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The link between water and ferric iron in Earth's lower mantle

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16 Abstract

As the most massive geochemical reservoir, the lower mantle affects Earth's budget of volatile 17 elements, including hydrogen or H₂O. The properties of minerals in Earth's lower mantle are 18 further affected by changes in the electronic configurations of iron cations, i.e., spin transitions. 19 Potential feedback between deep H₂O retention and spin transitions in mantle minerals, 20 however, remain unexplored. We constrained the excess energy arising from the spin transition 21 of ferric iron in high-pressure oxyhydroxide phases by a combination of high-pressure 22 experiments. Our results show that the spin transition of ferric iron may stabilize oxyhydroxide 23 phases in the lower mantle even at low H₂O concentrations. In addition, the spin transition 24 expands the thermal stability of high-pressure oxyhydroxides and creates a geochemical link 25

between H₂O and ferric iron in Earth's lower mantle that might be sampled by rising plumes
 and reflected in related magmas produced at the surface.

28

29 Introduction

Basaltic magmas erupted at deep-rooted volcanic hotspots often contain more H₂O than 30 magmas along mid-ocean ridges (1, 2). In some locations, elevated magmatic H₂O 31 concentrations are further associated with high Fe³⁺/ Σ Fe ratios (3, 4). These observations are 32 commonly attributed to geochemical reservoirs that reside in Earth's lower mantle and are 33 being sampled by rising plumes. In Earth's upper mantle, the availability and hence the 34 thermodynamic activity of H₂O is controlled by the stability of minerals along geothermal 35 gradients of different tectonic settings (5-7). Following subduction, hydrated rocks may sink 36 deeper into the mantle and transport H₂O into the mantle transition zone and the uppermost 37 lower mantle (8-10) where hydrated minerals of the transition zone are being replaced by dense 38 hydrous magnesium silicates, such as superhydrous phase B and phase D, which remain stable 39 to temperatures above those predicted for cold subduction pathways into the lower mantle (9-40 12). 41

Experiments at pressures above 30 GPa indicate that in the lower mantle H₂O might be 42 retained in high-pressure oxyhydroxide phases such as MgSiO₂(OH)₂ (phase H) (13, 14) and 43 δ -AlOOH (δ -phase) (15, 16). Solid solutions of these high-pressure oxyhydroxides coexist with 44 Al-bearing bridgmanite in experiments resembling the pressures and temperatures of the lower 45 mantle (14, 17-20). Similar to the system MgSi₂O₄(OH)₂-Al₂SiO₄(OH)₂ (phase D) (12, 21), 46 the coupled substitution of Mg^{2+} and Si^{4+} by 2 Al^{3+} extends the stability of oxyhydroxide phases 47 to higher pressures and temperatures, approaching expected temperatures of mantle geotherms 48 with increasing pressure (11, 13, 14, 17). Assessing to which extent high-pressure 49

oxyhydroxide phases contribute to the H₂O budget of the lower mantle requires a detailed 50 evaluation of their thermodynamic stability at pressures and temperatures of the lower mantle. 51 The dehydration temperatures and hence the stability limits of oxyhydroxide phases depend 52 on the activity of H₂O in the respective chemical system. The H₂O activity, however, is hard 53 to control in experiments, for example, because the H₂O component dissolves into a hydrous 54 melt upon decomposition of oxyhydroxide phases (13, 18, 19). During dehydration 55 experiments in diamond anvil cells using pressure-transmitting media such as neon (16, 17), 56 the H₂O activity may be substantially reduced if H₂O is released from the sample material upon 57 laser heating and lost to the pressure-transmitting medium. The reduced H₂O activity will then 58 lead to lower dehydration temperatures. As an alternative to constraining dehydration 59 temperatures by dehydration experiments, the relevant chemical equilibria may be evaluated 60 based on the thermodynamic properties of the involved phases. 61

Oxyhydroxides in the lower mantle are expected to incorporate ferric iron (Fe^{3+}) when 62 coexisting with Fe-bearing bridgmanite (17, 18, 22), with experiments showing that Fe-bearing 63 oxyhydroxides undergo a spin transition at pressures between 30 and 40 GPa (23-26). 64 Available information on the thermal stability and mineral chemistry of Fe-bearing 65 oxyhydroxides indicates that these phases may remain stable to similar temperatures as their 66 Fe-free counterparts while incorporating slightly more ferric iron than coexisting bridgmanite 67 (17, 18). In view of the very limited H₂O storage capacities of ferropericlase (27, 28) and 68 bridgmanite (20, 27, 29, 30), these factors suggest that the formation of an oxyhydroxide solid 69 solution constitutes a feasible mechanism to retain H₂O in solid phases of the lower mantle. 70 Indeed, oxyhydroxide phases are the only hydrous phases reported to remain stable at pressures 71 in excess of about 50 GPa (11, 13, 19) and to coexist with bridgmanite in more complex 72 chemical systems (14, 17-20). However, the impact of the spin transition of ferric iron on the 73

formation of high-pressure oxyhydroxide phases and hence on their ability to retain H₂O
 remains largely unexplored.

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77 **Results**

78 Equation of state of δ -(Al,Fe)OOH

Assessing how the spin transition of ferric iron affects phase equilibria of high-pressure 79 oxyhydroxides requires reliable measurements of thermodynamic properties as a function of 80 pressure and temperature. We performed high-pressure powder X-ray diffraction experiments 81 (XRD) on samples of δ -(Al,Fe)OOH with Fe/(Al+Fe) = 0.13 to determine the pressure-volume 82 (P-V) relationship for this composition from 12 to 125 GPa at room temperature. In addition, 83 we recorded powder XRD patterns at simultaneously high pressures and high temperatures by 84 laser heating samples inside diamond anvil cells. From XRD patterns recorded at 85 simultaneously high pressures and high temperatures, we derived the thermal pressures that 86 resulted from heating δ -Al_{0.87}Fe_{0.13}OOH to temperatures of up to 1580 K at high pressures. 87 XRD patterns were analyzed by profile matching to extract unit cell volumes of δ -(Al,Fe)OOH 88 and gold, which was used as a pressure calibrant. Examples of XRD patters recorded at high 89 pressures and at simultaneously high pressures and high temperatures are shown in Figure 1 90 together with results of profile matching analyses. Further details of high-pressure XRD 91 experiments and their analysis can be found in Materials and Methods. 92

⁹³ The comparison of our room-temperature compression data with data of δ -(Al,Fe)OOH ⁹⁴ compounds with less (*23*) or no ferric iron (*16*, *31*) clearly reveals a segment of enhanced ⁹⁵ volume reduction between 30 and 40 GPa (Fig. 2A) that has previously been identified and ⁹⁶ attributed to the spin transition of ferric iron in δ -(Al,Fe)OOH (*23*, *26*). The transition of Fe³⁺ ⁹⁷ cations from the high-spin to the low-spin state leads to a reduction of the effective ionic radius

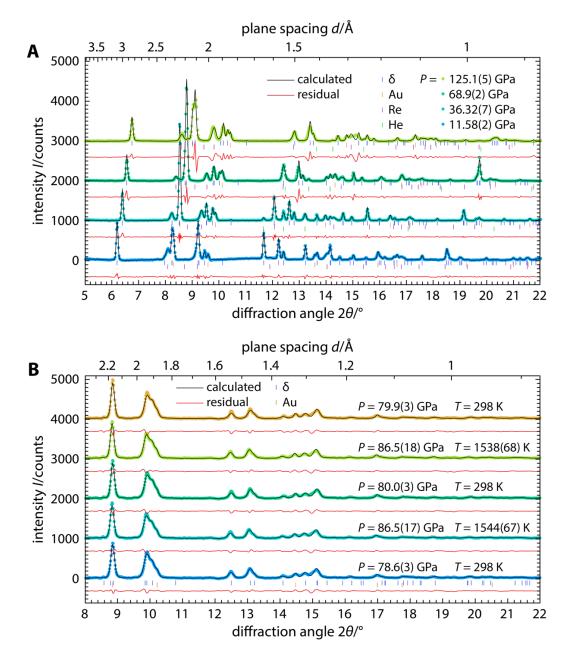


Fig. 1: Analysis of high-pressure X-ray diffraction patterns. (**A**) Examples of X-ray diffraction patterns recorded at different pressures and room temperature. (**B**) Example of a series of X-ray diffraction patterns recorded at simultaneously high pressures and high temperatures during a typical cycle of laser heating. X-ray diffraction patterns were corrected for background intensities and matched to calculated diffraction profiles by refining the lattice and peak shape parameters of δ -Al_{0.87}Fe_{0.13}OOH (δ), gold (Au, pressure calibrant), rhenium (Re, gasket material, only in **A**), and helium (He, pressure-transmitting medium, only in **A**).

