1	August 8 th , 2024,
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- 2 Dear EarthArXiv Editors:
- 3 On behalf of myself and my co-authors, I submit our original research article "The Origin of Forearc
- 4 Depressions" for consideration for publication as a non-peer reviewed preprint in EarthArXiv. The
- 5 manuscript will be submitted to Terra Nova.
- 6 Please address all correspondence regarding this manuscript to me at chuqiaoh@sfu.ca.
- 7 Thank you for your consideration.
- 8 Sincerely,
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21 The origin of forearc depressions

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26 ABSTRACT

27 Forearc depressions form over continental subduction zones with young, slowly subducting slabs and 28 thick trench fills. They are bound seaward by a coast range and landward by a volcanic arc such that 29 subsidence in forearc depressions occurs between orogens and in areas characterized by plate 30 convergence. We propose a model for forearc depression formation based on geophysical and seismic 31 data from four circum-Pacific subduction zones. Coast range crests coincide with >100 mGal gravity 32 anomalies, which are attributed to underplated material and indicate that underplating drives coast range 33 uplift. Coast range crests are situated near the down-dip termini of megathrust earthquake rupture zones, 34 showing that coast ranges overlie where subduction interface sliding behaviour transitions from frictional 35 to semi-frictional. This transition causes subduction interface shear stress to begin decreasing with depth 36 and triggers underplating as shear stress becomes insufficient to drag buoyant material deeper. Forearc 37 depressions are situated landward of inter-plate seismic phenomena, indicating they overlie the hydrated 38 forearc mantle. Forearc depressions form as counter-flexural basins over the hydrated forearc mantle; in 39 this position the upper plate crust is not supported by the flexurally rigid slab and can bend downwards. 40 Forearc depressions do not form over old slabs because old slabs do not exceed the temperature threshold 41 for semi-frictional sliding prior to intersecting the mantle wedge corner. Fast convergence rates and thin 42 trench fills promote subduction erosion along the subduction interface, thereby prohibiting the formation 43 of coast ranges, and by extension, forearc depressions.

44 INTRODUCTION

45 Forearc depressions (Fig. 1) are a type of forearc basin and form over continental subduction zones with young (typically <30 Ma), slowly subducting (<65 mm yr⁻¹) slabs and thick trench sediment 46 47 fills (>2.5 km). Forearc depressions entirely overlie the upper plate and are bound seaward by a coast 48 range and landward by a volcanic arc. Modern examples include the Seto Inland Sea of the Nankai 49 subduction zone in Japan; the Kenai Lowlands-Cook Inlet-Sheliklof Strait of the Alaskan subduction zone in USA; the Salish-Puget-Willamette Lowland of the Cascadia subduction zone in Canada and 50 51 USA; and the Central Depression of the south-central Chilean subduction zone (Fig. 2). 52 Forearc depressions are narrow (typically 75 km trench-orthogonal width) with km-scale thick 53 basin fills and are sandwiched between areas of km-scale uplift. Their formation is challenging to explain 54 as proposed hypotheses must address how forearc depressions form between orogens and in regions 55 characterized by plate convergence. Recently, Menant et al. (2019, 2020) used computer modelling to hypothesize that fluid escape from the subduction channel increases subduction interface shear stress, 56 57 which triggers underplating. Underplating drives coast range uplift, which then causes downwards 58 counter-flexure of the upper plate crust and forearc depression formation. Here, we revise the model of 59 Menant et al. (2019, 2020) using empirical data to show that changes in subduction interface rheology 60 decreases shear stress and triggers underplating, and that a young, slowly subducting slab and thick trench 61 cover are required for this process. We also show that forearc depressions are situated over the hydrated 62 forearc mantle and cannot form seaward of the mantle wedge corner. For clarity, we use 'coast range' to 63 refer to forearc highs that form through underplating and not through other mechanisms, such as overthrusting of the accretionary prism (e.g., the Sunda Subduction Zone; Mukti et al., 2021). 64

Subduction interface shear stress varies with depth and as a function of sliding behaviour. In warm
 subduction zones, which includes those with young slabs, the subduction interface can be divided into

frictional, semi-frictional, and stable sliding domains (Fig. 1) and the locations of these domains can be
inferred by their differing seismic behaviours. Shear stress peaks and begins to decrease in the transition
between the frictional and semi-frictional domains (Gao and Wang, 2017).

