1	Taphonomic Controls on a Multi-Element Skeletal Fossil Record
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#### 31 Abstract

Animals with multi-element skeletons, including the vertebrates, echinoderms, and 32 arthropods, are some of the most biodiverse and ecologically important animal groups. 33 34 Understanding the relative impact of the myriad geological and biological factors which impact on the quality of multi-element skeletal fossils is thus crucial for disentangling perceived 35 36 changes in biodiversity through time and shedding light on gaps in the fossil record. We have 37 characterised the specimen-level taphonomic history of virtually the entire Palaeozoic fossil 38 record of echinoids, the class of echinoderms which includes the living sea urchins. We find 39 that the majority of this fossil record consists of disarticulated skeletal elements and as 40 preservational quality increases, so does the proportion of specimens which can be identified 41 with higher taxonomic precision. We then assessed the relative impacts of multiple geological 42 and biological factors on our specimen quality, identifying that fine-grained host matrix, as well as siliciclastic lithology are the biggest factors in determining quality of fossil echinoid 43 preservation, while aspects intrinsic to specific taxonomic groups also play a role. Differential 44 sampling of the fossil record seems to play little role in influencing the distribution of 45 46 taphonomic grades, and fluctuations in the North American record of Siliciclastic rocks are positively correlated with fluctuations in taphonomic grades. Our results highlight that the 47 factors controlling the animal macrofossil record are varied, and that the interplay between 48 these variables, taphonomic grade, and taxonomic precision impacts on our ability to use the 49 50 fossil record to understand macroevolution.

51 Key words: Taphonomy, Rock Record, Echinoidea, Echinodermata, Palaeozoic

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Not all fossils are preserved equally. This variable preservation impacts our ability to
accurately comprehend the ancient past: for example, a single disarticulated tooth and a
complete, articulated skeleton provide different amounts of data about the ecology, diversity,
and evolutionary history of *Tyrannosaurus rex.* Animals with multi-element skeletons such as

57 vertebrates, echinoderms, and arthropods, are abundant in the fossil record and are a 58 treasure trove of information about how macroevolutionary processes in deep time underlie 59 the origins of modern biodiversity (Legg et al. 2013; Mongiardino Koch and Thompson 2021; 60 Kuo et al. 2023; Hopkins and Smith 2015). Multi-element skeletons consist of hundreds to 61 thousands of variably-sized parts, which disarticulated rapidly upon death, except in 62 exceptional circumstances (Donovan 1991; Nebelsick 1996). Understanding the controls on differential preservation of multi-element skeletons is thus crucial for our understanding of 63 64 deep-time biodiversity change.

65 Differential quality in fossil preservation has important influences on our perception of 66 ancient biodiversity patterns, as well as our ability to accurately reconstruct evolutionary histories using these fossils (Smith, 2007; Mannion & Upchurch, 2010; Dean et al. 2016). 67 68 The varied environmental, biological, and geological processes which control the quality of 69 fossil preservation are thus critical for an accurate perception of ancient biodiversity 70 (Nebelsick 1996; Hendy 2011; Allison and Bottjer 2011; Smith et al. 2001; Butts and Briggs 71 2011; Nanglu and Cullen 2023; Dean et al. 2019). The majority of taphonomic studies which 72 seek to assess the role of these myriad geological and biological controls on fossil 73 preservation focus in exceptional detail on particular localities or basins, interrogating the 74 respective roles of local processes such as depositional, geochemical and diagenetic 75 conditions (Anderson et al. 2021; Briggs et al. 1996; von Bitter et al. 2007). However, in 76 addition to these local signals, broader "megabiases" are also known to influence the fossil 77 record; these include factors such as the age of fossil-bearing deposits (Signor et al. 1982; Dean et al. 2020), anatomical differences within and between taxonomic groups that 78 79 influence preservation (Butts and Briggs 2011; Cherns and Wright 2000; Wright et al. 2003; Dean, et al. 2019; Kowalewski and Flessa 1996), preferential preservation within particular 80 81 lithologies (Hendy 2011; Cleary et al. 2015), spatial variation in the quality of fossil preservation (Dean et al. 2019) or spatial sampling heterogeneity more generally (Antell et 82 al. 2024; Benson et al. 2016; Close et al. 2020; Benson et al. 2021; Jones et al. 2021). While 83

local environment and large-scale factors undoubtedly both influence fossil preservation,
their impact on both the preservation and evolutionary history of specific taxonomic groups is
more difficult to ascertain, due to the difficulty of collecting relevant specimen-level
information across the entire fossil record of a group of organisms. Subsequently, the
relative importance of these different factors on influencing fossil preservation, and
subsequently our understanding of observed fluctuations in palaeodiversity, remains poorly
constrained.

91 To characterise global and long-term trends in fossil preservation, and better 92 understand the biological and geological factors which influence fossil preservation 93 throughout deep-time, we herein assess the taphonomic quality of the entire known Palaeozoic fossil record of an invertebrate fossil group with multi-element skeletons. 94 95 Echinoids are a class of echinoderms which includes the living sea urchins, sand dollars, 96 and heart urchins, and have a fossil record dating back to the Ordovician period (Thompson 97 et al. 2022). In contrast to the post-Palaeozoic echinoids, which are renowned for their 98 exceptionally well-sampled fossil record (Foote and Sepkoski 1999), most Palaeozoic 99 echinoid taxa lacked the microstructural interlocking of skeletal plates found in post-100 Palaeozoic echinoids (Smith 1980; Grun and Nebelsick 2018). This made them prone to 101 disarticulation following death and thus the fossil record of Palaeozoic echinoids exhibits a 102 wide range in preservational quality, from single disarticulated plates (Thompson and Denayer 2017), to articulated individuals preserving most or all of the original skeleton 103 (Schneider et al. 2005). Furthermore, while post-Palaeozoic echinoids were abundant 104 members of Mesozoic and Cenozoic ecosystems, their Palaeozoic precursors were 105 comparatively rarer (Schneider 2008), meaning that a near-exhaustive sampling of their 106 occurrence in museum collections is feasible. This variation in preservational state and 107 108 tractability of building a large near-exhaustive database makes Palaeozoic echinoids an excellent model system to identify the differential importance of factors controlling 109 preservational quality in the fossil record. 110

