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Title: Influence of temperature on the residual shear strength of landslide soil: role of the clay fraction

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1 Influence of temperature on the residual
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17 **Abstract**

18 The shear strength is a fundamental parameter of soil that con-
19 trols the occurrence and propagation of landslides. In pure clays, it
20 depends on temperature according to the mineralogy, stress history,
21 and hydro-mechanical boundary conditions. Landslide soils, however,
22 are typically very heterogeneous and have a variable content of fines.
23 The sensitivity of the residual shear strength of low-plasticity soil to
24 temperature, in particular, is poorly understood, leaving significant
25 uncertainties on the potential role of thermal forcing in landslides.
26 We conducted ring-shear experiments on remoulded low-plasticity soil
27 samples from the Melamchi catchment in central Nepal, where a large
28 disaster occurred in 2021, with fifteen simultaneous landslides along the
29 river corridor and a destructive flood. We performed the experiments
30 in water-saturated conditions under representative normal stress val-
31 ues (50-100-150 kPa) and a constant rate of shearing (0.1 mm/min).

32 During each test, we controlled the temperature and performed a
33 heating-cooling cycle (20-50-20°C) with the shearing ongoing. To eval-
34 uate the role of the clay fraction (grain size <0.002 mm), we obtained
35 specimens from the same soil samples by retaining the finest por-
36 tion under three different cutoff grain sizes (0.125, 0.063, and 0.020
37 mm). The collected data were analysed statistically, using variance and
38 skewness to evaluate the goodness of interpretation. A t-test was also
39 implemented to exclude data close to the experimental uncertainty, delin-
40 eating the experiment's significance at a 68% confidence interval (1σ).
41 Our results revealed a decreased residual shear strength upon heating
42 (thermal weakening), with the magnitude of this weakening correlating
43 with the specimen's clay fraction and normal stress. Notably, a response
44 to heating only emerged in specimens with a clay fraction of at least
45 10% and higher clay fraction and normal stress were conducive to greater
46 weakening. Yet, the observed effect was relatively small, corresponding
47 to a decrease in friction angle of just $\sim 1^\circ$. This suggests a minor role of
48 temperature in the response of sheared low-plasticity soil; however, more
49 experiments are needed, covering the wide range of mineral compositions
50 of clayey soils, to understand the role of temperature in the shearing
51 process and formulate robust empirical laws to quantify thermal effects.

52 **Keywords:** Residual shear strength, thermo-mechanical coupling,
53 temperature, slope stability, landslide, clay

54 1 Introduction

55 The shear strength of soil plays a key role in many aspects of civil and struc-
56 tural analysis. It is defined as the capacity of soil to resist deformation under
57 applied shear forces [1–3], and is assessed, contextually, in terms of the peak,
58 critical, and residual shear strengths of specimens in the laboratory [4, 5]. In
59 landslide research, the peak and fully softened strength, also known as the
60 critical strength, are considered to govern the instability of a newly developed
61 slope failure [6]. In contrast, the residual shear strength is generally under-
62 stood as the resistance to be mobilised to reactivate a previously displaced
63 failure plane, such as a bedding shear or fault zone [3, 4, 7, 8]. Specifically, the
64 drained residual shear strength is crucial in evaluating the long-term stability
65 of slopes [7, 9].

66 The experimentally measured soil strength is influenced by several factors,
67 which are either related to the material (mineral composition, grain shape
68 and size, pore fluid chemistry) or the test design (normal stress, shear rate,
69 laboratory temperature) [10–14]. Coupling among these factors is not straight-
70 forward, yet a major role of mineralogy has emerged in past research [15–17].
71 For instance, Tiwari [15] proposed a triangular model to estimate the residual
72 shear strength according to the proportion of three mineral groups (smec-
73 tite, kaolinite, and quartz/feldspar). Dependencies were also established with

74 respect to index properties [3, 7, 18, 19] and grain shape [7, 19]. Finally, the
75 shear strength also depends on ion concentrations in the pore fluid [17, 20, 21].
76 Regarding the test design, the normal stress has a strong relationship with the
77 shear strength, while the role of the rate of shearing is still a matter of research
78 [10, 19, 22–24]. In general, attempts to correlate the residual shear strength
79 to the rate of shearing pointed out that "rate effects" tend to become significant
80 above a threshold rate in the range of 0.1–1.0 mm/min in usual testing
81 equipment [22, 25], corresponding to a strain rate in the order of 0.01 s^{-1} .

82 Research on thermal effects on the mechanical strength of soil was initiated
83 in the 1960's, yet the dependencies are, to date, unclear. Mitchell [26]
84 suggested no substantial difference in soil strength for temperatures ranging
85 between 10 and 60 °C, with subsequent experiments confirming this observation
86 [27–29]. However, the growing interest in clay barriers for radioactive waste
87 disposal [30] and the complex interactions between soil and energy piles [31]
88 prompted improvements in experimental devices and designs in recent decades
89 [32]. Studies thus demonstrated a variety of behaviours according to temperature
90 and stress-thermal histories, such as enhanced consolidation [33, 34] and
91 hydraulic conductivity associated with a reduced pore water viscosity at elevated
92 temperature [35]. Loss of pore fluid due to heating may cause a collapse
93 of high-porosity clay structures, resulting in macroscopic settlements. Various
94 researchers pointed out the major role of the volumetric response of soils upon
95 heating or cooling compared to their shear response, resulting in a somewhat
96 reduced research interest towards the latter [16, 36–43].

