- Inadequate wastewater management in Dhaka's major hospitals: A Socio-1
- 2 Technical Systems (STS) analysis of leadership, policy, and technological
- 3 challenges
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- 19 Hazardous liquid waste; SGD6; Bangladesh 20

21 **Abstract:**

- 22 Unsafe hospital wastewater is a significant concern, especially in low- and middle-income countries
- 23 (LMICs) where the health impact is often underreported. Socio-technical systems (STS) theory, which
- 24 examines the interplay between social and technical elements within complex systems, is widely used
- 25 in developed countries but rarely applied in LMIC hospitals. We employed STS theory to evaluate the
- 26 social and technical aspects of hospital wastewater treatment (WWT) and management in Dhaka City,
- 27 alongside a comprehensive assessment of WWT processes. We used a mixed-methods approach, 28
- combining quantitative (structured-observations) and qualitative interviews. Structured observations 29 assessed the availability and functionality of WWT systems in selected hospitals. We conducted 30
- 30 key-informant interviews across 13 hospitals, including 21 respondents from government and nine
- 31 from private hospitals. The respondents were cleaners, key health professionals, and public works
- 32 department (PWD) engineers. We also analyzed relevant government reports and policies. Among the
- 33 hospitals surveyed, 10 had some form of WWT system, while the remaining three lacked any
- 34 treatment infrastructure. Of those with WWT, seven utilized anaerobic baffled reactors and three had
- 35 septic tanks. Interviews revealed that hospital authorities prioritize patient care and medical
- 36 equipment maintenance over WWT, with limited understanding among hospital staff regarding
- 37 WWT. PWD-engineers reported frequent staff shortages and bureaucratic delays, affecting the
- 38 efficiency of WWT system repairs and desludging. Our study identified significant gaps in WWT in 39
- Dhaka hospitals, including the limited use of adequate treatment technologies, poor wastewater 40
- management knowledge, and many systems in disrepair, leading to hazardous liquid being discharged 41 directly into the environment. The STS approach highlighted the need for policy formulation for
- 42 HWW regulation, government leadership, adequate financing, technical assistance, and staff capacity
- 43 building. Addressing these issues comprehensively can lead to safer and more sustainable wastewater
- 44 management practices in healthcare facilities, ultimately benefiting public health and the environment.
- 45

46 Introduction

47 Unsafe hospital wastewater is a significant concern, posing unknown but potentially severe 48 public health risks. Hospital wastewater (HWW), a complex mixture originating from various 49 medical and non-medical activities, contains biological components (e.g., pathogens), 50 chemicals such as disinfectants and cleaning agents, pharmaceuticals including antibiotics, 51 analgesics, and hormones, as well as heavy metals like mercury, lead, cadmium, and 52 chromium [1]. This composition mirrors the diverse contaminants introduced during patient treatments, cleaning procedures, and the use of medical equipment. A particular concern 53 54 regarding the spread of Antimicrobial Resistance (AMR) is the significant role played by 55 wastewater, especially from HCFs [2, 3]. Compared to community wastewater, HCF 56 wastewater exhibits higher concentrations of antibiotics entering the waste stream from both 57 patients and discarded pharmaceuticals [4]. Understanding and mitigating these complexities 58 are crucial for addressing public health challenges associated with HWW.

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60 Safely managed sanitation in HCFs requires the use of an improved sanitation facility and 61 for excreta to be either safely disposed of in situ or transported and treated off-site [5]. As 62 part of the United Nations Secretary-General's global call to action on water, sanitation and 63 hygiene (WASH) in HCFs in 2019, the member states committed to work towards achieving 64 universal access to WASH in HCFs by 2030 [6], primarily focusing on the sustainable 65 development goals (SDG) 3 (good health and well-being) and SDG 6 (clean water and 66 sanitation). A recent report from WHO-UNICEF also stated that unsafe containment, 67 emptying and disposal of fecal sludge and liquid waste presents a growing risk to public 68 health and the environment and threatens progress on other SDG targets [7]. Furthermore, 69 this same report notes limited data to identify and address inequalities in safely managed 70 services in HCFs to determine rates of progress [7]. Timely and accurate data on safely

managed onsite sanitation services of HCFs can increase awareness of countries' needs and
gaps, and inform policy, implementation and research efforts to extend and improve services
[8].

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Recent systematic reviews on HWW treatment systems highlighted a lack of research on 75 76 HWW management in Low- and Middle-Income Countries (LMICs) [1, 3]. The review 77 conducted by Amin et al. in 2024, underscored that numerous HCFs in LMICs lack effective 78 wastewater treatment systems, and most wastewater treatment plants (WWTP) included in 79 the review failed to meet national standards for discharging wastewater [3] highlighting this as a crucial area for future research. Furthermore, the review noted a scarcity of 80 81 comprehensive studies on the total wastewater or liquid waste management status in densely 82 populated urban areas globally, emphasizing a critical gap in knowledge. Recent studies 83 conducted in large hospitals in urban Bangladesh also highlighted a concerning lack of 84 wastewater treatment facilities, revealing that most hospitals discharge their wastewater 85 directly into the environment without any prior treatment [9, 10]. Wastewater and hazardous 86 liquid waste management in HCFs are critically overlooked during the planning and 87 construction phases [11]. Furthermore, in urban areas of LMICs, the design and construction of treatment system often fail to consider how behavioral, social, and technical components 88 89 interact within these systems, particularly in the context of HCFs [12-15].

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Globally, research on WASH in HCFs is limited, and in LMICs, including Bangladesh,
such research has predominantly focused on overall WASH assessments rather than on the
functionality and efficacy of specific WASH technologies. Moreover, studies that specifically
examine the types and effectiveness of HWW treatment systems in LMICs remain scarce [1,
3, 9, 16-18].

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97 There has been limited academic investigation into the interrelationship between the 98 technical and social and institutional aspects of wastewater management in HCFs. While the 99 water and sanitation for health facility improvement tool (WASH-FIT) provides a comprehensive practical framework for enhancing WASH practices in HCFs [5], it primarily 100 101 focuses on practical step-by-step guidance for adapting and implementing WASH-FIT in various contexts, such as quality of care, infection prevention and control (IPC), and maternal 102 103 child health, with the aim of improving the sustainability and climate resilience of WASH 104 services in HCFs. This framework emphasizes enhancing leadership capacity, community 105 engagement, behaviour change communications, training, and sustainable investment to 106 improve WASH in HCFs. However, WASH-FIT has limited focus on the technological 107 aspects of WASH improvement, particularly in enhancing sanitation and wastewater 108 facilities.

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110 There is a significant research gap in understanding the interrelationship between social and 111 institutional factors and liquid waste and wastewater management in HCFs. Despite the 112 importance of these factors, there is a lack of research that comprehensively addresses how 113 they interact with technical aspects of wastewater management. Our literature review found no studies that fully explore these interactions, highlighting a critical need for further 114 115 investigation in this area. This limitation highlights a missed opportunity for enhancing 116 WASH outcomes through a more holistic approach that integrates both technological 117 solutions and behavioral interventions. Moreover, existing literature on HCF sanitation has 118 largely focused on the microbial efficacy of wastewater treatments [19-22], leaving a 119 significant knowledge gap regarding the underlying reasons for the poor performance of 120 sanitation technologies. Specifically, there is a lack of investigation into the social, cultural,

and behavioral dimensions of inadequate sanitation management in HCFs. Addressing this
gap is essential for developing more effective and sustainable sanitation solutions that are
culturally and contextually appropriate.

124

The socio-technical systems (STS) theory is an interdisciplinary approach, exploring the 125 126 interaction between social and technical facets within complex systems. It underscores the interconnectedness of people, technology, and the environment in various organizational or 127 128 societal contexts [23]. This theory acknowledges the mutual dependence of technology and 129 social structures, highlighting the need to analyze them to attain optimal system functioning. 130 Its primary goal is to comprehend the interactions among social and technical elements 131 within systems, aiming to design policy, and enhance systems while taking into account 132 social, behavioral, and technical considerations [13, 24].

