

Joint sensing of bedload flux and water depth by seismic data inversion

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Rivers are the fluvial conveyor belts routing sediment across the landscape. While there are proper techniques for continuous estimates of the flux of suspended solids in rivers, constraining bedload flux is much more challenging, typically involving extensive and expensive measurement infrastructure or labour-intensive manual measurements. Seismometers are potentially valuable alternatives to in-stream devices, delivering continuous high resolution data on the average behaviour of a given reach. Two models exist to predict the seismic spectra generated by river turbulence and bedload flux. However, these models require estimating a large number of parameters and the spectra usually overlap significantly, which hinders straightforward inversion. We provide a set of functions as part of the R package 'eseis' that allow generic modelling of hydraulic and bedload transport dynamics from seismic data using these models. The underlying Monte Carlo approach creates lookup tables of potential spectra, which are compared against the empirical spectra to identify the best fitting solutions. The method is validated against synthetic data sets and independently measured metrics from the Nahal Eshtemoa, Israel, a flash flood dominated ephemeral gravel bed river. Our approach reproduces the synthetic time series with average absolute deviations of 0.01--0.04 m (water depth) and 0.00--0.04 kg/sm (bedload flux). The example flash flood water depths and bedload flux are reproduced with respective average deviations of 0.10 m and 0.02 kg/sm. Our approach thus provides generic, testable and reproducible routines for a quantitative description of key metrics, hard to collect by other techniques in a continuous and representative manner.

This paper is a non-peer reviewed preprint uploaded to EarthArXiv, and submitted to the Journal Water Resources Research. It is the revised version of the manuscript, after implementing the comments and suggestions of three reviewers and and the editor.

1 **Joint sensing of bedload flux and water depth by**
2 **seismic data inversion**

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8 **Key Points:**

- 9 • We introduce a generic approach to inverting seismic records for flood water depth
10 and bedload flux
11 • Average model deviations are 0.01–0.04 m (water depth) and 0.00–0.04 kg/sm (bed-
12 load) for several synthetic data sets
13 • Our approach allows continuous processing of field data with < 0.10 m (water depth)
14 and < 0.02 kg/sm (bedload flux) average deviation

Abstract

Rivers are the fluvial conveyor belts routing sediment across the landscape. While there are proper techniques for continuous estimates of the flux of suspended solids in rivers, constraining bedload flux is much more challenging, typically involving extensive and expensive measurement infrastructure or labour-intensive manual measurements. Seismometers are potentially valuable alternatives to in-stream devices, delivering continuous data with high temporal resolution on the average behaviour of a given reach. Two models exist to predict the seismic spectra generated by river turbulence and bedload flux. However, these models require estimating a large number of parameters and the spectra usually overlap significantly, which hinders straightforward inversion. We provide a set of functions as part of the R package 'eseis' that allow generic modelling of hydraulic and bedload transport dynamics from seismic data using these models. The underlying Monte Carlo approach creates lookup tables of potential spectra, which are compared against the empirical spectra to identify the best fitting solutions. The method is validated against synthetic data sets and independently measured metrics from the Nahal Eshtemoa, Israel, a flash flood dominated ephemeral gravel bed river. Our approach reproduces the synthetic time series with average absolute deviations of 0.01–0.04 m (water depth, ranging between 0–1 m) and 0.00–0.04 kg/sm (bedload flux, ranging between 0–4 kg/sm). The example flash flood water depths and bedload fluxes are reproduced with respective average deviations of 0.10 m and 0.02 kg/sm. Our approach thus provides generic, testable and reproducible routines for a quantitative description of key metrics, hard to collect by other techniques in a continuous and representative manner.

1 Introduction

Understanding the boundary conditions and non-linear dynamics of bedload transport by streams is essential for understanding process geomorphology and long term landscape evolution, but also from an engineering and hazard perspective, especially if the transport happens under episodic flood conditions. Accordingly, there has been significant effort in collecting instrumental data on important parameters determining flow characteristics and boundary conditions. Classic approaches involve either labour-intensive manual sampling (e.g., King et al., 2004; Bunte & Abt, 2005), or the permanent construction of monitoring infrastructure in the stream bed (e.g., Habersack et al., 2016). Any sensors within the stream need to be sufficiently resilient to maintain operation under the harsh conditions during flood events (Geay et al., 2017). Typical in-stream observatories include pressure gauges, temperature sensors and turbidity sensors. Bedload dynamics are monitored with time-resolving slot samplers (Cohen et al., 2010) and acoustic impact sensors, such as pipe microphones, geophones and accelerometers, or plate geophones (Mizuyama et al., 2010; Rickenmann, 2017). All acoustic bedload sensors, with the exception of hydrophones deployed in the water column (Geay et al., 2019), deliver direct and indirect data on the target parameters, provide point measurements or can at best be installed along a line crossing the channel (e.g., Hilledale et al., 2014), whereas interest is often directed to the average dynamics of a given reach.

In recent years, a complementary approach has gained increasing attention: stream-side instrumentation with seismic sensors (Burtin et al., 2008; Barrière et al., 2015; Roth et al., 2016; Schmandt et al., 2017). Such sensors, typically off-the-shelf seismometers or geophones, are installed at a safe distance from the inundated channel and record the ground motion due to in-stream processes. A sensor can be deployed within less than an hour, record data continuously and autonomously for several months, and is, in principle, able to transmit the data in near real time to processing and evaluation facilities. Hence, seismic monitoring shows potential for recording bedload flux, which has recently been demonstrated under laboratory and fields conditions (Gimbert et al., 2019; Schmandt et al., 2017). However, unlike signals derived from bedload impact sensors and similar to the soundscape of rivers recorded by in-stream hydrophones (Geay et al., 2017), seis-

mic signals derive from a multitude of sources (e.g., Roth et al., 2017) and, therefore, the identification, extraction, and processing of signals to determine bedload flux is challenging.

Physical models have been suggested to predict the seismic frequency spectra due to bedload transport (Tsai et al., 2012) and due to hydraulic processes within a channel (Gimbert et al., 2014). Dietze (2018) has shown how to use such models to infer water depth for creeks. This involved computing a lookup table of potential spectra that differ only due to changes in river depth and identification of the best reference data fits to the time series of empirical spectra. Here, we expand this approach to bedload flux, based on the notion that the spectra generated by turbulence and bedload transport should be sufficiently distinct (cf. Gimbert et al., 2014; Dietze et al., 2019). In our approach, fits of the empirical data with pre-calculated reference spectra are optimised based on random combinations of the target parameters. Applying the approach to a case study at the Nahal Eshtemoa, Israel, we show how seismic stations can be used to continuously estimate key hydraulic and bedload transport parameters. We explore the validity of the approach based on synthetic data and by comparing the model output against independent measurements of target parameters. We show the value of seismic stations to gather insight on the anatomy of bedload transporting floods, and discuss the potential and the limitations of the technique.