97 Recently, however, an interest towards achieving a comprehensive understanding
98 of the role of climatic forcing and climate change in the stability of slopes and
99 landslide dynamics [11, 24] justified experimental campaigns whereby direct or
100 ring-shear experiments were conducted, aimed at evaluating the thermal sensitivity
101 of the residual shear strength, particularly in soils containing clay minerals [13,
102 14, 44]. Evaluations centred on clays, as landslide shear zones often contain
103 clay minerals also owing to mechanical breakage and weathering processes. More
104 in general, the clay fraction has a strong control on the stability of soil masses,
105 as clays are the most sensitive soil components to changes in boundary conditions,
106 producing macroscopic volumetric and strength changes as well as long-term
107 time-dependent responses [45–47]. Case studies [44, 48] showed that a cooling-
108 induced weakening could explain a number of landslide remobilisations unrelated
109 to precipitation or snowmelt in smectite-rich soils. Similar experiments pointed
110 out the high thermal sensitivity of high-plasticity clays [13, 14]. However, quantifying
111 this sensitivity is not straightforward as smectite strengthens upon heating under
112 very slow shearing, whereas it weakens under faster shearing [13, 14, 48]. Overall,
113 clay soils exhibit either thermal strengthening or weakening according to their
114 mineral composition and rate of shearing [14]. Yet, the conclusion is limited
115 because of the difficulty in evaluating changes in pore water pressure in the
116 shear zone during fast shearing [12].
117

118 In contrast, research falls short in showing the thermal sensitivity of
119 low-plasticity soils, abundant in mountain environments that are especially
120 susceptible to global warming [49]. Recent experiments demonstrate that as
121 sand is added to clay soil, thereby reducing its clay fraction and plasticity, its
122 thermal sensitivity decreases and vanishes; however, some other experiments
123 show that sand-clay mixtures or natural clay soils may exhibit higher thermal
124 sensitivities than pure smectites [13, 44, 48].

125 Here, we will discuss the results of temperature-controlled ring-shear exper-
126 iments conducted on specimens of natural soil under a low rate of shearing. In
127 particular, we will focus on low-plasticity soils from a recent landslide-related
128 disaster in Nepal to contribute to the evaluation of possible thermo-mechanical
129 conditioning on slope stability, an understudied matter that is becoming
130 increasingly relevant in the context of global warming [11, 50]. In our study, we
131 aim to better understand the role of the clay fraction in controlling the ther-
132 mal sensitivity of the residual shear strength and identify a possible threshold
133 (% clay fraction) below which thermal effects could be ruled out. Also, we pro-
134 pose that the observed thermal sensitivity could be linearly correlated with
135 the clay fraction, as this may simplify the upscaling of laboratory insights to
136 a coarser spatial coverage.

137 2 Materials and methods

138 The methodological workflow within this research comprises the preparation
139 of specimens for the ring-shear tests to showcase a spectrum of responses
140 relating to the materials' clay fraction. Each specimen is sheared in a modified
141 ring-shear device that can allow for controlled temperature variations during
142 shearing. All the experiments are conducted with a consistent test design to
143 ensure data consistency. Finally, a statistical approach for data analysis is
144 implemented so as to quantify the observed effect with a certainty factor. Each
145 aspect is explained below under separate headings.

146 2.1 Sample properties and preparation

147 The materials were sampled in the Melamchi catchment in Nepal, which experi-
148 enced a large catchment-scale disaster in 2021 [51–53]. The trigger of the
149 disaster was an outbreak of a small glacial lake accompanied by precipitation
150 and entrained valley walls, resulting in an enormous debris flood downstream.
151 This trigger may be correlated with the warming of the region as studies show
152 the area may become up to 4.9°C warmer by 2100 [54] in a worst-case sce-
153 nario (RCP 8.5). The post-disaster survey included sampling at 70 locations,
154 including landslide scarps, old landslide deposits, and areas affected by land-
155 slide runout. At each location, the sample was collected to a depth of 1 m
156 using a manual auger to penetrate the topsoil layer.

157 We selected four samples for our investigation, which were transported to
158 the soil mechanics laboratory in Czechia in sealed containers in the frame
159 of a collaboration between our institutions and between our national groups

160 of the IAEG. These four samples (Figure 1), chosen based on mineralogical
 161 differences, were further processed to produce 12 specimens with distinct grain
 162 size distributions, as shown in Figure 2. The preparation of three specimens
 163 out of each of the four soil samples was achieved via wet-sieving using ISO3310-
 164 2 [55]-compliant sieves retaining grain sizes of <20 , <62 , and <125 μm . We
 165 did not use dry sieving owing to the difficulty of separating the finest fraction
 166 in the absence of water. Further, for each grain size cutoff, the percentage
 167 clay fraction was calculated by the ratio of clay available within the sample to
 168 the respective amount of coarse fragments retained by the three sieves. The
 169 total available clay was calculated from the grain size chart (obtained with
 170 hydrometer analysis) corresponding to the grain diameter of 0.2 μm . Details
 171 of each specimen are shown in Figure 2.



Fig. 1 The four soil samples tested in this work after pre-sieving through the $425\mu\text{m}$ sieve.

Sample	Natural moisture content (w%)	Bulk density (gm/cc)	Dry density (gm/cc)	Cohesion (kPa)	Friction angle ($^{\circ}$)	Liquid limit (%)
A68	8.9	1.3	1.2	11.6	27.4	36
A71	9.0	1.6	1.5	6.9	29.2	23
A69	7.5	1.3	1.2	13.6	30.1	35
A31	32.0	1.5	1.1	14.0	32.0	23

Table 1 Properties of the four soil samples used in this study evaluated in the experimental campaign conducted in Nepal [56].

172 X-ray Powder Diffraction (XRD) analysis [57] was carried out to obtain the
 173 semi-quantitative mineralogical composition of the samples, as shown in Table
 174 2. The experiments were performed using an X-ray diffractometer (X'Pert
 175 Pro), and the data were analysed using the X'Pert HighscoreTM data analysis
 176 software version 1.0d (PANalytical, Almelo, The Netherlands). The machine
 177 setting was as follows: anode target of copper, acceleration voltage of 40 kV
 178 generating 30 mA of electric current. Continuous scanning was done from 3
 179 to $60^{\circ}2\theta$ with a step size of $0.05^{\circ}2\theta$ scanning for 200 s on each time step.
 180 Table 2 shows the mineralogical composition of the tested samples. The experi-
 181 ments showed that most samples contained quartz, plagioclase, and muscovite.