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134 STS theory has been utilized across various disciplines, including information systems, 135 organizational studies, business management, and engineering [25], using a mix of qualitative and quantitative methods alongside socio-technical design approaches. The theory has been 136 applied in multiple contexts and scales [26, 27], from individual work systems within 137 organizations to entire organizations, and even up to macrosocial systems at the societal 138 139 level, such as industry sectors [28]. While the adoption of STS theory in civil engineering and 140 innovative construction projects is widespread in developed countries [29-31], its 141 implementation in the institutions remains underexplored in LMICs. The application of STS theory in HCFs in LMICs is rare; only one study in Germany, by Heinzel et al. (2024), 142 143 adopted STS theory to examine water infrastructure as critical HCF infrastructure, with no 144 similar application to wastewater noted. Understanding critical infrastructure as a STS theory 145 can help to evaluate the complex interlinkage among the factors that facilitate or hindering

146 better preparedness [32]. To address critical knowledge gaps in relation to HWW treatment,

147 we adopted STS theory to identify and analyse social and technical aspects of HWW

148 treatment arrangements and management, as well as observations of the functionality of the

149 wastewater treatment processes available in major hospitals within Dhaka City, Bangladesh.

150 Methods

151 Study design

152 Between June and December 2022, we conducted a mixed-methods study in 10 government 153 hospitals and three private hospitals from Dhaka city (Table 1). Government hospitals in 154 Dhaka, are typically managed by the Ministry of Health and Family Welfare (MoHFW), 155 which oversees the planning, implementation, and management of healthcare services. 156 Additionally, the Directorate General of Health Services (DGHS) is a key agency under the 157 MoHFW responsible for the administration, supervision, and coordination of healthcare 158 services at the national level [33]. These hospitals are part of the public healthcare system and 159 offer a range of medical services, with the aim of providing accessible and affordable 160 healthcare to residents. A private hospital is owned and operated by a private entity or 161 organization and regulated by DGHS. Private hospitals always charge patients for their 162 medical services and are not directly funded or managed by the government [34]. We 163 employed three data collection techniques in our study: conducted qualitative research 164 through interviews with key informants, reviewed relevant documents, and performed 165 structured observations of hospital pipe networks, wastewater treatment systems, and 166 drainage systems.

168 Theoretical basis

169	We drew on the modified STS theory in this study, specifically the Leavitt Diamond model
170	developed by Sawy (2001), which formed the basis of our framework. This framework
171	suggests a systems view of organizations (i.e., hospitals), represented by a hexagon, which
172	served as the core concept guiding our study (Fig 1). Within the STS perspective, we
173	recognized that organizations are situated within interacting subsystems, each contributing to
174	the overall functioning of the organization [35]. These hexagonal subsystems included:
175	- Current cultural norms influence hospital authorities' and staff perceptions of wastewater
176	treatment priority and practices.
177	- National policies and regulations govern HWW management and discharge.
178	- Technologies employed for HWW management.
179	- Leadership and management procedures guide liquid waste and wastewater treatment.
180	- Day-to-day staff (i.e., people) conduct operation and maintenance activities.
181	- Broader hospital supporting infrastructure aid in managing liquid wastes.
182	
183	Fig 1. Socio-technical system theory with relevant key indicators.
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185	For qualitative interviews, we adopted the revised guidelines outlined by Appelbaum (1997)
186	
	[13], which provide a detailed description of each subsystem of the STS framework. Previous
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- 193 have achieved [38, 39]. The document analysis involved sourcing published government
- 194 reports (i.e., Department of Environment and DGHS) on hospital waste and wastewater
- 195 management, standard and related policies [33, 34, 40-45]
- 196 Enrolment of study hospitals
- 197 The selection of hospitals for this study was described in a recent hospital-based study [46] in
- 198 Dhaka. Briefly, we prioritized stakeholder engagement by convening a meeting involving key
- 199 participants such as DGHS, Dhaka water supply and sewerage authority (WASA),
- 200 policymakers, and NGOs. We developed criteria for hospital selection, considering factors
- 201 like construction year, hospital type, size, and geographic location. We chose ten government
- 202 hospitals purposively, including general, medical college, and specialized hospitals, within
- 203 both South and North City Corporations in Dhaka. Furthermore, we purposively selected
- three private medical college hospitals for the comparison with the government hospitals. We
- 205 integrated stakeholder suggestions to enhance selection criteria and refine data collection
- tools. Description of hospitals is presented in Table 1.
- 207

Table 1. Descriptive statistics of selected hospitals (10 government and three nongovernment hospitals) in Dhaka, Bangladesh, July-December 2022.

Hospital type and codes	Year established	Hospital type	# of beds	Total toilets
H1	2001	General hospitals	250	132
H2	2012	General hospitals	500	379
H3	1963	Medical college hospitals	850	255
H4	2009	Medical college hospitals	500	430
Н5	1972	specialized hospitals	300	43
H6	2018	specialized hospitals	250	194
H7	1978	specialized hospitals	414	318
H8	1982	specialized hospitals	300	203
Н9	2012	specialized hospitals	450	183
H10	2013	specialized hospitals	250	177
P1	1986	General hospitals	500	86
P2	1992	General hospitals	500	59
Р3	2003	General hospitals	500	Data not collected

210 "H"-represented the codes for government hospitals.

^{211 &}quot;P"-represented the codes for private hospital.

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214 Operational definitions

- 215 The operational definitions for various variables in HCFs used in this study were based on the
- 216 WHO-JMP. We extended these definitions to include additional sanitation-related variables
- 217 relevant to HCFs in Dhaka city, as shown in Table 2. When JMP or WASH-FIT did not
- 218 provide clear definitions for certain variables, such as liquid waste and functional
- 219 containment, we created our own operational definitions.

220 Table 2. Operational definitions used to describe different components of sanitation

221 facilities, wastewater and liquid waste in hospitals in Dhaka, Bangladesh.

Terminology	JMP defined	Definitions used in this study
Safely	No separate definition for HCFs	Extended JMP definition:
managed	[47-49]	- the use of an improved sanitation facility where excreta is safely
sanitation		disposed of in situ or transported and treated off-site, prevent the
service	According to JMP WASH data for sanitation [50]:	discharge of wastewater and fecal sludge to the surface environment
	Safely managed sanitation in HCFs refers to the use of an improved sanitation facility where excreta is safely disposed of in situ or transported and treated off-site. <i>Expanded definition from JMP</i> <i>WASH data for Monitoring</i> <i>Safely Managed On-Site</i> <i>Sanitation:</i> The sanitation facilities should additionally prevent the discharge of wastewater and fecal sludge to the surface environment, and they should ensure that excreta are either treated and disposed of in situ or transported and treated off-site.	The relevant regulation for Bangladesh obtained from <u>Bangladesh</u> <u>Environmental Conservation Rules 2023 [40]</u> it follows following effluent quality parameters: - Temperature: 30° C - pH: 6.0-9.0 - Biological Oxygen Demand (BOD ₅) at 20° C: $\leq 30 \text{ mg/L}$ - Chemical Oxygen Demand (COD): $\leq 125 \text{ mg/L}$ - Suspended Solids (SS): $\leq 100 \text{ mg/L}$ - Oil and Grease: $\leq 10 \text{ mg/L}$ - Nitrate (NO ₃): $\leq 50 \text{ mg/L}$ - Phosphate (PO ₄): $\leq 15 \text{ mg/L}$ - Total Coliform: $\leq 1000 \text{ CFU/100mL}$ - The standards for HWW discharge in Bangladesh as per The Environment Conservation Rules, 2023, are outlined to ensure that effluents do not harm the environment or public health. Here are thkey standards: - Human feces are contained within a holding tank/pit in such a wat that it is inaccessible for human contact or contact by flies or other animals (rodents, insects) - All wastewater discharge through single or multiple, functional or site systems including at least primary and secondary treatment processes or conveyance of wastewater to a suitable centralized treatment plant via an appropriately designed piped sewerage network. -For any onsite system, frequency of desludging/emptying are regular, well recorded and hospital staff are able to describe the frequency and process of safely desludging/emptying of ABR

Hospital staff: The term "staff" refers to individuals employed within the healthcare setting, including healthcare

professionals such as doctors and nurses, administrative personnel, support staff, and/or cleaners [46].