2 Materials and methods

2.1 Study site and instrumentation

The Nahal (river) Eshtemoa is an ephemeral, flash flood dominated gravel bed river in the semi-arid northern Negev Desert, Israel, draining the southern Hebron mountains in a catchment of about 112 km². Close to the town of As-Samu, the stream crosses a gently undulating landscape in an alluvial valley. A straight, 5 m wide reach with 1 m high banks and carbon-cemented gravels is instrumented by a comprehensive in-stream observatory (Laronne et al., 1992), including Reid-type slot samplers, plate geophones, a pipe microphone, water quality sensors and sampler, as well as pressure transducers for the determination of water depth and water surface slope (Reid & Laronne, 1995; Powell et al., 2001). Since 2016, a Nanometrics TC120s broadband seismometer has been installed in the right bank (Fig. 1 a, b). It is sampled by a Nanometrics Centaur data logger at a recording frequency of 200 Hz and a gain of 2.

2.2 Computational environment

The R package 'eseis' (Dietze, 2018) is a free and open source toolbox for handling the work flow of generic environmental seismology. With the latest developer version (0.5.0) it contains models to predict the seismic spectrum due to turbulent channel flow (Gimbert et al., 2014), and impacting bedload particles (Tsai et al., 2012). Both models can be explored in an interactive graphical user interface (GUI) (Fig. 2). Three additional functions, denoted by the prefix `fmi`, are devoted to the approach of fluvial model inversion presented in this study. Data preparation, processing, analysis and visualisation steps were performed with R v. 3.5.3 (RCoreTeam, 2015). The R functions, data sets and utilised scripts as commented markdown files are available in the supplementary materials (<https://osf.io/5uzpw/>) to reproduce the presented results.

2.3 Data processing

Flood water depth and bedload flux time series were recorded at one minute resolution. The bedload flux time series starts when at least 4 kg of sediment have been collected in the slot samplers during an event, which represents the sensitivity threshold of the sensors. We used the median of the values measured by the three bedload sam-

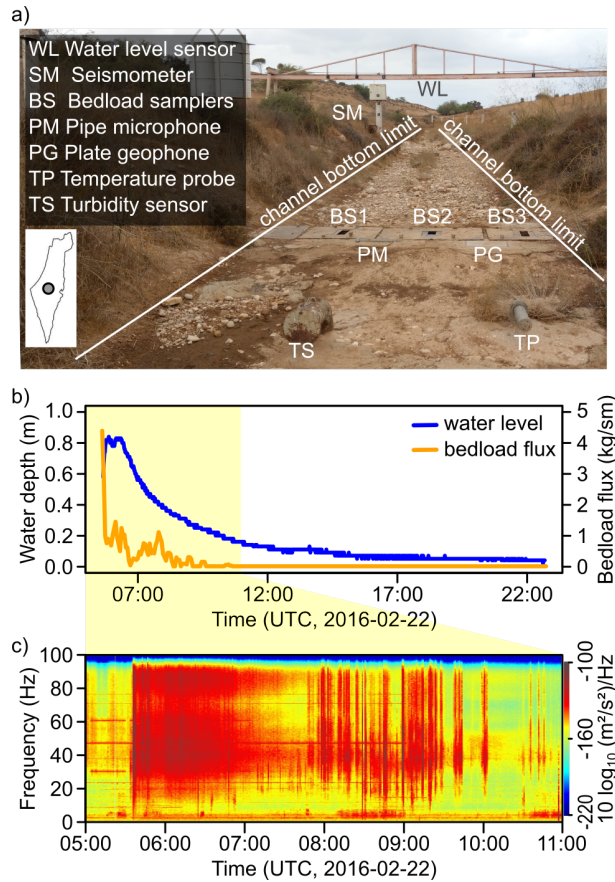


Figure 1. Study site, instrumentation and example flood event. a) View upstream of the flash flood prone Nahal Eshtemoa, Israel. At this location, an in-stream observatory records many essential hydraulic, sediment transport and chemical parameters. Bedload flux data in b) are provided by averaging the three slot sampler time series. A broadband seismometer is installed at the true right bank. b) Hydrograph (blue line) and bedload flux (orange line) data from an example flood event; yellow background denotes period of interest. c) Spectrogram of the example flood as recorded by the seismometer.

115 plers to generate a representative bedload flux per unit stream width. The recorded seis-
 116 mic files were converted to hourly SAC files (IRIS, 2017) and organised in the consis-
 117 tent structure as used by the functions of the 'eseis' package. For the relevant part of
 118 the flood (05:40 to 11:00 UTC, cf. Fig. 1 b) we calculated a spectrogram from the ver-
 119 tical component of the seismic time series using the method of (Welch, 1967) with 10 s
 120 long, non-overlapping windows, created by averaging 5 s long and 80 % overlapping sub
 121 windows (Fig. 1 c).

122 2.4 Model approach

123 Our approach assumes that the recorded seismic spectrum is dominated by chan-
 124 nel activity, i.e., a combination of turbulent flow and sedimentary particles impacting
 125 the channel floor during bedload transport, whereas other sources such as the effects of
 126 wind and rain, or anthropogenic activity are of subordinate importance. Under these con-
 127 ditions, we can exploit the combination of the seismic models of Tsai et al. (2012) and
 128 Gimbert et al. (2014).

129 The seismic spectrum due to particles impacting the bed is based on the Hertzian
 130 impact theory. Impact energies are calculated for a mobile sediment layer, composed of
 131 particles whose sizes are drawn from a raised cosine distribution function (Tsai et al.,
 132 2012). The layer is further characterised by its velocity, height and settling velocity. The
 133 seismic power is calculated for each frequency increment of the output spectrum, account-
 134 ing for seismic ground properties that determine frequency-dependent amplitude changes.
 135 The seismic spectrum due to the interaction of turbulent fluid flow with bed particles
 136 (Gimbert et al., 2014) is described by drag, lift and cross-stream force fluctuations. The
 137 force fluctuations are converted to ground velocity and, subsequently, to a seismic power
 138 spectrum representative of the vertical spatial component.

139 We used a Monte Carlo approach to randomly vary the two parameters of inter-
 140 est, water depth and bedload flux, to generate 5000 different potential seismic conditions
 141 that serve as a look up table. In addition, to account for flow without bedload transport,
 142 we calculated another 1000 realisations where bedload flux was set to zero and only wa-
 143 ter depth was varied. In the Nahal Eshtemoa case, we allowed water depth (h_w) to range
 144 from 0.01 m (minimum value required to allow model evaluation) to 1.20 m (120 % of
 145 bankfull depth). Bedload flux q_s was varied between 0 kg/sm and 15 kg/sm (200 % of
 146 the range reported for other floods, (cf. Cohen et al., 2010)). The selected boundaries
 147 are arbitrary and can be extended, if needed – for example, when the model output yields
 148 values that clearly undershoot the expected empirical data. For each parameter com-
 149 bination, we generated a seismic reference spectrum, and calculated root mean square
 150 errors with the corresponding observed spectrum. For each time step, we then selected
 151 the values for water depth and bedload flux corresponding to the reference spectrum with
 152 the smallest root mean square error. To account for short term variability of the seis-
 153 mic record, the model results were smoothed with a running average (R package caTools
 154 v. 1.17.1.2, (Tuszynski, 2014)) using a window size of 18 samples, i.e. 180 s.