182 Notably, discerning the various clay minerals proved to be challenging owing to
183 their small proportion.

Sample	A69	A71	A31	A68
Quartz	59	36	64	57
Plagioclase	16	11	15	19
Chlorite	4		3	4
Gibbsite			6	5
Microcline		3	5	6
Muscovite	4	20	3	4
Kaolinite	3	5	3	4
Silimanite		25		
Ankerite				1
Orthoclase	10			
Amphibole	4			

Table 2 Semi-quantitative(%) mineralogical composition of the tested soil samples according to X-ray powder diffraction analysis.

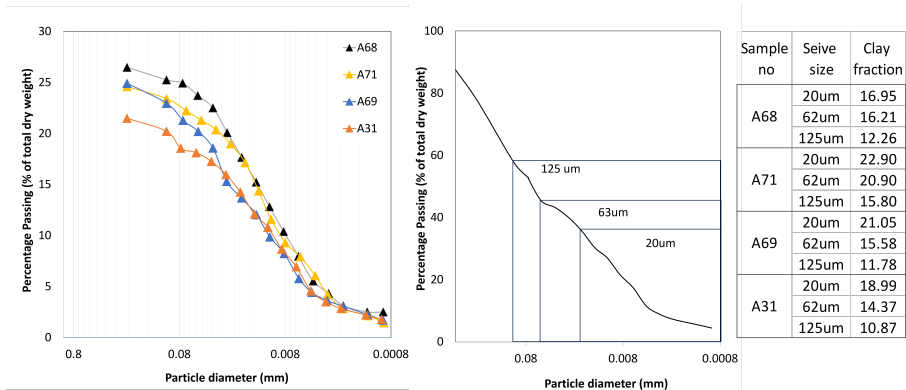


Fig. 2 Left to right: grain size curves (in log-scale) of the samples tested, schematic representation of the extraction of specimens with various clay fractions from the four samples

184 2.2 Ring-shear setup with temperature control

185 A commercial Bromhead-type ring-shear device was utilised for the exper-
186 iments [2]. Specifically, a Torshear EmS [58], produced under Wykeham
187 Farrance and manufactured by Controls, was modified to enable temperature
188 control (Figure 3), following Loche and Scaringi [14]. The ring-shear apparatus
189 complies with the ASTM 6467 standard [59].

190 The device has a rotating base plate lined with a roughened porous platen.
191 The box accommodating the material has an annular shape with a thick-
192 ness of 5 mm and a width of 30 mm (100 mm outer diameter, 70 mm inner
193 diameter), thus featuring an area of 40 cm². Normal and shear stresses are
194 transferred through a rotating top cap lined with a roughened porous platen

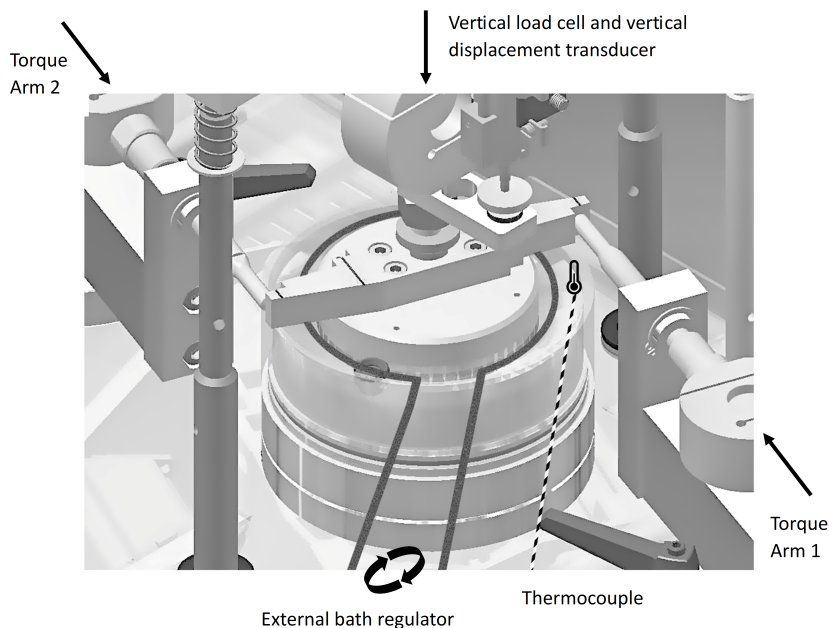


Fig. 3 Schematic of the ring-shear apparatus showing the placement of the external temperature control circuit and thermocouple.

195 to enhance drainage and avoid interface shearing. Two orthogonal torque arms
 196 are connected to stiff load cells to measure the shear resistance arising from the
 197 soil rotation. The shear strength was calculated using a standard procedure
 198 according to the ASTM D6467-13 [59].

199 Temperature control is achieved through an external thermostatic bath
 200 that provides a water flow at a controlled temperature in a closed circuit
 201 consisting of a pipe of conductive material partly submerged in the device's
 202 water bath. Owing to heat losses in the circuit, which cannot be perfectly
 203 insulated, the temperature associated with the testing is measured within the
 204 water bath. We know that a delay exists between changes in temperature in
 205 the bath and within the soil, mainly associated with the time for heat transfer
 206 through the porous platens (made of brass, an excellent heat conductor).

207 A pump ensures water flow in the circuit, and sufficient thermal inertia is
 208 guaranteed by a 3-litre thermostatic bath equipped with an electric heater. Due
 209 to inevitable losses in the system, in order to maintain a constant temperature
 210 of 50°C in the ring-shear's water bath, a higher temperature is set in the
 211 thermostatic bath. Pre-heated distilled water is manually added in both baths
 212 as needed to keep the water levels constant without causing disturbances in
 213 the experiment.

2.3 Experimental design and data analysis

To enable comparisons, the 12 specimens with different clay fractions (Figure 2) were tested in identical conditions. In particular, three normal stresses (50, 100 and 150 kPa) were used to evaluate the specimens' Mohr-Coulomb failure criterion. A total of 36 experiments were conducted, as summarised in Table 3. The shearing rate was set to 0.1 mm/min, which was deemed sufficiently low for significant shear-rate effects to arise, owing to the soils' low plasticity (<23%) [60], and sufficiently fast to ensure sufficient shear displacements during the heating-cooling phases, which had to be performed under human supervision.