Anaerobic baffled reactor (ABR): An anaerobic baffled reactor (ABR) is a multiple-stage reactor that consists a series of upflow reactors connected by means of baffles that force wastewater to flow under and over them as it passes from the influent to the effluent [51]. For this study, if the tank or sludge reservoir had more than two chambers, we classified it as ABR. ABR is considered as primary treatment system.

Septic tank: A septic tank is a sewage disposal system that consists of a concrete tank with an outlet submerged in the ground or soak pit. For this study, if the tank or sludge reservoir had two chambers, we classified it as septic tank. Septic tank is considered as primary treatment system.

Containment or holding tank: A single-compartment tank designed primarily to hold fecal sludge without undergoing any primary treatment.

Functional ABR or Septic Tank: Functionality is determined through direct observation (if the system is accessible) or through reports from PWD engineers or hospital staff (if the system is concealed beneath a building). A functional ABR or septic tank is identified by having intact lids and a structure free from leaks or breakages.

Piped network/discharge pipes: The system of pipes or channels that transport liquid or semisolid waste from its source within a facility, such as a hospital, to the designated hospital drain or disposal point. These pipes are responsible for conveying wastewater, sewage, or other liquid waste materials for proper disposal or treatment.

Hospital drain: A hospital drain refers to a drainage system used within the hospital premises to manage and dispose of various liquid wastes generated from hospital activities. These drains are part of the hospital's wastewater system and convey water, wastewater and all kinds of liquid waste from toilets, patients' wards, laboratories, operating rooms, and other medical areas.

Functional drain/drainage system: A drainage system in a hospital that consists of concrete channels or pipes lined with cement, equipped with intact and properly sealed covers to prevent leaks and ensure the safe and efficient transport of wastewater and other fluids. The hospital drainage system is usually managed by hospital authorities and the PWD. **Community drain:** Refers to a drainage system that serves a larger communal area, often shared by multiple households or buildings within a neighborhood or community. This system is designed to manage and channel away wastewater, stormwater, and other surface runoff from community, institutions, industries, and run-off to prevent flooding and maintain public health and environmental safety. For this study drainage network outside the hospital premise is considered as community drain.

Wastewater: Wastewater is water generated after the use of freshwater, raw water, drinking water or saline water in a variety of deliberate applications or processes [52]. Another definition of wastewater is "Used water from any combination of domestic, industrial, commercial or agricultural activities, surface runoff / storm water, and any sewer inflow or sewer infiltration" [53] In everyday usage, wastewater is commonly a synonym for sewage (also called domestic wastewater or municipal wastewater), which is wastewater that is produced by a community of people.

Hospital wastewater (HWW): Wastewater discharged from the hospital is classified as HWW. WHO has characterized these HWW in following ways: i) *Blackwater (sewage)* contains mainly fecal matter and urine; ii) *Greywater (sullage)* contains residues from washing, bathing, laboratory processes, laundry, and other technical processes such as cooling water or the rinsing of X-ray films, potentially loaded with a genotoxic or cytotoxic agent; iii) *Storm water* contains rainfall collected from roofs, grounds, yards and paved surfaces, water used for irrigating hospital grounds, toilet flushing, and other general washing purposes which may be lost to drains and watercourses and as groundwater recharge [54-56].

Biomedical liquid waste: "Bio-medical liquid waste" means any liquid waste including its container and any intermediate product, which is generated during the diagnosis, treatment or immunization of human beings or animals or in research pertaining thereto or in the production or testing thereof [57].

Hospital hazardous waste: Hospital hazardous waste refers to any solid, liquid, or gaseous waste generated within a hospital setting that possesses inherent risks to human health and the environment due to its composition. This waste category encompasses various materials such as biomedical waste, chemicals, pharmaceuticals, disinfectants, pathological waste, radioactive materials, and other potentially harmful substances.

Hazardous hospital liquid: Hazardous hospital liquid refers to any liquid waste generated within hospital that poses potential risks to human health and the environment due to its composition. This waste may include biological waste, biomedical waste, chemicals, pharmaceuticals, disinfectants, bodily fluids, and other potentially harmful substances.

Chemical liquid waste: This category includes hazardous liquids generated through various medical activities such as laboratory analyses, cleaning, disinfecting procedures, and other medical operations. It may contain harmful substances

like formaldehyde, glutaraldehyde, xylene, toluene, and mercury.

Pharmaceutical liquid waste: This type of waste is produced from activities involving medications and includes expired liquid medications, discarded vaccines and injectables, and partially used or contaminated intravenous (IV) solutions.

222

223 Study participants

224 For the qualitative method, the respondents comprised individuals engaged in policy 225 formulation and strategic planning regarding hospital facility management, staff directly 226 involved with hospital sanitation management, as well as those working within organizations 227 responsible for routine cleaning. Table 3 provides a detailed description of the types, roles, 228 and responsibilities of the respondents selected for the qualitative interviews. In brief, two 229 groups of individuals were interviewed: (1) Engineers from the public works department 230 (PWD), ministry of housing and public works, and (2) hospital staff, including directors, 231 ward master/hospital managers and cleaning staff. PWD engineers were included for the interview due to their close liaison with the MoHFW and their role as coordinators between 232 233 PWD, the Department of Architecture, and MoHFW [58]. PWD engineers were responsible 234 for tender document preparation, tender invitations, and maintaining liaison with the design 235 division and department of architecture. They ensured timely availability of structural and 236 architectural designs and drawings, major civil works, as well as monitored the progress of 237 hospital projects [58]. A total of seven engineers were responsible for managing selected 238 hospitals, and we conducted interviews with all of them. Hospital directors were included in 239 the interviews to understand the hospital's priorities in managing WASH, the challenges of 240 managing sanitation, and the future direction for improving WASH in HCFs for LMICs. We 241 enrolled one ward master from each hospital to participate in the KII. Ward masters oversee 242 patient care coordination, manage the cleaning staff, handle administrative tasks, facilitate communication, and advocate for patient rights and needs. They play a crucial role in quality 243 244 assurance, patient safety, and infection control [59]. If there were multiple ward masters in a 245 hospital, we selected the one who had been employed for a longer period in the hospital. We

- 246 also included ten cleaners (8 from government and two form private hospitals) from the
- 247 selected hospitals. Cleaners were purposively selected for the interview, and priority was
- 248 given to those who had been serving the hospital for more than five years. Integrating PWD
- 249 engineers, ward masters and cleaners allowed us to gather insights from individuals who had
- 250 direct experience managing the sanitation facilities in the hospital (Table 3).

251 Table 3. Type, roles, and responsibilities of the respondents for the Key informant interviews 252 253 (KII) in hospitals in Dhaka city.

Type of respondents	Definition/role	# of interviews	Inclusion criteria	Duty station and hours	Department & ministry
Hospital Directors	In Bangladesh, Hospital Directors are senior healthcare administrators responsible for overseeing the overall operations of a hospital or healthcare facility. They play a crucial role in setting strategic directions, ensuring the delivery of high- quality medical services, managing staff, and overseeing the facility's financial health	13	All included who provided consent for interviews	Hospital premises. Duty hours 6-8 hours (single shift)	Director general of Health service (DGHS), MoH&FW
Engineers (government hospitals)	[60]. A PWD (Public Works Department) engineer in a hospital is a professional responsible for close liaison with the MoHFW, acting as a coordinator among the field- level office of PWD, Department of Architecture, and MoHFW. They prepare tender documents and invite tenders for large civil works, including WASH. Additionally, they monitor the physical and financial progress of the	4	All included who provided consent for interviews	Mostly outside of the hospital in a separate office. Duty hours: 9:00 am to 5:00 pm	Public works department (PWD), Ministry of Housing and Public Works
Engineers (Private hospitals)	projects. [61, 62] A civil engineer in a private hospital is recruited by individual hospital, responsible for the planning, design, construction, maintenance, and management of the hospital's physical infrastructure and facilities. Their role encompasses a variety of responsibilities related to the hospital's structural and architectural aspects.	3	All included who provided consent for interviews	Within hospital. Duty hours 9:00 am to 5:00 pm	Not applicable
Ward masters (Government hospital)	A hospital ward master, also known as a ward manager or unit manager in some regions, is a senior administrative and		-Provide consent for interview -If more than one ward master	Hospital premises. Duty hours 8-16 hours	Director general of Health service (DGHS),