¹⁰⁷ of Fe³⁺. As a result, the unit cell volumes of δ -(Al,Fe)OOH with low-spin Fe³⁺ become similar ¹⁰⁸ to those of δ -AlOOH at pressures above 40 GPa.

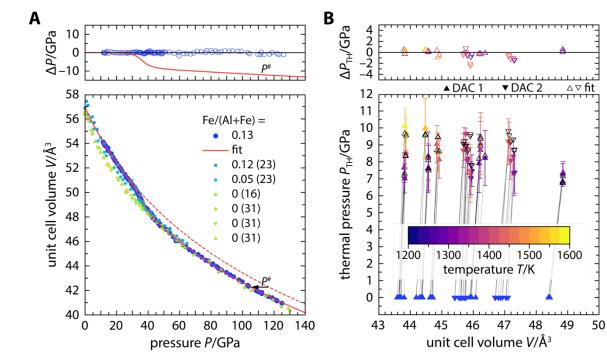
We analyzed our *P*-*V* data with an equation of state (EOS) that accounts for the electronic 109 excess pressure $P^{\#}$ due to the spin transition (Fig. 2A, Table 1). We describe the electronic 110 excess pressure $P^{\#}$ in terms of a crystal-field model that allows to compute electronic excess 111 contributions to the free energy and derived thermodynamic quantities such as pressure and 112 bulk modulus (32). Since the spin transition broadens with increasing temperature, the impact 113 on the compression curve is more prominent at room temperature than at high temperatures. 114 The parameters for electronic excess contributions, however, are temperature-independent 115 (Materials and Methods) and were therefore extracted from our room-temperature P-V data. 116

XRD patterns collected at combined high pressures and high temperatures were analyzed to 117 derive pressure-volume-temperature (*P-V-T*) data for δ -(Al,Fe)OOH as well as for gold, which 118 was compressed and heated simultaneously with the sample material. From the *P*-*V*-*T* datasets 119 that resulted from multiple laser-heating cycles, we derived the thermal pressures acting on δ -120 (Al,Fe)OOH (Fig. 2B, Table S2; Materials and Methods). The thermal pressures were then 121 combined with experimentally derived mean kinetic energies (see below) to constrain the 122 parameters of a quasi-harmonic model that describes the vibrational and thermal properties of 123 δ -(Al,Fe)OOH across the spin transition of ferric iron. 124

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¹²⁶ <u>Vibrational properties and thermal EOS of δ-(Al,Fe)OOH</u>

In addition to the effect on ionic radius, the spin transition modifies the Fe–O bonds and hence their vibrational frequencies. We collected nuclear resonant inelastic X-ray scattering (NRIXS) spectra up to 110 GPa (Fig. S3; Materials and Methods) to constrain changes in the spectrum of lattice vibrations that result from the changing electronic configuration of Fe³⁺ cations with increasing pressure. From the recorded NRIXS spectra, we derived projected





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Fig. 2. Equation of state of δ -(Al,Fe)OOH. (A) Room-temperature compression data of δ -134 (Al,Fe)OOH with different iron contents. (B) Thermal pressures for δ -Al_{0.87}Fe_{0.13}OOH. The *P*-135 V data for δ -Al_{0.87}Fe_{0.13}OOH in **A** and the thermal pressures in **B** were fit to an equation of state 136 that accounts for excess contributions to pressure due to the spin transition of ferric iron. The 137 effect of the electronic excess pressure $P^{\#}$ on the compression curve is indicated in A. The top 138 panel in A shows the electronic excess pressure $P^{\#}$ along with fitting residuals. Tie lines in B 139 connect data points of laser-heating cycles with room-temperature data shown in blue. The top 140 panel in **B** shows fitting residuals of thermal pressures. In **A**, experimental uncertainties are 141 within the sizes of the symbols. Error bars in \mathbf{B} include propagated contributions from 142 experimental uncertainties in volumes and temperatures. 143

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partial phonon densities of states (p³DOS) for vibrations of ⁵⁷Fe atoms in δ -(Al,Fe)OOH (Fig.

146 3A). When viewed together with p^3 DOS for a similar composition with Fe/(Al+Fe) = 0.12 (33),

the p^3 DOS show the typical rise of vibrational frequencies with compression as reflected in the shift of maxima in the p^3 DOS to higher energies with increasing pressure.

At pressures around the spin transition (~40 GPa) (23), the p³DOS changes more fundamentally as the main peak shifts abruptly to higher energies and broadens significantly. Similarly, other parts of the p³DOS are affected by the spin transition. We note that changes in the vibrational structure caused by the spin transition of Fe³⁺ cations will be amplified in the p³DOS as NRIXS selectively samples the vibrational motions of ⁵⁷Fe atoms. The Fe-selective character of NRIXS is therefore beneficial for resolving and quantifying changes in the spectrum of lattice vibrations caused by a spin transition of Fe atoms.

To condense the information content of the p³DOS at high pressures, we computed the mean 156 kinetic energy per vibrational mode and ⁵⁷Fe atom from each p³DOS of δ -(Al,Fe)OOH with 157 Fe/(Al+Fe) = 0.13 (this study) and 0.12 (33) and for simulated temperatures from 0 to 3300 K 158 (Fig. 3B). Calculating kinetic energies at high temperatures ensures sampling of the entire 159 energy range of the p³DOS, including the high-energy portions, which are significantly less 160 populated at room temperature. The derived mean kinetic energies reflect the changes in the 161 p^{3} DOS caused by the spin transition of ferric iron in δ -(Al,Fe)OOH as well as how vibrational 162 modes become populated with increasing temperatures. 163

The mean kinetic energies derived from the experimental p³DOS were then used to constrain 164 the parameters of a quasi-harmonic Debye model (34) for the FeOOH component of the δ -165 (Al,Fe)OOH solid solution. We assigned a separate Debye model to each spin state and 166 calculated the population of spin states from the crystal-field model derived from our P-V data 167 (see Materials and Methods). Our model captures the changes in mean kinetic energies across 168 the spin transition as evidenced by low fitting residuals (Fig. 3B). The quasi-harmonic 169 parameters of the AlOOH component were obtained by analyzing the thermal pressures derived 170 from our high-pressure high-temperature XRD experiments (Fig. 2B) with a thermal EOS that 171

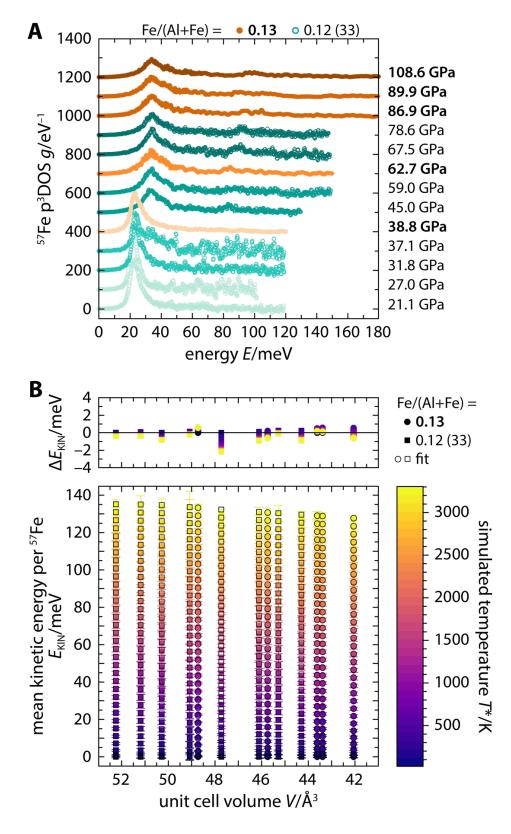




Fig. 3. Vibrational properties of δ -(Al,Fe)OOH at high pressures.

