# Weakening of Jammed Subduction Shear Zones, Leading to the Generation of Slow Slip Events

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# 7 Key Points:

8	Fracturing of clasts in a mélange with viscosity contrasts of $10^3$ occurs at applied
9	stresses $80\%$ lower than for a homogeneous fault
10	Fracturing of clasts in load-bearing force chains leads to stress redistribution into
11	the viscously creeping matrix
12	A transient reduction in clast strength by $75\%$ can increase mélange strain-rate
13	by $8\times$ , potentially generating a slow slip event

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#### 14 Abstract

Geodetic data have revealed that parts of subduction interfaces creep steadily or 15 transiently. Transient slow slip events (SSEs) are typically interpreted as aseismic fric-16 tional sliding. However, SSEs may also occur via mixed visco-brittle deformation, as ob-17 served in exhumed shear zones containing mixtures (mélange) of strong fractured clasts 18 embedded in a weak visco-brittle matrix. We test the hypothesis that creep in a subduc-19 tion mélange occurs through distributed matrix deformation, where flow is modified and 20 impeded by load-bearing clast networks. Our numerical models demonstrate that bulk 21 mélange rheology can be dominated by the strong clasts in the absence of fracturing, while 22 at high driving stresses or low frictional strength, clast fracturing redistributes deforma-23 tion into the matrix, leading to high bulk strain-rates. Mélange stress is highly hetero-24 geneous, fracturing some clasts even when the bulk mélange stresses are only 20% of the 25 clast yield strength, though with minor strain-rate increase due to clast stress redistri-26 bution. Transient strain-rate increases have previously been modelled as periods of low-27 ered frictional strength. Mélange clasts must weaken significantly ( $\sim 75\%$ ) in order to 28 increase strain-rate by 8x. Frictional weakening could occur through the formation of 29 extension or extensional-shear fractures in clasts, as observed within shear networks in 30 exhumed mélange outcrops. We outline a model where high bulk strain-rates are gen-31 erated when pervasive fracturing occurs, but further slip is limited by viscous processes. 32 Incorporating such viscous damping into models may widen the conditions under which 33 SSEs can occur while preventing development of seismic slip. 34

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#### Plain Language Summary

While some subduction zones are responsible for generating large, devastating earth-36 quakes, others creep steadily or episodically. This range of deformation styles may cor-37 respond to the varying interaction of viscous creep and frictional failure, as observed in 38 exhumed subduction shear zones, which are typically mixtures (mélanges) of strong frac-39 tured and weak viscous materials. We use computer models to explore how mélange strength 40 and deformation style varies when the frictional material fractures. Load-bearing net-41 works of unfractured strong material contribute significantly to mélange strength, so the 42 onset of fracturing redistributes some deformation into the weak viscous material. As 43 the force distribution in these networks is complicated, the onset of frictional failure alone 44 is insufficient to completely unload the strong material. However, if the frictional ma-45

terial weakens considerably, as may occur when fractures open, mélange may undergo
a period of rapid, predominately viscous deformation. Cycles of viscous deformation may
correspond to episodic creep events. If creep events do occur primarily by a viscous mechanism, this may explain why they can occur routinely in some regions without transitioning to seismic slip.

#### 51 **1** Introduction

The rheology and frictional properties of the subduction thrust interface exert a 52 first-order control on the generation of major earthquakes (Scholz, 1998) and the max-53 imum sustainable stresses and deformation rates at a convergent margin (Duarte et al., 54 2015; Behr & Becker, 2018). The down-dip limit of a subduction thrust seismogenic zone 55 is often thought to be limited by the onset of steady viscous creep at temperatures  $>350^{\circ}$ 56 (e.g. Hyndman et al., 1997). Slow slip events (SSEs), episodes of aseismic slip  $\sim 0.1$  - 1 57 m/year (faster than plate velocities) and commonly associated with tectonic tremor, have 58 been observed in this transition zone (Rogers & Dragert, 2003; Schwartz & Rokosky, 2007). 59 These events require an evolution in our understanding of the gradual spatial transition 60 between the seismogenic zone and deeper, steady aseismic creep. SSEs have been pri-61 marily considered to arise due to frictional dynamics (Liu & Rice, 2005; Leeman et al., 62 2018). It has also been proposed that SSEs are the result of dynamic interaction between 63 viscous and frictional deformation (Ando et al., 2012; Fagereng et al., 2014; Hayman & 64 Lavier, 2014), though it is still unclear which visco-brittle rheological model is most ap-65 propriate. 66

Mélange, a mixture of strong clasts embedded in a weak matrix, is commonly found 67 in exhumed subduction-related shear zones and in some places preserves a mixture of 68 contemporaneously formed brittle and ductile structures resulting from the interplay be-69 tween strong (brittle) clasts and weak (ductile) matrix (Fagereng & Sibson, 2010). Ma-70 trix deformation is typically distributed, likely as a result of a predominately creeping 71 process such as pressure solution, as observed at the  $\mu$ m scale in fine-grained phyllosil-72 icates, quartz and calcite, in combination with dilation of frictionally weak phyllosilicate 73 cleavage (Bos & Spiers, 2001; Kitamura et al., 2005; Rowe et al., 2011; Wassmann & Stöckhert, 74 2013; Fagereng & den Hartog, 2016). Such pressure solution may be responsible for sub-75 duction interface creep. Fractures within the mélange, predominately found in clasts, are 76 indicative of locally high strength and stress (in the absence of extreme pore pressure 77

-3-

variation). Depending on the connectivity of these high stress clasts, there may be no
connected matrix pathways for simple shear to occur, in which case the mélange rheology will be strong (referred to as jammed) and dependent on clast fracturing. Such loadbearing networks are called force chains and their reorganisation is responsible for stickslip events in granular materials (Hayman et al., 2011). As a result of force chain dynamics, the observed viscous and brittle mélange deformation may preserve cycles of alternating deformation mechanisms, perhaps generating SSEs.