Type of respondents	Definition/role	# of interviews	Inclusion criteria	Duty station and hours	Department & ministry
	supervisory healthcare professional responsible for managing a specific ward or unit within a hospital. Six key areas of responsibility likely to define the role of ward managers: general performance/quality issues, people management/HRM, planning and scheduling of work, managing operational costs, dealing with clinical work and communication outside the immediate team [63].	10	present in one hospital priority was given to the senior staff	(2-3 shifts)	MoH&FW
Ward masters (Private hospital)	Same as above	3	All included who provided consent for interviews	Hospital premises. Duty hours 8-16 hours (2-3 shifts)	Not applicable
Cleaner (Government hospital)	A hospital cleaner also called environmental services staff, housekeeping or janitorial services, is an individual employed directly or through subcontracting by external suppliers, tasked with maintaining cleanliness, hygiene, and overall sanitation within a hospital. Typically, cleaners operate under the supervision of a ward master or nurse[64].	5	-Provide consent for interview -Cleaners serving the hospital for more than five years were prioritized -If more than one ward master present in one hospital priority was given to the senior staff	Hospital premises. Duty hours 8-16 hours (2-3 shifts)	Director general of Health service (DGHS), MoH&FW or subcontracted through another vendor
	"Staff responsible for cleaning" refers to non-health care providers such as cleaners or auxiliary staff, as well as health care providers who, in addition to their clinical and patient care duties, perform cleaning tasks as part of their role [65].				
Cleaner (Private hospital)	Same as above	5	Same as cleaners from government hospitals	Hospital premises. Duty hours 8-16 hours (2-3 shifts)	Direct recruitment or subcontract through another vendor

255 Data collection

Document review

257 The purpose of the document review was to understand the current policies related to HWW discharge standards set by the relevant authorities, such as the Department of Environment 258 259 (DoE) under the Ministry of Environment and the Directorate General of Health Services 260 (DGHS). This review aimed to identify the technologies recommended by the DGHS and 261 DoE for wastewater treatment in healthcare facilities. The review also examined the 262 prioritization of liquid waste management over solid waste management, as highlighted in 263 recently published government reports. Furthermore, it assessed the responsibilities of 264 different authorities, both government and private, in managing overall hospital waste, with a 265 particular focus on liquid waste management. We also reviewed the Bangladesh national 266 building codes published by Housing & Building Research Institute (HBRI) [66, 67]. Lastly, 267 the review included an examination of the WASH-FIT report and recently published WHO 268 reports related to WASH in healthcare facilities and wastewater discharge guidelines to 269 protect the environment (Table S1). This document review provided insights into the 270 regulatory framework, technological recommendations, and management responsibilities 271 crucial for effective HWW management.

272

273 Structured observation of the sanitation facilities

Structured observation included an assessment of the sanitation systems which included
drainage networks, availability and functionality of onsite wastewater treatment
plant/systems, septic tanks, and anaerobic baffled reactors (ABRs) and discharge of HWW
(grey and black water). After the selection of hospitals, the fieldworkers employed a
structured observational checklist to collect data on specific variables: the presence of onsite

wastewater treatment technology such as WWTP, septic tanks and ABRs within the hospitalpremises.

281

282 To appropriately document the sanitation infrastructure, we requested the PWD engineer to 283 share the building design and drainage network maps. In cases where obtaining the map was 284 not feasible, we asked the engineer to collaborate with fieldworkers in drawing the drainage 285 network and wastewater flow directions within the hospital. After gaining a preliminary 286 understanding of the hospital sanitation network, we requested the ward master of each 287 hospital to assign a knowledgeable staff member who could provide insights into the drainage 288 network and overall sanitation facilities of the hospital. Volunteers from the hospitals (i.e., 289 cleaners or ward boy) and two data collectors (including NA) conducted transect walks to 290 develop illustrated maps for each hospital. These maps indicated the starting point of drains, 291 the direction of wastewater flow, types and availability of wastewater treatment plants, the 292 number of functional septic tanks and ABRs, and the point of discharge (such as a 293 community drain or a surface water body) after leaving the hospital (Fig 1). During the 294 transect walks, the fieldworkers assessed the type of wastewater discharged (i.e., all treated, 295 partially treated or untreated) from the hospital to the community. The fieldworkers recorded 296 detailed notes on key elements of sanitation infrastructure during these walks and took photos 297 to document the status of the sanitation systems. After completing the walks, the team 298 collaborated with the ward master and volunteer to provide them with large sheets of paper 299 and colored pens, which they used to create maps illustrating the hospital's sanitation network 300 [68].

302 Key Informant Interviews

303 We used structured interview guidelines for each group of respondents to conduct the 304 interviews (see supplemental information). The data collection team, consisting of two 305 female and one male qualitative researcher (NA). We conducted the interviews in the 306 participants' native language, Bengali. The interviewers, who were also native speakers, used 307 interview guides to facilitate the conversations. Participants were informed about their 308 privacy and the protection of their personal information, and we obtained their informed 309 written consent for the research. The interviews took place in various locations depending on 310 the availability of venues and the participants' preferences. For example, interviews with 311 PWD engineers were conducted in their offices (outside the hospital premises), interviews 312 with cleaners took place in empty patient rooms, and ward masters were interviewed in 313 empty staff rooms. The interviews lasted between 20 and 55 minutes, with all discussions 314 being audio-recorded with the participants' consent. The KII guidelines were tailored 315 individually for various respondents according to their roles and responsibilities within HCFs.

316

317 Using structured interview guides, interviewers asked open-ended questions to allow 318 participants the flexibility to share their thoughts on the topic areas. The research team 319 discussed potential prompts for each key area during the preparation phase. The KII 320 guidelines were pilot tested, refined, and organized into structured sub-themes to enhance 321 clarity and ensure consistency.

322

323 Data analysis and management

Three trained native Bengali speakers (NA and two research assistants) experienced in qualitative research collected all the data from hospitals staff and caregivers. The audio recordings of in-depth interviews were transcribed verbatim in Bengali and then translated into English using Microsoft Word. The translated transcripts retained the original tone of the
interviews by including transliterations of local terms and expressions. Codes were created
based on six STS theory themes (Fig 1), and the research team regularly met during
transcription and translation to familiarize themselves with the data. Additional inductive
codes were generated from the data. All interview transcripts were manually coded and
categorized according to these codes using Microsoft Excel.

333 We employed the STS framework to analyze data within six main thematic areas stated 334 above. Although similar questions were asked during the interviews, our qualitative data 335 analysis prioritized the expertise of respondents on relevant sub-themes. For instance, in key 336 informant interviews (KIIs) with hospital directors, we extracted quotes related to the norms 337 and priorities of liquid treatment over patient management. For engineers, we prioritized 338 presenting insights pertaining to the technological aspects of wastewater treatment, including 339 functionality and efficacy. In interviews with ward masters, our focus was on insights 340 relevant to the operation and maintenance of hospital sanitation networks, coordination 341 between engineers and hospital authorities, and individuals involved in managing liquid waste. Similarly, for cleaners, we prioritized aspects regarding their knowledge of liquid 342 343 waste generated from hospitals and the health risks associated with managing hospital liquid 344 waste. For reporting, both qualitative (i.e., KII) and quantitative (infrastructure assessment) 345 results were integrated within the STS framework. Specifically, the infrastructure assessment 346 data was aligned with the "technology" subsystem of the result section.

347

348 Quality assurance

Before the study, research assistants underwent rigorous training and participated in a pre-test
session. They were then paired for data collection and continuously assessed. These assistants
were fluent in English and Bengali, the primary local language, and had experience working

in urban poor settings. To ensure the completeness and correctness of the data, weekly
meetings were held with the team after conducting a few interviews, and the data summary
were shared with the supervisors (JW and TF). The research team gathered to review the
interview activities, ensuring the data was cleaned and verified before storing and processing
it. They used both manual and electronic backups for safety.

