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#### 1 Title

- 2 Sensitivities of soil respiration and heterotrophic respiration to temperature in a cool-
- 3 temperate forest with sika deer-induced understory vegetation alteration

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# Abstract

Overpopulated ungulates reduce the biomass of understory vegetation and promote the
expansion of unpalatable plants in world forests. These understory degradations
possibly influence sensitivities of soil respiration $(R_s)$ and heterotrophic respiration $(R_h)$
to temperature and moisture. Here, we examined this possibility in a cool-temperate
forest in southern Kyushu, Japan. At the study site, the dominant understory vegetation,
dwarf bamboo (Sasa; Sasamorpha borealis), has been lost and replaced by an
unpalatable shrub, Asebi (Pieris japonica), owing to sika deer feeding. We targeted
three understory vegetation types, namely, Sasa understory (SU), no understory (NU),
and Asebi understory (AU). The $R_s$ , $R_h$ , soil temperature, and soil volumetric water
content (SVWC) were measured at three points in each understory type using an
automatic opening/closing chamber system from August 2022 to November 2023. We
also evaluated understory conditions such as surface litter amount, fine root biomass,
and soil physio-chemical properties to explore factors influencing the temperature
sensitivity proxy $(Q_{10})$ of $R_s$ and $R_h$ . The temporal variation of $R_s$ and $R_h$ was affected
strongly by soil temperature and weakly by SVWC for all understory types. Differences
in $Q_{10}$ among SU, NU, and AU were comparable to the differences in $Q_{10}$ among
measurement points within the same understory type. Spatial variation in $Q_{10}$ of $R_s$ and
$R_{\rm h}$ was explained by fine root biomass and surface litter amount, respectively. There
were no differences in fine root biomass and surface litter amount among understory
types. The lack of difference in surface litter amount can be explained by the minimal
litter runoff associated with the alteration from SU to NU and AU due to the flat
topography. Our findings indicate that understory loss and species replacement caused

- 43 by deer do not affect the sensitivity of  $R_s$  or  $R_h$  at our site, which is characterized by flat
- 44 topography.

## 1. Introduction

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Sequestration of carbon dioxide (CO<sub>2</sub>) is a crucial function of forest ecosystems under global warming. Recently, ungulate populations have increased in forests worldwide (Wilson and MacLeod, 1991; Coomes et al., 2003; Takatsuki, 2009; Tape et al., 2016; Guerisoli and Pereira, 2020). Non-uniform, excessive, and prolonged understory vegetation feeding by overpopulated ungulates (hereafter referred to as overbrowsing) has reduces the biomass and species diversity of understory vegetation (Hernández and Silva-Pando, 1996; Horsley et al., 2003; Kato and Okuyama, 2004; Tremblay et al., 2006; Suzuki et al., 2008; Harada et al., 2020). In addition, overbrowsing increases the abundance of plant species unpalatable for an ungulate diet (Enoki et al., 2017; Abe et al., 2024b; Tokumoto and Katayama, 2024). Such understory degradation potentially degrades forest carbon sequestration, for example, through an increase in carbon emissions from the forest (Ramirez et al., 2018; Schmitz et al., 2018; Forbes et al., 2019; Leroux et al., 2020). Further research examining this possibility is necessary to implement forest management practices that reduce carbon emissions under global warming and overbrowsing. Soil respiration  $(R_s)$  is an important CO<sub>2</sub> efflux pathway in forest ecosystems.  $R_s$ comprises respiration by living roots and the associated rhizosphere (autotrophic respiration;  $R_a$ ) as well as respiration by microbes through the decomposition of surface litter and soil organic matter (SOM) (heterotrophic respiration; R<sub>h</sub>) (Bond-Lamberty and Thomson, 2010). Both  $R_s$  and  $R_h$  respond to temperature and moisture (Webster *et al.*, 2009). Thus, evaluation of the sensitivities of  $R_s$  and  $R_h$  to temperature and moisture, together with factors responsible for their variation, is important to clarify whether

- overbrowsing-induced understory degradation impacts carbon-release processes in
  forest ecosystems. However, current knowledge of the effect of understory vegetation
  on the sensitivity of  $R_s$  and  $R_h$  to temperature and moisture is limited. Previous studies
  have mainly conducted understory removal experiments (Yashiro *et al.*, 2012; Li *et al.*,
  2019; Jing *et al.*, 2021; Zhao *et al.*, 2022) and detected no difference in the temperature
  sensitivity of  $R_s$  and  $R_h$  between stands with and without understory vegetation.
- Nevertheless, the underlying factors contributing to these results remain poorly understood.
- 76 Temperature and moisture sensitivities of  $R_s$  and  $R_h$  vary with soil properties, such as 77 root production, soil bulk density (BD), and soil carbon concentration (SC) (Bond-78 Lamberty and Thomson, 2010; Hursh et al., 2017; Tang et al., 2020; Jian et al., 2022). 79 Loss of understory vegetation by overbrowsing impacts soil properties, including fine 80 root production (Ruess et al., 1998), soil microorganism activities (Niwa et al., 2011; 81 Chen et al., 2023; Kadowaki et al., 2023; Tokumoto et al., 2024), and the amounts and 82 decomposability of surface litter and SOM (Kooijman and Smit, 2001; Binkley et al., 83 2003; Kawakami et al., 2020a, 2020b; Katayama et al., 2023). In addition, the 84 replacement of understory vegetation by unpalatable plant species through 85 overbrowsing affects these soil properties (Harada et al., 2020; Ohira et al., 2022; Abe 86 et al., 2024b). Thus, loss and species replacement of understory vegetation by overbrowsing may alter the temperature and moisture sensitivities of  $R_s$  and  $R_h$  through 87 88 the changes in soil properties.
- Currently, the population size of sika deer (*Cervus nippon*) has reached its

  historically highest level in the Japanese archipelago (Iijima *et al.*, 2023). In southern

Kyushu Island, the dominant understory vegetation, dwarf bamboo (Sasa; *Sasamorpha borealis*) (Fig. 1a), has been decreasing and disappearing as a result of the overbrowsing since the 1980s (Fig. 1b) (Saruki *et al.*, 2004). In addition, overbrowsing has led to the replacement of Sasa by the unpalatable shrub, Asebi (*Pieris japonica*) (Fig. 1c) (Enoki *et al.*, 2017; Tokumoto and Katayama, 2024). In this study, we aimed to examine whether the understory loss and species replacement caused by deer affect the sensitivity of  $R_s$  or  $R_h$ . For this aim, we conducted field measurements of  $R_s$  and  $R_h$  in a cool-temperate forest with three types of understory vegetation (i.e., Sasa understory, no understory, and Asebi understory).