155 2.5 Estimation of unknown model parameters

156 Both the turbulence (Gimbert et al., 2014) and the bedload (Tsai et al., 2012) model
 157 require constraints on a set of 17 parameters (Table 1). Some of these parameters can
 158 be determined from field measurements, namely the median grain size D_{50} (d_s), logarith-
 159 mic grain size standard deviation (s_s), channel width (w_w), channel bed gradient (a_w),
 160 and the distance between the centre line of the river and the seismic station (r_0). Other
 161 parameters can be estimated at reasonable accuracy based on prior measurements, such
 162 as the specific density of the fluid (r_w) and of the bedload material (r_s). And yet oth-
 163 ers are simply set according to computational needs and convention, such as the refer-
 164 ence frequency (f_0), frequency range (f) and resolution (res) for which the model yields

Seismic spectra model visualisation

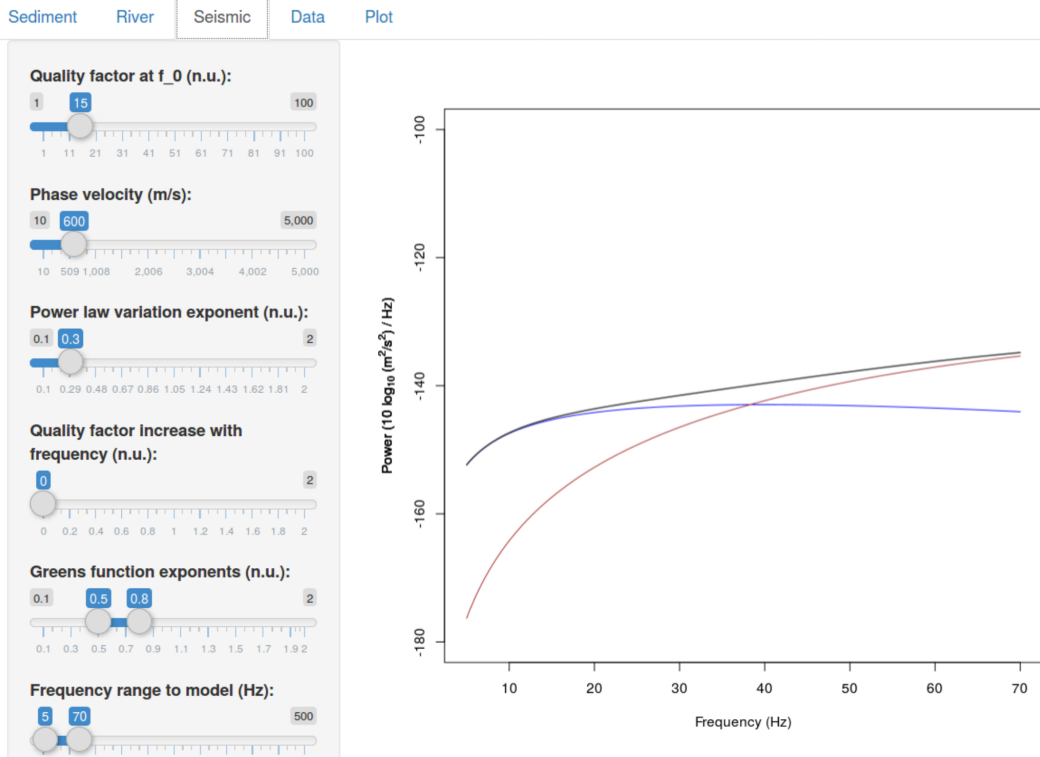


Figure 2. Interactive GUI of the seismic models, available through the R package ‘eseis’. The application can be used to explore the effect of model parameters. It allows changing all relevant model parameters and generates instantaneous updates of the results. The blue line depicts the result of the water turbulence model, the red line shows the bedload model output and the black line illustrates the combined model spectrum.

165 results. Several parameters describe the seismic ground characteristics due to the site
 166 properties. This set of parameters (material quality factor q_0 and its increase with fre-
 167 quency e_0 , Rayleigh wave phase velocity at the reference frequency v_0 and its variation
 168 coefficient p_0 , and the Greens function displacement amplitude coefficients n_0) can be
 169 constrained by performing an active seismic survey (e.g., Foti et al., 2018). However, when
 170 that is not possible (as is the case in this study), they must be estimated.

171 In a first step we make use of the interactive GUI provided with the R package ‘es-
 172 eis’ (Fig. 2). This application allows changing all relevant model parameters and instan-
 173 taneously visualises the updated model outputs, together with an optionally provided
 174 empirical spectrum. We used this tool to explore the meaningful parameter space, which
 175 is able to create model spectra that match the overall shape and amplitude of a series
 176 of empirical spectra. We focused on empirical spectra at the beginning of the flood event,
 177 where sharp rises of broadband seismic signals (Tsai et al., 2012; Schmandt et al., 2017)
 178 indicate pulses of bedload movement close the seismic sensor, and later stages of the flood,
 179 when the bedload signal is no longer visible in the seismic spectrogram and most of the
 180 seismic signal is presumably generated by turbulence. We adjusted the parameters q_0 ,
 181 v_0 , p_0 , e_0 and n_0 to roughly match the shape of the resulting fluvial, bedload and joint
 182 spectra to the empirical example spectra mentioned above. Thereafter, we changed the
 183 parameters water depth and bedload flux to adjust the seismic power of the model spec-

Table 1. Model parameter names, units and values. Values in parentheses give initial parameter ranges used to find the most plausible values for seismic model parameters (see section 2.5). * Cohen et al. (2010)

Parameter	Unit	Symbol	Nahal Eshtemoa
D ₅₀ bedload grain diameter	m	d_s	0.01*
Grain diameter standard deviation	log m	s_s	1.35*
Bedload flux	kg/sm	q_s	0–20
Sediment density	kg/m ³	r_s	2650
Fluid density	kg/m ³	r_w	1040
Water depth	m	h_w	0.01–1.20
Average channel width	m	w_w	5
Channel slope	radians	a_w	0.0075*
Distance river centre to station	m	r_0	5.5
Reference frequency	Hz	f_0	1
Model frequency range	Hz	f	10–70
Material quality factor at f_0	–	q_0	16.77 (15–20)
Rayleigh wave phase velocity at f_0	m/s	v_0	859 (800–900)
Variation coefficient for v_0	–	p_0	0.62 (0.4–0.7)
Q increase with frequency	–	e_0	0.07 (0.01–0.25)
Greens function displacement amplitude coefficients	–	n_0	0.5, 0.8

