

1 Title: Dynamic Rupture Modeling in a Complex Fault Zone with Distributed and Localized Damage

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## 38 Highlights

- 39 • We combine a continuum damage-breakage rheology model in our in-house dynamic rupture simulator  
40 adopting linear slip weakening friction law for the current study.
- 41 • We quantify the effects of damage and breakage using spatial-temporal distribution of particle velocity  
42 and wave-speed reduction.
- 43 • The results highlight the growth of localization bands and the competing effects between localized  
44 fault slip and inelastic bulk deformation.
- 45 • Comparisons between continuum damage-breakage model and plasticity reveal that higher slip, slip  
46 rate, increased energy radiation and decreased energy dissipation can be observed in damage-induced  
47 softening stage.

48 Dynamic Rupture Modeling in a Complex Fault Zone with Distributed and  
49 Localized Damage

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51 **Abstract**

Active fault zones have complex structural and geometric features that are expected to affect earthquake nucleation, rupture propagation with shear and volumetric deformation, and arrest. Earthquakes, in turn, dynamically activate co-seismic off-fault damage that may be both distributed and localized, affecting fault zone geometry and rheology, and further influencing post-seismic deformation and subsequent earthquake sequences. Understanding this co-evolution of fault zones and earthquakes is a fundamental challenge in computational rupture dynamics with consequential implications for earthquake physics, seismic hazard and risk. Here, we implement a continuum damage-breakage (CDB) rheology model in our MOOSE-FARMS dynamic rupture simulator to investigate the interplay between bulk damage and fault motion on the evolution of dynamic rupture, energy partitioning, and ground motion characteristics. We demonstrate several effects of damage (accounting for distributed cracking) and breakage (accounting for granulation) on rupture dynamics in the context of two prototype problems addressed currently in the 2D plane-strain setting: (1) a single planar fault and (2) a fracture network. We quantify the spatio-temporal reduction in wave speeds associated with dynamic ruptures in each of these cases and track the evolution of the original fault zone geometry. The results highlight the growth and coalescence of localization bands as well as competition between localized slip on the pre-existing faults vs. inelastic deformation in the bulk. We analyze the differences between off-fault dissipation through damage-breakage vs. plasticity and show that damage-induced softening increases the slip and slip rate, suggesting enhanced energy radiation and reduced energy dissipation. These results have important implications for long-standing problems in earthquake and fault physics as well as near-fault seismic hazard, and they motivate continuing towards 3D simulations and detailed near-fault observations to uncover the processes occurring in earthquake rupture zones.

52 *Keywords:* Dynamic rupture, brittle damage, complex fault geometry, granular flow, phase transition,  
53 friction, fracture

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## 54 1. Introduction

55 The dynamic inter-play between earthquakes and fault zone structures has long been acknowledged as  
56 a critical mechanism in controlling source physics (Ben-Zion, 2008), yet it remains an understudied topic  
57 due to the myriad of theoretical and computational challenges involved in such investigation. Active natural  
58 faults exist within broader damage zones characterized by a multitude of complex structural and geometric  
59 features, which are expected to affect earthquake nucleation, rupture propagation, potential for dilatation and  
60 compaction, energy partitioning between dissipation and radiation, and rupture arrest. Earthquakes, in turn,  
61 activate co-seismically off-fault damage that may be both distributed and localized, producing changes in fault  
62 zone geometry, elasticity and rheology, which influence further energy radiation, post-seismic deformation,  
63 and subsequent earthquake sequences.

64 Several approaches have been proposed to couple the on-fault rupture propagation with off-fault yielding.  
65 Examples include: (1) continuum visco-elastic damage frameworks (Lyakhovsky et al., 1997; Hamiel et al.,  
66 2004; Hetland and Hager, 2005; Wang et al., 2012; Sun and Wang, 2015). (2) coupled interfacial friction  
67 laws and off-fault plasticity (Andrews, 2005; Ben-Zion and Shi, 2005; Duan and Day, 2008; Templeton and  
68 Rice, 2008; Ma and Andrews, 2010; Dunham et al., 2011; Kaneko and Fialko, 2011; Xu and Ben-Zion, 2013;  
69 Gabriel et al., 2013). (3) models with embedded microcracks that can interact and grow (Bhat et al., 2012;  
70 Thomas and Bhat, 2018; Okubo et al., 2019). (4) continuum damage-breakage model developed and used by  
71 (Lyakhovsky and Ben-Zion, 2014a,b; Lyakhovsky et al., 2016; Kurzon et al., 2019, 2021), and more recently  
72 (5) phase-field models that account for pressure-sensitive frictional response (Fei et al., 2023; Hayek et al.,  
73 2023).

74 The continuum visco-elastic damage models belong to the general class of the Maxwell-Kelvin rheology  
75 and its variations with an original focus on ductile deformation. It has been recently adapted to describe  
76 quasi-brittle response (Dansereau et al., 2023) by invoking time-dependent variation in the elastic properties  
77 through degradation and healing. Plasticity models have proven useful for understanding coseismic inelastic  
78 dissipation and how rupture characteristics are influenced by permanent deformation in the bulk. Off-fault  
79 plasticity was shown to affect the energy partitioning, the rupture mode (i.e. crack vs. pulses) and rupture  
80 characteristics such as the speed of rupture propagation and peak slip rate (Ben-Zion and Shi, 2005; Shi  
81 et al., 2010). Recent studies incorporating off-fault plasticity in modeling of sequences of earthquakes and  
82 aseismic slip also show that off-fault plasticity evolves with progressive events and influences the seismic  
83 cycle in different ways including creating slip deficits (Erickson et al., 2017), changing the nucleation site  
84 (Abdelmeguid and Elbanna, 2022a), and generating spatial rupture segmentation and temporal clustering  
85 (Mia et al., 2022, 2023).

86 One limitation of plasticity models for earthquake ruptures is that the bulk material experiences no change  
87 in its elastic properties. This is inconsistent with abundant field observations of zones with modified elastic  
88 properties around large faults (Ben-Zion and Sammis, 2003; Allam et al., 2014; Zigone et al., 2015; Qiu et al.,  
89 2021), along with lab experiments (Gupta, 1973; Lockner and Byerlee, 1980; Stanchits et al., 2006; Aben  
90 et al., 2019; Xu et al., 2019) and field studies (Peng and Ben-Zion, 2006; Froment et al., 2013; Pei et al.,  
91 2019) that document changes in the elastic wave speeds in the wake of large ruptures. This damage-induced  
92 variation in the elastic properties produces an asymmetry between the loading and unloading branches of the  
93 stress-strain curve while plasticity does not have this effect (Hamiel et al., 2004; Xu et al., 2015). Moreover,  
94 the reduction of elastic moduli in the material surrounding the fault can lead to motion amplification in the  
95 damage zone (Ben-Zion and Aki, 1990; Spudich and Olsen, 2001), coupling between slip and dynamic change  
96 of normal stress on the fault (Weertman, 1980; Andrews and Ben-Zion, 1997; Shlomei and Fineberg, 2016),  
97 and interactions of wave reflections from edges of the damage zone with dynamic ruptures (Ben-Zion and  
98 Huang, 2002; Huang and Ampuero, 2011). These effects can significantly impact properties of individual  
99 ruptures and earthquake sequences (Ampuero and Ben-Zion, 2008; Bhat et al., 2010; Thakur and Huang,  
100 2021; Aichele et al., 2023; Abdelmeguid and Elbanna, 2022b).

101 The continuum damage-breakage (CDB) model of (Lyakhovsky and Ben-Zion, 2014a,b; Lyakhovsky et al.,  
102 2016) and later works consider visco-elastic damage including variation in the elastic properties, while further  
103 incorporating a phase transition of a damaged solid to a granular flow once the damage reaches a critical value.  
104 Following earlier studies (Lyakhovsky et al., 1997, 2005), the CDB model also includes a laboratory-based  
105  $\log(t)$  healing to capture the recovery of elastic moduli as the material unloads during periods of interseismic  
106 slow deformation. This enables capabilities for earthquake cycle simulations with more realistic constitutive

107 response. In this paper, we adopt a CDB model formulation with both co-seismic (fast slip) degradation and  
 108 post-seismic (slow deformation) healing, with a focus on a single dynamic rupture event.

109 An initial study of the coupling between bulk damage and frictional slip has been performed by (Xu et al.,  
 110 2015). However, that model was restricted to a single planar fault and considered only viscoelastic damage  
 111 accumulation with no transition to granular-like flow at higher damage levels. A transition to a granular  
 112 phase within the slip zone is consistent with observations (Ben-Zion and Sammis, 2003) and is important  
 113 for deformation localization during brittle instabilities and energy dissipation. Here, we consider the effects  
 114 of breakage and transition to a granular phase on the rupture characteristics and evolution of fault zone  
 115 structure. We move beyond the single planar fault case and consider also fracture networks. This enables us  
 116 to investigate the interplay between rupture characteristics with both pre-existing damage and generation of  
 117 new damage. The modeling is inspired by the pioneering work of (Xu and Needleman, 1994) which enabled,  
 118 for the first time, the simulation of an arbitrary growth of dynamic fracture by inserting cohesive elements  
 119 along all mesh interfaces, either apriori or adaptively.

120 The rest of the paper is organized as follows: In the problem description section, we first explain the  
 121 strong form of the boundary value problem, with the relevant parameters listed in Table 1. Then we outline  
 122 the main features of the continuum damage-breakage model combining recent results of (Lyakhovsky et al.,  
 123 2011; Lyakhovsky and Ben-Zion, 2014a,b; Lyakhovsky et al., 2016). This is followed by brief explanations  
 124 of the initial and boundary conditions including linear slip weakening friction law on the fault interface,  
 125 the bulk initial stress field, and the initiation of rupture using an artificial nucleation approach. We then  
 126 summarize the main model parameters in Table 2. In the results section, we present the geometry setup and  
 127 simulations for two cases: (1) For a planar fault case, we analyze and compare off-fault damage-breakage  
 128 results with off-fault Drucker-Prager plasticity, with a focus on rupture characteristics, energy dissipation,  
 129 and distribution of inelastic strain. (2) For a fault network case, we conduct investigations into favorable  
 130 rupture activation governed by the strength parameter  $S$ , damage localization characteristics, and effects on  
 131 the fault network through wave radiation and material degradation. Finally, we summarize our findings and  
 132 their implications in the discussion and conclusions section.

## 133 2. Problem Description

134 In this section, we outline the problem setup in terms of governing equations, bulk constitutive model,  
 135 interfacial friction law and initial stress field.

### 136 2.1. Boundary value problem

137 The governing equations for the boundary value problem are as follows (see also Table 1 for parameter  
 138 definitions):

$$\nabla \cdot \sigma = \rho \ddot{u} \quad \text{in } V \quad (1a)$$

$$\sigma \cdot n = T \quad \text{on } S_T \quad (1b)$$

$$u = u_o \quad \text{on } S_u \quad (1c)$$

$$T^{f+} + T^{f-} = 0 \quad \text{on } S_f \quad (1d)$$

139 The balance of linear momentum is solved in the bulk  $V$ . We neglect body forces (e.g. gravity or those  
 140 arising from pore fluids). The traction boundary condition and displacement boundary condition are specified  
 141 on  $S_T$  and  $S_u$ , respectively. Along the fault interface  $S_f$ , the positive side fault interface traction  $T^{f+}$  and  
 142 the negative side fault interface traction  $T^{f-}$  are governed by the traction at split node algorithm proposed  
 143 by (Day et al., 2005). The initial values of the fault normal and shear stresses are computed by projecting  
 144 the initial stress tensor on the fault surface. The rupture is initiated by including a perturbation shear stress  
 145 term with a value  $\Delta\tau$  in the initial conditions over a finite length along the fault interface in addition to  
 146 the initial stress state  $\tau_o$ . The detailed implementation strategy is covered in the numerical implementation  
 147 section. We restrict this study to small strain kinematics.

Table 1: Parameters Description (Section 2.1)

Parameter	Symbol	Parameter	Symbol
Bulk domain	$V$	Traction boundary	$S_T$
Displacement boundary	$S_u$	Interface boundary	$S_f$
Cauchy stress tensor	$\sigma$	Initial stress tensor	$\sigma_o$
Stress perturbation tensor	$\Delta\sigma$	Normal vector	$n$
Exterior traction value	$T$	Interface traction	$T^{f^+} T^{f^-}$
Displacement, Acceleration vector	$u, \ddot{u}$	Exterior displacement	$u_o$

## 2.2. Damage-breakage rheology model

The continuum damage-breakage (CDB) rheology model provides relations between displacement gradients and stresses complementary to the equation set (1), which is necessary for the closure of the system of equations. Here we provide a general overview of the CDB model, and refer to earlier papers: (Lyakhovsky et al., 2011; Lyakhovsky and Ben-Zion, 2014a,b; Lyakhovsky et al., 2016) for detailed derivations and discussions. Table 2 summarizes the parameter choice in this study.

The CDB rheology model combines aspects of a continuum viscoelastic damage framework for brittle solid with a continuum breakage mechanics for granular flow within dynamically generated slip zones. This is accomplished by defining a scalar damage parameter ( $\alpha$ ) which accounts for the density of distributed cracking (Lyakhovsky et al., 1997), together with a scalar breakage parameter ( $B$ ) representing grain size distribution of a granular phase (Einav, 2007a,b). Both parameters are defined within the range of  $[0, 1]$ .