Viscous creep, for example pressure solution of quartz, can stabilise sliding along 85 adjacent frictional minerals (Niemeijer, 2018), producing velocity-strengthening behaviour. 86 Velocity-strengthening parts of a subduction interface can produce an SSE by dampen-87 ing an otherwise unstable rupture initiating in velocity-weakening material (Skarbek et 88 al., 2012; Luo & Ampuero, 2018). Analogue models show that slow stick-slip events can 89 occur in a macroscopically homogeneous viscoplastic material likely due to reorganisa-90 tion of microgel force chains (Reber et al., 2015; Birren & Reber, 2019). Microscopically, 91 these events are related to reorganisation of force chains, while macroscopically they cor-92 respond to episodic opening of tensile fractures, which grade into shear fractures and vis-93 cous deformation accommodating overall simple shear. In contrast, slow stick-slip events 94 have been produced in rock experiments at normal stresses of < 10 MPa and high (>0.9) 95 apparatus to critical stiffness ratios (Kaproth & Marone, 2013; Scuderi et al., 2017; Lee-96 man et al., 2018). Visco-brittle interactions are difficult to quantify in such experiments, 97 but microstructural observations indicate that frictional instabilities occur when defor-98 mation localizes in shear bands, and slip style may be controlled by the interplay between 99 the rheology of such shear bands and the surrounding fault rock (Scuderi et al., 2017). 100 It is, however, still unclear if ductile and brittle interactions are necessary to produce 101 strain-rate transients such as SSEs, and if rheological interactions do occur, what are the 102 fundamental processes and scales at which they operate. 103

Mélange rheology can be dominated by weak matrix constituents if there are connected matrix shear pathways that accommodate bulk simple shear (Handy, 1994). However, finite element models (Webber et al., 2018; Beall et al., 2019) demonstrate that minor shear strain of mélange with around 50% or more ellipsoidal clasts leads to the formation of clast force chains which block matrix pathways (Fig. 1a), switch the mélange to clast-dominated deformation (jamming), and consequently decrease strain-rate by more than an order of magnitude. We explore the hypothesis that such force chains may be

-4-

disabled through the fracturing of clasts as their yield strength is reached after jamming 111 (Fig. 1b), which redistributes stress into the matrix. This increase in matrix stress would 112 consequently increase the bulk shear zone strain-rate. If this hypothesis is correct, mélange 113 deformation would temporarily switch between being limited by frictional failure and be-114 ing controlled by viscous creep, as proposed in previous models (Lavier et al., 2013; Re-115 ber et al., 2015). Geological evidence for such visco-brittle interaction indicates this pro-116 cess could occur from centimetre up to 100s of metre scales (Fagereng & Sibson, 2010; 117 Fagereng, 2011a; Rowe et al., 2011; Grigull et al., 2012; Hayman & Lavier, 2014). To in-118 tegrate shear zone dynamics into large scale models, mélange deformation must be pa-119 rameterized into a bulk rheology relating effective stresses to strain-rates and/or strain 120 (see Section 2, below). 121

Mélange clasts are commonly fractured, despite the low differential stress implied 122 by creep of adjacent matrix. While fracturing is typically thought to indicate near-lithostatic 123 pore-pressure (e.g. Sibson, 1996), Beall et al. (2019) showed that clast shear stress can 124 be increased by  $> 3 \times$  due to the viscosity contrast between clasts and matrix, even in 125 the absence of a force chain network. These models did not incorporate fracturing, so 126 it is unclear how pervasive fracturing would be and how it affects mélange rheology. Mélange 127 fracture kinematics are typically consistent with matrix simple shear (Fagereng, 2011b). 128 Fractures are also commonly confined to clasts or transition into terminating shear frac-129 tures in the matrix (Fisher & Byrne, 1987; Raimbourg et al., 2009; Fagereng, 2013). While 130 these observations support a model of contemporaneous frictional and viscous deforma-131 tion, it is unclear how frictional failure would affect the bulk mélange rheology. In this 132 study, we incorporate fracturing into our numerical mélange models and quantify both 133 1) how pervasive clast fracturing is at varying shear zone stress, and 2) whether fractur-134 ing could effectively disable force chains and increase shear zone strain-rates. 135

## Bulk Rheology of a Subduction Interface: Theoretical Mixture Model Predictions

Geodynamic models of visco-brittle subduction interface deformation typically combine one viscous and one brittle rheology into a composite rheology (Karato, 2012), following the assumption that both rheologies experience either the same stress (the Reuss model) or the same strain (the Voigt model). The choice of Reuss or Voigt model dictates which rheology dominates at low and high stress (Fig. 1c).

-5-



Figure 1. A) Periods of low megathrust creep in-between SSEs are hypothesised to be due to the formation of clast force chains, which prevent viscous matrix deformation. B) When clasts fracture, stress may be redistributed to the weak matrix, switching the mélange to predominately viscous deformation with a high strain-rate. C) Schematic comparison of the Voigt (equivalent to Bingham plastic) and Reuss mixture models (and comparison to power-law rheology). When fracturing begins at  $\tau = \tau_y$  (or lower if stress amplification is considered), the Voigt model is rate-limited by viscous deformation, while the Reuss model becomes stress-limited by frictional deformation (which may be modestly rate-strengthening or weakening, or constant as shown here). D) We hypothesise that the rheology of a jammed mélange can be modelled as a viscous dashpot (matrix creep) in parallel with a frictional slider (fracture of clasts, neglecting their slow viscous creep), equivalent to a Bingham plastic.

The Reuss model represents material that can always viscously flow (though slowly 143 for high viscosities), but switch to frictional deformation if a yield stress is reached. For 144 predominately viscous slip transients to occur in a Reuss mixture, the viscous rheology 145 must be highly non-linear (e.g. incorporating shear heating; Goswami & Barbot, 2018). 146 The Voigt model, which is equivalent to a Bingham plastic when one of the materials 147 is frictional (Fig. 1c), represents a material that does not deform at all until frictional 148 sliding occurs, at which point viscous deformation becomes rate-limiting and a low vis-149 cosity may produce high strain-rates (used by Ando et al., 2012; Lavier et al., 2013). 150 Stress-dependent viscous deformation is generally captured by the power-law rheology 151  $\dot{\epsilon} \propto \sigma^n$  (Fig. 1c) for maximum shear strain-rate  $\dot{\epsilon}$  and stress  $\tau$  (Karato, 2012). The mag-152 nitude of the stress exponent n dictates how stress-dependent the rheology is, for exam-153 ple  $n \approx 3$  for dislocation creep and  $n \rightarrow \infty$  for a plastic material with a yield strength. 154 It is unclear which model best captures the rheology of a subduction interface shear zone. 155