#### 2. Material and methods

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## 2. 1 Study site and experimental design

102 This study was conducted in Kyushu University Shiiba Research Forest (SRF), 103 located in southern Kyushu Island (32°20′53″N, 131°5′32″E, 880 m above sea level). 104 The mean annual temperate (MAT) and precipitation (MAP) in the study area were 105 10.8 °C and 3207.9 mm, respectively (DEIMS-SDR, 2021). The study site was on flat 106 terrain with a slope of <5 degrees. We established a study plot with an area of 600 m<sup>2</sup> 107 on March 2, 2024. In the study plot, species name and diameter at breast height (DBH) 108 were recorded for overstory trees with DBH >3 cm. The mean and standard deviation (SD) of DBH were  $18.4 \pm 9.7$  cm. The stem density was 683.6 stems  $ha^{-1}$ , and the basal 109 area was 23.0 m<sup>2</sup> ha<sup>-1</sup>. The dominant species were *Quercus variabilis*, *Q. crispula*, and 110 111 Q. serrata. These three species comprised 85.4% of the stem density and 83.6% of the 112 basal area. 113 Before overbrowsing, the understory vegetation in SRF was entirely covered by 114 Sasa (i.e., S. borealis). Due to the overbrowsing since the 1980s, Sasa has decreased 115 (Saruki et al., 2004), and the population of Asebi has increased (Enoki et al., 2017; 116 Ichihashi and Katayama, 2024; Tokumoto and Katayama, 2024). We targeted three 117 understory types within the study plot: Sasa understory (SU, Fig. 1a), no understory 118 (NU, Fig. 1b), and Asebi understory (AU, Fig. 1c). SU was located within areas 119 enclosed by deer exclusion fences, and was considered to be the baseline understory 120 type (Abe et al., 2024b; Tokumoto et al., 2024). NU and AU were located outside the 121 fenced areas and were considered to be overbrowsing-induced understory types (Abe et *al.*, 2024b). AU developed from NU at the site with high light availability due to the expansion of Asebi (Tokumoto and Katayama, 2024).

We established three  $CO_2$  efflux measurement points of  $R_s$  and  $R_h$  in each understory type (i.e., two-soil respiration components × three-understory types × three-point replications = 18 points) on March 16, 2022. We installed short polyvinyl chloride (PVC) collars at each point to measure  $R_s$ . We employed a micro-trenching method to measure  $R_h$  (Riutta *et al.*, 2021); for this method, tall PVC collars were installed at each measurement point. The diameter of the PVC collars was 11 cm, with a height of 10 cm for the short collars and 45 cm for the tall collars. Installed PVC collars were exposed 5 cm above the ground surface. The roots inside the tall PVC collars were cut to install the tall PVC collars. Hence,  $R_h$  in this study excludes  $CO_2$  efflux from living roots and the associated rhizosphere at 0–40 cm depth (Riutta *et al.*, 2021). The collars were allowed to stabilize for 18 weeks before the data collection started. Previous studies that used the micro-trenching method have shown that  $CO_2$  efflux in tall PVC collars stabilized within 1 week (Sapronov and Kuzyakov, 2007; Thurgood *et al.*, 2014; Riutta *et al.*, 2021).

## 2.2 Vegetation and soil property census

To estimate understory vegetation biomass, we installed a  $0.5 \text{ m} \times 0.5 \text{ m}$  frame surrounding each  $CO_2$  efflux measurement point. On March 2, 2024, we measured the number of culms of Sasa, the culm height of Sasa, and the diameter at 5 cm height ( $D_5$ , cm) of Asebi inside the frame. The biomass of Sasa was estimated using the following equation:

$$B = 15.928NH + 335.000 (1)$$

where *B* is the biomass of Sasa (g m<sup>-2</sup>), *N* is the number of culms of Sasa (m<sup>-2</sup>), and *H*is the culm height of Sasa (m). This equation was created from the results of understory
harvesting survey in SRF (Abe *et al.*, 2024b) (Fig. S1). The biomass of Asebi was
estimated using an allometric equation established in SRF (Ichihashi and Katayama,
2024):

$$\log_{10}(W) = 2.255 \log_{10}(D_5) + 1.533 \tag{2}$$

where W is the individual biomass of Asebi (g). The total value of W in each frame was divided by the frame size  $(0.025 \text{ m}^2)$  to obtain area-based biomass (g m<sup>-2</sup>).

To investigate the soil properties, we measured surface litter amount (g m<sup>-2</sup>), fine root biomass (g m<sup>-2</sup>), SOM amount (g C m<sup>-2</sup>), BD (g m<sup>-3</sup>), and SC (g C g<sup>-1</sup>) on March 2, 2024. The surface litter included leaf litter and fine woody debris with a diameter of approximately <3 cm (Abe *et al.*, 2022b). Fine roots included all living plant roots with a diameter of <2 mm. We sampled surface litter inside 0.3 m × 0.3 m frames placed near the measurement points. Surface litter was separated into Sasa, Asebi, and other tree species. Surface litter that had decomposed to inseparability was classified as inseparable. Surface litter was oven-dried at 70 °C for 48 h and weighed. We sampled soil cores to determine fine root biomass, BD, and SC. This was performed around all measurement points at 0–5 cm and 5–10 cm depths. After the removal of surface litter, we used a 100 ml syringe to collect soil cores in three positions at each measurement point. The soil core samples were pooled for each measurement point and sieved through a 2 mm mesh, and then mineral soil and fine roots were separated. The fine roots were further separated into

Sasa, Asebi, and other tree species. No inseparable roots were present. Mineral soil and fine roots were oven-dried at 70 °C for 48 h and weighed. BD was determined by dividing the mass of mineral soil (g) by the sample volume (0.0003 m<sup>-3</sup>). SC was measured using a C/N corder (Macro Corder JM1000CN, J-Science Lab Co., Kyoto, Japan). SOM amount was calculated by multiplying BD, SC, and the sampling depth of each soil layer (0.05 m).

