

# Seismicity-constrained fault detection and characterization with a multitask machine learning model

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## 4 **Abstract**

5 Geological fault detection and characterization from geophysical data have been one of the  
6 center challenges in geophysics and seismology as it holds the key to understanding subsurface  
7 dynamics ranging from borehole, reservoir, to regional scales. While paradigms of auto or semi-  
8 auto fault delineation either based on seismicity location analysis or on seismic migration image  
9 reflector discontinuity identification have been well established, a systematic method that can  
10 integrate both seismic image and seismicity location information is still missing. We develop a  
11 novel machine learning (ML) model that integrates seismic reflector image and seismicity location  
12 information into a unified model to automatically identify geological faults and characterize their  
13 geometrical properties. We detail the architecture of this neural network, the strategy and procedure  
14 of high-quality training data-label generation, as well as the validation results on the trained models.  
15 Specially, we also use two field data examples to validate the efficacy and accuracy of our ML  
16 model. The results demonstrate that by integrating seismicity location information and seismic  
17 migration image in a unified framework, the end-to-end neural network provides notably higher  
18 fidelity in delineating subsurface faults and its geometrical properties compared with image-only  
19 fault detection methods.

## 20 **Plain Language Summary**

21 Geological fault detection and characterization are essential to understanding a variety of  
22 geophysical and seismological processes, ranging from subsurface fluid migration, anthropogenic  
23 microearthquakes, to natural earthquakes. By integrating seismicity location and seismic migration  
24 image into a unified framework, we develop an end-to-end, multitask machine learning model  
25 for recognizing geological faults with a high fidelity and high resolution. We demonstrate the  
26 efficacy and accuracy of our seismicity-constrained fault detection machine learning model with  
27 both synthetic and field data examples. The method can serve as a powerful tool for subsurface  
28 characterization and seismic hazards mitigation.

## 29 **1 Introduction**

30 Geological faults and fractures at different scales are key to understanding subsurface geome-  
31 chanical state and geodynamical processes. For fossil energy exploration, geological faults create  
32 structural traps and migration channels for controlling oil and gas accumulation ([Manzocchi et al.,](#)  
33 [2010](#)). For clean energy reservoirs such as geothermal reservoirs, faults are critical channels for

34 geothermal fluid circulation and heat extraction (Gao et al., 2021). Accurately mapping faults is  
35 critical to evaluating the energy capacity and operation safety. From a seismogenic point of view,  
36 faults are the fundamental cause of natural earthquakes (Aki, 1972; Aki and Richards, 2002). A  
37 precise mapping of faults can play an essential role in understanding historical earthquakes and also  
38 predict the potential of quakes and seismic hazards, to some extent. Mapping faults is also one of the  
39 most important tasks to characterize and understand reservoir-scale anthropogenic microearthquakes  
40 (MEQs), a phenomenon caused by excessive fluid injection into a closed to semi-closed subsurface  
41 reservoir system (Ellsworth, 2013; Chang and Segall, 2016; Glubokovskikh et al., 2022).

42 Conventionally, one can identify faults from a seismicity location map or from a seismic  
43 migration image.

44 Locating earthquakes and MEQs to their spatial origin position based on traveltime (e.g.,  
45 Waldhauser and Ellsworth, 2000) or waveform correlation and stacking (e.g., Schuster et al., 2004;  
46 Nakata and Beroza, 2016) can result in a seismicity location map (Li et al., 2020). Clustering of  
47 seismicity based on their spatial location can generate fault maps (e.g., Dichiarante et al., 2021;  
48 Park et al., 2022). When a number of seismicity form some clearly identifiable spatial pattern, it is  
49 straightforward to cluster them into the same group. However, when the seismicity is associated  
50 with a complex, intersecting fault network, clustering can become unstable and can be sensitive  
51 to the choice of hyper-parameters, and different clustering methods can produce vastly different  
52 results (Rodriguez et al., 2019). In such cases, one usually needs to postprocess (sometimes even  
53 manually) clustered seismicity to obtain interpretable fault maps (Park et al., 2022). When there  
54 exist uncertainties in seismicity location due to, for instance, noise, phase pick error, and/or velocity  
55 model inaccuracy, accurately delineating faults from seismicity location may become extremely  
56 challenging.

57 One can also identify faults by locating lateral discontinuities of reflectors on seismic migration  
58 image. Early works of automated fault identification focus on computing various fault-related  
59 attributes to extract faults, including semblance (Marfurt et al., 1998; Hale, 2013), coherence  
60 (Marfurt et al., 1999), entropy (Cohen et al., 2006), and so on. Pedersen et al. (2002) developed the  
61 ant-tracking method to search and merge discontinuous regions into fault surfaces. Wu (2017) used  
62 local image coherence computed from directional structure tensors to estimate fault likelihood; the  
63 underlying principle was later adopted in nonlinear anisotropic diffusion to detect faults and enhance  
64 reflector image (Wu and Guo, 2018). Wu and Fomel (2018) developed an optimal surface voting  
65 approach for extracting fault attributes. However, some of these methods are of low resolution,  
66 and many of them require subjective parameter tuning that varies from image to image. This,  
67 sometimes, inevitably introduces human biases. In addition, these methods could easily fail when a  
68 given seismic image is of poor quality – in practice, seismic images can contain evident random or  
69 coherent noises due to, for instance, unbalanced or sparse source-receiver geometry and limitations

70 in migration algorithms.

71 Fault detection from seismic image is becoming fully automatic thanks to many machine learning  
72 (ML) models. Related works have grown into a large repository that is beyond the scope of this  
73 paper to perform a complete review. [Xiong et al. \(2018\)](#) developed a convolutional neural network  
74 (CNN) to infer fault probability directly from seismic image patches; the method is essentially an  
75 application of CNN-based classification ([LeCun et al., 1998](#)). Leveraging the multi-layer perceptron  
76 model, [Di et al. \(2018\)](#) developed a semi-supervised patch-level classification neural network to  
77 infer fault probability from multiple seismic image and fault attributes. [Wu et al. \(2019a\)](#) recognized  
78 that fault detection on a seismic image is analogous to medical image segmentation, and developed  
79 a simplified U-Net ([Ronneberger et al., 2015](#)), namely FaultSeg3D, for end-to-end fault detection.  
80 The input is a single seismic migration image, while the output is a map where each pixel represents  
81 the probability of the pixel being a fault pixel. FaultSeg3D provides the first end-to-end approach to  
82 detect faults directly from seismic images, significantly automating and improving the accuracy of  
83 fault detection. [An et al. \(2021\)](#) improved the performance of deep CNNs for fault recognition by  
84 an expert-labeled fault dataset; the results also indicate the importance of high-quality training data  
85 for fault detection task ([Cunha et al., 2020](#)). [Gao et al. \(2022a\)](#) developed a nested residual U-Net to  
86 improve the performance of end-to-end fault detection. [Gao et al. \(2022b\)](#) developed a multiscale  
87 fusion fully convolutional NN to combine encoded image features at different spatial scales for  
88 improving fault detection. [An et al. \(2023\)](#) conducted a comprehensive review of ML-based fault  
89 detection emerged in recent years.

90 The emergence of the so-called transformer model from the natural language processing domain  
91 ([Vaswani et al., 2017](#)) provides a strong mechanism, attention, to capturing long-distance and  
92 global relation of sequence. This inspired the vision transformer (ViT) ([Dosovitskiy et al., 2021](#))  
93 in the computer vision domain. Under this paradigm, many pure ViT, improved ViT, or mixed  
94 ViT-CNN/U-Net models (e.g., [Liu et al., 2021](#); [Chen et al., 2024](#)) can be used for fault detection. For  
95 instance, [Wang et al. \(2024b\)](#) developed a fault detection architecture based on by refining the self  
96 attention mechanism in transformer/ViT with a fast global self attention module to produce improved  
97 fault detection. [Wang et al. \(2024a\)](#) compared several different ML models for fault detection, and  
98 noted that convolutional NNs (CNNs) are generally computationally efficient, but performs weaker  
99 than ViT based NNs in delineating long, continuous faults they lack a fundamental mechanism to  
100 properly capture long-distance or global relation of the input seismic image. However, because  
101 transformers cannot inherently learn the inductive bias of image features, ViT-based fault detection  
102 NNs requires a huge amount of data-labels to train, which could be a major challenge for the fault  
103 detection task unless the data are purely synthetic. In general, in these existing ML models, one feed  
104 a 2D or 3D seismic image into a neural network (NN) that consists of convolutional blocks and/or  
105 vision transformers, and obtain a so-called fault probability map corresponding to the image. On

106 the basis of these works, [Bi et al. \(2021\)](#) developed a multitask NN to simultaneously infer relative  
107 geological time and faults from a seismic image. [Wu et al. \(2023\)](#) developed a multitask NN for  
108 fault detection, where in addition to the main task (fault detection), they introduced an auxiliary task  
109 (seismic image reconstruction) to provide additional constraints and information for the main task.  
110 [Yang et al. \(2023\)](#) developed a transformer-based multitask learning model to simultaneously learn  
111 and infer relative geological time and fault probability from a seismic image. [Gao \(2024\)](#) developed  
112 a multitask iterative NN to infer multiple geological features, including higher-resolution reflectivity  
113 image, relative geological time, and multiple fault attributes (probability, strike and dip), from a  
114 single seismic image. This is the first multitask NN that demonstrates the feasibility of inferring  
115 the geometrical attributes of faults in end-to-end fashion on a pixel level, in contrast to previous  
116 works (e.g., [Xiong et al., 2018](#); [Wu et al., 2019c](#)) that infer these fault attributes in a classification  
117 fashion. Further, recognizing the challenge that ML models may generate scattered, discontinuous  
118 faults, [Gao \(2024\)](#) develops an iterative refinement strategy to improve the interpretability of the  
119 inferred images, relative geological time, and fault attributes. All of these works extended the  
120 frontiers of ML-based automatic fault detection and characterization, a domain that embraces novel  
121 methods and algorithms frequently. However, we recognized that all these methods have focused on  
122 developing new architectures to improve the learning and inference from a seismic migration image.

123 However, the two aforementioned paradigms (i.e., image-based fault detection and seismicity-  
124 location-based fault delineation) are mostly independent with each other, and there has not been  
125 a systematic mechanism to integrate them into a unified framework to jointly characterize faults,  
126 especially in the regime of ML. This is partially because seismic image and seismicity location map  
127 have essentially different characteristics in space.

128 Motivated by this challenge, we develop a novel end-to-end, multitask ML model for identifying  
129 faults using both seismic migration image and seismicity location information. The input to this  
130 NN includes a seismic migration image and a source image (a discrete representation of seismicity  
131 locations), and the output includes multiple fault attributes, including fault probability, fault dip,  
132 and fault strike, on a pixel/voxel level. This architecture means that our NN does not contain any  
133 classification or regression. Instead, it transforms the estimation of fault attributes into a direct  
134 end-to-end mapping problem using fully convolutional NN. Our NN transforms the input through a  
135 number of encoders and decoders, where each of the encoders or decoders is a simplified U-Net with  
136 a residual connection. To effectively extract and learn the features embedded in the migration image  
137 and the source image, we use two independent encoder branches to learn the two input images,  
138 and merge the feature maps into the same encoder before decoding. The NN provides a systematic  
139 mechanism to leverage both migration image and seismicity location for fully automatic fault  
140 detection and characterization. Moreover, to apply our NN to elastic migration images, we develop  
141 a systematic algorithm to generate synthetic elastic migration images, and find that it can provide a

142 systematic method to use PP, PS, SP, and SS migration images simultaneously for fault detection,  
 143 if such images are available for a region. Along with the details of this new NN, we elaborate the  
 144 method of preparing realistic source images as part of the input data. To the best of our knowledge,  
 145 our model is the first ML model that leverages information from both seismic image and seismicity  
 146 location for fully automatic, multitask fault characterization, representing a novel paradigm in  
 147 high-fidelity fault detection and characterization. We thus name it a seismicity-constrained fault  
 148 characterization neural network, or SCF-Net for convenience.