If a mélange only deforms when clasts in a force chain can deform, then assuming 156 that clast and matrix strain is equal will give the Voigt / Bingham model. This model 157 is supported by GPS records, which generally show that inter-SSE locking is high on in-158 terface patches hosting SSEs (e.g. Wallace & Beavan, 2010). If clast and matrix stresses 159 are each homogeneously defined by constants  $\tau_{clast}$  and  $\tau_{matrix}$ , their stresses are related 160 to the bulk stress by  $\tau = \phi \tau_{clast} + (1 - \phi) \tau_{matrix}$ . If clasts are purely frictional,  $\tau_{clast}$ 161 is limited to a yield stress  $\tau_y$ , and if  $\tau_{matrix}$  is controlled by Newtonian viscous creep  $2\eta\dot{\epsilon}$ 162 (e.g. pressure solution creep), then an effective rheology is given by Eq. 1, i.e. a Bing-163 ham rheology (Fig. 1c-d), where viscous creep occurs if bulk mélange stress  $\tau \ge \phi \tau_y$ . 164

$$\tau = \phi \tau_y + 2(1 - \phi)\eta \dot{\epsilon} \tag{1}$$

This simple model predicts that frictional failure and the activation of viscous flow 165 occurs at a bulk stress which is lower than the frictional clast strength, as stress is fo-166 cussed into only the clast volume (controlled by  $\phi$ ). This stress amplification has been 167 shown to occur in models with complex force chain geometries, where frictional yield oc-168 curs for bulk stresses well below the clast yield limit due to the clast-matrix viscosity con-169 trast (Beall et al., 2019). Eq. 1 also predicts that the viscous strain-rate will be zero when 170 frictional failure first occurs, as  $\tau_{matrix} = 0$  when  $\tau = \phi \tau_y$ , unless  $\tau_y$  is dynamically 171 decreased or  $\tau$  is increased further. Clast stresses are not, however, likely to be homo-172

geneous for realistic force chain geometries and matrix strain-rate will not be completely zero, so the predicted stress for the onset of visco-brittle deformation is an approximate guide.

#### 176 **3** Methodology

The modelling methodology is adapted from Beall et al. (2019), in which a New-177 tonian viscous mélange was modelled as lens-shaped clasts embedded in a matrix, where 178 the clasts had a viscosity  $10-10^4 \times$  higher than the matrix. The velocity at the top bound-179 ary was derived by applying a constant driving stress, allowing an effective strain-rate 180 and bulk viscosity to be calculated, as well as the mélange stress distribution, as a func-181 tion of the viscosity contrast, clast proportion  $\phi$  and shear zone thickness. Jamming of 182 mélange with a viscosity contrast of  $10^3$  resulted in an effective viscosity increase of 2-183  $7 \times$  and clast shear stress of  $6-9\tau$  (for applied driving stress  $\tau$ ), over the range  $0.5 \leq$ 184  $\phi \leq 0.64$ . Here, we build upon these previous models by incorporating frictional fail-185 ure into the clast rheology. This study also follows Webber et al. (2018), though is more 186 simplified in order to characterise mélange rheology in a generalised manner. 187

Shear zone deformation is modelled as incompressible creeping viscous flow, via the 188 continuum-mechanics finite-element particle-in-cell code Underworld, which solves the 189 Stokes equations. The matrix has a Newtonian viscosity  $\eta_m$ , representing diffusion creep 190 processes such as the pressure solution observed in quartz-phyllosilicate mixtures (Bos 191 & Spiers, 2001; Fagereng & den Hartog, 2016; Niemeijer, 2018) at temperatures of  $\geq 100^{\circ}$ C 192 and within a range of fine-grained metamorphic assemblages at lower crustal conditions 193 (Wassmann & Stöckhert, 2013). Frictional failure is incorporated by checking if each point 194 within the clast material has a maximum shear stress  $\tau_{max} = (\sigma_1 - \sigma_2)/2$  (where  $\sigma_1$ 195 and  $\sigma_2$  are the in-plane principal stresses) exceeding a frictional strength  $\tau_y$ , in which 196 case an effective viscosity is iteratively calculated at that point in order to satisfy  $\eta_f =$ 197  $\frac{1}{2}\tau_y/\dot{\epsilon}_{max}$  (for maximum shear strain-rate  $\dot{\epsilon}_{max}$  at that point in space and time; follow-198 ing Fullsack, 1995; Moresi & Solomatov, 1998). The yield stress is either set to a con-199 stant or to the Coulomb failure criteria Eq. 2, for friction coefficient  $\mu$ , cohesion C and 200 effective mean stress  $\sigma_{eff}$  (Jaeger et al., 2007). 201

$$\tau_y = \frac{\mu \sigma_{eff} + C}{\sqrt{1 + \mu^2}} \tag{2}$$

Model-set	Frictional Parameters	Clast Proportion ( $\phi$ ) and Maximum Width ( $D_{max}$ )
A	$\mu = 0.7, C = 50$ MPa ( $\tau_y \approx 160$ MPa)	$\phi = 0.3, 0.5, 0.61 \ (D_{max} = 0.14), \phi = 0.61 \ (D_{max} = 0.28)$
В	$\tau_y = 200 \mathrm{MPa}$	$\phi = 0.61 \ (D_{max} = 0.14)$
С	$\tau_y = 50 \mathrm{MPa}$	$\phi = 0.61 \ (D_{max} = 0.14)$

 Table 1.
 Summary of model-sets and parameters.

 $\sigma_{eff}$  is calculated as  $\left(p+\rho gz\right)(1-\lambda),$  where p is a dynamic pressure deviation from 202 the lithostatic pressure,  $\rho$  is the average overburden density set to 2650 kg m<sup>-3</sup>, g is grav-203 itational acceleration, z is depth and  $\lambda$  is the prescribed ratio of pore-pressure to litho-204 static pressure. We study deformation at a depth of z = 40 km, roughly matching the 205 depth of SSEs in Cascadia (Rogers & Dragert, 2003), southern Hikurangi (Wallace & 206 Beavan, 2010) and the deep SSEs in Nankai (Obara et al., 2004). We assume  $\lambda = 0.8$ , 207 which is intermediate between hydrostatic and lithostatic (Saffer & Tobin, 2011). This 208 gives  $\sigma_{eff} \approx 208$  MPa (when p = 0) for the models . 209