(see next page for full legend)

Fig. 3. Vibrational properties of δ -(Al,Fe)OOH at high pressures. (A) Projected partial 176 phonon densities of states (p^3 DOS) for ⁵⁷Fe atoms in δ -(Al,Fe)OOH at room temperature 177 derived from NRIXS spectra. p³DOS are vertically offset for clarity with the respective 178 experimental pressures indicated on the right. (B) Mean kinetic energies of 57 Fe atoms in δ -179 (Al,Fe)OOH calculated directly from the p³DOS in **A** and for a range of simulated temperatures 180 T^* (colored symbols). The mean kinetic energies in **B** were fit to a multi-state quasi-harmonic 181 Debye model that accounts for changes in the vibrational spectrum caused by the spin transition 182 of ferric iron (open squares and circles). The top panel in **B** shows fitting residuals. Error bars 183 in **B** arise from propagating uncertainties on the p^3 DOS. 184

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describes the δ -(Al,Fe)OOH solid solution as a molar mixture of AlOOH and FeOOH components (Table 2; Materials and Methods).

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189 Effect of the spin transition on phase equilibria with oxyhydroxide phases

As the result of our analysis of XRD and NRIXS data, we obtain a thermal EOS that accounts for changes in the electronic and vibrational energy due to the spin transition of ferric iron in δ -(Al,Fe)OOH. The underlying thermodynamic model and parameters can be used to compute excess contributions to thermodynamic quantities that arise from the spin transition. In the following, we apply our thermodynamic model to explore the impact of the spin transition of Fe³⁺ in high-pressure oxyhydroxide phases on the H₂O activity in Earth's lower mantle.

In experiments, bridgmanite and oxyhydroxide phases coexist with hydrous melts (*13, 14, 18-20*). The chemical equilibrium between the Fe-Al components of bridgmanite (bdm) and the oxyhydroxide phase (ohy) and the H₂O component of a coexisting melt or fluid phase (fl) can be formulated as:

$$FeAlO_3 (bdm) + H_2O (fl) = FeAlO_2(OH)_2 (ohy)$$
(1)

In a rock in the lower mantle, the Fe-Al components will form solid solutions with other bridgmanite and oxyhydroxide components such as the Mg-Si and Al-Al components, for which analogous equilibria may be formulated. The equilibrium reaction (1) between the Fe-Al components affects the overall H₂O activity as long as both phases incorporate an Fe-Al component. Experiments on Fe-Al-bearing compositions and at relevant pressures and temperatures have indeed observed the incorporation of an Fe-Al component both into bridgmanite and the coexisting oxyhydroxide phase (*17, 18*).

For both mafic (*35*) and ultramafic (*36*, *37*) bulk rock compositions, FeAlO₃ has been identified as the predominant Fe³⁺-bearing bridgmanite component with Fe³⁺ preferentially occupying the larger dodecahedral site of the perovskite crystal structure and remaining in a high-spin state up to the highest pressures of the lower mantle (*38-40*). Ferric iron in the highpressure oxyhydroxide phase, in contrast, undergoes a spin transition at about 35 GPa (*23, 24*). The impact of this spin transition on reaction (1) can be evaluated by considering the reaction energetics with and without the excess energy contributions arising from the spin transition.

If the spin transition changes the Gibbs free energy of the FeAlO₂(OH)₂ component by $\Delta^{\#}G$, the ratio of the activities aH₂O of the H₂O component for a scenario with ($a^{\#}$) and without (a) the spin transition is given by

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$$\frac{a^{\#}H_2O}{aH_2O} = \frac{a_{bdm}}{a_{bdm}^{\#}} \frac{a_{ohy}^{\#}}{a_{ohy}} \exp\left(\frac{\Delta^{\#}G}{RT}\right)$$
(2)

where the subscripts bdm and ohy identify the activities of the Fe-Al components in the bridgmanite and oxyhydroxide solid solutions, respectively. Since Fe³⁺ does not partition strongly between these phases (*17, 18*), we may assume that the activity ratios of the Fe-Al components are close to unity and that the right-hand side of equation (2) is dominated by the exponential term $\exp(\Delta^{\#}G/RT)$.

We computed the excess Gibbs free energy $\Delta^{\#}G$ arising from the spin transition using the 225 thermodynamic model for δ -(Al,Fe)OOH derived from our experimental results. Using our 226 model, we calculated the changes in the Gibbs free energy of the component FeAlO₂(OH)₂ that 227 result from compression and heating to the pressures and temperatures of the lower mantle. By 228 either allowing the populations of spin states to adopt their equilibrium values or by fixing 229 them to their values at ambient condition, we obtain the changes in Gibbs free energy with and 230 without the effect of the spin transition, respectively. The difference between both scenarios 231 corresponds to the excess Gibbs free energy $\Delta^{\#}G$. Since our thermodynamic model can separate 232 electronic and vibrational contributions, the excess Gibbs free energy $\Delta^{\#}G$ can be decomposed 233 into several contributions as shown in Figure 4A. 234

If the Gibbs free energy of the component FeAlO₂(OH)₂ changes by $\Delta^{\#}G$ due to the spin 235 transition, the equilibrium (1) may react in two ways, depending on whether the H₂O activity 236 is buffered at a constant value or free to vary. The consequences of these two options are 237 illustrated in Figure 4B. If the H₂O activity is free to vary, the relative change in H₂O activity 238 brought about by the spin transition is given by the ratio of H₂O activities in equation (2). If 239 the H₂O activity is buffered at a nearly constant value, for example, by a large volume of 240 hydrous melt coexisting with bridgmanite and the oxyhydroxide phase, the equilibrium (1) will 241 shift to higher temperatures by an amount $\Delta^{\#}T_{SOL}$ that depends primarily on the entropies of 242 the FeAlO₃ component of bridgmanite and the H₂O component of the melt or fluid phase (Fig. 243 4B). We estimated the magnitudes of the potential effects of the spin transition of ferric iron in 244 δ -(Al,Fe)OOH on reaction (1) as explained in detail in the Methods and Materials section. 245

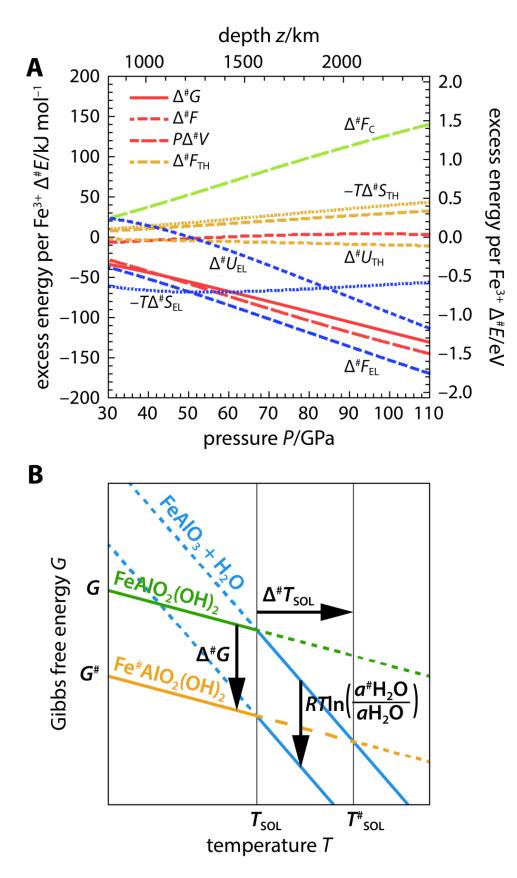




Fig. 4: Excess energy contributions arising from the spin transition of Fe³⁺ in highpressure oxyhydroxides and the effect on phase equilibria. (see next page for full legend)