The starting point is to formulate the free energy of the deforming medium and include appropriate modifications to account for the damage-breakage effects. To that end, the free energy  $F$  is developed as a function of elastic strain  $\epsilon^e$ , damage parameter  $\alpha$ , its spatial gradient  $\nabla\alpha$ , and the breakage parameter  $B$ . The gradient term accounts for the effects of spatially heterogeneous damage in regions around each point (Bazant and Jirásek, 2002) and prevents damage localization in bands of null thickness with vanishing energy dissipation in the quasi-static limit. Thus, it provides an intrinsic length scale for non local damage evolution that is resolvable with sufficient mesh refinement. Following (Lyakhovsky and Ben-Zion, 2014b), the free energy is partitioned by the breakage parameter  $B$  into a solid phase ( $B = 0$ ), a granular phase ( $B = 1$ ) or a mixture of both phases ( $0 < B < 1$ ) (please refer to Appendix A.1 for a graphical representation):

$$F(\epsilon^e, \alpha, \nabla\alpha, B) = (1 - B)F_s(\epsilon^e, \alpha, \nabla\alpha) + BF_b(\epsilon^e) \quad (2)$$

The free energy for the solid phase  $F_s$ , and the free energy for the granular phase  $F_b$  in equation (2) are given by:

$$F_s(\epsilon^e, \alpha, \nabla\alpha) = \frac{1}{\rho} \left( \frac{\lambda}{2} I_1^2 + \mu I_2 - \gamma I_1 \sqrt{I_2} + \frac{\nu}{2} \nabla_i \alpha \cdot \nabla_i \alpha \right) \quad (3)$$

$$F_b(\epsilon^e) = \frac{1}{\rho} \left( a_o I_2 + a_1 I_1 \sqrt{I_2} + a_2 I_2^2 + a_3 \frac{I_1^3}{\sqrt{I_2}} \right) \quad (4)$$

where the mass density  $\rho$ , first Lamé constant  $\lambda$  and shear modulus  $\mu$  are rock properties. As a first order approximation,  $\rho$  and  $\lambda = \lambda_o$  are kept constant during the deformation, but the shear modulus  $\mu$  evolves with damage (see equation (7) given below). The coefficient  $\nu$  presented in equation (3) introduces a non-local contribution in the stress tensor through the damage gradient. Here, we neglect the damage gradient to focus on the local damage rheology and set  $\nu = 0$ . For the fully dynamic problem considered here, localization bands are still resolvable with sufficient mesh refinement. The problem remains well-posed due to the interplay of inertia effects and effective damage viscosity which introduces a length scale of the order of  $c\tau$ , where  $c$  is the characteristic wave speed and  $\tau$  is the viscous relaxation time scale (Needleman, 1988).

$I_1 = \epsilon_{ij}^e \delta_{ij}$ ,  $I_2 = \epsilon_{ij}^e \epsilon_{ij}^e$  ( $i, j = 1, 2, 3$ ) are the first and second invariants of elastic strain  $\epsilon^e$ .  $a_o, a_1, a_2, a_3$  are coefficients of granular phase energy (see (Lyakhovsky and Ben-Zion, 2014a) for detailed derivation). By

181 taking derivative of equations (3) and (4) with respect to elastic strain  $\epsilon_{ij}^e$ , we obtain stress tensor in the two  
 182 phases separately (See also (Lyakhovsky and Ben-Zion, 2014b)):

$$\sigma_{s,ij} = (\lambda - \frac{\gamma}{\xi})I_1\delta_{ij} + (2\mu - \gamma\xi)\epsilon_{ij}^e - \nu\nabla_i\alpha\nabla_j\alpha \quad (5)$$

$$\sigma_{b,ij} = (2a_2 + \frac{a_1}{\xi} + 3a_3\xi)I_1\delta_{ij} + (2a_0 + a_1\xi - a_3\xi^3)\epsilon_{ij}^e \quad (6)$$

183 The strain invariant ratio is defined as  $\xi = I_1/\sqrt{I_2}$ . In the general 3D case,  $\xi$  spans values from  $-\sqrt{3}$   
 184 (isotropic compression) to  $\sqrt{3}$  (isotropic tension). The damage variable  $\alpha$  ranges from 0 (intact material) to 1  
 185 (fully damaged material). Increasing  $\alpha$  reduces the shear modulus  $\mu$  and increases the damage modulus  $\gamma$ , as  
 186 given by the following equations (see also (Lyakhovsky and Ben-Zion, 2014b)):

$$\mu = \mu_o + \alpha\xi_o\gamma_r \quad (7)$$

$$\gamma = \alpha\gamma_r \quad (8)$$

187 where  $\mu_o$  denotes the initial shear modulus and  $\gamma_r$  is the damage modulus when the damage variable  
 188 reaches its maximum ( $\alpha = 1$ ).  $\xi_o$  is the strain invariant ratio at the onset of damage, which is considered  
 189 as a material property related to the internal friction angle (see equation (A.1)). For Westerly granite, the  
 190  $\xi_o$  ranges from  $-0.7$  to  $-1$  (Lyakhovsky et al., 1997). We assume the Poisson ratio to be 0.25 which is  
 191 appropriate for most rock types.

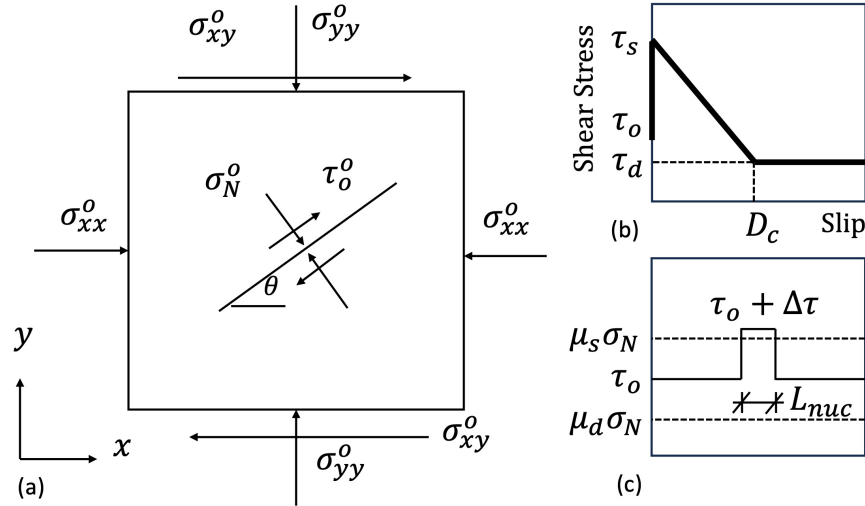


Figure 1: **Problem Description.** (a) The background initial stress field  $(\sigma_{xx}^o, \sigma_{yy}^o, \tau_{xy}^o)$  and the fault local stress field  $(\sigma_N, \tau_o)$ . Different faults in the medium may have different orientations  $\theta$ , and thus each fault may sustain different local normal stress and shear stress. (b) The linear slip weakening friction law connecting shear stress and slip along fault interfaces. The initial shear stress is labeled as  $\tau_o$ , if the resolved shear stress  $\tau$  is below the value of frictional strength  $\tau_s$ , the fault interfaces remain locked with zero slip. After  $\tau$  reaches the frictional strength, the strength linearly decreases over a critical slip distance  $D_c$ , and reaches its residual value  $\tau_d$ . (c) A schematic of shear stress and strength distribution along the fault as well as the nucleation process by overstressing. The vertical axis shows relative values of initial shear stress  $\tau_o$ , peak shear strength  $\mu_s\sigma_N$  and residual shear strength  $\mu_d\sigma_N$ . The overstressing region has a length  $L_{nuc}$  and overstress value  $\tau_o + \Delta\tau$ , which slightly exceeds the peak shear strength.

192 Following (Lyakhovsky and Ben-Zion, 2014a,b), the flow rule for the permanent strain  $e^p$  is given by:

$$\frac{de_{ij}^p}{dt} = C_g B^{m_1} \tau_{ij}^{m_2} + A \tau_{ij}^n \exp(-\frac{Q}{RT}) \quad (9)$$

193 The first term on the right side represents the contribution of breakage to the inelastic deformation while  
 194 the second term represents the contribution of thermally activated processes. Here,  $C_g$  is a tunable material  
 195 parameter the controls the rate of permanent strain accumulation due to breakage,  $\tau_{ij} = \sigma_{ij} - 1/3\sigma_{kk}\delta_{ij}$  is  
 196 the deviatoric stress tensor, and  $m_1, m_2$  are tunable power constants; the Newtonian-like granular flow is  
 197 only realized as  $B \approx 1$  with relatively high  $m_1$  value and  $m_2 = 1$  (Lyakhovsky and Ben-Zion, 2014a,b).  $A$   
 198 and  $n$  are empirical constants,  $Q$  is activation energy,  $T$  is temperature. The second term reflects the fact  
 199 that increasing the temperature promotes flow and the accumulation of permanent strain even at low values  
 200 of the breakage variable ( $B$ ). Here, we restrict our focus to breakage-driven permanent strain growth and  
 201 neglect the temperature dependence. Temperature effects may become important, though, with depth or if  
 202 shear heating is considered. Further discussion is included in Section 4.

203 The evolution equations for damage ( $\alpha$ ) and breakage ( $B$ ) parameters are given by (see (Lyakhovsky  
 204 et al., 2011) for detailed derivation):

$$\frac{\partial \alpha}{\partial t} \begin{cases} (1-B)[C_d I_2(\xi - \xi_o) + D \nabla^2 \alpha], & \xi \geq \xi_o \\ (1-B)[C_1 \exp(\frac{\alpha}{C_2}) I_2(\xi - \xi_o) + D \nabla^2 \alpha], & \xi < \xi_o \end{cases} \quad (10)$$

$$\frac{\partial B}{\partial t} \begin{cases} C_B P(\alpha)(1-B) I_2(\xi - \xi_o), & \xi \geq \xi_o \\ C_{BH} I_2(\xi - \xi_o), & \xi < \xi_o \end{cases} \quad (11)$$

205 In equation (10), the parameter  $C_d$  controls the rate of damage accumulation.  $D$  is a damage diffusion  
 206 coefficient. As discussed earlier, we restrict our focus in this study to a local model neglecting non-local  
 207 effects. That is, we set  $D = 0$ . With the adopted formulation, permanent strain begins to rapidly accumulate  
 208 near the transition to the granular phase. This is different from earlier formulations (Hamiel et al., 2004;  
 209 Xu et al., 2015) in which plastic strain also accumulated in the process of damage increase ( $\xi \geq \xi_o$ ). The  
 210 healing rate of damage variable  $\alpha$  is governed by an exponential function with coefficients  $C_1$  and  $C_2$ . As for  
 211 the breakage evolution shown in equation (11), the parameter  $C_B$  is assumed to be related to  $C_d$ . Here we  
 212 adopt  $C_B = 10C_d$  as suggested in (Lyakhovsky and Ben-Zion, 2014a,b). The probability function  $P(\alpha)$  in the  
 213 breakage parameter evolution equation (11) controls the timing for transition to the granular phase, such that  
 214 the transition happens only when damage reaches its critical value  $\alpha_{cr}$  (see also Appendix equation (A.3),  
 215 (Lyakhovsky and Ben-Zion, 2014b) for derivation of  $\alpha_{cr}$ ). As pointed out in (Lyakhovsky and Ben-Zion,  
 216 2014b), the coefficient controlling the breakage healing is not well constrained. Some experiments suggest  
 217 that the granular flow may abruptly halt under low velocity. Here we set  $C_{BH} = 10^4$  1/s in equation (11), as  
 218 suggested in (Lyakhovsky et al., 2016).

### 219 2.3. Linear slip weakening friction law

220 In this study, the slip behavior of fault interfaces is assumed to be governed by a linear slip weakening  
 221 friction law illustrated in Fig.1(b). The frictional strength is given by the product of the normal stress on  
 222 the fault and the friction coefficient. Before the resolved shear stress  $\tau$  reaches the peak strength  $\tau_s = \mu_s \sigma_n$ ,  
 223 the fault is stuck with zero slip. After  $\tau$  reaches  $\tau_s$ , the frictional strength decreases to a residual strength  
 224  $\tau_d = \mu_d \sigma_n$  value over a critical distance  $D_c$  and the fault slips following the frictional strength evolution.  
 225 The drop in friction coefficient from  $\mu_s$  to  $\mu_d$  is linear. For slip values larger than  $D_c$ , the dynamic friction  
 226 coefficient  $\mu_d$  remains constant. We note that the coupling between frictional sliding on the fault and  
 227 asymmetric damage in the bulk may lead to transient changes in the fault normal traction. A regularization  
 228 of the friction law, in which the instantaneous frictional strength depends on the history of the normal stress  
 229 rather than the instantaneous normal stress value, may be needed if the normal stress changes abruptly,  
 230 as shown by (Cochard and Rice, 2000). For the damage related problem considered here, an intrinsic  
 231 regularization emerges from the finite time scale of the damage variable  $\alpha$  evolution which leads to gradual,  
 232 rather than instantaneous, changes in the normal stress.