357

358 Ethical considerations

359 We followed a systematic approach to ensure the rights and confidentiality of all participants 360 and institutions. Firstly, we secured written informed consent from all individuals 361 participating in the study. Additionally, we obtained written approvals from the DGHS and 362 formal written approvals from the hospital directors to conduct interviews with the ward 363 masters and cleaners. An introductory letter, provided by the lead author (NA), was presented to all hospital directors. This letter outlined the purpose of the study and clarified aspects of 364 365 confidentiality, voluntary participation, anonymity, and the right to withdraw from the study. 366 Participants were assured that they could withdraw from the study at any point if they felt 367 uncomfortable. Moreover, to safeguard confidentiality, no participant identifiers were 368 recorded. The importance of confidentiality was strongly emphasized during the training of 369 research assistants before data collection. To further protect the identities of both the 370 respondents and hospitals, we assigned unique hospital codes (government hospital codes: H1 371 to H10, and private hospital codes: P1 to P3) instead of using the actual hospital names. Finally, the study protocol was thoroughly reviewed and approved by the Ethical Review 372 373 Committee at icddr,b in Dhaka, Bangladesh, as well as the Human Research Ethics 374 Committee at the University of Technology Sydney in Australia.

Results

376	A total of 30 interviews were conducted, encompassing individual interviews held in thirteen
377	hospitals in Dhaka city. These participants comprised seven engineers, 10 cleaners, and 13
378	ward masters. Among the 30 respondents, nine recruited from private hospitals, while 21
379	were selected from the government hospitals. A summary of the results is provided following the
380	STS theory framework in Table S2.
381	
382	Current cultural norms among hospital authorities and staff about wastewater
383	treatment priority and practices
384	
385	Hospital leadership authorities placed wastewater treatment as a low priority. All the hospital
386	directors were aware of and specifically highlighted that untreated HWW and infectious
387	liquids pose a significant threat to the environment and water bodies if not properly treated.
388	When asked about their priorities concerning hospital fecal sludge and liquid waste
389	management, most (9 out of 12) directors noted that their primary focus is on patient care,
390	maintaining essential equipment, and ensuring the safety of both staff and patients. One
391	director emphasized, "Hospitals are faced with numerous administrative challenges daily,
392	often constrained by limited resources. Recently, we acquired expensive (medical) equipment,
393	but due to inadequate space, installation has become an issue. If not resolved promptly, these
394	machines may malfunction." Another director highlighted, "Our top priorities are patient
395	treatment and recovery from diseases. Instances of patient death due to medical negligence
396	gain national-level media attention. However, wastewater treatment doesn't receive the same
397	level of priority from both media coverage and the hospital authority." (Director, H1)
398	

Hospital staff, particularly cleaners and ward masters, had a very limited or no understanding of the types of hospital liquid waste generated, how it is discharged from the hospital to the community, and how it is treated. Among the 23 staff members (ward masters and cleaners) interviewed, none could describe how biomedical liquid waste is managed in the hospital. Similarly, among the seven PWD engineers, nearly all stated that managing biomedical waste is not their responsibility; they are primarily tasked with treating HWW and fecal sludge.

406 We found that, discharging wastewater/liquid waste without proper treatment is common in 407 hospitals in Dhaka city. This practice is also acceptable among hospital managers (ward 408 masters) and cleaners because lack of knowledge on health and environmental impacts of 409 HWW. Most ward masters (11 out of 13) lacked awareness about the discharge details, such 410 as the type, volume, and pathways of liquid waste from their own hospital. One ward master 411 stated, "I am not sure how the liquid waste flows from the hospital to the environment, but we have a good treatment system (i.e., septic tank) with the capacity to treat all liquid waste." 412 413 (Ward master, H5) The same ward master also mentioned that the septic tanks have not been 414 emptied for the last five years.

415

It is a common practice for cleaners to clean drains and septic tanks without any personal protective equipment (PPE). Although most of the cleaners (8 out of 13) acknowledge that HWW contains harmful chemicals, they do not perceive it as a potential cause of diseases while cleaning. One cleaner stated, *"I regularly clean the septic tank when it is clogged; I never use any PPE, and I am doing well." (Cleaner, H4)*

421

422 National policies and regulations on HWW management and discharge

424 The current national policies and regulations on HHW management and discharge in 425 Bangladesh reveal significant gaps, indicating a lack of focus or priority on liquid waste 426 management in healthcare facilities within existing policies. The Ministry of Health and 427 Family Welfare (MoHFW) appears to prioritize solid waste management over liquid waste 428 management in healthcare facilities. The National Strategy for WASH in Healthcare 429 Facilities 2019-2023 emphasizes solid waste management but lacks guidance on liquid waste 430 discharge and management. According to the report, solid waste management falls under the 431 responsibility of City Corporations in Bangladesh, while PRISM, a prominent NGO in Dhaka 432 city, manages hospital solid waste for a nominal fee [41]. The Health Services Division 433 (HSD) of MoHFW published an environmental management plan for emergency response 434 (i.e., COVID-19 pandemic) in 2022. However, this plan primarily focuses on guidelines for 435 handling and disposing of hospital clinical wastes (e.g., body fluids, antibiotics, and 436 chemicals) and does not address overall liquid waste discharge, including effluent from 437 sanitation systems [42]. In contrast, the Department of Environment (DoE) Dhaka's 2015 438 report on Bangladesh standards and guidelines for sludge management classifies liquid 439 wastes from medical care facilities as highly hazardous [43]. Despite this classification of 440 liquid waste, the recent MoHFW guidelines did not provide clear instructions on how 441 different types of liquid waste should be managed or the standards they should meet before 442 being discharged into the environment or community drainage systems [41, 42]. These 443 disparities highlight a lack of focus or priority on liquid waste management in healthcare 444 facilities within the existing policies. There is a need for a more comprehensive and 445 integrated approach to address liquid waste management in HCFs. 446

447 Technologies used to treat HWW

448

Our findings include both those from structured observation as well as exploration of staff
understanding of these technologies, with key findings that the infrastructure was generally
inadequate and staff knowledge and awareness low.

452

453 Among the 13 hospitals surveyed, 10 had some form of wastewater treatment system, while 454 the remaining three lacked any treatment infrastructure. Among those with treatment systems, 455 seven utilized ABRs, and three had septic tanks to treat HWW. In one private hospital (P3), the 456 biological waste (such as body fluids) produced during procedures was collected separately and 457 treated using a physico-chemical effluent treatment plant. The chemicals used in the treatment 458 included alum, hypochlorite, and polymer. Among the hospitals with ABRs, varying degrees of 459 structural integrity were observed: one had all compartments broken, another had all four 460 compartments damaged, while the rest had physically intact compartments with lids and no 461 visibly broken walls. In the case of the three hospitals with septic tanks, two had four intact 462 tanks, while the other had eight out of nine tanks in good condition. Among the government 463 hospitals, only four had fully intact ABR compartments, while the remaining hospitals 464 showed varying levels of disrepair or lacked treatment systems altogether. In contrast, among 465 the private hospitals, two had intact ABR or septic tank compartments, while one lacked any 466 treatment system. Only one hospital discharged its wastewater/effluent into surface water 467 adjacent to the hospital premises, while the remaining hospitals (n=12) discharged into neighboring drains, ultimately flowing into surface water bodies or reaching the municipal 468 469 sewage lifting station (Table 4)

			A				2	
		Treatment	Type of	Number of containment	Number of	Number of	Proportion of	Discharge
H	Hosp	present	treatment	compartments (both	containments	physically intact	wastewater passing	location
it	tals	(YES/NO)		broken and functional)	able to observe	containments [†]	through treatment**	
G	Genera	l hospitals						
Н	H1	Yes	*ABR	4	4	0	None	Community drain
Н	12	Yes	ABR	4	3	4	All	Surface water
Ν	Medica	l college hospit	tals					
Η	13	Yes	Septic tanks	9	3	8 (reported by staff)	Partially	Community drain
	14	Yes	ABR	4	4	1	Partially	Community drain
S	Special	ized hospitals						
Н	15	Yes	ABR	1	1	1	Partially	Community drain
Н	16	Yes	ABR	2	2	2	All	Community drain
H	17	No	-	0	NA	NA	NA	Community drain
H	18	No	-	0	NA	NA	NA	Community drain
H	19	Yes	ABR	2	2	2	All	Community drain
Н	H10	Yes	ABR	1	1	1	All	Community drain
P	rivate	hospitals						
P	P 1	No	-	0	NA	NA	NA	Community drain
Р	22	Yes	Septic tank	4	4	4	All	Community drain
_P	P3††	Yes	Septic tank	4	4	4	All	Community drain

470 Table 4. Observation of wastewater treatment systems in government and private hospitals in Dhaka, Bangladesh, 2022.

493 *ABR= anaerobic baffled reactors

494 [†]Containments were considered to be physically intact if they had a lid and/or no broken walls

495 *Wastewater and fecal sludge directly discharged to the environment

496 [§]Any type of pipes (sewage or wastewater) exits from the hospital building

497 NA-Not applicable

498 **They categorized wastewater discharges as fully treated (when all effluent passed through a treatment process), partially treated (when some wastewater underwent

499 treatment while the rest bypassed containment), or untreated (when no treatment was in place or when all containment structures were damaged).

