Adopting deep learning methods for airborne RGB fluvial scene classification.

Patrice E Carbonneau¹, Stephen J Dugdale³, Toby P Breckon², James T Dietrich⁴, 5 Mark A Fonstad⁵, Hitoshi Miyamoto⁶, and Amy S Woodget⁷

* Corresponding author:

1: Department of Geography, Durham University, Mountjoy Site, Durham, DH1 3LE, UK. patrice.carbonneau@durham.ac.uk

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2: Department of Computer Sciences, Durham University, Mountjoy Site, Durham, DH1 3LE, UK. toby.breckon@durham.ac.uk

3: School of Geography, University of Nottingham, University Park, Nottingham, NG7 2RD UK. stephen.dugdale@nottingham.ac.uk

4: Department of Geography, University of Northern Iowa,1227 West 27th Street Cedar Falls, IA 50614, USA. james.dietrich@uni.edu

20 5: Department of Geography, University of Oregon, 1251 University of Oregon, Eugene OR 97403-1251 USA. fonstad@uoregon.edu

6: Department of Civil Engineering, Shibaura Institute of Technology, 3-7-5 Toyosu, Kotoku, Tokyo 135-8548, Japan. miyamo@shibaura-it.ac.jp

25

7: Department of Geography and Environment, Loughborough University, Epinal Way,

Loughborough, Leicestershire, LE11 3TU, UK. a.woodget@lboro.ac.uk

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Abstract

River environments are among the world's most threatened ecosystems. Enabled by the

- 35 rapid development of drone technology, hyperspatial resolution (<10 cm) images of fluvial scenes are now a common data source used to better understand these sensitive habitats. However, the task of image classification remains challenging for this type of imagery and the application of traditional classification algorithms such as maximum likelihood, still in common use among the river remote sensing community, yields unsatisfactory results. We
- 40 explore the possibility that a classifier of river imagery based on deep learning methods can provide a significant improvement in our ability to classify fluvial scenes. We assemble a dataset composed of existing RGB images from 11 rivers in Canada, Italy, Japan, the UK, and Costa Rica. The images were labelled into 5 classes. In total, >5 billion pixels were labelled and partitioned for the tasks of training (1 billion pixels) and
- 45 validation (4 billion pixels). We develop a novel supervised learning workflow based on the NASNet convolutional neural network (CNN) called 'CNN-Supervised Classification' (CSC). First, we compare the classification success of maximum likelihood, a multilayer perceptron, a random forest, and CSC. Results show F1 scores (a best-practice quality meter in machine learning) of 71%, 78%, 72% and 95%, respectively. Second, we train
- 50 our classifier using data for 5 of 11 rivers. We then predict the validation data for all 11 rivers. For the 5 rivers that were used in model training, F1 scores reach 98%. For the 6 rivers not used in model training, F1 scores are 90%. We reach two conclusions. First, in the traditional workflow where images are classified one at a time, CSC delivers an unprecedented mix of labour savings and classification F1 scores above 95%. Second, 55 deep learning can determine land-cover classifications (F1 = 90%) for rivers not used in training. This demonstrates the potential to train a generalised open-source deep learning

model for airborne river surveys suitable for most rivers 'out of the box'. Research efforts

should now focus on further development of a new generation of deep learning classification tools that will encode human image interpretation abilities and allow for fully automated, potentially real-time, interpretation of riverine landscape images.

Highlights

- Deep Learning can classify RGB river imagery to 90%-99% F1.
- This result exceeds the state of the art in fluvial scene classification.
- Deep Learning models can encode river features that transfer to new rivers.
 - Hyper- and multispectral data is not required.
 - We provide open source GIS integration via PyQGIS.

70 Introduction

Freshwater environments and the flora and fauna they contain are among the most threatened ecosystems on the planet (Carrizo et al., 2017; Strayer and Dudgeon, 2010; WWF, 2018). Of these habitats, rivers in particular have been the focus of intensive research and conservation initiatives e.g. (Linke et al., 2007; Nel et al., 2009; Ormerod, 75 2009) due to the combined threats of impoundments and flow alteration (Rosenberg et al., 2000; Vörösmarty et al., 2010), land-use modification (Rogger et al., 2017; Zhang and Schilling, 2006), and climate change (Arnell and Gosling, 2016; van Vliet et al., 2013). In tandem with this increasingly intensive 'applied' research focus, recognition has grown that the improved conservation of river environments will naturally stem from a deeper 80 understanding of patterns and processes in physical river habitats e.g. (Palmer et al., 2010; Ward et al., 2001; Wohl et al., 2005) and their linkages to aquatic organisms. Indeed, this concept is central to the riverscapes paradigm (Fausch et al., 2002), which dictates that a spatially continuous view of the river is key to understanding and conserving stream biota. The collection and assembly of high-resolution data pertaining to river 85 environments is therefore a fundamental first step in protecting these critically endangered global ecosystems.

The sinuous, dendritic nature of rivers, coupled with the difficulty of conducting spatiallyintensive sampling in aquatic environments, has led researchers to increasingly turn to 90 remote sensing to provide the spatially continuous data necessary to yield improved fundamental and applied understanding of river environments (Bizzi et al., 2016; Carbonneau and Piégay, 2012; Marcus and Fonstad, 2010). Earlier river remote sensing work (eg. Seto et al. 2002; Winterbottom & Gilvear, 1997; Yang et al. 1999) highlighted the utility of multi/hyperspectral satellite and airborne platforms for mapping fluvial

environments. While these coarser data continue to be useful for monitoring rivers, 95 particularly planform change, hydrometry or water quality; (eg Bjerklie et al., 2003; Kuhn et al., 2019; Langat et al., 2020), algorithms for quantifying in-stream meters (eg Black et al., 2014; Dietrich, 2016; Willis and Holmes, 2019) often require the acquisition of very high resolution (usually <10 cm) RGB images which are not available from any satellite 100 platform. Carbonneau and Piégay (2012) define the 'hyperspatial' resolution threshold as <10 cm and state that such images have increasing value in the analysis of river systems. Furthermore, Downing et al. (2012) also estimate that 97% of the world's rivers by length have a width below 30m. Similarly, Allen and Pavelsky (2018) estimate that 369 000 km² of the earth's surface are occupied by rivers smaller than 90m. This therefore creates a 105 niche for airborne hyperspatial data capable of resolving even small streams with hundreds to thousands of pixels per average river width. Indeed, supported by the explosion of drone-based remote sensing techniques over the last 10 years (e.g. Woodget et al., 2017; Woodget and Austrums, 2017), the extraction of river habitat data from hyperspatial RGB imagery is fast becoming a mature and accepted technique in the river 110 sciences (Bagheri et al., 2015; Black et al., 2014; Carbonneau et al., 2012; Carbonneau and Piégay, 2012; Dugdale et al., 2019; Hamshaw et al., 2017; Kalacska et al., 2019; Michez et al., 2016; Tamminga et al., 2015; Woodget et al., 2016, 2015). There is also a similar body of work pertaining to the use of RGB images acquired from terrestrial platforms and used to extract a range of fluvial characteristics (eg Ashmore and Sauks, 2006; Butler et al., 2001; Chandler et al., 2002; Ghaffarian et al., 2020; B. MacVicar and 115 Piégay, 2012; MacVicar et al., 2012; B. J. MacVicar and Piégay, 2012; Purinton and Bookhagen, 2019). Furthermore, the increasingly ubiquitous use of structure from motion (SfM) photogrammetry in river remote sensing (e.g. Carrivick and Smith, 2019; Hemmelder et al., 2018; Seitz et al., 2018) - a technique reliant on sub-decimeter (RGB) imagery -

- 120 means that hyperspatial RGB imagery is among the most widely exploited river remote sensing data types and allowing for small scale investigations that are not possible with orbital sensors.
- In studies involving the quantification of river habitat data from remote sensing, it is often 125 necessary to segment the wetted channel from other land cover types prior to the application of algorithms to extract hydromorphic meters (e.g. Carbonneau et al., 2012, 2006). However, this basic task of image classification remains challenging for RGB hyperspatial imagery, where the extremely fine spatial detail and relatively low number of spectral bands means that traditional statistical learning classification algorithms (e.g. 130 maximum likelihood or k-means clustering) that are still widely-used among river remote sensing practitioners (Brigante et al., 2017; Spada et al., 2018; Wang et al., 2016) have difficulty correctly allocating image pixels to semantic classes that are radiometerally similar to one another. Indeed, despite rapid advances in image classification within other fields (e.g. computer vision), river remote sensing studies very rarely achieve classification 135 accuracies above 90%, with values of \sim 70 – 80% still being the norm (e.g. Boruah et al., 2008; Casado et al., 2015; Gilvear et al., 2008; Legleiter and Goodchild, 2005; Marcus et al., 2012; Rusnák et al., 2018; Smikrud et al., 2008; Wang et al., 2016). This is largely because at hyperspatial resolution, the assumption that a semantic class can be described by a set of unimodal distributions of brightness values is not necessarily valid. 140 Furthermore, the incredible global variety of rivers means that classification techniques solely based on radiometer properties are unlikely to be successful when applied to other, less radiometerally variable land-use types. This reliance on the use of outdated algorithms and the resulting difficulty in classifying riverine imagery is a pressing problem in the fluvial remote sensing community. Indeed, not only does this classification difficulty

145 currently prohibit the easy application of advanced image processing algorithms for the extraction of physical habitat data (Carbonneau et al., 2012), but also severely limits our ability to explore patterns and processes in channel morphology at riverscape scales.