111 Building on previous work (Thompson & Bottjer, 2019, Thompson et al. 2022) we 112 have produced the most complete specimen-level taphonomic dataset of a temporally and 113 geographically widespread Palaeozoic fossil invertebrate group to date, containing virtually 114 every record of Palaeozoic echinoids (3447 specimens total) collected from the general 115 literature and thirty-three museums around the globe. Each specimen is designated with a 116 taphonomic grade, and is associated with lithological, environmental and geographic information. We then use a variety of statistical and modelling procedures to establish the 117 118 relative influence of various geological, geographical and biological controls on 119 preservational state through space and time. Lastly, we use this information to refine our 120 understanding of the critical early evolutionary history of echinoids, and the relative impacts of environmental variables on fossil preservation more broadly. 121

## 122 Material and Method

#### 123 Fossil dataset

To quantify the preservation of the Palaeozoic echinoid fossil record, we devised a semi-124 quantitative index to describe the taphonomic grade (TG) of fossil specimens from museum 125 collections and the literature. We visited thirty-three museum collections and, where 126 127 possible, photographed each specimen and assigned it to one of five taphonomic grades 128 (TG1-5) based upon its completeness (Fig. 1A). These taphonomic grades mirror series of disarticulation and disintegration identified from experiments with living echinoids (Kidwell 129 and Baumiller 1990; Greenstein 1991), and modified for the distinct body plans of 130 Palaeozoic echinoids which lack stereomic interlocking. The lowest taphonomic grade (TG1) 131 132 represents single, disarticulated skeletal ossicles (including lots in collections of multiple ossicles which we treat conservatively to represent a single disarticulated specimen) while 133 the second lowest grade (TG2) represents disarticulated but associated ossicles. These 134 lowest two taphonomic grades usually consist of disarticulated coronal plates and spines, 135 though more rarely include elements of the Aristotle's lantern. TG3 is the middle taphonomic 136

grade, and represents fragments of articulated or semi-articulated test. TG4 represents
articulated tests which have become denuded of spines and represents minimal degradation
post-mortem (Kidwell and Baumiller 1990). Finally our highest taphonomic grade, TG5,
consists of articulated tests with attached spines, representing highest quality preservation.

By basing our analyses on specific specimens from museums and the literature, we 141 142 are able to link geological variables of the rock matrix, such as grain size and lithology directly with the fossils they entomb. As such, following Thompson and Bottjer (2019) the 143 144 associated lithology and grain size were recorded for each specimen, alongside data on 145 taxonomy (including most-specific taxonomic rank possible), stratigraphic provenance and 146 locality of collection. These data were further bolstered with data collected from the general 147 literature, as well as all available stratigraphic, taxonomic, lithological and taphonomic data 148 available for each published specimen. All data were collected by one individual (J.R. 149 Thompson), who also vetted all the taxonomic designation of all specimens; as such, there is 150 consistency in approach across the dataset, reducing a potential source of bias (Wagner et 151 al. 2007). Specimens without precise geographic co-ordinates were georeferenced by locating text-based locality descriptions on Google Maps (available from 152 153 http://google.com/maps); we additionally included the likely precision of this estimate in the 154 degrees-minutes-seconds format. Palaeo-coordinates for these locations were obtained using the 'PALAEOMAP' rotation model through the GPlates API, using the 'palaeorotate' 155 function of the 'palaeoverse' package (Jones et al., 2023). Data were additionally assigned 156 to stage, series and period level time bins using the 'majority' method of the 'bin time' 157 function from the 'palaeoverse' package (Jones et al., 2023). Our final dataset utilised for 158 analysis contains 3447 unique specimens across 37 countries, and spans approximately the 159 first 300 million years (Ordovician to Permian) of the evolutionary history of echinoids. It is 160 161 available as supplementary information at

162 <u>https://github.com/ChristopherDavidDean/Echino\_taph.</u>

163 Multiple logistic regression

164 To assess the primary controls on the differential fossil preservation seen in our dataset, we used multiple logistic regression, coding each TG as a binary response variable and 165 166 including lithology (carbonate vs. siliciclastic), grain size (fine-grained vs. coarse grained), 167 age (midpoint of the stage to which the specimen belonged), latitude of the locality of 168 collection, and palaeolatitude as predictor variables. Due to low numbers of occurrences for 169 various families across taphonomic grades, we did not include taxonomic information as a 170 response variable. We are primarily interested in reporting effect sizes, which are gauged by 171 the magnitude of regression coefficients. When interpreting results, note that the chosen 172 taphonomic grade is compared against all other grades (e.g. specimens with a taphonomic grade of 5 [1], vs. all other taphonomic grades [0]) so that positive regression coefficients 173 indicate greater odds of sampling the specific taphonomic grade of choice, and log-odds 174 below 0 indicate reduced odds of sampling. For binary covariates, we report the log odds of 175 176 one level relative to the other (for instance, fine grained vs. coarse grained), so positive regression coefficients represent greater odds of the named factor influencing the presence 177 of a particular taphonomic grade. An interaction term consisting of a combination of lithology 178 and grain size was additionally added to test for interactions between the covariates. We 179 180 used the 'dredge' function of the package 'MuMin' (Bartoń 2023) to obtain information about the full model set and the small sample size corrected Akaike Information Criterion (AICc) for 181 182 final model selection, with lower scores indicating a better model fit (Burnham and Anderson 2001). Here we present models with the lowest AICc scores, and only report factors with 183 184 statistically significant results (p < 0.05); full results can be found within Supplementary 185 Information 2 at <a href="https://github.com/ChristopherDavidDean/Echino\_taph">https://github.com/ChristopherDavidDean/Echino\_taph</a>.

