**Enhanced Blocking Frequencies in Very-high Resolution Idealized** 1 **Climate Model Simulations** 2 3 P. De Luca<sup>1,2</sup>, B. Jiménez-Esteve<sup>3</sup>, L. Degenhardt<sup>2</sup>, S. Schemm<sup>4</sup>, and S. Pfahl<sup>2</sup> 4 <sup>1</sup>Barcelona Supercomputing Center (BSC), Barcelona, Spain 5 6 <sup>2</sup>Institute of Meteorology - Freie Universität Berlin, Berlin, Germany 7 <sup>3</sup>Instituto de Geociencias (IGEO), Consejo Superior de Investigaciones Científicas–Universidad Complutense de Madrid (CSIC–UCM), Madrid, Spain 8 9 <sup>4</sup>Institute for Atmospheric and Climate Science - ETH Zürich, Zürich, Switzerland 10 Corresponding author: Paolo De Luca (paolo.deluca@bsc.es) 11 12 **Key Points:** 13 14 • Blocking frequency increases downstream and poleward of sea-surface temperature 15 front with convection permitting atmospheric resolution • The specific region of increased blocking depends on the blocking index 16 • Changes in diabatic heating and Rossby wave breaking play a fundamental role for the 17 blocking enhancement 18 19 20 **Keywords**: very-high resolution; climate modeling; ICON; idealized; blocking; wave breaking 21

# 22 Abstract

- Atmospheric blocking is a key dynamical phenomenon in the mid- and high latitudes, able to drive 23 day-to-day weather changes and meteorological extremes such as heatwaves, droughts and cold 24 waves. Current global circulation models struggle to fully capture observed blocking frequencies, 25 likely because of their coarse horizontal resolution. Here we use convection permitting, nested 26 idealized model simulations for quantifying changes in blocking frequency and Rossby wave 27 breaking compared to a coarser resolution reference. We find an increase in blocking frequency 28 poleward and downstream of the area with increased resolution, while the exact regions depend 29 30 on the blocking index. These changes are probably due to a more accurate representation of small-scale processes such as diabatic heating, which affect Rossby wave breaking and blocking 31 32 formation downstream. Our results thus suggest an improved representation of blocking in the next generation of high-resolution global climate models. 33
- 34

# 35 Plain Language Summary

36 Atmospheric blocking is a persistent weather pattern associated with high-pressure anomalies 37 that is able to drive meteorological extremes such as heatwaves and drought in summer, and 38 cold waves in winter. Having blocking well represented in state-of-the-art climate models is of 39 paramount importance, however these models fail in simulating the frequency of blocking 40 events, likely because their grid resolution is not high enough for resolving small scale physical 41 processes important for the development of blocking episodes. Here we use very-high resolution model simulations for quantifying blocking frequencies and the mechanisms driving these 42 43 episodes. Our simulations are idealised, in the sense that they do not fully represent the Earth's system but allow us to focus on key physical mechanisms driving the blocking events. Our results 44 show that using a very-high resolution enhances blocking frequencies when compared to a lower 45 46 resolution grid. The findings point toward the importance that unresolved physical processes play in generating blocking events that can only be simulated at very-high resolution and can be of 47 importance for the next generation of climate models. 48 49

50

# 51 **1. Introduction**

52 Atmospheric blocking can be considered one of the major features of the mid-latitude circulation

that occurs during an anomalous and persistent meandering of the jet stream (Lupo, 2021;

54 Nakamura & Huang, 2018; Woollings et al., 2018). Blocking is defined as a persistent weather

55 pattern, characterized by anticyclonic circulation, high surface pressure and blocked westerlies.

56 Changes in surface temperature, precipitation and wind patterns associated with blocking in turn

57 can evolve into severe weather extremes such as heatwaves and droughts in summer as well as

cold waves and low air quality during winter (e.g. Cai et al., 2020; Kautz et al., 2022; Matsueda,

2011; Pfahl & Wernli, 2012). Thus, it is of paramount importance to accurately represent blocking
in state-of-the-art coupled climate models to be able to anticipate future changes in the
associated extremes events under anthropogenic climate change.

62

Atmospheric blocking is currently underrepresented in coupled climate models (Davini & 63 D'Andrea, 2020; Pithan et al., 2016; Schiemann et al., 2020; Woollings et al., 2018). Such large 64 biases in the representation of blocking eventually lead to large uncertainties in its future climate 65 projections and, therefore, in representing dynamic mechanisms driving extreme weather 66 phenomena (e.g. heatwaves and droughts). The causes of the underestimation of blocking in 67 climate models are manifold. For example, Pithan et al. (2016) show that a better representation 68 of orographic drag can improve the simulation of European blocking. On the other hand, Scaife 69 70 et al. (2010) argue that blocking underestimation in climate models relates to the models' 71 climatological mean state bias. They suggest that correcting these mean-state biases improves the representation of blocking and this is in agreement with Narinesingh et al. (2020), who 72 demonstrate, using aquaplanet simulations with idealized orographic forcing, that the mean 73 state highly impacts the blocking frequency climatology. 74

75

76 Another reason for the underestimation of blocking in the latest generation of global climate models, or the Coupled Model Intercomparison Project Phase 6 (CMIP6) models (Eyring et al., 77 2016), is the horizontal model resolution (Schiemann et al., 2020). Studies show that, to simulate 78 atmospheric blocking, a high horizontal resolution is necessary to capture smaller-scale processes 79 such as eddy vorticity fluxes (e.g. Yamazaki & Itoh, 2013) and diabatic heating in clouds (Pfahl et 80 al., 2015), which in turn sustain blocking events. Schiemann et al. (2020) compare blocking 81 frequency and persistence between CMIP6 and CMIP5 (Taylor et al., 2012) models, and also use 82 83 HighResMIP simulations (Haarsma et al., 2016) to quantify the effect of horizontal resolution. They find that CMIP6 models better simulate blocking frequency and persistence compared to 84 CMIP5 and that an increase in horizontal resolution in HighResMIP simulations enhances blocking 85 frequency but not persistence in the northern mid-latitudes (Schiemann et al., 2020). Matsueda 86 et al. (2009) investigated future changes in blocking using different horizontal grid spacing, from 87