Before the shearing phase, the specimens were consolidated in the ring-shear device under a normal stress of 600 kPa. Step-wise loading was performed, and the consolidation curves were monitored to ensure the dissipation of the pore water pressure excess prior to further loading. Step-wise unloading was then performed to the desired normal stress. The specimens were overconsolidated to enhance their structural anisotropy, to favour a preferential alignment of the clay particles in the direction orthogonal to the applied stress (and parallel to the shearing direction), thus facilitating the subsequent shearing phase. Overconsolidation also enhanced the specimens' normal stiffness, reducing secondary volume changes in the soil portion not directly affected by the shearing.

The specimens were sheared until the residual shear strength was attained, that is, until a steady value of shear resistance was recorded after large shear displacements. The specimens were sheared further in the residual condition for at least 10 mm (~2 h) at room temperature (20°C); then, the temperature of the water bath was progressively increased to 50°C using the external thermostatic bath. To aid the interpretation of the experiments, we identified five stages (Figure 4), described as follows. The residual shear strength of the soil at 20°C was observed in stage 1. In stage 2, after some further shearing, the soil undergoes rather rapid heating, leading to a transient response that cannot be assessed owing to the absence of pore water pressure measurement. The duration of this transient response should be closely related to the hydraulic conductivity of the soil, but is also influenced by the stiffness of the soil portion beneath the shear zone, which remains overconsolidated. Difficulties in assessing this transient response have been pointed out in the literature [14, 44]. During the heating phase (Figure 4, stage 2 and 3), the temperature of the water bath is increased and then kept constant until a new steady value of the shear resistance is observed over a distance of at least 10 mm (stage 3). By the end of this stage, we assume that the soil has reached a new equilibrium with the boundary conditions and, in particular, that pore water pressure excess has dissipated, primary consolidation is completed, and thermally-induced volume changes have occurred. In stage 4, the experimental setup is set to cool down naturally to room temperature (20±1°C). After the weakening observed in stage 3, complete strength recovery is usually observed in stage 4. This recovery occurs over a longer period of time, but it seems synchronous with

258 respect to the cooling. In stage 5, we continue shearing at room temperature
 259 to confirm the reversibility of the effects of the heating-cooling cycle.

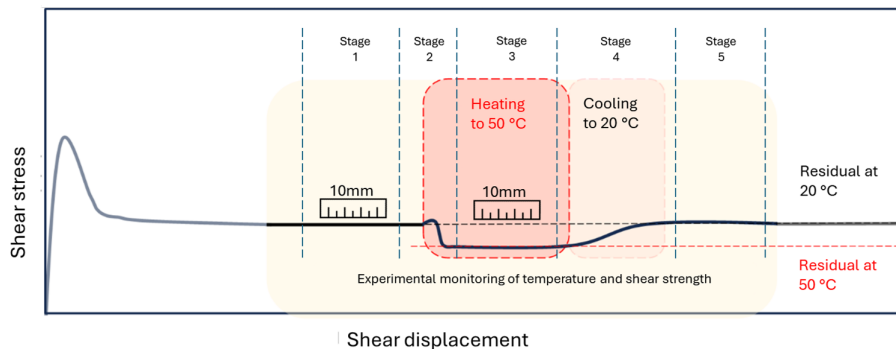


Fig. 4 Typical output of a ring-shear experiment featuring a heating-cooling cycle, in terms of shear stress vs. shear displacement. A description of the stages (1-5) is provided in the text. The 10-mm bars show the intervals of data extraction from the experiment for the statistical test.

2.4 Statistical analysis

260

261 Considering the uncertainties arising from the characteristics of the experi-
 262 mental device, the sensors for data acquisition, and the variability of the shear
 263 response itself, we opted for a statistical framework to analyse a large num-
 264 ber of experimental data and evaluate less subjective values of shear strength.
 265 An equal number of data points (300 each) of measured shear resistance from
 266 stages 1 and 3 (Figure 4) were extracted to observe the data quality by plot-
 267 ting the variance and skewness of the data. Individual test results (expressed
 268 as shear resistance divided by the normal stress) and their probability density
 269 function (PDF) are shown in Figures 5 and 6. The mean of the 300 points
 270 each at stages 1 and 3 are considered the residual friction coefficient at 20°C
 271 and 50°C, respectively. The values are also presented in Table 3. Overall, the
 272 data variance was more pronounced in the experiments conducted under lower
 273 normal stress on specimens with lower clay fraction, whereas the experiments
 274 conducted under the highest normal stress and with the largest amount of fines
 275 showed the tallest and most distinctly separated distributions.

276 To provide a further way to discuss the thermal effect quantitatively, we
 277 verified whether the temperature change produced a variation in the residual
 278 shear strength of >1 kPa, labelling as "no effect" any observed variation below
 279 this threshold. We chose this value as a threshold for significance as it lies
 280 close to the experimental device's error range. We used Welch's t-test, where
 281 we took, as the null hypothesis, the statement that the true difference between
 282 the residual friction coefficient evaluated at 50°C and that evaluated at 20°C is
 283 <1 kPa. The sampling size was the same as that used for the calculation of the
 284 residual shear strength (i.e. 300 points for each set), and we opted for a 68%

