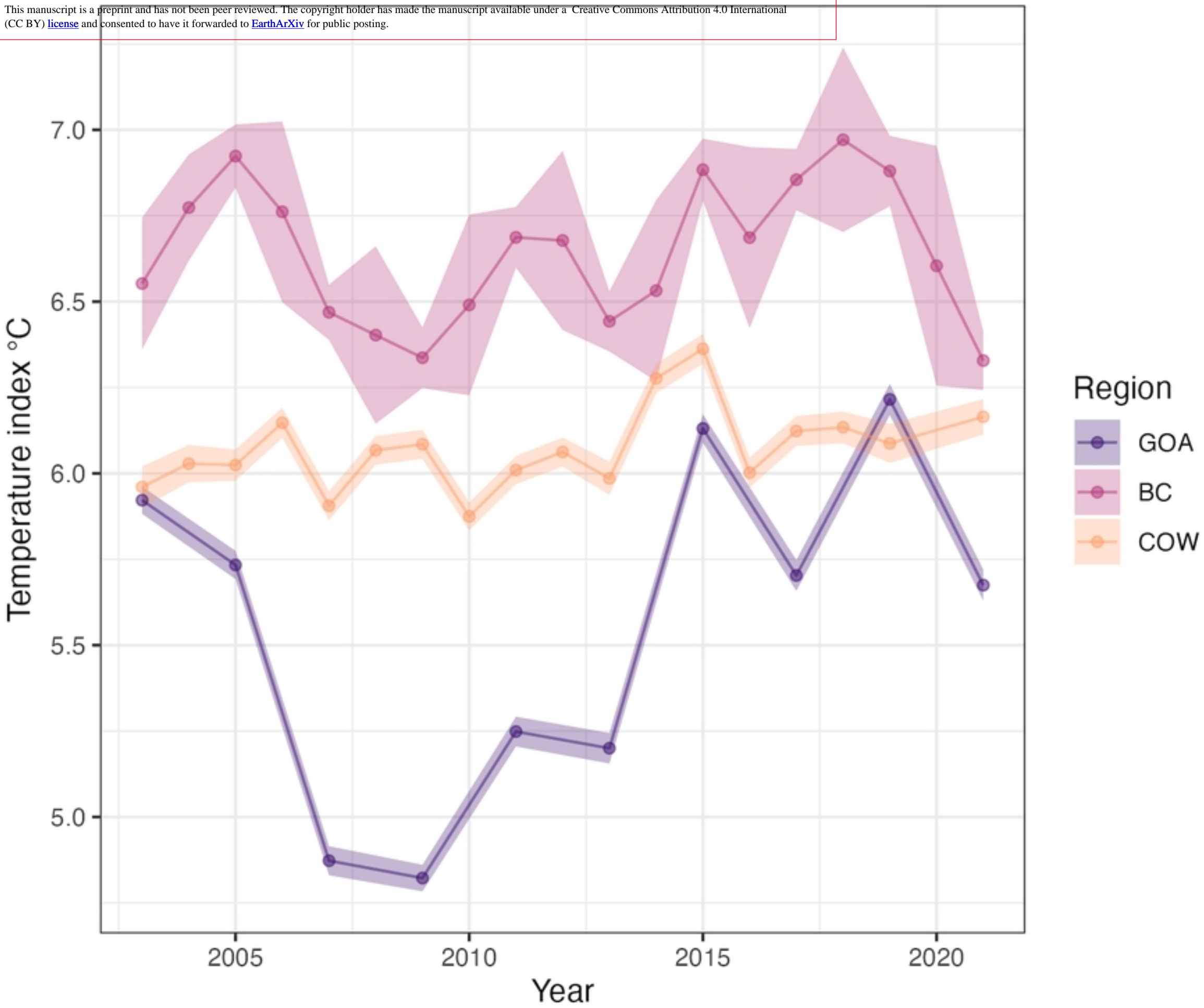


Figure 3