#### 2.3 Soil respiration measurement

We measured  $R_s$  and  $R_h$  at each measurement point using a closed static chamber (HL-1019A, Fuxin Gongda Hualian Technology Co., Ltd., Liaoning, China) (Kuriyama *et al.*, 2021; Wang *et al.*, 2022). The chamber system consisted of a cylindrical chamber (soil exposure area: 165 cm², chamber volume: 3300 cm³), an infrared gas analyzer, and a fan that circulates the air in the chamber in one unit. A thermometer measured the gas temperature in the chamber. Measurements of CO<sub>2</sub> concentration and gas temperature were recorded at 3-second intervals over 7.5 min. The CO<sub>2</sub> efflux (F,  $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) was calculated as follows:

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$$F = \frac{dc}{dt} \times \frac{V_s}{V_a} \times \frac{273.15}{(273.15 + T_a)} \times \frac{1}{A}$$
 (3)

where dc/dt is the CO<sub>2</sub> concentration increment per second ( $\mu$ mol mol<sup>-1</sup> s<sup>-1</sup>),  $V_s$  is the volume of the chamber (3.3 L),  $V_a$  is the standard molar volume of the atmosphere (22.4 L mol<sup>-1</sup>),  $T_a$  is the gas temperature (°C), and A is the soil exposure area (0.0165 m<sup>2</sup>). After the measurement period, the movable partition opened and automatically exhausted the air from the chamber. The system automatically recorded CO<sub>2</sub> efflux at 20-minute intervals, including the exhaust period. In each recording period, soil

temperature (°C) and soil volumetric water content (SVWC, %) at 5 cm depth near the chamber were automatically measured from a thermometer and a time domain reflectometer sensor. Measurements were conducted during six campaigns from 2022 to 2023 (August 5 to October 6, 2022; November 14 to December 4, 2022; February 14 to February 28, 2023; March 14 to April 10, 2023; July 10 to August 31, 2023; and October 30 to November 8, 2023). In each campaign, two chambers were used to measure CO<sub>2</sub> efflux at one short PVC collar and one tall PVC collar per day.

# 2.4 Soil respiration modeling

We examined the relationships of  $R_s$  and  $R_h$  with soil temperature and SVWC for each understory type. This was done using three empirical equations. The first equation expresses the exponential relationship using soil temperature (Lloyd and Taylor, 1994):

$$F = a \exp(bT) \tag{4}$$

where *T* is soil temperature (°C) at 5 cm depth, and a and b are constants. The second equation expresses quadric relationships using SVWC (Saiz *et al.*, 2006):

$$F = c + d\theta + f\theta^2 \tag{5}$$

where  $\theta$  is SVWC (%) at 5 cm depth, and c, d, and f are constants. The third equation expresses the exponential-power relationships using T and  $\theta$  (Saiz et al., 2006):

$$F = g \exp(hT) \theta^{i}$$
 (6)

where g, h, and i are constants. To estimate the constants in Eqs. 4–6, non-linear mixedeffect models (Lindstrom and Bates, 1990) were applied to pooled data from the three measurement points for each understory type. Thus, all constants in Eqs. 4–6 consisted of fixed and random effects. Fixed effects were expressed as representative constants in each understory type. Random effects were assigned to differences of measurement points in each understory type. Constants were fitted using a maximum likelihood procedure. The goodness of fit was evaluated with the Akaike information criterion (AIC), and the coefficient of determination was explained by fixed effects (Marginal  $R^2$ ) (Spiess and Neumeyer, 2010). The analyses were conducted using R software version 4.2.2 for Windows 10 x64 (R Core Team, 2024) with the packages "nlme" (Pinheiro *et al.*, 2017) and "nlraa" (Miguez, 2021).

We calculated  $Q_{10}$  to determine the temperature sensitivity of  $R_s$  and  $R_h$  as follows (Lloyd and Taylor, 1994):

$$Q_{10} = \exp(10b) \tag{7}$$

where b is the constant in Eq. 4. Representative  $Q_{10}$  in each understory type was calculated based solely from the fixed effects of constant b. In addition, the spatial variation of  $Q_{10}$  was evaluated as the SD of  $Q_{10}$  between measurement points in each understory type.  $Q_{10}$  in each measurement point was calculated from constant b at each measurement point, which is the sum of fixed effects and point-specific random effects.

## 2.5 Statistical tests

We used the Tukey honest significant differences test to assess whether understory vegetation biomass, surface litter amount, fine root biomass, SOM amount, BD, and SC varied among different understory types. In addition, the effects of these variables on the  $Q_{10}$  of  $R_s$  and  $R_h$  were evaluated by linear regression analysis. This regression

analysis targeted  $Q_{10}$  values for each measurement point. The significance threshold for statistical tests was set at p < 0.05. These analyses were conducted in R software with packages "stats" (R Core Team, 2024) and "multicomp" (Bretz *et al.*, 2010).