The model dimensions can be rescaled, in order to explore a wider parameter space. We assume that the model stress can be non-dimensionalised as Eq. 3. The accuracy of this scaling should vary depending on the degree to which the bulk strain-rate of the mélange is a function of  $\tau_y$ . We test the applicability of this scaling for jammed mélange. It then follows that time t (and therefore strain-rate) can be non-dimensionalised as Eq. 4.

$$\tau' = \frac{\tau}{\tau_y} \tag{3}$$

$$t' = t \frac{\tau_y}{\eta_m} \tag{4}$$

We set  $\mu = 0.7$  and C = 50 MPa, representing frictionally strong clasts (e.g. unfractured sandstone or basalt) close to Byerlee's law (Jaeger et al., 2007). Clast regions which do not meet the failure criterion have a Newtonian viscosity set to  $\eta_c = 10^3 \eta_m$ , representing a large viscosity contrast in the mélange. We also run models with either a high or low constant  $\tau_y$  in order to explore frictional weakening and test our scaling predictions (parameters summarised in Table 1). As incompressibility is assumed, deformation involving tensile fracture cannot be calculated, but the onset of tensile failure can be predicted. The calculations with varying  $\tau_y$  and the scaling approach allow us to explore how mélange rheology depends on clast yield stress, where clast yield includes tensile fracture if it occurs. Tensile failure is assumed to occur when minimum in-plane principal stress  $\sigma_2 = T$  for a constant tensile strength T. This tensile yield criterion can be rewritten as Eq. 5.

$$\tau_y = \sigma_{eff} + T \tag{5}$$

At a specific depth, the Coulomb and tensile failure criteria can be approximated by a constant  $\tau_y$  by assuming that the normal stress is equal to the effective lithostatic pressure (setting p = 0). This neglects normal stress variations within the mélange, which are likely to be small compared to the lithostatic pressure for the 40 km depth modelled.

A constant shear traction  $\tau$  is applied to the top model wall, representing the bulk 231 shear stress to drive deformation (e.g. Webber et al., 2018). A highly viscous Newtonian 232 material with viscosity  $10^3 \eta_m$  is included in the upper 5% of the model domain, in or-233 der to distribute this stress within the underlying mélange. As the bulk rheology of the 234 mélange is of primary interest, a bulk shear strain-rate  $\dot{\epsilon}$  is calculated as  $0.5V_{av}/L$  for 235 average horizontal velocity on the top wall  $V_{av}$  and model height L. We set L = 100m, 236 a typical active subduction shear-zone thickness (Rowe et al., 2013) and the model width 237 to 4L with periodic boundary conditions. The velocity magnitude is set to zero on the 238 lower boundary. The element resolution is  $2048 \times 512$ , equivalent to a mesh node spac-239 ing of 4.9 cm for L = 100m. 240

The ratio of the clast short-axis length to the shear zone width (L = 100m) is de-241 fined as D. Within most models, D varies from over  $0.05 \le D \le 0.14$  (labelled as  $D_{max} =$ 242 0.14, Table 1). This choice of  $D_{max}$  results in a force chain length scale which is smaller 243 than L and therefore a conservative estimate of jamming (Beall et al., 2019). A model 244 with clasts of size  $0.1 \le D \le 0.28$  is also included  $(D_{max} \le 0.28)$  for  $\phi = 0.64$ , with 245 identical model resolution and dimensions, in order to test whether the choice of  $D_{max}$ 246 affects the bulk visco-brittle rheology. All clasts have a short to long axis ratio of 3. Their 247 sizes are chosen to follow a power-law distribution with exponent -2, reflecting class size 248 distributions in visco-brittle shear zones (Fagereng, 2011a; Grigull et al., 2012). As the 249 clast geometries are fractal (though limited to a minimum clast size due to the limited 250

-10-

mesh resolution), the clast sizes can be scaled up to thicker shear zones with larger clasts
or down to cm-m scale shear zones. Calculations of stress-strain-rate relationships are
therefore scale-invariant, provided our clast geometries and simplified rheologies hold.
Such scaling is limited at the large scale by the largest clast dimension (blocks up to 100
m long have been observed; Grigull et al., 2012) and the breakdown of the simplified rheology at the small scale (grain-scale processes are critical at and below the mm scale; Fagereng
& den Hartog, 2016).

As the clasts are originally randomly orientated and with minimal force chains present, 258 a setup model is run for each volumetric clast proportion  $\phi$  up to a shear strain of  $\epsilon =$ 259 2 to generate a strained mélange with force chains (if  $\phi$  is sufficiently high). This is used 260 as a starting material distribution for the main model experiments. The setup runs ne-261 glect clast fracturing, for both computational efficiency (numerical iterations are not re-262 quired) and to provide identical starting conditions for all models with a given  $\phi$ . The 263 setup strain is sufficient for force chains to form (where  $\phi$  is high enough), though fur-264 ther jamming may occur at higher strain. Mélange formation through disaggregation of 265 stratigraphy and significant simple shear has been inferred to occur before and during 266 lithification (Fagereng, 2011b; Festa et al., 2012). Our initial conditions could therefore 267 apply to any depth. 268

#### 269 4 Results

270

#### 4.1 Simplified Force Chain Model

We firstly test the applicability of Eq. 1, an idealised Voigt (iso-strain) mixture / 271 Bingham rheology, to an idealised numerical model. The visco-brittle clast rheology is 272 assigned to one column orientated at  $45^{\circ}$  to the horizontal in the direction of greatest 273 compressive stress. This column represents a force chain, is assigned a constant  $\tau_y$  = 274 170 MPa, a width giving  $\phi = 0.1$  and is embedded in a viscous matrix (Fig. 2a). Eq. 275 1 predicts onset of creeping for a bulk stress of 17 MPa. In the numerical model, the in-276 stantaneous strain-rate was calculated for a variety of boundary shear stress magnitudes. 277 The simple force chain model almost exactly matches Eq. 1, with the minor exception 278 of a non-zero, though negligible, strain-rate when  $\tau < \phi \tau_y$  due to the model clast rhe-279 ology being viscoplastic rather than perfectly plastic (i.e. in the numerical model creep 280 is allowed when  $\tau_{max} < \tau_y$ ). The Bingham rheology therefore has the potential to cap-281

ture the bulk rheology of a numerical model when the iso-strain (Voigt) assumption holds.