In the frictional domain (Fig. 1), shear stress increases with depth (Gao and Wang, 2017) because frictional strength increases with normal stress. The frictional domain defines the seismogenic zone wherein megathrust earthquakes nucleate and propagate. The up-dip limit (seaward and shallower) of the frictional domain depends on subduction interface temperature and mineralogy and can extend to the seafloor (Roesner et al., 2020; Stanislowski et al., 2022). The down-dip limit (landward and deeper) of the frictional domain corresponds to where the subduction interface exceeds 300–400 °C whereupon quartz and feldspar begin to exhibit crystalline plasticity (Hyndman et al., 1997; Oleskevich et al., 1999).

77 In the semi-frictional domain (Fig. 1), shear stress decreases with depth (Gao and Wang, 2017) 78 because ductile strength decreases with temperature. Megathrust earthquakes cannot propagate into the 79 semi-frictional domain due to its rate-strengthening nature, and viscous processes cause slow-slip events 80 (Schwartz and Rokosky, 2007). Very young slabs (<20 Ma) additionally experience metamorphic 81 dehydration reactions at shallow depths (<50 km; Condit et al., 2020); this releases slab fluids that are trapped beneath an impermeable subduction interface. Trapped fluids greatly lower shear stress (Gao and 82 83 Wang, 2017) and lowered shear stress generates episodic tremor and slip that clusters around the mantle 84 wedge corner (Shelly et al., 2006; Calvert et al., 2020).

In the stable-sliding domain (Fig. 1), shear stress is low and the subduction interface is aseismic. The up-dip limit of the stable-sliding domain is where the slab contacts the hydrated forearc mantle and free water is limited. Antigorite, the high pressure form of serpentinite (Schwartz et al., 2013), deforms by vicious processes at inter-seismic strain rates (Tulley et al., 2022) and cannot accumulate the stress required to initiate megathrust earthquakes. 90 Subduction interface shear stress is determined using the seismic behaviour of different sliding 91 domains, and hence, we examine geophysical and seismic data from subduction zones that host forearc 92 depressions. For each system, we compile data on topography and bathymetry, convergence rates, slab 93 ages, free-air gravitational anomalies, megathrust earthquake epicenter and rupture zones, tremor 94 epicenters, and top-of-slab depth (Supplementary Data File 1). We map and interpret these data to 95 establish how underplating, subduction interface shear stress distribution, and the location of the mantle 96 wedge corner drive coast range and forearc depression formation. We also show why young, slowly 97 subducting slabs and thick trench cover are required for coast range and forearc depression formation.

98 CHARACTERIZATION OF FOREARC DEPRESSIONS

In the Menant et al. (2019, 2020) model, underplating uplifts the overlying crust and generates a coast range. Gravity anomalies increase towards the coast range crest to >100 mGal, and increasing gravity anomalies are attributed to underplated material (Bassett and Watts, 2015) (Figs. 3A–D).
Specifically, underplating causes uncompensated crustal thickening, which increases free-air gravity anomalies. Increasing gravity anomalies associated with coast ranges coincide with km-scale reflector bands that are interpreted as underplated material (Calvert et al., 2006; Kimura et al., 2010; Scholl, 2021; Delph et al., 2021).

Coast ranges also overlie where subduction interface shear stress begins to decrease with depth, which is evident in the position of coast range topographic crests near the down-dip termini of megathrust earthquake rupture zones (Figs. 3E–H). In warm subduction zones, megathrust earthquakes only propagate in the frictional domain of the subduction interface (Fig. 1) and rupture zones terminate in the transition between the frictional and semi-frictional domains. This transition is also where shear stress changes from increasing with depth to decreasing with depth. There is no direct evidence from Cascadia that shows the coast range overlying the down-dip termini of megathrust earthquake rupture zones because the last megathrust earthquake occurred prior to modern record keeping (in 1700). However, geodetically inferred interseismic locking models for Cascadia predict that subduction interface locking (i.e., the predicted megathrust earthquake rupture zone) decreases to <10% beneath Cascadia's coast range (Li et al., 2018; Lindsey et al., 2021), which is consistent with a transition from frictional to semifrictional sliding along the subduction interface.