#### 186 Statistical Tests

187 We used Pearson's chi-squared tests to establish whether there were statistically 188 significant differences between taphonomic grades with respect to lithology, grain size and 189 time intervals. Mosaic plots of these results were produced using the 'mosaic' function of the 190 'vcd' package (Meyer *et al.* 2006). To test whether preservational state showed a correlation 191 with specific variables through time, we used Spearman's rank correlation tests corrected for 192 large sample sizes with the Benjamini Hochberg procedure (Benjamini and Hochberg 1995) 193 to characterise the strength of correlations between the abundance of taphonomic grades, 194 the available rock record, sampling proxies and genus-level diversity at the stage level. The 195 abundance of carbonate and siliciclastic rocks in North America was obtained from 196 Macrostrat (Peters et al. 2018), a database of geological data which includes compilations of 197 the spatial and temporal distribution of North American sedimentary rocks, and was 198 compared to the abundance of North American occurrences (downgraded from the 199 specimen level dataset) of each taphonomic grade from our dataset. This provided a picture 200 of the role of the rock record on the abundance of our preservational states. We used the number of Palaeozoic echinoid, Echinoderm, and invertebrate collections from the 201 202 Paleobiology Database (PBDB; https://paleobiodb.org/) as potential general sampling 203 proxies; we used the latter two as proxies approaching a 'global' sampling signal to reduce the possibility of redundancy (Benton et al. 2011). These collections were obtained from 204 205 PBDB downloads. Species and period level correlations can be found in Supplementary 206 Information 2 at <a href="https://github.com/ChristopherDavidDean/Echino\_taph">https://github.com/ChristopherDavidDean/Echino\_taph</a>.

All statistical tests were implemented in R, and lithological data from Macrostrat was obtained in R via the Macrostrat API. Counts for global invertebrate collections were obtained by downloading all Palaeozoic invertebrate records from the PBDB (on 08/11/2022). Genus level diversity for echinoids was established using the 'binstat' function of the divDyn package (Kocsis *et al.* 2019). Associated R scripts can be found in Supplementary Information at https://github.com/ChristopherDavidDean/Echino\_taph.

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# 214 **RESULTS**

215 Differences in quality of fossil preservation

Our analyses highlight striking differences in the quality of fossil preservation across the Palaeozoic history of echinoids. The majority of known echinoid specimens are disarticulated (Fig. 1C), with the taphonomic grades in our dataset representing disarticulated remains equating to 61% of the total dataset (TG1: 38%; TG2: 23%). These are twice as abundant than TG4 and TG5, which represent those specimens which are bestpreserved (TG4: 14%; TG5: 15%).

222 Across all taphonomic grades Palaeozoic echinoid fossils are significantly more abundant in fine-grained than coarse-grained rocks (Figure 2B,D; X-squared = 159.08, df = 223 224 4, p-value < 2.2e-16). Mosaic plots reveals that in particular taphonomic grades TG4 and 225 TG5 are noticeably less common than expected in coarse-grained rocks, whereas disarticulated remains (TG1 and TG2) occur more likely than expected in coarse-grained 226 227 rocks (Fig. 2D). Echinoid fossils are also significantly more abundant in carbonate rocks than 228 siliciclastics (Figure 2A,C; X-squared = 182.6, df = 4, p-value < 2.2e-16). Disarticulated 229 plates (TG1 and TG2) occur less frequently than expected in siliciclastic rocks, whereas 230 individuals with the highest taphonomic grade (TG5) occur more frequently than expected in 231 siliciclastic rocks and less than expected in carbonates (Fig. 2C).

Taphonomic grades are not distributed evenly with respect to the lowest taxonomic 232 233 rank to which a specimen can be assigned (Fig. 3A; X-squared = 1540.9, df = 12, p-value < 234 2.2e-16). At TG1, a higher proportion of specimens are only assignable at the class level 235 (Echinoidea) than at any other taphonomic grades. At this grade, specimens assignable to 236 the species level occur less frequently than expected, while specimens which can be 237 assigned to higher taxonomic levels occur more frequently than expected (Fig. 3C). As preservational score increases, so too does the proportion of specimens assignable to a 238 239 lower taxonomic rank, with specimens only assignable to higher taxonomic ranks occurring less-frequently than expected. Likewise specimens which can be attributed to the species-240 241 level occur more frequently than expected at TG3-TG5 (Fig. 3C).

The proportion of different preservational grades also varies temporally (Fig. 3B,D; Xsquared = 875.85, df = 16, p-value < 2.2e-16). The Ordovician and Mississippian exhibit surprisingly well preserved records, with higher than anticipated counts of TG4 grade specimens and proportionally less TG1 grades (Fig. 3D). In contrast, the Permian record is shown to be poorly preserved, with a higher proportion of TG1 specimens than anticipated and significantly reduced TG5 specimens. Both the Silurian and Pennsylvanian have a significantly higher proportion of specimens at TG5.

# <sup>249</sup> Influences on the quality of fossil preservation

250 Comparisons of multiple logistic regression models using AICc consistently identify grain size and palaeo-latitude as predictor variables in the models which best explain 251 252 preservational state for all five taphonomic grades (Table 1). Lithology is also a covariate in the best model in all grades except for TG3 and latitude, and age (Ma) also appear as 253 254 covariates in the best model for different preservational states. In taphonomic grades corresponding to disarticulated and semi-articulated fossil remains (TG1 to TG3), log odds of 255 fine grain size are negative (Fig. 4). Inversely, log odds of fine grain size are positive for 256 articulated skeletal remains (TG4 and TG5). Of particular note, fine grain size has the highest 257 positive log odds for any covariate in the best model for TG5, suggesting that the single biggest 258 predictor for the high-quality preservation is an association with fine-grained sediments. 259

Siliciclastic lithology also shows positive log odds for well-preserved individuals (TG4 and TG5), and is higher than grain size for TG4. For disarticulated but associated plates (TG2), log odds for siliciclastic lithology are negative, implying higher odds of carbonate lithology accompanying this preservational state. Whilst age, latitude and palaeo-latitude all appear in a number of the top models, their log-odds are often near 0 or they are statistically insignificant, implying little influence on quality of preservation.