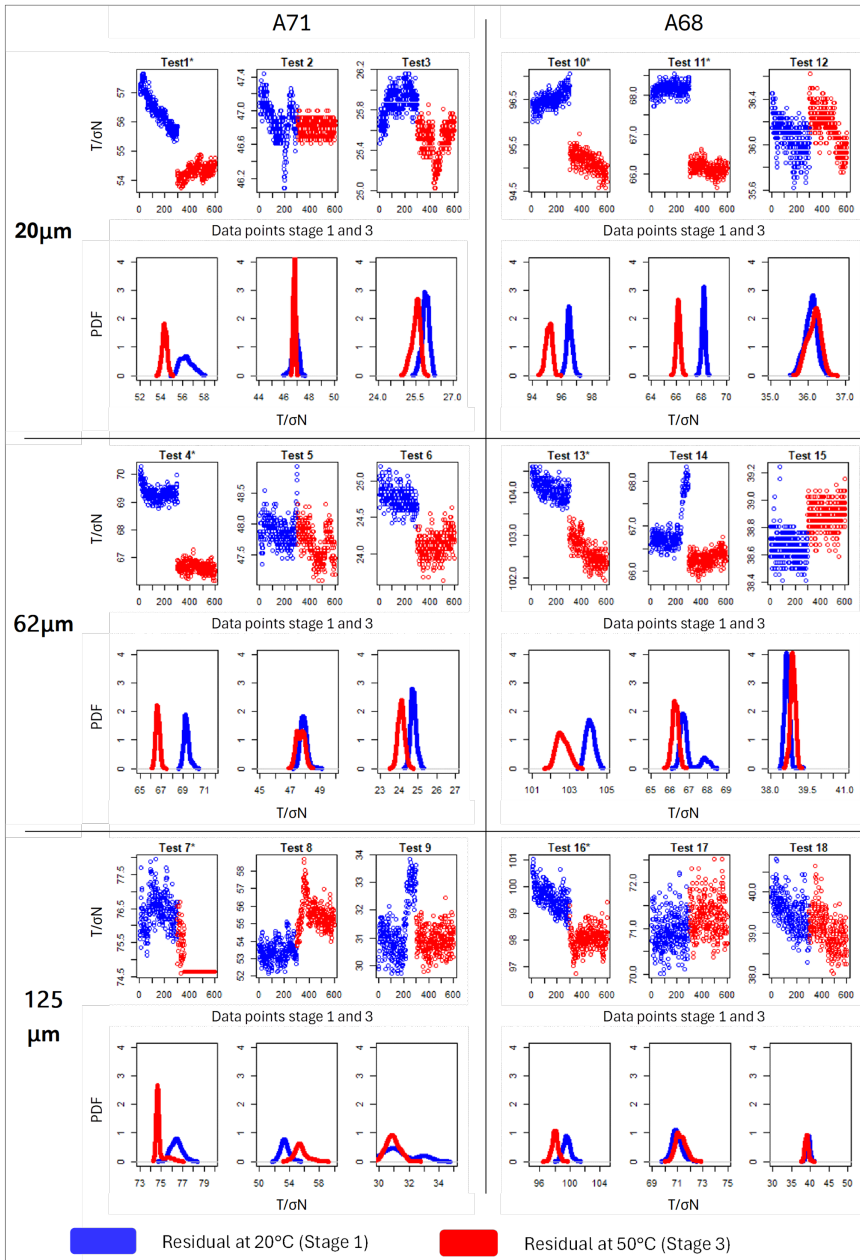


Fig. 5 Strength measurement extracted from stages 1 and 3 complemented by a probability density function (PDF) of the data scatter. The plots refer to the tests performed on soil samples A71 (left) and A68 (right). The symbol (*) indicates statistically significant tests.

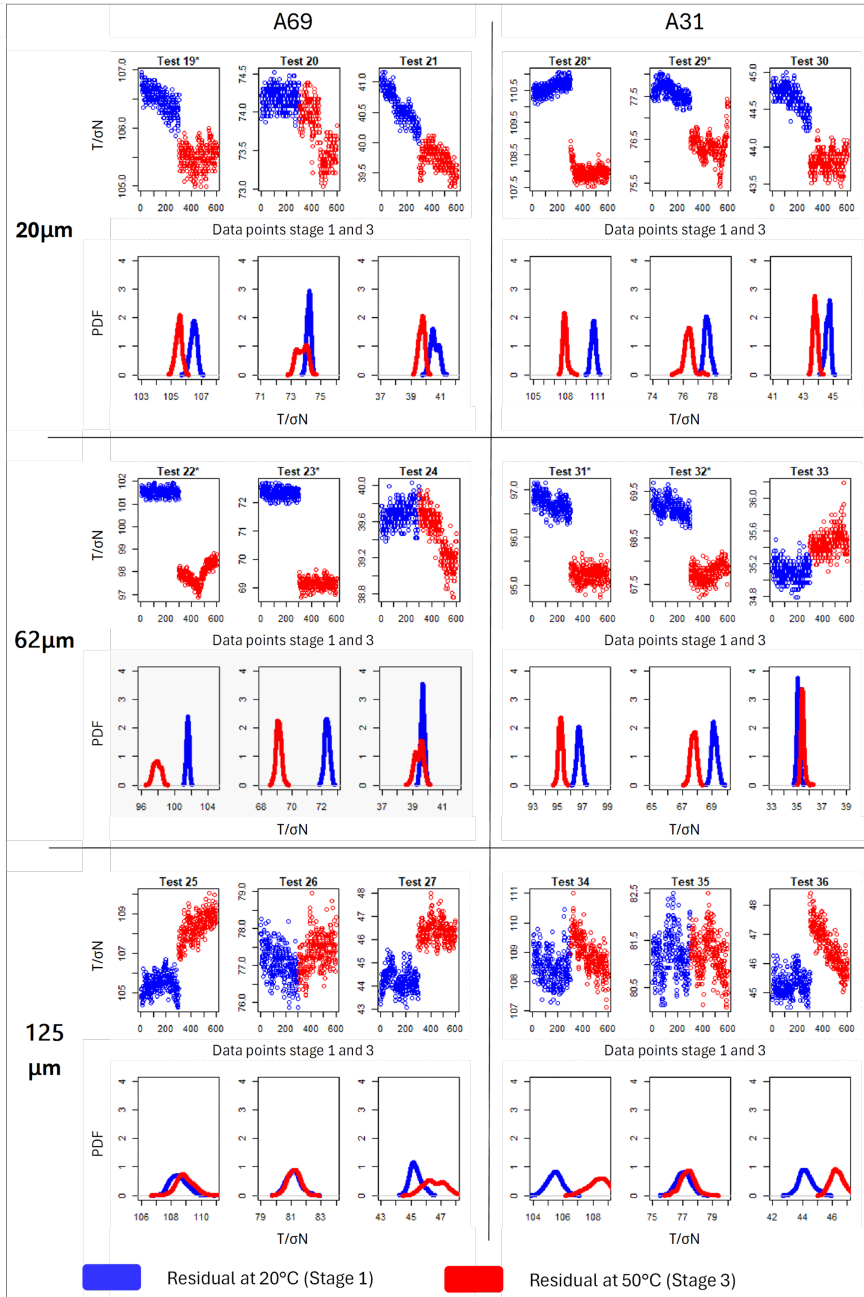


Fig. 6 Strength measurement extracted from stages 1 and 3 complemented by a probability density function (PDF) of the data scatter. The plots refer to the tests performed on soil samples A69 (left) and A31 (right). The symbol (*) indicates statistically significant tests.

