

1 **Effect of organic coatings on optical properties of black carbon** 2 **aerosol: Insights from Mie theory based model simulations**

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9 **Abstract**

10 Black carbon (BC), play a crucial role in climate change due to their significant impact on
11 radiative forcing. This study investigates the influence of organic carbon (OC) coatings on the
12 optical properties of BC aerosols using Mie theory-based calculations. We have examined a
13 range of core diameters and coating thicknesses to assess changes in both absorption and
14 scattering cross-sections. The results reveal that coatings consistently enhance both absorption
15 and scattering properties, with the enhancement in scattering being higher in magnitude than
16 enhancement in absorption. The study compares the optical properties of coated BC with that
17 of OC particle showing that as the coating thickness increases, the optical behavior of the
18 coated BC particle converges towards that of OC. The calculations for simple radiative forcing
19 efficiency showed that as the coating on BC increases, its forcing efficiency decreases,
20 implying that heavily organic coated BC may have a reduced radiative impact in the
21 atmosphere.

22 **Keywords:** black carbon, organic carbon, enhancement ratio, radiative forcing, mie theory

23 **1. Introduction**

24 Aerosol plays a significant role in atmospheric radiative forcing, but at the same time, it is
25 marked by large uncertainty in its estimates [1]. Though major aerosol types have a negative
26 contribution, BC, due to its strong absorptive properties, contributes positively to radiative
27 forcing. It is among the top anthropogenic contributor, along with CO₂ and methane, to climate
28 forcing [1,2]. BC radiative forcing from fossil fuels and biomass burning has been estimated
29 to be approximately +0.4 W m⁻² [2]. However, sensitivity tests suggest that the mixing state
30 and morphology of BC aerosols can significantly affect the absorption of BC and thus its
31 radiative forcing potential [3,4,5]. OC, the other type of carbonaceous aerosol, is predominantly
32 scattering in nature with negligible absorption. Thus, it is estimated to contribute negatively to
33 net aerosol radiative forcing [6].

34 In the atmosphere, BC is typically co-emitted with OC during combustion, which leads to
35 external and internal mixing states. In internal mixing, the OC vapors can get condensed on the
36 BC substrate, leading to the complete or partial encapsulation of BC. In external mixing, BC
37 and OC remain separated but get mixed externally. The evaluation of BC optical properties

38 remains restricted due to the significant uncertainties associated with BC morphologies and
39 mixing states [5,7,8]. The internal mixing state of BC has been extensively investigated for its
40 light absorption properties [9,10]. Theoretical calculations using the Mie core-shell model have
41 shown that when BC is coated with OC, then the shell acts as a lens to increase the overall
42 absorption properties of BC. This is termed the lensing effect [11]. Experimentally, thermal
43 heating methods have been used to study this absorption enhancement [12]. Heating the aerosol
44 at preset temperature leads to the removal of coatings from BC substrate. The ratio of
45 absorption coefficients measured before and after removal of organic coatings provides the
46 absorption enhancement ratio (E_{abs}). Both laboratory experiments and field measurements
47 show increased absorption due to coatings on BC, leading to E_{abs} greater than 1 [12,13,14,15]
48 However, the coatings on BC, along with its absorption, are expected to alter its scattering
49 properties as well. The changes in scattering properties due to coating are comparatively less
50 explored. Few laboratory measurements have reported enhancement in BC's light scattering
51 upon coating [16,17,18]. Similar to case of absorption enhancement, the enhancement in light
52 scattering can be expressed in the form of scattering enhancement ratio (E_{sca}). In terms of
53 absolute magnitude, E_{sca} observed in these experimental studies was higher than E_{abs} . In order
54 to estimate radiative forcing potential, along with absorption, scattering as well plays an equally
55 important role. Climate models, which include enhancement in BC absorption due to its mixing
56 state, often assume an E_{abs} value of $\sim 1.5 - 2$ for its radiative forcing estimation [10,19].
57 However, the changes in the scattering properties of coated BC particles and their potential
58 effect on radiative forcing capabilities remain contentious and unaddressed.

59 In this study, we have performed Mie theory calculations on the core-shell model to estimate
60 changes in both absorption and scattering properties of coated BC spheres. The study focuses
61 on the implications of OC coating on the overall optical properties of BC, providing insights
62 into how these changes may affect radiative forcing potential. By examining both absorption
63 and scattering enhancements, could help in improving the accuracy of radiative forcing
64 estimates and contribute to a better understanding of BC's role in climate change.