20 km to 180 km, in atmospheric global circulation models, and they state that the highest 88 horizontal resolution (i.e. 20 km) is required for properly simulating Euro-Atlantic blocking 89 events. In their follow-up study (Matsueda et al., 2010), where they assess future changes in 90 91 summer and wintertime blocking over Australia-New Zealand and in the Andes, they also show similar conclusions. In addition, Athanasiadis et al. (2022) demonstrate how climate models' sea-92 surface temperature cold biases in the central North Atlantic can be improved by deploying an 93 increased horizontal resolution in the ocean. They also show that such bias improvement leads 94 to changes in baroclinicity and diabatic heating, eventually enhancing European blocking events. 95 Scaife et al. (2011) also show that an improvement of the cold North Atlantic oceanic bias, 96 obtained with a higher resolution, leads to improved Atlantic winter blocking frequencies. 97 Despite this importance of horizontal resolution, so far and to our knowledge, there is a lack of a 98 99 study investigating the representation of blocking and its underlying mechanisms in climate 100 model simulations with km-scale horizontal resolution that allows for an explicit representation of convective processes. 101

102

Diabatic heating in ascending air masses plays an important role for blocking formation and 103 104 maintenance downstream (Hermoso et al., 2024; Pfahl et al., 2015; Steinfeld et al., 2020; 105 Steinfeld & Pfahl, 2019). The ascending air is typically associated with the warm conveyor belt 106 (WCB) of extratropical cyclones, which subsequently forms a negative potential vorticity (PV) 107 anomaly in its outflow region, reinforcing anticyclonic circulation anomalies at upper levels in a developing ridge. Preferred regions for such WCBs are the SST fronts over the western North 108 109 Atlantic and North Pacific, where many extratropical cyclones develop (Madonna et al., 2014). The formation of a blocking event is thus intrinsically linked to the baroclinic instability and 110 cyclogenesis of extratropical cyclones along the SST-front over the western boundary currents 111 112 (Steinfeld & Pfahl, 2019; Yamamoto et al., 2021). Here, we hypothesize that an increase in horizontal resolution in this area of SST-front increases diabatic processes linked to cyclone 113 formation and thus increases the WCB outflow that enhances ridge building, anticyclonic flow 114 and eventually blocking formation. 115

To explore this hypothesis, we build on previous work addressing the impact of horizontal 117 resolution on the simulation of blocking frequencies (e.g. Matsueda et al., 2009; Schiemann et 118 119 al., 2020) and on improvements of storm-track biases in climate models (Schemm, 2023). We 120 specifically make use of idealized aquaplanet climate model simulations with km-scale resolution and convection permitting limited to a region with an artificial SST front where, climatologically, 121 diabatic heating associated with cyclogenesis occurs most frequently (Schemm, 2023). The SST-122 front mimics the zonal asymmetries imposed by the land-sea contrast and the Gulf Stream SST. 123 Working with an aquaplanet enables us to better isolate the role that horizontal resolution has 124 in favoring blocking events in the absence of other confounding factors (e.g. orography). We, 125 therefore, investigate how such an idealized simulation can impact wintertime blocking 126 127 frequencies downstream of a zonal asymmetry. We also address the generative mechanisms of 128 the blocking events by conducting a Rossby-wave breaking analysis.

129

Section 2 describes the data and methods and, Section 3 shows our results in terms of blocking
 averages, difference maps of blocking frequencies, and wave-breaking analysis. Lastly, Section 4
 contains the discussion and conclusions of our study.

133

## 134 **2.** Data and Methods

135

# 2.1 Model simulations

We use the idealized climate model simulations from Schemm (2023). These simulations were 136 conducted with the ICOsahedral Non-hydrostatic weather and climate model (ICON) v2.6.4 (Zängl 137 et al., 2015) in an aquaplanet setup. It includes parameterizations that follow the German 138 Weather Service (DWD) operational standard configuration, such as a one-moment two-category 139 microphysics scheme (Doms et al., 2011), non-orographic gravity wave drag (Orr et al., 2010), a 140 141 prognostic TKE scheme for sub-gridscale turbulent transfer (Raschendorfer, 2001), and the radiation scheme ecRad (Hogan & Bozzo, 2018). The model has a global horizontal resolution of 142  $\sim$ 20 km (R2B7), a time step of 180 s and parameterized deep convection following Tiedtke (1989). 143 A first regional nest with 10 km (R2B8) resolution employs a reduced scheme for shallow 144 convection and in a second nest with, 5 km (R2B9) resolution, convection is not parameterized. 145

The two inner nests also interact bi-directionally and work with a smaller time step that is 146 reduced by a factor of two, i.e., to 90 s and 45 s, respectively. They are located in the northern 147 hemisphere (NH), and centered around the SST front, as illustrated in Figure S1. Both NH and 148 149 southern hemisphere (SH) follow observed NH wintertime (DJF) zonal mean conditions and in both hemispheres an idealized SST anomaly is superimposed on the zonally symmetric 150 background SST (termed "Qbos", see Neale & Hoskins (2000)), so that it can mimic the Gulf 151 Stream and the land-sea contrasts over the coast of North America (Schemm, 2023). The 152 simulations initially run for 10 perpetual years, with the solar zenith angle fixed over the equator 153 at 90° as is common practice in aquaplanet studies. Besides the high-resolution nest, both 154 hemispheres are symmetric. In order to quantify the influence of the km-scale resolution nest 155 globally, the simulations are regridded to a common horizontal grid of 1°x1° and daily mean data 156 are analyzed. Out of the original 10 perpetual winter years of simulations from Schemm (2023), 157 we use a total of 1,315 days (i.e. 3.65 perpetual winter years or 14.6 winter seasons) which were 158 the only ones available at the time of starting this study. For all our analyses, we use geopotential 159 height at 500 hPa (Z500, m) and zonal U wind at 300 hPa (m s<sup>-1</sup>). More details on the ICON 160 simulations can be found in Schemm (2023). 161

- 162
- 163

## 2.2 Blocking and Rossby-wave breaking indices

We compute blocking by using geopotential at the 500 hPa level (Z500) and two algorithms denoted as the Anomaly (ANM, similar to Schwierz et al., 2004) and Absolute (ABS, similar to Davini et al., 2012) methods following Woollings et al. (2018). Both indices identify blocking occurrences, but they are based on different characteristics of the atmospheric flow, hence their results are not necessarily supposed to coincide (Scherrer et al., 2006; Woollings et al., 2018).