285 confidence interval (± 1 standard deviation) The t-test results are reported in
286 Table 3.

287 **3 Results and Discussion**

Table 3 Summary of results of the temperature-controlled ring-shear tests.

Sample	Grain size cutoff (μm)	Test no.	Normal stress (σ , kPa)	Residual shear strength at 20°C (τ_{r0} , kPa)	Residual shear strength at 50°C (τ_{r1} , kPa)	Residual friction coefficient at 20°C (τ_{r0} / σ)	Residual friction coefficient at 50°C (τ_{r1} / σ)	Variation in residual friction coefficient ($\Delta\tau_r / \sigma$)	Variation in residual friction coefficient per 1°C ($\Delta\tau / \Delta T * 100$)	t-test for a 68% confidence interval (significance: $p > 0.32$)	Thermal effect
A71	20	1	150	56.37	54.31	0.376	0.362	-0.014	-0.046	Yes	Weakening
		2	100	46.89	46.81	0.469	0.468	-0.001	-0.003	No	No effect
		3	50	25.88	25.49	0.518	0.510	-0.008	-0.026	No	No effect
	63	4	150	69.32	66.65	0.462	0.444	-0.018	-0.059	Yes	Weakening
		5	100	47.89	47.68	0.479	0.477	-0.002	-0.007	No	No effect
		6	50	24.76	24.11	0.495	0.482	-0.013	-0.043	No	No effect
	125	7	150	76.43	74.83	0.510	0.499	-0.011	-0.036	Yes	Weakening
		8	100	53.40	55.52	0.534	0.555	0.021	0.071	No	No effect
		9	50	31.40	30.91	0.628	0.618	-0.010	-0.033	No	No effect
A68	20	10	150	96.50	95.13	0.643	0.634	-0.009	-0.030	Yes	Weakening
		11	100	68.17	66.15	0.682	0.662	-0.020	-0.067	Yes	Weakening
		12	50	36.06	36.15	0.721	0.723	0.002	0.006	No	No effect
	63	13	150	104.10	102.62	0.694	0.684	-0.010	-0.033	Yes	Weakening
		14	100	66.93	66.28	0.669	0.663	-0.006	-0.022	No	No effect
		15	50	38.82	38.90	0.776	0.778	0.001	0.005	No	No effect
	125	16	150	99.59	98.04	0.664	0.654	-0.010	-0.035	Yes	Weakening
		17	100	70.94	71.26	0.709	0.713	0.003	0.011	No	No effect
		18	50	39.50	39.06	0.790	0.781	-0.009	-0.029	No	No effect
A69	20	19	150	106.49	105.50	0.710	0.703	-0.007	-0.022	Yes	Weakening
		20	100	74.19	73.73	0.742	0.737	-0.005	-0.015	No	No effect
		21	50	40.59	39.72	0.812	0.794	-0.017	-0.057	No	No effect
	63	22	150	101.55	97.90	0.677	0.653	-0.024	-0.081	Yes	No effect
		23	100	72.33	69.13	0.723	0.691	-0.032	-0.107	Yes	Weakening
		24	50	39.68	39.44	0.794	0.789	-0.005	-0.016	No	No effect
	125	25	150	108.48	108.93	0.723	0.726	0.003	0.010	No	No effect
		26	100	81.15	81.19	0.812	0.812	0.000	0.001	No	No effect
		27	50	45.30	46.58	0.906	0.932	0.026	0.085	No	No effect
A31	20	28	150	110.61	107.96	0.737	0.720	-0.018	-0.059	Yes	Weakening
		29	100	77.60	76.35	0.776	0.763	-0.013	-0.042	Yes	Weakening
		30	50	44.65	43.81	0.893	0.876	-0.017	-0.057	No	No effect
	63	31	150	96.71	95.21	0.645	0.635	-0.010	-0.033	Yes	Weakening
		32	100	69.15	67.75	0.691	0.678	-0.014	-0.046	Yes	Weakening
		33	50	35.11	35.46	0.702	0.709	0.007	0.023	No	No effect
	125	34	150	105.44	108.37	0.703	0.722	0.020	0.065	No	No effect
		35	100	77.07	77.34	0.771	0.773	0.003	0.009	No	No effect
		36	50	44.25	46.34	0.885	0.927	0.042	0.140	No	No effect

3.1 Temperature-induced variations in soil strength

Thirty-six temperature-controlled ring shear experiments were conducted, for which the measured residual shear strength values at 20°C and 50 °C are shown in Table 3. A summary of the tests in terms of the friction coefficient as a function of the shearing distance during the heating-cooling cycle is presented in Figure 7.

The dominant behaviour is that of a slight weakening upon heating, usually followed by full recovery after cool-down. However, a transient response is also observed (stage 2) in almost all the experiments, characterised by a spike of shear strengthening, likely due to the rapid heating. The spike is followed by a progressive decline to a steady value of shear strength, usually lower than what was measured at 20°C. The spike could be explained by the generation of a negative pore water pressure caused by thermal expansion. The phenomenon, however, is not of immediate understanding as the disparity between the much higher volumetric thermal expansion coefficient of water compared to that of the soil minerals ($2-5 \cdot 10^{-4}$ vs. $1-3 \cdot 10^{-5}$) should result in a positive pore water pressure excess. Experiences from the literature [61] highlight the important role of overconsolidation, whereby highly overconsolidated clays exhibit an expansive and recoverable response while normally consolidated clays exhibit a contractive and partially non-recoverable response. These are consistent with generating negative and positive pore water pressure excess, respectively. Thus, the magnitude of the spike should scale with the overconsolidation ratio and be largest at the lowest normal stress. Also, it should scale with the clay fraction and be the largest for the smallest cutoff grain size. However, this cannot be observed clearly in our experiments as the clay fraction tested is not substantially farther amongst tested specimens.