149 The rest of the paper is organized as following: In the ‘‘Methodology’’ section, we detail the  
 150 architecture of our ML model, the methods and algorithms for generating training data and labels,  
 151 and the training strategy. We validate our trained ML model and compare it with a conventional  
 152 ML fault detection model to demonstrate the advantage. In the ‘‘Results’’ section, we use two  
 153 field data examples to demonstrate the efficacy and accuracy of our seismicity-constrained fault  
 154 characterization neural network. We then summarize our methods and results in ‘‘Conclusions.’’

## 155 2 Methodology

### 156 2.1 Architecture

157 We display the architecture of our multitask seismicity-constrained fault detection and charac-  
 158 terization neural network (NN) in Figure 1. Denoting an input image as  $I$  of size  $N_1 \times N_2 \times N_3$   
 159 and an source image as  $S$  of the same size, we construct the encoder branches of SCF-Net as

$$E_I^1 = \mathcal{R}_{c=1 \rightarrow 16}^1(I), \quad (1)$$

$$E_S^1 = \mathcal{R}_{c=1 \rightarrow 16}^1(S), \quad (2)$$

$$E_I^2 = \mathcal{R}_{c=16 \rightarrow 32}^2 \circ \mathcal{M}_{k=2}(E_I^1), \quad (3)$$

$$E_S^2 = \mathcal{R}_{c=16 \rightarrow 32}^2 \circ \mathcal{M}_{k=2}(E_S^1), \quad (4)$$

$$L = \mathcal{R}_{c=32+32 \rightarrow 64}^3 \circ \mathcal{M}_{k=2}(E_I^2 \oplus E_S^2), \quad (5)$$

160 and the decoder branch as

$$D_2 = \mathcal{R}_{c=32 \times 2 + 64 \rightarrow 32}^2(\mathcal{U}_{k=2}(L) \oplus E_I^2 \oplus E_S^2), \quad (6)$$

$$D_1 = \mathcal{R}_{c=16 \times 2 + 32 \rightarrow 16}^2(\mathcal{U}_{k=2}(D_2) \oplus E_I^1 \oplus E_S^1), \quad (7)$$

161 where  $\mathcal{M}_{k=2}$  represents a max-pooling layer with a kernel size of 2 (Murphy, 2022),  $\mathcal{U}_{k=2}$  represents  
 162 a bilinear/trilinear upsampling layer with a scaling ratio of 2, and  $\mathcal{R}^1$ ,  $\mathcal{R}^2$ , and  $\mathcal{R}^3$  represent small  
 163 U-Nets with residual connections (ResUNet) (Qin et al., 2020; Gao et al., 2022a). The architectures

164 of these ResUNets are detailed in Figure 2a-c, respectively. The subscripts  $c = a \rightarrow b$  in these  
 165 symbols represent the numbers of input and output channels associated with these ResUNets,  
 166 following a notation convention in PyTorch (Paszke et al., 2019), the library used for implementing  
 167 our seismicity-constrained fault NN and other relevant NNs in this paper.

168 Following the output from  $D_1$ , we obtain the fault probability as

$$F_0 = \mathcal{C}_{c=16 \rightarrow 16} \circ \mathcal{C}_{c=16 \rightarrow 16} \circ \mathcal{C}_{c=16 \rightarrow 16} \circ \mathcal{C}_{c=16 \rightarrow 16}(D_1), \quad (8)$$

$$F_p = \mathcal{S} \circ \mathcal{C}'_{c=16 \rightarrow 1} \circ \mathcal{C}_{c=32 \rightarrow 16} \circ \mathcal{C}_{c=32 \rightarrow 32} \circ \mathcal{C}_{c=32 \rightarrow 32} \circ \mathcal{C}_{c=16 \rightarrow 32}(F_0), \quad (9)$$

169 while the fault dip and strike maps are inferred with two additional “subdecoders” as

$$F_d = F_p \otimes [\mathcal{S} \circ \mathcal{C}_{c=16 \rightarrow 1} \circ \mathcal{C}_{c=32 \rightarrow 16} \circ \mathcal{C}_{c=32 \rightarrow 32} \circ \mathcal{C}_{c=32 \rightarrow 32} \circ \mathcal{C}_{c=16 \rightarrow 32}(F_0)], \quad (10)$$

$$F_s = F_p \otimes [\mathcal{S} \circ \mathcal{C}_{c=16 \rightarrow 1} \circ \mathcal{C}_{c=32 \rightarrow 16} \circ \mathcal{C}_{c=32 \rightarrow 32} \circ \mathcal{C}_{c=32 \rightarrow 32} \circ \mathcal{C}_{c=16 \rightarrow 32}(F_0)], \quad (11)$$

170 where  $\mathcal{C}_{c=a \rightarrow b}$  represents a composite operation of a convolutional layer with an input channel  
 171 number of  $a$  and an output channel number of  $b$ , an instance normalization layer (Ulyanov et al.,  
 172 2016), followed by a rectified linear unit (ReLU) layer (Murphy, 2022); for  $F_p$ , the final  $\mathcal{C}'$  does not  
 173 include an instance normalization layer. The symbol  $\mathcal{S}$  represents a sigmoid activation function  
 174 which transforms any input to the range of  $[0, 1]$  (Murphy, 2022). The symbol “ $\circ$ ” represents  
 175 composition of functions and “ $\otimes$ ” represents pixel-wise multiplication.

176 The architecture indicates that our NN does not simply treat the attributes of faults as different  
 177 channels of a feature map. Instead, the inference of multiple fault attributes is based on different  
 178 “subdecoders,” like multiple partially independent tasks.

179 By contrast, conventional fault detection NNs only use a seismic migration image to infer fault  
 180 probability attribute based on, for instance, a U-Net (Wu et al., 2019b), a nested residual U-Net  
 181 (NRU-net) (Gao et al., 2022a), or more recent ViT (Wang et al., 2024b). To ensure a fair comparison  
 182 in the following examples, we build a migration-image-only NN by removing the source image  
 183 encoder branch (and any associated concatenation) from the architecture displayed in Figure 1  
 184 to obtain fault attributes. To distinguish the two ML models, we name them “F-Net” (Fault-Net)  
 185 and “SCF-Net” (Seismicity-Constrained Fault-Net), respectively. We also develop an SCF-Net for  
 186 elastic migration images as detailed in Appendix A. For simplicity, we name it “elastic SCF-Net” to  
 187 distinguish it from the SCF-Net for a single migration image.

## 188 2.2 Training data preparation

189 Generating high-quality and high-fidelity synthetic seismic images, source images, and fault  
 190 labels is critical to our ML model, as we only use synthetic data to train the NN and apply the

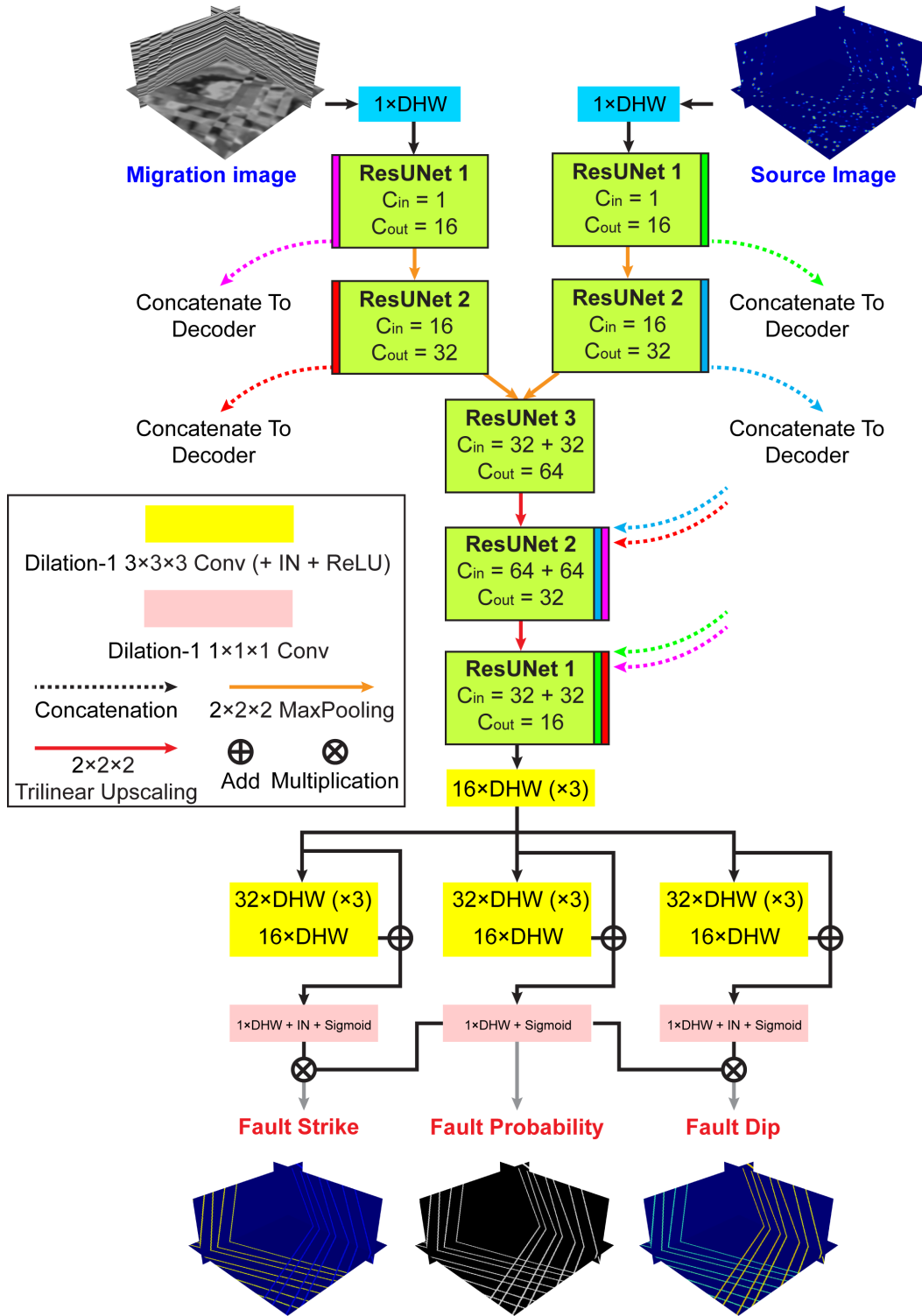


Figure 1: Architecture of our end-to-end seismicity-constrained fault characterization neural network (SCF-Net). “ResUNet” stands for small residual U-Net. The input (including acoustic or elastic migration images and a source image) and the output (including fault probability, dip, and strike) are all regularly sampled images of the same dimension. The methodology for converting seismicity location to a regular-grid source image is detailed in the text.