169

For computing blocking frequencies with the ANM method, we use the Contrack python package (Steinfeld et al., 2020). We compute daily Z500 anomalies at grid-point level as the daily mean departure from the daily mean climatology within the study period (no seasonality is present). Then, daily blocking events are computed as 2-D regions with Z500 anomalies larger than the 90<sup>th</sup> percentiles of the daily Z500 anomaly distribution of all grid points between 50°-80°N, which

corresponds to 174 geopotential meters (gpm). We apply the same anomaly threshold to all grid 175 points to detect instantaneous blocking. To guarantee quasi-stationarity and persistence we also 176 impose a 50% minimum spatial overlap between the areas where instantaneous blocking occurs 177 178 for each consecutive time step over a total period (lifetime) of at least 5 days. Note that the temporal granularity of our data is daily, compared to 6-hourly in many other studies, and hence 179 we use a smaller overlap. We have tested the sensitivity to different values of overlap and 180 anomaly threshold (see Supplementary Information) and found that the results are not very 181 sensitive to the exact threshold chosen. However, the blocking frequency quickly decreases if a 182 too-large overlap is chosen. 183

184

185 In the ABS method, areas are identified where the Z500 meridional gradient reverses. For computing blocking frequencies with the ABS method, we use the R package MiLES which is 186 based on Davini et al. (2012) and additionally described in Davini (2018) and Woollings et al. 187 (2018). The ABS method interpolates the data to 2.5°x2.5° horizontal resolution and checks, at 188 each longitude, if Z500 decreases by at least 10m/° over a 15° segment north of the grid cell and, 189 in addition, also decreases over a 15° segment to the south (see Eqs. A1-A3 in Davini et al. (2012)). 190 191 Then, a grid point is defined as large-scale blocking if this condition is satisfied for at least a 15° 192 continuous longitude and a blocking event occurs, if a large-scale blocking is observed within a  $5^{\circ}$  latitude  $\times 10^{\circ}$  longitude box centered on that grid point with a persistence of at least 5 days. 193 194 In addition to this, Davini et al. (2012) also included additional criteria to determine if the reversal of the Z500 field is associated with anticyclonic (AC-) or cyclonic (C-) Rossby wave breaking (RWB). 195 This distinction is made based on the zonal gradient of Z500 7.5° south of each blocked grid point, 196 with respectively Z500 decreasing (for AC-RWB) and increasing (for C-RWB) over a 7.5° East/West 197 segment centered at the blocking longitude. We note that the sum of AC-RWB and C-RWB events 198 199 is not equivalent to the ABS blocking events, because we consider large-scale, temporally persistent blocking and not instantaneous blocking. For a complete derivation of the ABS and 200 Rossby-wave breaking indices we refer the reader to Appendix A and D in Davini et al. (2012). 201

We also check the statistical significance of both blocking and Rossby wave frequencies by applying a two-sided z-test (Wilson, 1927) that compares two proportions, in our case being percentage of the events at grid-point level, one from the NH and the corresponding one from the SH. The z-test therefore tests the null hypothesis of whether the two proportions are statistically equal and by obtaining a p-value <0.05 one rejects the null hypothesis and considers the two proportions different with a 5% level of statistical significance.

209

211

**3. Results** 

# 3.1 Blocking and Rossby-wave breaking average frequencies

Figure 1a-d shows the blocking frequencies (%), zonal wind averages (m s<sup>-1</sup>) and the 212 213 corresponding zonal mean daily blocking frequencies for both the ANM and ABS methods. For the ANM method, we observe a local maximum of ~11% of blocked days (out of 1315 days in 214 total) in both the SH and NH downstream/east of the SST-front (0-100°E) (Figure 1a). The zonal 215 average is above 6% blocking days around 65°N/S, i.e. poleward of the climatological position of 216 the 500hPa jet (Figure 1b). The ABS method, on the other hand, shows an overall maximum 217 frequency of ~7%, which is lower than for the ANM method, in both hemispheres (Figure 1c). 218 219 This difference is expected because the two blocking indices are intrinsically different, while the 220 ABS method identifies zones of geopotential reversal, the ANM method detects large and 221 persistent geopotential anomalies, which are not necessarily always related to reversals of the mean flow. Moreover, we observe the peak number of blocking events at higher latitudes 222 compared to the ANM method, near the poleward limits of the study area (i.e. 75°N and 75°S, 223 Figure 1d). Lastly, there is a weak second maximum of ABS blocking over the subtropics (30°N 224 and 30°S, Figure 1d). Such reversals of the Z500 gradient in the subtropics are associated with 225 weaker Z500 anomalies (not captured by the ANM method) that typically are not even able to 226 227 'block' the zonal circulation in the midlatitudes. Zonal wind averages (m s<sup>-1</sup>) show almost symmetrical patterns between the NH and SH, with very weak values at the poles (i.e. 0-13 m s<sup>-</sup> 228 <sup>1</sup>) that gradually increase toward the lower midlatitudes (i.e. at 30°N-40°N and 30°S-40°S), where 229 they reach their maximum values of > 40 m s<sup>-1</sup> from 50°W to 50°E (Figure 1a), east of the SST 230 front (Schemm, 2023). As in observations, blocking and Rossby wave breaking, associated with a 231

reversal of the Z500 meridional gradient field as for the ABS blocking, tend to occur north of the 232 climatological jet stream location, (Davini et al., 2012), which underpins the fact that essential 233 blocking dynamics are captured by the idealized simulation. This pattern is also clearly shown in 234 235 Figure 1e-h, which represent the averages of both anticyclonic (AC-RWB) and cyclonic (C-RWB) Rossby wave breaking events. When comparing our idealized blocking averages (Fig. 1a-d) with 236 previous studies using reanalysis products (Davini et al., 2012; Woollings et al., 2018) we notice 237 that our blocking frequencies are zonally more symmetric and the regional maxima are thus less 238 pronounced compared to reanalysis, which is due to the zonally more symmetric aquaplanet 239 setup that, e.g., does not feature land-sea contrasts. However, the meridional distributions of 240 our blocking frequencies resemble the ones of the reanalyses. 241