### 233 2.4. Numerical implementation

234 We developed an app, called MOOSE-FARMS <https://github.com/chunhuizhao478/farms>, as a dy-  
 235 namic rupture simulator based on the Multiphysics Object-Oriented Simulation Environment (MOOSE)  
 236 (Lindsay et al., 2022), an open source massively parallel finite element code from the Idaho National Lab  
 237 (INL). MOOSE-FARMS simulates dynamic rupture propagation on frictional interfaces using the cohesive



238 zone model approach. It includes options for both linear slip weakening and rate and state friction laws,  
 239 handles complex fault geometries (Abdelmeguid et al., 2023), and accepts different types of bulk rheology. In  
 240 this study, we extended MOOSE-FARMS to include an implementation for the continuum damage-breakage  
 241 model. We combine this extension with the linear slip weakening friction law to simulate dynamic rupture  
 242 propagation in complex fault zones with off-fault damage and phase transition to granular flow.

243 To create fault interfaces, we use MOOSE framework mesh generator `BreakMeshByBlockGenerator`,  
 244 which breaks the interface and assign duplicate nodes to the newly-created surfaces. The methodology is  
 245 explained in (Nguyen, 2014) in detail. Specifically for handling the fault network as will be discussed in  
 246 section 3.2, the intersection point of fault network is duplicated with the total size equals to number of faults  
 247 connecting at this node. Thus each fault is free to slip if activated.

248 We use explicit central difference to discretize in time and adopt Lysmer dampers to reduce the wave  
 249 reflections on the boundaries  $S_u$  (Lysmer and Kuhlemeyer, 1969; Veeraraghavan et al., 2021). We apply  
 250 far-field background initial stress field  $(\sigma_{xx}^o, \sigma_{xy}^o, \sigma_{yy}^o)$ , see Fig.1(a). The sign convention is adopted to be  
 251 positive for tension and clockwise shear. The values are specified in Table 2. The pre-existing faults inside  
 252 the simulation domain experience various local stress fields  $(\sigma_N, \tau_o)$  depending on their orientation  $(\theta)$ .

253 The nucleation is incorporated by overstressing  $\Delta\tau$  in addition to initial stress field  $\tau_o$  along a section of the  
 254 fault  $S_f$  with a length  $L_{nuc}$  approximately equals to the elasto-frictional length scale  $L_{fric} = \mu D_c / (\sigma_N (\mu_s - \mu_d))$   
 255 (Palmer and Rice, 1973; Ida, 1972), see Fig.1(c) for illustration. Here  $\mu_{app}$  is the apparent friction, defined  
 256 as the ratio of local shear  $\tau$  to normal stress  $\sigma_N$ . The mesh size  $\Delta x$  is chosen to fully resolve the  $L_{fric}$  using  
 257 at least  $7 \sim 8$  elements, and the time step is constrained by the CFL condition. Table 2 summarizes the  
 258 assumed properties.

Table 2: Parameters Description (Section 2.2-2.4)

Thermodynamics state variable	Symbol	Value	Reference
Damage Parameter	$\alpha$	[0, 1]	
Breakage Parameter	$B$	[0, 1]	
<b>Material Properties</b>			
Density (kg/m <sup>3</sup> )	$\rho$	2670	
First Lamé constant (GPa)	$\lambda_o$	32.04	
Initial shear modulus (GPa)	$\mu_o$	32.04	
Damaged modulus at maximum damage (GPa)	$\gamma_r$	37.15	Computed following (Lyakhovskiy and Ben-Zion, 2014a)
Coefficients of granular phase free energy (GPa)	$a_0, a_1, a_2, a_3$	$a_0 = 7.4289$ $a_1 = -22.14$ $a_2 = 20.929$ $a_3 = -6.067$	Computed following (Lyakhovskiy and Ben-Zion, 2014a)
<b>Kinematic of Damage-Breakage</b>			
Strain invariant ratio at onset of damage	$\xi_o$	-0.8	equation (A.1), the frictional angle is 46.8°
Strain invariant ratio at transition			

Continued on next page

Table 2 – Continued from previous page

	Symbol	Value	Reference
between solid and granular phases	$\xi_1$	0.8248	Computed following (Lyakhovsky and Ben-Zion, 2014a), equation (A.2)
Strain invariant ratio at onset of breakage	$\xi_d$	-0.9	(Lyakhovsky et al., 2016) Table 1
Coefficient for damage accumulation rate ( $s^{-1}$ )	$C_d$	Variable	Tunable parameter
Coefficient of damage healing ( $s^{-1}$ )	$C_1, C_2$	300, 0.05	Tunable parameter, (Lyakhovsky et al., 2016), Table 1
Coefficient of breakage accumulation rate ( $s^{-1}$ )	$C_B$	$= 10 C_d$	Tunable parameter, (Lyakhovsky and Ben-Zion, 2014a), section 4.2. (Lyakhovsky and Ben-Zion, 2014b), Table 1
Coefficient of breakage healing rate ( $s^{-1}$ )	$C_{BH}$	$10^4$	Tunable parameter, (Lyakhovsky et al., 2016), Table 1
Fluidity of granular flow ( $Pa^{-1} s^{-1}$ )	$C_g$	$10^{-10}$	Tunable parameter
Power index for granular flow	$m_1, m_2$	10, 1	Tunable parameter, (Lyakhovsky and Ben-Zion, 2014b), table 1, equation 18
Width of transition region	$\beta$	0.03	Tunable parameter, equation A5. (Lyakhovsky and Ben-Zion, 2014b), Table 1
Diffusion coefficient for damage accumulation ( $m^2/s$ )	$D$	0	
Coefficient of stress with non-local damage ( $MPa m^2$ )	$\nu$	0	
<b>linear slip weakening Friction</b>			
Resolved local normal stress / shear stress	$\tau_o, \sigma_N$	Variable	
Characteristic length scale (m)	$D_c$	0.4	(Harris et al., 2009)

Continued on next page

Table 2 – Continued from previous page

	Symbol	Value	Reference
Static friction coefficient	$\mu_s$	0.677	
Dynamic friction coefficient	$\mu_d$	0.1	
Peak frictional strength	$\tau_s$	$= \sigma_N \mu_s$	
Residual frictional strength	$\tau_d$	$= \sigma_N \mu_d$	
<b>Initial Stress Field</b>			
Initial far-field stress along xx direction (MPa)	$\sigma_{xx}^o$	-135	
Initial far-field stress along xy direction (MPa)	$\sigma_{xy}^o$	70	
Initial far-field stress along yy direction (MPa)	$\sigma_{yy}^o$	-120	
<b>Problem specific setup: single planar fault</b>			
Domain length (km)	$L_x$	30	
Domain length (km)	$L_y$	30	
Frictional length scale (m)	$L_{fric}$	185	
Nucleation patch size (m)	$L_{nuc}$	200	
Nucleation overstress (MPa)	$\Delta\sigma$	11.6	
Mesh size (m)	$\Delta x$	25	
Time step (s)	$\Delta t$	$5 \times 10^{-4}$	
<b>Problem specific setup: immature fault zone</b>			
Domain length (km)	$L_x$	20	
Domain length (km)	$L_y$	20	
Frictional length scale (m)	$L_{fric}$	346	
Nucleation patch size (m)	$L_{nuc}$	400	
Nucleation overstress (MPa)	$\Delta\sigma$	18.2	
Adaptive Mesh size (network region) (m)	$\Delta x$	50	
Adaptive Mesh size (boundary region) (m)	$\Delta x$	200	
Time step (s)	$\Delta t$	$2 \times 10^{-4}$	

### 259 3. Results

#### 260 3.1. Single planar fault

261 To explore how the damage-breakage process influences dynamic rupture characteristics, we first consider  
262 a single right-lateral planar fault (see Fig.2(a)), similar to what was investigated previously by (Xu et al.,  
263 2015) but now with the expanded constitutive description that also accounts for transition into granular flow.  
264 The directions of maximum and minimum compression stresses are also highlighted. We nucleate the rupture  
265 at the center of the fault. As the rupture grows bilaterally, the slip causes asymmetric changes in the bulk  
266 mean stress. We denote the regions expected to have tensile or compressive mean stress perturbations by "T"  
267 and "C", respectively. The dynamic friction coefficient  $\mu_d$  governing the stress drop is set to be  $\mu_d = 0.1$ ,  
268 which facilitates a high dynamic stress drop. This promotes more damage especially when coupled with high  
269 enough damage evolution rate  $C_d$ . We set  $C_d = 10^7$  1/s in the simulations leading to Fig. 2-5. However, we  
270 also consider a range of  $C_d$  values (see Fig. 6) to evaluate the effect of damage rate on rupture characteristics  
271 and bulk evolution.

272 **Velocity and Damage Fields:** In Fig.2 (b)-(c), we visualize the particle velocity and the damage fields  
273 within  $L_y = 3$  km. Due to anti-symmetry, we just focus on the left half of the domain only where the rupture is  
274 propagating from right to left. As shown in Fig.2(b), we show several snapshots of particle velocity amplitudes.  
275 We note the emergence of shock-like wave features carried by the rupture tips. These are Mach cones,  
276 characteristic of supershear ruptures, which form when the rupture propagation speed exceeds the shear wave  
277 speed. Our choice to model a supershear rupture is motivated by the increased frequency of their occurrence  
278 in large earthquakes (Dunham and Archuleta, 2004; Bao et al., 2019; Ren et al., 2024; Bao et al., 2022). In  
279 Appendix A.5 we discuss similar results for the case of sub-Rayleigh rupture.

280 On the tensile side ("T") of the fault where damage accumulates, the Mach front becomes more diffuse  
281 and the peak velocity carried by it clearly lower in magnitude than the velocities carried by the shock front

282 on the compressive side ("C"). However, the diffuse velocity field behind the Mach cone on the tensile side  
283 ("T") of the fault exhibits a relatively higher magnitude over a larger region compared to the compressive  
284 side ("C"), in which the Mach front is sharper and the high amplitude of the velocity field is localized in  
285 a narrow region behind the rupture tip. In Appendix A.3, we further compare the main features of the  
286 velocity field from a rupture propagating in a solid governed by the continuum damage-breakage model versus  
287 a rupture in a linear elastic medium. In Appendix A.6, we also outlined time history evolution of slip rate,  
288 shear stress and normal stress at location 1km, 3km, 5km, 7km away from the center, which further explains  
289 how damage perturbs the associated fields during a dynamic rupture event.

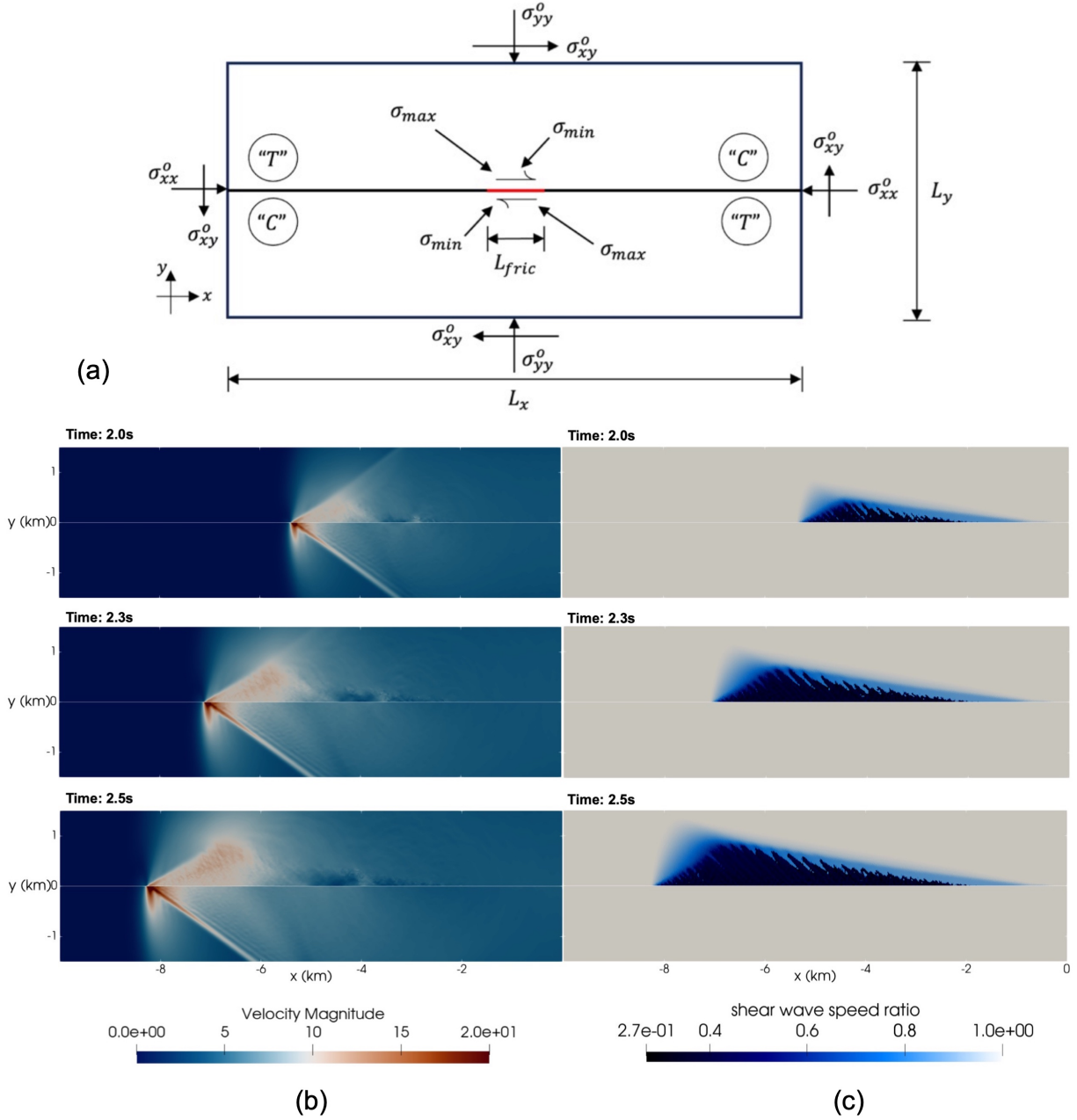


Figure 2: The Planar fault case. (a) Geometry setup. The nucleation patch is marked in red. The rupture involves right-lateral slip and the principal stress directions are shown by arrows. The compressional and tensile sides are depicted using the symbols "C" and "T", respectively. (b) Selected snapshots for particle velocity magnitude showing a clear signature of Mach cones associated with supershear rupture propagation. Note the asymmetry in the particle velocity distribution due to the preferential damage accumulation on the tensile side of the rupture (upper half of the figure) compared to the compressive side (lower half of the figure), which is due to the damage on the tensile side. (c) Selected snapshots for shear wave speed ratio. Due to anti-symmetry, we restrict our focus on the left half of the domain only where the rupture propagates from right to left. We observe a distributed fan-shape damage profile with localized damage bands buried inside and it is emerging from the fault.