In addition, the observed transient response could also derive from a partially undrained condition resulting from rapid heating. In undrained conditions, however, the literature shows shear weakening upon heating in normally consolidated clays [61]. Our sheared specimens are heterogeneous owing to overconsolidation, featuring a normally consolidated shear zone on an underlying overconsolidated non-sheared layer. The shear and volumetric responses of the specimens may be attributable to the characteristics of these two layers. However, evaluating the thickness of the shear zone in a Bromhead-type apparatus is challenging as the overall thickness of the specimen at the end of the experiment is 3-4 mm at most, and a clear boundary between sheared and non-sheared materials cannot be discerned. Further, in explaining the observed spike, it should also be noted that heating does not occur homogeneously in the shear box. As the temperature in the water bath increases, heat transfer should proceed rapidly in the steel base and the brass porous platens, directed radially inwards. The soil should thus begin heating from the outer, top and bottom boundaries, with the shear zone (located at the top of the specimen) possibly heating faster, on average, than the underlying non-sheared layer. To sum up, the transient process could be further clarified through a heat transfer model coupled with a thermo-hydro-mechanical model. However, this goes



Fig. 7 Synoptic view of the observed changes in friction coefficient during the heating-cooling cycle performed in all 36 experiments.

beyond the scope of this work as we are not interested in the intermediate stage but in the steady-state value of shearing resistance at 50°C, corresponding to stage 3 in our experimental design.

Concerning this value (stage 3), we observed weakening to some extent, depending on the applied normal stress and the proportion of fines. In general, we observed a more significant effect of temperature under larger normal stress values, possibly associated with the better definition of the residual shear condition (particle alignment) that features more face-to-face contacts between particles, whose shearing behaviour is more governed by physicochemical forces than by the friction at face-to-edge or edge-to-edge contacts. When the soil heats, dilation alters the distance between the clay particles, and this alteration disrupts the equilibrium of physicochemical forces, resulting in a response of larger magnitude in the specimens tested with larger amounts of fines [33]. Furthermore, water viscosity is lower at elevated temperatures, promoting hydraulic flow and viscous deformations (both volumetric and in shear). The observed weakening is generally compatible with these micro-scale processes, and advanced modelling remains necessary to explain the observed response.

3.2 Effect of the grain size

Our experiments show that the effect of temperature is larger when fine particles are more abundant. This is expected in light of the above discussion and considering that in coarse-grained materials, a steady-state effect of temperature in the tested range, if any, should be attributed solely to a change in water viscosity. However, in coarse-grained materials subject to slow shearing, the free water in the pores should not affect the shearing process because water should flow freely without significant pore water pressure excess arising or significant interactions with the grains' surfaces. In fine-grained soils (or in the presence of a significant proportion of fines), a role of the adsorbed water should emerge, whereby the thermal expansion of the diffused double layer should be conducive to lower shearing resistance owing to the larger distance between clay particles in a face-to-face arrangement.

We present the results first in terms of the τ vs. σ plot as shown in Figure 8 where, according to the Mohr-Coulomb failure criterion, the slope of the dotted line is the internal friction angle of the soil. Upon careful observation, the value of the internal friction angle remains unchanged in the column on the right part of Figure 8, which shows results for the largest grain size cutoff for each soil sample. However, when the coarser fragments are filtered with finer sieves (finest 20 μm in the leftmost column in Figure 8), the temperature effect emerges with the reduced internal friction angle when heated. The magnitude of the change in the friction angle upon heating is seen in Figure 9, where the difference in the friction coefficient is plotted against the clay fraction present within individual specimens.

An interesting observation is foreseen as within our experiments we identify a threshold at about 10% of clay fraction, below which negligible thermal

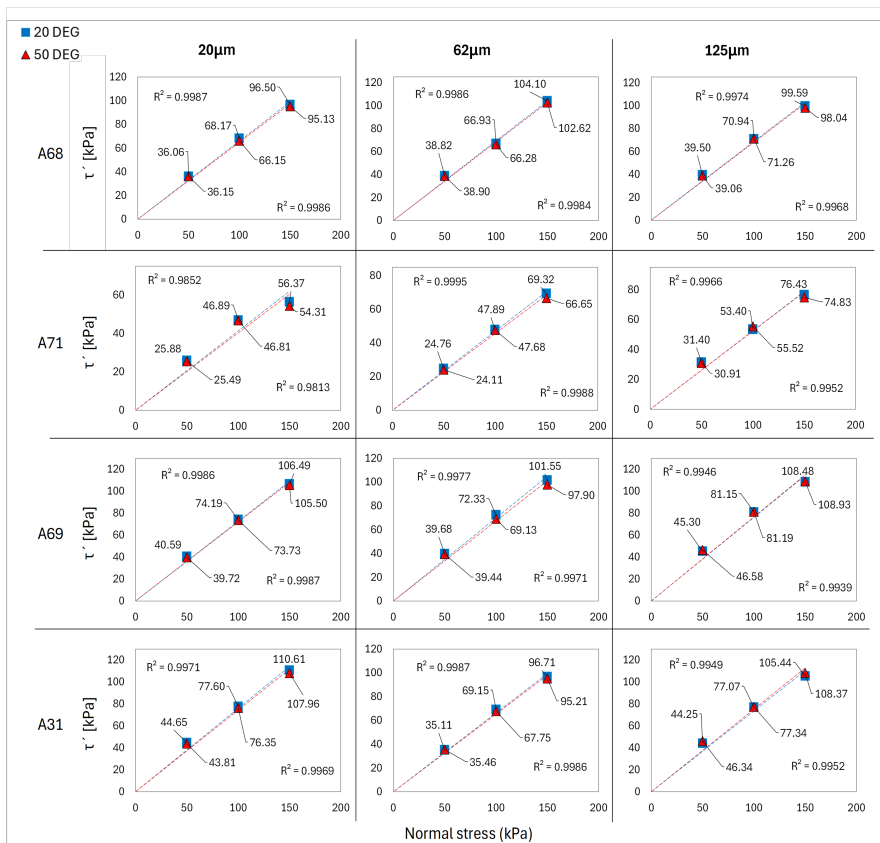


Fig. 8 Summary of the test results, expressed as residual shear strength values as a function of the normal stress. Mohr-Coulomb criteria for specimens tested at 20 $^{\circ}\text{C}$ and 50 $^{\circ}\text{C}$ are shown in the individual plots.