The location of coast range topographic crests over where shear stress begins decreasing with depth indicates that decreasing shear stress triggers the underplating necessary for coast range uplift. Underplating occurs because shear stress becomes insufficient to drag buoyant material within the subduction interface deeper.

122 All forearc depressions are situated landward of inter-plate seismic activity, showing they are 123 situated landward of the mantle wedge corner (Figs. 3E–H). In warm subduction zones, megathrust 124 earthquakes only propagate within the frictional sliding domain, which only exists where the slab is 125 beneath the upper plate crust and not the mantle (Fig. 1). As well, Nankai (Shelly et al., 2006) and 126 Cascadia (Calvert et al., 2020) experience episodic tremor and slip (Figs. 3E and G), and tremor 127 epicenters cluster around the mantle wedge corner (Fig. 1). The distribution of both megathrust 128 earthquake rupture zones and tremors seaward of forearc depressions show that all forearc depressions are 129 situated landward of the mantle wedge corner.

Underplating causes the overlying crust to flex upwards (Menant et al., 2020) and this upwards flexure must be compensated by downwards flexure elsewhere. This cannot occur seaward of the mantle wedge corner as the upper plate crust is supported through direct contact with the flexurally rigid slab. Landward of the mantle wedge corner, the upper plate crust is no longer supported by physical contact with the slab and so it can flex downwards to form the forearc depression.

136 The transition from frictional to semi-frictional sliding and the associated decrease in shear stress 137 that triggers underplating requires a young slab (<30 Ma; Fig. 2). Old slabs do not reach the temperature 138 threshold for transition between frictional and semi-frictional sliding prior to intersecting the mantle 139 wedge corner. As well, old slabs do not experience substantial metamorphic dehydration until they are 140 beneath the arc (Condit et al., 2020), which leads to a dry, antigorite-poor forearc mantle (Abers et al., 141 2017; Wang et al., 2022). Consequently, old slabs are characterized by a single frictional domain wherein 142 shear stress increases with depth well into the forearc mantle. Old slabs also exhibit different seismic 143 behaviours: without a semi-frictional domain to resist the propagation of megathrust earthquakes 144 (Schwartz and Rokosky, 2007), rupture zones can extend into the hydrated forearc mantle (Brantut et al., 145 2016). The exception to the relationship between slab age and subduction interface rheology described 146 above is Alaska, where the Pacific Plate is 35–50 Ma at the trench (Fig. 2B). The Pacific slab undergoes 147 flat subduction for 200–300 km over which it heats and exceeds 400 °C prior to intersecting the mantle 148 wedge corner (Qu et al., 2022).

149 A thick trench cover (>2.5 km) is required for coast range uplift through underplating because 150 trench sediments ultimately become the primary underplated material. For example, in the Central 151 American Subduction Zone, the subducting Cocos Plate is 0–25 Ma at the trench. The subduction zone 152 experiences SSEs and ETS (Baba et al., 2021), which shows the existence of a semi-frictional domain. 153 However, neither a coast range nor forearc depression are developed, and instead, a single, broad forearc 154 basin stretches from the shoreline to the trench. This is attributed to thin trench sediment cover (<0.5 km; 155 Clift and Vannucchi, 2004), which provides insufficient material to underplate and generate a coast range. 156 In contrast, subduction zones that host forearc depressions possess thick trench sediment covers (2.5–3.5 157 km; Clift and Vannucchi, 2004) which provide sufficient material for underplating.

Finally, a slow subduction rate (<65 mm yr⁻¹; Fig. 2) is required for forearc depression formation. 158 159 At faster convergence rates, tectonic erosion dominates along the subduction interface (Clift and