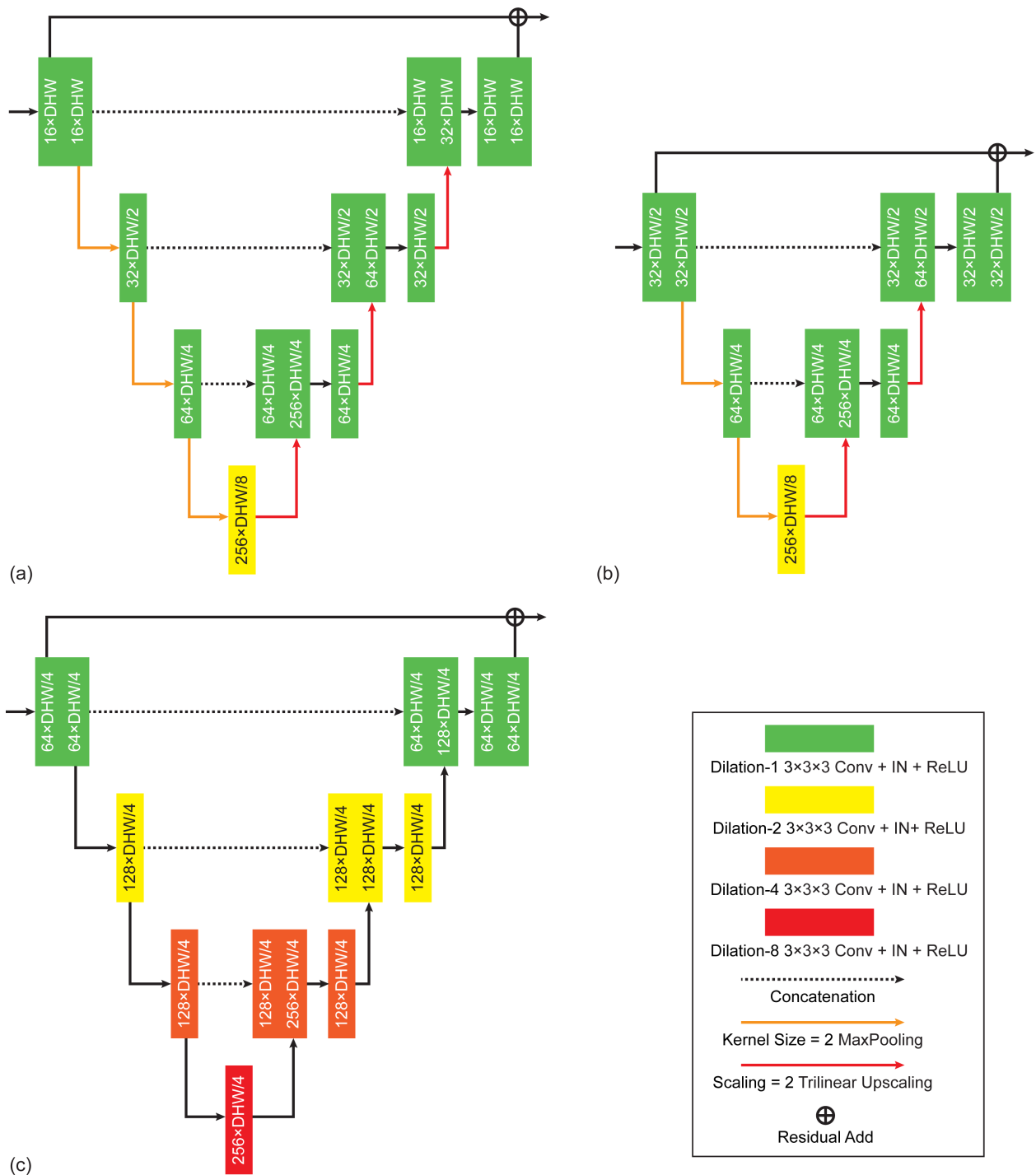


Figure 2: Architecture of ResUNets for constructing SCF-Net displayed in Figure 1. Different colors in these ResUNets represent convolutional blocks with different dilation ratios. Panels (a-c) correspond to ResUNet-1, 2, and 3 in Figure 1, respectively. Numbers in the convolutional layers are number of channels.

191 trained model to field data images without further training. The strategy may not produce optimal  
 192 results for every field data image, but requires much less effort in preparing training data-labels.

193 To generate realistic seismic migration images and fault labels, we first generate a 1D random,  
 194 sparse seismic reflectivity series of length  $N_1$ , stack  $N_2 \times N_3$  of such reflectivity horizontally to  
 195 obtain a 3D image volume, and then randomly shift the 1D traces up and down to generate lateral  
 196 variations. We then insert  $N_f$  random faults with random dip, strike, and rake into the image.  
 197 For each fault, we shift one of the two blocks on two sides of the fault upwards or downwards  
 198 with a random displacement. For different images, the number of nonzero reflectivity coefficients  
 199 (or equivalently, the number of layers) and the number of faults can be different. For some of  
 200 the images, we also insert unconformity at the top to mimic sedimentary unconformity in reality.  
 201 Note that in practice, geological unconformity can form low or even ultra-low intersection angles  
 202 with underlying sedimentary layers, making it challenging to distinguish them from low-angle  
 203 faults, if any, on the seismic image, as both of them appear as lateral discontinuities of reflectors.  
 204 We recognize that this is an open question and beyond the scope of this paper. Our future work  
 205 may develop a solution to distinguish the two geological features. In the process, we obtain  
 206 multiple labels corresponding to the attributes of faults, including probability, dip, strike, rake, and  
 207 displacement. In this work, we focus on inferring fault dip and strike attributes from an input image.

208 To generate a source image corresponding to a seismic migration image, we assign random  
 209 source locations that are close to the generated faults by setting an upper limit of the distance  
 210 of source locations to faults, as displayed in Figure 3. Each source is represented by a Gaussian  
 211 function projected on the same regular grid as the seismic image. Because different sources may  
 212 overlap, we use a maximum-limiting Gaussian function summation to compute the source location  
 213 probability at spatial location  $\mathbf{x}$  as

$$S(\mathbf{x}) = \max_{i=1,2,\dots,N; \mathbf{x}_i \in R_1} \exp\left(-\frac{\|\mathbf{x} - \mathbf{x}_i\|^2}{2\sigma^2}\right), \quad (12)$$

214 where  $\mathbf{x}_i$  represents the location of the  $i$ -th source associated with this image. We choose  $1/2\sigma^2 =$   
 215  $0.3$  in this work. This indicates that the maximum value of the source image is 1, and the probability  
 216 of a source location will diminish effectively to 0 approximately 4 or 5 grid points away from  $\mathbf{x}_i$ .

217 The random distance of source location to a nearby fault varies from source to source, and the  
 218 number of sources  $N$  varies from image to image. This multi-randomization strategy generates the  
 219 maximum randomness as we can achieve for the source images. In practice, not all parts of faults  
 220 are associated with seismicity. Therefore, we create a random binary mask  $R$  for each image, and  
 221 only the random sources that fall within nonzero mask regions  $R_+$  of the mask  $R$  contribute to the  
 222 final source image.

223 We enclose the above algorithm for generating 2D and 3D synthetic images, fault attribute labels,

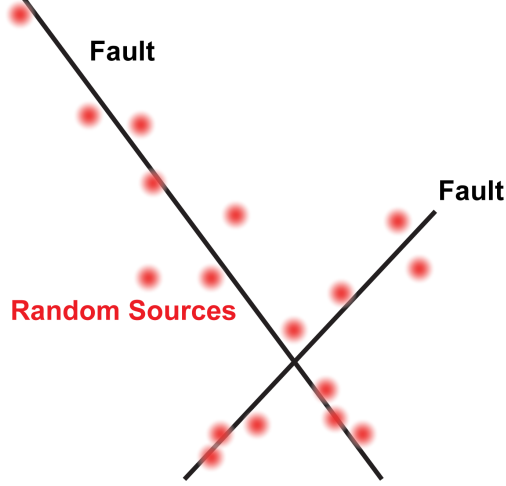


Figure 3: A schematic on the generation of a random source image. The black lines represent faults, while the red clouds represent random sources computed based on equation (12).

224 as well as source images in the open-source codes associated with this work. The implementation is  
 225 based on the modules developed in our random geological modeling package, RGM (Gao and Chen,  
 226 2024). To train the 2D NNs, we generate a total of 6,000 data-label paris, with an additional 600  
 227 data-label pairs for validation. These two datasets are not overlapping with each other. Each image  
 228 (or label) contains  $N_1 \times N_2 = 256 \times 256$  grid points. To train the 3D NNs, we generated a total  
 229 of 2,000 data-label pairs, with an additional 200 data-label pairs for validation. Each image (or  
 230 label) contains  $N_1 \times N_2 \times N_3 = 128 \times 256 \times 256$  grid points. We also use the method described  
 231 in Appendix A to generate a set of PP, PS, SP, and SS elastic images to train elastic SCF-Net. For  
 232 training and validating F-Net and SCF-Net, we simply use the PP image as the input, while for  
 233 elastic SCF-Net, we use all the four images as the image input.

### 234 2.3 Training and validation

235 Recognizing the fact that a fault label are highly unbalanced with the background (non-fault  
 236 region) in terms of number of pixels, we use a hybrid loss function consisting of dice loss (Sudre  
 237 et al., 2017) and  $L_1$  losses to train SCF-Net:

$$\mathcal{L} = \lambda_1 \mathcal{L}_{\text{probability}} + \lambda_2 \mathcal{L}_{\text{dip}} + \lambda_3 \mathcal{L}_{\text{strike}}, \quad (13)$$

$$= \lambda_1 \left( 1 - \frac{2 \sum_{i=1}^N p_i g_i + \varepsilon}{\sum_{i=1}^N p_i + \sum_{i=1}^N g_i + \varepsilon} \right) + \lambda_2 \frac{1}{N} \sum_{i=1}^N |\theta_i - \Theta_i| + \lambda_3 \frac{1}{N} \sum_{i=1}^N |\phi_i - \Phi_i|, \quad (14)$$

238 where  $\varepsilon = 1$  is a smoothing factor to avoid zero denominator,  $N$  is the total number of pixels/voxels  
 239 in the image;  $p_i$  and  $g_i$  represent the NN-predicted and label fault probability, respectively;  $\theta_i$  and