In the area of fluvial remote sensing, previous efforts to solve the challenges of sub-meter 150 resolution image classification have been dominated by hardware approaches involving the use of multi- or hyperspectral sensors (Demarchi et al., 2017, 2016; Laliberte et al., 2011; Legleiter et al., 2004, 2002; Marcus et al., 2003; Olmanson et al., 2013; Tian et al., 2010; Zhong and Zhang, 2012). The main finding of this body of literature is that the addition of spectral detail, including information from non-visible, infrared wavelengths, 155 greatly enhances classification performance. This is largely because the inability of nearinfrared wavelengths to penetrate water render the wetted channel easy to segment from terrestrial features which otherwise have similar visible spectral signatures. Indeed, using such multispectral data, Marcus et al. (2003) report accuracies as high as 86% for the classification of a fluvial landscape. However, validation of these studies is typically 160 carried out by visual labelling, often using RGB images. Given that a trained human observer is readily capable of delimiting land-cover classes in RGB imagery, there is potential for so-called 'Artificial Intelligence' methods to solve this classification problem without the need for costly multi- and hyperspectral sensors. Such methods hold great promise for raising the classification accuracy of river remote sensing data to >90% levels currently considered the state of the art in computer vision and related fields (Barré et al., 165 2017; Debats et al., 2016; Hernández-Serna and Jiménez-Segura, 2014)

Chollet (2017) defines artificial intelligence (AI) as '*the effort to automate intellectual tasks normally performed by humans*'. The author then introduces terms such as Machine Learning (ML) and Deep Learning (DL) with mutually inclusive sets:

$$AI \subset ML \subset DL$$
 (1)

Machine learning is therefore a subset of artificial intelligence methods where any algorithm is capable of learning and encoding prediction rules from data (Chollet, 2017;
Goodfellow et al., 2016). Deep learning methods, a subset of machine learning methods, distinguish themselves by their ability to encode multiple layers of features learned from large datasets (LeCun et al., 2015). In practice, deep learning relies on convolutional neural network (CNN) (Goodfellow et al., 2016; Lecun et al., 1998) architectures, whereby a locally-weighted operator performs a variety of de-noising, feature extraction, and data
reduction operations by varying only the weights of the convolution operator itself (Solomon and Breckon, 2011). Such deep learning architectures essentially offer a huge parametrisation space which is then tuned to recover an optimal set of feature extraction/classification parameters as a set of neural network operations (i.e. image in; classification out).

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Advances in deep learning (Zhang et al., 2016) have started to show great potential for the classification and segmentation of diverse landscape features from remote sensing data. Convolutional neural networks are being used increasingly for large-scale satellite image classification (e.g. Chen et al., 2019; Kussul et al., 2017; Romero et al., 2016; Zhong et al., 2017), enabling the segmentation of imagery into broad classes (e.g. trees, grassland, soil, roads, water) with accuracy substantially greater than conventional classification techniques. . However, in the specific context of rivers, applications of deep learning are

sparse. Casado (2015) use a non-convolutional artificial neural network (often called a multilayer perceptron) to identify hydromorphic units in a river reach. Daigle et al. (2013) demonstrate a similar perceptron-based approach to detect river ice from fixed RGB imagery. More recently, Isikdogan et al (2018) and Ling et al. (2019) highlight the utility of deep learning for extracting channel characteristics from satellite imagery, and Buscombe and Ritchie (2018) have applied DEEPLAB (Chen et al., 2018) to landscapes (including rivers), demonstrating that river corridor classification in high resolution imagery with deep learning is possible. However, while successful in isolation, the uptake of these methods has been slow, and the lack of a deployable, repeatable and accurate classifier for river corridors remains a crucial issue in river remote sensing.

The complexity of implementing deep learning approaches partially accounts for the lack 205 of uptake among river scientists and managers without proficiency in computer vision. However, the specificity of deep learning methods has potentially also prohibited their wider application in the fluvial remote sensing domain. While previous research using CNNs has demonstrated an ability to achieve extremely high classification accuracy when deployed in a target recognition sense (eq. Guo et al., 2018; Li et al. 2017; Foody et al. 2019), the transferability of these approaches across diverse riverine landscapes and 210 remote sensing systems/platforms remains untested. Unlike relatively homogeneous landscape types (e.g. urban environments or forest canopies; Khan et al., 2017; Mahdianpari et al., 2018; Pouliot et al., 2019) where deep learning has previously seen success, rivers are extremely heterogeneous. This diversity implies that the development of a fully transferable classifier for river corridors from environments as disparate as the 215 tropics or alpine regions is an extremely complex problem. Furthermore, despite the number of CNN-based landscape/land-use classification approaches documented in the literature, there is a relative absence of examples that are ready for deployment in an environmental management context. Given that one of the key factors precluding the use of advanced image processing techniques in the applied river sciences is the lack of coding or scientific computing expertise among environmental management communities, these issues create a compelling need for the development of a high quality, transferable and easy to use image classifier for use in the river sciences.

- 225 Current options for deep leaning approaches in commercial and/or open-source remote sensing packages are limited (Table 1). Indeed, with the exception of the *Orfeo* open source toolbox, it is only high-end, high-cost, commercial products currently that have implementations of deep learning built in. Not only are these packages rarely available to river management organisations, they also offer very limited flexibility to adapt algorithms
- 230 to specific cases such as hyperspatial imagery. Another common issue with all machine learning algorithms deployed in software is that they require training and validation. In the case of deep learning, the requirement of large labelled sets of data makes software implementation even more problematic. Indeed, the dominant paradigm in classification of Earth Observation (EO) data is that the user manually draws polygons on-screen in order
- to form labelled pixels for supervised classification training. In the case of deep learning this is very problematic since the human effort required to generate a sufficient sample size is very considerable. We argue that implementation of deep learning in research fields with lower levels of computer vision expertise would be greatly facilitated if pre-trained, freely available, deep convolutional networks could be called upon to classify new image data without the need for the labour intensive and time-consuming generation of new training labels. Such a facility would not only be a substantial boon for the classification and interpretation of new fluvial remote sensing data, but would also greatly enhance the

extraction of river habitat data from archival aerial photography acquired over the past 20 years during the emergence of the fluvial remote sensing sub-discipline. This would aid the

245 rapid detection and analysis of river habitat change in the context of land-use and climate modification, and allow for improved testing of prevailing theories regarding hydromorphic processes and the linkages between river habitats and ecosystems.

Table 1. Supervised classification workflows currently available within remote

250 sensing software packages.

| Software Package | Machine Learning | Deep Learning | Access Type |
|----------------------------------|--|--|----------------|
| eCognition | ✓ Yes (e.g. decision trees, random forests, support vector machines) | ✓ Yes (uses Google TensorFlow library, including trainable convolutional neural network models) | Commercial |
| ERDAS Imagine Professional | ✓ Yes (e.g. random forests, support vector machines, CART) | ✓ Yes (e.g. Faster regional-based convolutional neural networks) | Commercial |
| ENVI | ✓ Yes (Interactive data language framework: e.g. support vector machines, SoftMax, Feed Forward Neural Network-based classifications) | ✓ Yes (Deep learning module built on Google TensorFlow) | Commercial |
| ESRI ArcPro | ✓ Yes (e.g. Random trees, support vector machines) | Support for export to third party deep learning tools | Commercial |
| QGIS + GRASS | ✓ Yes (e.g. Gaussian mixture models, random forests, support vector machines) | * No | Open Source |
| SAGA | ✓ Yes (e.g. support vector machines) | × No | Open Source |
| Orfeo Toolbox | ✓ Yes (e.g. Support vector machines, Bayes, Random forests) | ✓ Yes (e.g. <i>otbtf</i> module built on Google's TensorFlow) | Open Source |

The overarching aim of this work is therefore to examine the potential of machine learning 255 and deep learning in the specific context of hyperspatial fluvial remote sensing. We do not claim to advance the field of deep learning, and we recognize that 'cutting edge' computer vision approaches involve even more advanced algorithms than those demonstrated here (e.g. Long et al., 2015). Rather, our intention is to advance the state of the art in fluvial scene classification by quantifying accuracy improvements possible with 260 deep learning approaches that are sufficiently mature and established to be accessed, manipulated by non-specialists, and, ultimately, integrated into a GIS workflow. Furthermore,, we wish to understand if deep learning classifiers can mimic a human expert and consistently classify riverine land-cover types in hyperspatial (<10cm) resolution 265 colour imagery to higher levels of accuracy (>90% F1 and above) than those common to past and present river remote sensing studies typically performing in the 70%-80% range (e.g. Boruah et al., 2008; Casado et al., 2015; Feng et al., 2018; Gilvear et al., 2008; Legleiter and Goodchild, 2005; Marcus et al., 2012; Rusnák et al., 2018; Smikrud et al., 2008; Wang et al., 2016). Indeed, given that river habitats are highly complex environments characterised by gradients and discontinuities (Fausch et al., 2002), the 270 ability to improve classification accuracy above current norms is crucial for accurately identifying small discontinuous habitat features that may have a disproportionate role in key ecosystem processes. In this manner, even relatively incremental increases in classification accuracy (e.g. from ~80% to >90%) have the potential to yield major advances in our understanding of fluvial forms and dynamics by yielding a fuller picture of 275 spatial patterns in key habitat features that might have been misclassified under less advanced techniques. Our study therefore has three specific objectives: First. we compare the performance of a range of land-cover classifier algorithms (maximum likelihood, Random Forests, depth-limited Neural Networks, and Convolutional Neural 280 Networks) in order to demonstrate the potential of deep learning methods to fluvial scientists and river managers. Second, we evaluate the potential of a deep learning workflow called CNN-Supervised Classification to transform current practice in river land-cover classification where classifiers are trained to predict land-cover for single rivers, one at a time. Third, we critically assess the future potential of CNN-Supervised Classification as a transferable classifier eventually capable of river corridor segmentation without the need for further model training. Finally, we demonstrate GIS integration and direct readers to an open-source code repository ready for deployment.

Methods

290 Hardware and software

We use capable but modest resources accessible to most researchers. The data presented here were processed with two laptops. The main unit had a 4-core Intel i7-6820 CPU clocked at 3.4 Ghz with 32 Gb RAM and an NVIDIA GTX 1070 GPU with 8Gb of memory and 1920 CUDA cores available for parallel processing. The secondary unit had a 4-core Intel i7-4700MQ CPU clocked at 3.4 Ghz but with 24 Gb RAM and an NVIDIA

a 4-core Intel i7-4700MQ CPU clocked at 3.4 Ghz but with 24 Gb RAM and an NVIDIA Quadro K1100M GPU with 2 Gb of memory and 384 CUDA cores. Whilst these are moderately high specifications for laptops, equivalent desktops are readily available. All software used in this work is open-source. Core deep learning work was undertaken in Python 3.6 using the Anaconda distribution. We use the *scikit-learn* library for classification metrics and for the random forest machine learning algorithm (Pedregosa et al., 2011). We use *scikit-image* for basic image import/export and more advanced processing and filtering (Walt et al., 2014). For dense and convolutional neural networks, we use the *Keras* API (Chollet, 2017) running the GPU-enabled version of TensorFlow v1.14 (Abadi et al., 2016). The *Pandas* library is used for basic tabular data storage,

manipulation and management (McKinney, 2010). Visualisation is delivered with the 305 Seaborn library. Spyder (Scientific PYthon Development EnviRonment) was used as the main integrated development environment (IDE) for coding and debugging. We deliberately avoid CNN architectures that are closer to the research frontier and we seek a deep learning architecture that is established and ready for deployment. Within the Keras API, we selected the pre-existing NASNet Large CNN model (Zoph et al., 2017) because it 310 has the highest prediction accuracy according to the Keras documentation. Furthermore, we also decided to test the NASNet Mobile architecture to explore whether a smaller version of the NASNet architecture could deliver good results with less computational overhead. For digitising and GIS tasks, we use QGIS 3.4 Long Term Release which is 315 distributed with an integrated version of GRASS GIS 7.6. GRASS GIS is used to perform maximum likelihood classification. GIS integration is achieved by installing all the libraries listed above in the QGIS python environment. PyQGIS can then be used to geocode the classification outputs and run the entire process from the QGIS Python console.