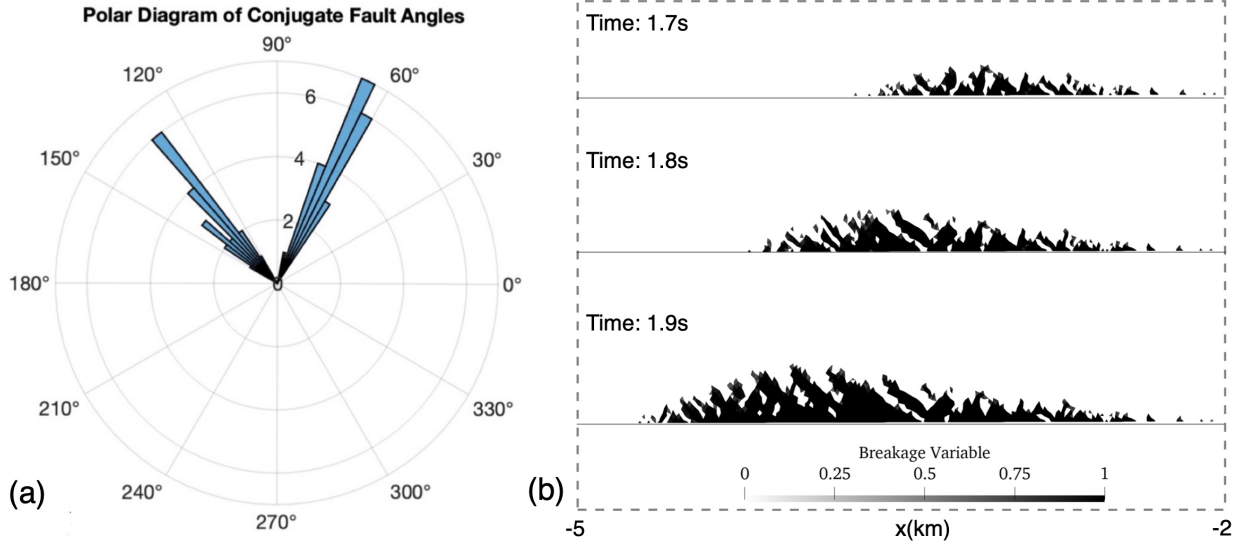


Figure 3: **The Planar fault case.** (a) Polar diagram showing representative angles of newly-formed conjugate branches measured counter-clockwise with respect to the  $x$  direction; the measurements of representative damage band angles are taken place at early onset of damage bands accumulation period (1.7s  $\sim$  1.9s). (b) Selected extraction snapshots for breakage damage bands. We observe clear conjugate band feature with growth of band width as time progresses.

290 Fig.2(c) shows time snapshots of off-fault damage accumulation, represented by shear wave speed ratio.  
 291 The shear wave speed ratio is given by  $\sqrt{\mu/\rho}/c_s^o$ , where  $c_s^o$  is the initial shear wave speed for intact material.  
 292 As damage accumulates, the shear modulus decreases and the shear wave speed is reduced. From Fig.2(c), we  
 293 observe a fan-shaped distributed damage profile which qualitatively agrees with ones observed in (Xu et al.,  
 294 2015). The place where the damage starts to accumulate is determined by the strain invariant ratio threshold  
 295  $\xi_o$  and the local strain state (represented by strain invariant ratio  $\xi$ ) associated with the rupture tip. The  
 296 main difference compared with (Xu et al., 2015) is the transition into granular phase near the rupture front  
 297 and the emergence of conjugate bands (shown by the darker blue shades in Fig.2(d)). This burst of granular  
 298 localization takes place when the damage  $\alpha$  reaches its critical value  $\alpha_{cr}$ . The formation of the conjugate  
 299 bands is consistent with expectations of yielding in pressure-sensitive quasi-brittle solids. The orientation of  
 300 these bands is controlled by the angle of internal friction and the local direction of the maximum principal  
 301 stress. We observe the leftwards bands possess longer lengths at later time and overshadow the rightwards  
 302 ones in Fig.2(c), the measurement of conjugate bands angles is thus performed in the early times (see Fig.3)  
 303 as will be explained in the next subsection.

304 **Co-evolution of Damage and Stress Fields:** A polar diagram (see Fig.3(a)) shows the frequencies of  
 305 two favorable band orientations with respect to the  $x$  axis. These measurements are sampled from the rupture  
 306 history between 1.7s and 1.9s. In Fig.3(b) we show time snapshots of damage bands, represented by breakage  
 307 variable ( $B$ ) distribution. From the polar diagram (see Fig.3(a)), we conclude the average angles for two  
 308 conjugate bands are about  $65.1^\circ$  and  $133.9^\circ$  (positive angle is measured counterclockwise from the positive  
 309  $x$ -axis). These angles appear to be inconsistent with the orientation of the initial stress field. However,  
 310 considerations of the dynamic nature of the rupture and the co-seismic evolution of the material properties  
 311 due to damage resolve this contradiction.

312 Specifically, while the maximum principal compression is initially oriented at  $\psi = 135^\circ$  with respect to the  
 313 fault plane, this orientation locally changes near the fault as the rupture expands and accelerates. We observe  
 314 that the maximum principal compression becomes close to vertical  $\psi \sim 95^\circ$  in the near fault region behind the  
 315 rupture tip after the transition into supershear propagation (see also Appendix A.4). This dynamic rotation  
 316 is consistent with reports in earlier studies (Poliakov et al., 2002; Rice et al., 2005; Rousseau and Rosakis,  
 317 2009), but is further exacerbated here due to the co-seismic changes in the elastic properties as a result of  
 318 damage accumulation. The dynamic orientation of the maximum principal compression approximately bisects  
 319 the conjugate band as expected from theories of strain localization in inelastic materials

(Rudnicki and Rice, 1975). The average angle between the conjugated bands is approximately  $68.8^\circ$ . We note that the initial angle of internal friction based on the choice of the  $\xi_o$  parameter in our CDB model is about  $\phi = 46.8^\circ$ . See also Table 2 which yields an estimate for the angle between the bands at the onset of localization to be approximately  $90 - \phi = 43.2^\circ$ . The difference between the observed angle and the estimated one suggests that the effective angle of internal friction is decreasing with deformation to a mobilized value of  $\sim 21.2^\circ$ . The evolution of the effective angle of internal friction is an emergent property of the CDB model due to the post-peak softening response associated with the damage-breakage transition.

Complex off-fault failure patterns were also observed in the study of (Okubo et al., 2019), where off-fault fractures are discretized by unstructured mesh and each fracture plane is governed by mode I or mode II cohesive law. As the cohesion drops to zero, it is marked as a secondary-activated plane. The main difference in comparison with the current study is in the interpretation of distributed and localized damage profiles. In (Okubo et al., 2019), each generated fracture plane is a consequence of loss of cohesion at its plane due to stress perturbation generated by the main rupture. The off-fault damage bands appear locally first, with a path following mesh discretization, and the distributed behavior can then be interpreted as a cluster of damage bands. However, in the current approach, damage is a distributed behavior, which evolves as a function of strain invariant ratio  $\xi$ . Breakage or granular flow is associated with localized features that are only activated when damage reaches its critical level. In contrast to (Okubo et al., 2019), where a macroscopic damage profile is assembled by localized bands, here the highly damaged localized granular bands are generated within distributed damage. In (Okubo et al., 2019) the local fractures follow the mesh discretization, while in our model the damage-breakage emerge as continuum fields that are not directed by the mesh topology.

**Damage vs Plasticity:** It is also informative to compare the CDB model with off-fault plasticity results for dynamic rupture since both can be used to quantify off-fault damage mechanism and inelastic deformation accumulation. In order to explore some of the differences between the two rheologies, we perform the same single planar fault simulations with Drucker-Prager (DP) plasticity using our in-house code FEBE (Abdelmeguid and Elbanna, 2022a). We assume the same internal friction angle as derived above from the CDB model and zero cohesion. Fig.4(a) shows slip rate and slip along the fault for Drucker-Prager plasticity model up to  $2.0 s$  (marked in blue), while Fig.4(b) shows corresponding results for the CDB model (marked in red). The curves in Fig.4(a) and Fig.4(b) are plotted every  $0.1 s$ . The results indicate higher peak slip rate and slip, as well as faster propagation velocity, in the continuum damage-breakage model case compared to the Drucker-Prager model.

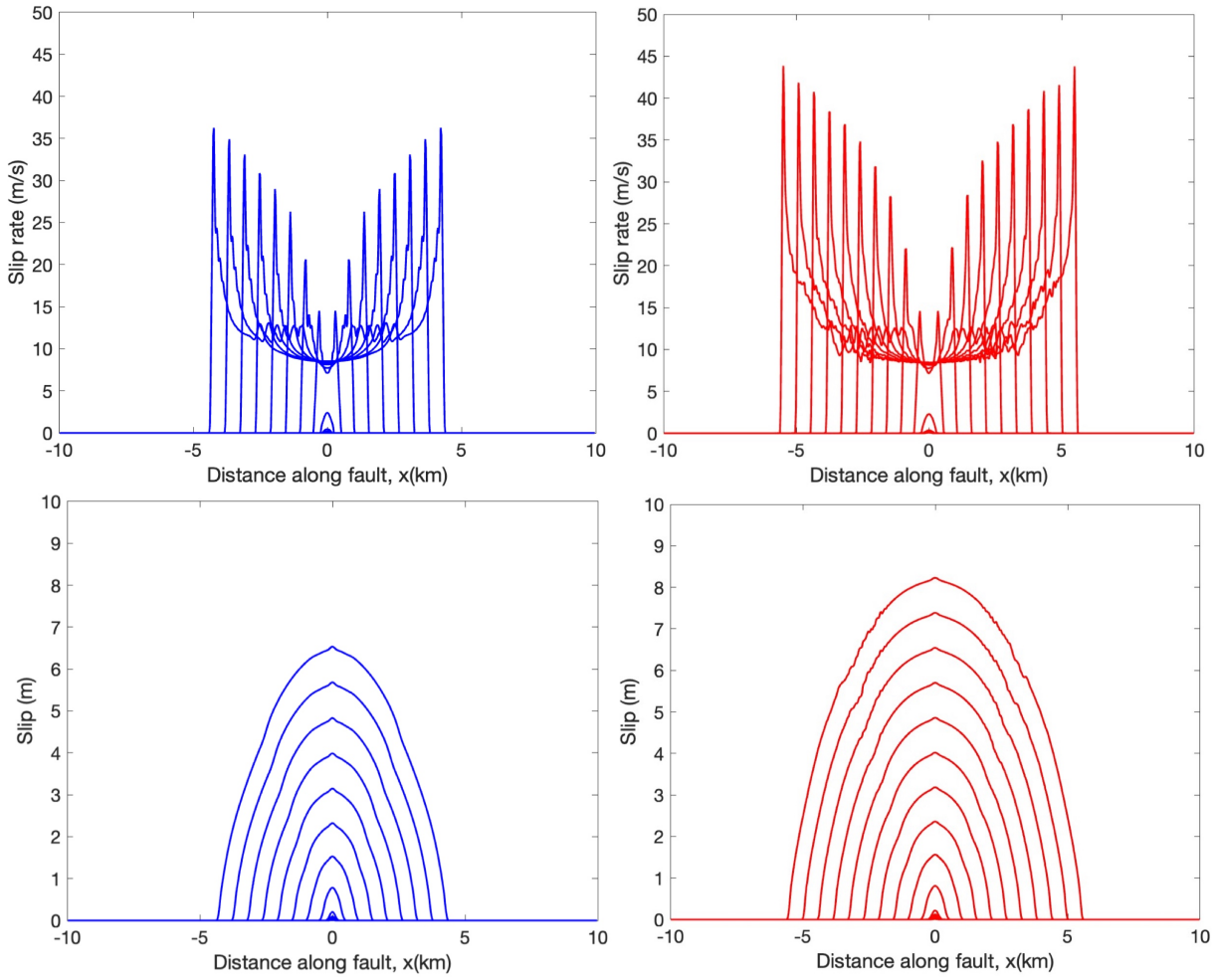
Furthermore, the oscillations observed in the slip rate profile behind the rupture tips in the case of the CDB model are attributed to the accumulation of damage and changes in the elastic moduli and normal stress, with reflection and diffraction of elastic waves within the fault zone. In contrast, the slip rate and slip lines for the DP case are smoother and lack these oscillations because the elastic properties remain constant.