## 3. Results

#### 3.1 Soil characteristics

The understory vegetation biomass in SU was 26-fold higher than that in NU and 5-fold higher than that in AU (Table 1, Table S1). No differences in surface litter amount were detected among SU, NU, and AU. At each measurement point, overstory trees contributed 92%–98%, 100%, and 51%–84% of the total surface litter in SU, NU, and AU, respectively (Fig. S2). No differences in fine root biomass were observed at any depth among SU, NU, and AU. Nevertheless, Sasa and Aesbi contributed 23%–35% and 42%–63% of fine root biomass at 0–10 cm depth in SU and AU, respectively (Fig. S3). The SOM at 0–5 cm and 0–10 cm depth in NU were 1.51- and 1.15-fold higher than in SU and AU, respectively. Although this was due to the relatively higher BD in NU than in SU and AU, differences in BD were not significant at any depth. In addition, no differences in SC were detected at any depth among SU, NU, and AU.

# 3.2 Estimated soil temperature and moisture models for soil respiration

A consistent positive exponential response of  $R_s$  and  $R_h$  to soil temperature was observed for all understory types (Fig. 2). The  $R^2$  values for the temperature model (i.e., Eq. 4) ranged from 0.84 to 0.92, suggesting that most of the variation in  $R_s$  and  $R_h$  was explained by soil temperature (Table 2). Unimodal responses of  $R_s$  and  $R_h$  to SVWC was detected, except for  $R_h$  in SU and  $R_s$  in AU (Fig. S4). Despite of this, the  $R^2$  values for the SVWC model (i.e., Eq. 5) were less than 0.5 (0.015 to 0.48; Table 2). Furthermore, the AIC and  $R^2$  values for the hybrid model of temperature and SVWC (i.e., Eq. 6) did not differ from those of the temperature model (e.g., the results in SU

- had AIC = -5439.92 and  $R^2 = 0.84$  for the temperature model, and AIC = -5611.54 and
- 256  $R^2 = 0.88$  for the hybrid model; Table 2).
- The  $Q_{10}$  calculated solely from the fixed effects, as well as the SD of  $Q_{10}$  in each
- 258 measurement point, were as follows.  $Q_{10}$  of  $R_s$  was  $2.42 \pm 0.31$  for SU,  $2.50 \pm 0.19$  for
- NU, and 2.60  $\pm$  0.34 for AU. The  $Q_{10}$  of  $R_h$  was 2.73  $\pm$  0.07 for SU, 3.00  $\pm$  0.52 for NU,
- and 3.17  $\pm$  0.33 for AU. For  $R_s$  and  $R_h$ , differences in  $Q_{10}$  among understory types were
- only as large as the SD of each measurement point.
- Surface litter amount was positively related to the  $Q_{10}$  of  $R_h$  (Table 3, Fig. 3). Fine
- root biomass at 0–10 cm and 0–5 cm depth was positively related to the  $Q_{10}$  of  $R_s$  (Fig.
- 264 4). The SOM amount, BD, or SC were not related to  $Q_{10}$  of  $R_s$  and  $R_h$ .

## 4. Discussion

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266 The temporal variations of  $R_s$  and  $R_h$  were affected strongly by soil temperature and 267 weakly by SCWC (Table 2). This result was in line with most previous studies that 268 indicated the temporal variation of  $R_s$  and  $R_h$  is controlled primarily by soil temperature 269 (e.g., Chen et al., 2020). The possible reason for the lower sensitivity of SVWC is the 270 climatic conditions at the present study site. The sensitivities of  $R_s$  and  $R_h$  to SVWC are 271 higher in dry areas than in wet areas (Manzoni et al., 2012; Liu et al., 2016; Morris et al., 2022). For instance, in arid grasslands,  $R_s$  is more sensitive to SVWC than to soil 272 273 temperature; therefore, ungulate browsing significantly alters  $R_s$  sensitivity to SVWC 274 (Jia et al., 2006). The MAP in the present study area was 3207.9 mm, indicating that the 275 soil is always well-moistened. Therefore, the present study area showed lower 276 sensitivities of  $R_s$  and  $R_h$  to SVWC despite the different understory types. 277 The  $Q_{10}$  of  $R_s$  and  $R_h$  differed slightly among SU, NU, and AU. The similarity in  $Q_{10}$ 278 of  $R_s$  and  $R_h$  between SU and NU aligns with previous findings that understory 279 vegetation removal does not change  $Q_{10}$  in other temperate forests (Yashiro *et al.*, 2012; 280 Li et al., 2019). Furthermore,  $Q_{10}$  values in three present understory types were within 281 the range of  $Q_{10}$  values in the global forest ecosystem dataset (Chen et al., 2020) (Fig. 282 S5). Except for three outliers (12.18 and 13.46 for  $Q_{10}$  of  $R_s$  and 20.45 for  $Q_{10}$  of  $R_h$ ), 283 this dataset showed that the  $Q_{10}$  of  $R_s$  and  $R_h$  ranged from 0.98 to 7.39 and 1.15 to 6.24, 284 respectively. In addition,  $Q_{10}$  of  $R_s$  decreased consistent with MAT ( $Q_{10} = 3.70 - 0.0848$ 285  $\times$  MAT, adjusted  $R^2 = 0.23$ , p < 0.001), and  $Q_{10}$  of  $R_h$  was not related to MAT (p =286 0.614). The  $Q_{10}$  of  $R_s$  in the present study (2.42–2.60) was comparable to the same 287 MAT range ( $Q_{10} = 2.78$  at 10.8 °C) for all understory vegetation types (Fig. S5a). The

 $Q_{10}$  of  $R_h$  (2.73–3.17) was also similar to the overall average of the dataset (2.68, Fig. S5b).

Surface litter amount positively affected spatial variation in  $Q_{10}$  of  $R_h$  (Fig. 3). This result aligns with previous reports that litter addition increases, and litter removal decreases, the  $Q_{10}$  of  $R_h$  (Liu *et al.*, 2022; Zhuang *et al.*, 2023). Surface litter provides additional substrate and increases microbial biomass, which in turn enhances the  $Q_{10}$  of  $R_h$  (Zhuang *et al.*, 2023). The priming effect, whereby the addition of fresh organic matter stimulates the decomposition of older organic matter, can also increase the  $Q_{10}$  of  $R_h$  (Liu *et al.*, 2020). Although the surface litter of Sasa and Asebi were expected to differ in degradability from the litter of tree species (Watanabe *et al.*, 2013; Tokumoto and Katayama, 2024), the effect might be minimal because Sasa and Asebi accounted for a small percentage of the surface litter in SU and AU (Fig. S2).