65 2. Methods

66 2.1. Model configurations

67 The spherical core shell model was investigated using Mie theory based PyMieScatt
68 computational package [20]. The package implements Mie theory solutions for scattering and
69 absorption of light by spherical particles. For our simulation study, we have considered
70 internally mixed aerosol comprising of BC as core and OC as shell. The core shell configuration
71 is plausible, as it could be produced by organic species condensing on the insoluble BC core
72 once emitted during combustion or at a later stage during aging. Many of the previous studies
73 have utilized the core shell model to investigate the interaction of light with BC aerosol. In this
74 study, the optical cross-sections for different combinations of core and shell thickness were
75 calculated. The core diameter was varied from 10 nm to 500 nm, and the shell thickness varied
76 from 10 nm to 1000 nm. The wide range of core and shell thicknesses considered here is
77 expected to include most of the observable size range of BC in the atmospheric aerosol [10]
78 The parameters considered for the simulation are compiled in Table 1. The study primarily
79 discusses the optical properties of the coated BC at 550 nm, which is near the center of the
80 visible light solar spectrum. Most of the optical measurements of aerosols are reported at 550
81 nm, so it makes simulation findings relevant and easily comparable with a large body of
82 existing literature. However, the calculations were also performed for other wavelengths at 350

83 nm, 450 nm, and 650 nm, and compiled as supplementary information. The refractive index
84 for BC and OC at 550 nm was taken as $1.95 + 0.79i$ and $1.65 + 0.08425i$ respectively [21,22].
85 Here the optical properties of OC is expected to represent mixture of brown carbon and purely
86 scattering organic part [22]

87 2.2. Enhancement calculations

88 Based on the calculated absorption cross-section, the E_{abs} for a given BC core was estimated
89 using equation 1.

$$90 E_{abs} = \frac{C_{abs_coated}}{C_{abs_uncoated}} \quad \text{eq. 1}$$

91 Here, C_{abs_coated} is the absorption cross-section of coated BC sphere and $C_{abs_uncoated}$ is the
92 absorption cross-section of uncoated BC sphere with core diameter remaining unchanged.

93 On similar lines the enhancement in scattering due to organic coatings was estimated in terms
94 of E_{sca} using equation 2.

$$95 E_{sca} = \frac{C_{sca_coated}}{C_{sca_uncoated}} \quad \text{eq. 2}$$

96 Here, C_{abs_coated} is the scattering cross-section of coated BC sphere and $C_{abs_uncoated}$ is the
97 scattering cross-section of uncoated BC sphere with core diameter remaining unchanged.

98 It's important to note here that we are comparing coated BC with the uncoated BC for the same
99 core size. However, in order to compare the effect of coating on the standalone optical
100 properties of BC, it is pertinent to remove the contribution of the shell to absorption and
101 scattering. Some studies have calculated shell contribution to absorption using linear algebraic
102 methods [15,23,24]. However, they are approximations and do not assign an accurate
103 contribution for the shell contribution to absorption. In the case of shell contribution to
104 scattering properties, it's even trickier due to the stronger dependence of scattering properties
105 on size as compared to absorption [25]. In order to accurately assess the standalone optical
106 properties of BC, it is crucial to conduct further research and develop more precise methods
107 for determining the shell contribution to both absorption and scattering. Nevertheless, for our
108 case, we are going to compare the consolidated properties of coated and uncoated BC particles.
109 This research will help us better understand the optical behavior of coated BC particles. Further
110 in this study, the simulation is extended to compare coated BC with organic aerosol for
111 different size configurations.

112 2.3 Radiative forcing implications

113 The effect of change in scattering potential due to coatings on BC aerosol can significantly
114 impact its radiative forcing potential. The simple forcing efficiency (SFE) equation as stated in
115 equation 3, proposed by Bond and Bergstrom (2006) provides a way to estimate the sensitivity
116 of different model inputs [21]

$$117 SFE = -\frac{1}{4} F_T \tau^2 (1 - A_c) [2(1 - R_s)^2 \beta \cdot MSC - 4R_s \cdot MAC] \quad \text{eq. 3}$$

118 Here, F_T is the solar irradiance, τ is the atmospheric transmission, A_c is the cloud fraction, R_s
119 is the surface albedo which will depend on the location of the aerosols, β is the backscatter
120 fraction estimated using Mie calculation, and MSC and MAC are the mass scattering and mass

121 absorption cross sections per gram, respectively. MSC and MAC can be estimated from the
 122 scattering and absorption cross-sections by normalizing them by their mass. The mass of coated
 123 and uncoated BC particle was estimated using the density provided in Table 1. The SFE
 124 equation can be framed to include wavelength dependence as stated in equation 4.