320 Data preparation

We use existing data and have compiled a database of hyperspatial resolution imagery from 11 rivers in Canada (Quebec and Alberta), Italy, Japan, the UK and Costa Rica (Figure 1).



Figure 1. Location map for the11 study rivers.

We argue that this is a state of the art dataset which is more varied than anything previously presented in the high resolution airborne fluvial remote sensing literature. Within this subset of the remote sensing literature, we recognize that there are a small number of publications with datasets that exceed our own in terms of sheer number of pixels (e.g. Black et al., 2014; Carbonneau et al., 2004). However, such studies are usually focussed on a single river and we find no other report in the peer-reviewed literature with in excess of 5 billion labelled pixels distributed among 11 rivers spanning the Americas, Europe, and Asia. Our images were acquired between 2002 and 2017 from both piloted aircraft and unpiloted aircraft systems (UAS). The images are composed of what might be termed a standard view in fluvial remote sensing, with the channel roughly in the centre of the scene and with vegetated areas and frequent occurrences of exposed sediment on

- either side. Most images are dominated by green vegetation but some sets have a frequent occurrence of different types of senescent vegetation that ranges from dry grasses of the Scottish Highlands to bright autumn foliage from eastern Canada. Dry exposed sediment is mostly light in colour but is darker in some instances. Water colour varies substantially and also contains instances of sun glint, white water and shadows.
 Man-made features are rare but sometimes present, mostly in the form of (paved) roads. Figures 2 and 3 give names and thumbnail examples of each river with basic characteristics and a sample of final classification outputs from the results discussed below. Most of the imagery was available as original single frames. However, the Kurobe and Kinu rivers were only available as large image mosaics. These were separated into tiles of 2250X2250 pixels in order to match the format of the other images and allow for a
- common data management and processing scheme.

Some of the imagery has an existing classification available for usage, from a variety of sources such as manual classification and both semi- and fully-automated classification methods. Specifically, the images of the Dartmouth river in Canada had an existing classification derived from eCognition software (then known as Definiens) in 2008. Pre-existing classifications of the Ste-Marguerite and Ouelle rivers were achieved using the approach of Carbonneau et al. (2004), whereby a semi-automated classification method using a combination of thresholding and extensive manual editing was applied. For the other rivers, no existing classification data was available. We therefore use QGIS 3.4 to manually label portions of each available image. The objective of this classification was not to derive detailed classification labels for each pixel in each image. Rather, our objective was to rapidly develop an overall dataset with a large number of labelled pixels suitable for training and validation of machine learning classifiers. Prior to classification, we

examined the imagery to derive a parsimonious classification system that would 365 encompass the main elements present in the dataset. We decided to establish five training classes: Water (class 1), Sediment (class 2), Green Vegetation (class 3), Senescent Vegetation (class 4) and Paved roads (class 5). We also observed that shadows appeared in many images. It was however decided to classify shadow patches 370 as per the underlying land-cover type; i.e. shaded water was classified as water, shaded sediment was classified as sediment, etc...The QGIS digitising tools were used to classify portions of each image according to this scheme, and the resulting vector polygons rasterised to derive class rasters of the same spatial resolution and extent as the associated image. The QGIS graphical modeller was used to batch process this 375 rasterisation operation. For the Ste-Marguerite, Dartmouth, and Ouelle rivers where class label data already exists, we recoded the data to conform to our classification scheme. All classification was conducted in such a way that classes contained a representative coverage of all pixels within a semantic class; for example, 'water' contained pixels covering shadows, sun glint and white water, so as not to present a biased 'best case' 380 classification scenario.

As stated above, this work aims to build on the resources developed in computer vision in order to develop a state-of-the-art method for fluvial scene classification. One of the key datasets that has driven progress in image recognition tasks is the ImageNet database 385 (Deng et al., 2009). This is a database of millions of images. The images tend to be of common categories such as 'cat' or 'dog'. However, the database is also organised in a hierarchical manner with each class having subdivisions such as 'persian', 'maincoon', 'poodle', 'labrador', etc... In total there are over 1000 classes in the ImageNet databases. We have therefore constructed a classification scheme which is also hierarchical and

- borrows ideas from the field of hierarchical segmentation (e.g. Li et al., 2011; Poggi et al., 390 2005). We do not assume that a given semantic class has similar radiometer properties in different image sets. For example, we do not assume that the vegetation in the Ste-Marguerite river data generates pixels of similar radiometer response to that of the Pacuare or Kurobe rivers, owing to both a) real differences in the vegetation's spectral 395 signature and b) variations in recorded pixel values owing to the use of different (non meter) cameras. Therefore, in order to work with multiple classes across multiple rivers, we developed a micro-class labelling procedure for training machine learning algorithms. In cases where we train a classifier with data from multiple rivers, semantically identical classes from multiple rivers are transformed to unique micro-classes after manual 400 labelling. For example, when working with a single river, we use 5 classes labelled 1 to 5 as defined above. If we work with 2 rivers, the classes of the second river are shifted to values of 6 to 10, thus resulting in 5 semantic classes (or macro-classes) and 10 microclasses. A classification key then records that classes 1 and 6 are water; 2 and 7 are sediment; 3 and 8 are green vegetation, etc. This process can be extended to as many 405 rivers / micro-classes as required. At the end of the classification process, the information in the classification key is used to collapse the classes back to the 5 unique semantic classes.
- Following image labelling, we divided our data into training and validation data sets based on the actual number of labelled pixels. Result validation in deep machine learning follows the principle of reporting statistical performance on a randomly selected subset of the available data set used for the study. It is established practice, to randomly split the dataset into either 70%/30% or 80%/20% subsets with the smaller set being used for testing (evaluation) and hence statistical reporting of results in the literature (Bishop,

2006). Normally, algorithm (DL CNN / deep net) training is performed using the larger of 415 the two subsets (70% or 80%). This is established practice defined by the leaders of the deep learning field (LeCun et al., 2015b). Given the size of our database, it was decided to use a 20%/80% split in order to reserve more pixels for validation and reduce computational loads to manageable levels during the training phase. Smaller volumes of 420 data at the training stage also allows for simpler deep learning code that loads the training data into available RAM memory. As a basic design criterion, we aim to classify image patches composed of mostly pure classes. In order to classify patches, it then becomes necessary to tile the input image. Preliminary-experiments indicated that a 50 x 50-pixel tile size in the NASNet convolutional neural network architectures represented an optimal 425 balance in terms of processing time and classification guality. We only used image tiles that were 90% occupied by a pure class. We also decided to retain the same number of training tiles for each river at the expense of having a variable number of tiles/pixels available for validation. This equated to approximately 38 000 training tiles for each river. Table 2 gives full details of the volumes of available data. In total, our training data is 430 composed of 405 768 labelled, single-class tiles of 50x50 pixels that could be used to train predictor models that could in turn be validated with up to 861 images having 4.36 billion labelled pixels. Table 3 details the population of classes in training and validation sets.

| 425 | River | Thumbnail image | Thumbnail class raster | Size [pix] and GSD [cm] |
|-----|--------------------|-----------------|------------------------|----------------------------|
| 435 | Ste- Marguerite | | | 3008 X 1908 3 cm |
| | Kananaskis | | | 5184 x 3456 3 cm |
| | Kingie | | | 4000 X 3000 2 cm |
| | Sesia | | | 4000 x 3000 2 cm |
| | Kinu | | | 2250 x 2250 3 cm |

Figure 2. Image and Classification samples for 5 of 11 rivers in the image dataset. The classification sample is taken from results of the third experiment described in this paper. In the classification rasters, blue denotes water, green denotes fresh vegetation, yellow denotes senescent vegetation, orange denotes exposed sediment and red denotes paved roads.

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| River | Thumbnail image | Thumbnail class raster | Size [pix] and GSD [cm] |
|--------------|-----------------|------------------------|----------------------------|
| Dartmouth | | | 4288 X 2848 3 cm |
| Ouelle | | | 5184 x 3456 3 cm |
| Pacuare | | | 5184 x 3456 10 cm |
| Eamont | | | 4000 x 3000 2cm |
| Dora di Veny | THE REAL | | 4000 x 3000 2cm |
| Kurobe | | | 2250 x 2250 3 cm |

Figure 3. Image and Classification samples for the remaining 6 rivers with the sameclassification key as in figure 2.

Table 2. Data Availability. Readers should note the loose correlation between the number of images and training tiles. In cases where the image scenes were composed of large uniform areas, it was easier to rapidly classify large areas. In such cases, like the Dora di Veny river, fewer images are required to assemble the ca. 37K tiles. Conversely, in complex landscapes such as the Kinu river, a larger

450 ca. 37K tiles. Conversely, in complex landscapes such as the Kinu river, a larger number of images was required to reach the required volume of training data. We also include two large orthomosaics of 1 km reaches of the St-Marguerite and Kurobe rivers with a spatial resolution of 7.5cm that will be used to demonstrate GIS integration. * denotes rivers used in the training data for the second experiment.

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| | Training Data | | Validation Data | | |
|--------------------------|---------------|------------------|-------------------|-------------|-------------------|
| River | # of Images | # labelled tiles | # labelled pixels | # of Images | # labelled pixels |
| St-Marguerite, Canada* | 44 | 38,041 | 109,993,375 | 224 | 955,482,438 |
| St-Marguerite, orthomos. | 0 | 0 | 0 | 1 | 29,687,610 |
| Ouelle, Canada | 29 | 37,396 | 94,797,100 | 117 | 424,805,106 |
| Dartmouth, Canada | 17 | 36,443 | 100,823,415 | 243 | 1,671,866,288 |
| Kananaskis, Canada* | 16 | 37,010 | 104,521,527 | 34 | 419,790,696 |
| Pacuare, Costa Rica | 25 | 37,271 | 100,746,483 | 38 | 150,388,739 |
| Sesia, Italy* | 26 | 37,337 | 101,222,965 | 21 | 80,943,299 |
| Dora di Veny, Italy | 10 | 36,696 | 98,080,466 | 28 | 249,874,235 |
| Kingie, UK* | 24 | 35,315 | 95,272,952 | 15 | 50,634,616 |
| Eamont, UK | 23 | 36,991 | 100,538,759 | 9 | 42,543,651 |
| Kinu, Japan* | 53 | 37,057 | 102,751,306 | 54 | 107,686,602 |
| Kurobe, Japan | 38 | 36,211 | 98,641,597 | 78 | 206,807,563 |
| Kurobe, orthomos. | 0 | 0 | 0 | 1 | 88,471,766 |
| TOTAL | 305 | 405,768 | 1,107,389,945 | 863 | 4,390,510,843 |

Table 3. Class representation across training and validation datasets.