We also evaluate the plastic work  $W = \int_{\Omega} \sigma \dot{\epsilon}_p d\Omega$  for both models, where  $\sigma$  is the total stress. The plastic work (see Fig.4(c)) inferred from the Drucker-Prager model up to  $2.0 s$  equals  $12.2639 \times 10^4 MN \cdot m$ . This is higher than what is inferred for the continuum damage-breakage model  $3.3681 \times 10^4 MN \cdot m$ . This result, together with the larger slip, slip rate, and rupture speed which characterize the rupture in the CDB model, suggest that off-fault damage-breakage facilitate higher seismic energy radiation and lower dissipation than a rupture propagating in an elastoplastic bulk with constant elastic moduli.

In Fig.5, we present results with a focus on shear wave speed ratio (Fig.5(a)-5(b)) and equivalent inelastic strain (Fig.5(c)-5(d)). As shown in Fig.5(a)-5(b), the shear modulus, and consequently the shear wave speed, degrade in the continuum damage-breakage model, whereas in the plasticity model the wave speed remains constant. In Fig.5(c)-5(d) we compare the distributions of equivalent inelastic strain. Recall that the equivalent inelastic strain rate is given by  $\dot{\gamma}_{eq} = \sqrt{2\dot{\epsilon}^p \dot{\epsilon}^p}$ , where  $\epsilon^p$  is the plastic strain in Drucker-Prager or the permanent strain in the granular phase in CDB model. Several findings can be drawn from the comparison: (1) The width of the inelastic zone is different; it is narrower in the CDB model compared to the DP model (Fig.5(c)-5(d)). However, the extent of the region experiencing damage in the CDB model, encompassing both the solid and the granular phases, is comparable to the extent of plastic strain accumulation in the DP case (see Fig.5(b)-5(c)). (2) The shape and magnitude of inelastic strain distribution is different in the two cases. The Drucker-Prager plasticity exhibits a distributed fan-like pattern. The magnitude of inelastic strain has higher values close to the fault and decreases gradually into the far-field media. On the other hand, the inelastic strain of the CDB model is narrower and essentially a byproduct of granular phase transition. It is a localization feature favored by post-peak rheological softening, as seen in Fig.2(d) and Fig.5(b). The width

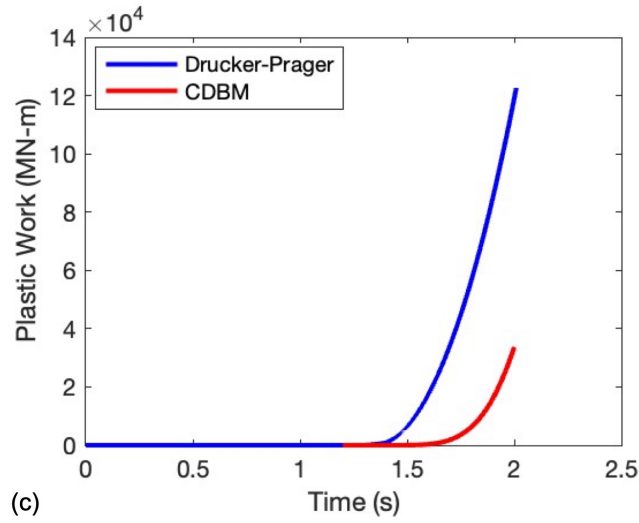
<sup>374</sup> of the zone of inelastic strain increases in both cases as rupture expands bilaterally consistent with what is  
<sup>375</sup> expected for a crack-like rupture. This evidently occurs in a weaker form with the CDB rheology, suggesting  
<sup>376</sup> a less smooth rupture propagation than with DP plasticity.





(a) Drucker-Prager

(b) Damage - Breakage



(c)

Figure 4: Comparison of rupture characteristics emerging from the continuum damage-breakage model and the Drucker-Prager plasticity model for the planar fault case. The same setup shown in Fig.2(a) is used in this comparison. (a) Slip rate and slip profiles along the fault for Drucker-Prager plasticity. (b) Slip rate and slip profiles along the fault for the CDB model. The lines are plotted every 0.1 s up to  $t = 2.0$  s for both models. (c) Plastic work accumulation as a function of time for the Drucker-Prager plasticity (blue curve) and the continuum Damage-Breakage Model (red curve).

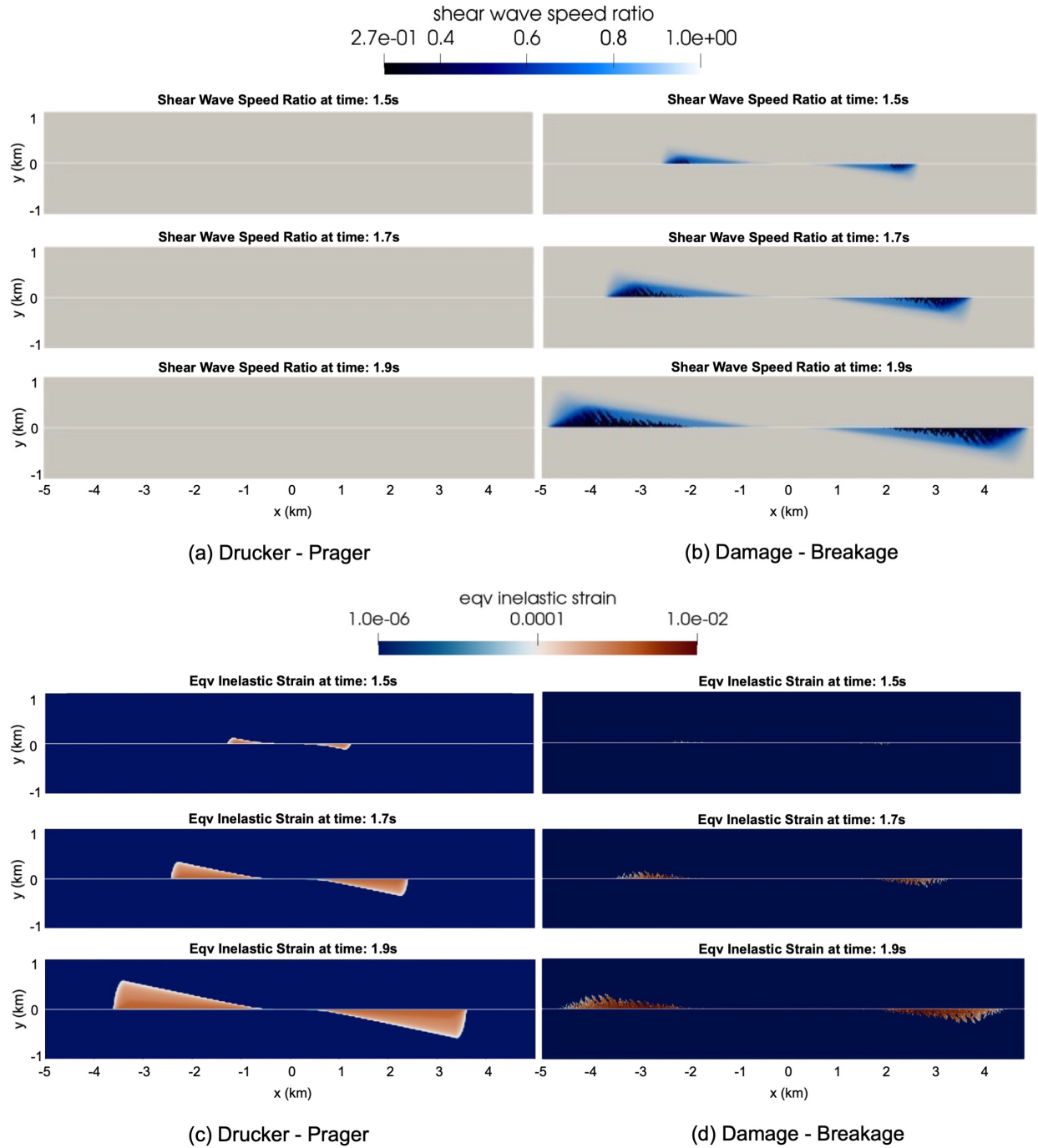


Figure 5: Comparison of bulk properties between the continuum damage-breakage model and the Drucker-Prager plasticity for dynamic rupture simulations. The same problem setup shown in Fig.2(a) is used in this comparison. (a) & (b) Selected snapshots for the instantaneous shear wave speed ratios in the two models (column a is Drucker-Prager plasticity, column b is for CDB model). The shear wave speed ratio remains equal to 1 for the DP model but it evolves in the CDB model. (c) & (d) Selected snapshots comparing the evolution of the equivalent plastic strain in the bulk simulated for the two models (column c is for Drucker-Prager plasticity, column d is for CDB model).

377 **Effect of Damage Accumulation Rate:** To explore how the choice of  $C_d$  values affects the observed  
 378 distributed damage or localized granular flow, we conduct a parametric study testing three additional cases,  
 379 including  $C_d = 10^4$  1/s,  $C_d = 10^5$  1/s and  $C_d = 10^6$  1/s. We extend the geometry in Fig.2(a) along  $x$  direction  
 380  $L_x = 60$  km and keep other parameters the same. Fig.6 shows the shear wave speed ratio corresponding to  
 381 the cases  $C_d = 10^4$  1/s,  $C_d = 10^5$  1/s,  $C_d = 10^6$  1/s, respectively. Recalling the results presented in Fig.2(d)  
 382 for  $C_d = 10^7$  1/s, several observations follow: (1) The  $C_d$  value controls the degree of damage and the timing  
 383 for transition to granular flow (since we assume  $C_B = 10 C_d$ ). For example, for the  $C_d = 10^5$  1/s case, only  
 384 mild distributed damage is observed, up to 25km, without generating any localization, while  $C_d = 10^7$  1/s  
 385 leads to extreme damage and rapid granulation in a relatively short time. The reduction in the shear wave  
 386 speed is about 1% in the case of  $C_d = 10^4$  1/s and it increases to 8% for  $C_d = 10^5$  1/s. Higher values of  
 387  $C_d$  leads to larger reduction in the shear wave speed. (2) In Fig.6(c), with  $C_d = 10^6$  1/s, we observe the  
 388 emergence of localization bands associated with breakage transition. However, as the rupture moves further  
 389 away, the localized bands start to partially heal following equations (10) (11). As the unloading takes place  
 390 behind the rupture tip, the strain invariant ratio decreases. Once it is smaller than the onset of damage value  
 391  $\xi_o$ , the granular flow could halt or even heal. However, this feature is mostly shadowed in the  $C_d = 10^7$  1/s  
 392 case.

### 393 3.2. Fracture corridor as immature fault zone

394 We next study dynamic rupture propagation in a fault network where a cluster of faults may be present  
 395 as typically observed in immature fault zones or in shallow regions that are relevant for many geo-energy  
 396 applications. The fault network consists of multiple intersecting faults, each of which is 600 m long. The  
 397 topology is similar to the one first used by (Xu and Needleman, 1994) to simulate complex dynamic fracture  
 398 patterns, except that here each fault (or fracture) is resolved by at least 10 elements. At  $t = 0$  s, all faults are  
 399 inactive due to our choice of the background stress and frictional parameters which ensure that the ratio of  
 400 the locally resolved shear to normal stress on each fault is smaller than the static coefficient of friction  $\mu_s$  (see  
 401 Table 2). We then initiate a rupture cascade by locally overstressing one of the faults (red line in Fig.7(a))  
 402 beyond its initial stress state. As the rupture propagates on this fault, the stress redistribution facilitated by  
 403 the wave dynamics trigger other, initially inactive, faults. This is further enhanced by the damage-breakage  
 404 processes which channel stresses and focus waves along additional directions that experience reduction in  
 405 their elastic moduli. Eventually ruptures take place on most of the faults.