$$125 \frac{d(SFE)}{d\lambda} = -\frac{1}{4} \frac{dF_T(\lambda)}{d\lambda} \tau^2(\lambda)(1 - A_c)[2(1 - R_s)^2\beta(\lambda).MSC(\lambda) - 4R_s.MAC(\lambda)] \quad \text{eq. 4}$$

126 The above equation is used to investigate the effects of changes in absorption and scattering
 127 properties due to coatings on BC sphere at 550 nm. Here, $\frac{dF_T(\lambda)}{d\lambda}$ was obtained from the ASTM
 128 G173-03 Reference Spectra. The cloud fraction A_c was taken as 0.6 and surface albedo R_s was
 129 taken as 0.19 [22]. The results of this study will provide valuable insights into the impact of
 130 BC coatings on radiative forcing potential, helping to improve climate models and predictions.

131 Table 1 List of parameters used for Mie theory calculations in this study

Parameters	Value	Reference
Core diameter range	10 nm to 250 nm	-
Shell thickness range	10 nm to 1000 nm	-
Wavelength	550 nm	-
Refractive Index (550 nm)		-
BC	1.95 + 0.79 i	Bond and Bergstrom, 2006 [21]
OC	1.65 + 0.08425 i	Rathod et al., 2017 [22]
Density		
BC	1.8 g cm ⁻³	Bond et al., 2006 [10]
OC	1.1 g cm ⁻³	Schkolnik et al., 2007 [26]

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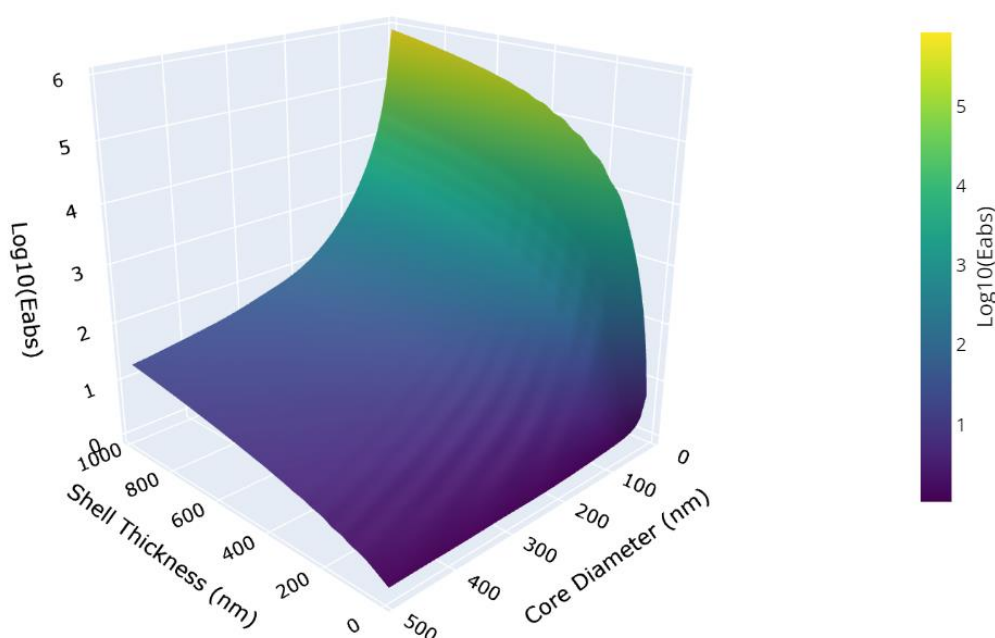
133 3. Results and discussion

134 3.1 Enhancement in absorption and scattering cross-section

135 The optical cross-sections calculated using Mie theory were used to estimate E_{abs} and E_{sca}
 136 values using equation 1 and equation 2, respectively. The E_{abs} was consistently greater than 1
 137 for all non-zero coating thicknesses examined in this study, a result that aligns with numerous
 138 prior experimental and theoretical studies [3,4,12,13,24] The variation in E_{abs} for various
 139 combinations of core and coated thickness is shown in Figure 2. The increase in absorption
 140 cross-section for coated BC spheres is due to the lensing effect [23]. For a given core size, E_{abs}
 141 increased with the increase in coat thickness. The smallest core size and largest coating
 142 thickness configuration exhibited the highest E_{abs} . This suggests that the thickness of the
 143 coating on the BC sphere plays a significant role in enhancing absorption. Similar patterns in
 144 absorption enhancement dependence on coat thickness were predicted by Bond et al. (2006)
 145 using the Mie theory for the coated BC particles with the lognormal size distribution [10]. The
 146 absolute magnitude of the E_{abs} obtained in this study is very high compared to those reported
 147 from experimental observations. The values obtained in this study are theoretical estimations
 148 for change in absorption cross-section due to coating for a single sphere. However, for an
 149 experimental setup in real scenarios, the values of E_{abs} represent an ensemble of coated particles
 150 with complex morphologies. For more accurate resemblance to a real-world scenario, advanced
 151 simulation methods need to be explored, which is beyond the scope of the present investigation.