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| Training Data | | Data | Validation Data | |
|----------------------|-------------------|---------|-------------------|---------|
| Class | # labelled pixels | % Total | # labelled pixels | % Total |
| Water | 419,580,438 | 38 | 2,007,533,862 | 39 |
| Sediment | 269,759,643 | 24 | 575,600,093 | 11 |
| Green Vegetation | 343,385,982 | 31 | 2,408,766,446 | 47 |
| Senescent Vegetation | 72,698,683 | 7 | 96,807,737 | 2 |
| Paved Roads | 8,173,202 | 1 | 11,237,600 | 0 |

CNN-Supervised classification approach

CNN-Supervised classification (CSC) is a novel two-phase workflow that chains a deep convolutional neural network with a multilayer perceptron in order to deliver pixel-level 465 classification in a deep learning workflow based on convolutional architectures. In phase 1, the input image is tiled and fed into a pre-trained CNN. The use of a pre-trained CNN as the first phase is crucial because it allows for a local association between a class and predictive features such as local brightness, local texture and even local geometer structures (eg branches, boulders). In phase 2, the resulting CNN predictions in the form of labelled tiles are rasterised and re-assembled into the shape of the original image. For 470 example, if the CNN has predicted the class of each tile of 50x50 pixels, then each class prediction is converted into a small raster of 50x50 pixels with a uniform value corresponding to the class. These small 50x50 rasters are reassembled into the shape of the original image with zeros used to pad edges. This CNN-derived class prediction raster is used as labelled pixels and, along with RGB features, is then fed into a multilayer 475 perceptron (MLP) in order to train a model specific to the input image. Finally, this MLP (detailed in Table 4), is used to predict the class of each pixel in the original image. Our intent is to mimic the traditional supervised land-cover classification workflow in which a human operator outlines training areas of desired classes, which are then fed into a 480 machine learning algorithm. In CSC, the CNN replaces the human operator, with a MLP used as the specific machine learning algorithm. We demonstrate the benefit gained from the MLP's characteristic robustness to noise in the training data (in this case, the CNN predictions).

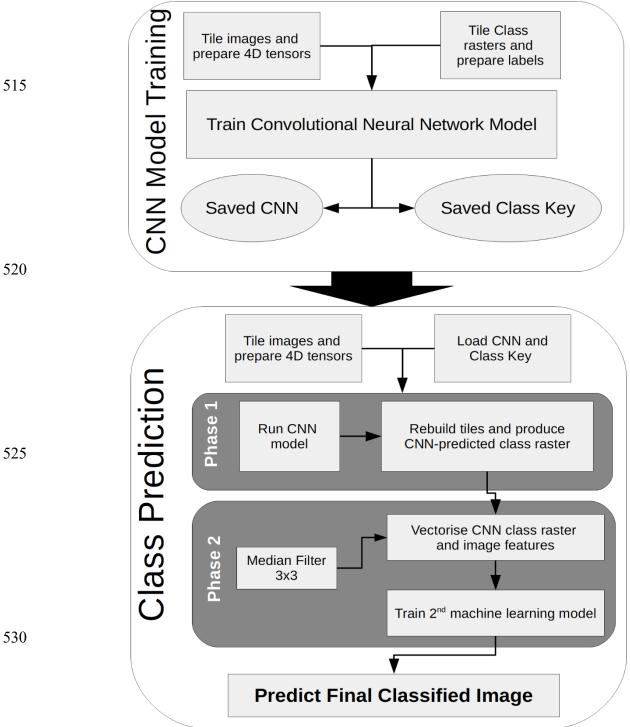
485 CSC requires a pre-trained CNN. In the work presented here, the CNN is trained with our own data, presented below. Goodfellow et al. (2016) suggest that *ca*. 10 million samples

are required to train a deep learning algorithm to the point of matching human performance. Therefore, as in Buscombe and Ritchie (2018), we decided to use a transfer learning procedure whereby initial model weights are imported from an existing dataset in order to allow for a CNN to train with a smaller dataset. We use the initial weights as derived from the ImageNet database. This database is an archive composed of in excess of 1 million tiles and serves as a benchmark for AI performance. For the NASNet CNN architectures, we freeze all the weights except those of the top 4 convolutional layers. This results in 11 515 046 *trainable* parameters out of a total of 89 079 512 parameters for NASNet Large. For NASNet Mobile, we have 1 484 986 trainable parameters out of a total

of 3 902 580. Figure 4 shows the generic workflow of CNN-supervised classification inclusive of the pre-training of the CNN and the two-phase classification workflow.

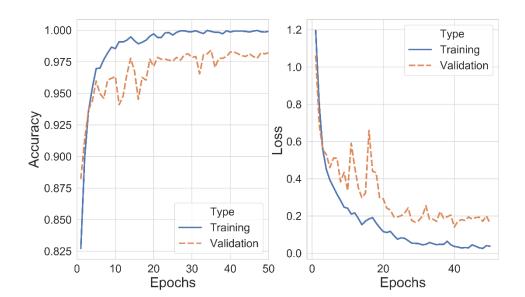
In addition to the CNN base architecture, a CNN classifier requires a 'top' neural network to convert the features detected by the CNN into classes represented as integer numbers.

In this case the densely connected top is composed of 3 additional layers: a dense layer of 256 nodes, a drop out layer (Szegedy et al., 2015), a dense layer with 128 nodes, and, finally, the usual softmax layer with the same number of nodes as classes. This layer functions by returning the final probability that an image tile is a member of each class. By convention, the final attributed class is the one with the highest probability of membership.
For both dense layers, we use kernel L2 regularization in order to inhibit over-training (Goodfellow et al., 2016). The overall CNN-supervised classification process can be seen in Figure 4. Once CNN model training is complete (the upper part of Figure 4), the resulting CNN can be re-used for multiple images. In the experiments described below, we examined increasingly ambitious scenarios up to the point where the process was





CNN training is known to be sensitive to the number of training epochs (i.e. the number of training iterations). Here we tune our model training with a train-test-split procedure from Chollet (2017). The initial training data is split with 20% of the data set aside for internal validation (note that this procedure does not use the data we have set aside for additional validation as detailed in Table 2). The model is then trained for a full 50 epochs. At each epoch, we save the training loss, the validation loss, the training accuracy (% correct tiles) and the validation accuracy. These can then be plotted as a function of the training epochs (Figure 5). When the validation and the training results diverge, optimal training has been reached. This final stage is key in avoiding network over-fitting which occurs when a model learns the noise in the data and loses the ability to generalise to new out-of-sample data (i.e. data not in the training set). In Figure 5, for example, we can see that the trends for training and validation diverge at 6 epochs, thus indicating the optimal training length specific to this example.



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Figure 5. Example of the tuning procedure used to determine the appropriate number of training epochs for CNN architectures from Chollet (2017). Here we see the divergence between training data (lines) and validation data (dashed) after 6 epochs as visible in both accuracy (right) and loss (right) data.

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Table 4. Multilayer Perceptron (MLP) used in phase 2 of CNN-supervisedclassification and as a pixel-based classifier in experiment 1.

| Layer | Description |
|-------|--|
| 1 | Dense layer, 256 nodes with L2 regularisation |
| 2 | Dropout layer, drop 50% of nodes |
| 3 | Dense layer, 128 nodes with L2 regularisation |
| 4 | Dense layer, same number of nodes as micro-classes, softmax activation to get class. |

Experimental Design.

We conducted three experiments to address our research objectives. We first compare our approach to accepted statistical and machine learning classifiers: the maximum likelihood algorithm, the random forest algorithm, and a pixel-based multilayer perceptron. Second, we assess if a CNN-based approach such as CSC is capable of 1) simultaneously learning features for several rivers and 2) if such learned features can transfer to new rivers. We proceed by training a single CNN with data from only 5 of our 11 rivers and subsequently testing its performance on all 11 rivers. Third, we assess if training a CNN on relatively few samples (<40 000) from a single river can classify the remaining images for that same river. After experimentation, we demonstrate the GIS integration of the method by processing and displaying class maps for the orthomosaics of the Ste-Marguerite river (part of the CNN training) and the Kurobe river (not part of the 580 CNN training).

We begin the first experiment by creating a spatial composite image from thumbnail samples extracted from the Ste-Marguerite and Dartmouth data. The general appearance of these rivers is similar and thus allows us to assume that we do not need to use micro-585 classes and we therefore consider, for this experiment only, that a semantic class is identical across the whole patchwork image composed of data from 2 rivers. This image is 6000 x 9000 pixels in the usual RGB bands. The image is composed of 24 thumbnails of 1500 x 1500 pixels, 12 each from the St-Marguerite and Dartmouth arranged in a 4x6 grid. The associated training data for each thumbnail was carried over in order to construct a training raster, also of 6000 x 9000 pixels. In total, this raster had 33 752 194 labelled 590 pixels and an associated 12 149 labelled tiles of 50x50 pixels. This data can now be used as a testing ground for established statistical and machine learning algorithms. Maximum likelihood is arguably the most deployed classification algorithm, has served the Earth Observation community for decades (e.g. Erbek et al., 2004; Otukei and Blaschke, 2010; 595 Strahler, 1980), and is the most commonly available technique in classification software. Random Forest classification is a powerful ensemble method that uses random sampling to produce a large number of classification trees (Belgiu and Drăgut, 2016; Pal, 2005). It is frequently deployed in remote sensing and GIS software (Table 1) and has been noted for strong performance in the remote sensing literature (e.g. Chen et al., 2017; Feng et al., 600 2015; Stumpf and Kerle, 2011). A multilayer perceptron (MLP), alternatively referred to as a Densely Connected Neural Network or an Artificial Neural Network, is a classic network of weighted and connected nodes that can be used for regression and classification problems (Foody, 1995; Jain et al., 1996). These three established methods will therefore be compared to our proposed deep-learning methods based on convolutional neural networks. For maximum likelihood, we used the GRASS *r.maxlik* routine as implemented in QGIS 3.4. Other algorithms were coded in Python with the libraries described above. After training the algorithms, we use two validation cases. First, we validate the results by using the full validation datasets for the Ste-Marguerite and Dartmouth rivers as described in Table 2. Second, we apply the trained models to all the validation images of the remaining nine rivers: Kurobe, Kinu, Sesia, Dora di Veny, Eamont, Kingie, Pacuare, Ouelle and Kananaskis. Given that many of these rivers have patches of senescent vegetation which are not present in the Ste-Marguerite and Dartmouth rivers, we have excluded the senescent vegetation class from this experiment.