184 tra until they visually matched the empirical spectra. The quality of the match was sub-
 185 sequently quantified and optimised by minimising the root mean square error. From this
 186 set of combinations optimized to first order we started changing the seismic parameters
 187 towards lower and higher values, respectively, until the match of empirical and model
 188 spectra obviously diverged. We defined these parameter ranges as the limits for the sub-
 189 sequent step of parameter range optimisation. In a second step we performed the inver-
 190 sion of the example flood data set in an extended Monte Carlo experiment. Since the
 191 lower and upper Greens function parameters n_0 did not have significant impact on the
 192 model spectra shape when changing them between 0.4 and 0.8 and 0.5 and 0.9, respec-
 193 tively, we set them arbitrarily to 0.5 and 0.8. We created 10^5 random parameter com-
 194 binations of the most sensitive parameters (q_0 , v_0 , p_0 , e_0) and the target parameters (h_w
 195 and q_s), exploring the range of the former set of parameters to identify the most likely
 196 values throughout the event (i.e., the medians of the distributions).

197 2.6 Model validation

198 In order to infer the ability of the model approach to estimate water depth and bed-
 199 load flux, we created several synthetic data sets, inverted them and compared the result-
 200 ing model time series of the target parameters to the input data (Fig. 3). All except the
 201 varied parameters are the same as denoted in Table 1. Synthetic data set 1 imposes a
 202 constant water depth of 0.5 m. The bedload is injected after 2 h of the modelled time
 203 period, resulting in an instantaneous rise to 5 kg/sm, which is held constant for another
 204 2 h until it is reduced linearly to zero for the rest of the time. This data set is mainly
 205 used to test the sensitivity of the model to fluctuations in a parameter when the other
 206 is changed. Synthetic data set 2 assumes synchronously rising and falling water depth
 207 and bedload flux, both of which are modelled as lognormal distribution curves. This sce-
 208 nario reflects a river where water depth and bedload flux do not show a hysteresis ef-
 209 fect and where the seismic signal overlap is constant through time. Synthetic data set
 210 3 features a lognormal bedload time series that rises steeper and narrower than the log-
 211 normal water depth time series, thus generating a bedload wave travelling in front of the

212 flood wave. This scenario inherits a clockwise hysteresis pattern. Synthetic data set 4
 213 uses the empirically measured water depth and bedload flux values to generate a seis-
 214 mic spectrogram. It is used to explore how precisely the target variables can be estimated
 215 by the model approach under ideal conditions: all signals of the spectrogram are only
 216 caused by flowing water and bedload flux.

217 Model quality is assessed by the absolute difference between synthetic spectra and
 218 the best fit modelled reference spectra. This error can be studied both in time and fre-
 219 quency space. Another measure of model quality is the error (residual) between water
 220 depth or bedload flux and the respective model estimates.

221 3 Results

222 3.1 Characteristics of the flood

223 The flood hydrograph (data available under <https://osf.io/5uzpw/>) shows a rapid
 224 rise of water depth although the actual onset of the event is not shown here because we
 225 define the event by the onset of the bedload sampler records, i.e., at 05:40 UTC. After
 226 the flood's double peak occurred (0.84 and 0.83 m), water depth dropped logarithmically
 227 for at least 13 h (Fig. 1 b). The three bedload samplers monitored a maximum average
 228 value of 4.29 kg/sm. The highest bedload fluxes were recorded within the first two min-
 229 utes. Thereafter values declined progressively to almost zero around 05:55 UTC, when
 230 two further, smaller bedload waves (peak flux 1.08 kg/sm) emerged for 30 min. Bedload
 231 transport ceased at 07:10 UTC. With the onset of the flood, the seismic spectrogram shows
 232 a broadband (10–90 Hz) increase in seismic power up to -100 dB, which progressively
 233 grades into the background for about one hour. At about 07:50 UTC, a period of broad-
 234 band spike appearance occurs that lasts for at least 2.5 h.

235 3.2 Model validation with synthetic flood time series

236 The ability of the model to reconstruct the synthetic time series of target param-
 237 eters (which were used to generate noise-free spectrograms that were inverted) provides
 238 the accuracy baseline for the actual inversion of the empirical data set. Synthetic data
 239 set 1 (Fig. 3 a) yielded absolute differences between best fit model and input spectro-
 240 gram of less than 0.5 dB and target parameter errors of 0.02 ± 0.04 m (water depth) and
 241 -0.03 ± 0.06 kg/sm (bedload flux). The modelled time series resemble the onset of changes
 242 and are only slightly affected by changes in the corresponding parameter. Synthetic data
 243 set 2 (Fig. 3 b) has only minor spectral differences (less than 0.26 dB) and model errors
 244 (0.02 ± 0.04 m and -0.06 ± 0.13 kg/sm, respectively). The concurrent changes in water depth
 245 and bedload flux are captured well. However, during the second half of the synthetic event
 246 the model produced increasingly larger deviations. Synthetic data set 3 (Fig. 3 c) has
 247 the largest spectral differences (up to 1.75 dB), but yielded the smallest target param-
 248 eter errors (-0.01 ± 0.03 m and -0.001 ± 0.03 kg/sm, respectively). These errors mainly ap-
 249 pear towards the end of the synthetic data set, when the continuously declining water
 250 depth curve is represented by step-wise model results. The synthetic data set produced
 251 by the real world time series of water depth and bedload flux (Fig. 1 b) produced spec-
 252 tral differences of up to 0.47 dB and target parameter errors for water depth and bed-
 253 load flux of -0.04 ± 0.03 m and -0.001 ± 0.02 kg/sm, respectively. The water depth is thus
 254 overestimated, especially when bedload transport ceases.