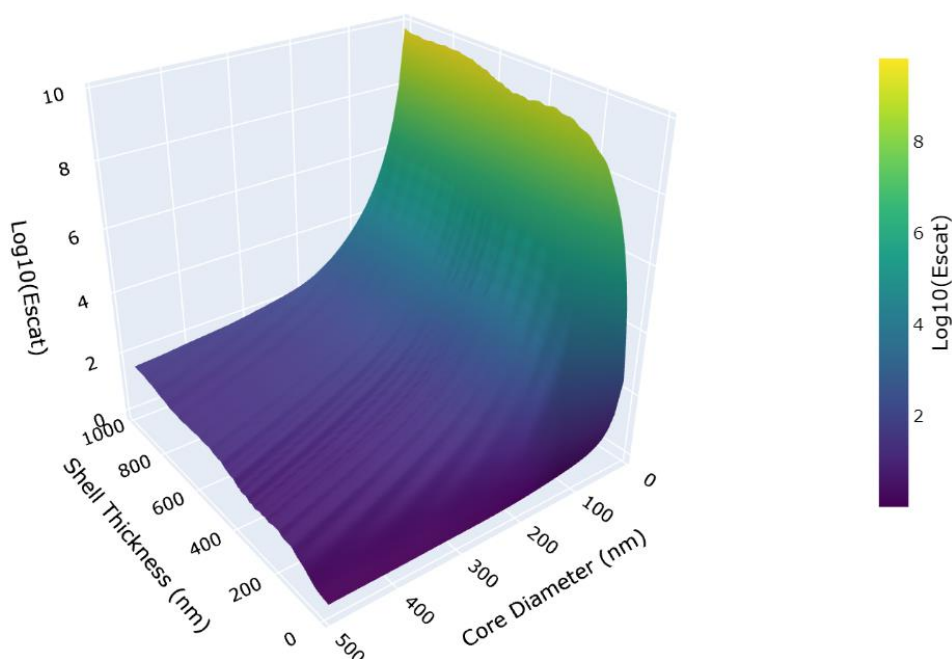
152 However, the core shell model with Mie theory solutions is widely employed and it does
153 provide valuable insights that can suggest accurate further studies.

154 Similar to absorption cross-section, the scattering cross-section of coated BC was also found
155 to be higher than the uncoated BC sphere. The increase in scattering cross-section is due to an
156 increase in physical dimension caused by organic coating which is predominantly scattering in
157 nature. The increase in scattering cross-section with coating thickness indicates that the
158 presence of a coating can enhance the scattering of radiation by BC particles in the atmosphere.
159 The net effect was the E_{sca} being greater than 1 for non zero coating thickness. The data
160 presented in Figure 3 illustrates the relationship between core and coating thickness on the E_{sca} .
161 The variation pattern of E_{sca} w.r.t to core diameter and coat thickness is similar to that observed
162 for E_{abs} . The E_{sca} typically increased with an increase in coat thickness for any given core size.
163 Small core size and large coating thickness exhibited the highest E_{sca} . The E_{sca} was equal to 1
164 for no coating configuration across all the core sizes as expected. Compared to E_{abs} , the
165 magnitude of E_{sca} was higher. Figure 3 illustrates how the ratio of E_{sca} to E_{abs} changes with core
166 size and coating thickness. For most configurations of core size and coating thickness, this ratio
167 was typically greater than 1. However, in cases of high core size combined with very low
168 coating thickness, the enhancements in absorption and scattering were comparable and close
169 to 1. This suggests that the organic coating on BC has a more pronounced effect on its scattering
170 properties than on its absorption properties, a finding that is supported by previous
171 experimental studies [16,17,18]. It highlights the critical role of coating thickness in
172 modulating the scattering properties of BC aerosols. The overall change in absorption and
173 scattering cross-section due to coatings provides valuable insights for understanding the optical
174 behavior of coated BC particles in the atmosphere.