- In our second experiment, we aim to produce a single classifier that can transfer to all of our rivers. We therefore train the NASNet CNNs with the 184 760 labelled tiles from the Ste-Marguerite, Kananaskis, Kingie, Sesia and Kinu rivers (Figure 2). After training, we conduct two separate validation tests. First, we validate the CSC outcomes with the validation images from rivers shown in Figure 2 (i.e. rivers used in training but where specific training images are not used in validation). Second, we validate the outcomes with the images from rivers shown in Figure 3 (i.e. rivers not used in training). For NASNet Large, there is a clear divergence after 7 epochs of training. The case of NASNet Mobile was more ambiguous and it was determined to train up to 25 epochs where the performance seems to stop improving.
- In our third experiment, we aim to test our new CSC approach in the context of current practice where data is most often acquired for a single (or few) rivers or catchments. Within this workflow, data acquisition will typically result in hundreds of thousands of images. Normally, the task of classifying this data to within a reasonable accuracy can

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takes months and our collective experience shows that more time is spent in manual editing of errors after a first-pass classifications are produced. For example, in Carboneau et al (2004) the first author used a set of ~2500 hyperspatial images of the Ste-Marguerite river, some of which are used here. After a first classification based on basic thresholding with Otsu's method, a month's full-time work was required to manually edit the classification mistakes and get a high quality dataset. This experiment therefore aims to assess if a deep learning approach could deliver a classification that is immediately useable and obviates the need for manual editing of classification errors. We focus on the NASNet large architecture and we will use the data for each single river to train a bespoke model specific to this river, we then validate this model against the validation images for the specific river. We repeat this for all 11 rivers.

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Validation

We primarily use the F1 score as a validation metric (Burkov, 2019; Chinchor, 1992; Goodfellow et al., 2016). The F1 score, sometimes called the F-measure (Hripcsak and Rothschild, 2005), is defined as the harmonic mean of precision (P) and recall (R):

$$F1 = \frac{2 \times PR}{(P+R)} \tag{1}$$

In (1), precision is the ratio of true positives to the sum of true positives and false positives.
Recall is the ratio of true positives to the sum of false negatives and true positives (Buckland and Gey, 1994; Burkov, 2019). The precision meter is internal to each class, it only considers correct (true positives) and incorrect (false positives) for each class.
However, recall gives a measure of class confusion. The inclusion of recall therefore makes the F1 meter sensitive to class imbalance and therefore a better meter in our case than traditional accuracy (Labatut and Cherifi, 2012). In the case of very high classification qualities, accuracy and F1 are nearly identical. A perfect classification will have a F1 and

accuracy scores of 100%. However for lower quality classifications, the recall parameter in the F1 score will mitigate the importance of class imbalance and values of F1 can be 655 either higher or lower than corresponding accuracy. We strongly encourage readers to adopt this new quality meter which is in fact standard in the wider field of machine learning. However in order to facilitate the transition, we provide additional information in the supporting information data where readers will find a scatter plot of F1 vs the traditional accuracy meter as well as some key results expressed as accuracy instead of F1. 660 Additionally, we use Cohen's Kappa statistic to account for random true positives in the results (Cohen, 1960; Smeeton, 1985). The Kappa statistic ranges from -1 to 1 and should not be interpreted as a percentage of 'correct' outcomes or as a correlation. Rather, it compares the agreement between 2 operators, in this case the human-based 665 validation and the machine learning classifier. The resulting measurement of agreement needs to be interpreted within established boundaries. Landis and Koch (1977) propose that Kappa values < 0 indicate no agreement; Kappa values from 0 to 0.2 indicate slight agreement; values from 0.2 to 0.4 indicate fair agreement; values from 0.4 to 0.6 indicate moderate agreement; values from 0.6 to 0.8 indicate substantial agreement and values 670 above 0.8 indicate almost perfect agreement. Similarly, Fleiss et al (2013) suggest that a kappa value below 0.4 indicate a poor agreement, from 0.4 to 0.75 a good agreement and above 0.75 indicate excellent agreement.

For each experiment, we calculate F1 and Kappa for each resulting classification and we 675 compile the results to create distributions. The individual observations in these distributions are the classification metric (F1 or Kappa) for single images. In the case of the F1 score evaluation for the second experiment, we disaggregate the score for each class and can therefore produce additional distributions of F1 for each class where each observation is the classification metric for a single class in a single image. We present the results by using violin plots (Hintze and Nelson, 1998) to visualise the distributions and use the median and mean values of the distributions as summary statistics. Additionally, the supporting information document presents a large scale validation where single values of F1 and kappa are calculated based on the aggregation of the entire set of relevant validation pixels in each experiment.

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We make a careful distinction when categorising the data as in-sample or out-of-sample. Strictly speaking, machine learning practitioners define in-sample data as data that was used in training and out-of-sample data as not used in training (Chollet, 2017). It is therefore expected that in-sample data always gives strong results at the validation stage 690 since the classifier has been trained specifically to this data. Conversely, out-of-sample data is expected to have a lower quality in validation because it has never been seen by the classifier. We argue that this distinction is not as clear-cut in the case of our data. For the type of airborne data used here where all images from any given river were collected on the same day and with the same sensor, the resulting imagery has very similar 695 properties across the entire image set. We therefore expect that a classifier trained on a portion of this data will perform well on the rest of the data even if it has never seen this data in training. We therefore adopt a slightly more stringent definition of in-sample and out-of-sample data. In this work, we never validate a classifier with the same data that has been used for training. Rather, we define in-sample data as image data from a river that 700 the classifier has seen in training, but where the specific validation images have not been used in training. Out-of-sample data is therefore defined simply as data from a river never seen by the classifier in the training stage. This notion of in- and out-of-sample is crucial

because the most important goal of this study is to explore the transferability potential of deep learning classifiers across multiple rivers.

Results

First Experiment: Classifier comparison

- Figure 6 shows the outcome of the first experiment. Overall, we see that the pixel-based 710 approaches, i.e. those that predict classification of a given pixel solely based on the radiance values of that single pixel (Maximum Likelihood, Random Forests, MLP), reach similar performances on the order of ~70%-80% F1. We also show the outcomes of both phases of the CSC process (CNN and CNN+MLP). In Figure 6, the CNN results correspond to the tiled predictions of the pre-trained CNN when re-formed as an image and validated against labelled pixels. The CNN+MLP results are therefore the final 715 outcome of the CSC workflow where the CNN predictions become the training labels for the MLP phase. Figure 6a shows that CNN and final CNN+MLP (the final CSC result) results are markedly better than the pixel-based classifiers, with the CNN and CNN+MLP approaches yielding F1 scores of 92% and 95%, respectively. Overall, maximum 720 likelihood exhibits a stronger difference in performance between the Dartmouth vs the Ste-Marguerite datasets than do the other methods. The violin plot distributions also show that the maximum likelihood classifier is generally much less reliable than other approaches, with many occurrences of classifications below 60% and some even as low as 40%. MLP and Random Forest algorithms have a low incidence of classifications below 60% and almost no instances of results below 40%. However, we note that for the Ste-Marguerite 725 River, maximum likelihood actually outperformed the MLP and the random forest. However, the key result is the good performance of the CNN-based CSC method, which is particularly encouraging with an F1 score of 95%.
- 730 In figure 6b, we see the outcomes of the application of the trained classifiers obtained above to the remaining nine rivers (i.e. those not used in training). Outcomes have

degraded markedly. Maximum likelihood is strongly bimodal therefore indicating that for some rivers, performance was good but for others, poor. Median F1 score is 52%. The pixel-based MLP and random forest algorithms had extremely variable performances with many instances of very poor performance with median F1 scores of 62% and 55%, respectively. The CNN performs somewhat better than the pixel-based approaches, but not markedly so with a median F1 score of 72%. This indicates that even the CNN tiled predictions suffered from significant error. Contrary to these results, the outcome of our novel CSC workflow (CNN+MLP) is generally encouraging; despite none of these rivers
being included in the training data, the median F1 score was 89%. The senescent vegetation class was removed from this analysis because no senescent vegetation was present in the training data. We also note that the lower quartile was only 54% F1 which indicates a marked tail of poor results within this distribution.

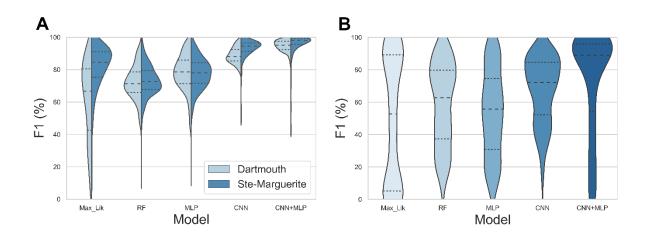


Figure 6. Results of the first experiment displayed as violin plots. Each plot is a distribution of weighted F1 scores for individual images, smoothed with a kernel density estimate. A) Results for the 2 in-sample rivers (Ste-Marguerite and Dartmouth) with vertical partition in each violin distinguishing data from each river used in the experiment. The CNN result corresponds to the first phase of the CSS process. For each violin, the number of images/samples (n) is 467. B) Results for the remaining nine out-of-sample rivers (Kurobe, Kinu, Sesia, Dore di Veny, Kingie, Eamont, Pacuare, Ouelle and Kananaskis). For each violin in B), n=394. In both A)
and B), dotted lines give the upper and lower quartiles and the dashed line gives the median. Note that the horizontal width of these plots is scaled for maximum visibility and is not proportional to the number of samples in the data.