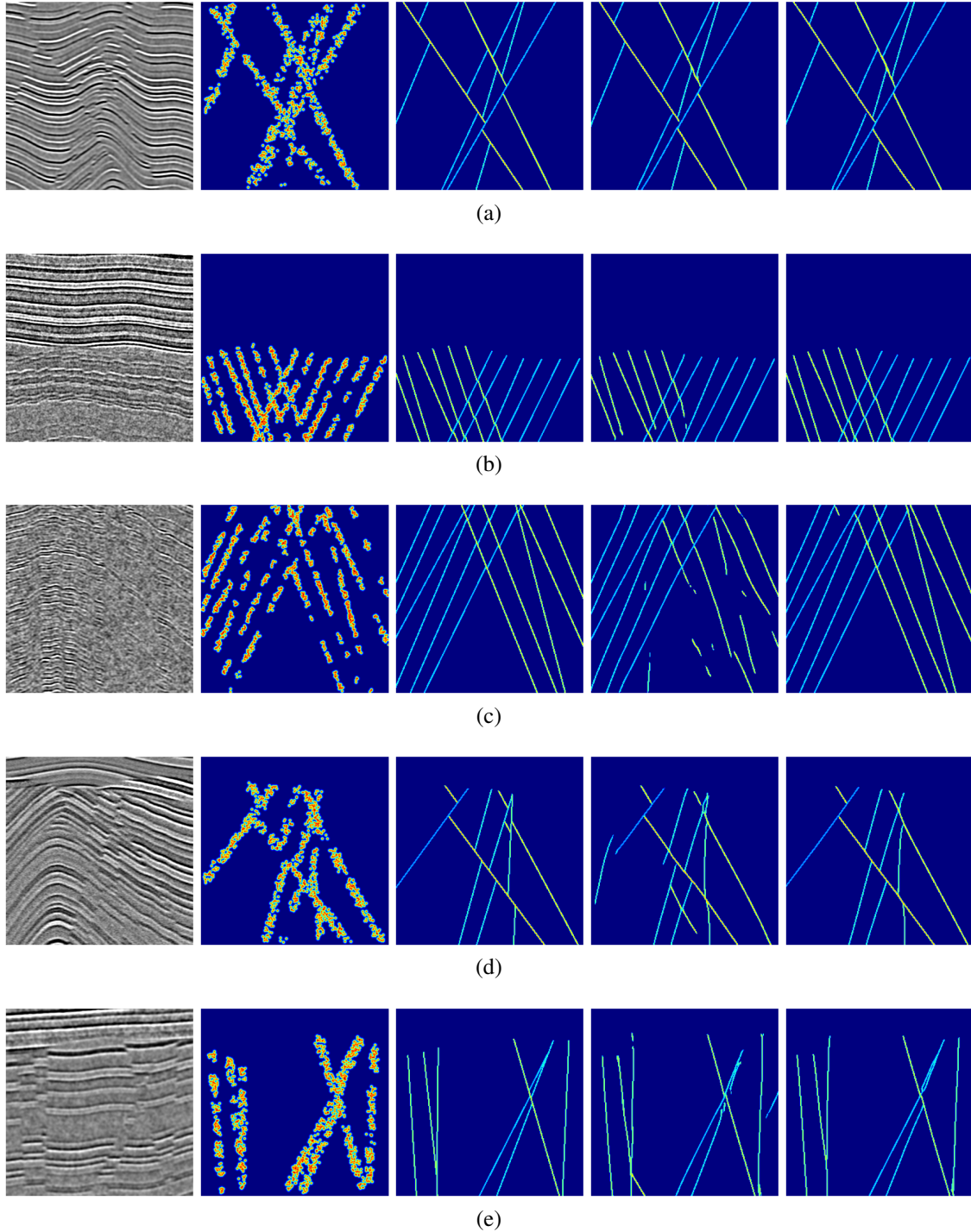


Figure 4: Panels (a-e) display five examples of synthetic seismic migration images generated by RGM and the corresponding ML inference results. For simplicity, we ignore the axis ticks and labels in these plots. Columns 1–5 represent the synthetic image, the source image, the ground-truth fault dip image, the fault dip image inferred by F-Net, and by SCF-Net, respectively. The inference output also include fault probability; here, for simplicity we do not show the probability images, but the pattern of fault probability is essentially same with fault dip (and fault strike in the 3D scenario).

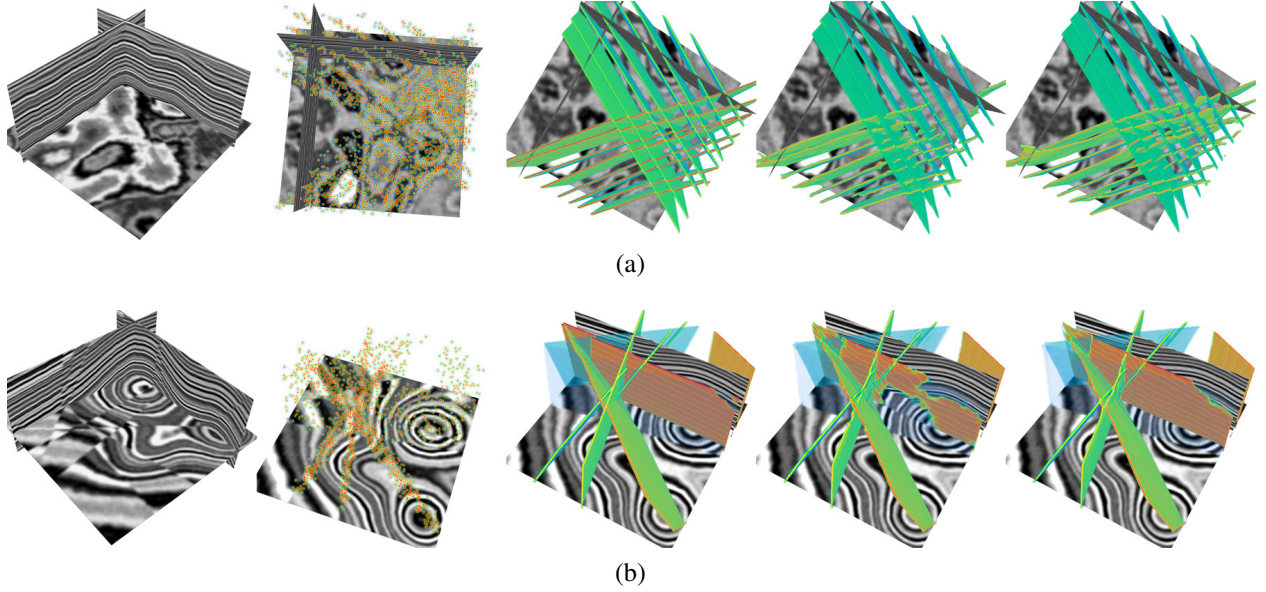


Figure 5: Panels (a-b) display two examples of synthetic 3D seismic images, labels, and ML inference. From the left to the right, the five columns represent the seismic image, the source location (as volume rendering), the ground-truth fault strike image, the fault strike inferred by F-Net, and the fault strike inferred by SCF-Net.

240  $\Theta$  represent the NN-predicted and label fault dips, respectively; and  $\phi_i$  and  $\Phi_i$  represent the NN-  
 241 predicted and label fault strikes, respectively. The three coefficients,  $\lambda_1$ ,  $\lambda_2$ , and  $\lambda_3$ , are weighting  
 242 factors for different loss terms, where we choose  $\lambda_2 = \lambda_3 = 10\lambda_1$ .

243 We implement F-Net, SCF-Net, and elastic SCF-Net using PyTorch interfaced through PyTroch  
 244 Lightning (Falcon, 2019). We train all the 2D models, including F-Net, SCF-Net, and elastic  
 245 SCF-Net detailed in Appendix A, using one NVIDIA 3090 graphics processing unit (GPU) card,  
 246 with a batch size of eight, and train all the 3D models with eight NVIDIA A100 GPU cards using  
 247 a batch size of eight. We use an Adam optimizer (Kingma and Ba, 2017) to train the 2D and 3D  
 248 versions of SCF-Net with an initial learning rate of  $0.5 \times 10^{-4}$ , and reduce the learning rate by ten  
 249 times if there is no reduction of validation loss consecutively for 10 iterations.

250 We inspect the efficacy and accuracy of the trained models on the validation dataset in Figures 4  
 251 and 5 for 2D and 3D scenarios, respectively. Four of the five fault dip maps corresponding to the  
 252 synthetic images (panels b to e) inferred by F-Net display an evident discrepancy between the labels  
 253 and the prediction, especially on the noisy image in Figure 4c. By contrast, by effectively exploiting  
 254 seismicity location information associated with the image, SCF-Net achieves an improved accuracy  
 255 for all the five seismic images. We observe that SCF-Net achieves similar accuracy for other images  
 256 in the validation dataset.

257 The comparison on two 3D synthetic images displayed in Figure 5 resembles the comparison in  
 258 Figure 4. For first image, we observe that F-Net misses some subtle faults within the interlacing

Metrics	2D		3D	
	No Seismicity	With Seismicity	No Seismicity	With Seismicity
Fault Probability Loss	0.171	0.119	0.167	0.129
Fault Dip Loss	0.103	0.0728	0.116	0.0901
Fault Strike Loss	N/A	N/A	0.125	0.0954
Total Loss	0.274	0.191	0.408	0.314
Precision	0.837	0.882	0.839	0.872
Accuracy	0.980	0.986	0.978	0.983
Recall	0.822	0.881	0.828	0.871
SSIM	0.906	0.935	0.895	0.923

Table 1: Comparison of metrics between F-Net and SCF-Net. All the values are associated with the validation dataset that is non-overlapping with the training dataset.

259 fault network on the right region of the image, while for the second image, F-Net predicts an  
260 incomplete fault surface (the orange-colored one in the middle of the image). On the contrary,  
261 SCF-Net generates accurate inference of the interlacing fault network for the first image and a  
262 complete fault surface for the second image, both of which are close to the ground-truth fault  
263 surfaces in the middle column.

264 Table 1 displays various metrics associated with the validation datasets in the 2D and 3D  
265 scenarios. Note that some of the metrics, including precision, accuracy, recall (e.g., Wu et al.,  
266 2019a), and structural similarity index measure (SSIM) (Wang et al., 2004), are defined for the  
267 fault probability output only. For both 2D and 3D models, we observe an evident improvement  
268 in precision, accuracy, recall, and structural similarity index measure by SCF-Net compared with  
269 F-Net. These metrics are consistent with our qualitative analysis above, and demonstrate the evident  
270 advantage of image-location integration for fault detection and characterization.

### 271 3 Results

272 We further validate the efficacy of our SCF-Net with field seismic images. High-quality seismic  
273 migration images and source images are rare and mostly proprietary. In the following two examples,  
274 we use open-source seismic migration images generated based on marine seismic data. However,  
275 the MEQs in these two examples are not results based on field data, but are created by us based  
276 on preliminary detection of geological faults on these image using a multitask model (Gao, 2024).

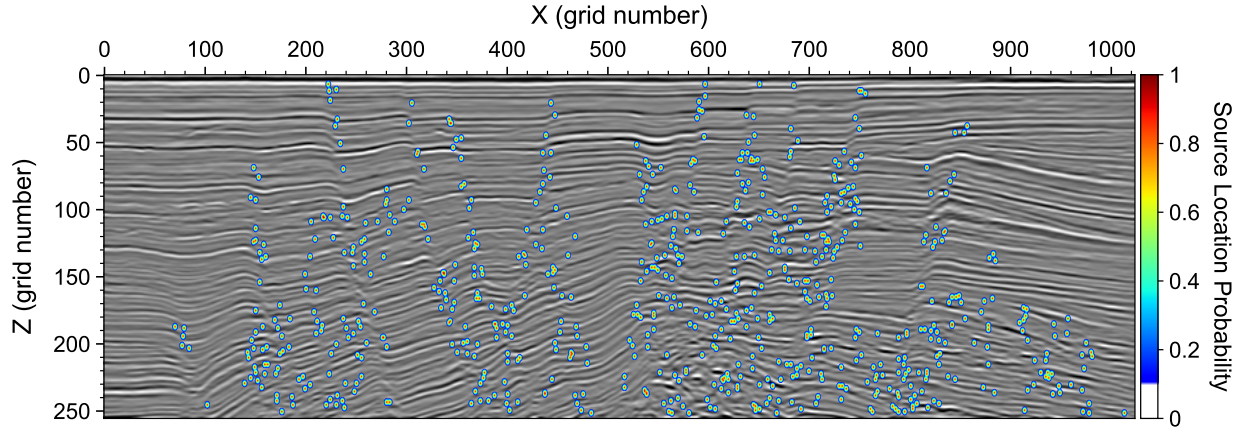


Figure 6: A 2D slice of Opunake image overlain by randomly distributed MEQs.