<sup>283</sup> Whether this holds for complicated force chain geometries is explored in the following

- 284 sections.
- 285

#### 4.2 Mélange Fracturing at Low Mélange Boundary Stress

We use Model-set A (Table 1) as a strong end-member to test whether stress-amplification 286 leads to significant fracturing even at bulk stresses much lower than the clast yield stress. 287 For a depth of 40 km, fluid-pressure ratio  $\lambda = 0.8$  and in the case that p = 0, the fric-288 tional parameters for set A give a yield stress  $\tau_y = 160$  MPa (Eq. 2). Results show that 289 all models, including for applied stress as low as  $\tau = 21$  MPa (non-dimensionalised  $\tau' =$ 290 0.13), involved some clast yielding, in which case the clast  $\eta_{eff}$  locally reduces by at least 291 an order of magnitude (in order to satisfy  $\tau_{max} = \tau_y$ ). As we are interested in how frac-292 turing affects dynamics at the shear-zone scale, a better measure of the lower threshold 293 for fracturing is the lowest bulk stress  $\tau$  modelled for which there is a chain of fractur-294 ing clasts spanning L. In this case, fracturing occurs at  $\tau \ge 35$  MPa ( $\tau' \ge 0.22$ , Fig. 295 3) for  $\phi = 0.5$ ,  $D_{max} = 0.28$  and  $\phi = 0.61$ ,  $D_{max} = 0.14$ , while fracturing requires 296  $\tau \geq 70$  MPa ( $\tau' \geq 0.44$ ) for  $\phi = 0.5$  and  $\phi = 0.3$ ,  $D_{max} = 0.14$ . This shows that sig-297 nificant fracturing can occur when applied stress  $\tau$  is substantially less than  $\tau_y$ , partic-298 ularly for jammed mélange and a volumetric clast proportion > 50%, owing to stress 299 amplification in the clasts. 300

Clast fracturing occurs at low ratios of driving stress to clast yield stress,  $\tau' = 0.22$ . 301 The occurrence of fracturing only depends on  $\tau'$  (as demonstrated in Section 4.4) and 302 can therefore be used to calculate the driving stress  $\tau$  required to generate fracturing for 303 a specified  $\tau_y$ , including for tensile failure. Assuming T = 3.5 MPa (the ratio of C to 304 T is typically  $\approx 15$  for sandstone and greater for crystalline rocks; Jaeger et al., 2007), 305 then the corresponding yield stress for tensile failure is  $\tau_y = 211$  MPa (Eq. 5). A driv-306 ing stress of  $\tau = 42$  MPa (equivalent to  $\tau' = 0.22$ ), could then generate tensile frac-307 turing. Driving shear stresses of  $\sim 10$  MPa are therefore sufficient to generate shear and/or 308 tensile fracturing of clasts at z = 40 km when  $\lambda = 0.8$  (the dominant fracture mode 309 depending on the orientation of existing weak planes). 310

The Coulomb failure criterion may be satisfied by shear in two conjugate directions. However, simple shear is expected to be accommodated by sliding predominately along

-12-



Figure 2. Comparisons of idealised (A) and mélange (B) force chain models to the Bingham plastic rheology. A) Model geometry, inset, of clast material (yellow,  $\phi = 0.1$ ) embedded in matrix (blue) with high viscosity 'grip' material on top (orange). Measurements of  $\tau$  (points) follow the Bingham plastic rheology (solid line, Eq. 1). B) Model-set A data (for  $D_{max} = 14$ m), compared to the Bingham rheology and mélange viscosity in the absence of fracturing, for  $\phi = 0.62$ , as well as  $\eta_m$ .



Figure 3. Mélange from model-set A with  $\phi = 0.62$ ,  $D_{max} = 14$ m and  $\eta_m = 10^{17}$  Pa s. For reference, a model with  $\tau = 35$  MPa, fracturing prevented and regions with maximum shear stress increased above  $\tau$  by  $\geq 5 \times$  colored red. Models with  $\tau$  varying from 35 to 105 MPa are also shown, demonstrating that the number of clasts reaching the frictional failure criterion increases dramatically with increasing  $\tau$  and contributes to a significantly higher matrix strain-rate at high  $\tau'$  compared to a linear rheology.

planes sub-parallel to the shear zone boundary in order to satisfy mass conservation in 313 a homogeneous shear zone with constant thickness. Shear along planes sub-parallel to 314 the shear zone boundary is also the most efficient way of localising simple shear defor-315 mation, as no rotation or fracture network is required. Yielding in the models, however, 316 is localised into conjugate sets of failure planes (Fig. 3). Conjugate sets should be sym-317 metrical around  $\sigma_1$  (which is 45° clock-wise from the shear zone boundary for the dex-318 tral shear sense modelled), which is generally observed in the models (with minor de-319 viation due to stress rotation). In contrast to a homogeneous incompressible material, 320 the shear failure accommodates pure shear of the clasts, which are extended in the di-321 rection of  $\sigma_2$ , as evident in the final clast geometries. Localised pure shear of the clasts 322 occurs in the incompressible model because it is compensated by simple shear within the 323 viscous matrix. 324

The Coulomb failure criteria predicts that failure will predominately occur on planes 325 orientated at angles  $\pm tan^{-1}(\mu)/2 = \pm 17.5^{\circ}$  to  $\sigma_1$ . Numerical models only reproduce 326 this Coulomb failure angle when a high resolution is used relative to initial stress per-327 turbations and shear bands (Kaus, 2010), otherwise shear failure occurs on planes ori-328 entated closer to  $\pm 45^{\circ}$  to  $\sigma_1$  (called the Roscoe angles). Yielding zones localise in our 329 models due to the pressure-dependence of the Coulomb criteria, however are still rela-330 tively broad (< 10m) as no strain softening was incorporated. Failure occurs along bands 331 orientated at a range of angles between the Coulomb and Roscoe angles. The deviation 332 from the Coulomb angles may be due to the mesh resolution being too coarse in places 333 to sufficiently resolve stresses inside the clasts, though this deviation should not signif-334 icantly affect clast deformation or stress magnitude. 335