Figure 1. (a-d) Blocking and (e-h) Rossby-wave breaking average frequencies over the 14.6 boreal winter seasons of the ICON simulation. Blocking frequencies in (a-b) and (c-d) are computed with the Anomaly (ANM) and Absolute (ABS) method respectively. Rossby-wave breaking frequencies in (e-h) are computed following Davini et al. (2012). Anticyclonic and cyclonic wave breaking are shown in (e-f) and (g-h) respectively. In (b, d, f, h) the zonal frequencies of blocking and Rossby-wave breaking are presented. Blue contour lines represent the zonal U wind averages at 500 hPa (m s<sup>-1</sup>) over the same time-period. Black boxes indicate the 5 km convection permitting area of the idealized simulation. Continents are only drawn
 for illustrative purposes and are not present in the aquaplanet simulation.

- 252
- 253

#### 3.2 Changes in blocking and Rossby wave-breaking frequencies

Figure 2 shows the blocking frequency difference between the NH, where the high-resolution, 254 convection permitting nests are located, and the SH, with a uniform resolution of 20 km. Results 255 256 for the ANM index point towards a significant (p-value <0.05) and widespread increase of blocking frequency over the Arctic region, between northern Canada and Svalbard (note that 257 here land regions are only used as reference, as there is no representation of land in this 258 aquaplanet simulation). Such an increase in blocking frequency over this region is consistent with 259 what we would expect from a poleward shift and intensification of extratropical cyclones in the 260 SST front area as observed in Schemm (2023) and Hermoso et al. (2024), and an upper-261 tropospheric outflow of low-PV air from the corresponding WCBs even further poleward . 262 Another region showing an increase in blocking frequencies is central western North America and 263 264 the northeastern Pacific, again associated with increased cyclone frequencies nearby to the south/southwest. On the other hand, the blocking frequency decreases over northeastern North 265 America, eastern Europe and central Asia, which are regions where also the cyclone frequency 266 tends to decrease, associated with the general poleward shift of the storm tracks. Similar results 267 are obtained when changing the overlap area and the percentile threshold used in the blocking 268 identification algorithm, although with lower thresholds (i.e. 80<sup>th</sup> and 85<sup>th</sup> percentiles), the signal 269 270 over the Arctic gets weaker (Figure S2).

271

Differences for the ABS method show distinctive spatial patterns when compared to the ANM 272 method, with a significant increase in blocking frequency over Eurasia (50°E-100°E, 60°N) and 273 Alaska. The increase over Eurasia is located near the model's left-exit region of the jet streak 274 originating from the SST front (see again Fig. 1). It is also located to the east of the enhanced 275 northern hemispheric storm track's exit region, indicated by increased cyclone frequency 276 (Schemm, 2023). More intense extratropical cyclones due to increased resolution in the SST front 277 278 region may lead to enhanced wave breaking over that area at the end of their life cycle, which 279 leads to more frequent reversal of the geopotential height gradient as measured by the ABS

- 280 method. The difference between both method is hence an expected result because both
- 281 methods highlight different characteristics of blocking dynamics and Rossby wave breaking.
- 282





Figure 2. Difference between NH and SH for the (a) ANM and (b) ABS blocking frequencies (%). Stippling represents areas statistically significant at the 5% level (p-value <0.05) according to a proportion test. In (a) the two black horizontal dashed lines represent the geographical limits of (b) for comparison. Continents are only drawn for illustrative purposes and are not present in the aquaplanet simulation.

The enhanced ABS blocking frequency can be further decomposed into contributions from 289 anticyclonic and cyclonic wave breaking associated with a reversal of the Z500 meridional 290 gradient field (Davini et al., 2012), as shown in Fig. 3. While the increase over Alaska is almost 291 292 entirely due to an increase in anticyclonic wave breaking, the signal over Russia is related to both enhanced cyclonic wave breaking in its western part and enhanced anticyclonic wave breaking 293 further east. This west-east dipole of cyclonic and anticyclonic wave breaking represents an 294 increase or extension of the wave breaking location, as the positive anomalies are larger than the 295 negative ones. This is expected from the strengthening and tilting of the storm track in the very-296 high resolution hemisphere, as shown in Schemm (2023). The fact that wave breaking anomalies 297 occur in a west-east dipole provides evidence of the realistic representation of these dynamical 298 299 processes in our idealized model, since such a dipole is also present in the wave-breaking 300 climatology over the North Atlantic based on reanalysis data (Tamarin-Brodsky & Harnik, 2024). We also computed Rossby-wave breaking difference maps following the methodology proposed 301 by Barnes & Hartmann (2012) and using Z500 instead of PV (Text S1 and Figure S3). This 302 alternative index shows consistent results, with a significant increase in anticyclonic and cyclonic 303 304 wave breaking in the same range of longitudes as the Davini et al. (2012) method.

This is a peer-reviewed preprint submitted to EarthArXiv, accepted for publication in *Geophysical Research Letters* 





Figure 3. Difference maps of Rossby-wave breaking frequencies computed from a reversal of the Z500 meridional gradient field. (a) Anticyclonic and (b) cyclonic wave-breaking events computed following Davini et al. (2012). Stippling represent areas statistically significant at the 5% level (p-value <0.05) according to a proportion test. Continents are only drawn for illustrative purposes and are not present in the aquaplanet simulation.