277 Nevertheless, they suffice for demonstrating the efficacy of SCF-Net.

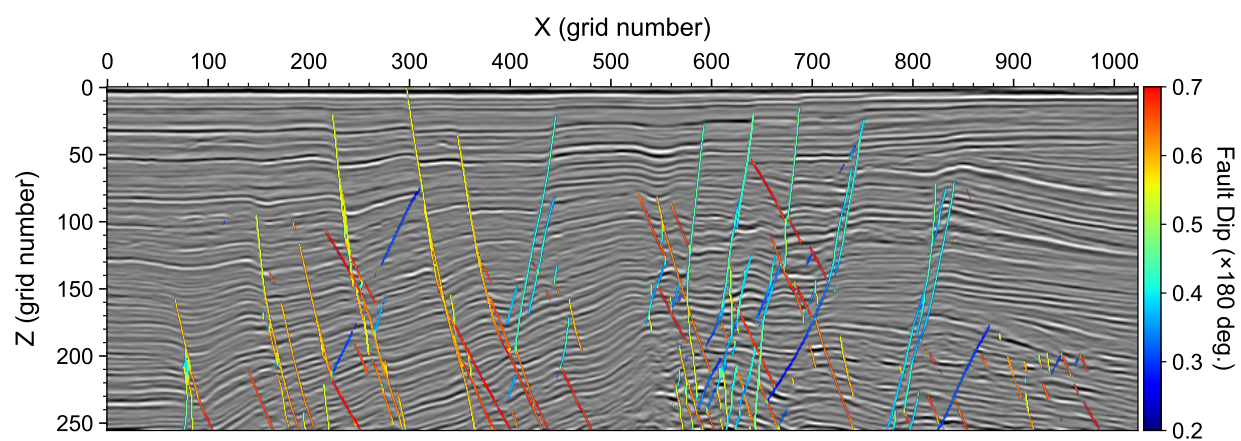
### 278 3.1 Opunake image

279 The first image, displayed in Figure 6, is a 2D vertical slice from the Opunake 3D migration  
 280 image volume provided by New Zealand Crown Minerals (SEG, 2020a). The image is sampled by  
 281 256 grid points in the vertical direction and 1024 grid points in the horizontal direction. Visually,  
 282 there are several major and also small-scale faults developing in this image, with a particularly  
 283 complex fault system that is challenging to delineate by hand in the lower-center region.

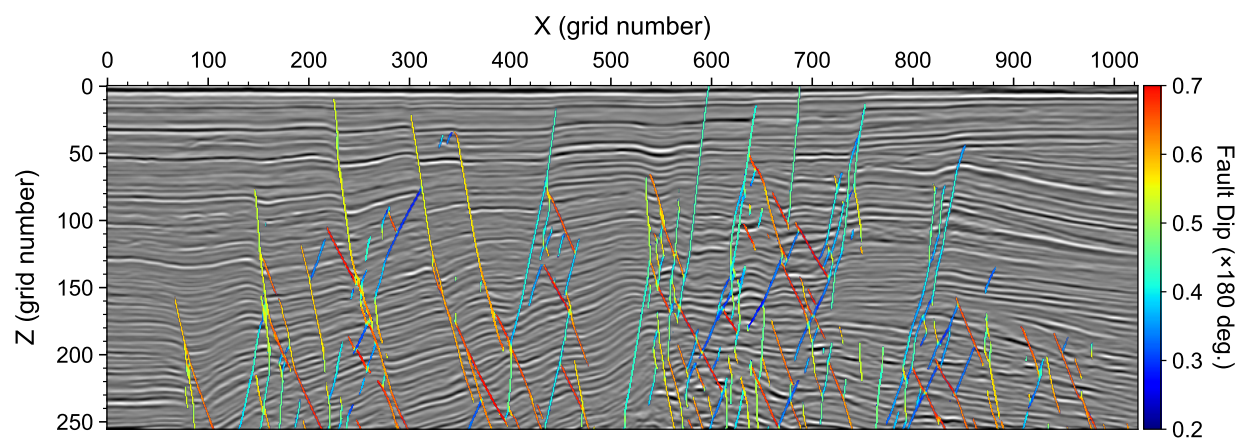
284 We apply F-Net and SCF-Net trained by the aforementioned synthetic data-labels to the image,  
 285 and obtain fault probability and fault dip images displayed in Figure 7a and b, respectively. While  
 286 both models delineate a number of major and minor faults from the image, we observe that SCF-Net  
 287 outperforms F-Net by more accurately delineating more small-scale isolated or interlacing faults in  
 288 the lower-center region. For instance, at the horizontal position of 550 and at the depth of 200, F-Net  
 289 without seismicity constraint misses a major near-vertical fault, which, by contrast, is captured by  
 290 SCF-Net. SCF-Net also captures a number of small-scale faults between the depths of 200 and 250,  
 291 and between the horizontal positions of 650 and 900.

292 In parallel, we notice that both F-Net and SCF-Net can capture the dip angle of the faults. In  
 293 particular, they can capture continuously varying dip angles of the curved faults (e.g., the three  
 294 major faults on the left part of the image), even though both of them are trained with synthetic  
 295 images where each of the faults has a constant dip angle. This validates the efficacy of our method  
 296 of data generation and the end-to-end architecture.

297 We further inspect the consistency between the seismicity location and the inferred faults.  
 298 Figure 8a displays the fault dip image inferred by F-Net overlying on the seismicity location  
 299 represented by black dots. Since F-Net does not use seismicity location information, it is not



(a)



(b)

Figure 7: Fault dip inference obtained by (a) F-Net and (b) SCF-Net, both overlying on the input migration image displayed in Figure 6.



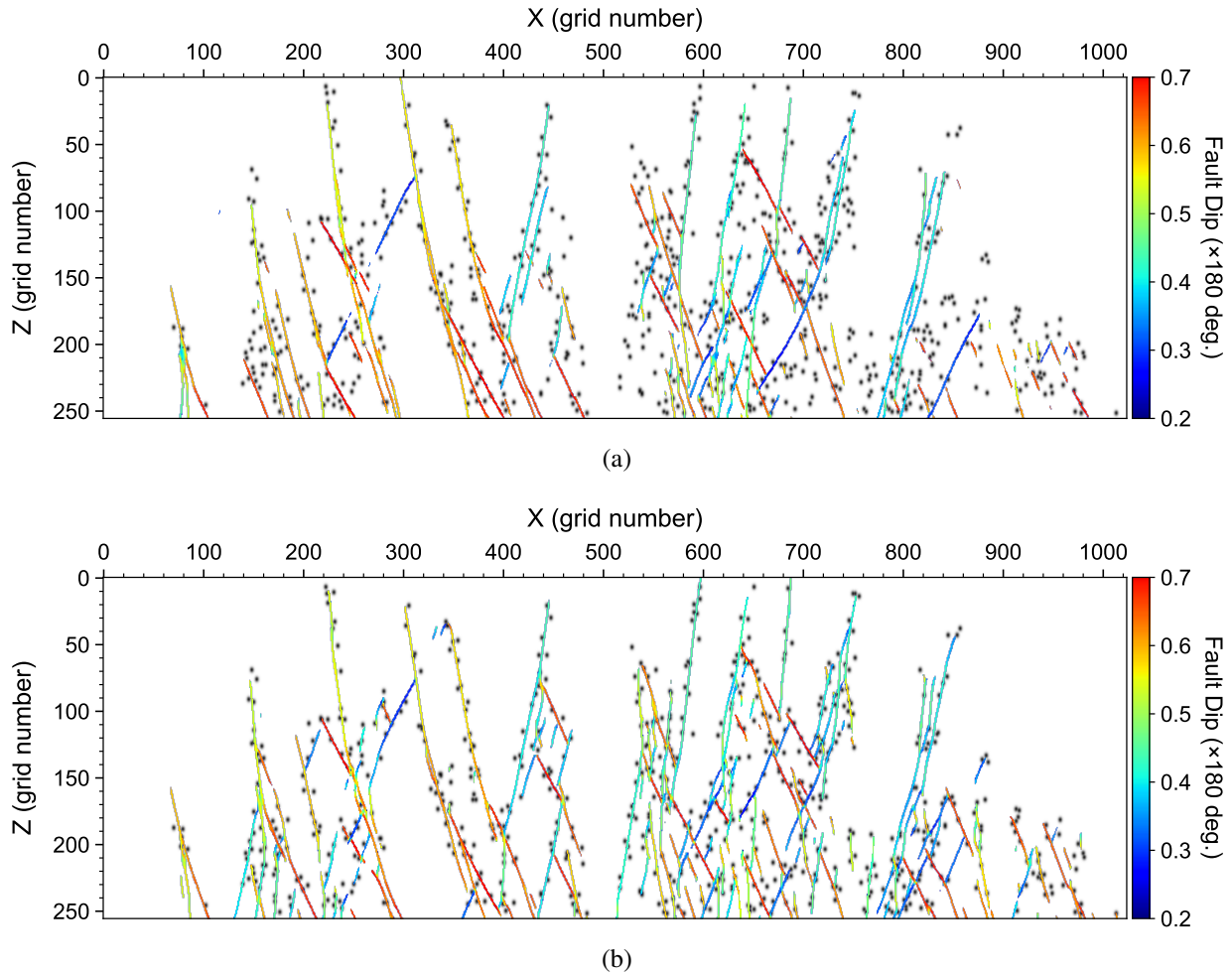


Figure 8: Fault dip inference obtained by (a) F-Net and (b) SCF-Net, both overlying on ground-truth MEQ locations.

300 surprising to observe that F-Net-detected faults miss numerous seismicity, particularly in the lower-  
 301 right region of the image. On the contrary, the consistency between the seismicity location map and  
 302 the faults inferred by SCF-Net displayed in Figure 8b attains an evident improvement, and most  
 303 of, if not all of, the seismicity are correlated with some fault, even in the lower-right region. We  
 304 provide a zoom-in comparison of the results obtained by F-Net and SCF-Net in Figure 9 for the  
 305 lower-right region of the image, which demonstrates the improvement of fault detection when it is  
 306 constrained by seismicity location.

### 307 3.2 North Sea F3 image

308 In the second example, we use a 3D image volume to demonstrate the efficacy and accuracy of  
 309 SCF-Net.

310 Figure 10 displays a portion of the Project F3 seismic migration image volume, corresponding

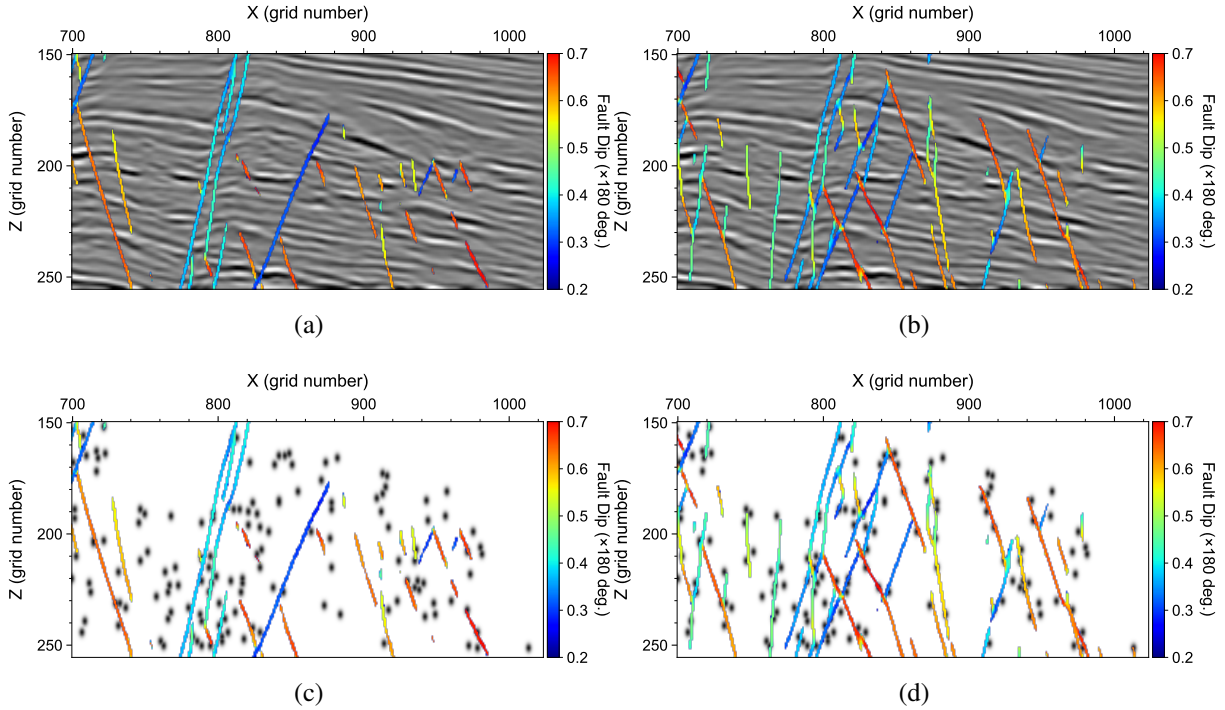


Figure 9: A zoom-in view of the results displayed in Figures 7 and 8 of fault dip inference obtained by (a, c) F-Net and (b, d) SCF-Net, overlying on the migration image and MEQ locations.