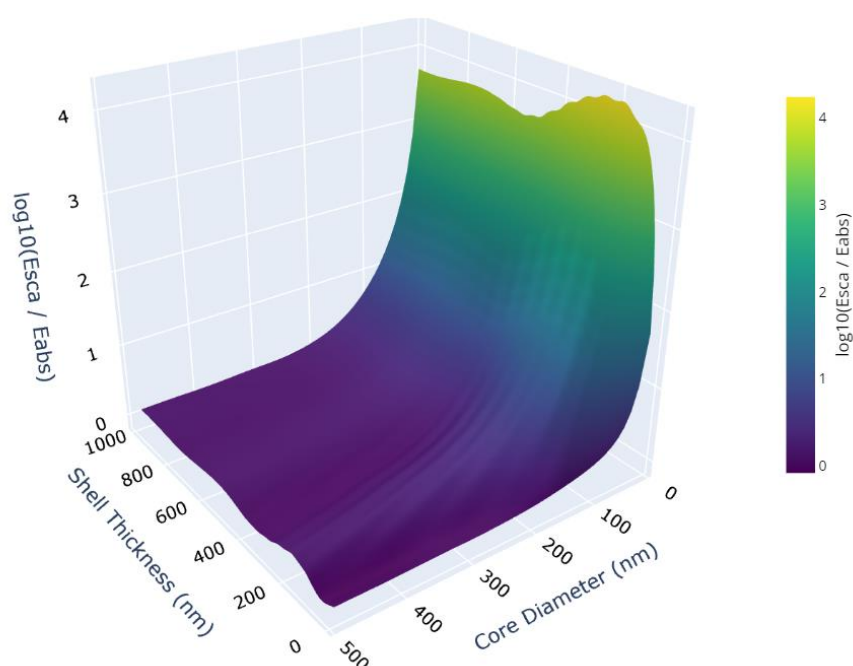
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176 Figure 1 Enhancement in absorption cross-section due to organic coating on black carbon core



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178 Figure 2 Enhancement in scattering cross-section due to organic coating on black carbon core



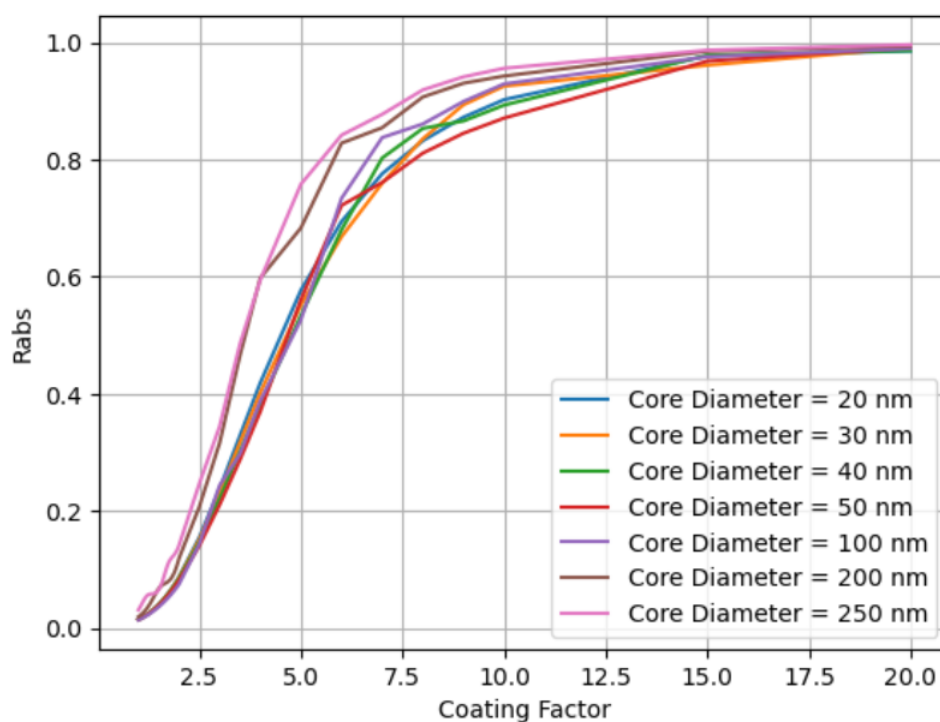
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180 Figure 3 Comparison of enhancement in scattering and absorption cross-section due to organic
181 coating on black carbon core