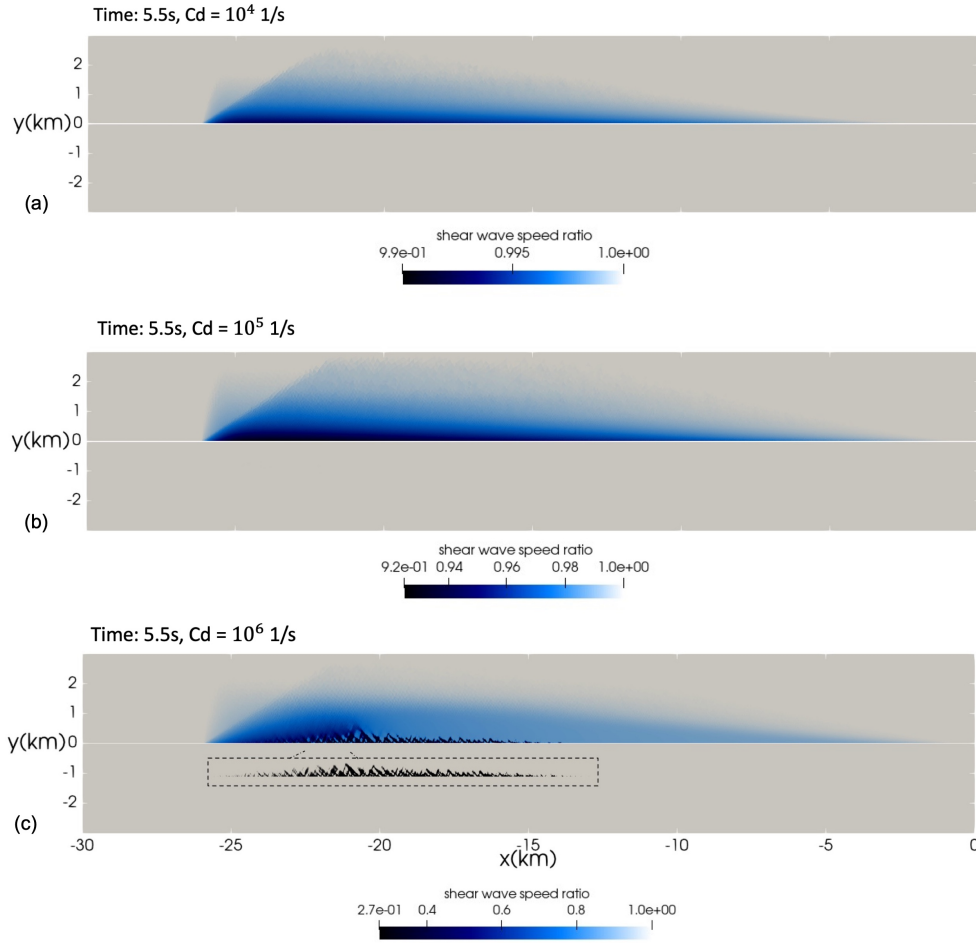


Figure 6: The effect of the damage evolution rate  $C_d$  on the dynamic rupture propagation. We extend the geometry in Fig.2(a) such that  $L_x = 60 \text{ km}$  and test the  $C_d = 10^4 \text{ 1/s}$ ,  $C_d = 10^5 \text{ 1/s}$  and  $C_d = 10^6 \text{ 1/s}$  cases. (a) The  $C_d = 10^4 \text{ 1/s}$  case. Only up to 1% reduction in the shear wave speed is observed at that particular time. (b) The  $C_d = 10^5 \text{ 1/s}$  case. Up to 10% reduction in the shear wave speed is observed at that particular time. However, no breakage is observed. (c) The  $C_d = 10^6 \text{ 1/s}$  case. The reduction in the shear wave speed is much higher (about 70%). Breakage bands are observed signaling transition to granular flow. The breakage generates at the front tip and halts/recovers as the tip gets far. The breakage profile is highlighted within a dash black box, see the text for detailed explanation.

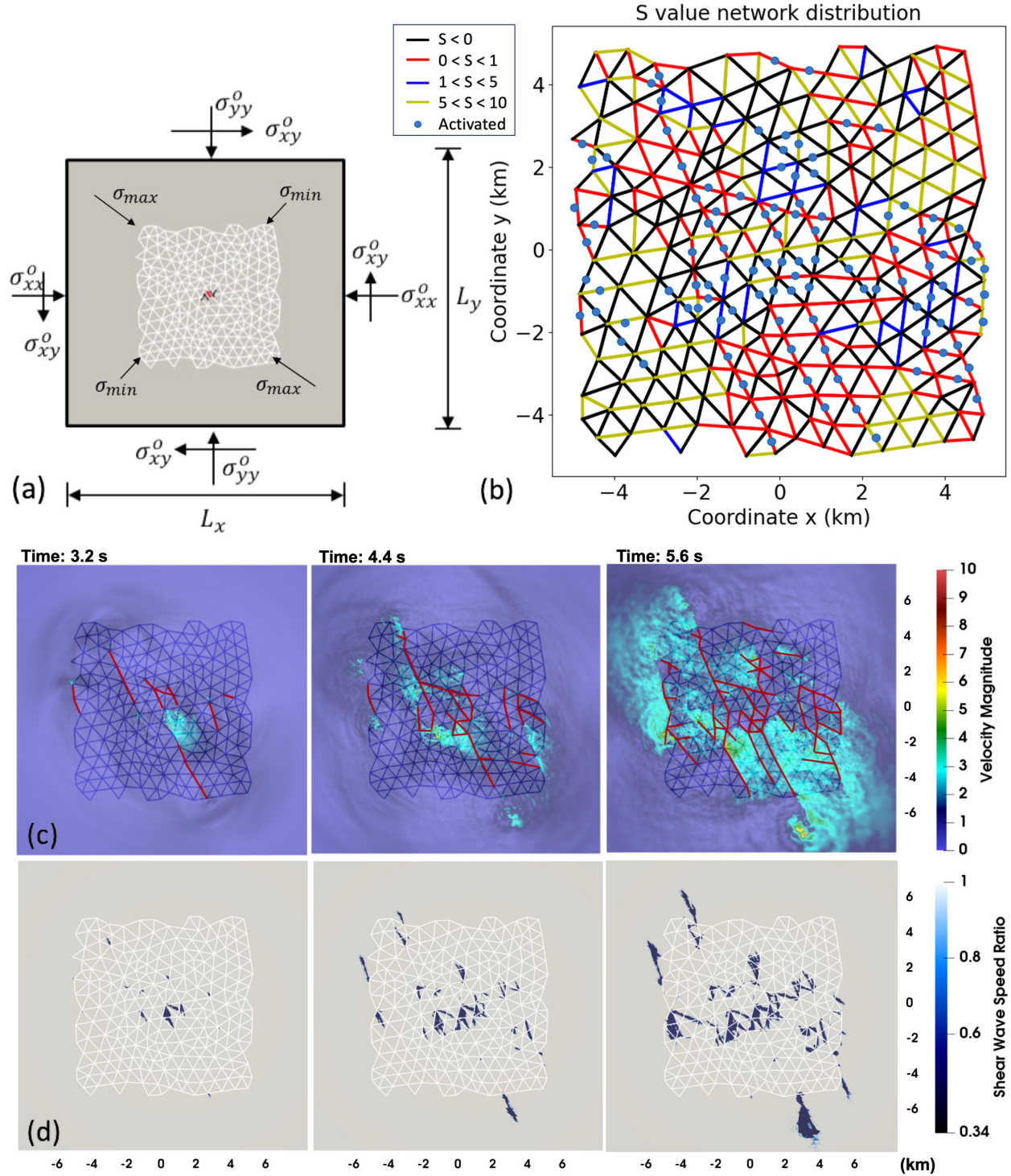


Figure 7: The Fault network case. (a) Geometry setup. (b) The strength parameter  $S$  distribution for the network fault segments. We label all faults with  $S < 0$  as dashed black color (where  $\mu < \mu_d$ ), and use red, blue, yellow color lines to categorize cases  $0 < S < 1$ ,  $1 < S < 5$ ,  $S > 5$ , respectively. We place blue dots on each fault that is actively slipping at time 5.6s (See (c) ) as an example of the network state at a given instant of time. Most activated faults are within  $0 < S < 1$  range. Note that for faults with initially  $S < 0$ , our choice of the background stress ensures that  $\mu$  is initially less than  $\mu_d$ . Thus, these faults are much harder to mobilize (c) Selected snapshots of the particle velocity magnitude. The activated faults are marked red.(d) Selected snapshots of shear wave speed ratio  $C_d = 10^6$  1/s. Localized damage bands emerge from the corners and the fault intersection points. Please refer to the main text for further discussion

406 Fig.7(a) illustrates the setup of the problem. We define the strength parameter  $S = \frac{\mu_s - \mu}{\mu - \mu_d}$  (Das and Aki,  
407 1977; Andrews, 1976) as a measure of how close the initial stress is to the static strength, where  $\mu = \frac{\tau_s}{\sigma_N}$  is  
408 the apparent friction, computed from the ratio of the locally resolved shear stress and normal stress on each  
409 fault segment. The distribution of strength parameter  $S$  for network segments is shown in Fig.7(b). The  
410 source fault, marked in red in Fig.7(a), is activated by overstressing. It generates stress perturbations and  
411 destabilizes surrounding faults, which, in turn, produce subsequent nucleations and propagation of ruptures.  
412 As shown in Fig.7(c), the activated faults, marked in red, tend to connect and expand within the network  
413 as time progresses. Despite the complexity of the fault network activation, the distribution of the strength  
414 parameter, Fig.7(b), helps to understand the triggering sequence. Here we label the activated faults at time  
415 5.6s with blue dots using 4 groups of the initial  $S$  parameter values: (1)  $S < 0$  is shown with black color  
416 segments, where  $\mu < \mu_d$ , the faults are unable to nucleate spontaneously. (2)  $0 < S < 1$  is marked as red  
417 color segments, where fast transition into super-shear rupture is expected. (3)  $1 < S < 5$ , where we may get  
418 a mix of sub-Rayleigh and supershear ruptures (blue color segments) (4)  $S > 5$  are cases where rupture, if  
419 occurs, would be most likely sub-Rayleigh or where rupture will be blocked because of large static strength  
420 (yellow color segments). From Fig.7(b), we observe most of the faults activation to take place on  $0 < S < 1$ ,  
421 approximately tracing the direction of those planes with optimal orientation with respect to the maximum  
422 principal stress, where the static strength is close to the initial stress state. This is consistent with the fact  
423 that for small  $S$  values, faults are more sensitive to stress perturbations and are easier to get activated. For  
424 large  $S$  parameter  $S > 1$  or unfavorable  $S < 0$  cases which get activated, the faults are located within the  
425 cluster of easily activated ( $0 < S < 1$ ) ones. The activated faults with small  $S$  values promote the activation  
426 of the others by the strong enough stress perturbations carried by the wave field (Fig. 7(c)) as well as the  
427 stress redistribution due to damage accumulation (Fig.7(d)).

428 The distribution of off-fault damage, measured by the reduction in the shear wave speed, is shown in  
429 Fig.7(d). Comparing Fig.7(c) and Fig.7(d) indicates that regions with reduced shear wave speed largely  
430 exist within clusters of activated faults. This is not unexpected as we showed earlier for the single fault case.  
431 However, the damage pattern is also distinct in the sense that it does not necessarily follow the path of the  
432 red lines depicted in Fig.7(c). The damage is predominately localized and occasionally extends beyond the  
433 realm of activated faults reflecting a self-driven process. Specifically, the damage localization promotes fast  
434 phase transition into the granular phase, which causes further localization typically occurring at the nodes of  
435 the fault network and further growing from there. These junctions acts as barriers where further rupture  
436 propagation along a pre-existing segment is impeded. As the rupture is arrested, it releases a burst of seismic  
437 radiation and causes a strong stress concentration, damaging the surrounding medium and leading to a  
438 reduction in shear modulus not only locally but also triggered by the propagating waves. This damage-induced  
439 softening releases further energy that redistributes the stress ahead of the damaged region and triggers further  
440 ruptures and damage propagation. As a result, we observe that the damage forms band-like structures at  
441 these fault junctions, propagating further and connecting with other damage bands, eventually forming a  
442 complementary network to the pre-existing fault network. This suggests that, under some conditions, a  
443 pre-existing fault network may not be enough to accommodate the deformation and the emergence of new  
444 fault segments become necessary.

445 Finally, it is interesting to note the complex wave fields that is radiated from the tips of the damage bands,  
446 as shown in Fig.7(c). The propagation of damage bands into the surrounding intact media degrades the  
447 material and triggers the transition into a granular phase. Since this material degradation occurs on inertial  
448 time scales, it radiates waves akin to dynamic Eshelby inclusions (Ni and Markenscoff, 2016) and analytical  
449 results on seismic radiation from regions sustaining rapid changes of elastic moduli (Ben-Zion and Ampuero,  
450 2009; Ben-Zion and Lyakhovsky, 2019). The damage related radiation interferes with the waves resulting  
451 from the slip on the fault network and leads to constructive interference patterns and wave reverberations  
452 that enhance high frequency radiation. This particular feature is prominent behind the rupture front, where  
453 the reduction of elastic moduli is significant and can affect the subsequent rupture physics as discussed in the  
454 next section. The damage related radiation distinguishes our CDB model from plasticity models where the  
455 elastic moduli remain unchanged.

#### 4. Discussion and Conclusions

We integrate the continuum damage-breakage (CDB) model with the linear slip weakening friction law within the MOOSE-FARMS software for the 2D in-plane case. The numerical framework is used to conduct initial simulations of interactions of dynamic ruptures with off-fault damage and bulk instabilities, particularly focusing on the transition during brittle instabilities to granular flow within various pre-existing fault zone geometries. The results show that damage accumulates predominantly within regions of stress concentrations, as expected, with preference to zones experiencing tensile stress perturbations. Upon reaching a critical damage threshold, a phase transition into granular flow occurs. This process results in the localized formation and propagation of a granular phase, the extent of which is governed by specific rate coefficients ( $C_d, C_B$ ). Additionally, we observe that certain fault geometries, such as dead-end corners and fault intersections, can expedite damage-breakage development. When a rupture is halted in these areas, it creates strong stress concentrations and discharges considerable energy, thus intensifying damage generation and granular localization in addition to seismic waves reverberations. This is consistent with results associated with off-fault yielding in the form of plasticity (Xu and Ben-Zion, 2013; Abdelmeguid and Elbanna, 2022a). However, our simulations with the CDB rheology accounting for reduction of elastic moduli in yielding regions produce additional important features discussed further below.