Fine root biomass positively affected spatial variation in  $Q_{10}$  of  $R_s$  (Fig. 4). This result is consistent with previous findings that fine root biomass explains the spatial variability of  $Q_{10}$  in  $R_s$  (Franck *et al.*, 2011; Luan *et al.*, 2013; Han and Jin, 2018). Root biomass explains the spatial variation in  $R_s$  (Behera *et al.*, 1990; Rodeghiero and Cescatti, 2006; Ceccon *et al.*, 2011; Comeau *et al.*, 2018; Abe *et al.*, 2022c).  $R_a$  is more temperature-sensitive than that from bulk soil (Boone *et al.*, 1998; Epron *et al.*, 2001; Saiz *et al.*, 2006; Ruehr and Buchmann, 2009; Tomotsune *et al.*, 2013). The combination of root biomass and higher  $Q_{10}$  of  $R_a$  can increase the  $Q_{10}$  of  $R_s$ . Furthermore, higher root biomass can indirectly contribute to  $R_s$  through the decomposition of dead roots (Saha *et al.*, 2023) and stimulation of SOM decomposition (Adamczyk *et al.*, 2019). Although root species composition differed with understory type in the present study (Fig. S3),

total fine root biomass did not change (Table 1). Thus, the present results suggested that the variability of  $R_s$  of  $Q_{10}$  at the study site was mainly regulated by fine root biomass and was not affected by root species composition.

The similarity in  $Q_{10}$  of  $R_s$  and  $R_h$  among understory types was caused by the lack of differences in surface litter amount and fine root biomass, respectively (Table 1). Abe et al. (2024b) conducted a vegetation and soil properties census for SU, NU, and AU in forests 3–10 km distant from the present study site. This previous study reported no change in understory vegetation biomass and fine root biomass, supporting the present results and inferences. However, the surface litter amount was reduced because of overbrowsing in the previous study site. This finding was inconsistent with the present results. The previous study site was located on a steep slope of 7.9–37.3°, on which severe soil erosion had occurred (Abe et al., 2022a, 2024a). In contrast, the present study site was located on a flat terrain with a slope of less than 5°, where reduction in surface litter associated with soil erosion was unlikely. This suggests that the impact of alteration in understory vegetation by overbrowsing on the  $Q_{10}$  of  $R_h$  may be mediated by site erodibility. In particular, the  $Q_{10}$  of  $R_h$  on steep slope terrain may decrease because of the loss of surface litter by soil erosion.

## 5. Conclusions

This study examined the influence of alteration in understory type by overbrowsing on the temperature and moisture sensitivities of  $R_s$  and  $R_h$ . Despite the loss and species replacement of understory vegetation caused by overbrowsing, this only slightly impacted the  $Q_{10}$  of both  $R_s$  and  $R_h$ . This is because the surface litter amount and fine root biomass, which determine the spatial variability of  $Q_{10}$ , remained unchanged among different understory types. The relationship of surface litter and fine roots with  $Q_{10}$  of  $R_s$  and  $R_h$  has been relatively underexplored compared with other soil properties, such as SOM amount and SC (Bond-Lamberty and Thomson, 2010; Hursh *et al.*, 2017; Chen *et al.*, 2020; Tang *et al.*, 2020; Jian *et al.*, 2022). Given that surface litter and fine roots could serve as proxies for understory types (Zhang *et al.*, 2022; Deng *et al.*, 2023), future research should focus on the relationship between these factors and soil respiration.

It should be noted that the conclusions of the present study are based on findings in forests on flat terrain and moist soils. Climatic and topographical factors may influence

forests on flat terrain and moist soils. Climatic and topographical factors may influence the impact of understory types on soil respiration (Ohashi *et al.*, 2008). Accumulation of site-based knowledge is essential to generalize the results of the present study. This research will contribute to the formulation of forest management practices that reduce local carbon emissions under global warming and overbrowsing.

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## **Conflict of interest**

We declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## **Authors contributions**

AH contributed to conceptualization, funding acquisition, investigation, data analysis, and drafted the original manuscript. KT contributed to funding acquisition, investigation, and revision/editing of the manuscript. KA contributed to the supervision, conceptualization, funding acquisition, investigation, and revision/editing of the manuscript.

# Data availability

The point-level data, including  $Q_{10}$  of  $R_a$  and  $R_h$ , as well as understory conditions, is available in Table S1 in supplementally materials. More detailed data will be made available upon request.

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# **Tables**

622

623 Table 1 Mean and standard deviation (SD) of understory vegetation biomass and soil 624 properties in Sasa understory (SU), no understory (NU), and Asebi understory (AU). 625 Different lowercase letters a and b indicate a significant difference (p < 0.05, two-sided 626 Tukey honest significant differences test). 627 Table 2 Estimated constants and their SE as well as SD of random effects (RE). The value of n indicates the number of data points. The model terms T,  $\theta$ , and T &  $\theta$ 628 629 indicated soil temperature, soil volumetric water content, and hybrid of temperature and water models, respectively (see Eqs. 4–6). Values of  $R^2$  are the marginal  $R^2$  of the 630 631 model. 632 Table 3 Results of regression analysis for  $Q_{10}$  of  $R_s$  and  $R_h$ . The p values show the 633 significance of the slope value. Results with p < 0.05 are shown in boldface. The '+' symbol in the  $R^2$  column indicates a significant positive effect. 634