255 3.3 Model parameter estimation

256 Explorative model parameter adjustments (Fig. 2) revealed that the shape of the
 257 fluvial and bedload model spectra can vary significantly. In turn, the parameter range
 258 that lets the models and their summed effect converge in shape to those of the empir-
 259 ical spectra during the peak water depth and the falling limb of the flood is small. Thus,

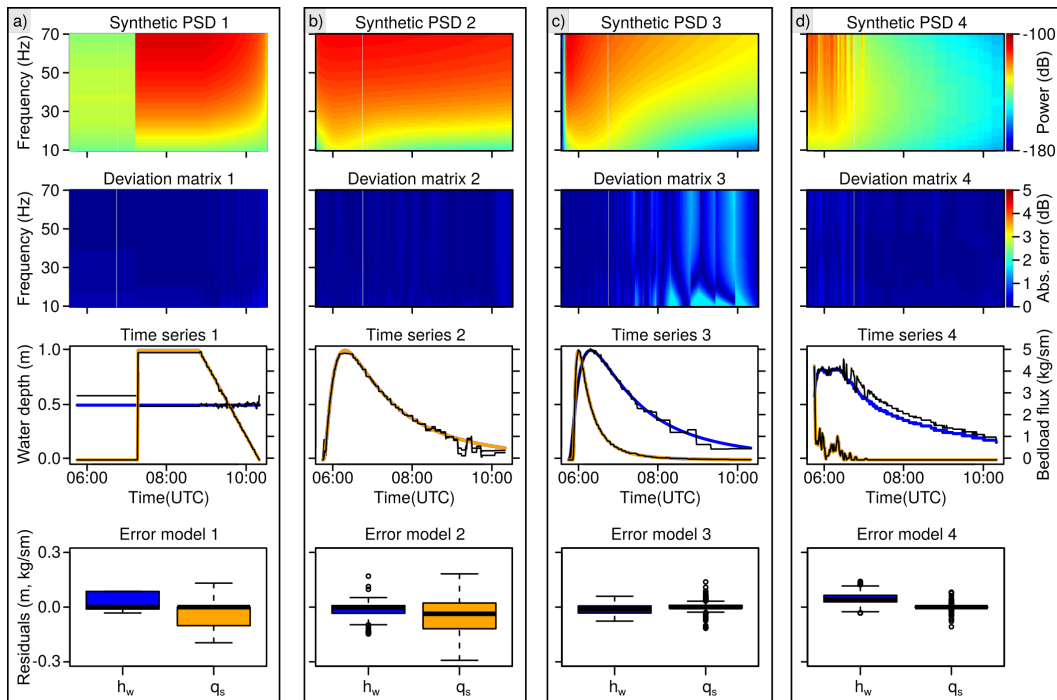


Figure 3. Model validation summary. Four synthetic data sets were tested, and are organised by columns a-d). Each panel shows the resulting synthetic spectrogram, the fit deviation matrix depicting the root mean square error between empiric spectra and best fit reference spectra, the input (blue line shows water depth, orange line bedload flux) and modelled time series (black lines), and the distribution of model errors (residuals) in target parameter units.

260 we defined the limits within which q_0 was allowed to vary to 15–20, for v_0 to 800–900
 261 m/s, for p_0 to 0.4–0.7 and for e_0 to 0.01–0.25 (cf. Tab. 1). As expected, changes in the
 262 input parameters water depth and bedload flux result in amplitude shifts with no vis-
 263 ible effects on the shape of the spectrum (Fig. 4 a). In contrast, higher ground quality
 264 factors (Fig. 4 b) lead to systematic increase of spectral power especially for higher fre-
 265 quencies, an observation which is not visible in the empirical data (Fig. 1 c). A contrary
 266 effect occurs for the Rayleigh wave phase velocity v_0 (Fig. 4 c), where lower frequencies
 267 decrease in power with increasing parameter values. The wave velocity variation coef-
 268 ficient p_0 (Fig. 4 d) mainly affects the amplitude of the bedload spectrum and the con-
 269 vexity of the turbulence spectrum. The parameter describing quality factor increase with
 270 frequency e_0 (Fig. 4 e) results in declining seismic power for higher parameter values.
 271 This parameter is not included in the turbulence model and has therefore no effect on
 272 the latter.

273 Running the Monte Carlo approach with the range of seismic parameters as defined
 274 in Tab. 1 yielded convergent results with median values and quartiles of the distributions
 275 well within the defined parameter range (Fig. 4 f). The effect of the parameters is in-
 276 dependent of each other. Thus, the best fitting combination of parameters for each of
 277 the 10 s long empirical spectra can in principle be anywhere within that imposed range.
 278 Since this is not the case the parameter distribution is assumed to be unimodal and ad-
 279 equately represented by the median as a most likely value. Therefore, we chose the me-
 280 dians ($q_0 = 16.77$, $v_0 = 859$, $p_0 = 0.62$, $e_0 = 0.07$) for the subsequent Monte Carlo run
 281 to estimate the actual target parameters.

282 3.4 Model results for the empirical data set

283 The seismic data of the example flood event (Fig. 1 c) shows contribution of the
 284 expected frequency bands between 5 and 70 Hz (Tsai et al., 2012; Gimbert et al., 2014;
 285 Schmandt et al., 2017). However, above 70 Hz there is increased seismic energy. That
 286 pattern appears to be a horizontally flipped version of the < 70 Hz signals and cannot
 287 be physically explained. Therefore, and to avoid introducing a systematic bias, we trun-
 288 cated the spectrogram to the frequency range 10–70 Hz, an interval to which the seis-
 289 mic models are most sensitive. Furthermore, to reduce scatter in the frequency domain
 290 and to improve computational speed (the frequency vector of the raw spectrogram had
 291 1000 values), we spline-interpolated the frequency vectors of the spectrogram to 100 val-
 292 ues between 5 and 70 Hz, corresponding to the modelled spectra (cf. supplementary ma-
 293 terials I).

294 The best fit spectra deviations (Fig. 5 b) range between 0 and 15 dB. The high-
 295 est deviations appear at the continuous narrow band signals (23, 47 Hz) as well as dur-
 296 ing the period with numerous short term, broadband signals (7:50–10:10 UTC). Smaller
 297 deviations, up to 10 dB occur during the early stage of the flood (5:40–7:50 UTC). They
 298 affect the upper and lower frequencies of the modelled spectra as well as the central bands
 299 (30–45 Hz).

300 The modelled water depth (Fig. 5 c) is in general agreement with the independent
 301 water depth measurements, although the falling limb of the flood is underestimated by
 302 0.10 m on average (i.e., median of the absolute deviations). During 7:50 and 10:10 UTC
 303 (grey polygon in Fig. 1), when the spectrogram (Fig. 5 a) exhibits several broadband spikes,
 304 the model shows significant overestimation effects. Overall, the seismic results are more
 305 variable than the one minute resolution control data (180 s running standard deviations
 306 of 0.041 versus 0.029 m). Results of seismic bedload flux are also in the same range as
 307 the slot sampler data (0.02 kg/sm average deviation), and most of the short excursions
 308 of increasing and decreasing bedload flux values in the slot sampler time series are co-
 309 incident with the seismic model results, both in terms of timing and amplitude.