500 ^{††}In hospital P3, the biological waste (such as body fluids) produced during procedures was collected separately and treated using a physico-chemical effluent

501 treatment plant. The chemicals used in the treatment included alum, hypochlorite, and polymer.

502

504 Our KII revealed that PWD engineers, ward masters, and cleaners had a clear 505 understanding of the availability of on-site HWW treatment options, such as primary systems 506 like septic tanks, ABR, or advanced systems like sewage treatment plants (STP). However, 507 ward masters and cleaners demonstrated limited understanding regarding the functionality of 508 the treatment system within their respective hospitals. In our study, we found that all 509 engineers (N=5) working in government hospitals highlighted the absence of advanced on-510 site wastewater treatment options, such as full-scale STP, within these facilities. Specifically, 511 one engineer from a government hospital (H8) underscored this concern, stating, "Our 512 hospital had a number of septic tanks to treat the sludge but there are no advanced 513 wastewater treatment options like (full-scale) STP in these (government) hospitals " 514 (Engineer, H6 and H8). Two out of three engineers from private hospitals also indicated the 515 absence of advanced wastewater treatment options within their hospital premises. 516 Specifically, one engineer from a private hospital (P1) highlighted this, mentioning that "We 517 do not have any full-scale STP or any septic tank in this hospital. So, we are directly 518 connecting and disposing of it (sludge and liquid waste) to the WASA's (municipal) sewage 519 line without any treatment" (Engineer, P1). Most ward masters and cleaners provided similar 520 information regarding the availability of wastewater treatment systems in the selected 521 hospitals.

522

Most of the engineers (five out of seven) were not aware of the microbial efficacy of the treatment system used in the hospitals. When asked about the performance of the septic tank, the engineer at a specialized hospital (H5) mentioned that the septic tank is operating well, and he is satisfied with its efficacy. When questioned about how he tested the efficacy of the wastewater treatment system and whether there is any periodic testing done to assess the effluent quality before discharging, the engineer responded that there was no facility to test the samples in the laboratory. The engineer also acknowledged that, to assess the efficacy of the treatment plant, they need to test the effluent in the laboratory for pathogen removal. "*The septic tank here is operating well. However, if the water is tested, it is possible to know its results like the amount of water it can purify or the amount it cannot*" (Engineer, H5).

533

534 Leadership and management procedure for liquid waste and wastewater treatment

535 The management of HWW is complex and often faced prolonged delays in repair and 536 maintenance, primarily due to staff shortages within the PWD, which necessitates the hiring 537 of workers from local community vendors, and adherence to time-consuming government 538 public procurement procedures for the purchase of major equipment or construction 539 materials. The MoHFW is responsible for managing the overall WASH in HCFs [69]. Many 540 hospitals in Bangladesh have dedicated WASH committees comprising healthcare 541 professionals, administrators, and support staff. These committees are responsible for 542 planning, implementing, and monitoring WASH activities in HCFs.

543

544 The PWD operates under the Ministry of Housing and Public Works in Bangladesh. It is 545 tasked with constructing buildings and structures for various government organizations and agencies. The department is responsible for the design, construction, and maintenance of key 546 structures within HCFs across the country. PWD plays an active role in providing 547 548 fundamental infrastructure for appropriate fecal sludge and liquid waste management in 549 public hospitals [70]. Additionally, PWD is responsible for the installation and management 550 of wastewater treatment systems within hospitals. Each government hospital has an assigned 551 engineer from the PWD health wing, supported by one or more assistant engineers. In some 552 hospitals, these engineers have dedicated offices on the premises; in others, they operate from 553 nearby departmental offices. When major repairs and maintenance of the sanitation system

554 are needed (e.g., desludging, repair of ABRs), the hospital authority or ward master submits a 555 request to the local PWD office. This request is then forwarded to the PWD head office, 556 which is located further away. The head office directs the local PWD office on how to 557 address the issue and allocates the necessary resources. Sometimes, due to staffing shortages, the PWD may need to hire workers from local community vendors, which can add to the 558 559 delay. Additionally, the purchase of major equipment or construction materials requires 560 adherence to government public procurement procedures, which are time-consuming. 561 Consequently, this often results in prolonged delays in repairs or desludging. 562 In private hospitals, engineers are recruited directly by the hospital authorities and have dedicated offices within the hospital. They coordinate closely with the ward masters for any 563 564 necessary repairs and maintenance. When repairs and maintenance of the sanitation system 565 are needed, the engineer and hospital authority assess the situation together and then request 566 resources from the hospital director. Once approved by the director, they engage a vendor to 567 carry out the work. This streamlined process requires less time, enabling a quick response to 568 any emergency management needs of the sanitation system.

569

570 Our results suggest that the MoHFW and PWD must collaborate to ensure the safely 571 managed of sanitation in HCFs. Through our qualitative interviews, we identified critical communication and coordination gaps between PWD engineers and hospital authorities, 572 573 which caused delays in the repair and maintenance, as well as in the pit emptying of the 574 sanitation system. Many ward masters (7 out of 10) pointed out that delays in tank emptying 575 or the repair of major structures were attributed to the delayed response from the PWD office. 576 One of the ward-masters from hospital H5 stated, "Whenever there was any breakage or overflow of the septic tank, we immediately informed the PWD office. We hardly get an 577

578 *immediate response from the PWD office, and it took a long time to resolve the issue.*

579 Sometimes it took several months."

580 Conversely, three PWD engineers held differing views to the hospital ward masters, and 581 they stated that PWD have sufficient staff to manage the sanitation system in their assigned 582 hospitals. They claimed that the hospital authority did not maintain the sanitation facilities 583 after constructed, which is under their responsibility. One Engineer from hospital H5 stated, 584 "There are sufficient PWD staff for repair and maintenance of the hospital sanitation system. 585 As a result, we can respond to every issue very quickly. Let's say if water is overflowing from 586 the septic tank and we are informed by the hospital authority, we provided a prompt response. But quick response is not always possible due to multiple engagement of the staff. 587 588 Suppose if they (hospital authority) inform us about the need for septic tank cleaning today 589 but our team is busy with other tasks, we won't be able to clean the tank until tomorrow in 590 that case (Hospital H5, Eng.)." 591 592 However, four PWD engineers agreed that they have a shortage of manpower and due to

593 the lack of manpower, the services were delayed. One engineer from government hospital

594 stated "The manpower shortage is caused because of a recent policy change by the ministry.

595 We cannot keep permanent workers anymore (for repair and maintenance of the hospital), we

596 have to outsource the workers from other organizations. We have some gaps outsourcing

597 *people currently and this requiring time*" (Engineer, H7).