# 635 Table 1

Variables	SU	NU	AU		
Understory biomass	$3382.13 \pm 728.45$	$128.66 \pm 222.84 \text{ b}$	$653.7 \pm 306.4 \text{ b}$		
$(g m^{-2})$	a				
Surface litter amount	$1197.3 \pm 56.9$ a	$984.1 \pm 265.5$ a	$1011.2 \pm 146.1$ a		
$(g m^{-2})$					
Fine root biomass (g m <sup>-2</sup> )					
0–10 cm	$215.6 \pm 51.7$ a	$273.9 \pm 99.2 a$	$291.1 \pm 155.9$ a		
0–5 cm	$90.6 \pm 58.7 \text{ a}$	$131.1 \pm 23.8$ a	$186.0 \pm 1.0 a$		
5–10 cm	$125.0 \pm 88.2$ a	$142.8 \pm 83.5 a$	$106.1 \pm 58.7$ a		
Soil organic matter (g C m <sup>-2</sup> )					
0–10 cm	$1843.4 \pm 253.9$ a	$2781.0 \pm 384.2 \ b$	$2412.6 \pm 199.6$ a		
0–5 cm	$859.8 \pm 199.4$ a	$1390.0 \pm 81.1 \text{ b}$	$1258.6 \pm 202.6$ a		
5–10 cm	$983.8 \pm 57.69$ a	$1391.0 \pm 322.7$ a	$1154.1 \pm 75.5$ a		
Soil bulk density (g cm <sup>-3</sup> )					
0–5 cm	$64.8 \pm 19.0 \text{ a}$	$94.9 \pm 6.5 \text{ a}$	$76.9 \pm 12.5 \text{ a}$		
5–10 cm	$94.1 \pm 17.8 \text{ a}$	$135.4 \pm 37.7$ a	$92.7 \pm 3.1 \ a$		
Soil carbon concentration (%)					
0–5 cm	$16.1 \pm 2.1 \text{ a}$	$17.6 \pm 1.7 a$	$19.7 \pm 1.7 \ a$		
5–10 cm	$12.8 \pm 1.8 \text{ a}$	$12.4 \pm 0.6$ a	$14.9 \pm 0.5 \text{ a}$		

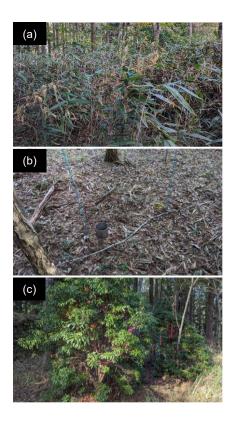
Sasa understory (SU)				No understory (NU)				Asebi understory (AU)			
	Fixed effect		SD of RE	Fixed effect		ct	SD of RE	Fixed effect		ct	SD of RE
	Constant	SE	_		Constant	SE	_		Constant	SE	_
$R_{\rm s}$ (n = 1809)				$R_{\rm s}$ (n = 1	1782)			$R_{\rm s}$ (n =	2331)		
$T$ (AIC = -5439.92, $R^2$ = 0.84)			$T$ (AIC = -2835.64, $R^2$ = 0.87)				$T$ (AIC = -4597.95, $R^2$ = 0.89)				
a	0.16	0.018	0.032	a	0.16	0.022	0.037	a	0.12	0.016	0.027
b	0.089	0.0062	0.011	b	0.092	0.0038	0.0064	b	0.10	0.0060	0.010
$\theta$ (A)	IC = 825.26	$R^2 = 0.13$		$\theta$ (AIC = 945.19, $R^2 = 0.31$ )				$\theta$ (AIC = -42.54, $R^2 = 0.23$ )			
c	6.30	0.63	$2.1 \times 10^{-15}$	c	-7.7	1.7	2.7	c	5.0	3.0	5.1
d	-0.66	0.12	0.16	d	1.7	0.11	0.14	d	-0.58	0.35	0.60
f	0.019	0.0058	0.0093	f	-0.032	0.0018	$2.4 \times 10^{-9}$	e	0.018	0.010	0.017
$T \& \theta \text{ (AIC} = -5611.54, R^2 = 0.88)$				$T \& \theta \text{ (AIC} = -2833.64, R^2 = 0.86)$				$T \& \theta \text{ (AIC} = -4589.92, R^2 = 0.89)$			
g	0.36	0.079	0.13	g	0.15	0.016	$8.2 \times 10^{-15}$	g	0.11	0.017	0.026
h	0.089	0.0044	0.0076	h	0.091	0.0032	0.0053	h	0.10	0.0060	0.010
i	-0.28	0.075	0.13	i	0.019	0.055	0.075	i	0.0091	0.024	$3.2 \times 10^{-9}$
$R_{\rm h} \ ({\rm n} = 1720)$				$R_{\rm h}~({\rm n}=1873)$				$R_{\rm h}~(\rm n=2170)$			
$T$ (AIC = -4964.31, $R^2$ = 0.92)			$T$ (AIC = -4176.56, $R^2$ = 0.91)				$T$ (AIC = -5548.52, $R^2$ = 0.89)				
a	0.096	0.0030	0.0048	a	0.072	0.016	0.028	a	0.051	0.0059	0.010
b	0.10	0.0013	0.0020	b	0.11	0.010	0.017	b	0.12	0.0058	0.010
$\theta$ (AIC = -1829.85, $R^2$ = 0.49)			$\theta$ (AIC = 467.82, $R^2$ = 0.043)				$\theta$ (AIC = -830.63, $R^2$ = 0.015)				
c	4.6	1.6	2.7	c	-0.40	0.42	0.34	c	-0.44	0.22	0.30
d	-0.41	0.20	0.35	d	0.13	0.040	$7.7 \times 10^{-9}$	d	0.093	0.021	0.021
f	0.010	0.0062	0.011	f	-0.0044	0.0012	0.00094	f	-0.0027	0.00055	$8.2 \times 10^{-9}$
$T \& \theta \text{ (AIC} = -4080.78, R^2 = 0.93)$				$T \& \theta \text{ (AIC} = -4277.68, R^2 = 0.92)$				$T \& \theta \text{ (AIC} = -5523.07, R^2 = 0.90)$			
g	0.19	0.016	$4.2 \times 10^{-10}$	g	0.33	0.046	0.079	g	0.069	0.0060	$5.7 \times 10^{-12}$
h	0.097	0.0010	$1.5 \times 10^{-7}$	ĥ	0.11	0.0094	0.016	ĥ	0.12	0.0044	0.0075
i	-0.21	0.026	$6.3 \times 10^{-7}$	i	-0.53	0.026	0.040	f	-0.11	0.044	0.055