Our investigation includes detailed comparisons of results with the widely-used Drucker-Prager plasticity model for simulating off-fault plasticity. The reduced shear modulus in the CDB model, not accounted for by plasticity models, produces zones with altered wave velocities around the fault consistent with field observations (Ben-Zion and Sammis, 2003). Upon reaching a specific damage threshold, the damaged material becomes unstable and transitions into a granular phase. The continuum damage-breakage model successfully captures the formation of conjugate bands, whereas the Drucker-Prager model only yields for comparable strength parameters distributed inelastic deformation. Moreover, the dynamic reduction of the shear modulus alters and reflects the radiated wave field behind the rupture tip, influencing slip and slip rate profiles and enhancing seismic radiation. The damage related radiation changes dynamically the normal stress on the fault, and thus may have strong effects on the energy partitioning during failure and various features generated by the rupture. Field observations at close proximity to earthquakes show relatively high ratios of P-wave/S-wave energy and isotropic source components consistent with expectations for damage related radiation (Kwiatek and Ben-Zion, 2013; Cheng et al., 2021).

The adopted CDB model includes a healing mechanism following the reduction of stress upon failure, which is demonstrated to be capable of cessation or reversal of cohesive granular flow under some circumstances. This healing mechanism complements other healing mechanisms that may exist in the subsurface during the long interseismic period such as those facilitated by chemical reactions or temperature effects. Capturing elasticity and strength recovery, as enabled by the CDB model, is important for consistent modeling of fault zone evolution over seismic cycles where healing occur on multiple time scales including during rapid stress unloading and during the slow interseismic deformation period.

The stress perturbations induced by activated ruptures play a critical role in promoting the triggering of failure at other potential faults within these networks. We observe that the patterns of damage-breakage are notably localized. These patterns emerge predominantly from intersections and are expected to expand off-fault, potentially connecting with other bands to form an intricate evolving network. This phenomenon is noteworthy, particularly in how the localized damage-breakage aligns with the overall fault network dynamics and explore new paths not traced by the pre-existing fault surfaces. Despite the pronounced impact of local stress field perturbations, we observe preferred directions for the extension of these bands. These directions make an acute angle relative to the maximum principal stress direction, in line with our earlier discussion on the conjugate faulting associated with the planar fault case, offering insights into the underlying mechanics of fault network evolution and interaction. Our results provide new insights that complement other valuable work on rupture dynamics of fault networks (e.g. (Palgunadi et al., 2024)), which suggests the critical role of size-dependent fracture energy in facilitating rupture cascades. Here, we further emphasize the role of off-fault damage and dynamic growth of fault segments in providing additional mechanisms for stress redistribution and energy transfer beyond the capacity of on-fault friction evolution.

In this study we considered initially homogeneous elastic properties to primarily focus on salient effects of off-fault damage-breakage on rupture characteristics. However, material heterogeneity is often observed in the field. Such heterogeneity may interact with the damage evolution at different levels. For example, elastic

509 heterogeneities influence wave propagation causing reflections and diffraction which may lead to spatially het-  
510 erogeneous focusing and scattering effects that may influence damage and healing. Damage band propagation  
511 in layered media is expected to be more complex as interfaces with different strength properties may deflect  
512 or arrest incoming bands. Bimaterial interfaces can affect the mode and propagation of earthquake ruptures  
513 (Andrews and Ben-Zion, 1997; Ben-Zion, 2001; Ampuero and Ben-Zion, 2008; Shlomei and Fineberg, 2016),  
514 can attract ruptures that start at other locations (Brietzke and Ben-Zion, 2006) and affect long-term earth-  
515 quake cycles (Abdelmeguid and Elbanna, 2022a). Consideration of more realistic velocity structures will be  
516 investigated in future studies using data from the community velocity models of the Statewide California  
517 Earthquake Center (SCEC).

518 In this study we have used the linear slip weakening law as a model for fault friction. Alternative frictional  
519 formulations include the rate-and-state friction law that has been successful in capturing rate sensitivity,  
520 spontaneous nucleation, aseismic slip, and post-seismic relaxation. Unlike the linear slip-weakening law, where  
521 the friction coefficient  $\mu$  decreases linearly with slip, the friction coefficient in the rate-and-state friction law  
522 depends on both the slip rate  $V$  and a set of state variables  $\theta$  that encapsulate the history of slip rate evolution.  
523 The introduction of slip rate dependence captures both friction strengthening and weakening, while the state  
524 variable allows for the repetition of steady sliding and transient slip processes. This makes the rate-and-state  
525 friction law suitable for simulating earthquake cycles. For single dynamic rupture simulations, which are the  
526 focus of this paper, choosing appropriate rate-weakening parameters ( $a, b; a < b$ ) in rate-and-state friction  
527 can produce a similar stress-slip curve to linear slip weakening response with comparable magnitude, see  
528 (Luo and Duan, 2018) for detailed comparison of various friction laws. However, the rate dependence of  
529 friction may be critical in some applications. For example, enhanced dynamic weakening at co-seismic slip  
530 rates due to shear heating effects, including flash heating and thermal pressurization, was shown to affect the  
531 rupture mode (i.e. pulses vs cracks), peak slip rates, rupture speed, temperature rise on the fault surface,  
532 and amplitude of dynamic stress drop. These in turn may affect the intensity and extent of co-seismic  
533 damage generation and thermally-activated flow. In this study, we have focused on varying the effect of fault  
534 zone architecture and damage model parameters in controlling the co-seismic evolution of off-fault material  
535 properties for a given fault friction model. Future work will consider additional frictional effects including  
536 rate dependence and shear heating.

537 We limited our investigation to problems in the 2D plane strain configuration. A 3D computational model  
538 that includes CDB rheology in the bulk will provide a more realistic framework for studying a range of  
539 fundamental topics in the physics of earthquakes and faults, including the organization of fracture network,  
540 stress, and strain in the periods leading to large failure events. Most importantly, extension to 3D will  
541 enable consideration of depth dependent overburden pressure, pore pressure, and temperature profiles. At  
542 depth, higher pressures may decrease the potential for damage generation. However, the reduction of elastic  
543 moduli in damage zones produces isotropic radiation with amplitude that increases with the initial elastic  
544 strain (and hence depth) that can produce further rock damage and fragmentation (Ben-Zion and Ampuero,  
545 2009; Ben-Zion and Lyakhovsky, 2019). Also, if the dynamic stress drop increases with depth that could  
546 promote damage. Elevated temperatures and pore pressures at depth may promote healing. However,  
547 higher temperatures may also allow increased inelastic deformation enabled by thermally activated processes.  
548 Investigation of these competing mechanisms will provide novel insights into earthquake source physics. Such  
549 modeling could suggest refined observables that may be used to track processes associated with degradation  
550 and recovery of elastic moduli within fault zones, and elucidating the mechanisms underlying fault zone  
551 maturation and different space-time seismicity patterns. This research trajectory is anticipated to offer  
552 significant insights into the behavior of fault systems over different time scales, with important implications  
553 for next generation seismic hazard models.

554 The results presented in this study constitute an initial investigation into the effects of bulk damage-  
555 breakage on the dynamics of rupture propagation within complex fault zones. The discussed problems  
556 represent a potentially interesting area for collaboration between researchers from mechanics, material science,  
557 and earthquake sciences. The results point to a realm of unresolved research questions that warrant further  
558 exploration. Critical among these is the need for an in-depth analysis of the influence of the damage-breakage  
559 phenomena on energy partitioning, particularly examining the competition between energy dissipation via  
560 inelastic deformation and damage generation on one hand, and enhanced seismic radiation due to dynamic  
561 reduction of normal stress in the rupture zone along with the additional radiation ensuing from excess  
562 strain energy in regions sustaining dynamic reduction of elastic moduli. An advanced investigation into



563 characteristics of the radiated wave field, including its tensorial composition and frequency spectrum, is  
564 needed for a more nuanced understanding of the interaction between the seismic wavefield and rupture  
565 properties. Important goals of the continuing research are generalizing the simulation framework to three  
566 dimensions and to long histories accounting for evolutionary processes. This presents significant, but not  
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## 580 **6. References**

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785 **Appendix A. Appendix**

786 *Appendix A.1. Spring-dashpot block representation*

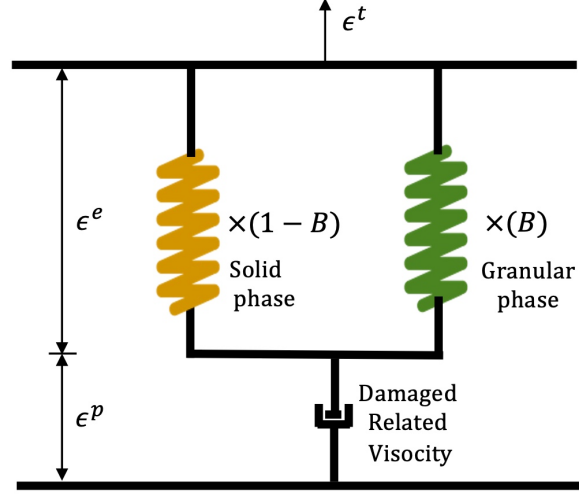


Figure A.1: Spring-dashpot block representation of continuum damage-breakage model. As depicted in the figure, the spring-dashpot system is two parallel springs connects in series of a dashpot. The contribution from the two springs, either solid phase (yellow spring) or granular phase (green spring), is governed by the elastic strain  $\epsilon^e$ , and is partitioned by breakage parameter  $B$ . The dashpot represents damage-related viscosity, produces permanent strain  $\epsilon^p$ . Thus the total strain is partitioned into elastic strain (parallel springs) and permanent strain (dashpot).

787 *Appendix A.2. Continuum damage-breakage model derivation*

788 The strain invariant ratio at onset of damage evolution  $\xi_o$  is a material property related to the internal  
789 friction angle  $\phi$  (Xu et al., 2015):

$$\xi_o = \frac{-\sqrt{2}}{\sqrt{1 + (\lambda/\mu_o + 1)^2 \sin^2 \phi}} \quad (\text{A.1})$$

790 The transition from solid to granular phase takes place at a certain critical damage variable value  $\alpha_{cr}$ .  
791 This boundary is determined by the loss of convexity in the solid phase, see (Lyakhovskiy and Ben-Zion,  
792 2014b), section 3.2. A critical strain invariant ratio  $\xi_1$  is determined by the convexity loss condition, the  
793 equation is shown below:

$$\xi_1 = \xi_o + \sqrt{\xi_o^2 + 2 \frac{\mu_o}{\lambda_o}} \quad (\text{A.2})$$

794 The probability function  $P(\alpha)$  has the form:

$$P(\alpha) = \frac{1}{\exp\left(\frac{\alpha_{cr}(\xi) - \alpha}{\beta}\right) + 1} \quad (\text{A.3})$$

795 The presence of  $P(\alpha)$  in the breakage parameter evolution equation is to control the timing for transition  
796 to take place. The transition takes place when the damage variable  $\alpha$  approaches  $\alpha_{cr}$ , this is considered  
797 in the exponent term in equation (A.3) such that  $P(\alpha \ll \alpha_{cr}) \rightarrow 0$  and  $P(\alpha \gg \alpha_{cr}) \rightarrow 1$ .  $\beta$  is the width  
798 of transition region, if  $\beta \rightarrow 0$ ,  $P(\alpha)$  approaches Heaviside function, otherwise a finite transition region (or  
799 mushy region combines both phases where  $0 < B < 1$ ) is presented to smooth the rapid change from solid  
800 phase to granular phase.

801 *Appendix A.3. Planar fault particle velocity time snapshots*

802 We compare the particle velocity time snapshots in two models with identical geometry and boundary  
 803 conditions but one is governed by linear elastic material response while the other is governed by the CDB  
 804 model. The rupture in both cases is right-lateral. For the linear elastic material, the constitutive equation  
 805 takes the following form:

$$\sigma_{ij} = \lambda I_1 \delta_{ij} + 2\mu \epsilon_{ij} \quad (\text{A.4})$$

806 Here the elastic moduli remain constant. From Fig.A.2(a), we observe that the linear elastic case exhibits  
 807 clear bi-lateral supershear propagation Mach cones on both sides of the fault. However, as shown in Fig.A.2(b),  
 808 on the tensile side in the CDB model, the velocity profile is more diffuse with lower magnitude of the velocities  
 809 carried by the Mach cone compared with linear elastic case due to the interaction with the co-seismically  
 810 generated damage. The emergence of granular bands at the rupture tip also distorts the Mach cone.