160 Vannucchi, 2004) and underplating is suppressed; this inhibits the formation of a coast range.

161

FORMATION OF FOREARC DEPRESSIONS

162 Subduction zones with forearc depressions include an additional forearc basin over the 163 accretionary prism (Fig. 4). However, barring Nankai, for which subduction initiated recently (15 Ma; 164 Moreno et al., 2023), all subduction zones investigated here formerly comprised a single forearc basin 165 that stretched from the coastline to the trench. This is indicated by older strata within forearc depressions that correlate to coeval strata over and/or seaward of the coast range (England and Bustin, 1998; Encinas 166 167 et al., 2012; LePain et al., 2014; Scanlon et al., 2021; Darin et al., 2022). For example, the Georgia Basin 168 is the northern-most forearc depression of Cascadia, and its basal succession is the Nanaimo Group, a > 3169 km thick succession of primarily Upper Cretaceous strata (England and Bustin, 1998; Girotto et al., 170 2024). The Nanaimo Group is covered by >3 km of Cenozoic strata in the Georgia Basin; however, 171 Nanaimo Group strata, including trench orthogonal-oriented deep-marine turbidites, are exposed on 172 Vancouver Island, which is the associated coast range. This distribution of strata indicates that for much 173 of Nanaimo Group deposition, no trench-parallel topographic barrier existed, and sedimentation occurred 174 in a single, broad forearc basin. Based on this, we propose the following model for the formation of 175 forearc depressions (Fig. 4):

176 1) Prior to forearc depression formation, a single forearc basin stretches from the shoreline to the 177 trench and is dominated by deep-marine depositional systems and trench-orthogonal drainage 178 (e.g., south Cascadia in the Eocene; Santra et al., 2013). Coast range uplift is suppressed by 179 unsuitable subduction parameters such as an old slab, thin trench sediment cover, and/or high 180 convergence rate (Fig. 4A).

181
2) Increases in trench sedimentation, decreases in slab age, and/or decreases in convergence rate
182 initiate underplating. The accretionary prism grows, leading the frictional domain to shift seaward
183 as a thick blanket of sediment insulates the slab. Underplating focuses over the transition between
184 the frictional and semi-frictional domains, which uplifts the overlying crust to generate a coast
185 range. The coast range divides the overlying forearc basin in two (Fig. 4B).

- Repeated underplating leads to further coast range uplift. Forearc strata overlying the coast range
 are uplifted, eroded, and re-incorporated into the flanking forearc basins (e.g., south Cascadia in
- 188 the Oligocene; Darin et al., 2022). Flexural counter bending occurs over the hydrated forearc
- 189 mantle generating a forearc depression (Menant et al., 2020). Sedimentation in the forearc
- 190 depression is dominated by continental- and shallow-marine depositional systems and trench-
- 191 parallel drainage (Fig. 4C).

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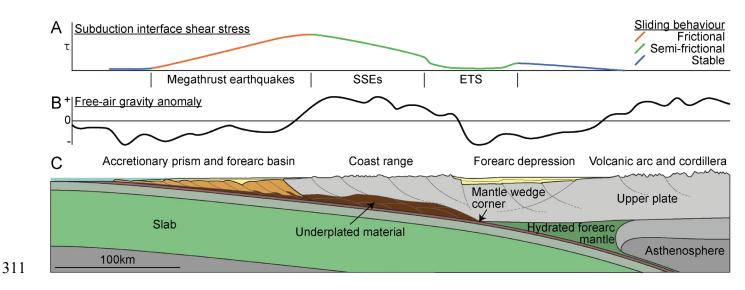
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308	

310 FIGURES AND CAPTIONS



312 Figure 1. Schematic cross-section of a warm subduction zone hosting a forearc depression. A) Shear

313 stress (τ) distribution along the subduction interface and predicted inter-plate sliding behaviour. Expected

314 locations of inter-plate seismic events are labelled on the x-axis. Abbreviations: SSEs – slow slip events;

315 ETS – episodic tremor and slip. B) Predicted free-air gravity anomaly field of the subduction zone. C)

316 Major tectonic elements of the subduction zone. No vertical exaggeration.

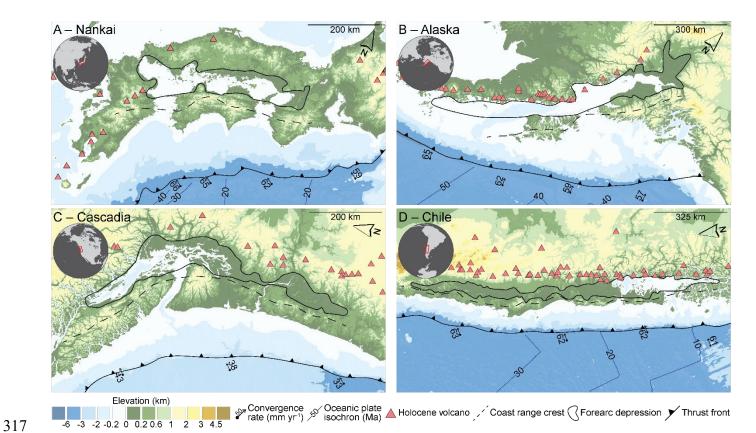


Figure 2. General subduction parameters of subduction zones with forearc depressions. Data shown include topography/bathymetry, convergence rate with respect to a stable upper plate, oceanic plate isochrons, Holocene volcanoes, coast range topographic crest, forearc depression boundary, and subduction thrust front. No oceanic plate isochrons are present for Cascadia because the Juan de Fuca oceanic plate is younger than 10 Ma everywhere.