336

#### 4.3 General Mélange Rheology

Each model in model-set A (Table 1) was repeated with the imposed stress bound-337 ary condition varying through  $\tau = 21, 35, 70$  and 140 MPa, in order to characterise the 338 effective mélange rheology (Figs. 2b and 4). The models share some of the character-339 istics of the Bingham rheology; at low applied stress the effective mélange viscosity can 340 be much higher than  $\eta_m$  (< 15× for these models, depending on  $\phi$ ) and at high applied 341 stress the behaviour is dominated by viscous matrix deformation (the slope  $d\tau/d\dot{\epsilon}$  is sim-342 ilar to that of the Bingham plastic prediction in Fig. 2b). However, for  $\phi = 0.61$  and 343  $\tau = 70$  MPa the fracturing causes the bulk strain-rate to be about 2× higher than ex-344



Figure 4. Rheologies of the models in model-set A, demonstrating that strain-rate increases exponentially with stress with a viscosity spanning that of mélange without fracturing at low  $\tau$ , to  $\eta_m$  at high  $\tau$ . The highest and lowest jamming occurs for  $\phi = 0.61$  and 0.30 respectively, which correspond to the highest and lowest strain-rate variation.

pected for the jammed mélange. This heralds a transition to predominately visco-brittle
deformation, which occurs at a lower stress than the 100 MPa predicted for the simplified Bingham rheology. This is due to the heterogeneous stress distribution in the clasts,
compared to the homogeneous stress assumed in the simplified model.

While the Bingham rheology is dominated by its viscous constituent when fracturing occurs, the corresponding mélange rheology at high stress is non-Newtonian and strainrate increases exponentially with stress (i.e  $\tau$  appears to be logarithmically dependent on  $\dot{\epsilon}$ ) for  $\tau \geq 35$  MPa ( $\tau' = 0.22$ ). Accordingly, fitting a power-law relationship of  $\dot{\epsilon} \propto$  $\sigma^n$  (though an exponential form provides a superior fit) gives  $n \sim 2$ , rather than the n > 10 that would correspond to a highly non-linear rheology appropriate for a Reuss mixture.

Mélange viscosity should always be greater or equal to the matrix viscosity when 356  $\tau \leq \tau_y$  and less or equal to an identical mélange in which fracturing is prohibited (i.e. 357 a model without frictional failure incorporated). These limits represent the strength end-358 members (solid black curves, Fig. 4). All of the model bulk rheologies, regardless of  $\phi$ , 359 follow a similar transition from one approximating the strong end-member (no fractur-360 ing) at the lowest  $\tau$  (21 MPa or  $\tau' = 0.13$ ), to resembling the weak end-member (matrix-361 dominated) for  $\tau' \approx 0.75 - 0.9$  (the higher end of the range for greater  $\phi$ ). This rheo-362 logical trend demonstrates that all mélange models, regardless of jamming, are weakened 363 by fracturing even when  $\tau < \tau_y$ . While the bulk behaviour is bounded by the fracturing-364 free and clast-free viscosity end-members, fracturing of a jammed mélange results in higher 365 strain-rate variation compared to these limiting cases (Fig. 4). 366

The exponential rheology  $\tau \propto ln(\dot{\epsilon})$  arises because stress is not homogeneously 367 distributed across force chains. The probability distribution of clast points with a par-368 ticular maximum shear stress are shown in Fig. 5 for models with a range of  $\tau$ . For  $\tau =$ 369 21 and 35 MPa, the most common stress corresponds to the bulk shear stress  $\tau$ . More 370 clast particles have a stress higher than  $\tau$ , than those with a stress lower than  $\tau$ , result-371 ing in a skewed normal distribution. Stresses higher than  $\tau$  result from stress amplifi-372 cation within force chains, which occurs to a varying degree. The normal distribution 373 shows, for example, that a stress amplification of  $2 \times$  is more common than  $5 \times$ . Only a 374 small number of force chains therefore fail when  $\tau \ll \tau_y$ . For an incremental increase 375 in scaled stress  $\tau'$  (corresponding to a  $\tau$  increase or a  $\tau_{y}$  decrease), a much higher num-376 ber of clasts will fracture due to the non-linear stress distribution, resulting in a non-377 linear rheology. Stress cannot exceed the failure criterion (it has the appearance of do-378 ing so only due to the pressure-dependence introduced by  $\mu$ ), so with increasing  $\tau$ , clast 379 stress becomes more uniform as it is redistributed, resulting in a peak at  $\tau_y$  in Fig. 5. 380 By  $\tau = 70MPa$  ( $\tau' = 0.44$ ), most clasts are likely to be undergoing frictional failure. 381

382

### 4.4 Frictional Weakening and Scaling

Two extra sets of models with  $\phi = 0.61$  and a constant yield stress  $\tau_y$  were run (B and C, Table 1), for  $\tau_y = 50$  MPa and  $\tau_y = 200MPa$ , to explore how the viscobrittle mélange rheology depends on the magnitude of clast frictional strength. These models are used to test the hypothesised scaling relationships Eqns. 3 and 4, which can then be used to rescale the existing models for any  $\tau_y$  and  $\eta_m$ .



Figure 5. Maximum clast shear stress probability distribution, calculated from all Lagrangian particles identified as clast material, for  $\phi = 0.61$ ,  $D_{max} = 14$ m.  $\tau_y \approx 160$ MPa (model-set A) and  $\tau$  ranging from 21 to 105 MPa (bulk stresses shown by points). At low  $\tau$ , stress follows a skew normal distribution, capturing heterogeneous stress amplification in force chains. At high  $\tau$ , stress is limited by  $\tau_y$ .

When non-dimensionalised, all model-sets with  $\phi = 0.61$  collapse onto the same curve as predicted by scaling relations (Fig. 6a). The non-dimensionalised datasets with  $\mu = 0$  and  $\mu = 0.7$  (pressure independent and dependent  $\tau_y$  respectively) are identical, indicating that the pressure-dependence of the frictional law and therefore the optimal angle of frictional failure, does not influence the modelled bulk mélange viscosity.