182 3.2 Comparison of coated BC sphere with OC sphere

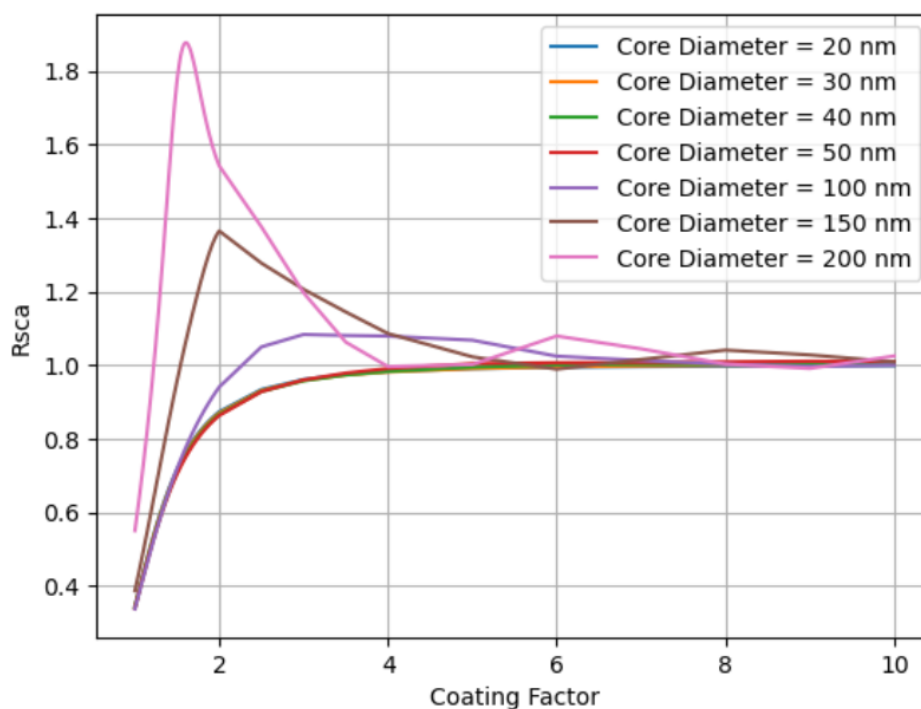
183 In order to gain further insights into the optical properties of coated BC, its optical properties
184 were compared with OC spheres having the same physical dimension. For this comparison, the
185 ratio (R_{abs}) of absorption cross-section of the coated BC and that of OC with the same diameter
186 was considered. Similarly, the ratio (R_{sca}) of scattering cross-section of the coated BC and that

187 of pure OC with the same diameter was estimated. Coating thickness can be expressed in terms
188 of coating factor (CF), which can be defined as the ratio of the diameter of the coated sphere
189 to the uncoated sphere. Figure 4, shows the changes in R_{abs} with CF for different core BC
190 diameters. It can be seen that as the coating thickness is increased, the R_{abs} approaches 1,
191 irrespective of the core diameter. Figure 5, shows the changes in R_{sca} with CF for different core BC
192 BC diameters. Similar to R_{abs} , R_{sca} also approaches 1 with the increase in CF. The results show
193 that for a given BC core diameter, as the OC coating increases, the optical properties inclusive
194 of absorption and scattering part for the overall particle converge to those of the OC particle
195 having the same dimension. It implies that the core BC gradually loses its optical significance
196 as the OC coating increases. As seen from Figure 4, when the CF increases above 15, the
197 absorption properties of coated BC and pure OC are almost alike. Similarly, the difference in
198 scattering properties of coated BC and pure OC becomes insignificant when CF increases
199 above 4. In real scenarios, such high coating factors could be plausible for very small BC core
200 sizes. These findings suggest that as the OC coating on BC thickens, the optical properties of
201 the two materials become more similar. Thereby, reducing the relative contribution of BC to
202 overall absorption and scattering of the coated particle. Thus in practical applications where
203 very thick organic coatings are observed, the optical significance of the core BC may become
204 insignificant to a large extent.



205

206 Figure 4 Effect of coating factor on ratio (R_{abs}) of absorption crosssection of organic carbon and
207 coated black carbon