<sup>266</sup> Comparing preservational state, the rock record and palaeodiversity

267 Because the results of our logistic regression and statistical tests suggest that preservational 268 state varies systematically with respect to geological variables, we used Spearman's rank 269 correlation to compare variation in the counts of Palaeozoic North American siliciclastic and 270 carbonate units with the stage-level abundance of each taphonomic grade of North 271 American Palaeozoic echinoids from our dataset (Table 2). Siliciclastic units report strong 272 and statistically significant positive correlations with the abundance of all taphonomic grades, whereas carbonate units show overall weaker positive correlations, with only TG4 reporting 273 274 a statistically significant result (Table 2). Disarticulated single plates (TG1) show the lowest 275 strength of correlation across both siliciclastic and carbonate units.

To further explore the relationship between taphonomic grade and patterns of taxonomic diversity, we compared the abundance of different preservational states through time to standing diversity of Palaeozoic at the genus level (Table 3). At the stage level, no taphonomic grades show statistically significant positive correlations with genus-level diversity; however, TG5 shows a very strong and statistically significant correlation with diversity at the Period level.

282 We additionally tested the relationship between taphonomic grade and sampling proxies (Table 4). Preservation scores TG1-4 all show strong, positive and statistically 283 284 significant correlations with counts of echinoid collections through time (Table 4); TG1&2 in 285 particular show very strong correlations. Counts of TG1, 3 and 4 show positive and 286 statistically significant correlations with total Echinodermata collections throughout the 287 Palaeozoic, with TG1 showing the strongest overall. Conversely, correlations between 288 preservation scores and counts of global collections are weak, and none are reported as 289 statistically significant.

290

### 291 **DISCUSSION**

<sup>292</sup> The taphonomic nature of the Palaeozoic echinoid fossil record

293 Our analyses highlight the factors which control the preservation of echinoids, an 294 invertebrate class with multi-element, calcium carbonate skeletons, throughout the 295 Palaeozoic. Simple quantification of different taphonomic grades indicates that the quality of 296 preservation in the echinoid record is non-random, with the majority of recorded specimens 297 belonging to taphonomic grades representing disarticulated plates (Fig. 3A). The prevalence 298 of disarticulated material is in line with species-level analyses of echinoid taphonomic grades 299 (Smith 2007; Greenstein 1992), which typically highlight the abundance of disarticulated 300 spines. These results also align with studies of the vertebrate fossil record; where isolated or 301 fragmentary remains are frequently more abundant than more complete fossils (Gardner et al. 2016; Schnetz et al. 2022; Brown et al. 2019). This is seemingly also the case with 302 echinoids and suggests that a relatively low level of phylogenetically or taxonomically useful 303 information is likely to be present in the majority of the sampled fossil record. While the 304 305 disarticulated plates of Palaeozoic echinoids can often be recognised at the family level (Thompson and Denayer 2017), when we plot the proportion of specimens identifiable to 306 different taxonomic ranks in each taphonomic grade using our taxonomically standardised 307 database (Fig. 3A,C), it is clear that as taphonomic grade increases, so too does the 308 309 proportion of total specimens identifiable to lower-level taxonomic ranks, such as species or 310 genus.

311 A number of studies have highlighted the importance of so called "hidden diversity" that can be recognised from disarticulated skeletal elements and which have been proposed 312 to will greatly increase our understanding of palaeobiodivesity of fossil groups (Twitchett and 313 Oji 2005; Pietsch et al. 2019; Oji and Twitchett 2015; Martins et al. 2023). Because of the 314 limited taxonomic utility of disarticulated specimens, for echinoids at least, it is unlikely that 315 disarticulated specimens will significantly alter our understanding of deep time biodiversity 316 317 change, and suggests that a minority of the record, those specimens from higher taphonomic grades, will be useful for analyses requiring higher taxonomic specificity. 318 319 Notably, this contrasts with recent work on vertebrates, which has highlighted the utility of

320 disarticulated skeletal elements for taxonomic identification and phylogenetic analysis 321 (Cashmore et al. 2021; Woolley et al. 2022). The echinoid body plan is comprised of 322 hundreds of skeletal elements, many of which are added indeterminately throughout growth 323 (Smith 2005; Thompson et al. 2021), and almost all of which exist in multiples of at least five. 324 Because of this, identifying homologous skeletal ossicles across different species from 325 disarticulated remains is difficult. This is further complicated because of intraspecific and 326 ontogenetic variation (Raup 1956; David and Laurin 1996). This situation contrasts to 327 bilaterally symmetrical vertebrates where (with the exception of axial elements) in many 328 species a single bone can be readily identified and provide precise taxonomic information due to bone-specific autapomorphies (Cashmore, et al. 2021). The taxonomic utility of 329 disarticulated fossils, and thus the impact of taphonomic variables on fossil preservation, are 330 likely to be felt differently across different taxonomic groups. 331

332 Our results furthermore show that different higher Palaeozoic echinoid taxa vary 333 systematically in their preservation, and that taxon-specific differences in preservational quality may be related to factors intrinsic to the animals, such as differential aspects of 334 skeletal anatomy. Different groups of Post-Palaeozoic echinoids, most classically the regular 335 and irregular echinoids, are well known for their differential preservation in the fossil record 336 337 (Greenstein 1993; Kier 1977), associated with differences in microstructural interlocking of test plates (Smith 1984; Grun and Nebelsick 2018). The relative over- and under-338 representation of particular families in different taphonomic grades suggests similar 339 differences may exist for some Palaeozoic echinoid groups. One example is the 340 Palaechinidae, which have characteristic tessellate test plating and are over-represented as 341 articulated tests with denuded spines (TG4), or as fragments of tests (TG3). This is in stark 342 contrast to other Palaeozoic families, such as the archaeocidarids, that have imbricate 343 344 plating and are frequently preserved at the lowest taphonomic grades (Fig. 1C). This suggests that the tessellate plating in the Palaechinids may be less-prone to disarticulation, 345 instead favouring fragmentation as is the case in many extant euechinoids (Kidwell and 346

Baumiller 1990). It further suggests the presence of micro-structural interlocking, as present
in many crown group echinoids (Smith, 1980, Grun and Nebelsick, 2018), may have been
present in Palaechinids.