Fig. 6b demonstrates how strain-rate, and therefore velocity at the shear zone wall, 393 would increase if  $\tau_y$  were decreased for all of the clasts from 200 MPa to 50 MPa. The 394 scaling relationships are also used to calculate an intermediary case of  $\tau_y = 80$  MPa. 395 For  $\eta_m = 5 \times 10^{17}$  Pa s (assumed for Fig. 6b) and L = 100m, the average shear zone 396 boundary velocity  $V_{av}$  is about 2 cm/yr when  $\tau = 50$  MPa and  $\tau_y = 200$  MPa. This 397 is equivalent to  $\tau' = 0.25$ , which is sufficient for fracturing of clasts in the force chains 398 with the highest stress amplification (similar to Fig. 3b). In order to increase the veloc-399 ity to 4 cm/yr, the clast yield strength needs to be reduced to  $\tau_y = 80$  MPa, for ex-400 ample due to extreme strain-weakening as clasts fracture and distribute stress more evenly 401 across the force chains. Should  $\tau_y$  decrease to  $\tau_y = 50$  MPa, the velocity would increase 402 up to 16 cm/yr and the matrix would have a similar stress state to the clasts. This ex-403

-18-



Figure 6. A) Model-sets A-C for  $\phi = 0.62$  and  $D_{max} = 14$  m, plotted for non-dimensional variables  $\tau'$  and  $\dot{\epsilon}'$  (Eqs. 3 and 4). All data collapses onto one curve, confirming the scaling of stress using  $\tau_y$ . B) Model sets B and C, with a third case for  $\tau_y = 80$  MPa plotting using the scaling relationship and assuming  $\eta_m = 5 \times 10^{17}$ Pa s. Velocity is calculated assuming L = 100 m. In the case of a constant  $\tau = 50$  MPa, deformation of mélange containing clasts with a high frictional strength,  $\tau_y = 200$  MPa, results in a velocity of 2 cm yr<sup>-1</sup>. Reducing the clast frictional strength to 50 - 80 MPa (indicated by arrow) would increase this to 4 - 16 cm yr<sup>-1</sup>.

ample demonstrates that  $\tau'$  must be initially relatively low in order to generate a large strain-rate transient.

#### 406 5 Discussion

We previously predicted, and have tested here, that fracturing could occur at rel-407 atively low applied shear stress in a mélange due to stress amplification, and this stress 408 amplification could lead to a transient period of high strain-rate until the mélange jams 409 again (Beall et al., 2019, Fig. 1a-b). Fracturing of clasts involved in force chains does 410 indeed occur at low bulk stress, lowering the stress within the most load-bearing force 411 chains. The fracturing also allows surrounding matrix to creep by releasing the jammed 412 portion of the mélange. We found that clast fracturing can occur when  $\tau \approx 35$  MPa 413 and  $\lambda = 0.8$ , even at 40 km depth. More generally, fracturing of multiple force chain 414 clasts (Figs. 3 and 5) occurs when  $\tau > 0.22\tau_y$ . Fracturing of clasts within a mélange 415 therefore may be just as indicative of a large strength contrast between the matrix and 416 clast minerals, as extreme pore pressure (e.g. Webber et al., 2018). 417

The models verify that deformation of jammed mélange switches from clast to ma-418 trix dominated with increasing bulk stress, as predicted by the Bingham model. In a mix-419 ture of strong frictional clasts and weak viscous matrix, mixed visco-brittle deformation 420 may record periods of high stress and/or weakened clasts and therefore high strain-rates 421 (creating slip transients, e.g. SSEs), the duration of which may be related to transient 422 effective stress and healing of fractures. Fracturing at low  $\tau$  does not, however, result 423 in the dramatic switch to viscous deformation predicted by the Bingham model. This 424 is because clast stresses follow a skew normal distribution and weakening of a force chain 425 with the highest stress amplification does not weaken the force chains with less stress 426 amplification (Fig. 5). This effect does, however, result in a non-linear bulk rheology, 427 as each increment of stress weakens a greater number of force chains at higher stress. The 428 highest strain-rate variation therefore results from changes to the proportion of clasts 429 undergoing frictional failure. As force chains in a jammed material are critically organ-430 ised, weakening of one force chain will lead to stress redistribution and an entire shear 431 zone can be unjammed if clast weakening is high. 432

433 Compared to the weak stress-dependence of frictional sliding, viscous systems are
 434 extremely damped (stress-dependent). For example, the viscous strain-rate in a Bing-

-20-

ham material increases from zero, when fracturing begins, to  $\dot{\epsilon} \propto (\tau - \phi \tau_y)/\eta$  (Eq. 1). 435 A large  $\dot{\epsilon}$  therefore requires a large stress increase, large yield stress decrease, or a small 436 viscosity. Ando et al. (2012) inferred that  $\tau_y$  dynamically decreases by a similar mag-437 nitude to the SSE stress drop (typically only 10-100 kPa; Brodsky & Mori, 2007) and 438 as a result predicted an extremely low  $\eta_m \sim 10^{11}$  Pa s in order to reproduce SSE ve-439 locities. Stress drop in this system, however, could instead be limited by the viscous strain 440 during the SSE and is not necessarily related to  $\tau_y$  (as would be the case in a Reuss mix-441 ture). A large dynamic decrease in  $\tau_y$  could drive the viscous strain-rate increase. In our 442 models, the frictional clast strength would need to reduce by  $\sim 75\%$  to result in an  $8\times$ 443 increase in strain-rate (Fig. 6). Should large local variations in  $\tau_y$  occur, then velocities 444 could transiently increase from 2 up to 16 cm  $yr^{-1}$  (plate velocity rates and higher) across 445 a 100 m thick mélange shear zone with  $\eta_m = 5 \times 10^{17}$  Pa s for  $\tau = 50$ MPa (Fig. 6). 446 The predicted matrix viscosity is relatively low, but can be reconciled with pressure-solution 447 creep (Niemeijer, 2018) or phyllosilicate flow laws (Mares & Kronenberg, 1993; Hilairet 448 et al., 2007). 449

Though the degree of frictional weakening predicted is significantly higher than the 450  $\sim 1\%$  weakening typical of frictional sliding at  $\sim 10^{-4}$  m s<sup>-1</sup> (Marone et al., 1990), it 451 could be explained by opening of extension or extensional-shear fractures in clasts, as 452 an opened fracture becomes a free surface. Such through-going fractures with a tensile 453 component are commonly observed in mélange clasts (Fig. 7a), and can be confined to 454 the clasts and form at an angle of  $80^{\circ}$  to discrete shear surfaces parallel to the shear zone 455 S-fabric (Fagereng, 2011b, 2013). These fractures accommodate extension of the clasts 456 (pure shear), which is kinematically consistent with simple shear partitioned into and 457 accommodated by the matrix. The mélange models also deform by this combination of 458 clast pure shear and matrix simple shear. If tensile fracturing were incorporated and favourable 459 over shear failure, the modelled conjugate failure planes would likely be replaced with 460 single tensile fractures. Localised visco-brittle clast deformation grades into distributed 461 viscous matrix deformation in the models, due to the choice of rheologies. These rhe-462 ologies reflect observed mélange deformation, where fractures within and at the edges 463 of clasts grade into distributed matrix deformation at both thin-section and outcrop scale 464 (Fagereng & Sibson, 2010; Hayman & Lavier, 2014). 465