760 Second Experiment: CSC Model Transferability

The second experimented used a pre-trained CNN based on the 184 760 labelled tiles extracted from the five rivers shown in Figure 2. Table 5 and Figures 7 and 8 show the results of the second experiment. In the case of in-sample data (five rivers used in CNN training; Figure 2) and for NASNet Large (both CNN and CNN+MLP phases), we obtain extremely high median (pixel weighted mean) classification accuracies of 98% (96%) and 765 99% (97%). In the case of NASNet Mobile (CNN and CNN+MLP phases), we obtain slightly lower but nonetheless impressive median (pixel weighted mean) values of 97% (96%) and 98% (95%) respectively. When compared to Figure 6, the larger training dataset (12K vs 184K tiles) used in the second experiment has reduced error at the CNN phase thus allowing the second MLP phase to attain exceptional performance levels. The 770 per-class disaggregation (Figures 7b and 8b) shows a similar pattern with green vegetation and water performing well but with the other classes having lower quartiles below 80% F1 (Table 5). We note that classes with poor performance are less well represented in the validation data (e.g. sediment/senescent veg/paved roads: Table 3) and that this is accompanied by a degradation in performance as we move from phase 1 to 775 phase 2 of the CSC process. However, overall, we note that once again the second phase MLP delivers an improvement on the first stage CNN (Figure 7a).

| In-Sample Data | | | | | | |
|----------------------|--------------|------------|---------------|------------|--|--|
| | NASNet Large | | NASNet Mobile | | | |
| Class | CNN | CNN+MLP | CNN | CNN+MLP | | |
| Water | 97 (93) | 98 (93) | 96 (93) | 98 (94) | | |
| Sediment | 79 (69) | 83 (67) | 77 (68) | 84 (66) | | |
| Green Vegetation | 99 (98) | 99 (96) | 99 (96) | 99 (96) | | |
| Senescent Vegetation | 96 (84) | 96 (79) | 92 (82) | 97 (80) | | |
| Paved Roads | 94 (80) | 93 (66) | 92 (75) | 93 (64) | | |
| ALL F1 | 98 (96) | 99 (97) | 97 (96) | 99 (96) | | |
| ALL Kappa | 0.94(0.90) | 0.96(0.92) | 0.93(0.89) | 0.96(0.92) | | |
| | | | | | | |
| Out-of-Sample Data | | | | | | |
| Water | 79 (72) | 89 (76) | 74 (68) | 86 (72) | | |
| Sediment | 68 (62) | 85 (73) | 67 (62) | 81 (67) | | |
| Green Vegetation | 84 (78) | 90 (83) | 83 (76) | 89 (82) | | |
| Senescent Vegetation | 75 (68) | 80 (70) | 57 (52) | 77 (66) | | |
| Paved Roads | 75 (64) | 73 (62) | 67 (63) | 64 (56) | | |
| ALL F1 | 82 (79) | 90 (83) | 80 (76) | 88 (80) | | |
| ALL Kappa | 0.57(0.54) | 0.74(0.66) | 0.49(0.49) | 0.71(0.62) | | |

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Table 5. Disaggregated results for the second experiment and for both in-sample and out-of-sample validation data. Values correspond to Median (Mean) % F1 scores. The median and mean are calculated based on each instance of a class in each image.

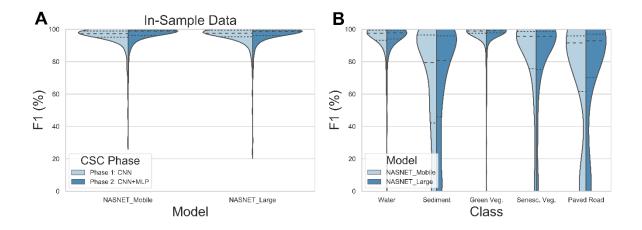


Figure 7. CSC performance for the second experiment validated with in-sample data
only. A) Overall performance for each CNN model. The violins are split according to
phase 1 (CNN) and phase 2 (MLP) of the CSC process. B) Final CSC performance
(MLP phase 2) for the second experiment disaggregated over individual classes.
For both A) and B), n=348. Violin plots are split according to the CNN model used. .
Note that the x-axis in both plots is non-linear. The width of each violin plot is
scaled for maximum visibility with each violin having the same width. Relative
number of samples in each violin cannot be inferred from this figure.

- In the case of the out-of-sample rivers in Figure 3 and results in Figure 8, we see a degradation of performance at the initial CNN stage followed by a marked improvement at the CNN+MLP stage with respect to the in-sample data in Figure 7. In the case of NASNet Large and for the CNN and CNN+MLP phases, we obtain median (pixel weighted mean) values of 82% (79%) and 90% (83%), respectively (Figure 8A). In the case of NASNet Mobile and for the CNN and CNN+MLP phases, we obtain median (pixel weighted mean) values of 80% (76%) and 88% (80%), respectively. In Table 5, we see that all classes except Paved Road have significantly improved after running an MLP on CNN outputs. Figure 8b shows an improvement in the classification of several classes with green
- Furthermore, we note that the lower quartile for the final MLP classification using the NASNet Large model is 81% showing that the expanded training of the CNN model has stabilised the final outcome when compared to Figure 6b where the lower quartile F1 score was 54%.

vegetation, water and sediment achieving F1 scores above 80% in the CNN+MLP column.

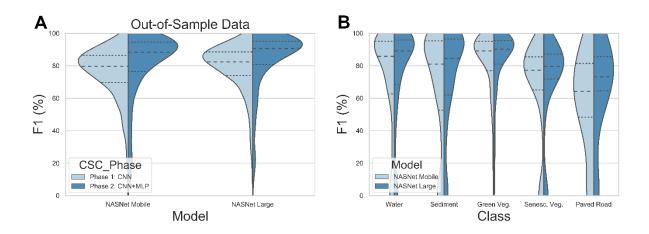


Figure 8. CSC performance for the second experiment validated with out-of-sample data only. A) Overall performance for each CNN model. The violins are split
according to phase 1 (CNN) and phase 2 (MLP) of the CSC process.. B) Final CSC performance (MLP phase 2) for the third experiment disaggregated over individual classes. For both A) and B) n=513. Violin plots are split according to the CNN model used. Dotted lines give the quartiles. Note that the x-axis in both plots is non-linear. The width of each violin plot is scaled for maximum visibility with each violin having the same width. Relative number of samples in each violin cannot be inferred from this plot

Third Experiment: Multiriver deployment

- 845 In the third experiment we examine the results when CSC is deployed to multiple rivers in a conventional workflow with training data provided for each river. Note that we do not consider the large orthomosaics used to demonstrate GIS integration. Table 6 and figure 9 show the outcomes. Since not all classes are present in all rivers, some rivers, (eg the Eamont) have 3 classes. Given that it is easier to classify an image with fewer classes, we 850 report Cohen's kappa statistic for each river which is given as the mean kappa obtained from the kappa score for each image of every given river. Confusion matrices are available in the supporting information document (figures S2 to S21). In table 6 we see very strong performance with the weakest performance being a median classification F1 score of 95% and median classification F1 score of 93% for the rivers Dartmouth and 855 Kananaskis. Kappa scores are generally above 0.8 with the exception of the Kanaskis river results with 0.75 for the phase 1 CNN and 0.72 phase 2 CNN+MLP. These results would only be qualified as 'good' in the interpretation of the Kappa score. Across all the images, the median F1 score was 98% with a mean of 95%. In figure 9, the poorest performance for lower quartiles is 90%. As per table 6, all mean and median values are above 90%. We note that 633 images of 861 (73.5%) were classified with an F1 score 860 above 95%. Of these, 330 returned an F1 score of 99% (38.3%). However, figure 9 does show tails to the distributions and we note instances of poor performance. In total, we find 10 of 861 images (1.2%) with 50% < F1< 80% and 7 images of 861 images (0.8%) with 0%<F1<50%. Examination of the data shows that this is caused by the mis-classification
- 865 of sun glint over water. Nevertheless, overall these results exceed any classification performance reported in the airborne fluvial remote sensing literature. Within the wider

perspective of the whole Earth Observation literature, it is only deep learning methods that have reported this level of performance over a similarly wide number of samples.

⁸⁷⁰ Table 6. Results of CNN-supervised classification for experiment 3. Outcomes are given as median F1 [%] / mean F1 [%] / mean Kappa [-1 to 1]. The last 2 lines report median/mean for F1 and kappa. The number of validation images per river (n) is reproduced from table 2. ANOVA testing indicates that there is no correlation between F1 scores and sample size (p=0.05).

| | NASNe | | |
|----------------|------------|------------|-----|
| River | CNN | CNN+MLP | n |
| Dartmouth | 93/92/0.83 | 95/93/0.85 | 243 |
| Kananaskis | 95/94/0.75 | 95/93/0.72 | 34 |
| Ouelle | 97/96/0.87 | 98/97/0.89 | 117 |
| Ste-Marguerite | 97/96/0.90 | 99/97/0.94 | 224 |
| Pacuare | 99/97/0.92 | 98/96/0.91 | 38 |
| Dora diVeny | 98/97/0.93 | 97/96/0.90 | 28 |
| Sesia | 98/98/0.85 | 99/99/0.93 | 21 |
| Kinu | 97/93/0.85 | 99/93/0.89 | 54 |
| Kurobe | 99/95/0.89 | 99/93/0.89 | 78 |
| Eamont | 98/96/0.88 | 98/96/0.91 | 9 |
| Kingie | 98/97/0.94 | 98/95/0.93 | 15 |
| ALL F1 | 97/94 | 98/95 | 861 |
| ALL Kappa | 0.91/0.85 | 0.93/0.87 | 861 |

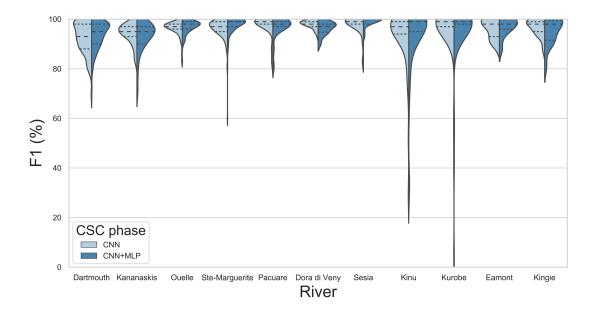


Figure 9. CSC performance for the third experiment. The violins are split according to phase 1 (CNN) and phase 2 (CNN+MLP) of the CSC process. Note that the x-axis in both plots is non-linear. The width of each violin plot is scaled for maximum visibility with each violin having the same width. Relative number of samples in each violin cannot be inferred from this figure but are given in table 6.