## 350 Factors influencing multi-element skeletal preservation

351 Because fossils at higher taphonomic grades impact more on our perceptions of 352 palaeodiversity, we sought to identify the factors that influence the taphonomic grades of 353 individual specimens, as well as their relative impact on preservational state. Our 354 interrogation of the various factors affecting fossil preservation reveals that, while taxonomy 355 position, grain size, and lithology all effect fossil preservation, of the factors included in our 356 multiple logistic regression, the most important is grain size. Fossils at lower taphonomic grades are less likely to be associated with fine-grained sediments, while fossils at higher 357 taphonomic grades are positively associated with fine-grained sediments (Fig. 4). After 358 359 death, echinoid skeletons disarticulate rapidly (Kidwell and Baumiller 1990), and due to their 360 porous test microstructure have low residence times on the seafloor relative to other more robust, taxa (Kowalewski et al. 2018). Rapid burial is thus generally evoked as the single 361 most relevant factor in generating high-quality preservation of fossil echinoderms, as lower 362 residence time on the seafloor is more likely to prevent disarticulation from disruption by 363 scavengers, bioturbators, or erosion (Brett et al. 1997). Many of specimens in our dataset of 364 the highest taphonomic grades are likely the result of obrution deposits where a layer of 365 sediment has rapidly covered the animal during or soon after life (Brett and Seilacher 1991). 366 These deposits are often associated with turbidites and tempestites (Ausich and 367 Sevastopulo 1994), and often found in offshore slope or deltaic environments (Brett, et al. 368 1997; Brett and Seilacher 1991). While we did not attempt to characterise 369 palaeoenvironment across our dataset due to the nature of historical museum collections 370 data, many of the fine-grained occurrences in our dataset were likely deposited in deep-371 372 water settings. In contrast to fine-grained preservation associated with event beds, coarsegrained environments have been previously associated with, typically more near-shore, and 373

374 higher-energy preservation of disarticulated echinoderms (Ausich and Sevastopulo 1994; 375 Brett, et al. 1997) Recent experimental work has shown that during rapid burial, coarse-376 grained sediments are more likely to be associated with disarticulated or fragmentary 377 echinoderm remains while finer-grained, more thixotropic sediments are more likely to 378 impede movement during escape, and thus result in higher-quality preservation (Fraga and 379 Vega 2024). While we have no examples of soft-tissue preservation in our dataset, rapid 380 burial with fine-grained sediments has also been shown to be conducive to the high-quality 381 soft-tissue preservation which characterises many Lagerstätte (Gaines et al. 2012; Allison 382 1988). Our global and temporally expansive analyses thus align with the numerous studies focusing on local sedimentological and geochemical conditions of numerous Lagerstätte and 383 obrution deposits which have demonstrated the association between grain size taphonomic 384 grade of fossil preservation. 385

386 At higher taphonomic grades, lithology also positively influences preservation, with 387 well-preserved fossils associated with siliciclastic rocks. This mirrors a study of the 388 ichthyosaur fossil record, which found the highest average completeness of specimens in 389 fine grained siliciclastic (but not carbonate) sediments (Cleary, et al. 2015). Additionally, it 390 has been noted that for similar depositional environments, siliciclastic settings tend to result 391 in slightly better preserved fossil echinoderms than carbonate settings (Brett, et al. 1997) 392 due to higher overall rates of sedimentation (Enos 1991; Schindelz 1980). The high rates of sedimentation in some siliciclastic environments, such as deltas, slopes, and channels, may 393 explain this association in our dataset. Indeed many of our specimens at the highest 394 taphonomic grades were recorded from well-known siliciclastic palaeoenvironments such as 395 the deltaic Edwardsville Formation, the channel-shaped deposits of the Winchell Formation 396 and the offshore downslope Ordovician Ladyburn Starfish beds (Lane 1973; Schneider, et al. 397 398 2005). In our dataset, however, the relationship between lithology and taphonomic grade breaks down at the lowest taphonomic grade (Fig. 4), suggesting that differences in lithology 399 have little impact on dictating the distribution of disarticulated remains. This is likely because 400

single disarticulated elements are more robust to biostratinomic processes such as transport
and reworking (Brett, *et al.* 1997), and are thus more likely to be found across a range of
environments. We suggest these are general patterns found across the marine skeletal fossil
record, though further studies in other fossil groups will be necessary to very this.

We have also identified factors which have little bearing on the quality of fossil 405 406 preservation. While these covariates are present in many of the best models identified for 407 each preservational score, latitude, palaeolatitude, and fossil age all have little impact on 408 fossil preservation based upon our analyses. Given that modern latitude has little to do with 409 the original environmental conditions of a specific locality in the Palaeozoic, the lack of 410 influence of latitude on specimen is not surprising. Furthermore, while variables linked to 411 palaeolatitude, such as temperature, have been determined to influence specimen 412 preservation in live-dead comparisons (Kidwell et al. 2005), and experiments (Kidwell and 413 Baumiller 1990), given how global temperatures have fluctuated across different latitudes 414 and times throughout the Palaeozoic (Goldberg et al. 2021; Korte et al. 2008), it is perhaps 415 not surprising that specific latitudes are not associated with a given taphonomic grade or grades. In contrast, the age of fossil bearing-deposits has been identified as a megabias 416 417 which influences the quality of the fossil record, and has been shown to influence 418 taphonomic state of fossils in a non-random way (Hendy 2011). This impact of age on preservation is largely linked to specific intervals of time, such as the Neogene, with near-419 recent levels of fossil quality, and the Permian which is associated with high degrees of 420 silicification (Butts and Briggs 2011; Hendy 2011). Age could play less of a role in our 421 analysis because all of our fossils are all Palaeozoic, and the Permian in particular lacks 422 many well-preserved Palaeooic echinoids (see discussion below). All in all, our analyses 423 suggests that larger-scale determinants, such as latitude, palaeolatitude, and age have less 424 425 of an impact on fossil preservation than more localised sedimentological and palaeoenvironmental factors, such as the above-discussed lithology and grain size. 426