311 to a North Sea region in the Netherlands offshore (SEG, 2020b). The area develops rich faults,  
 312 containing both quasi-parallel and intersecting faults. The selected part of the entire image contains  
 313 a total of  $N_1 \times N_2 \times N_3 = 128 \times 256 \times 256$  regular grid points. As in the first example, we assign a  
 314 number of random seismicity based on a preliminary detection of faults from the image, and create  
 315 a source image map using equation (12). The random seismicity is displayed as isolated Gaussians  
 316 in Figure 10 orthogonal panels, and as green dots for better visualization in the top-right panel.

317 Figures 11a and b display the fault strike maps inferred by F-Net and SCF-Net, respectively.  
 318 Both methods infer a number of major faults from the 3D image volume, where, based on the  
 319 horizontal slice in the top-left panels of the figures, SCF-Net finds more faults, and importantly,  
 320 more continuous faults. For instance, the faults detected by F-Net in the center region on the  
 321 horizontal slice break into discontinuous smaller faults, while based on the detection result obtained  
 322 by SCF-Net in Figure 11, it is more likely that they belong to several distinct major quasi-parallel  
 323 faults. In addition, F-Net misses several evident faults in the lower-center and top-right regions  
 324 on the horizontal slice, which by contrast are captured by SCF-Net. We also observe that on the  
 325  $Z - Y$  vertical slice image, SCF-Net captures a set of quasi-parallel faults with high dip angles. By  
 326 contrast, F-Net fails to capture some of these faults, or the captured faults are broken or incomplete,  
 327 resulting in an inconsistency between the faults and the migration image. The comparison between

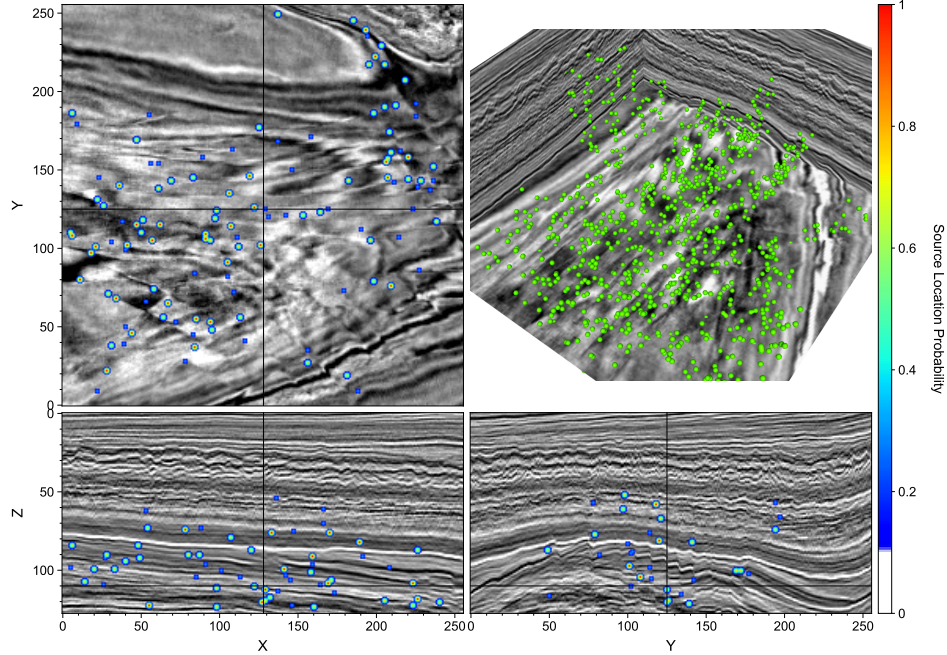


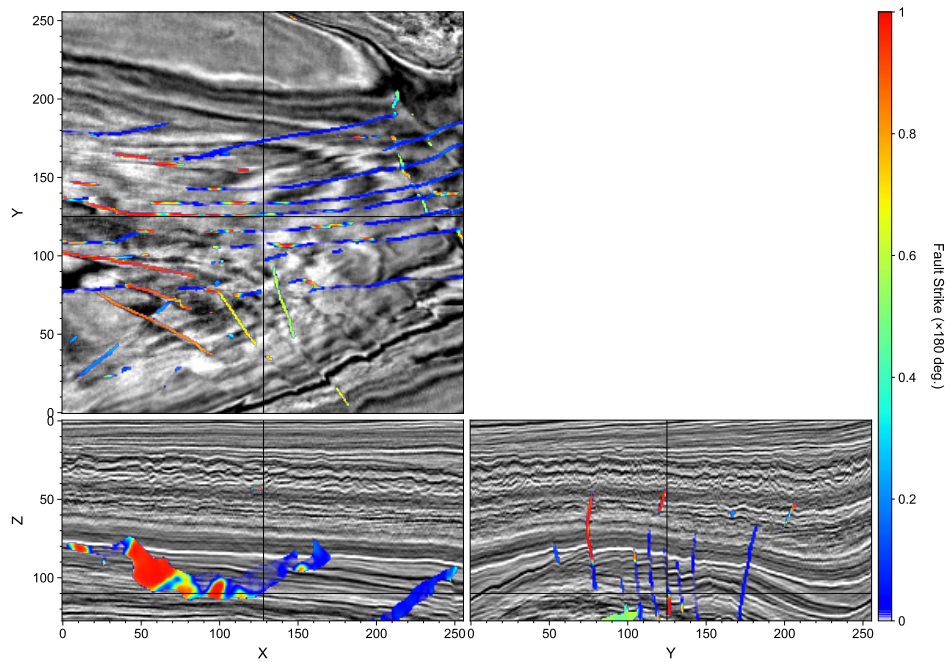
Figure 10: Fault dip inference obtained by (a) F-Net and (b) SCF-Net, both overlaying on ground-truth MEQ locations.

328 the fault dip maps output by the two models displayed in Figure 12 resembles the comparison on  
 329 fault strike maps.

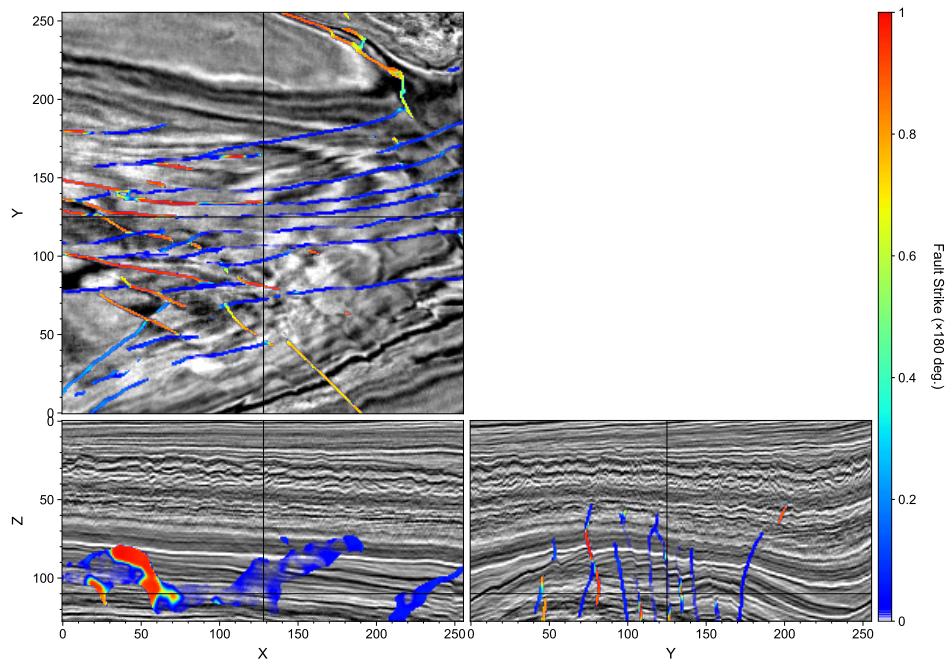
330 We overlay the inferred fault dip maps by F-Net and SCF-Net on the seismic location map in  
 331 Figures 13a and b, respectively. Compared with SCF-Net, F-Net misses two major faults that trend  
 332 approximately  $135^\circ - 305^\circ$  at  $(X, Y) = (200, 220)$  and  $(170, 25)$ , as well as several small-scale  
 333 faults that trend approximately  $30^\circ - 210^\circ$  at  $(X, Y) = (60, 25)$ . These faults only have limited  
 334 fault displacements, and therefore are challenging to be detected by F-Net based solely on the  
 335 migration image. On the top-right panels of the two figures, we display the volume rendering of the  
 336 faults inferred by the two methods along with the location of seismicity. It is evident that SCF-Net  
 337 accurately recognizes the two faults missed by F-Net, resulting in an improved spatial correlation  
 338 between the faults and the seismicity location.

## 339 4 Discussion

340 The validations based on synthetic images and field-data images demonstrated that the inte-  
 341 gration of seismicity location information with seismic migration image can notably improve the  
 342 accuracy and fidelity of ML-based fault detection and characterization. However, we must remark  
 343 that a seismic migration image and seismicity location image are not always both available for an  
 344 area. In particular, high-resolution seismic images are mostly derived based on active-source seismic

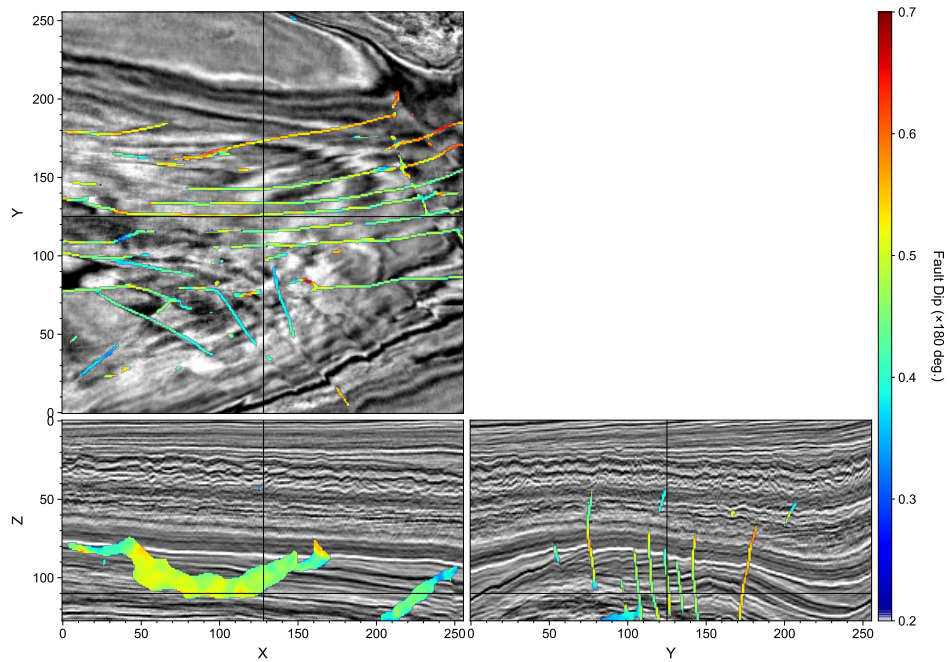


(a)