GIS integration

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Figures 10 and 11 demonstrate GIS integration and show larger examples of mapped classification outputs. We show the original orthomosaic, the phase 1 CNN output, reformed as an image, and the final CSC classification with the phase 2 MLP. In figure 10, we show a classification for an orthomosaic of a 1km stretch of the Ste-Marguerite River that was included in CNN training (in-sample). Notably, the first phase (CNN) of classification has a significant number of errors where several patches of senescent vegetation, absent from this river reach, were falsely identified. The second stage MLP classification, using the CNN data as a training input, delivered a significant improvement, with a final F1 sore of 97%. Figure 11 follows the same pattern but we use a 1km stretch of the Kurobe river. This river was never seen by the pre-trained CNN and the F1 score is 87%. This case is a good example of the use of a pre-trained CNN in a CSC workflow to train a newly acquired orthomosaic in a fully automated fashion. The resulting accuracy is
900 unprecedented in fluvial scene classification with the major advance being the complete absence of user intervention to provide further training data. Furthermore, this was achieved with standard RGB imagery, without the need for near-infrared multispectral data.

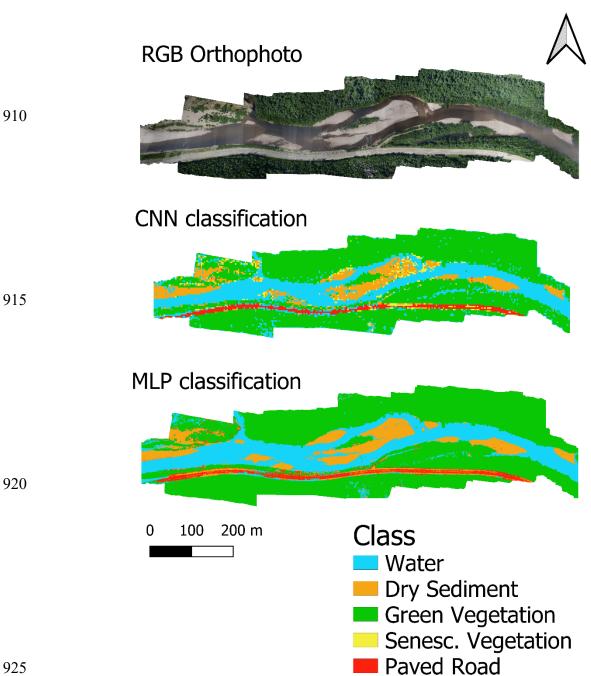


Figure 10. Mapping outputs for an orthoimage showing a 1km reach of the Ste-Marguerite at a spatial resolution of 7.5 cm. Geocoded outputs for both the CNN and MLP phases of the CSC workflow are shown. The final pixel-weighted accuracy of the MLP classification is 97% F1.

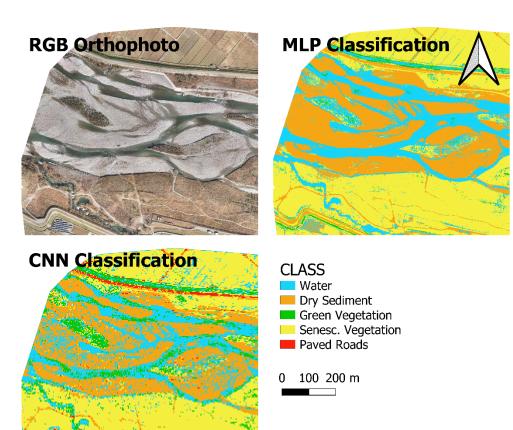


Figure 11. Mapping outputs for an orthoimage showing a 1km reach of the Kurobe river at a spatial resolution of 7.5 cm. Geocoded outputs for both the CNN and MLP phases of the CSC workflow are shown. Data from this river was <u>not</u> included in the CNN training sample. This classification output is fully automated and has not required additional training data or human-operator intervention. The final pixel-weighted accuracy of the MLP classification is 87% F1.

Discussion

Classification quality

- 940 The quality results presented here substantially exceed the current state of the art for fluvial scene classification. We have demonstrated that a trained deep learning classifier using our CNN-supervised classification (CSC) workflow can reach extremely high F1 scores of 99%, Our first experiment clearly shows that traditional methods do not match the performance of our deep learning approach. It also shows that with a relatively small 945 label dataset of 12k tiles, our CSC approach can classify new images for the Dartmouth and Ste-Marguerite rivers to a median F1 of 95%. When applied to the remaining 9 rivers, most methods deteriorate markedly, but the final CSC result gives a median F1 of 89%. This is the first explicit demonstration within the context of fluvial remote sensing whereby a classifier can deliver a good performance on rivers not included in the training set. The 950 failure of the maximum likelihood, random forest and pixel-based multilayer perceptron (figure 6b) also demonstrates that older methods can not transfer across to new rivers which illustrates the deep learning methods reset the accepted state-of-the-art in image classification. Here we note that some of the rivers in the validation set were markedly different to those in the training set. The Kurobe and Kinu rivers in Japan share few 955 similarities with the Ste-Marguerite and Dartmouth rivers in Quebec, Canada. The success of this experiment is entirely due to the phase 1 pre-trained CNN. The older methods are all reliant on pixel-level data only. But the CNN, trained on patches of 50x50 pixels, has learned other associated features such as texture and geometry. These
- 960 image brightness values are slightly different. It is therefore able to transfer well to other images (much more strongly in in-sample images). The second stage MLP fit then uses these purely image-specific brightness values to derive a classifier bespoke to a single

learned contextual features mean that it is able to predict the class of a patch even when

image, without the need for a human user to supervise the process and provide labels for each single image. The second experiment demonstrates the performance increase 965 associated with a larger training dataset Here we show that when 5 rivers are included in the training with a total number of tiles of 190k, the resulting classifications are even more robust within the remaining in-sample images. Here we reach median performances of 96%-99% F1. which are This sets a new state-of-the-art for classification performance for hyperspatial river imagery acquired from airborne platforms. In the second part of 970 experiment 2, we find that even when challenged with our most difficult task, the classification of six rivers never seen by the pre-trained CNN model, our best results still achieve a median F1 score of 90% (Table 5) with a lower quartile performance of 81% (figure 8a). In a specific case (Figure 11), we show that CSC can classify an orthoimage never seen by the pre-trained CNN to an F1 score of 87%. At first glance, this result might 975 be considered equivalent to the previous state of the art. However, our approach also represents a major improvement in terms of time and labour efficiency because it does not require any user intervention, user label production, or deep network training. The value of this finding is further evidenced by Figure 6b, which shows that transferring trained models, even a CNN, to river imagery not seen in training does not necessarily deliver 980 good results. In our third experiment, our method, tested over 11 rivers, delivers an overall average of 93% F1 with 73.5% of the tested images achieving F1 sores above 95% and only 0.8% of images failing to exceed a 50% F1 score. We even note numerous instances (38.3%) of near perfect outcomes with F1 scores of 99%. We argue that this is the most readily applicable finding of our work. With the rise of drones as an affordable and easy to 985 use airborne platform for hyperspatial image acquisitions, our method offers a step-change in the potential quality for the classification of such data at minimal time and effort. Given a day's data labelling work by a moderately skilled GIS user (~a number of pixels

equivalent to 40k training samples), our CSC method will be able to classify an entire dataset consisting of several thousands of images to extremely high (≥90% F1) accuracy. 990 Indeed, we find that 73.5% of our tested imagery has a classification outcome above 95% F1 and argue that at this level of quality, no manual editing is required. For the 2% of yield an F1 score below 50%, the manual editing/classification work images that necessitated by these is a fraction of that previously necessitated by 'conventional' classification algorithms. Overall, the performance levels we report here are not matched 995 in the fluvial remote sensing literature. Even for recent methods using Object Based Demarchi et al. (2020) report their best accuracies as 89% for the Image Analysis, classification of meter-scale RGB imagery with the addition of a DEM layer as a 4th predictive feature and using what we define here as in-sample data for validation. However our results show that the level of detail present in hyperspatial imagery can be leveraged 1000 by deep learning and produce un-equalled classification performance.

We have also demonstrated the value and novelty of our CNN-supervised workflow. Examination of Figures 10 and 11 shows that significant errors occur when a CNN classifier is used in isolation. In contrast, the second phase (CNN+MLP) classification recovers many of these errors leading to a pixel-level classification that is more accurate than the phase 1 CNN-only classification. This effect can also be seen in Figures 6b and 8a, where the CNN+MLP violins plots show improved performance with respect to the CNN alone. Overall, our results show that deep learning methods have greatly outperformed statistical and machine learning methods and should now be adopted in fluvial remote sensing as a standard classification tool. In order to facilitate adoption by other users, all the methods here are based on open source code available on GitHub and implemented using PyQGIS scripts to deliver mapping capabilities via QGIS.

Comparison to fluvial image classification 'state-of-the-art'

1015 We find that our results compare favourably to similar recent works leveraging deep learning techniques. Casado et al. (2015) use a pixel-based MLP classifier on a short river reach with an accuracy of 81%. This is comparable to results in Figure 6a. However, pixel-based classifiers have limited potential and our results, along with those of Buscombe and Ritchie (2018), show that convolutional neural networks are the way 1020 forward. Buscombe and Ritchie (2018) apply the DeepLab method (Chen et al., 2018) and present a similar two-stage workflow to CSC where the first phase of CNN classification is followed by a pixel-based classification based on conditional random fields. They report results similar to ours with mean F1 scores ranging from 88% to 98%. Detailed examination shows a pattern similar to our results where the quality statistics increase with 1025 greater data aggregation. When disaggregated, Buscombe and Ritchie (2018) find some poor results as low as 30% mean F1. Interestingly, their data do not show that the second stage of pixel-level classification, performed with conditional random fields, can improve on the performance of the phase 1 CNN. However, this might be because the authors have not attempted to highlight this behaviour and/or that they have more severe class 1030 imbalance problems. We argue that our approach goes beyond that of Buscombe and Ritchie (2018), by achieving both a) higher classification accuracies across datasets of substantially increased size; and b) demonstrating the viability and transferability of our approach across several hundreds of rivers from a range of geographically-diverse river locations. In another example of a 'chained' classification approach, Zhang et al. (2018) also combine CNNs and MLPs to perform pixel-level classification, albeit with a different 1035 workflow, in an urban/semi-urban context and with a considerably smaller dataset of approximately 11 million pixels. Similar to our results, these authors report accuracies of 74% to 95%. W

We further note that the 90%-99% F1 scores reported here are slightly better than the hyperspectral fluvial scene classification results reported by Marcus et al. (2003). We therefore argue that our results, supported by those of Buscombe and Ritchie (2018) and Zhang et al. (2018) indicate that available deep learning workflows are now capable of obviating the use of multi- and hyperspectral sensors for image classification. While these sensors retain a crucial function in advanced applications requiring airborne imaging spectroscopy capabilities (eg Candiago et al., 2015; Pölönen et al., 2013; Vanegas et al., 2018), their extra cost is no longer justified in any application where the final objective of image acquisition is land-cover classification of the scale described within this work. Our findings could have a significant impact on the drone industry, where we note intense commercial pressure to expand the market for multi- and hyperspectral sensors. We argue that the scientific rationale for this expansion needs re-examination.