427 Taphonomy, the rock record and perceived palaeodiversity

428 We found no overall correlation between the abundance of different taphonomic grades and 429 taxonomic diversity at the stage and period levels (Table 3). This is in agreement with 430 studies comparing patterns of skeletal completeness with raw taxonomic diversity, which 431 have typically identified an absence of, or statistically weak, correlations between specimen 432 or species quality and richness (Cashmore and Butler 2019; Cleary, et al. 2015; Schnetz, et 433 al. 2022; Brown, et al. 2019), though see Dean et al. (2016) for a contrasting pattern 434 observed in pterosaurs. The only exception to this in our dataset was a strong correlation 435 between period-level genus richness and the abundance of fossils at the highest taphonomic 436 grade, TG5. This implies that, at least at coarse temporal resolution, the abundance of wellpreserved fossils could influence patterns of observed palaeodiversity. This is likely due to 437 the aforementioned ability to more readily assign the best-preserved fossils to lower 438 taxonomic levels (Fig. 3A,C). However, overall, it appears that quality of preservation does 439 440 not significantly influence our understanding of Palaeozoic echinoid diversity.

441 Comparisons between the number of collections and abundance of different taphonomic grades through time can reveal whether preservational quality is predominantly 442 controlled by sampling variation. Whilst TG1-TG4 reported strong and statistically significant 443 444 correlations with the number of echinoid collections through time, this was strongest for the 445 lowest taphonomic grades; conversely TG5 showed a near miss for statistical significance, and the lowest strength of correlation (Table 4). This pattern indicates that the abundance of 446 poorly preserved specimens closely mirrors echinoid sampling intensity, and that additional 447 sampling will produce an equivalent amount of incomplete fossil material. At higher 448 taphonomic grades, the strength of this correlation reduces, indicating that high quality 449 preservation is less-influenced by sampling effort. This is likely because higher taphonomic 450 grades likely are likely the result of event-based taphonomic conditions preserving ecological 451 452 snapshots (Ausich 2016), as opposed to background conditions where specimens are exposed to a greater degree of biostratinomic processes (Brett, et al. 1997; Brett and Baird 453 1986). A more pronounced variation of this pattern is observed with comparisons to total 454

Echinodermata collections (Table 4). Conversely, the lack of significant correlations between
total invertebrate collections and any taphonomic grades indicates that preservation is not
dependent on 'global' measure of sampling intensity during the Palaeozoic, but more
focused sampling on localities, formations and facies likely to record echinoderm fossils.

Because lithology was shown to positively influence the preservation of higher 459 460 taphonomic grades, we compared the abundance of North American occurrences of different taphonomic grades to the abundance and outcrop area of carbonate and siliciclastic 461 462 lithological units in Macrostrat (Table 2). Our results appear somewhat counterintuitive. 463 Despite echinoid fossils being more abundant in carbonate rocks (Figure 1d, 2a) and overall 464 showing an affinity for carbonate substrates (Thompson and Bottier, 2019), variations in the 465 number of siliciclastic units through time report stronger and more significant positive 466 correlations with the abundance of different taphonomic grades than do carbonates (Table 467 2). This correlation is weakest at the lowest taphonomic grade, in agreement with our results 468 obtained from multiple logistic regression, which found that lithology plays little role in preservation at lower grades, but that siliciclastic lithology influences higher taphonomic 469 470 grades (Fig. 4). At higher taphonomic grades, the stronger positive correlations between 471 siliciclastic sediment area and North American echinoid taphonomic grades may, as noted 472 above, reflect higher rates of sedimentation in siliciclastic environments (Schindelz 1980; Enos 1991). Furthermore analyses of tropical mollusc death assemblages have shown 473 higher rates of skeletal degradation and reduced skeletal condition in carbonate 474 environments than in siliciclastic environments as a result of pore water chemistry and 475 disturbance from bioeroders or bioturbators (Kidwell, et al. 2005; Best et al. 2007). These 476 may explain why the distribution of North American taphonomic grades more-closely mirrors 477 siliciclastics. Though we did not analyse secular variations in the abundance of fine-grained 478 479 sediments through time we hypothesize, based on our analyses, that variations in the proportion of fine to coarse-grained rocks, coupled with rates of sedimentation as evident in 480

481 siliciclastic settings, may be an overall regulator of fossil quality through time, at least for482 taxa with multi-element skeletons.

## 483 Taphonomic impact on the evolutionary history of echinoids

The Palaeozoic is a crucial interval for echinoids, encompassing both their initial 484 485 diversification in their Ordovician (Thompson, et al. 2022), as well as the origin of the echinoid crown group in the late Palaeozoic (Mongiardino Koch and Thompson 2021; 486 Thompson et al. 2020). Furthermore, the Permian, which yields some of the earliest crown 487 488 group echinoids, includes species which have been used to calibrate divergence time 489 estimates for the origin of the crown group echinoids (Koch et al. 2022; Thompson et al. 490 2015). Recent phylogenomic analyses have placed the origins of multiple extant echinoid 491 groups in the Permian (Mongiardino Koch et al. 2022), despite the absence of these 492 lineages from the Palaeozoic fossil record (Kier 1965). Identifying the number of lineages 493 that survived the end-Permian mass extinction and subsequently diversified in the Mesozoic 494 is a question with profound impacts on understanding the origins of modern echinoid 495 biodiversity and the role of the end-Permian extinction in dictating their evolutionary history (Thompson et al. 2018; Thuy et al. 2017; Hagdorn 2017). While disarticulated remains are 496 relatively abundant in the Permian, the lack of specimens at the highest taphonomic grades 497 highlights that that majority of the sampled echinoid fossil record during the Permian are not 498 identifiable to lower taxonomic ranks. There is also relative paucity of fine-grained or 499 siliciclastic echinoid occurrences in our dataset which our analyses suggest are most 500 501 strongly associated with high-quality preservation. Taken together, this suggests that differences in fossil preservation may explain the numerous ghost-lineages that plague our 502 understanding of the evolutionary history of echinoids in the Late Palaeozoic and Early 503 Mesozoic. More generally, this exemplifies how variations in the preservation of different 504 environments in the fossil record can influence not only our perception of ancient biodiversity 505 506 (Smith, et al. 2001), but also the factors which are conducive to the high-quality fossil preservation which is necessary to understand the origins of living clades. 507