Fig. 4: Excess energy contributions arising from the spin transition of Fe³⁺ in high-249 pressure oxyhydroxides and the effect on phase equilibria. (A) Excess energy 250 contributions along an isentropic temperature profile rooted at 25 GPa and 1400 K. Indices 251 identify excess contributions to the cold (C), thermal (TH), or electronic (EL) parts of the 252 Helmholtz free energy F, the internal energy U, and the entropy S. Excess contributions 253 without indices refer to total contributions to the Gibbs free energy G, the Helmholtz free 254 energy F, and volume work $P\Delta V$. (B) Schematic illustration of the effect of the spin transition 255 on the phase equilibrium $FeAlO_3 + H_2O = FeAlO_2(OH)_2$. A reduction in Gibbs free energy of 256 $\Delta^{\#}G$ by the spin transition of Fe³⁺ in FeAlO₂(OH)₂ can be compensated by reducing the water 257 activity from aH_2O to $a^{\#}H_2O$ or by raising the dehydration temperature T_{SOL} by $\Delta^{\#}T_{SOL}$. 258

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260 **Discussion**

The relative change in H₂O activity brought about by the spin transition of ferric iron in a high-pressure oxyhydroxide phase can be calculated by evaluating the ratio $a^{\#}H_2O/aH_2O$ of equation (2) along isothermal and isentropic temperature profiles (*32*) through the lower mantle (Fig. 5A). Our modelling results suggest that the spin transition has the potential to decrease the activity of H₂O in a coexisting fluid phase in the lower mantle by several orders of magnitude. Along an isentropic temperature profile starting at 1900 K at 25 GPa, the H₂O activity would be reduced by a factor of 0.002 at a pressure of 100 GPa.

This strong reduction in H₂O activity also implies that an Fe³⁺-bearing high-pressure oxyhydroxide phase may form at substantially lower H₂O concentrations if the effect of the spin transition is taken into account. Even if the amount of oxyhydroxide phase that forms at a given H₂O concentration might be minor in comparison to the amounts of major rock-forming minerals in the lower mantle, the H₂O activity may remain buffered at low levels as long as an Fe³⁺-bearing oxyhydroxide phase contributes to the phase assemblage.

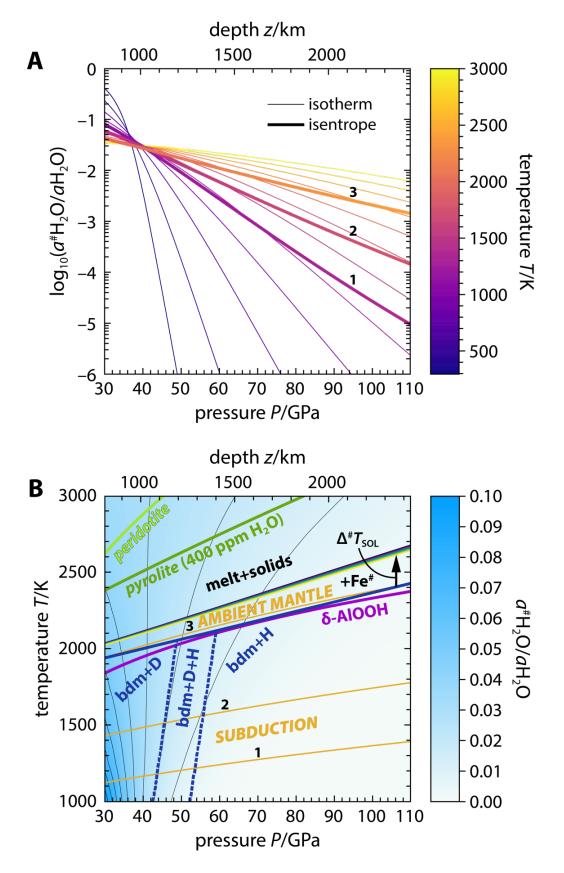




Fig. 5: Effect of the spin transition of Fe³⁺ in high-pressure oxyhydroxides on phase

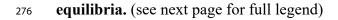


Fig. 5: Effect of the spin transition of Fe^{3+} in high-pressure oxyhydroxides on phase 277 equilibria. (A) Changes in H₂O activity, expressed as the ratio $a^{\#}$ H₂O/aH₂O, brought about by 278 the spin transition (#) along selected isothermal and isentropic temperature profiles. (B) 279 Stability fields of high-pressure oxyhydroxide phases. Solidus curves are shown for δ -AlOOH 280 (purple, (16)), MgO-Al₂O₃-SiO₂-H₂O (blue, (19); bdm = bridgmanite, D = phase D, H = phase 281 H), pyrolite with 400 wt-ppm H₂O (dark green, (41)), and peridotite (light green, (42)). The 282 spin transition (Fe[#]) of Fe³⁺ in oxyhydroxides raises the solidus temperature by $\Delta^{\#}T_{SOL}$ (black 283 arrow in **B**) if the H₂O activity is buffered at $aH_2O = 1$ (dark purple), 0.1, 0.01, 0.001, or 0.0001 284 (yellow). Isentropic temperature profiles marked 1, 2, and 3 in A and B start at 25 GPa and 285 1100 K, 1400 K, and 1900 K, respectively. 286

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Although the exponential term in equation (2) indeed appears to dominate the H₂O activity 288 ratio, the reduction of the H₂O activity may be modified by changes in the activity ratios of the 289 Fe-Al components of bridgmanite and the oxyhydroxide solid solution. The magnitude of the 290 effect on H₂O activity may be reduced if the FeAlO₃ component of bridgmanite underwent a 291 spin transition. A spin transition of Fe³⁺ in the FeAlO₃ component of bridgmanite, however, is 292 currently not supported by experimental or computational data (38-40) and would probably 293 have a smaller effect on the molar volume of the FeAlO3 component because Fe^{3+} and Al 294 occupy different crystallographic sites in bridgmanite. Since the $P\Delta^{\#}V$ term dominates $\Delta^{\#}G$ 295 (Fig. 4A) and Fe³⁺ and Al occupy a single crystallographic site in δ -(Al,Fe)OOH, a spin 296 transition of Fe^{3+} can be expected to have a larger impact on the molar volume and hence on 297 the excess Gibbs free energy of the Fe-Al component of an oxyhydroxide solid solution in 298 comparison to bridgmanite. 299

While our model predicts the relative reduction in H_2O activity caused by the spin transition of Fe³⁺ in oxyhydroxides, absolute values of the H_2O activity in a coexisting melt phase may

be estimated based on existing thermodynamic models for the system MgO-SiO₂-H₂O (10). 302 These models suggest H₂O activities on the order of 0.01 at pressures and temperatures where 303 an oxyhydroxide phase replaces phase D. The spin transition of Fe^{3+} may then reduce the H₂O 304 activity to values as low as 10^{-6} at 100 GPa along a subduction geotherm. At such low H₂O 305 activities, the properties and behavior of the coexisting melt phase will approach those of an 306 anhydrous silicate melt. For comparison, the molar fraction of H₂O in peridotitic source rocks 307 of plume-derived magmas is on the order of 0.004 (750 wt-ppm H_2O , (1, 2)) and hence much 308 higher in any partial melt formed from such rocks. The H₂O activities in partial melts of 309 peridotitic rocks in the lower mantle therefore likely exceed the H₂O activities required to 310 stabilize an Fe^{3+} -bearing oxyhydroxide phase in the lower mantle. 311