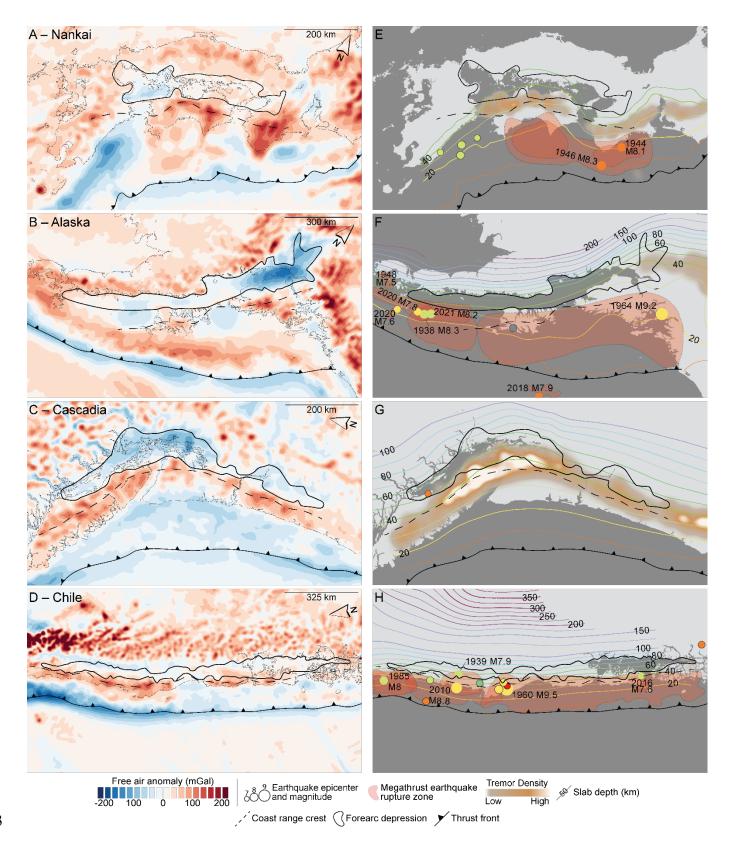
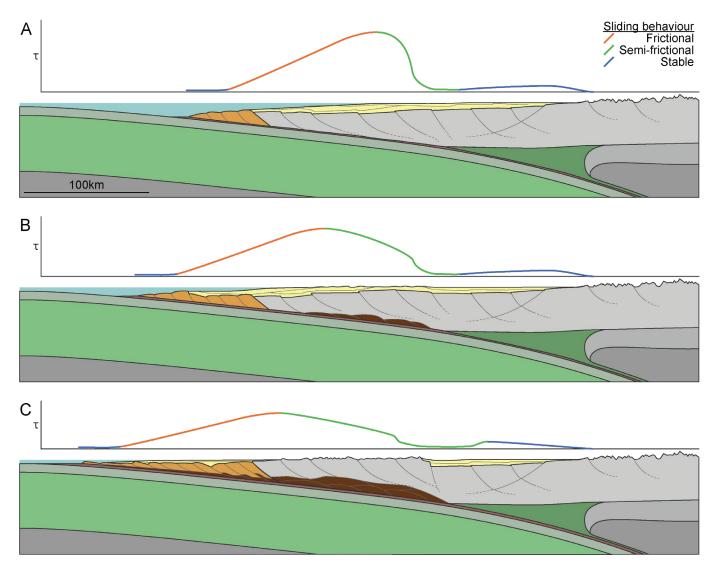
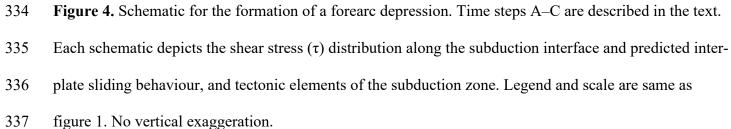


Figure 3. Geophysical and seismic data from subduction zones with forearc depressions. All maps include coast range topographic crest, forearc depression boundary, and subduction thrust front. A–D) Free-air gravity anomalies, scaled to ± 200 mGal. E – H) Seismic activity. Data show include megathrust earthquake magnitudes, epicenters, and rupture zones, tremor densities, and top-of-slab depth. Megathrust earthquake epicenters and top-of-slab depth share the same colour scheme, which indicates depth. For megathrust earthquake epicenters, no circle outline means its rupture zone is depicted and an outline means it is not.