312

# 313 **4. Discussion and Conclusions**

In this work, we have used an idealized very-high resolution convection permitting simulation
 with the ICON climate model in aquaplanet setup (Schemm, 2023) to quantify the impact of such

a high resolution on blocking and Rossby-wave breaking frequencies. We analyze a total of 14.6 316 boreal winter seasons, symmetrically in the SH (low) and NH (high resolution). To quantify the 317 impact of resolution on cyclone-related diabatic processes, the NH has been simulated with two 318 319 bi-directionally interacting nested grids of 10 and 5 km grid spacing centered around an idealized SST front. The highest-resolution nested domain allows for convective processes to occur without 320 being parameterized. Our results indicate that increased resolution in the region of extratropical 321 cyclone growth leads to increased blocking frequencies. The exact region of enhanced blocking 322 occurrence depends on the blocking index. An index identifying stationary anticyclones indicates 323 more frequent blocking poleward of the region where also the cyclone frequency increases due 324 to a more explicit representation of diabatic processes with high resolution (Schemm, 2023). This 325 is consistent with the hypothesis that diabatic processes amplify cyclones and the associated 326 WCBs, leading to enhanced poleward outflow of low-PV air masses in the middle and upper 327 troposphere that reinforce stationary anticyclones (Pfahl et al., 2015; Steinfeld et al., 2020; 328 Steinfeld & Pfahl, 2019). On the contrary, an index associating blocking with a reversal of 329 meridional geopotential height contours and thus wave breaking rather indicates more frequent 330 blocking further downstream, east of the strengthened storm track, where the more frequent 331 cyclones are associated with enhanced cyclonic and anti-cyclonic wave breaking at the end of 332 their life cycle (Figure S4). 333

334

Previous studies (e.g. Athanasiadis et al., 2022; Matsueda et al., 2009; Scaife et al., 2011; 335 Schiemann et al., 2020) have already shown that increasing spatial resolution can be beneficial 336 for the representation of blocking in climate models. Due to the importance of diabatic 337 processes, going to even higher, convection permitting resolution is hypothesized to further 338 reduce blocking biases, but this has not been explicitly tested so far due to the lack of global 339 340 climate simulations with such high-resolution spanning sufficiently long periods. Here we have corroborated this hypothesis based on an idealized aquaplanet setup. This may have important 341 implications also for the next generation of convection permitting global climate models. Current 342 climate models still underestimate blocking frequencies. In particular, they underestimate the 343 occurrence of stationary anticyclones (ANM blocking) over the North Atlantic and the occurrence 344

of wave breaking (ABS blocking) further downstream over Eurasia (Woollings et al., 2018). Our results indicate that a higher resolution and better representation of diabatic processes over the SST front may increase ANM blocking closer to this SST front region and ABS blocking further downstream, thus potentially reducing both of these biases. This makes us optimistic that blocking biases will be reduced in convection permitting global climate simulations, eventually leading to more reliable estimates of changes of this important weather pattern in a warming climate.

352

# 353 Acknowledgments

PDL has received funding from the European Union's Horizon Europe Research and Innovation
 Programme under Grant Agreement No. 101059659.

356

## 357 **Open Research**

Data – The ICON simulation data, blocking and Rossby-wave breaking indices used in the study can be obtained from De Luca et al. (2024). Software – The softwares used for computing the blocking and Rossby-wave breaking indices are freely available from: i) Steinfeld (2020); ii) Davini (2018); and iii) Kaderli (2023).

362

## 363 **References**

Athanasiadis, P. J., Ogawa, F., Omrani, N.-E., Keenlyside, N., Schiemann, R., Baker, A. J., et al.
 (2022). Mitigating Climate Biases in the Midlatitude North Atlantic by Increasing Model

366 Resolution: SST Gradients and Their Relation to Blocking and the Jet. Journal of Climate,

367 **35(21)**, 6985–7006. https://doi.org/https://doi.org/10.1175/JCLI-D-21-0515.1

Barnes, E. A., & Hartmann, D. L. (2012). Detection of Rossby wave breaking and its response to

369 shifts of the midlatitude jet with climate change. *Journal of Geophysical Research:* 

370 Atmospheres, 117(D9). https://doi.org/https://doi.org/10.1029/2012JD017469

Cai, W., Xu, X., Cheng, X., Wei, F., Qiu, X., & Zhu, W. (2020). Impact of "blocking" structure in

372 the troposphere on the wintertime persistent heavy air pollution in northern China.