639 Table 3

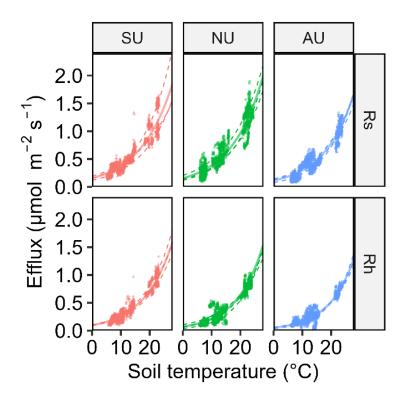
Variables	$R_{\rm s}$		$R_{ m h}$		
	$R^2$	р	$R^2$	р	
Understory biomass (g m <sup>-2</sup> )	0.055	0.54	0.13	0.33	
Surface litter amount (g m <sup>-2</sup> )	0.030	0.65	0.59 +	0.015	
Fine root biomass (g m <sup>-2</sup> )					
0–10 cm	0.69 +	0.0060	0.00	0.99	
0–5 cm	0.47 +	0.041	0.015	0.98	
5–10 cm	0.26	0.16	0.014	0.76	
Soil organic matter amount (g C	$m^{-2}$ )				
0–10 cm	0.096	0.42	0.26	0.16	
0–5 cm	0.21	0.22	0.25	0.17	
5–10 cm	0.0060	0.84	0.19	0.24	
Soil bulk density (g cm <sup>-3</sup> )					
0–5 cm	0.30	0.13	0.074	0.48	
5–10 cm	0.0050	0.86	0.16	0.29	
Soil carbon concentration (%)					
0–5 cm	0.00	0.96	0.21	0.22	
5–10 cm	0.010	0.80	0.010	0.80	

## Figures

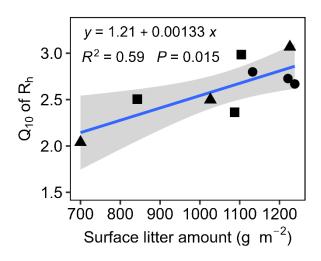
- 642 Fig. 1. Understory vegetation types at the study site in southern Kyushu Island, Japan.
- Panel (a) shows dwarf bamboo (Sasa; Sasamorpha borealis) understory (SU). Panel (b)
- shows no understory (NU). Panel (c) shows unpalatable shrub, Asebi (*Pieris japonica*)
- understory (AU).
- Fig. 2. Response of soil respiration efflux ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) to soil temperature at 0–5 cm
- depth. Data points represent total soil respiration  $(R_s)$  and heterotrophic respiration  $(R_h)$ .
- 648 Symbols (circles, triangles, and squares) indicate the different measurement points. The
- solid line indicate the regression line obtained from the fixed effect of the non-linear
- 650 mixed-effect model with random effects as replicates of the measurement points. The
- dotted line indicate the regression line at each measurement point (i.e., fixed effect +
- point-specific random effect).
- Fig. 3. Relationships between surface litter amount and  $Q_{10}$  of  $R_h$ . Data points represent
- 654 the results for each measurement point. Symbols represent understory types. The solid
- line indicates the significant regression line, and the gray area indicates the 95%
- 656 confidence interval.
- Fig. 4. Relationships between fine root biomass at 0-10 cm depth and  $Q_{10}$  of  $R_s$ . Data
- points represent the results for each measurement point. Symbols represent understory
- types. The solid line indicates the significant regression line, and the gray area indicates
- the 95% confidence interval.



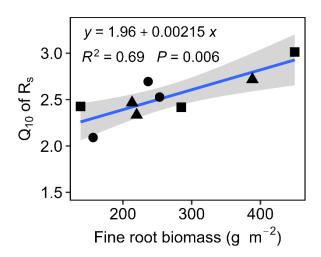
661 Fig. 1.



662 Fig. 2.



663 Fig. 3.



664 Fig. 4.

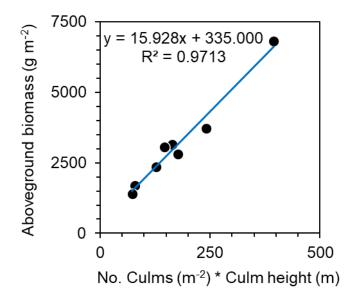
Supplement Table S1: Raw data of  $Q_{10}$  and soil properties at each measurement point.

The following data is in tab-delimited format. Please duplicate the data in a spreadsheet or txt file.