377 effects are observed, and above which the magnitude of the thermal effect seems
 378 to scale positively with the clay fraction (Figure 9). The identified threshold
 379 may vary according to the tested soil and, in particular, to the normal stress
 380 and the mineralogy of the clay component, as these affect the intergranular
 381 distances, coordination number, preferential orientation of grains, and the vol-
 382 umetric ratio of clay to non-clay minerals. In soils containing smectites, owing
 383 to the large water adsorption capacity of the latter and hence the high volumet-
 384 ric ratio (10% of smectite in dry weight can easily account for over 50% of the
 385 total soil volume when hydrated), we expect thermal effects to arise for even
 386 lower clay fractions, while we expect less response in soils containing bulky,
 387 less active clay minerals such as kaolinite [14]. As for the dependence of the
 388 magnitude of thermal effects on the clay fraction (above the threshold value),
 389 we note that this is consistent with the findings of Garcia et al. [13], who eval-
 390 uated thermal effects in mixtures of bentonite and sand. The authors noted
 391 a large sensitivity of the thermal response to the presence of small amounts

392 of smectite (up to 20%), with negligible further effects (i.e., small changes in
 393 the magnitude of the thermal effect) in soils with larger amounts of smectite
 394 (from 20% up to over 90%). However, important scattering was observed in
 395 the authors' interpretations, and none of the parameters considered (specific
 396 surface area, plasticity index, activity, smectite content, clay fraction) really
 397 stood out as the best proxy for predicting thermal effects. A similar situation
 398 was observed by Shibasaki et al. [44], but the smaller scattering in the authors'
 399 results allowed them to identify the smectite content as the best predictor.

400 Nonetheless, the definition of a threshold in terms of clay fraction could be
 401 useful to estimate — or exclude altogether — the effect of a changing temper-
 402 ature in the shear zone on the stability of preexisting landslide bodies. While
 403 natural soils are inherently heterogeneous, the clay fraction is commonly evalu-
 404 ated in sampled cores and can be retrieved from available maps for regional
 405 studies. Evaluations of clay mineralogy are less common but could yield more
 406 accurate estimations. Finally, the specialisation of the threshold by accounting
 407 for the effective normal stress (depth of the shear zone, pore water pressure
 408 regime) and the rate of shearing (remobilisation vs. acceleration of an ongo-
 409 ing movement) could be explored once sufficient experimental data become
 410 available.

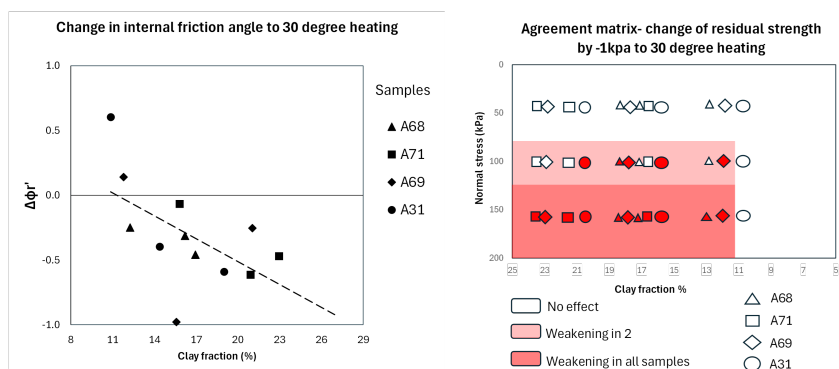


Fig. 9 Effect of temperature on the residual shear strength according to the clay fraction of the tested specimens. The left figure shows the difference in the internal friction angle to a 30°C heating. The right figure shows a matrix upon test conducted where temperature effect was prevalent, i.e. reduction of 1 kPa of residual shear strength to a 30°C heating

411 4 Conclusions and Limitations

412 In this study, we investigated the influence of temperature on the exper-
 413 imentally determined residual shear strength of natural low-plasticity soils
 414 extracted from a landslide site in Nepal. The experiments were conducted at
 415 a low rate of shearing under various normal stress values. We explored, in
 416 particular, the role of the clay fraction and identified a threshold value (10%)

below which we were unable to evaluate a statistically significant response. Above the threshold, we observed lower strengths in samples tested at 50°C compared to those tested at 20°C (room temperature). Moreover, we found an increase in the magnitude of the thermal effect with the increase in clay fraction, both across the samples and by enriching the individual samples by excluding their coarse fraction according to different cutoff sizes. We are aware that the magnitude of the observed effect is minor, and its role may not be crucial in most landslide phenomena. Nonetheless, in refined analyses and in predictions of future instabilities, incorporating the thermal dimension and thermo-mechanical couplings may lead to performance improvements. As a matter of fact, the difference between the temperature in the field and that in the laboratory may introduce a systematic error in the laboratory determinations. Similarly, seasonal temperature oscillations in the shallow underground may cause variations in the available strength and, thus, in the factor of safety of slopes, which are typically unaccounted for. Ground warming caused by climate change via various processes (including heat transfer from the atmosphere, solar irradiation, but also changes in land use and deforestation) may alter the ground temperature in the long term. Again, accounting for a temperature-shear strength dependence could be beneficial in the predictive modelling of various types of landslide phenomena at the slope scale as well as at a wider catchment scale.

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Declarations

- Funding: See acknowledgement.
- Conflict of interest/Competing interests: The authors declare that there is no conflict of interest.
- Availability of data and materials: This work was built upon freely available datasets and tools.
- Code availability: Not applicable
- Authors' contributions:

Appendix A

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