508

### 509 CONCLUSION

Multi-element skeletons are abundant across the tree of life and their preservation in the 510 fossil record sheds insight into fundamental mechanisms of macroevolution and the origin of 511 512 diverse modern clades (Koch, et al. 2022; Simoes et al. 2018; Legg, et al. 2013; Hopkins and Smith 2015). Our results provide clear evidence of the dominant controls impacting the 513 preservational quality of the known Palaeozoic fossil record for an entire major taxonomic 514 group with a multi-element skeleton. We find that the majority of the Paleozoic echinoid fossil 515 516 record is disarticulated, and that the dominant controls on fossil preservation are grain size and lithology. Counterintuitively, the abundance of North American siliciclastic rocks is more 517 closely linked to fluctuations in fossil preservation than are those of carbonates. The 518 influence of fossil preservation on our understanding of ancient biodiversity is likely to be 519 520 mediated through the ability to more precisely identify well-preserved fossils. The geological 521 and biological factors we herein implicate in controlling their fossil record are likely to be yet another mechanism by which perceived deep time fluctuations in biodiversity influenced by 522 non-random fossil preservation. 523

524

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562

- 563 DATA ARCHIVING STATEMENT
- 564 Data for this study, including the underlying database for all analyses, data downloaded from
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- 566 Github at: <u>https://github.com/ChristopherDavidDean/Echino\_taph</u>
- 567

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# 810 FIGURES

811 Figure 1



Figure 1. The distribution of different taphonomic grades spatially, taxonomically, and with respect to lithology and grain size. A: Illustrations and photographs of Palaeozoic echinoid fossils demonstrating examples of our different taphonomic grades. TG1 is specimen MCZ:IP:103620, a disarticulated spine and interambulacral plate of the archaeocidarid *Archaeocidaris* sp. TG2 is specimen LACMIP 2020, a pile of disarticulated interambulacral

829 plates and spines from Archaeocidaris sp. TG3 is specimen MCZ:IP:101936, a fragment of a test of the palaechinid Maccova intermedia showing ambulacral and interambulacral plates. 830 TG4 shows specimen YPM 26552, a denuded test of the lepidesthid echinoid Lepidesthes 831 colletti. TG5 shows specimen NHMUK Pal E 76888, the holotype of Archaeocidaris 832 833 whatleyensis, which is an articulated test preserved with covering spines. B: the differential global distribution of specimens of our lowest taphonomic grade (TG1, top) and our highest 834 taphonomic grade (TG5, bottom). C: bar chart showing the differential frequency of 835 taphonomic grades across our dataset broken down by family (or unit approximating family 836 837 for stem echinoids). D: frequency of specimens associated with carbonate or siliciclastic sediments plotted through time. E: frequency of specimens associated with fine- or coarse-838 839 grained sedimentary rocks plotted through time.

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Figure 2. The distribution of different taphonomic grades according to lithology and grainsize. A: the frequency of different taphonomic grades according to carbonate or siliciclastic lithology. B: the frequency of different taphonomic grades according to grain size. C: mosaic plot showing the relative proportions of preservation scores within each lithological category, compared against expected levels, with colours relating to Pearson residuals from a loglinear model. D: mosaic plot showing the relative proportions of preservation scores within each grain size category, compared against expected levels, with colours relating to Pearson

863 residuals from a log-linear model. For mosaic plots, red indicates fewer observations than

864 expected, whereas blue represents more observations than expected.





Figure 3. The distribution of specimens of different taphonomic grades according to the
lowest assignable taxonomic rank and geological time. A: the distribution of specimens of
different taphonomic grades according to the lowest taxonomic rank to which each specimen

882 could be assigned. B: the frequency of different taphonomic grades according to the Period of geological time from which they were sampled. C: mosaic plot showing the relative 883 proportions of preservation scores within each taxonomic rank, compared against expected 884 levels, with colours relating to Pearson residuals from a log-linear model. D: mosaic plot 885 886 showing the relative proportions of preservation scores within geological Period, compared against expected levels, with colours relating to Pearson residuals from a log-linear model. 887 For mosaic plots, red indicates fewer observations than expected, whereas blue represents 888 more observations than expected. 889



Figure 4. Forest plot showing best fitting model results for multiple logistic regression models for each taphonomic grade. Positive values indicate that the chance of observing a specimen at the associated taphonomic grade increases with the associated covariate, whereas negative values indicated the opposite. The output estimate is provided in log-odds scale.

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# 897 **TABLES**

#### 898 Table 1

899 Top ranked multiple logistic regression models for each Taphonomic grade. TG: Taphonomic

900 Grade. df: Degrees of freedom. AICc: small sample corrected Akaike Information Criterion.

TG	Model	df	Log-liklihood	AICc	Weight
	~ Age (Ma) + Palaeo–latitude + Grain				
	size + Latitude + Lithology + Grain				
1	size:Lithology	7	-394.816	803.7	0.675
	~ Age (Ma) + Palaeo–latitude + Grain				
2	size + Latitude + Lithology	6	-974.809	1961.7	0.306
	~ Age (Ma) + Palaeo-latitude + Grain				
2		Λ	560 026	11/7 0	0 205
5	3126	4	-309.930	1147.9	0.395
	~ Palaeo–latitude + Grain size + Latitude				
4	+ Lithology + Grain size:Lithology	6	-796.565	1609.2	0.618
-		-			
	~ Age (Ma) + Palaeo–latitude + Grain				
5	size + Latitude + Lithology	5	-897.518	1805.1	0.302

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#### Table 2

Spearman's rank correlations between Taphnomic Grade and counts of North North 

American siliclastic and carbonate rock units in Macrostrat through time. TG: Taphonomic 

grade. BH: Benjamini Hochberg corrected p-value. Signif.: statistical significance, evaluated 

using Benjamini Hochberg corrected p-value < 0.05. 