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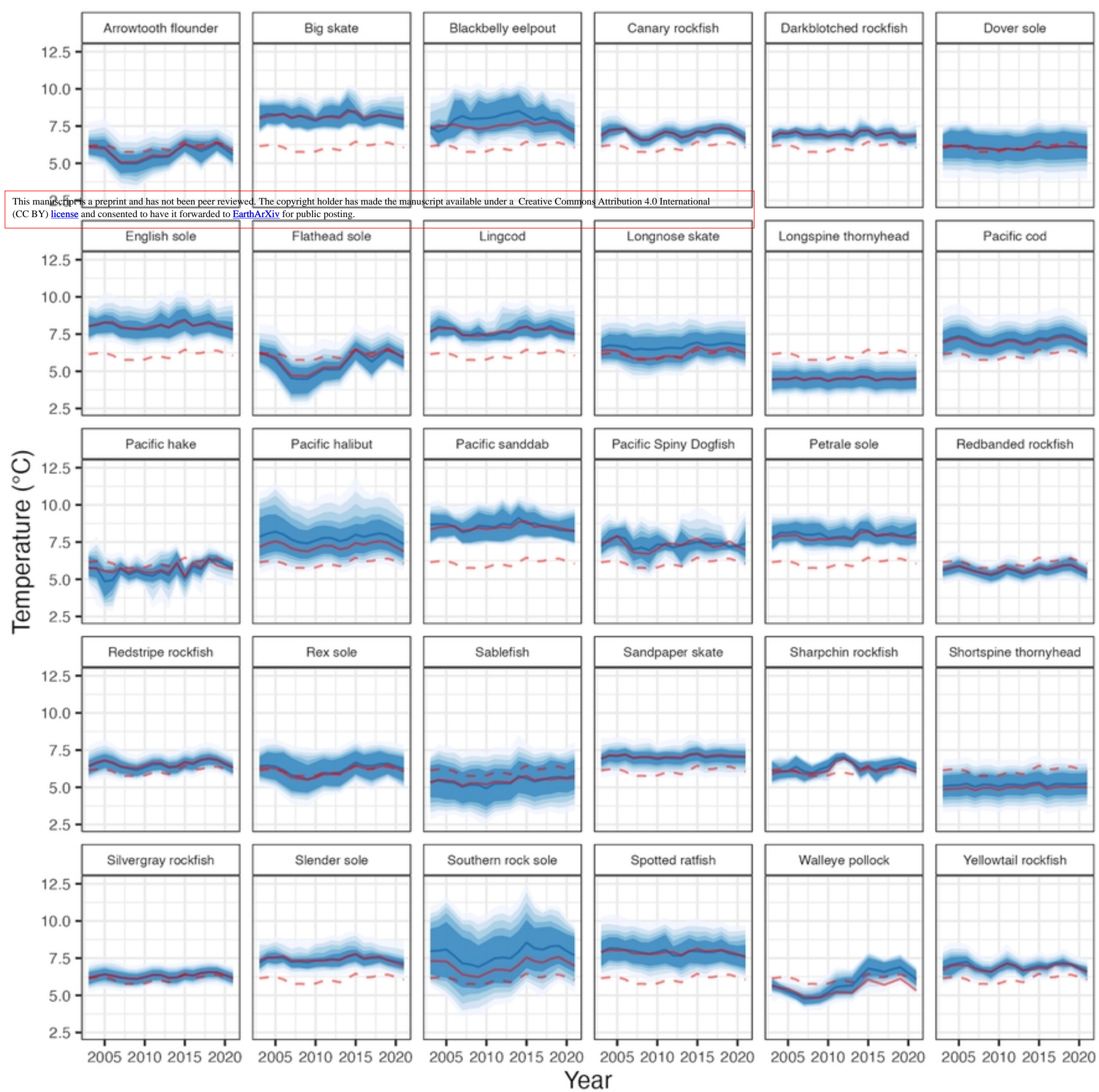