598

599 Day to day staff operation and maintenance activities

600

601 The study revealed notable disparities in the management of septic tanks and ABRs between

602 government and private hospitals. In private hospitals, day-to-day operations and

603 maintenance were relatively straightforward and managed by their own staff. In contrast, 604 government hospitals faced a more complex process that primarily depends on PWD office 605 staff for regular wastewater fecal sludge management. In government hospitals, the emptying 606 of septic tanks, major repair and maintenance was performed by the PWD staff. One ward 607 master from a government hospital (H10) stated, "Septic tank is cleaned jointly by the city 608 corporation staff and our (PWD) staff when the tanks need to be cleaned. Usually, if there is 609 any issue with the septic tank in the hospital, our hospital (cleaning) staff tried to fix it (tank). If we fail to fix the problem, we call PWD staff." 610 611 612 Another ward master from a government hospital also stated, "If there is any need for

613 minor repair and maintenance of the sanitation system, we manage them with our own staff.

614 For example, cleaning drains is our regular task to maintain the flow of the water. If any

615 sewage pipes are clogged, we call the sweeper (local sanitation worker/pit emptier), and he

616 fixes the problem. But for septic tank emptying or major repair and maintenance, we have to

617 *call the PWD engineers. This is not our responsibility." (Ward master, H2)*

618

619 In private hospitals septic tanks and sludge was managed by the hospital staff with the help 620 of their own engineers. The engineer of a private hospital (P2) stated *"There are three*

- 621 sweepers and a number of cleaners in this hospital. We have a machine (pump/suction) that
- 622 cleans/remove all waste thoroughly, even pads or solids. We manage everything (sanitation
- 623 system) by our own."

624

625 Broader hospital supporting infrastructure to manage liquid wastes

627 The hospital buildings had complex designs, and many of them were outdated. The 628 regulations for hospital building structures in Bangladesh have their origins in the rules 629 established during British colonial rule. Various urban planning and construction regulations 630 were introduced during this time, one of which was the Building Construction Act, originally 631 formulated in 1952 [66]. Key aspects of these building regulations include guidelines on 632 Floor Area Ratio, Maximum Ground Coverage, land use policy, and other construction 633 standards to ensure safety and environmental sustainability [67]. However, there are no 634 specific instructions regarding hospital liquid waste discharge and its management. Many 635 hospitals still operate with major treatment services in buildings constructed during the 636 British era, underscoring the need for updated infrastructure to meet modern healthcare 637 demands.

638

The pipe networks and discharge mechanism of liquid waste, including wastewater 639 640 discharge, was difficult to understand, since they were often hidden from sight. Almost all 641 healthcare workers (ward masters and cleaners) stated that they do not have any idea about the pipe network, discharge mechanism or pathways of liquid waste from the hospital to the 642 643 environment. Although, all engineers we interviewed were able to explain the pathways of 644 wastewater generated from toilets, they were less clear on the details of how and where body 645 fluid, chemicals and other liquid wastes were discharged. One of the engineers from a 646 government hospital stated, "our hospitals had two separate lines (i.e., pipes/channels) for 647 greywater and blackwater discharge, but I am not sure where the medical liquid waste discharged or how is it generated (Engineer, H1)." 648

649

650 The building structure and component infrastructure were identified as one of the critical651 factors for effectively treating HWW, as stated by the PWD engineers. Three out of seven

engineers mentioned that buildings with old structures faced serious challenges in managing
wastewater because the pipes and drainage networks were prone to breaking. Due to the
narrow pipes in the old buildings, the pipe networks (including both internal and external
pipes) often become clogged, leading to liquid waste overflow into the environment.
Additionally, the number of patients has increased significantly over the last two decades, but
the old hospital buildings were not modified to accommodate the increased patient load and
associated wastewater flows.

659

660 **Discussion**

This study provided a crucial understanding of the interplay between social and technical 661 factors influencing HWW treatment technology and management practices. This approach 662 663 integrated complex relationships among human behaviors, institutional frameworks, and 664 technological infrastructure within healthcare facilities in LMICs. Using STS theory, we 665 identified seven critical gaps hindering safe and sustainable wastewater management in major hospitals in Dhaka: 1) lack of prioritization for healthcare wastewater and fecal sludge 666 667 management; 2) insufficient policy and regulatory frameworks with ineffective 668 implementation; 3) inadequate awareness and training programs on liquid waste 669 management; 4) inappropriate sanitation infrastructure and wastewater treatment technology; 670 5) weak coordination and communication between healthcare professionals and PWD 671 personnel; 6) limited manpower and resource allocation for wastewater management 672 activities; and 7) limited understanding of health and environmental impacts from untreated 673 wastewater discharge.

675 We found that only a few hospitals in Dhaka possessed basic facilities for treating highly 676 hazardous wastewater. While some hospitals had septic tanks or ABRs for effluent treatment, 677 most of these systems were broken, resulting in the direct release of untreated wastewater 678 into the environment. This study is aligned with a recent study conducted in large hospitals 679 in Dhaka city during COVID-19 pandemic [9]. Another study conducted in similar settings 680 assessed the treatment efficacy of HWW and found high concentration of organic pollutants 681 and microbial contamination in the effluent which raised concerns regarding environmental 682 contamination [18]. Although recent WHO report suggested that achieving the SDG target 683 6.2 in HCFs requires at least secondary treatment options for HWW [71], academic literature 684 suggests that secondary technologies like septic tanks or ABRs are inadequate for the 685 complex composition of HWW [15]. These and other authors highlight the need for full-686 scale, multi-stage advanced treatment technologies to effectively remove fecal pathogens, 687 chemicals, drug residues, and laboratory reagents. Further research is essential to investigate 688 and identify technologies that can supplement existing treatment processes in LMICs [3]. 689

690 Our results indicated a lack of understanding among PWD engineers regarding liquid waste 691 discharge. PWD engineers were mostly responsible for managing fecal sludge management 692 and only aware of sewage and black water discharge from toilets to primary treatment. Most 693 of them were not aware of the discharge path of different liquid waste from hospital. 694 Effective HWW and liquid water treatment in LMICs depends not only on treatment 695 technologies but also on infrastructure, such as the building's drainage network, and how 696 wastewater is discharged from toilets to the treatment facilities. Understanding the types of 697 liquid waste (black water, body fluids, laboratory reagents/chemicals, drugs, antibiotics, grey 698 water) produced, their discharge routes, and mixing mechanism is crucial [57]. Without 699 proper source segregation of liquid waste, achieving appropriate wastewater treatment

700 efficacy is challenging [3, 15]. If the sources of liquid discharge are not separated, large 701 volumes of grey water contribute to high volumes of combined wastewater in the system and 702 may increase the cost of wastewater treatment due to the increased volume (Rousso et al., 703 2024). From our search, we did not find any published articles about the source segregation 704 of liquid waste for HCFs. Further research is needed to understand waste types and volumes 705 generated from hospitals and cost-effective management. Studies on source segregation can 706 provide valuable insights into improving wastewater treatment efficacy and reducing 707 treatment costs.

708

709 A key gap identified in this study was the low prioritization of wastewater and fecal sludge 710 management by hospital staff, especially ward masters and cleaners, who did not see it as part 711 of their regular duties. Hospital staff were primarily occupied with administrative tasks, 712 including patient management, leaving them little time to improve WASH in HCFs, 713 particularly in the areas of wastewater and liquid waste management. Studies have found that 714 healthcare professionals are resistant to accepting new roles and responsibilities [72]. 715 especially those related to non-clinical tasks [73]. Unlike solid medical waste, liquid waste is 716 not visible to healthcare professionals as it is discharged outside the hospital and does not 717 interfere with their daily clinical care. Improving the knowledge and awareness among health 718 professionals, including the leadership team, policymakers, community WASH practitioners, 719 and relevant ministries (e.g., Ministry of Environment), about hospital liquid waste 720 management through is critical for enhancing safely managed sanitation in large hospitals in 721 Bangladesh [73].

722

Another critical challenge highlighted in our study for managing HWW was the poor
 coordination and lack of role clarification related to wastewater management between

725 healthcare professionals (i.e., ward masters) and PWD engineers. Hospital staff, including 726 ward masters and cleaners, frequently reported that the lack of coordination and support from 727 PWD engineers led to delays in repairing and maintaining sanitation systems. These delays in 728 septic tank emptying resulted in the overflow of sludge from the septic tanks, causing severe environmental contamination. Conversely, several PWD engineers reported that hospital staff 729 730 can manage the sanitation facility and perform minor repairs and maintenance of the sanitation system. Staff shortages at the PWD office and hospital were a common issue. 731 732 further hindering quick responses to sanitation management needs in hospitals. Hospital 733 cleaners had a lack of understanding about the periodic monitoring and emptying schedule of 734 septic tanks in hospitals. Most septic tanks and ABRs were only emptied when they required 735 repair or became overfilled. To address these issues, it is imperative to enhance the capacity 736 of the PWD and develop a clear strategy to improve coordination between the PWD and 737 hospital authorities for effective and safe sanitation services management.