373 Science of The Total Environment, 741, 140325.

- 374 https://doi.org/https://doi.org/10.1016/j.scitotenv.2020.140325
- Davini, P. (2018). MiLES Mid Latitude Evaluation System [Software]. *Zenodo*. Retrieved from
   http://doi.org/10.5281/zenodo.1237837
- 377 Davini, P., & D'Andrea, F. (2020). From CMIP3 to CMIP6: Northern Hemisphere Atmospheric
- Blocking Simulation in Present and Future Climate. Journal of Climate, 33(23), 10021–
- 379 10038. https://doi.org/https://doi.org/10.1175/JCLI-D-19-0862.1
- 380 Davini, P., Cagnazzo, C., Gualdi, S., & Navarra, A. (2012). Bidimensional Diagnostics, Variability,
- and Trends of Northern Hemisphere Blocking. *Journal of Climate*, 25(19), 6496–6509.
- 382 https://doi.org/https://doi.org/10.1175/JCLI-D-12-00032.1
- 383 Doms, G., Förstner, J., Heise, E., Herzog, H.-J., Mironov, D., Raschendorfer, M., et al. (2011). A
- 384 description of the nonhydrostatic regional COSMO model Part II: Physical parameterization.
- Eyring, V., Bony, S., Meehl, G. A., Senior, C. A., Stevens, B., Stouffer, R. J., & Taylor, K. E. (2016).
- 386 Overview of the Coupled Model Intercomparison Project Phase 6 (CMIP6) experimental
- design and organization. *Geosci. Model Dev.*, 9(5), 1937–1958.
- 388 https://doi.org/10.5194/gmd-9-1937-2016
- Haarsma, R. J., Roberts, M. J., Vidale, P. L., Senior, C. A., Bellucci, A., Bao, Q., et al. (2016). High
- 390 Resolution Model Intercomparison Project (HighResMIP~v1.0) for CMIP6. *Geoscientific*
- 391 *Model Development, 9*(11), 4185–4208. https://doi.org/10.5194/gmd-9-4185-2016
- Hermoso, A., Rivière, G., Harvey, B., Methven, J., & Schemm, S. (2024). A dynamical
- 393 interpretation of the intensification of the winter North Atlantic jet stream in reanalysis.
- *Journal of Climate*. https://doi.org/https://doi.org/10.1175/JCLI-D-23-0757.1
- Hogan, R. J., & Bozzo, A. (2018). A Flexible and Efficient Radiation Scheme for the ECMWF
- 396 Model. Journal of Advances in Modeling Earth Systems, 10(8), 1990–2008.
- 397 https://doi.org/https://doi.org/10.1029/2018MS001364
- 398 Kaderli, S. (2023). WaveBreaking Detection, Classification and Tracking of Rossby Wave
- 399 Breaking [Software]. Zenodo. Retrieved from https://doi.org/10.5281/zenodo.8123188
- 400 Kautz, L.-A., Martius, O., Pfahl, S., Pinto, J. G., Ramos, A. M., Sousa, P. M., & Woollings, T.
- 401 (2022). Atmospheric blocking and weather extremes over the Euro-Atlantic sector -- a
- 402 review. Weather and Climate Dynamics, 3(1), 305–336. https://doi.org/10.5194/wcd-3-

403 **305-2022** 

De Luca, P., Jiménez-Esteve, B., Degenhardt, L., Schemm, S., & Pfahl, S. (2024). Datasets used in
 "Enhanced Blocking Frequencies in Very-high Resolution Idealized Climate Model

406 Simulations" [Data]. Zenodo. https://doi.org/10.5281/zenodo.12575399

- Lupo, A. R. (2021). Atmospheric blocking events: a review. *Annals of the New York Academy of Sciences*, *1504*(1), 5–24. https://doi.org/https://doi.org/10.1111/nyas.14557
- 409 Madonna, E., Wernli, H., Joos, H., & Martius, O. (2014). Warm Conveyor Belts in the ERA-
- 410 Interim Dataset (1979–2010). Part I: Climatology and Potential Vorticity Evolution. *Journal*
- 411 *of Climate*, *27*(1), 3–26. https://doi.org/https://doi.org/10.1175/JCLI-D-12-00720.1
- 412 Matsueda, M. (2011). Predictability of Euro-Russian blocking in summer of 2010. *Geophysical*
- 413 *Research Letters, 38*(6). https://doi.org/https://doi.org/10.1029/2010GL046557
- 414 Matsueda, M., Mizuta, R., & Kusunoki, S. (2009). Future change in wintertime atmospheric
- blocking simulated using a 20-km-mesh atmospheric global circulation model. *Journal of*
- 416 *Geophysical Research: Atmospheres, 114*(D12).
- 417 https://doi.org/https://doi.org/10.1029/2009JD011919
- 418 Matsueda, M., Endo, H., & Mizuta, R. (2010). Future change in Southern Hemisphere
- summertime and wintertime atmospheric blockings simulated using a 20-km-mesh AGCM.
- 420 *Geophysical Research Letters*, 37(2).
- 421 https://doi.org/https://doi.org/10.1029/2009GL041758
- Nakamura, N., & Huang, C. S. Y. (2018). Atmospheric blocking as a traffic jam in the jet stream.
   *Science*, *361*(6397), 42–47. https://doi.org/10.1126/science.aat0721
- 424 Narinesingh, V., Booth, J. F., Clark, S. K., & Ming, Y. (2020). Atmospheric blocking in an
- 425 aquaplanet and the impact of orography. *Weather and Climate Dynamics*, 1(2), 293–311.
- 426 https://doi.org/10.5194/wcd-1-293-2020
- 427 Neale, R. B., & Hoskins, B. J. (2000). A standard test for AGCMs including their physical
- 428 parametrizations: I: the proposal. *Atmospheric Science Letters*, **1**(2), 101–107.
- 429 https://doi.org/https://doi.org/10.1006/asle.2000.0022
- 430 Orr, A., Bechtold, P., Scinocca, J., Ern, M., & Janiskova, M. (2010). Improved Middle Atmosphere
- 431 Climate and Forecasts in the ECMWF Model through a Nonorographic Gravity Wave Drag