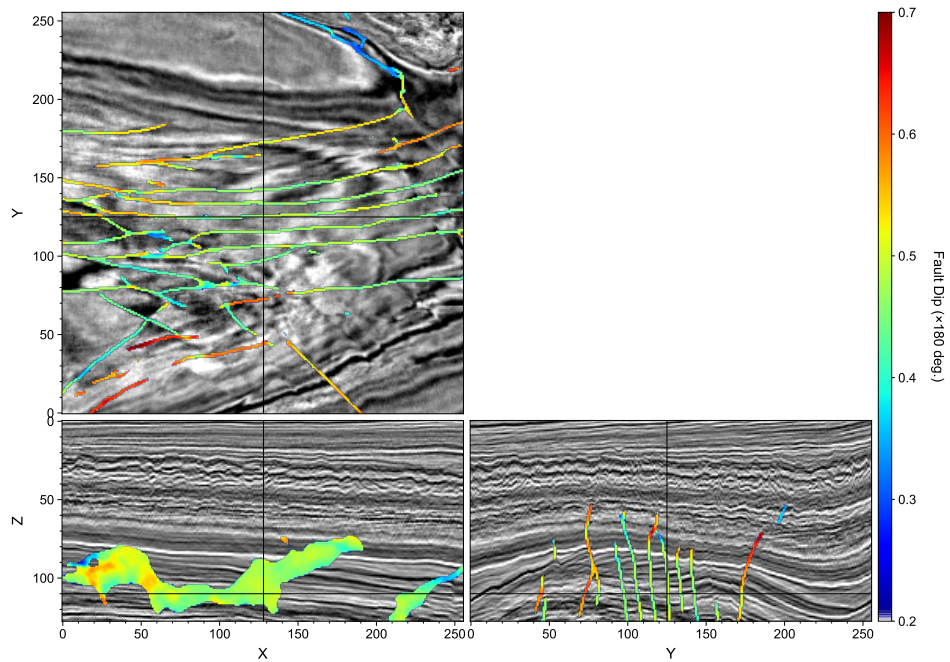


(b)

Figure 11: Fault dip inference obtained by (a) F-Net and (b) SCF-Net, both overlying on the seismic migration image.

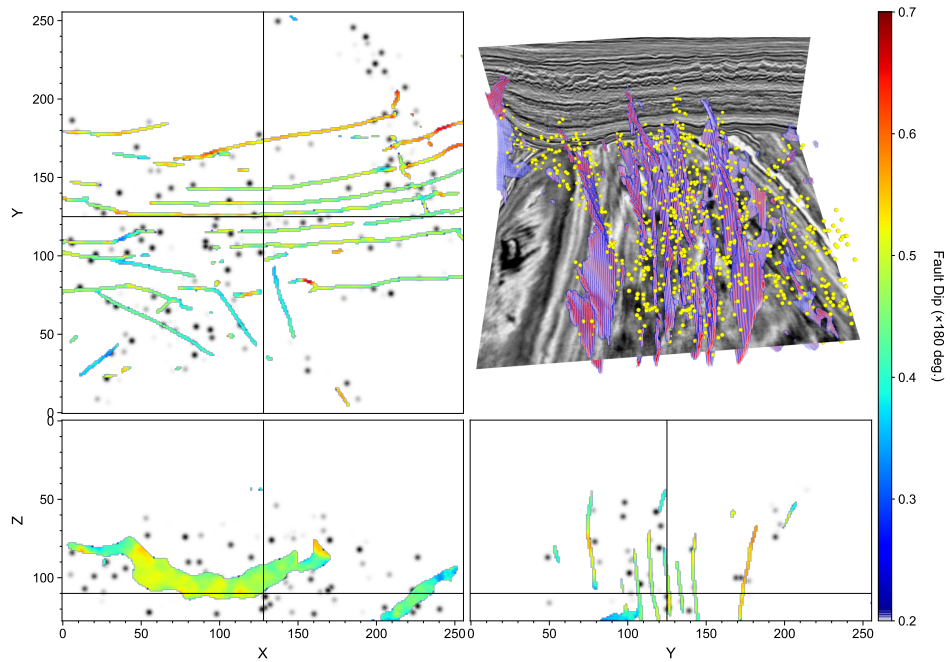


(a)

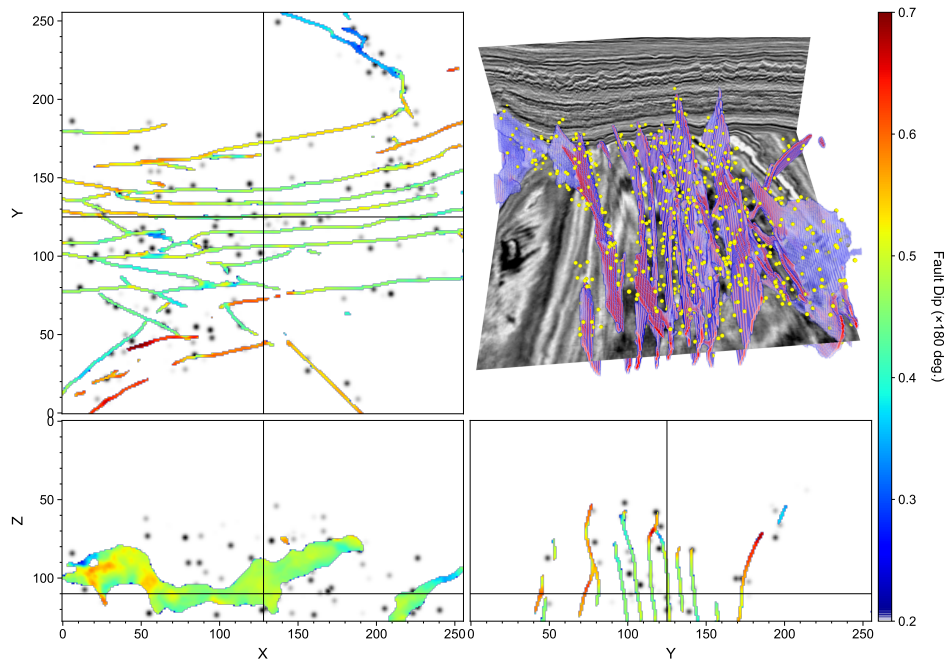


(b)

Figure 12: Fault dip inference obtained by (a) F-Net and (b) SCF-Net, both overlying on the seismic migration image.



(a)



(b)

Figure 13: Fault dip inference obtained by (a) F-Net and (b) SCF-Net, both overlying on the source image.

345 survey data, which can be expensive to acquire and process. A high-quality seismic catalog is also  
346 necessary to ensure that observed seismic events are properly located to or near their spatial location  
347 using either traveltimes or waveforms. Coherent deviation of seismicity location with respect to their  
348 true location may lead to biased fault detection results, as our ML model does not have an intrinsic  
349 mechanism to mitigate such coherent deviation (or location “noise”).

350 The architecture we adopted to build SCF-Net is one of the many possibilities to achieve the  
351 seismicity-constrained multitask fault characterization, as is in the case of image-only fault detection  
352 paradigm. Alternative ML models, including many ViT-based and CNN-based models (e.g., [Bi  
353 et al., 2021](#); [Wang et al., 2024b](#)), may possibly improve what we have achieved in this work after  
354 some appropriate adaption to include seismicity location. Meanwhile, the iterative refinement  
355 strategy ([Gao, 2024](#)) may also improve the fidelity and accuracy of SCF-Net. Exploration of these  
356 methods, however, is beyond the scope of this work.

## 357 **5 Conclusions**

358 We developed a supervised machine learning model to automatically identify and characterize  
359 geological faults based on both seismic image and seismicity location information. We encoded the  
360 image and seismicity via two independent encoders, and merged and decoded the learned feature  
361 maps from the two encoder branches using a unified decoder branch. To improve the receptive  
362 field of the neural network, we used small-scale residual U-Nets with large dilation ratios as the  
363 fundamental units in both the encoders and decoders. We designed subdecoders to simultaneously  
364 learn fault probability, fault dip, and fault strike maps, resulting in an end-to-end, multitask neural  
365 network. We detailed the methods and algorithms for generating high-quality seismic images, fault  
366 labels, and source images, and the strategy for training and validating the neural networks. Specially,  
367 we detailed the method for generating synthetic elastic images and a neural network architecture for  
368 detecting faults from a set of elastic migration images that contains PP, PS, SP, and SS reflectivity  
369 images. Using both synthetic and field seismic migration images, we demonstrated the efficacy and  
370 accuracy of the seismicity-constrained fault detection neural network. The results demonstrated that  
371 by integrating seismicity information to the neural network, we can notably improve the accuracy  
372 and fidelity of automatic end-to-end fault delineation and characterization. The method could serve  
373 as a powerful and high-fidelity tool for characterizing complex fault networks from seismic image  
374 and source location.

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## 382 Data Availability

383 The codes for implementing, training, validating, and testing the ML models developed and  
384 compared in this work are open-source available at [github.com/lanl/scf](https://github.com/lanl/scf). The codes for  
385 generating the random faulted models mentioned in this paper, including the images, the fault labels,  
386 and the random source images, are open-source at the same repository for reproducibility purpose  
387 as well. The data generation is based on our Random Geological Model package (RGM) that is  
388 open-source available at [github.com/lanl/rgm](https://github.com/lanl/rgm) and our generic Fortran library (FLIT) that is  
389 open-source available at [github.com/lanl/flit](https://github.com/lanl/flit).

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## 557 **Appendix A: Multitask fault detection and characterization on** 558 **elastic images constrained by seismicity**

559 Our SCF-Net also applies to elastic migration images and seismicity map. In practice, elastic  
560 migration generates a set of elastic reflectivity images rather than merely a PP image (Chen and  
561 Huang, 2015; Chi et al., 2021). Therefore, for elastic SCF-Net, the input seismic image contains  
562 four images: PP, PS, SP, and SS images. Depending on the type of the source in elastic migration  
563 (explosion, vertical force vector, shear, and so on), not all the four elastic images are available or of  
564 the same quality. Here, we demonstrate the efficacy of our SCF-Net using seismicity location map  
565 and all the four elastic images, but elastic SCF-Net can be straightforwardly modified to use some  
566 of the elastic images (e.g., PP and PS images, or SP and SS images).

567 Although for the acoustic imaging scenario we can create a synthetic migration image by  
568 convolving a random, sparse, delta-width reflectivity image with a band-limited source wavelet,  
569 for elastic scenario, the generation of images becomes much more complex because of several  
570 factors. Firstly, different from acoustic reflectivity, elastic reflectivity contains PP, PS, SP, and SS  
571 reflectivity. The signs and magnitude of the four quantities for a same reflector are essentially  
572 different. Secondly, in elastic migration, the resolution of the PP, PS, SP, SS images are different.  
573 For some source wavelet, the resolution  $\gamma$  of the images generally follows  $\gamma_{pp} < \gamma_{ps} = \gamma_{sp} < \gamma_{ss}$ .  
574 This is because the spatial wavelength of S-wave is higher than that of the P-wave. If one generates  
575 elastic images without considering these two factors, the fidelity of the generated elastic images  
576 can be low and generally cannot resemble the amplitude (reflectivity) and resolution (wavelength)  
577 characteristics of realistic elastic migration.