According to our thermodynamic analysis, an Fe³⁺-bearing oxyhydroxide solid solution may 312 form at H₂O activities substantially below those estimated for Fe-free compositions and hence 313 at very low bulk H₂O concentrations. As a consequence, the H₂O activities and contents of 314 melts in equilibrium with an Fe³⁺-bearing oxyhydroxide phase may be lower than those 315 required to significantly hydrate nominally anhydrous minerals of the lower mantle (20, 27-316 30). In this case, an Fe^{3+} -bearing oxyhydroxide phase would act as the main host for H₂O in 317 solid phases of the lower mantle even if present only as a minor phase. As the effect of the spin 318 transition on H₂O activities becomes stronger with increasing pressure, the formation of an 319 Fe³⁺-bearing oxyhydroxide phase is more favorable in the lowermost mantle than in the shallow 320 lower mantle. Fe³⁺-bearing oxyhydroxide phases may hence exert a stronger control on the 321 H₂O budget of the lowermost mantle while H₂O might be released and partition into other 322 phases in shallower parts of lower mantle. 323

If the H₂O activity in the fluid phase is buffered at a nearly constant value, the spin transition of ferric iron will stabilize oxyhydroxides to higher temperatures. This effect can be evaluated as the shift $\Delta^{\#}T_{SOL}$ of the dehydration reaction towards higher temperatures. Based on our thermodynamic model for δ -(Al,Fe)OOH and thermodynamic data of bridgmanite and H₂O (Methods and Materials), we estimated the shift $\Delta^{\#}T_{SOL}$ along the solidus curve reported for the system MgO-Al₂O₃-SiO₂-H₂O (*19*) and for different H₂O activities (Fig. 5B).

Since the solidus curve of the Fe-free system does not account for the effect of iron on 330 dehydration temperatures and has been determined at unknown and probably varying H₂O 331 activities (19), the exact location of the solidus curve for the Fe-bearing system cannot be 332 obtained by simply adding the shift $\Delta^{\#}T_{SOL}$ to the experimentally observed solidus curve of the 333 Fe-free system. For example, the replacement of Al by Fe^{3+} in δ -(Al,Fe)OOH may counteract 334 the stabilizing effect of Al incorporation into oxyhydroxide phases (13, 14, 19). Instead, the 335 magnitude of the shift $\Delta^{\#}T_{SOL}$ caused by the spin transition can be expected to be more 336 meaningful than absolute dehydration temperatures. 337

As shown in Fig. 5B, the spin transition raises the temperature of the dehydration reaction 338 by about 240 K for the pure component FeAlO₂(OH)₂ and may stabilize an Fe³⁺-bearing 339 oxyhydroxide phase in colder parts of the lower mantle and potentially even along typical 340 geotherms for the ambient mantle. The magnitude of the effect varies only slightly with the 341 H₂O activity in the coexisting fluid phase and remains almost unchanged for H₂O activities 342 between 1 and 0.0001. Our results are consistent with the few available experimental data 343 which suggest that at pressures above 90 GPa Fe-Al-bearing oxyhydroxides remain stable to 344 temperatures well above 2000 K (17). Although the full effect applies to the pure component 345 $FeAlO_2(OH)_2$, the spin transition of Fe^{3+} links the thermal stability of oxyhydroxides to their 346 Fe^{3+} content with compositions richer in Fe^{3+} remaining stable to higher temperatures. 347

The stabilizing effect of ferric iron on high-pressure oxyhydroxides may be partially offset by spin transitions in other minerals. We note, however, that the spin state of ferric iron in bridgmanite, the main host for Fe³⁺ in the lower mantle (*36, 43*), remains controversial (*38-40, 44*). In experiments on Fe-free compositions (*13, 19*), a high-pressure oxyhydroxide phase (phase H) replaces phase D only at pressures above 40 GPa. We anticipate that the presence of ferric iron will expand the field in which phase D and an oxyhydroxide phase coexist and that the spin transition of ferric iron in phase D (45, 46) may have a similar effect on H₂O activity and thermal stability as the here-proposed effect for the oxyhydroxide phase.

As mentioned throughout the discussion, our evaluation of the reaction between bridgmanite 356 and H₂O to form an oxyhydroxide phase, or of the reverse reaction for dehydration, focuses on 357 the effect of the spin transition of ferric iron in the oxyhydroxide phase. Other reactions and 358 possibly spin transitions may affect this equilibrium, for example, by altering the activities of 359 the Fe-Al components of bridgmanite and the oxyhydroxide solid solutions. A more 360 comprehensive analysis of phase equilibria in the lower mantle involving hydrous phases, 361 analogous to thermodynamic models for simpler systems (10), would require relevant 362 thermodynamic data on all phases involved. While such data is not yet available, we 363 demonstrate by our simplified analysis how spin transitions may influence phase equilibria and 364 present a formalism that can be applied to integrate experimental data on spin transitions into 365 thermodynamic models. While more data are needed to fully constrain the phase relations of 366 oxyhydroxide phases at conditions of the lower mantle, our experimental data and analysis 367 suggests a geochemical connection between ferric iron and H₂O in Earth's lower mantle. 368

H₂O may be transported from the Earth's surface into the deep mantle by slabs of cold and 369 hydrated lithosphere (9, 11). In addition, hydrous rocks might have formed from the 370 crystallization of a magma ocean and sequestered in the lower mantle (47). Some regions of 371 the lowermost mantle, including large low shear-wave velocity provinces (LLSVP) and 372 ultralow velocity zones (ULVZ), have been proposed to be enriched in iron (48-50). These 373 regions might provide preferred sites for H₂O storage if their elevated iron concentrations raise 374 the thermal stability of oxyhydroxide phases through the incorporation of ferric iron. Despite 375 this potential association of oxyhydroxide phases with LLSVPs and ULVZs and compatible 376

seismic properties of Fe^{3+} -bearing oxyhydroxide phases (*33*), it is unlikely that the observed reductions in seismic wave speeds are caused by oxyhydroxide phases alone since their volume fraction will presumably be minor.

Plumes suggested to be rooted in the lowermost mantle (48-50), however, give rise to 380 basaltic volcanism with systematically higher magmatic H_2O concentrations (1, 2) that 381 correlate with high $Fe^{3+}/\Sigma Fe$ ratios (3, 4). Throughout Earth's history, the lower mantle may 382 have acted as an H₂O reservoir with oxyhydroxide phases as an important host phase for H₂O 383 in the lowermost mantle. Over time, the here-proposed geochemical affinity of H₂O to ferric 384 iron, favored by the spin transition of ferric iron in high-pressure oxyhydroxide phases, may 385 have resulted in the formation of a geochemical reservoir in the lowermost mantle which is 386 probed by deep-rooted plume magmatism today and contributes to the association of high H₂O 387 and high Fe^{3+} contents of related magmas (1, 3, 4). 388

389

390 Materials and Methods

391 <u>Sample synthesis and composition</u>

Crystals of δ -Al_{0.87}Fe_{0.13}OOH were synthesized by heating a mixture of Al(OH)₃ and ⁵⁷Fe₂O₃ 392 powders in a multi-anvil press at 27 GPa and 1500 K. Details of the synthesis procedure and 393 sample characterization have been published elsewhere (51, 52). To enhance the signal-to-394 noise ratio and to reduce collection times of high-pressure NRIXS spectra (see below), we used 395 Fe₂O₃ powder enriched with the Mössbauer-active isotope 57 Fe to 57 Fe/ Σ Fe = 96 %. The 396 composition of eight δ -Al_{0.87}Fe_{0.13}OOH grains was determined by electron microprobe analysis 397 as Fe/(Al+Fe) = 0.13(2)(52). All samples used in this study were taken from the same synthesis 398 batch. 399