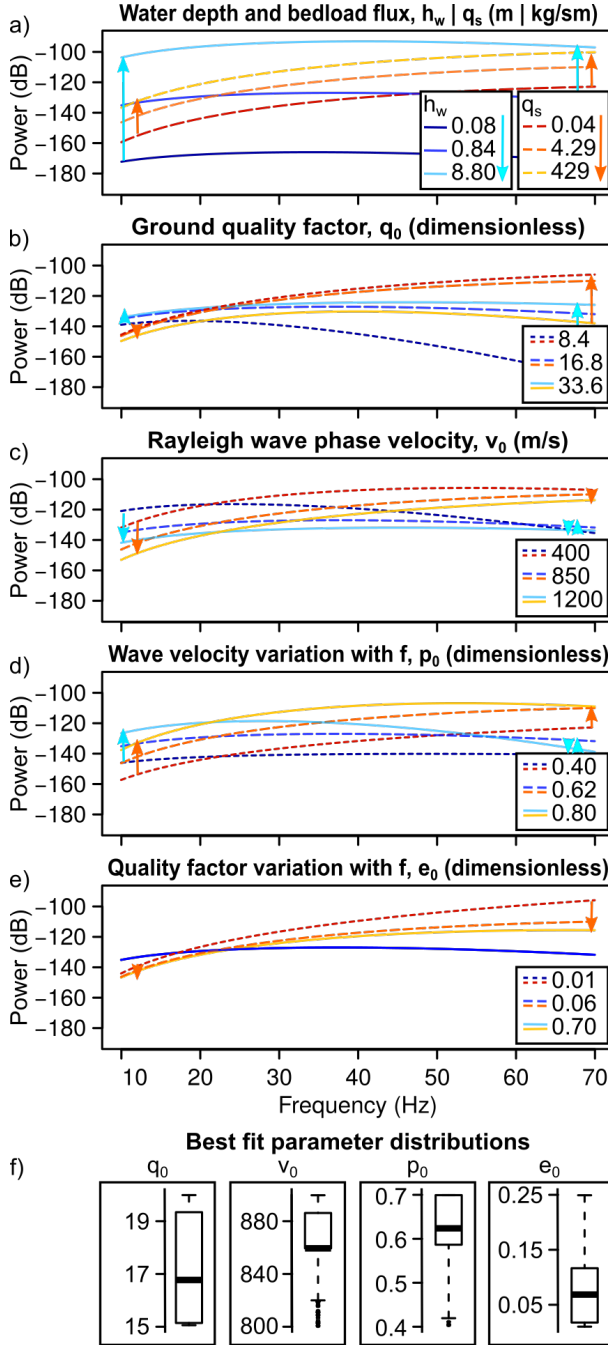


Figure 4. Visual and Monte Carlo based exploration of model sensitivity. Arrows indicate directions to which the spectra shift when parameters are changed systematically. a) Effect of the variation of water depth and bedload flux on model spectra. b) Effect of the variation of ground material quality factor. c) Effect of the variation of Rayleigh wave phase velocity. d) Effect of the variation of wave velocity variation coefficient. e) Effect of the variation of quality factor variation with frequency. Red to orange lines depict output of the bedload model, blue lines show turbulence model results. In both cases the numbers in the legend refer to the values of the changed model parameters. f) Boxplots showing the range of seismic model parameters that yielded the best fit results of the model inversion. The median values were used for the final estimation of water depth and bedload flux (cf. Table 1).

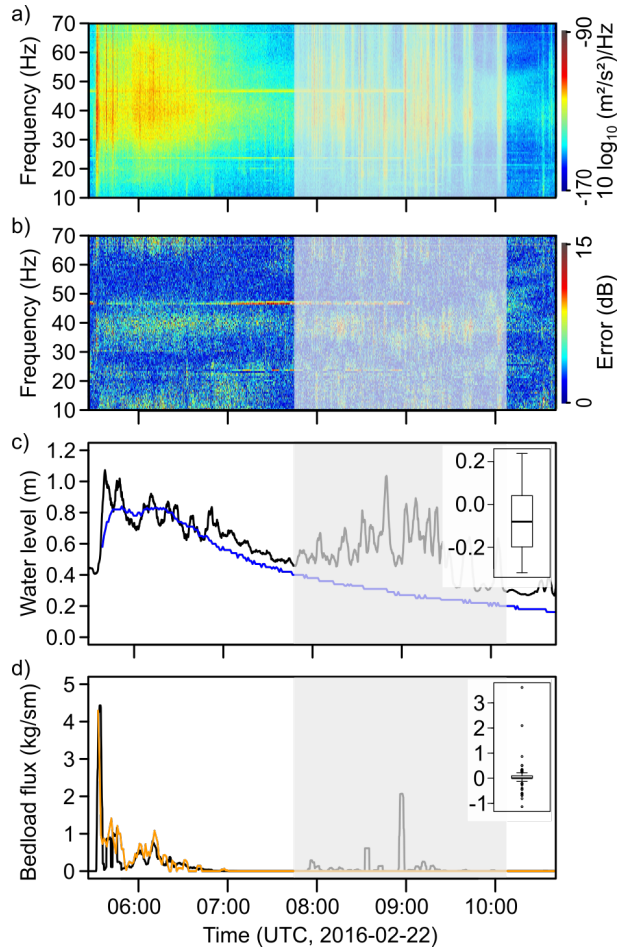


Figure 5. Results of the empirical data set inversion. a) Truncated (10–70 Hz) and aggregated (100 frequency values) spectrogram. b) Deviations of model fits resolved by time and frequency. c) Modelled (black line) and empirically measured water depth (blue line). d) Modelled (black line) and independently measured (orange line) bedload flux values. Note that in c) and d) the model results are smoothed by a 180 s running average filter. Grey polygons indicate a period with signal contamination. Boxplots give residuals of model versus empirical data.

4 Discussion

4.1 Model quality

The synthetic data sets (Fig. 3) allow insight on three different dimensions. First, they show the general applicability and validity of the Monte Carlo-based inversion approach. Second, they provide the baseline of accuracy, i.e., the minimum deviations to expect when modelling an empirical data set. Third, the scenarios allow insight as to how different combinations of flood and bedload flux evolutions appear in seismic spectrograms.

In all cases, the input time series were depicted by the model, with average deviations of less than 0.04 m for water depth and 0.04 kg/sm for bedload flux. Thus, for inversions of empirical data sets one should anticipate at least these ranges of model deviations. Under the ideal conditions of synthetic data sets generated without any noise or contribution of additional seismic sources, the deviations of the best fit reference spectra from the synthetic spectra time series (deviation matrices in Fig. 3) are negligible. An exception is test data set 3 (Fig. 3 c), which shows misfits of up to 2 dB coincident with the step-like evolution of the modelled water depth during times of virtually zero bedload flux. This step-like behaviour disappears when more than the 1000 Monte Carlo cycles are used to generate the reference spectra (not shown). Thus, it is important to provide a sufficiently large number of potential parameter combinations for the reference spectra, especially when better model fits for the falling water depth limb are of interest. A similar effect is visible in the fourth synthetic data set (Fig. 3 d) and, to a lesser degree also in data set 2, where the falling water depth curve is systematically overestimated as soon as bedload flux fades.