Figure 5



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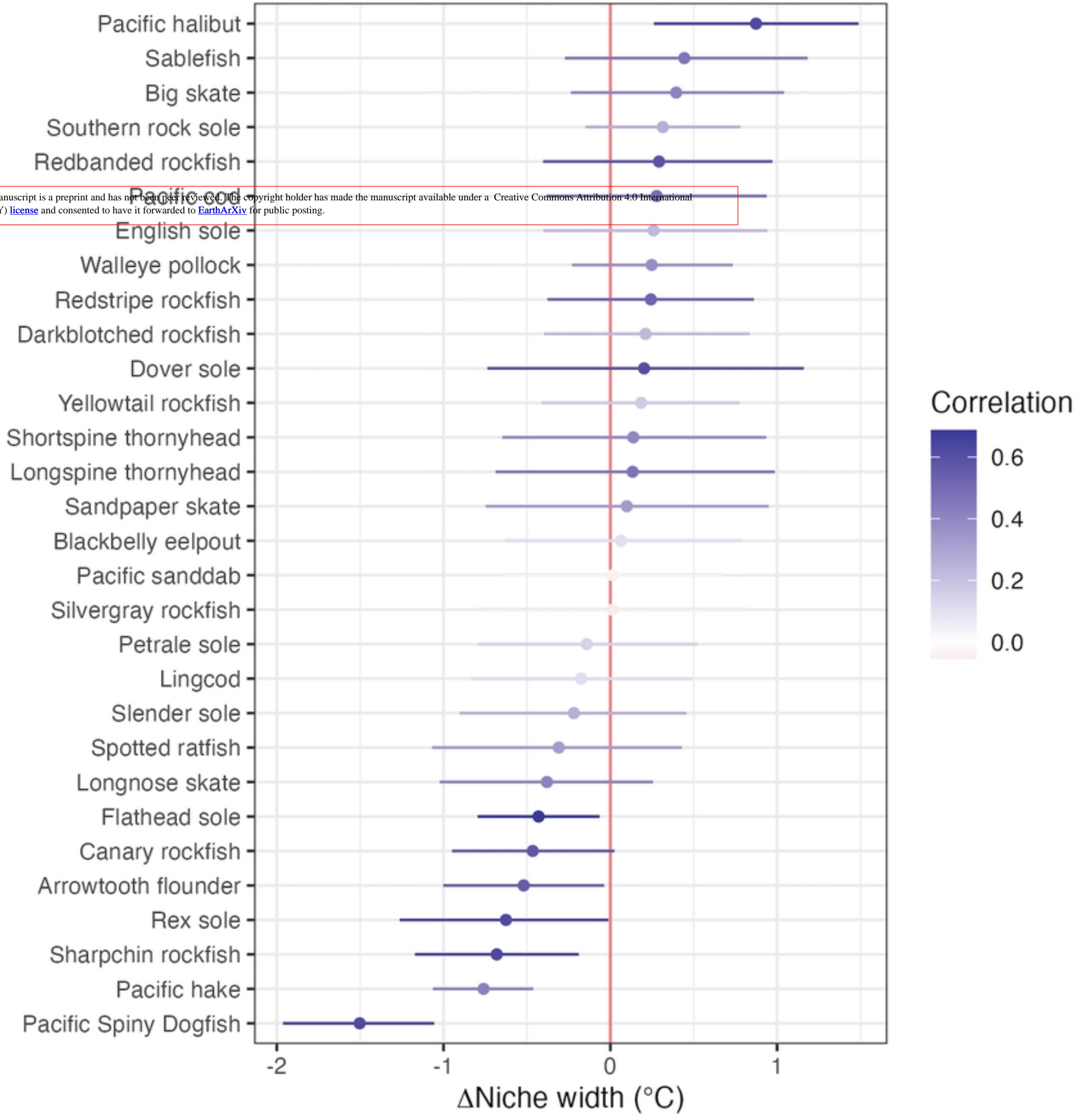


Figure 6