400

402 <u>High-pressure X-ray diffraction experiments</u>

Grains of δ -Al_{0.87}Fe_{0.13}OOH were repeatedly crushed between the tips of two diamond anvils 403 to obtain a fine-grained powder. For high-pressure X-ray diffraction (XRD) experiments at 404 room temperature, a flake of this powder was placed inside the pressure chamber of a 405 symmetric diamond anvil cell (DAC) together with a ruby sphere and gold powder for pressure 406 determination (53, 54). Pre-compressed helium was loaded as a pressure-transmitting medium. 407 For XRD experiments at combined high pressures and high temperatures, powder of δ -408 Al_{0.87}Fe_{0.13}OOH, a ruby sphere, and gold powder were sandwiched together between layers of 409 Al(OH)₃ inside the pressure chambers of two symmetric DACs. In addition to preventing 410 dehydration of the δ -Al_{0.87}Fe_{0.13}OOH powder during heating cycles, the Al(OH)₃ layers served 411 as pressures-transmitting medium and as thermal insulation. Al(OH)₃ undergoes partial 412 amorphization under compression (55) and is not expected to absorb the radiation of the near-413 infrared laser used to heat the sample and gold powder. As a result, Al(OH)₃ did not contribute 414 significantly to the recorded XRD patterns but effectively insulated the sample and gold 415 powder from the diamond anvils during laser heating. All symmetric DACs were prepared 416 using diamond anvils with 250-µm culets beveled to 300 µm. Pressure chambers were sealed 417 with rhenium gaskets that were pre-indented to a thickness of 45 to 55 µm and drilled with an 418 electric discharge machine. Photomicrographs of the pressure chambers of all three DACs 419 loaded for XRD experiments are shown in Figure S1. 420

All high-pressure XRD patterns were collected at beamline 13-ID-D operated by GeoSoilEnviroCARS at the Advanced Photon Source at Argonne National Laboratory. The Xray beam was focused to an area of 2.5 μ m × 3.5 μ m at the sample position and tuned to an energy of 37.078 keV (0.33438 Å). Diffracted X-rays were recorded on a Dectris Pilatus 1M CdTe detector. The detector distance and diffraction geometry were calibrated using a LaB₆ reference material. For high-pressure XRD experiments at room temperature, the DAC was connected to an inflatable metallic membrane for remote pressure control. XRD patterns were collected with exposure times of 4 or 5 s. To increase the number of grains contributing to XRD patterns, the DAC was rotated about the vertical axis from -3° to $+3^{\circ}$ during each acquisition.

For XRD experiments at simultaneously high pressures and high temperatures, the sample 431 and gold powder were heated inside the pressure chambers of DACs using the double-sided 432 laser heating setup installed at beamline 13-ID-D. During laser heating, thermal emission 433 spectra of the heated area were recorded through both diamond anvils and fit to grav-body 434 emission curves using the software T-Rax ((56, 57); version 1.1, C. Prescher, 2016, 435 www.github.com/CPrescher/T-Rax) to determine the temperature of the heated area. For a 436 typical heating cycle, we first recorded an XRD pattern at room temperature with an exposure 437 time of 5 s. We then irradiated the same area within the pressure chamber with the infrared 438 lasers from both sides while recording another XRD pattern for 5 s. During the X-ray exposure, 439 we collected a series of thermal emission spectra. After switching off the laser, we collected 440 another XRD pattern at room temperature. The temperatures of subsequent heating cycles were 441 adjusted by changing the laser output power. The temperature assigned to each high-442 temperature XRD pattern corresponds to the average temperature from all emission spectra 443 collected during the acquisition of the XRD pattern at high temperature. The associated 444 uncertainty was estimated as the standard deviation of the same set of temperatures. 445

446

447 <u>Analysis of X-ray diffraction patterns</u>

The images of XRD patterns as recorded by the area detector were read into the software DIOPTAS ((*58, 59*); versions 0.5.0 to 0.5.2, 2019–2020, <u>www.github.com/Dioptas/Dioptas</u>) and integrated to profiles of intensity as a function of the diffraction angle 2θ . Coherent stacks of diffraction profiles were then analyzed and corrected for common background intensities using the program MINUTI ((*60*);version 2.2.1; W. Sturhahn, 2021, <u>www.nrixs.com</u>). Lattice parameters of δ -Al_{0.87}Fe_{0.13}OOH and gold were extracted from the diffraction profiles by matching calculated profiles to the observed profiles using the software FullProf ((*61, 62*); version 2.6.2, 2020, <u>www.ill.eu/sites/fullprof/index.html</u>). For XRD patterns recorded at room temperature, additional reflections were attributed to rhenium and helium and included in the profile matching procedure. Examples of profile matching results are shown in Figure 1.

The unit cell volumes of gold, combined with temperatures for high-temperature XRD 458 experiments, were used to calculate pressures from the EOS of gold (53) while uncertainties in 459 unit cell volumes and temperatures were propagated into uncertainties on pressures. To 460 calculate the thermal pressures P_{TH} acting on δ -Al_{0.87}Fe_{0.13}OOH, we paired each high-461 temperature (hot) $P_{\rm H}-V_{\rm H}-T_{\rm H}$ combination with the room-temperature (cold) $P_{\rm C}-V_{\rm C}-T_{\rm C}$ 462 combination recorded immediately before or after the hot $P_{\rm H}$ - $V_{\rm H}$ - $T_{\rm H}$ combination and shifted 463 the pair of pressures along the pressure axis to make the cold pressure $P_{\rm C}$ fall onto the room-464 temperature compression curve determined in helium. This procedure corrects for offsets in 465 pressure caused by residual deviatoric stresses in the Al(OH)₃ pressure-transmitting medium 466 while retaining any changes in pressure and volume caused by heating. The thermal pressure 467 $P_{\rm TH}$ was then calculated by subtracting the cold pressure at the hot volume $P(V_{\rm H},T_{\rm C})$ as 468 calculated from our room-temperature EOS from the corrected hot pressure $P_{\rm H}^*$, i.e., 469 $P_{\text{TH}}(V_{\text{H}},T_{\text{H}}) = P_{\text{H}}^{*}(V_{\text{H}},T_{\text{H}}) - P(V_{\text{H}},T_{\text{C}})$, where $T_{\text{C}} = 298$ K. Unit cell volumes of δ -470 Al_{0.87}Fe_{0.13}OOH and gold are compiled together with the respective (thermal) pressures and 471 temperatures in Tables S1 and S2. 472

473

474 <u>High-pressure nuclear resonant inelastic X-ray scattering experiments</u>

Grains of δ -Al_{0.87}Fe_{0.13}OOH were cleaved into smaller pieces with a razor blade and rinsed in acetone. A crystal fragment was placed inside the pressure chamber of a panoramic diamond anvil cell (panDAC) together with a ruby sphere for pressure determination. Pre-compressed helium was loaded as a pressure-transmitting medium. For the panDAC, we used diamond anvils with 250-µm culets beveled to 300 µm. The pressure chamber was prepared from a beryllium disk pre-indented to a thickness of about 50 µm and drilled with an electric discharge machine. To further stabilize the beryllium gasket, we lined the pressure chamber with a mixture of boron powder and epoxy resin. Photomicrographs of the pressure chamber taken at different compression steps are shown in Figure S2.