738

739 This was the first study to utilize STS theory to comprehensively assess the social and 740 technical dimensions of HWW treatment technology and management strategies, specifically 741 in major hospitals in Dhaka, a densely populated city in a LMIC. While STS theory has been 742 applied in various disciplines [25], its use in constructing, designing, and managing critical infrastructure in institutional buildings, especially in LMICs, remains underexplored. Our 743 744 search revealed only one study using STS theory to examine water infrastructure as critical 745 hospital infrastructure, with no similar applications to wastewater management identified. 746 This study emphasized that understanding critical infrastructure through STS can help assess 747 complex interlinkages between factors that enable or hinder better preparedness [32]. 748 Furthermore, many community-based studies have used STS theory for planning and 749 managing centralized wastewater treatment technologies [74, 75], concluding that

incorporating STS can improve wastewater management adaptation and efficiency [74, 76].
Our study suggests that integrating STS theory with existing sanitation improvement plans
(e.g., designing internal sewage networks and wastewater treatment technologies) in HCFs is
critical for achieving safely managed sanitation services. Deeper behavioral changes among
health professionals, PWD sanitation engineers, policymakers, and users, especially in their
motivation to take responsibility for HWW, are essential. Leadership and the adaptation of
STS theory are necessary for meaningful changes in HCFs.

757

758 Although the MoHFW developed a National Strategy for WASH in HCFs from 2019 to 759 2023 to enhance the comprehensive Quality of Care (QoC), the implementation of this policy 760 by healthcare authorities is infrequent [41]. WASH FIT is a risk management tool for HCFs 761 at various levels, and it offers a framework to devise, track, and implement continuous 762 infrastructure improvements and prioritize WASH actions [5]. While many governments in 763 LMIC have adopted the WASH FIT tool for assessing WASH in HCFs [77, 78], there is 764 limited evidence of its utilization in Bangladeshi HCFs, except for those in Rohingva camps 765 in Cox's Bazar [79]. Healthcare professionals in Bangladesh should prioritize learning about 766 this tool and integrating it into their daily practice to assess and quantify the gaps in achieving 767 safely managed sanitation services. Government leadership is crucial for ensuring long-term 768 success through regular financing, technical support, and mentorship. This government 769 support encourages partners to use a unified approach to training, assessment, technical 770 design, behavior change, and data sharing [5].

771

Our study revealed that most hospitals in Dhaka faced limited human resources to manage
sanitation services. Additionally, large hospitals in the country exhibit an excessively high
patient-to-doctor and patient-to-nurse ratio [4, 80]. Moreover, in LMICs like Bangladesh, the

775 management of WASH and environmental cleaning within HCFs is typically overseen by a 776 WASH committee comprised of existing hospital staff, including administrators (e.g., 777 directors), healthcare professionals (e.g., doctors and nurses), and support staff (e.g., cleaners, ward attendants) [81]. A recent study conducted across 14 LMICs hospitals found that only 778 779 39% of hospitals had an active WASH committee, and they rarely manage time to meet to 780 discuss on WASH related issues in hospital. Given these challenges, expecting the hospital 781 WASH committee to effectively manage HWW may be unrealistic. Strengthening policies on 782 liquid waste management at healthcare facilities is crucial, but equally important is the 783 establishment of a dedicated sanitation workforce and their training on the health and 784 environmental impacts of untreated wastewater discharge, both within hospitals and at the 785 community level [15].

786

The management of HWW differs significantly between government and private hospitals. 787 788 Private hospitals tend to maintain their infrastructure better, largely because they can make 789 quick decisions and implement solutions without the delays that typically accompany 790 government procurement processes. In private hospitals, decision-making is streamlined, 791 allowing hospital directors, in consultation with on-site engineers, to quickly allocate funds 792 and approve procurement. Simpler procurement policies and the presence of engineers on-site 793 enable faster responses to emergencies and more effective maintenance of wastewater 794 systems. However, government hospitals operate within a more bureaucratic framework 795 overseen by the MoHFW and the PWD. While each government hospital has an assigned 796 PWD engineer, these engineers may not always be on-site, instead working from local or 797 regional offices, which leads to slower communication and response times. The process for 798 repairs and maintenance often involves multiple steps, from submitting a request to obtaining 799 approval and resources from the PWD's head office. Additionally, the procurement of

materials and the hiring of workers must follow strict public procurement procedures, causing
further delays. To improve wastewater management in government hospitals, reforms are
needed to streamline decision-making processes, decentralize certain powers, and revise
procurement policies to allow quicker responses to maintenance and repair needs [5, 15].

805 Although our study has many strengths, incorporating robust methodology and collecting 806 information from diverse groups of people, as well as observational data under the framework 807 of the STS theory, it also has some limitations. First, we did not include interviews with 808 stakeholders from other relevant ministries, such as the Ministry of Environment (MoE). 809 Insights from the MoE could have provided a broader perspective on regulatory compliance 810 and the environmental impact of HWW discharge. Second, we did not examine the details of 811 financing mechanisms behind managing sanitation systems in hospitals. Understanding how 812 budgets are allocated for sanitation management in both government and private hospitals 813 would have given us a clearer picture of resource distribution and funding-related delays in 814 maintenance and operations. Lastly, our interviews focused on hospital cleaners responsible 815 for maintaining the interior of the hospitals. While these staff members play a crucial role in 816 ensuring hospital hygiene, we did not engage with sanitation workers from the PWD or local 817 community vendors who are directly involved in repairing and desludging septic tanks or 818 ABRs [82]. This gap in our interviews might have led us to overlook critical insights into the 819 operational challenges and logistical issues involved in managing external sanitation 820 facilities. Future studies should aim to address these limitations by including a broader range 821 of stakeholders, examining financing mechanisms in more detail, and engaging with those 822 responsible for the external management of hospital sanitation systems.

823 Conclusion and recommendations

824 In conclusion, our study underscores the critical challenges of wastewater and liquid waste 825 management in hospitals in Dhaka city, Bangladesh, and potentially in similar contexts across 826 LMICs. We observed a lack of adequate wastewater treatment facilities in most hospitals, 827 with the available systems inappropriate for this type of wastewater, and many existing 828 systems in disrepair, leading to hazardous liquid waste being discharged directly into the 829 environment. This situation may be exacerbated by use of primary treatment technologies 830 only, inadequate knowledge and practice of wastewater management, and inappropriate 831 infrastructure and maintenance. 832 833 Management of wastewater in hospitals encompasses interconnected social and technical 834 components. In this paper the STS approach served to emphasize the importance of the social 835 and behavioural aspects within these critical infrastructure systems, including deficit in 836 prioritisation, knowledge and attitudes to attending to this aspect of hospital management. 837 This STS approach is particularly valuable for planning potential strategies to improve the

838 current failure of wastewater technologies in this context.

839

Current HWW treatment technologies, such as septic tanks and ABRs, are insufficient for managing complex healthcare wastewater, necessitating advanced treatment solutions and improved staff training. Effective wastewater management requires collaboration between healthcare authorities, PWDs, hospital staff and city authority, along with future infrastructure designs that include segregated piped networks and on-site treatment facilities. To address these challenges, government leadership is crucial, with support required for financing, technical assistance, and capacity building. Addressing these issues

- 847 comprehensively will lead to safer and more sustainable wastewater management practices in
- 848 HCFs, ultimately benefiting both public health and the environment.

849 Supporting information

- 850 S1 File. S1 Table and S1 Fig.
- 851 (DOCX)

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1135 Supporting information captions

- 1136
- 1137 Table S1: List of documents reviewed
- 1138 Table S1: Summary of KII based on the STS components
- 1139
- 1140 Fig S1: Mapping drainage network in Hospitals in Dhaka city
- 1141