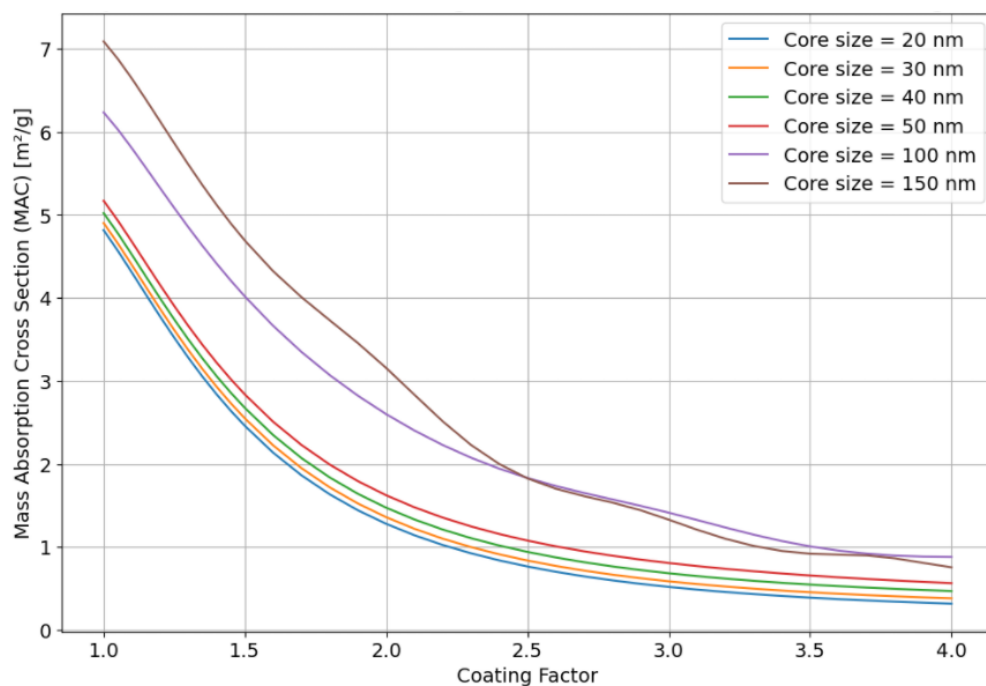


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209 Figure 5 Effect of coating factor on ratio (R_{sca}) of scattering crosssection of organic carbon and
 210 coated black carbon

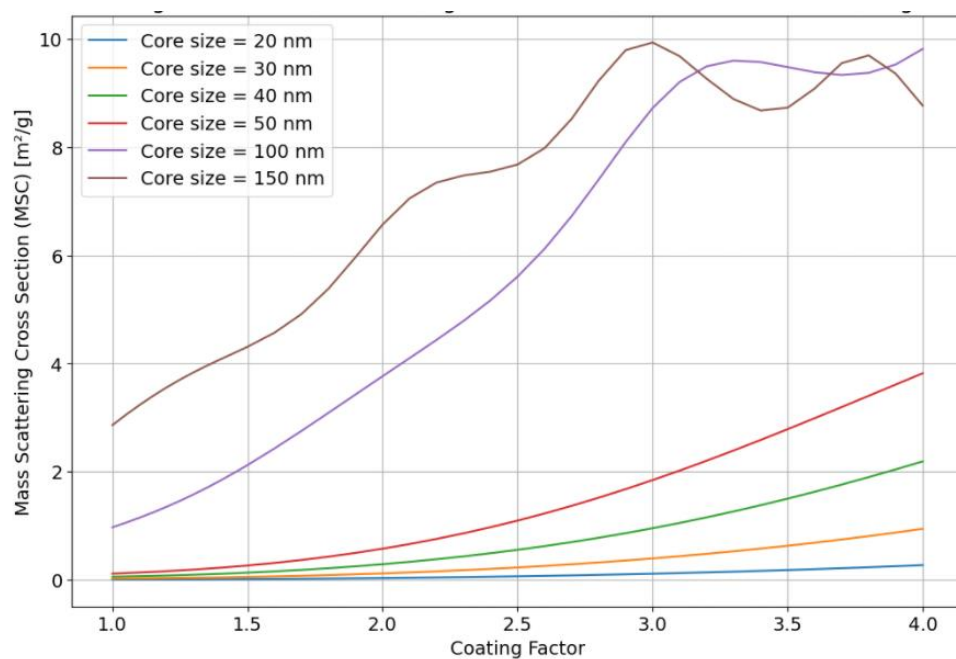
211 3.3 Implications on radiative forcing potential

212 The SFE at 550 nm was estimated for coated BC spheres for various configuration of core and
 213 coat thicknesses using equation 4. MAC and MSC required for the calculation of SFE were
 214 estimated using Mie calculations by normalizing the optical cross-section by their respective
 215 masses. Figures 6 and 7 illustrate the variations in MAC and MSC, respectively, as a function
 216 of the coating factor. MAC value for uncoated BC sphere ($CF = 1$) was found to be vary from
 217 4.8 to 7.1 $m^2 g^{-1}$ depending on the BC diameter. This range aligns with the MAC values
 218 reported for BC calculated using Mie theory [21,27,28]. MAC for uncoated BC obtained in this
 219 study was found to be increasing with the diameter. The trend is consistent with existing
 220 literature, as MAC is expected to increase with diameter up to certain diameter before
 221 decreasing in magnitude.^{28,29} With respect to coating factor, MAC for the coated BC sphere
 222 was found to decrease with increase in organic coating thickness as shown in Figure 6.
 223 Conversely, it was found that MSC for the coated BC sphere increased with thicker coatings
 224 as seen in Figure 7. This behaviour can be attributed to the altered optical properties resulting
 225 from the introduction of organic coatings, which modifies the overall composition of the
 226 particle.