578 To improve the fidelity of synthetic elastic images, we use theoretical elastic reflection coeffi-  
579 cients in the generation procedure. For an interface that separates two elastic media  $(\alpha_1, \beta_1, \rho_1)$  and

580  $(\alpha_2, \beta_2, \rho_2)$ , the theoretical elastic reflection coefficients read (Aki and Richards, 2002):

$$R_{pp} = \left[ \left( b \frac{\cos i_1}{\alpha_1} - c \frac{\cos i_2}{\alpha_2} \right) F - \left( a + d \frac{\cos i_1}{\alpha_1} \frac{\cos j_2}{\beta_2} \right) H p^2 \right] D^{-1}, \quad (15)$$

$$R_{ps} = -2 \frac{\cos i_1}{\alpha_1} \left( ab + cd \frac{\cos i_2}{\alpha_2} \frac{\cos j_2}{\beta_2} \right) p \alpha_1 (\beta_1 D)^{-1}, \quad (16)$$

$$R_{sp} = -2 \frac{\cos j_1}{\beta_1} \left( ab + cd \frac{\cos i_2}{\alpha_2} \frac{\cos j_2}{\beta_2} \right) p \beta_1 (\alpha_1 D)^{-1}, \quad (17)$$

$$R_{ss} = \left[ \left( b \frac{\cos j_1}{\beta_1} - c \frac{\cos j_2}{\beta_2} \right) E - \left( a + d \frac{\cos i_2}{\alpha_2} \frac{\cos j_1}{\beta_1} \right) G p^2 \right] D^{-1}, \quad (18)$$

581 where

$$E = b \frac{\cos i_1}{\alpha_1} + c \frac{\cos i_2}{\alpha_2}, \quad (19)$$

$$F = b \frac{\cos j_1}{\beta_1} + c \frac{\cos j_2}{\beta_2}, \quad (20)$$

$$G = a - d \frac{\cos i_1}{\alpha_1} \frac{\cos j_2}{\beta_2}, \quad (21)$$

$$H = a - d \frac{\cos i_2}{\alpha_2} \frac{\cos j_1}{\beta_1}, \quad (22)$$

$$D = EF + GH p^2, \quad (23)$$

$$a = \rho_2(1 - 2\beta_2^2 p^2) - \rho_1(1 - 2\beta_1^2 p^2), \quad (24)$$

$$b = \rho_2(1 - 2\beta_2^2 p^2) + 2\rho_1\beta_1^2 p^2, \quad (25)$$

$$c = \rho_1(1 - 2\beta_1^2 p^2) + 2\rho_2\beta_2^2 p^2, \quad (26)$$

$$d = 2(\rho_2\beta_2^2 - \rho_1\beta_1^2), \quad (27)$$

582 and

$$p = \frac{\sin i_1}{\alpha_1} = \frac{\sin i_2}{\alpha_2} = \frac{\sin j_1}{\beta_1} = \frac{\sin j_2}{\beta_2} \quad (28)$$

583 is the ray parameter. For an incidence angle  $i_1$ , the other three incidence or transmission angles ( $j_1$ ,  
584  $i_2$  and  $j_2$ ) could be computed using the ray parameter equation straightforwardly.

585 Reflection coefficients are dependent on ray parameter (or equivalently, incident angle  $i_1$  and  
586  $j_1$ ). Hence, in a rigorous setting, seismic migration images are angle-dependent prior to stack. In  
587 this work, we focus on inferring fault attributes from poststack seismic images. It is not difficult to  
588 compute and know that for an incident P-wave,  $R_{ps} = 0$  when  $i_1 = 0$ . Similarly, for an incident S-  
589 wave,  $R_{sp} = 0$  when  $j_1 = 0$ . Therefore, to properly synthesize elastic migration images and avoid  
590 annihilating elastic reflection coefficients, we sum the elastic coefficients from  $i_1 \in [0^\circ, 15^\circ]$  with  
591 an interval of  $3^\circ$ , and use the averaged summation as the effective elastic reflection coefficients. The

<b>Metrics</b>	<b>2D</b>	<b>3D</b>
Fault Probability Loss	0.116	0.131
Fault Dip Loss	0.0705	0.0919
Fault Strike Loss	N/A	0.0959
Total Loss	0.186	0.319
Precision	0.889	0.866
Accuracy	0.986	0.983
Recall	0.88	0.872
SSIM	0.936	0.921

Table 2: Metrics of SCF-Net trained on seismicity and elastic migration images. All the metrics are associated with the validation dataset.

592 strategy is not necessarily the optimal approach to approximating the true amplitude characteristics  
593 of realistic elastic migration images, but can serve as a reasonable approach to mimicking the relative  
594 amplitude characteristics of elastic migration images, which is sufficient for a neural network to  
595 learn and infer faults.

596 Based on the metrics displayed in Table 2, we find that SCF-Net trained on elastic images does  
597 not essentially differ from SCF-Net trained on acoustic images in terms of loss, precision, accuracy,  
598 recall score, or SSIM, although subtle differences exist. Nevertheless, elastic SCF-Net provides a  
599 first-of-its-kind systematic approach to detecting and characterizing geological faults directly on  
600 elastic migration images that include PP, PS, SP, and SS images. Our future work may focus on  
601 improving its performance by designing more flexible architecture that can more effectively exploit  
602 information embedded in elastic images.

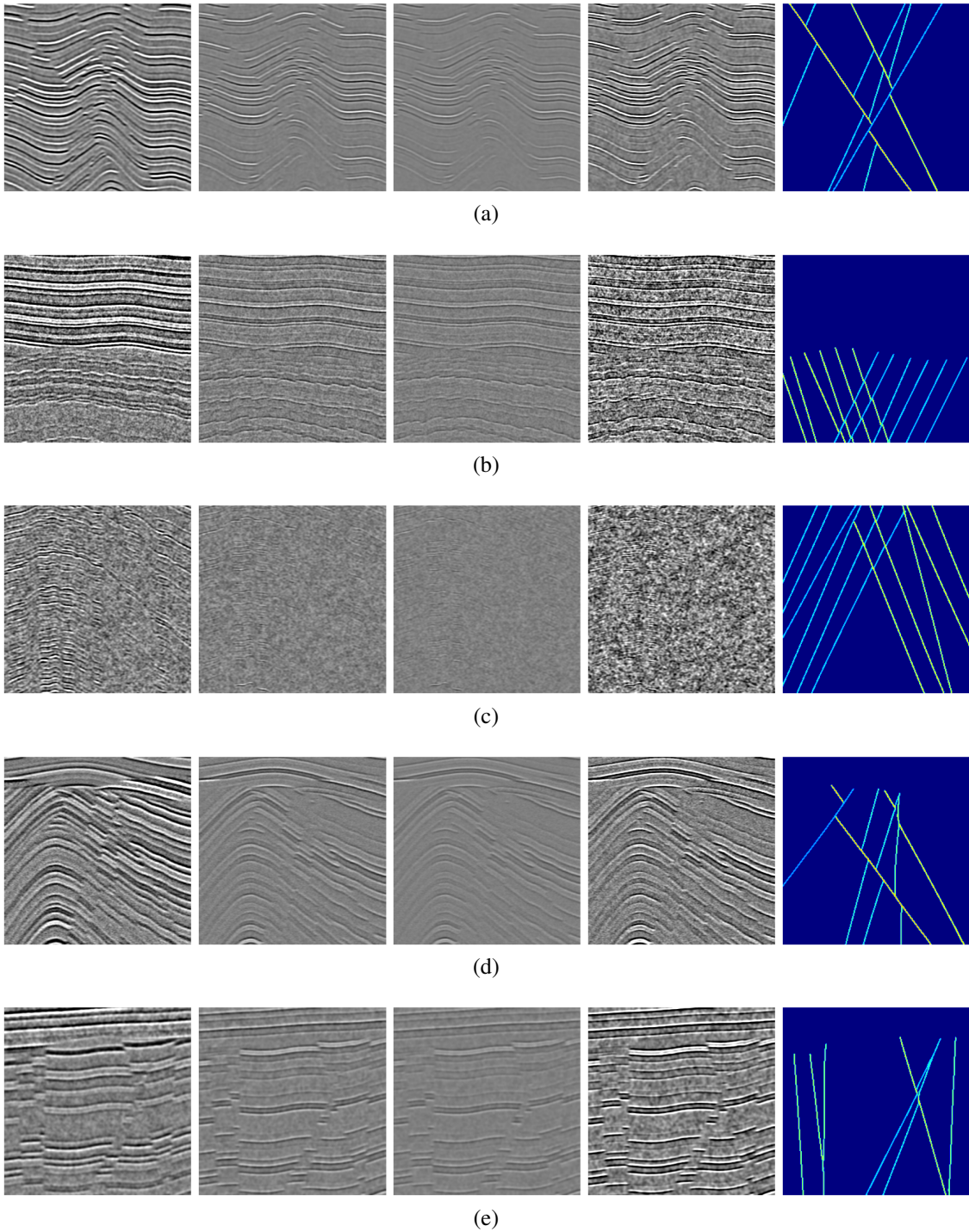


Figure 14: Five examples for validating 2D SCF-Net on elastic images. The first four columns represent PP, PS, SP, and SS images, respectively. The fifth column displays the fault dip images inferred by elastic SCF-Net.



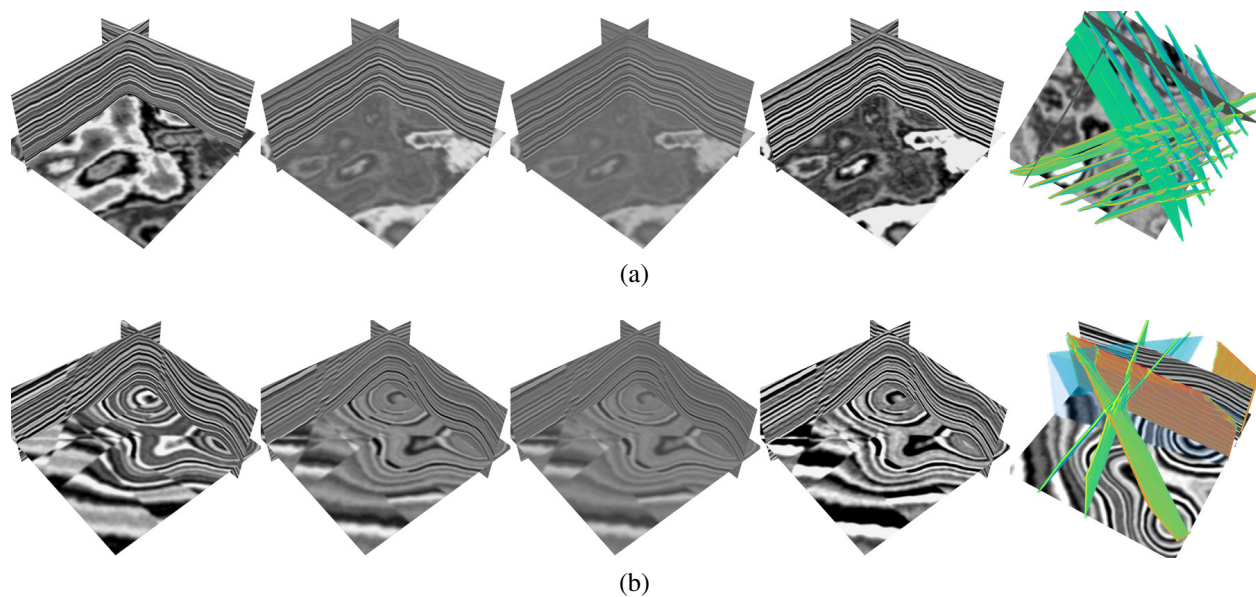


Figure 15: Two examples for validating 3D SCF-Net on elastic images. The first four columns represent PP, PS, SP, and SS images, respectively. The fifth column displays the the fault strike images inferred by elastic SCF-Net.