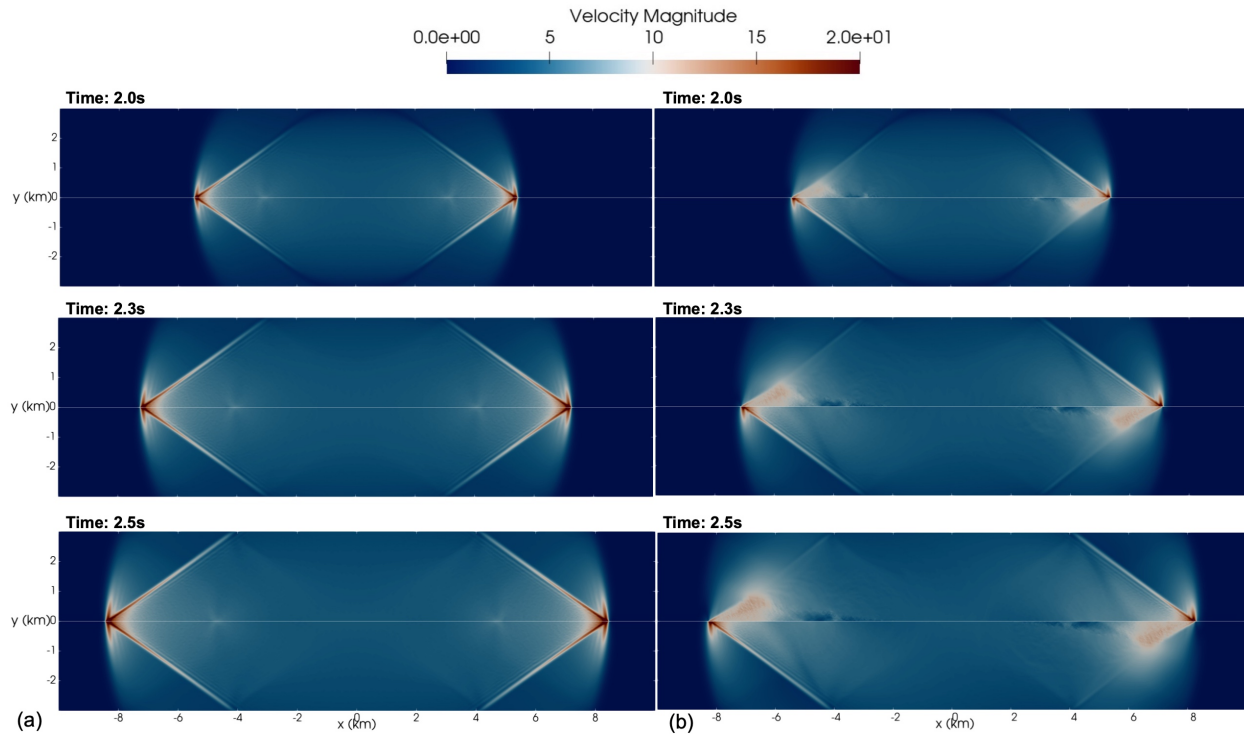


Figure A.2: Particle Velocity Time Snapshots. (a) Linear elastic case. (b) Continuum damage-breakage model.



811 *Appendix A.4. Dynamic rotation of the principal stresses*

812 As shown in Fig.A.3(a), the initial maximum principal stress is uniform across the domain, with its  
 813 orientation points southeast direction ( $\psi = -45^\circ$ ). At time equals 2.0 s, as the rupture propagates and  
 814 develops as supershear, the orientation of maximum principal stress direction behind the tip rotates clockwise  
 815 and become nearly vertical ( $\psi \approx 90^\circ$ ) leading to the emergence of the conjugate bands, behind the rupture  
 816 tip, in the directions described in the main text (See also Fig.2(b)). We also highlight the co-rotation of the  
 817 minimum principal stress in Fig.A.3(b). We note that very close to the rupture tip, we also observe that the  
 818 sense of the minimum compressive stress has reversed (from compressive to tensile). Please refer to main text  
 819 in section 3.1 for detailed discussion.

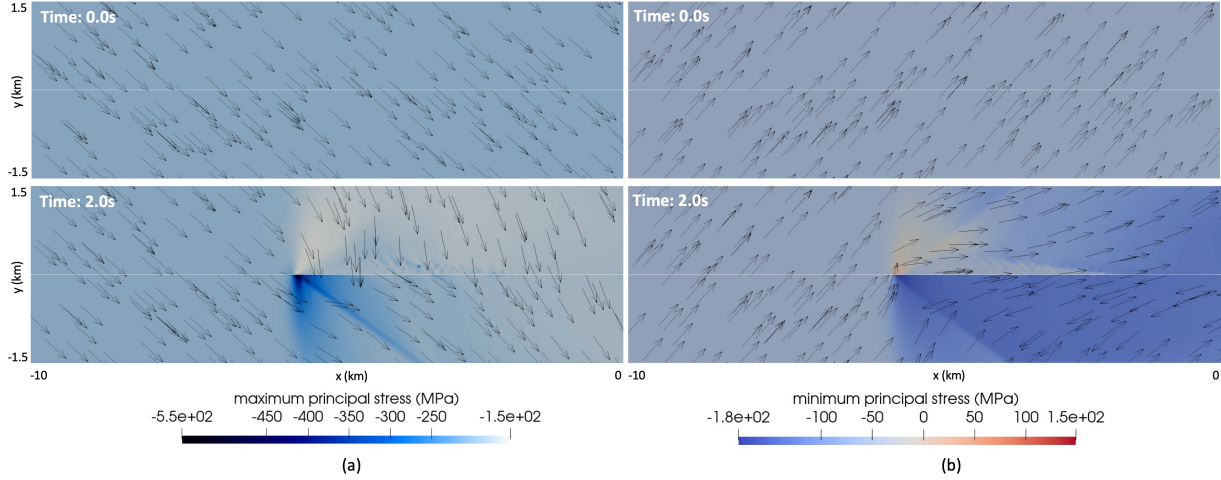


Figure A.3: Time snapshots for the principal stress values (colormap) together with the principal stress orientation (black arrow) at  $t = 0.0$  s and  $t = 2.0$  s. Note: only left half of the simulation is shown, the rupture propagates from right to left. The black arrows only represent orientation, their lengths do not indicate the magnitude. (a) Maximum principal stress. (b) Minimum principal stress.

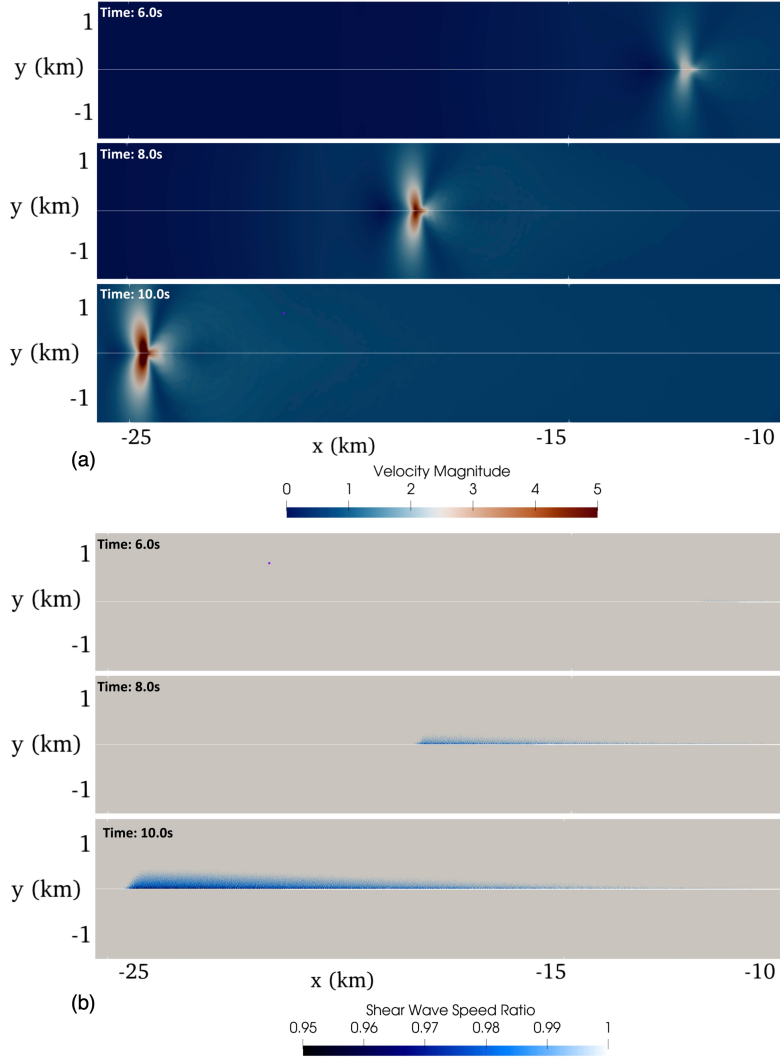


Figure A.4: **Particle velocity and shear wave speed ratio time snapshots for subRayleigh rupture case.** In this case, the strength parameter is set to be  $S = 2.0$  to ensure rupture is under subRayleigh speed throughout, and we use damage rate parameter  $C_d = 10^6 1/s$  in (a) where the particle velocity is shown, we observe clear and symmetry subRayleigh rupture feature without any disturbance. In (b) we show shear wave speed ratio, the damage is distributed with its maximum value is only 5 percent of the initial shear wave speed.

821 In this subsection, we explore the case where rupture travels with subRayleigh speed along the fault. To  
 822 ensure the persistent subRayleigh feature, we perturb dynamic friction coefficient  $\mu_d$  such that the strength  
 823 parameter  $S = (\mu_s - \mu)/(\mu - \mu_d) = 2.0$  (Dunham, 2007). The damage accumulation rate  $C_d = 10^6 1/s$ . Other  
 824 parameters are kept the same as in section 3.1. We observe clear subRayleigh velocity profile in Fig.A.4(a)  
 825 and distributed damage accumulation only without invoking any granular transition in Fig.A.4(b). The  
 826 damage magnitude is much smaller than in section 3.1 where rupture propagates in super-shear speed, with the  
 827 maximum damage is only 5 percent of the shear wave speed, compared to 70 % in the supershear case with  
 828 the same damage rate parameter ( Fig.6(c) ). This is not surprising since cases which produce super-shear  
 829 features ( $S = 0.2$ ) typically possess higher stress drop than the case shown here ( $S = 2.0$ ) Note the findings  
 830 for subRayleigh cases are quantitatively agree with previous work by (Xu et al., 2015).

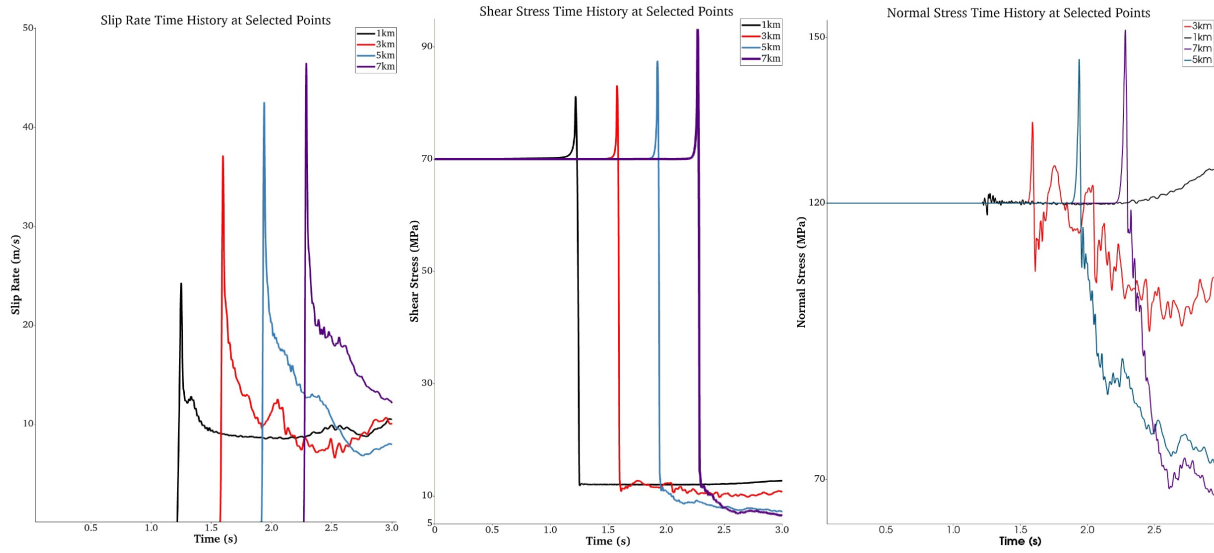


Figure A.5: Slip rate and shear stress time history at selected points along the fault: 1km, 3km, 5km, 7km (measured with respect to the center of the fault).

832 Fig. A.5 shows the slip rate, shear stress, and normal stress time histories at selected points along the  
 833 fault surface at distances 1km, 3km, 5km, and 7km, respectively, away from the center of nucleation patch.  
 834 From Fig.A.5(a), we observe higher peak slip rate as the rupture moves away from the nucleation region.  
 835 The oscillations in slip rate profile after the peak, observed at 3km, 5km, and 7km, are the result of wave  
 836 reflections from the co-seismically generated damage. From Fig.A.5(b), the increase in instantaneous peak  
 837 shear stress as the rupture progresses is due to the initial increase of normal stress, as shown in Fig.A.5(c).  
 838 The modulus degradation along the tensile side contributes to a bimaterial effect which promote a reduction  
 839 in the normal stress behind the rupture tip and a dynamic weakening effect leading to a decrease in the  
 840 residual frictional strength. At  $x = 1km$ , no off-damage has developed yet, the shear stress drops to residual  
 841 strength, as expected for linear slip weakening friction law. After  $x = 3km$ , the material transits into granular  
 842 phase and the decrease of normal stress further reduces the residual value of shear stress.