Simple shear of mélange may occur by combined deformation of a network of visco brittle matrix shears and connecting tensile fractures as described in several field exam-

-21-

ples (Fig. 7b; Sibson, 1996; Meneghini & Moore, 2007; Fagereng et al., 2010; Ujiie et al., 468 2018). Such shear-fracture meshes within a ductile matrix are analogous to our model, 469 which is rate-limited by viscous deformation when clast fracturing occurs. This model 470 of local fracturing limited by surrounding ductile creep applies when there is no inter-471 connected network of frictional material in the direction of simple shear. In our mod-472 els, this condition is guaranteed by the assumption of a viscous matrix, however, extrap-473 olation to nature requires that any fractures within the matrix (not modelled here) do 474 not extend/connect to form a more extensive shear fracture network. If localized shears 475 do develop in the matrix, experiments indicate that these may favour development of 476 frictional instabilities, but slip speed is still modulated by their interaction with surround-477 ing creeping matrix (Scuderi et al., 2017). 478

Stress drop is controlled by the bulk shear zone simple shear strain, which unloads the elastic upper plate. The stress drop in the Bingham model is therefore limited by the magnitude of finite viscous strain during a strain transient, which is limited by the period over which  $\tau_y$  is weak (i.e. healing). Significant weakening of  $\tau_y$  does not then necessarily correspond to a large stress drop. Force chain clasts may fracture and weaken, elastically loading the viscous matrix (through elastic strain which was neglected in our models), before regaining their strength at a similar time-scale to viscous creep.

Slow slip events are often modelled as frictional ruptures governed by the empir-486 ical rate-and-state laws (Rubin, 2008). In these models, seismic rupture is prevented by 487 the similar strengths of the velocity strengthening and weakening parts, a weak stress-488 dependence (rate-and-state properties  $a - b \approx -10^{-2}$  to  $-10^{-3}$ ), and the effective 489 normal stress being extremely small (on the order of kPa). A ~kPa normal stress also 490 implies megathrust shear stresses of similar order, which is difficult to reconcile with es-491 timates of 10 MPa order from geodynamic models (Lamb, 2006) and from creep rheol-492 ogy and piezometry near the brittle-ductile transition (Angiboust et al., 2015). In con-493 trast, in the rheologies used here, provided that the matrix material in the mélange is 494 velocity-strengthening, the strain-rate will always be limited by the matrix viscosity. In 495 our model, the effective normal stress can be  $\sim 10$  MPa provided it is low enough so that 496 clast fracturing can occur by local stress amplification (assuming high viscosity contrast 497 and  $\phi$ ). Recent rupture models incorporating a microphysical model with viscous creep 498 were able to generate a combination of aseismic and seismic slip events, with an effec-499 tive normal stress < 50MPa (van den Ende et al., 2018). Incorporating viscous damp-500

-22-



Figure 7. Top) Example of a fractured clast in the Gwna mélange, Cemaes Bay, Anglesey, UK. This is the mélange type locality region (Greenly, 1919) and is interpretted as a subduction accretionary complex (Kawai et al., 2007). Tensile fractures are confined to clasts and are kinematically consistent with distributed matrix shear strain. Bottom) Schematic of hypothesised strain-accumulation mechanisms. Mélange simple shear is accommodated by pure shear of the clasts, which can occur through the opening of extension or extensional-shear fractures, and simple shear within the matrix.

ening into SSE models may therefore be a way to reconcile SSEs with regional stresses
 of MPas, and help to explain their ubiquity in global subduction zones.

#### 503 6 Conclusion

We have characterised the bulk rheology of a mélange consisting of strong visco-504 brittle clasts embedded in a weak viscous matrix using numerical visco-plastic finite el-505 ement models. When the clasts form a stress-bearing force-chain network, the bulk vis-506 cosity of the mélange can be more than an order of magnitude stronger than the matrix 507 viscosity, in the absence of clast fracturing. When fracturing is allowed, clasts within the 508 most load-bearing force chains undergo frictional failure in models with bulk stress far 509 below the clast frictional strength,  $\tau \geq 0.22\tau_y$ , because of the stress amplification that 510 occurs within a shear zone with high viscosity contrasts. The fracturing of clasts acts 511 to homogenize stress in the force chain network and redistribute stress into viscous ma-512 trix deformation. As deformation is limited by clast friction at low stress and rate-strengthening 513 viscous matrix creep at high stress, mélange rheology resembles a Bingham plastic. How-514 ever, unlike a Bingham plastic, the switch from brittle to viscous deformation occurs across 515 a gradual transition, due to the heterogeneity of force chain stresses. This transition re-516 sults in an effective rheology in the form of  $\dot{\epsilon} \propto ln(\tau)$ , as the number of clasts fractur-517 ing is more stress-sensitive at higher stress. This gradual transition also requires a large 518 stress increase or clast yield strength decrease (> 70%) in order to produce significant 519 bulk strain-rate increase ( $\sim 8 \times$ ). 520

The models demonstrate how damped (i.e. significantly rate-strengthening) a visco-521 brittle shear zone can be when no frictional slip surface spans it. Such a damped sys-522 tem could still generate a period of high strain-rate with a negligible stress drop and at 523  $\sim 10$  MPa shear stress, if frictionally failing clasts temporarily lose most of their strength. 524 In this case, a matrix viscosity of  $< 5 \times 10^{17}$  Pa s could be reconciled with SSEs, com-525 parable to rheological estimates. We suggest that this frictional weakening could occur 526 due to the opening of extension or extensional-shear fractures. This prediction needs to 527 be tested through future modelling incorporating tensile fracturing and elasticity. Sim-528 ple shear across the modelled shear zone is accommodated by extension of clasts (pure 529 shear) and simple shear of the matrix. This model is supported by observed shear net-530 works in exhumed mélange. The incorporation of viscous dampening into SSE rupture 531

-24-

models is likely to permit aseismic SSEs for a wider range of conditions than presently

thought, explaining their ubiquity in subduction zones globally.

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