Implications of findings for fluvial remote sensing science and practise

Our results suggest an avenue for future research allowing for the inclusion of deep 1055 learning in GIS software. In Table 1, we show that at present, the inclusion of deep learning tools within GIS packages is embryonic, and indeed largely absent from open source software options. We argue that training data availability, and associated processing power requirements, pose a significant access barrier that may explain this situation. For most users, the task of image classification remains focused on a relatively 1060 small volume of data (e.g. images from a specific river reach). Therefore, in most cases, the required volume of data needed to train a deep network from scratch is not available.

We have demonstrated that the features developed by a pre-trained CNN can transfer to rivers not seen at the training stage. The accuracy of CNN predictions does decrease on transfer to unseen rivers, but in this case we have shown that the use of a chained MLP 1065 pixel-level classifier can recover some of these errors and deliver state-of-the-art classification performance (Figures 6b, 8a and 11). We therefore envisage a workflow where a classification routine embedded in a GIS could use orthoimage metadata to select and load a pre-trained CNN according to a proximity criteria (e.g. space and season). The software could then execute CNN-supervised classification and deliver a truly automated semantic classification with identified land-cover types. Optionally, users that 1070 require performance at the 95% level could add a limited selection of training areas and use transfer learning to retrain a river-specific CNN and adapt it to their specific imagery with relatively little expenditure in personnel time. Both these scenarios could function with modest processing power; throughout this work we used laptops with single processors 1075 and single, mid-range, GPUs. However, the main challenge to this vision would be the assembly of the required banks of pre-trained CNNs. Despite the fact that hyperspatial resolution aerial imagery is now available from most environments on the Earth, thanks to an explosion in the use of drones, there is still no global database of such imagery. The wider uptake of deep learning by the fluvial remote sensing community is now somewhat 1080 dependent on improving the level of cooperation and coordination among scientists working with hyperspatial resolution airborne imagery in order to compile and generate the so-called Big Data that drives the training of deep neural networks.

In addition to these highly encouraging results regarding the classification of fluvial remote sensing data, CNNs also hold a great deal of promise for addressing fundamental questions in the river sciences. For example, one interesting perspective is the possibility of using a deep CNN as an objective tool for investigating ontological issues in river morphology cataloguing. Considerable

efforts have been deployed to categorise fluvial forms in a way that is both scientifically accurate and useable in a management context (Brierley et al., 2013; Brierley and Fryirs, 2000; Fryirs and 1090 Brierley, 2018; Gurnell et al., 2016). Most of these efforts rely on a mix of knowledge from fluvial geomorphology and other related sciences and they often rely on visual image interpretation, with a very high level of expert knowledge, in order to assign their respective categories and nomenclatures to fluvial form (e.g. Fryirs and Brierley, 2018). However, in the case of surface flow features, Woodget et al. (2016) have shown that physical characteristics attributed via visual 1095 identification can suffer from ontology issues which lead to a questioning of the intrinsic existence of certain natural river features (when categorised through a conceptual process). We therefore argue that CNN-based feature classification approaches could be used to clarify the ontology of fluvial forms and serve as a testable benchmark, a 'reality check' of sorts, applied to the ontology of human-conceived features. The approach in this case would be to re-orient the classification 1100 system towards an explicit labelling of fluvial forms (point bars, braided channels, etc). If, after training, CNN-predicted labelling of these forms in validation imagery agrees with human expert knowledge, then this confirms the ontology of the given fluvial structure and the CNN can then be further used as an objective method for wider scale deployment of a given fluvial classification scheme. Such an approach would be required to robustly make the subtle transition from fluvial land-cover classification, as done in this work, to fluvial habitats (i.e. land-use by flora and fauna). 1105 Such work could make fundamental contributions to our understanding of fluvial forms that go beyond the functional requirement to classify imagery and make objective cataloguing of fluvial habitats a practical reality. However, this idea does have important technical implications. For example, if the training data labels fluvial forms, then the tiling procedure must move away having 1110 tiles 100% occupied by a single, pure, class label (as seen in this paper). For example, if we seek to train a CNN to identify point bars, then suitable labelled tiles must have the entire bar AND a portion of surrounding water. This is therefore somewhat similar to the classic case of CNN image identification where a photograph of a subject must be identified and thus the image tile contains pixels that are not semantically part of the subject to be identified. However, in the case of natural 1115 forms, issues of scalar and rotational invariance must also be considered. Fluvial forms can occur in any orientation and can vary in size by orders of magnitude. Whilst there is a body of work reporting approaches to transform invariance in the context of deep learning (Cabrera-Vives et al., 2017; Cheng et al., 2019; Dieleman et al., 2015; Srivastava and Grill-Spector, 2018), this work remains closer to the research frontier and more challenging to apply.

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Method Limitations: Class imbalance and hyperparameter tuning

Class imbalance is a problem arising when training data has a large disparity in the number of samples in each class. It is the focus of significant research both in pure machine learning (eg Buda et al., 2018; Krawczyk, 2016; Lemaitre et al., 2016) and, to a 1125 lesser extent, Earth observation (Kampffmeyer et al., 2016; Stumpf and Kerle, 2011). This effect has an impact on our results. As visible in Table 3, one of the near-impossibilities of data preparation was to ensure equal class representation in both the training and validation data across all classes. Typical airborne remote sensing images of fluvial scenes are dominated by vegetated areas and the water. Sediment might be prominent in certain rivers but less so in others. Some images might have large sediment bars, while 1130 others only have small patches of exposed sediment. There also might be man-made features in the imagery. Ultimately, having an engineered balance, in terms of pixel numbers, for all classes is not possible unless we greatly under-sample all the betterrepresented classes to unacceptable levels. At a smaller scale, we observe that in cases 1135 where the phase 1 CNN predictions have a small minority in a single class, this class can be eliminated by the MLP if the training achieves minimal loss simply by predicting that a class is absent in an image. A good example is Figure 11, where we see that the paved roads class, occupying a very small percentage of pixels, has been eliminated and classed as sediment in the final MLP classification. Similarly, vegetation patches in this image, again with a small surface coverage in the image, have often been confused with water. 1140 In an attempt to address this problem, we investigated mitigation methods for class

imbalance (Batista et al., 2004; Chawla et al., 2002; Lemaitre et al., 2016). We tested the Synthetic Minority Oversampling TEchnique (SMOTE). The SMOTE technique works by creating new samples of synthetic data to strengthen the minority sets in training data. 1145 Specifically, it interpolates between inliers and outliers. This strengthens the signal of smaller samples and prevents the classifier from reaching a minimal loss solution by totally ignoring the minority class. However, in our case, we found that the application of SMOTE severely degraded performance. By interpolating between inliers and outliers, the SMOTE method amplified the erroneous CNN predictions beyond the point where the MLP predictions could mitigate against them. Consequently, we find that our workflow of CNN-1150 supervised classification is most suited to applications where the major land-cover types need to be accurately classified and guantified. For applications where smaller features in the landscape need to be identified, we would recommend alternative approaches geared towards feature recognition as opposed to semantic classification and using a CNN to 1155 identify these small-scale local features.

One of the most problematic aspects of work such as that presented here is the very high number of CNN parameters and design decisions that we did not investigate but undoubtedly influenced our results. While we have made efforts to provide some basis for parameter selection (e.g. the tuning procedure for the NASNet architectures), it was not computationally possible to conduct a deep parameter space investigation through bruteforce modelling; even Monte-Carlo approaches of random sampling within the parameter space carried an overly large computational overhead. We made efforts to justify parameter choices, but clear advice regarding hyperparameter tuning for deep neural networks is not always readily available and new users are often left with a bewildering number of choices to test. In this case, we faced several choices. At the outset, the use of

a transfer learning approach requires the user to fix the weights on certain deeper layers in the CNN architecture. With a network architecture as large as NASNet, the choice of layers to fix was based on limited trial and error. Our results are satisfactory, but we recognise that an alternative structure of fixed/trainable parameters might deliver 1170 improvements. Another issue is the size of tile to use. The selection of tile size must allow the training design to deliver a large number of labelled images. There is a trade-off between the smaller sizes/larger numbers and the information content of each tile. Buscombe and Ritchie (2018) use a tiles size of 75x75, but here we found that 50x50 gave better results. Overall, we made an effort to minimise tunable parameters in this work but 1175 we recognize that the work had a significant number of parameters chosen and tuned solely based on experience and/or minimal preliminary experiments. Exploring these parameters quantitatively might clarify small details about the overall process but at significant cost in terms of computation. We therefore advocate the use of optimisation 1180 approaches (e.g. Zheng and Wang, 1996) to identify parameter combinations that yield further improvements on our results. However, crucially, we argue that while our results might be improved upon, this does not change or invalidate our findings, namely, that the application of deep learning methods such as those outlined in this paper have delivered

state of the art results in hyperspatial fluvial scene classification.

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Conclusion

This paper uses a state-of-the-art dataset to demonstrate that deep learning methods are now ready for a wider uptake by the fluvial remote sensing community, transforming the 1195 fundamental task of supervised classification. We have shown that replacing the conventional classifiers (eg. maximum likelihood) with deep convolutional neural networks can substantially increase classification performance and set a new benchmark for expected performance in RGB fluvial scene classification using a supervised workflow. With CNN-Supervised Classification, users proficient in GIS now only need to manually label 4-8 RGB images of 12-20 Mpix in order to generate the training data (~ 37k tiles) 1200 required to classify an entire river with hundreds or even thousands of images to a very high standard (F1>95%) with training data that can manually be generated in less than 1 person/day and without the need for costly multi- or hyperspectral sensors. Finally, our results show that an advanced convolutional network architecture such as NASNet can 1205 effectively learn a visual classification scheme for fluvial scenes that can transfer to other rivers never seen in training. This shows a way forward where large pre-trained CNN might be capable of classifying rivers on regional/national scales thus truly minimising the need for human supervision. However, such work will require a coordinated effort in order to pool, organise and label the large volume of hyperspatial river imagery that already exists 1210 but is scattered in the community.

1215 Code and data access

Core Python scripts and usage instructions for CNN-supervised classification are available from the following GitHub repository: <u>https://github.com/geojames/CNN-Supervised-</u> <u>Classification</u> and can be cited as Carbonneau and Dietrich (2020). All the image and label data used in this work is also available for download from <u>this</u> institutional repository and can be cited as Carbonneau et al. (2019).

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