After compression to about 20 GPa, we noted a contraction of the pressure chamber caused 484 by lateral spreading of the boron-epoxy lining which may have resulted in (partial) loss of 485 helium. As a result, the ruby sphere was enclosed between the sample and the boron-epoxy 486 lining, which led to a deterioration of ruby spectra with increasing pressure. We therefore 487 decided to determine pressures from Raman spectra of the diamond anvils (63) recorded with 488 their culets in the focal plane of the optical setup. For consistency, we corrected pressures 489 derived from ruby spectra (54) based on a cross-calibration between both pressure scales, 490 which we established by measuring pressures on several other DACs, including another 491 panDAC, with the same optical setup and using calibrations for both the ruby R_1 fluorescence 492 line (54) and the Raman spectrum of diamond (63). 493

Nuclear resonant inelastic X-ray scattering (NRIXS) spectra were collected at beamline 3-494 ID-B of the Advanced Photon Source at Argonne National Laboratory. The X-ray beam was 495 focused to about 15 μ m × 15 μ m at the sample position and irradiated the sample through one 496 of the diamond anvils. The energy of incident X-ray photons was tuned around the resonance 497 energy of ⁵⁷Fe (14.4 keV) with a bandwidth of about 1 meV using a high-resolution 498 monochromator (64). To record NRIXS spectra, the X-ray energy was scanned across the 499 resonance energy of ⁵⁷Fe in steps of 0.25 meV while recording time-delayed fluorescence 500 photons for 2 to 5 s at each step using three avalanche photodiodes (APD). The APDs were 501

inserted into the radial apertures of the panDAC to detect fluorescence photons emitted by the
 sample after absorption events by ⁵⁷Fe atoms and transmitted through the beryllium gasket.
 The collection of a single NRIXS spectrum of adequate quality required scanning the relevant
 energy range 12 to 31 times. The recorded NRIXS spectra are shown in Figure S3.

506

507 <u>Analysis of nuclear resonant inelastic X-ray scattering spectra</u>

NRIXS spectra were compiled from sets of individual scans and processed using the software 508 PHOENIX ((65, 66); version 3.0.4, W. Sturhahn, 2021, www.nrixs.com). After normalization, 509 the projected partial phonon densities of states (p³DOS) of the ⁵⁷Fe sublattice was extracted 510 from each spectrum (67, 68). The p^3 DOS describes the energy distribution of those lattice 511 vibrations (phonons) that displace ⁵⁷Fe atoms from their equilibrium positions in the crystal 512 structure of δ -Al_{0.87}Fe_{0.13}OOH. In addition, only displacements of ⁵⁷Fe atoms with a component 513 along the X-ray wave vector contribute to the NRIXS spectrum. Since we used a crystal 514 fragment in our high-pressure NRIXS experiments, we note that our NRIXS spectra might be 515 affected by the vibrational anisotropy of 57 Fe atoms in δ -Al_{0.87}Fe_{0.13}OOH (52). Given the 516 consistency with an existing set of p^3 DOS on a similar composition of δ -(Al,Fe)OOH (33) (Fig. 517 3A) and the unknown degree of anisotropy at high pressures, however, we assume that the 518 p³DOS extracted from our NRIXS spectra approximate the isotropic partial phonon densities 519 of states reasonably well. 520

521

522 Isothermal equation of state of δ -Al_{0.87}Fe_{0.13}OOH

To evaluate how the spin transition of Fe^{3+} affects the stability of the δ -(Al,Fe)OOH component of oxyhydroxide phases, we need an equation of state (EOS) for δ -(Al,Fe)OOH that accounts for excess contributions to pressure due to the spin transition. A model for the electronic excess contributions to energy and pressure has recently been proposed for the 530

537

analysis of experimental results (*32*). Based on crystal-field theory, this model describes the free energy of the *d* electron shell of an iron cation in a specific electronic state *i* and in the limit of a strong octahedral crystal field as:

$$F_{i} = z_{i1}\Delta + z_{i2}B + z_{i3}C - kT\ln(m_{i}M_{i})$$
(3)

The energy of a multi-electron state depends on the crystal-field splitting Δ and the Racah parameters *B* and *C* while the entropic term includes the spin multiplicity M_i and orbital degeneracy m_i of the multi-electron state. The coefficients z_{i1} , z_{i2} , and z_{i3} have been tabulated for each *d* electron configuration and multi-electron state (*69*). We further assume the crystalfield splitting Δ and the Racah parameters *B* and *C* to vary with volume as:

$$\Delta = \Delta_0 \left(\frac{V_0}{V}\right)^{\frac{\delta}{3}}$$
(4a)

$$B = B_0 \left(\frac{V_0}{V}\right)^{\frac{b}{3}}$$
(4b)

538
$$C = C_0 \left(\frac{V_0}{V}\right)^{\frac{c}{3}}$$
 (4c)

with Δ_0 , B_0 , and C_0 being their respective values at ambient conditions. For a given volume and temperature, the excess free energy $F^{\#}(V,T)$ per iron cation that arises from redistributing electrons over different electronic states can then be expressed as:

542
$$F^{\#}(V,T) = \sum_{i} \Delta \varphi_{i} F_{i} + dkT \sum_{i} (\varphi_{i} \ln \varphi_{i} - \varphi_{i0} \ln \varphi_{i0})$$
(5)

where $\Delta \varphi_i = \varphi_i(V,T) - \varphi_{i0}(V_0,T_0)$ is the change in the fraction $\varphi_i(V,T)$ of *d* electrons that occupy the electronic state *i* at the given volume and temperature with respect to the fraction $\varphi_{i0}(V_0,T_0)$ at ambient conditions, and *d* is the number of *d* electrons per iron cation. The fractions φ_i at thermal equilibrium can be found from the condition $(\partial F/\partial n_i)_{V,T,N} = 0$ for a micro-canonical ensemble with a total number of electrons *N* and $n_i = \varphi_i N$ (32, 70). In addition to the high-spin (⁶A₁) and low-spin state (²T₂), we include in our model a third state (⁴T₁) with intermediate spin multiplicity that is predicted to become populated by a significant fraction of Fe³⁺ cations at high temperatures (*32*). The excess contribution to pressure that arises from the spin transition then follows as $P^{\#} = -(\partial F^{\#}/\partial V)_{T}$.

For the equation of state P(V,T), we then have:

553
$$P(V,T) = P_{\rm C}(V,T_0) + P^{\#}(V,T) + P_{\rm QH}(V,T)$$
(6)

with the isothermal (cold) contribution $P_{\rm C}(V,T_0)$, the electronic excess contribution $P^{\#}(V,T)$ due to the redistribution of *d* electrons (spin transition), and the quasi-harmonic contribution $P_{\rm QH}(V,T)$ due to lattice vibrations. Note that both the electronic excess contribution and the quasi-harmonic contribution will contribute to thermal pressures at $T > T_0$. All parameters of the electronic excess contribution, however, are temperature-independent and can be derived from room-temperature compression data.

By fitting experimental pressure-volume data, it is possible to constrain the parameters of 560 the isothermal EOS, e.g., of a third-order Birch-Murnaghan EOS, in addition to some of the 561 crystal-field parameters needed to evaluate the electronic excess energy. To fit our room-562 temperature compression data of δ -Al_{0.87}Fe_{0.13}OOH (Fig. 2A, Table S1), we fixed the Racah 563 parameters B and C at ambient conditions as well their ratio C/B to literature values derived 564 from spectroscopic measurements (71), i.e., B = 655 cm⁻¹ and C/B = 4.73. The exponent δ 565 which describes the volume-scaling of the crystal-field splitting Δ is highly correlated with the 566 corresponding exponent b (= c) for the Racah parameters and was therefore fixed to 5, the value 567 for approximating the coordinating oxygens anions as point charges (71). All other isothermal 568 EOS and crystal-field parameters were constrained by a least-squares fit to our compression 569 data at room temperature and are summarized in Table 1. 570

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574 Thermal equation of state of δ -Al_{0.87}Fe_{0.13}OOH

In addition to the electronic energy, the redistribution of *d* electrons over different electronic 575 states also changes the Fe–O bonds and hence the frequencies at which Fe atoms vibrate around 576 their positions in the crystal structure. This effect is clearly visible in the change of the shape 577 of the p³DOS of ⁵⁷Fe atoms in δ -(Al,Fe)OOH at pressures around the spin transition of Fe³⁺ 578 (Fig. 3A). As with the electronic excess energy, the spin transition will therefore give rise to 579 an excess vibrational energy. Here, we will evaluate the effect of the spin transition on the 580 vibrational energy in terms of the mean kinetic energies $E_{\rm KIN}$ per ⁵⁷Fe atom that we calculated 581 from the experimental p³DOS (Fig. 3A) for a temperature range from 0 to 3300 K (Fig. 3B). 582 Simulating kinetic energies at high temperatures aims at sampling the high-energy portions of 583 each p³DOS that are less populated at room temperature. To translate the mean kinetic energies 584 derived from the p³DOS into a form more compatible with existing formalisms of thermal 585 equations of state, we approximate the mean kinetic energies with a Debye model: 586

$$E_{\rm KIN} = \frac{3}{2} kT \left(\frac{T}{\theta_{\rm Fe}}\right)^3 \int_0^{\frac{\theta_{\rm Fe}}{T}} \frac{\tau^3}{e^{\tau} - 1} d\tau \tag{7}$$