227

228 Figure 6 Variation in mass absorption cross-section with changes in coating factor for given
229 black carbon core diameters

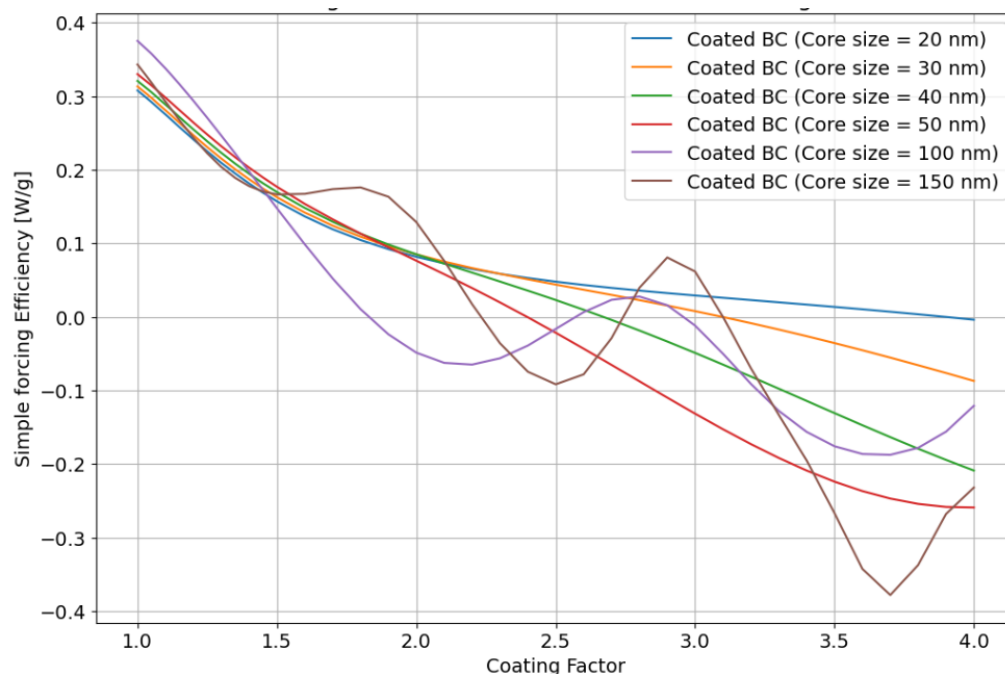


230

231 Figure 7 Variation in mass scattering cross-section with changes in coating factor for given
232 black carbon core diameters

233 The variation of SFE with coating factor for different BC core diameters is shown in Figure 8.
234 It can be seen that as the thickness of the OC coating on BC increased, the SFE of the coated
235 BC sphere decreased irrespective of core diameter. The resultant decrease in SFE is due to an
236 increase in overall scattering cross-section caused by organic coating, as discussed in section
237 3.1. For the present model calculation, the SFE turns from positive to negative for CF of around
238 3 and above. This suggests that in real-world scenarios where BC particles are coated with
239 thick organic material, their impact on radiative forcing may be less significant than previously

240 thought. The net effect of the organic coating is to reduce the radiative forcing potential of the
241 coated BC particle. Neglecting enhancement in scattering properties due to organic coatings
242 can potentially lead to overestimation of radiative forcing capacity of coated BC. These
243 findings have important implications for climate models and the understanding of aerosol
244 effects on Earth's energy balance.



245
246 Figure 8 Variation in simple forcing efficiency with changes in coating factor for given black
247 carbon core diameters

248 4. Conclusion

249 The study demonstrates the significant influence of coating thickness and core size on the
250 optical properties of BC aerosols. The results reveal that both the absorption and scattering
251 cross-sections of coated BC particles are enhanced compared to uncoated BC, primarily due to
252 the lensing effect and the increased physical dimension due the coating. The enhancement in
253 scattering was more pronounced compared to absorption, highlighting the critical role of
254 coating thickness in modulating the scattering properties of BC aerosols. The study finds that
255 thick organic coatings can reduce the optical significance of BC core lowering its relative
256 contribution to overall absorption and scattering. The SFE of coated BC was found to decrease
257 with increasing coating thickness, suggesting less pronounced impact on radiative forcing in
258 real-world scenarios where BC particles are usually coated with organic material. Overall,
259 these insights emphasize the need for careful consideration of coating effects on the optical
260 properties of BC for assessment of its impact on radiative forcing.

261 Declaration of Generative AI and AI-assisted technologies in the writing process

262 During the preparation of this work the authors used <https://chatgpt.com/> in order to improve
263 readability and language of the manuscript. After using this tool/service, the authors reviewed
264 and edited the content as needed and takes full responsibility for the content of the publication.

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