- 432 Parameterization. *Journal of Climate*, *23*(22), 5905–5926.
- 433 https://doi.org/https://doi.org/10.1175/2010JCLI3490.1
- Pfahl, S., & Wernli, H. (2012). Quantifying the relevance of atmospheric blocking for co-located
- temperature extremes in the Northern Hemisphere on (sub-)daily time scales. *Geophysical*
- 436 *Research Letters*, *39*(12). https://doi.org/https://doi.org/10.1029/2012GL052261
- 437 Pfahl, S., Schwierz, C., Croci-Maspoli, M., Grams, C. M., & Wernli, H. (2015). Importance of
- 438 latent heat release in ascending air streams for atmospheric blocking. *Nature Geoscience*,
  439 8(8), 610–614.
- 440 Pithan, F., Shepherd, T. G., Zappa, G., & Sandu, I. (2016). Climate model biases in jet streams,
- 441 blocking and storm tracks resulting from missing orographic drag. *Geophysical Research*
- 442 *Letters*, 43(13), 7231–7240. https://doi.org/https://doi.org/10.1002/2016GL069551
- 443 Raschendorfer, M. (2001). *The new turbulence parameterization of LM*.
- 444 Scaife, A. A., Woollings, T., Knight, J., Martin, G., & Hinton, T. (2010). Atmospheric Blocking and
- 445 Mean Biases in Climate Models. *Journal of Climate*, *23*(23), 6143–6152.
- 446 https://doi.org/https://doi.org/10.1175/2010JCLI3728.1
- 447 Scaife, A. A., Copsey, D., Gordon, C., Harris, C., Hinton, T., Keeley, S., et al. (2011). Improved
- 448 Atlantic winter blocking in a climate model. *Geophysical Research Letters*, 38(23).
- 449 https://doi.org/https://doi.org/10.1029/2011GL049573
- 450 Schemm, S. (2023). Toward Eliminating the Decades-Old "Too Zonal and Too Equatorward"
- 451 Storm-Track Bias in Climate Models. *Journal of Advances in Modeling Earth Systems*, 15(2),
- 452 e2022MS003482. https://doi.org/https://doi.org/10.1029/2022MS003482
- 453 Scherrer, S. C., Croci-Maspoli, M., Schwierz, C., & Appenzeller, C. (2006). Two-dimensional
- 454 indices of atmospheric blocking and their statistical relationship with winter climate
- 455 patterns in the Euro-Atlantic region. *International Journal of Climatology*, *26*(2), 233–249.
- 456 https://doi.org/https://doi.org/10.1002/joc.1250
- 457 Schiemann, R., Athanasiadis, P., Barriopedro, D., Doblas-Reyes, F., Lohmann, K., Roberts, M. J.,
- 458 et al. (2020). Northern Hemisphere blocking simulation in current climate models:
- 459 evaluating progress from the Climate Model Intercomparison Project Phase~5 to 6 and
- sensitivity to resolution. *Weather and Climate Dynamics*, 1(1), 277–292.

- 461 https://doi.org/10.5194/wcd-1-277-2020
- Schwierz, C., Croci-Maspoli, M., & Davies, H. C. (2004). Perspicacious indicators of atmospheric
   blocking. *Geophysical Research Letters*, *31*(6).
- 464 https://doi.org/https://doi.org/10.1029/2003GL019341
- 465 Steinfeld, D. (2020). ConTrack Contour Tracking [Software]. GitHub. Retrieved from
- 466 https://github.com/steidani/ConTrack
- 467 Steinfeld, D., & Pfahl, S. (2019). The role of latent heating in atmospheric blocking dynamics: a
- global climatology. *Climate Dynamics*, *53*(9), 6159–6180. https://doi.org/10.1007/s00382 019-04919-6
- 470 Steinfeld, D., Boettcher, M., Forbes, R., & Pfahl, S. (2020). The sensitivity of atmospheric
- 471 blocking to upstream latent heating -- numerical experiments. Weather and Climate
- 472 *Dynamics*, 1(2), 405–426. https://doi.org/10.5194/wcd-1-405-2020
- Tamarin-Brodsky, T., & Harnik, N. (2024). The relation between Rossby wave-breaking events
- and low-level weather systems. *Weather and Climate Dynamics*, 5(1), 87–108.
- 475 https://doi.org/10.5194/wcd-5-87-2024
- Taylor, K. E., Stouffer, R. J., & Meehl, G. A. (2012). An Overview of CMIP5 and the Experiment
- 477 Design. Bulletin of the American Meteorological Society, 93(4), 485–498.
- 478 https://doi.org/https://doi.org/10.1175/BAMS-D-11-00094.1
- 479 Tiedtke, M. (1989). A Comprehensive Mass Flux Scheme for Cumulus Parameterization in Large-
- 480 Scale Models. *Monthly Weather Review*, *117*(8), 1779–1800.
- 481 https://doi.org/https://doi.org/10.1175/1520-0493(1989)117<1779:ACMFSF>2.0.CO;2
- 482 Wilson, E. B. (1927). Probable Inference, the Law of Succession, and Statistical Inference.
- 483 Journal of the American Statistical Association, 22(158), 209–212.
- 484 https://doi.org/10.1080/01621459.1927.10502953
- 485 Woollings, T., Barriopedro, D., Methven, J., Son, S.-W., Martius, O., Harvey, B., et al. (2018).
- 486 Blocking and its Response to Climate Change. *Current Climate Change Reports*.
- 487 https://doi.org/10.1007/s40641-018-0108-z
- 488 Yamamoto, A., Nonaka, M., Martineau, P., Yamazaki, A., Kwon, Y.-O., Nakamura, H., & Taguchi,
- 489 B. (2021). Oceanic moisture sources contributing to wintertime Euro-Atlantic blocking.

## This is a peer-reviewed preprint submitted to EarthArXiv, accepted for publication in *Geophysical Research Letters*

490	Weather and Climate Dynamics, 2(3), 819–840. https://doi.org/10.5194/wcd-2-819-2021
491	Yamazaki, A., & Itoh, H. (2013). Vortex–Vortex Interactions for the Maintenance of Blocking.
492	Part I: The Selective Absorption Mechanism and a Case Study. Journal of the Atmospheric
493	Sciences, 70(3), 725–742. https://doi.org/https://doi.org/10.1175/JAS-D-11-0295.1
494	Zängl, G., Reinert, D., Rípodas, P., & Baldauf, M. (2015). The ICON (ICOsahedral Non-
495	hydrostatic) modelling framework of DWD and MPI-M: Description of the non-hydrostatic
496	dynamical core. Quarterly Journal of the Royal Meteorological Society, 141(687), 563–579.
497	https://doi.org/https://doi.org/10.1002/qj.2378
498	
499	
500	
501	
502	
503	
504	
505	
506	
507	
508	
509	
510	
511	
512	
513	
514	
515	
510	
51/	
518	