The imposed time series of synthetic data set 1, constant water depth and a step-like onset of constant bedload movement throughout an event, are far from what one would expect in natural systems. However, this scenario shows that model deviation is systematically higher for times when only one of the two expected seismic sources is active (i.e., water depth is overestimated when no bedload is transported). In the case of synchronous evolution of flood stage and bedload flux (data set 2) the model results maintain this synchronicity. This is encouraging because when a seismically derived data set exhibits such a pattern it is difficult to judge merely from the properties of the spectra, whether there indeed are two seismic sources present. In the case of a bedload wave travelling in front of a flood (Fig. 3 c), i.e., a clock-wise hysteresis pattern in the h_w-q_s relationship, the combined effect of turbulence and bedload movement result in a spectrogram with a trend of rising dominant frequency with time. Such patterns were observed in natural settings, such as a flash flood observatory in New Mexico (Dietze et al., 2019) but could not be attributed to a likely cause. Here, we can provide this cause, which is simply the combination of two seismic sources with different time evolution paths. The trend towards higher frequencies remains visible without any hysteresis effect, albeit weaker (e.g., Fig. 3 b). Since even water depths up to 0.5 m only contribute as much as -135 dB to the total seismic signal (Fig. 3 a), it appears that most of the seismic energy is contributed by the bedload part at this distance of channel to sensor.

4.2 Evolution of the flood event

The example event shows the typical features of flash floods in the Nahal Eshtemoa (Halfi et al., 2018): a suddenly rising water depth that remains unstable due to the high turbulence and a bedload bore at the front of the flood, occasionally followed by further bedload bores. The passing of these bores are recorded in the example flood by both the slot samplers and the seismic sensor (Fig. 5 d and a), the latter showing this as broadband spikes of seismic energy after the onset of the flood. With the end of the bedload transport period the spectrogram only shows noticeable seismic energy between 20 and 50 Hz that gradually decreases in amplitude with time.

361 This trend is interrupted between 07:50 and 10:10 UTC (grey shaded area in (Fig. 5)
 362 by recurring broadband seismic pulses. We interpret these pulses as the effects of per-
 363 sons working at the observatory for data collection and station maintenance reasons. These
 364 activities included documented walking and operating at close proximity to the seismic
 365 sensor and a car idling at the bank. A further set of seismic signals, the temporally con-
 366 stant, narrowband horizontal lines in the spectrogram (Fig. 1 c) around 22 and 44, 30
 367 and 60 Hz, is interpreted as the signature of measurement devices operating at the ob-
 368 servatory. When excluding this period of signal contamination, the temporal variation
 369 of the seismic signal-derived bedload flux shows three important components of the average-
 370 channel bedload flux: (i) A first very large wave in bedload flux up to 5 kg/sm, which
 371 drastically recedes within minutes of the arrival of the flood bore, (ii) a second multiple-
 372 rise peaking at about 1 kg/sm, and (iii) and a third, smaller rise (0.5 kg/sm) with a long,
 373 1 h recession. Given the 120 s averaging required with respect to the sensitivity of the
 374 slot sampler bedload monitoring equipment, it is remarkable that a single sensor deployed
 375 on the bank of a river can determine the main and relative features of bedload flux.

376 4.3 Benefits, limitations and outlook

377 In comparison to classic approaches to constraining hydraulic and sediment trans-
 378 port parameters in fluvial systems the seismic method introduced here shows several ad-
 379 vantages. The sensors can be deployed easily and quickly (placement of sensors in small
 380 hand dug pits, connection to rugged loggers and power supply by off the shelf batter-
 381 ies, cf. Dietze et al. (2017)), at a safe distance from the hazardous conditions of flood-
 382 prone streams. Modern seismic stations can record ground motion data at high frequency,
 383 under harsh conditions and even transmit the data in near real time to analysis facil-
 384 ities where they can be automatically analysed. In the case shown here, and once the
 385 data set of reference spectra is pre-calculated, inverting an empirical spectrum requires
 386 less than one second computation time on a single CPU. Thus, efficient and near real
 387 time information about floods and the potentially hazardous bedload they transport can
 388 be provided also for remote locations in a continuous manner.

389 While classic approaches, such as slot samplers are only able to measure the bed-
 390 load flux at discrete cross-sectional intervals (the slot aperture in the Nahal Eshstemoa
 391 observatory is 11 cm and the devices are spaced about 1 m) and a representative esti-
 392 mate of bedload flux must be based on averaging data of several samplers, the seismic
 393 approach implicitly provides an integrated estimate of the full cross section. Thereby,
 394 due to inelastic attenuation and geometric spreading effects, the amplitudes of the seis-
 395 mic wave field decay with distance to the source. Thus, for small distances between river
 396 and seismometer (like in our case), the seismic approach will emphasise bedload flux val-
 397 ues closer to the sensor. The magnitude of that effect may be approximated by chang-
 398 ing the parameter distance to river r_0 in the interactive GUI (Fig. 2). The Supplemen-
 399 tary Materials (part V) contain another synthetic test data set that shows how bedload
 400 fluxes of 1 and 4 kg/sm (and vice versa) for the left and right channel half, respectively
 401 result in spectral power differences of 0.5–3.5 dB, which would yield different model in-
 402 version results. However, a more robust field experiment with actively moved pebbles
 403 in the channel would be more appropriate (cf. Schmandt et al., 2017) to shed light onto
 404 the actual effects of non-uniform bedload flux across a channel.

405 None of the available bedload formulae can replicate such natural fluvial sediment
 406 wave phenomena as presented here (e.g., Gomez et al., 1989; Cudden & Hoey, 2003), even
 407 though theoreticians, notably Einstein (1950) and experimentalists (e.g., Iseya & Ikeda,
 408 n.d.; Lisle et al., 2001; Aberle et al., 2012; Ghilardi et al., 2014; Dhont & Ancey, 2018)
 409 have long been aware of their presence. Indeed, based on a century of geomorphologi-
 410 cal research, it is known that fluvial systems are complex (Schumm, 1991, 2005); they
 411 do not transport bedload at certain time scales as simply as an "efficient" machine (Bagnold,
 412 1966), nor merely determined by average reach shear stress (Parker, 1990). Instead, the

413 fluvial system responds in complex manners, as in this case one sensor and the respec-
414 tive technique demonstrate. With the seismic approach we are able to provide robust
415 data with high temporal resolution, which are crucial to determine river activity, river
416 stability, river change and the transport of bedload to various ecologically sensitive reaches,
417 to reservoirs and to the oceans.