338 SUPPLEMENTARY FILE 1: DATA SOURCES

Our study compiles and interprets publicly available data. We focus on four subduction zones globally that host forearc depressions: Nankai, Alaska, Cascadia, and Chile. For each subduction zone, we assemble and interpret three datasets: 1) general subduction parameters, 2) gravity, and 3) seismic.

342 GENERAL SUBDUCTION PARAMETERS

343 The general subduction parameters dataset comprises topographic and bathymetric data, forearc 344 depression boundaries, Holocene volcanic centers, oceanic plate isochrons, and plate convergence rates. 345 Topographic and bathymetric data are derived from the General Bathymetric Chart of the Oceans project 346 (Mayer et al., 2018). Coast ranges are defined by tracing a line through the topographic crest of each 347 coast range, and forearc depression boundaries are defined by tracing the 200m topographic contour. 348 Holocene volcanic data is derived from the Volcanoes of the World Database (Venzke, 2023), and 349 oceanic plate isochrons are derived from Seton et al., 2020. Plate convergence vectors are based on plate 350 motions calculated by the Global Strain Rate Model v2.1 (Kreemer et al., 2014) and are defined with 351 respect to a stable upper plate: for Nankai, the Philippine Sea Plate subducts beneath the stable Amur 352 Plate; for Alaska, the Pacific Plate subducts beneath the stable North American Plate; for Cascadia, the 353 Juan de Fuca Plate subducts beneath the stable North American Plate; and for Chile, the Nazca Plate 354 subducts beneath the stable South American Plate.

355 GRAVITY DATA

356 Gravity data is derived from the satellite free-air gravity model of Sandwell et al. (2014).

Sandwell et al. (2014) provide data in a 1 arc-minute by 1 arc-minute grid. We use kriging to generate our free-air gravity anomaly map. We normalize all gravity data to ± 200 mGal because these values cover the full range of gravity anomalies from the trench to the volcanic arcs of the subduction zones investigated herein. While some areas, such as the Bonin trench and volcanic arc (Fig. 3A), have anomalies more than ± 300 mGal, these higher values are not portrayed because they are not relevant to this study.

362 SEISMIC DATA

363 Seismic data includes megathrust earthquake epicenters and rupture zones, tremor epicenters, and 364 top-of-slab depth data. Megathrust earthquake epicenters are derived from the USGS earthquake catalog 365 for all earthquakes that: 1) occurred between 1900 and 2023, 2) exceeded 7.5 magnitude; and, 3) were 366 shallower than 70 km. These search parameters filter out most other earthquake types that occur near 367 subduction zones, such as intra-slab and intra-crust earthquakes. Megathrust rupture zone data are 368 compiled from a variety of sources. For Nankai, rupture zones are calculated by Ando (1975). For Alaska, 369 rupture zones are derived from the Alaska Earthquake Center. For Chile, rupture zones are compiled from 370 Kelleher (1972), Sparkes et al. (2010), and Hicks et al. (2014).

Tremor data is compiled from multiple sources. For Nankai, tremor data is from the World
Tremor Database generated by Idehara et al. (2014). For Alaska, tremors are from Wech (2016). For
Cascadia, tremors are derived from the Pacific Northwest Seismic Network's tremor catalog (Wech,
2021) for all events occurring between August 6, 2009 and September 4, 2023. For Chile, tremor data are
derived from the World Tremor Database, which combines data from Idehara et al. (2014), Yabe and Ide
(2014), and Pastén-Araya et al. (2022). Slab depth data are from Nakanishi et al. (2018) for Nankai,
McCrory et al. (2012) for Cascadia, and the Slab 2.0 model of Hayes et al. (2018) for Alaska and Chile.

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