519	Supporting Information for
520	Enhanced Blocking Frequencies in Very-high Resolution Idealized Climate Model
521	Simulations
522	P. De Luca <sup>1,2</sup> , B. Jiménez-Esteve <sup>3</sup> , L. Degenhardt <sup>2</sup> , S. Schemm <sup>4</sup> , and S. Pfahl <sup>2</sup>
523	1. Barcelona Supercomputing Center (BSC), Barcelona, Spain
524	2. Institute of Meteorology - Freie Universität Berlin, Berlin, Germany
525 526	3. Instituto de Geociencias (IGEO), Consejo Superior de Investigaciones Científicas–Universidad Complutense de Madrid (CSIC–UCM), Madrid, Spain
527	4. Institute for Atmospheric and Climate Science - ETH Zürich, Zürich, Switzerland
528	
529	Contents of this file
530	
531	Text S1
532	Figures S1 to S4
533	
534	Introduction
535	This Supporting Information provides extra details on a second method used for computing Rossby-wave
536	breaking along with three supporting figures that describe the nested areas used in the idealized climate

breaking along with three supporting figures that describe the nested areas used in the idealized climate model simulations, difference maps of ANM blocking frequencies computed with other overlapping areas and percentiles than in the main text, and difference maps of Rossby-wave breaking computed with the second method here described.

540 541

# 542 Text S1.

For computing anticyclonic and cyclonic wave-breaking frequencies we also use a second algorithm 543 introduced by Barnes & Hartmann (2012). This algorithm searches for synoptic-scale wave-breaking of a 544 545 given field by quantifying regions where the contours overturn using potential vorticity (PV) contours (usually 2PVU is taken). In our case, as we do not have PV available, we have substituted PV for Z500 and 546 tested results using different contours but found that the 5300 gpm contour gives the most sensible result. 547 548 To quantify wave-breaking events, the longest closed contour encircling the field's pole is identified. Then, the algorithm searches the locations where a single meridian intersects the contour at least three times. Such 549 550 locations are named overturning points, and if they occur within 500 km of each other and within the same contour, they are considered part of the same event. Then, the algorithm groups the overturning contours 551 into daily wave-breaking events by quantifying contiguous contours that all have overturning centers (i.e. 552 geographic centers of the overturning points) within 2000 km of each other. If this condition is not fulfilled, 553 different wave-breaking events are created. The center of a wave-breaking event is defined as the 554 geographic center of all grid points on all contours in the event (Barnes & Hartmann, 2012). 555

556

The detection algorithm also differentiates between cyclonic and anticyclonic wave-breaking events, or breaking events that overturn cyclonically and anticyclonically. In our case, when we use Z500 instead of

#### This is a peer-reviewed preprint submitted to EarthArXiv, accepted for publication in *Geophysical Research Letters*

559 PV, this is done by ordering the overturning points from west to east, so that in the Northern hemisphere cyclonic events are quantified as those whose west-most overturning point is equatorward of the east-most 560 overturning point and anticyclonic ones as those whose west-most overturning point is poleward of the 561 562 east-most overturning point. In the Southern Hemisphere the definition is the opposite. To consider the possibility that one wave-breaking event lasts more than one day the algorithm also groups the events in 563 time that have a center within 2000 km of each other (Barnes & Hartmann, 2012). Here, we compute 564 cyclonic and anticyclonic Rossy wave-breaking by using daily Z500 fields at 1°x1° horizontal resolution 565 from the ICON simulation of Schemm (2023) and using the python library WaveBreaking v0.3.7 (Kaderli, 566 567 2023).

568

569



570

571 **Figure S1.** Horizontal resolution (km) of the nested areas used in the ICON simulations. Black: 5 km; red:

572 10 km; and blue: 20 km. These correspond to the same resolution and areas of Schemm (2023). Green 573 contours show the idealized sea-surface temperature front computed from 2m temperatures after removing

574 the zonal mean. Continents are only drawn for illustrative purposes and are not present in the aquaplanet

575 simulation.



576

- **Figure S2.** As Figure 2a but for ANM blocking frequency differences (%) computed with (a) overlap 50 and 80<sup>th</sup> percentile, (b) 50 and 85<sup>th</sup>, (c) 60 and 80<sup>th</sup>, (d) 60 and 85<sup>th</sup> and (e) 60 and 90<sup>th</sup>. Continents are only 577
- 578 drawn for illustrative purposes and are not present in the aquaplanet simulation. 579

This is a peer-reviewed preprint submitted to EarthArXiv, accepted for publication in *Geophysical Research Letters* 



**Figure S3.** Same as Figure 3 but with Rossby-wave breaking computed following Barnes & Hartmann (2012). Black horizontal lines represent the geographical limits of the Davini et al. (2012) RWB method for comparison. Continents are only drawn for illustrative purposes and are not present in the aquaplanet simulation.

#### This is a peer-reviewed preprint submitted to EarthArXiv, accepted for publication in Geophysical Research Letters



586

Figure S4. Case-study of a blocking event using geopotential height (m) anomalies at 500hPa (z500). Each 587 588 panel represent a single day. Yellow and pink grid-points show respectively the ANM and ABS blocking indices. 589

590

591

592

#### 593 References

- 594 Barnes, E. A., & Hartmann, D. L. (2012). Detection of Rossby wave breaking and its response to shifts of the 595 midlatitude jet with climate change. Journal of Geophysical Research: Atmospheres, 117(D9). 596 https://doi.org/https://doi.org/10.1029/2012JD017469
- 597 Davini, P., Cagnazzo, C., Gualdi, S., & Navarra, A. (2012). Bidimensional Diagnostics, Variability, and Trends of 598 Northern Hemisphere Blocking. Journal of Climate, 25(19), 6496-6509. 599
- https://doi.org/https://doi.org/10.1175/JCLI-D-12-00032.1
- 600 Kaderli, S. (2023). WaveBreaking - Detection, Classification and Tracking of Rossby Wave Breaking [Software]. 601 Zenodo. Retrieved from https://doi.org/10.5281/zenodo.8123188
- Schemm, S. (2023). Toward Eliminating the Decades-Old "Too Zonal and Too Equatorward" Storm-Track Bias in 602 Climate Models. Journal of Advances in Modeling Earth Systems, 15(2), e2022MS003482. 603 https://doi.org/https://doi.org/10.1029/2022MS003482 604
- 605
- 606
- 607
- 608