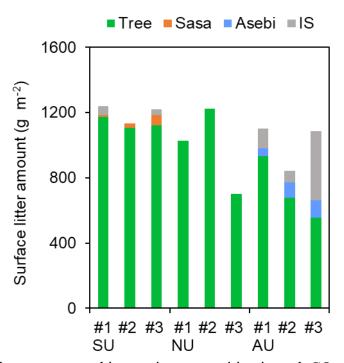
668 669 670 671 672 673 674 675	Underst litter and 5 cm	nount Surface Surface Fine roo	Fine roo litter_tre litter_In ot_sasa_( ot_tree_5	ot biomas see separatab )-5 cm -10 cm	Surface litter_sasa le Fine root biomas Fine root_asebi_0-5 cm			BD Mean SC		
676	SU	#1	2.5	2.7	2555.4	1238.3	253.3	1889	77.6	15.3
677		1174.3	11.1	0	52.9	28.3	23.3	5	0	225
678		141.7	83.3	0	914.2	974.8	59.6	95.6	18.4	12.2
679	SU	#2	2.7	2.8	3661.4	1132.3	236.7	2071.4	98.5	12.8
680		1107.1	25.2	0	0	145	111.7	33.3	0	91.7
681		70	21.7	0	1026	1045.3	85.8	111.1	14.3	11.3
682	SU	#3	2.1	2.7	3929.6	1221.1	156.7	1569.8	62.2	15.2
683		1122.8	62.2	0	36.1	98.3	83.3	15	0	58.3
684		31.7	26.7	0	638.6	931.2	48.9	75.5	15.7	14.8
685	NU	#1	2.5	2.5	0	1026.2	213.3	2469.4	101	14.6
686		1026.2	0	0	0	136.7	136.7	0	0	76.7
687		76.7	0	0	1376.8	1092.6	102.3	99.8	16.1	13.1
688	NU	#2	2.7	3.1	386	1226	388.3	2663.3	111.5	14.8
689		1226	0	0	0	151.7	151.7	0	0	236.7
690		236.7	0	0	1316.3	1347	91.4	131.7	17.3	12.3
691	NU	#3	2.3	2	0	700	220	3210.3	132.8	15.7
692		700	0	0	0	105	105	0	0	115
693		115	0	0	1476.9	1733.4	90.9	174.8	19.5	11.9
694 695 696	AU	#1 932.1 30	2.4 0 0	3 49.4 11.7	971.1 122.2 1026.9	1103.8 96.7 1185.2	21.7	2212 0 92.8	79.2 75 18.8	17.1 41.7 15.3

697	AU	#2	3	2.5	630.2	842.8	450	2611.3	93.1	16.9
698		678.8	0	94.7	69.3	293.3	141.7	0	151.7	156.7
699		73.3	0	83.3	1402.2	1209	90.3	95.8	18.6	15.1
700	AU	#3	2.4	2.4	359.7	1087.1	285	2414.6	82.2	18
701		556.4	0	105.3	425.3	165	90	0	75	120
702		76.7	0	43.3	1346.6	1068	74.7	89.6	21.6	14.3

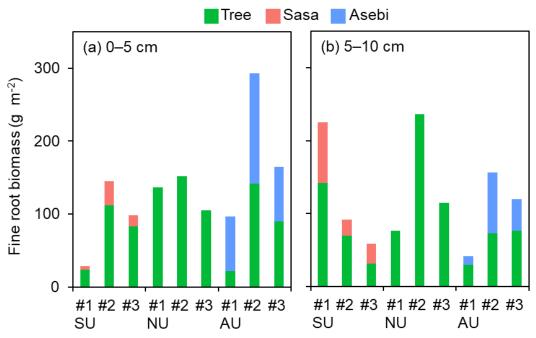
## **Supplement figures**



**Fig. S1.** Relationships between aboveground Sasa biomass (g m<sup>-2</sup>) and multipliers for culm height (m) and number of Sasa culms (m<sup>-2</sup>). Data are obtained from Abe *et al.* (2024b).



**Fig. S2.** Surface litter amount and its species composition in each CO<sub>2</sub> efflux measurement point. Abbreviations for category: Tree; overstory trees, Sasa; dwarf bamboo (*Sasamorpha borealis*), Asebi; unpalatable shrubs (*Pieris japonica*), and IS; litters that have decomposed and become inseparable. Abbreviation for understory types: SU; Sasa understory, NU; no understory, and AU; Asebi understory.



**Fig. S3.** Fine root biomass at its species composition at 0–5 cm (a) and 5–10 cm depth 714 (b).

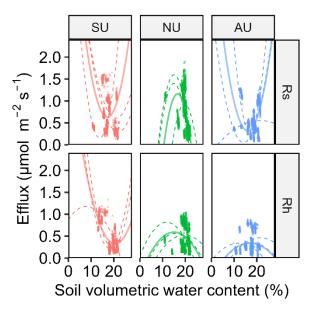
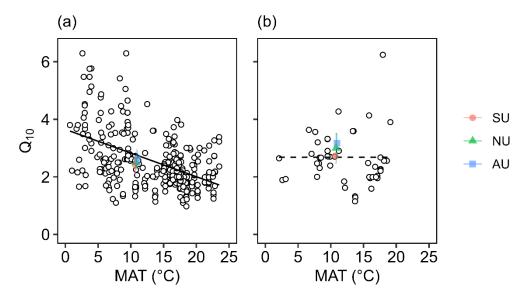


Fig. S4. Response of soil respiration efflux ( $\mu$ mol m<sup>-2</sup> s<sup>-1</sup>) to soil volumetric water content. Dots represent total soil respiration ( $R_s$ ) and heterotrophic respiration ( $R_h$ ). Symbols of dots (circles, triangles, and squares) indicate the different measurement points. The solid lines indicate regression lines obtained from the fixed effect of the non-linear mixed effect model with random effects as replicates of the measurement points. The dotted lines indicate the regression lines at each measurement point (i.e., fixed effect + point-specific random effect).



**Fig. S5.** Temperature sensitivity ( $Q_{10}$ ) of  $R_s$  (a) and  $R_h$  (b) to mean annual temperature (MAT) in forest ecosystems. Open dots indicate literature data obtained from Chen *et al.* (2020). Filled dots indicate the results of SU, NU, and AU in the present study. Although MAT in SU, NU, and AU are the same (10.8 °C), these dots are drawn slightly offset for ease of viewing. The solid line in panel (a) shows a regression line between  $Q_{10}$  of  $R_s$  and MAT ( $Q_{10} = 3.70 -0.0848*MAT$ , adjusted  $R^2 = 0.23$ , p < 0.001) based on the literature data sets. The dotted line in panel (b) shows the mean of the overall average of the data sets ( $Q_{10} = 2.7$ ) because the regression line is not significant (p = 0.614). Note that literature data from Chen *et al.* (2020) used only  $Q_{10}$  which was created using soil temperature at 5 cm depth, and excluded three outliers ( $Q_{10} > 10$ ).