418 However, in comparison to classic methods, the seismic approach also has draw-
419 backs. First, the recorded signals represent measurements of ground velocity due to a
420 multitude of sources, which are inverted for the parameters of interest using a combi-
421 nation of physical models. These models are formulated under a series of assumptions
422 (cf. Tsai et al., 2012; Gimbert et al., 2014) and require information about a large num-
423 ber of parameters. Although the model output is in appropriate physical units (m and
424 kg/sm) that does not require development of a further transfer function, they are not
425 direct measurements of the parameters of interest. This point also needs to be consid-
426 ered in the light that the seismic approach does not necessarily reflect the same process
427 as, for example the Reid type sampler, which records all particles that fall through the
428 11 cm wide slot while omitting all particle that pass between two such slots. The seis-
429 mic record is an amalgam of the impacts of all bedload particles in a given reach and
430 therefore provides a spatially integrated result, which may differ from spatially discrete
431 direct measurements due to cross sectional non-uniform bedload fluxes. Likewise, the bed-
432 load model assumes a sediment covered bedrock channel. Apparently, the cemented bed
433 structure resembles this bedrock behaviour well enough.

434 The selection of seismic model parameters is crucial for the inversion results. Thus,
435 at best one performs an active seismic survey to independently constrain these param-
436 eters (e.g., Foti et al., 2018). Since this was not possible in this study, we introduced a
437 step-wise approach as an alternative: i) visual exploration of parameter effects on model
438 output with respect to empirical seismic observations under partly known flood condi-
439 tions (Fig. 2), ii) long Monte Carlo chains to identify the parameter combination that
440 best explains the empirical data set (Fig. 4 f), before iii) actually inverting the data with
441 the most plausible set of parameters (Fig. 5) along with relevant metrics for model er-
442 rors.

443 Seismic sensors are not only subject to the seismic sources of interest but also record
444 a range of further processes, as the period of maintenance activities shows. Atmospheric
445 processes such as wind and rain (Dietze et al., 2017; Roth et al., 2017) generate seismic
446 signals in a similar frequency range. Burtin et al. (2008) and Cook et al. (2018) showed
447 that the seismic footprint of rivers and the bedload they transport can be detected over
448 tens of kilometres. Thus, nearby trunk streams may also add their seismic signature to
449 the signals recorded at the stream of interest. Therefore, the deployment site for a seis-
450 mic station intended to record water depth and bedload flux must be chosen with care.
451 They should be out of the range of unwanted seismic sources such as roads and railroads,
452 industrial buildings with running machines, should be shielded from the signals of wind
453 and rain (at best by burying the sensor several decimetres to metres in the ground) and
454 be installed several kilometres from other neighbouring streams. If the latter is not pos-
455 sible, the Monte Carlo based inversion must include the other stream as an additional
456 source of water turbulence and bedload transport.

457 The approach is vulnerable to transgressive or sudden changes in one or more of
458 the seismic model parameters, for example if soil moisture changes drastically or frozen
459 ground thaws during the summer period, both of which cause changes in the seismic wave
460 velocity and quality factor (James et al., accepted). Likewise, reorganisation of the chan-
461 nel bed by mobilisation, re-deposition, and injection of material, e.g. from bank failures,
462 can change some of the parameters assumed to be stable. Finally, floods beyond bank
463 full depth will result in a sudden and significant change in parameters such as width and
464 depth. Mathematically, the models might be calculated for the different cross sections
465 of the suprabank new river, but this would require setting up more extensive synthetic

466 data sets and exploring the quality of the results of combined model spectra from mul-
 467 tiple independent river cross sections.

468 Future applications of the seismic approach introduced here could be near real time
 469 warning systems or continuous observation devices for streams otherwise hard to instru-
 470 ment, for example due to conservation requirements or steep topography. In principle,
 471 it is also possible to survey large, navigable rivers with high bedload fluxes during floods,
 472 as long as the position of the sensor(s) is chosen carefully to minimise the overlap of spec-
 473 tral components and recording of other seismic sources. A continuous record of bedload
 474 transport in combination with time series of suspended sediment load opens the perspec-
 475 tive for the holistic view on catchment-wide sediment dynamics. Finally, installation of
 476 a series of sensors along a stream over a greater distance allows for tracking and detailed
 477 insight into flood waves, as recently highlighted for a lake outburst flood in Nepal (Cook
 478 et al., 2018). The generic layout of the inversion approach, as illustrated during the seis-
 479 mic parameter range estimation, can in principle be used to invert for parameters other
 480 than water depth and bedload transport, as well. Given that all model parameters are
 481 well constrained, one can explore reorganisation of the bed by comparing model fits with
 482 respect to grain-size distribution parameters (s_d and s_s) from data before and after a
 483 flood event.

484 5 Conclusions

485 The seismic method is a valid approach to quantifying key hydraulic and bedload
 486 transport parameters, not merely as proxy data in its own data dimension and unit space
 487 (i.e., dB), but as estimates of the target parameters in the respective units: water depth
 488 in metres and bedload flux in m^3/sm or kg/sm . However, this is only possible if i) one
 489 or more stations are placed at appropriate distances from the river as seismic source, so
 490 that the signals of both sources are powerful and distinct enough to be mapped out in
 491 the spectra, ii) the empirical data are free of (or cleaned from, (e.g., Bottelin et al., 2013)
 492 unwanted signal components, and iii) the relevant model parameters are sufficiently well
 493 constrained, either by independent measurements or at least by optimising free param-
 494 eters with respect to the target parameters during a control period. The approach yields
 495 a continuous output with average deviations of 0.10 m (water depth) and 0.02 kg/sm (bed-
 496 load flux), respectively.

497 The comparably uncomplicated and quick installation, potential of almost real time
 498 data transmission and quick processing render the seismic approach a complementary
 499 source of data otherwise difficult to obtain. This opens up perspectives such as explor-
 500 ing the boundary conditions that control the onset of motion in episodically active river
 501 systems, investigating the coupling of processes that shape different landscape elements
 502 such as rock walls, debris flows, bank failures, and migrating rivers, and deliver high res-
 503 olution field data to long-standing concepts of fluvial geomorphology.

504 The model code has been implemented using a user-driven, free and open software
 505 environment. Sensors and data loggers are becoming more and more affordable. The den-
 506 sity of existing seismic networks along with the availability of their measurement data
 507 increases progressively. These three tendencies provide the base for other scientists to
 508 engage with the method, develop their own measurement systems or make use of the large
 509 amount of existing data to pursue their research hypotheses.

510 Acknowledgments

511 Seismic and stream observatory data, as well as analysis scripts and R functions (intended
 512 for transparency and reproducibility reasons of the introduced routine) are provided in
 513 the supplementary materials (DOI 10.31223/osf.io/n5gcm; <https://osf.io/5uzpw/>). The
 514 latest version of the R package 'eseis', containing the functions used in this study is avail-

515 able on GitHub (<https://github.com/coffeemugger/eseis/>). The study was funded by
 516 the Israel Science Foundation grant 832/14 to JBL. MD is funded through the H2020
 517 Marie Curie action ITN SUBITOP (Grant number 674899). All field work was supported
 518 by GFZ internal funds. We thank the editor and three reviewers for their encouraging
 519 and constructive input that helped improving the quality and impact of the paper.

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