	Temporal Resolution	ΤG		vs. (test)	Rho	p	BH	Signif.
	Stage		1	Macrostrat carbonate (count)	0.312	0.056	0.137	
	Stage		2	Macrostrat carbonate (count)	0.332	0.042	0.111	
	Stage		3	Macrostrat carbonate (count)	0.346	0.033	0.092	
	Stage		4	Macrostrat carbonate (count)	0.439	0.006	0.024	*
	Stage		5	Macrostrat carbonate (count)	0.365	0.024	0.078	
	Stage		1	Macrostrat silicilcastic (count)	0.49	0.002	0.008	*
	Stage		2	Macrostrat siliciclastic (count)	0.644	0.00001	0.0003	*
	Stage		3	Macrostrat siliciclastic (count)	0.524	0.001	0.005	*
	Stage		4	Macrostrat siliciclastic (count)	0.627	0.00003	0.0003	*
	Stage		5	Macrostrat siliciclastic (count)	0.548	0.0004	0.003	*
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# 922 Table 3

923 Spearman's rank correlations between Taphonomic Grade and generic diversity at Stage

and Period temporal resolutions. TG: Taphonomic grade. BH: Benjamini Hochberg corrected

- 925 p-value. Signif.: statistical significance, evaluated using Benjamini Hochberg corrected p-
- 926 value < 0.05.

Stage         1         Diversity (genera)         0.462         0.047         0.119           Stage         2         Diversity (genera)         0.541         0.017         0.058           Stage         3         Diversity (genera)         0.343         0.151         0.280           Stage         4         Diversity (genera)         0.258         0.286         0.391           Stage         5         Diversity (genera)         0.402         0.088         0.196           Period         1         Diversity (genera)         0.618         0.191         0.340           Period         2         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Temporal Resolution	TG		vs. (test)	Rho	р	ВН	Signif
Stage         2         Diversity (genera)         0.541         0.017         0.058           Stage         3         Diversity (genera)         0.343         0.151         0.280           Stage         4         Diversity (genera)         0.258         0.286         0.391           Stage         5         Diversity (genera)         0.402         0.088         0.196           Period         1         Diversity (genera)         0.618         0.191         0.340           Period         2         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Stage		1	Diversity (genera)	0.462	0.047	0.119	
Stage         3         Diversity (genera)         0.343         0.151         0.280           Stage         4         Diversity (genera)         0.258         0.286         0.391           Stage         5         Diversity (genera)         0.402         0.088         0.196           Period         1         Diversity (genera)         0.618         0.191         0.340           Period         2         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Stage		2	Diversity (genera)	0.541	0.017	0.058	
Stage       4       Diversity (genera)       0.258       0.286       0.391         Stage       5       Diversity (genera)       0.402       0.088       0.196         Period       1       Diversity (genera)       0.618       0.191       0.340         Period       2       Diversity (genera)       0.618       0.191       0.340         Period       3       Diversity (genera)       0.618       0.191       0.340         Period       3       Diversity (genera)       0.224       0.670       0.734         Period       4       Diversity (genera)       0.265       0.612       0.690         Period       5       Diversity (genera)       0.971       0.001       0.006	Stage		3	Diversity (genera)	0.343	0.151	0.280	
Stage         5         Diversity (genera)         0.402         0.088         0.196           Period         1         Diversity (genera)         0.618         0.191         0.340           Period         2         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Stage		4	Diversity (genera)	0.258	0.286	0.391	
Period         1         Diversity (genera)         0.618         0.191         0.340           Period         2         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Stage		5	Diversity (genera)	0.402	0.088	0.196	
Period         2         Diversity (genera)         0.618         0.191         0.340           Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Period		1	Diversity (genera)	0.618	0.191	0.340	
Period         3         Diversity (genera)         0.224         0.670         0.734           Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Period		2	Diversity (genera)	0.618	0.191	0.340	
Period         4         Diversity (genera)         0.265         0.612         0.690           Period         5         Diversity (genera)         0.971         0.001         0.006	Period		3	Diversity (genera)	0.224	0.670	0.734	
Period <u>5</u> Diversity (genera) 0.971 0.001 0.006	Period		4	Diversity (genera)	0.265	0.612	0.690	
	Period		5	Diversity (genera)	0.971	0.001	0.006	*

# 938 Table 4

Spearman's rank correlations between Taphonomic Grade and counts of collections through
time. TG: Taphonomic grade. BH: Benjamini Hochberg corrected p-value. Signif.: statistical
significance, evaluated using Benjamini Hochberg corrected p-value < 0.05. echinoid and</li>
global collections, and counts of North American siliclastic and carbonate rock units.

Temporal resolution	TG		vs. (test)	Rho	p	ВН	Signif.
Stage		1	Echinoid collections	0.776	0.00000046	0.00002	*
Stage		2	Echinoid collections	0.823	0.0000002	0.000002	*
Stage		3	Echinoid collections	0.645	0.0001	0.001	*
Stage		4	Echinoid collections	0.685	0.00003	0.0003	*
Stage		5	Echinoid collections	0.436	0.016	0.058	
Stage		1	Echinodermata collections	0.589	0.00011	0.0012	*
Stage		2	Echinodermata collections	0.361	0.031	0.091	
Stage		3	Echinodermata collections	0.562	0.00036	0.0025	*
Stage		4	Echinodermata collections	0.539	0.0007	0.0044	*
Stage		5	Echinodermata collections	0.298	0.077	0.18	
Stage		1	Global collections (pbdb)	0.207	0.212	0.352	
Stage		2	Global collections (pbdb)	0.0481	0.774	0.815	
Stage		3	Global collections (pbdb)	0.324	0.047	0.119	
Stage		4	Global collections (pbdb)	0.119	0.475	0.603	
Stage		5	Global collections (pbdb)	0.0113	0.947	0.958	