⁵⁸⁸ We account for the effect of the spin transition on mean kinetic energies by assigning a Debye ⁵⁸⁹ temperature θ_i to each electronic state and describe the Debye temperature θ_{Fe} of the Fe-bearing ⁵⁹⁰ substructure by their average weighted by the population of each electronic state:

$$\theta_{\rm Fe}(V,T) = \sum_{i} \varphi_i(V,T) \theta_i(V) \tag{8}$$

The Debye temperatures $\theta_i(V)$ are functions of volume, or finite strain, with parameters θ_{i0} , γ_{i0} and q_{i0} (34). To constrain the quasi-harmonic parameters of the high-spin (⁶A₁) and low-spin states (²T₂), we fit the multi-state Debye model described above to the mean kinetic energies calculated from the p³DOS (Fig. 3B). The populations of each state and at each pressure (volume) were calculated from the crystal-field model we derived from the room-temperature compression curve. Since a phase transition at around 10 GPa (23, 33) limits the pressure interval over which the high-spin state dominates the vibrational structure, we had to fix some of the respective quasi-harmonic parameters. Similarly, the third state (${}^{4}T_{1}$) is not sufficiently populated at room temperature. As a result, the vibrational properties of this state cannot be sampled at room temperature and therefore do not contribute to the p³DOS and kinetic energies in Figure 3. In the following, we approximated the quasi-harmonic parameters of the intermediate-spin state with the respective averages of the high-spin and low-spin states.

NRIXS selectively samples the vibrations of ⁵⁷Fe atoms and is therefore ideal for detecting 604 and quantifying changes in the vibrational structure across spin transitions. Transferring the 605 Fe-specific vibrational properties to the δ -(Al,Fe)OOH solid solution, however, requires 606 additional information on the thermal properties of the solid solution. The experimentally 607 observed thermal pressures (Fig. 2B) include contributions from both the Fe-bearing 608 substructure and the remainder of the crystal structure. To derive a thermal EOS for δ -609 Al_{0.87}Fe_{0.13}OOH and to incorporate our model for the vibrational structure of the Fe-bearing 610 substructure, we assume the Debye temperature of δ -Al_{0.87}Fe_{0.13}OOH to be given by: 611

$$\theta(V,T) = x_{\rm Fe}\theta_{\rm Fe}(V,T) + (1-x_{\rm Fe})\theta_{\delta}(V) \tag{9}$$

where x_{Fe} is the molar fraction of the FeOOH component and θ_{δ} is the Debye temperature of the remainder of the oxyhydroxide solid solution, i.e., of the AlOOH component for δ -(Al,Fe)OOH. The Debye temperature θ_{δ} follows a quasi-harmonic model (*34*) with parameters $\theta_{\delta 0}$, $\gamma_{\delta 0}$ and $q_{\delta 0}$. The quasi-harmonic contribution to pressure is then given by:

617
$$P_{\rm QH}(V,T) = \frac{\gamma}{V} \Delta U_{\rm QH}(V,T)$$
(10)

The change in thermal energy ΔU_{QH} is calculated from a quasi-harmonic Debye model (34, 72) and the Grüneisen parameter γ is given by the definition $\gamma = -\partial \ln \theta / \partial \ln V$. Note that the additional rise in the Debye temperature across the spin transition will give rise to a positive excursion of the Grüneisen parameter, enhancing the quasi-harmonic (or vibrational) contribution to pressure and other properties as predicted by first-principles computations for

a spin transition in another material (73). Here, we constrained the quasi-harmonic parameters 623 $\theta_{\delta 0}$, $v_{\delta 0}$ and $q_{\delta 0}$ by fitting our model to the experimentally observed thermal pressures (Fig. 2B, 624 Table S2) while keeping the quasi-harmonic parameters of the Fe-bearing substructure fixed. 625 All quasi-harmonic parameters for the thermal EOS of δ -Al_{0.87}Fe_{0.13}OOH are summarized in 626 Table 2. The fact that the quasi-harmonic parameters we derived for the AlOOH component of 627 δ -(Al,Fe)OOH are consistent with those of a thermal EOS for pure δ -AlOOH (16) (Table 2) 628 corroborates our choice of partitioning contributions to the vibrational structure between the 629 FeOOH and AlOOH components. However, we do not expect our model for the FeOOH 630 component in the δ -(Al,Fe)OOH solid solution to describe the properties of the end member ϵ -631 FeOOH, for example, because the crystal-field model strictly applies to dilute systems. 632

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634 Effect of ferric iron on the H₂O activity in Earth's lower mantle

For hydrous bulk rock compositions, such as hydrous mafic and ultramafic compositions, the activity of H_2O in Earth's lower mantle at pressures in excess of about 40 GPa will be linked to the reaction between the Fe-Al component of bridgmanite (bdm) and the H_2O component of a fluid or melt phase (fl) to form the Fe-Al component of an oxyhydroxide solid solution (ohy):

639

$$FeAlO_3 (bdm) + H_2O (fl) = FeAlO_2(OH)_2 (ohy)$$
(11)

The reverse reaction describes the dehydration of Fe-bearing oxyhydroxides. All reactants are
 components of fluid or solid solutions with their chemical potentials given by:

642

$$\mu = \mu^* + RT \ln a \tag{12}$$

where μ^* is the chemical potential, or Gibbs free energy, of the pure component and *a* is the activity of the component in solution. At thermodynamic equilibrium, the overall change in Gibbs free energy of reaction (11) equals zero, and we find for the activity of the H₂O component in a fluid phase:

$$aH_2O = \frac{a_{ohy}}{a_{bdm}} \exp\left(\frac{\Delta^*G}{RT}\right)$$
(13)

The subscripts ohy and bdm identify the Fe-Al components of the oxyhydroxide and 648 bridgmanite solid solutions, respectively, and Δ^*G is the difference in Gibbs free energy 649 between the pure components. To evaluate the effect of the spin transition of Fe³⁺ in the 650 oxyhydroxide phase on reaction (11), we can calculate the H_2O activity with equation (13) for 651 two scenarios: A) Fe³⁺ in the oxyhydroxide phase goes through a spin transition (marked with 652 superscript #) and B) Fe^{3+} in the oxyhydroxide phase does not go through a spin transition, i.e., 653 $\varphi(^{6}A_{1}) = 1$ and $\varphi(^{2}T_{2}) = \varphi(^{4}T_{1}) = 0$ at all pressures and temperatures. The ratio of the H₂O 654 activities of both scenarios will then be given by: 655

$$\frac{a^{\#}H_2O}{aH_2O} = \frac{a_{bdm}}{a_{bdm}^{\#}} \frac{a_{ohy}^{\#}}{a_{ohy}} \exp\left(\frac{\Delta^{\#}G}{RT}\right)$$
(14)

Here, $\Delta^{\#}G$ is the difference in Gibbs free energy between the Fe-Al component of the 657 oxyhydroxide phase with and without a spin transition, i.e., $\Delta^{\#}G = \mu^{*\#}_{ohy} - \mu^{*}_{ohy}$, and can be 658 calculated from our model for the electronic and vibrational excess contributions to energy, 659 pressure, and entropy. Figure 4A shows energetic and entropic excess contributions to $\Delta^{\#}G$ 660 along an isentropic temperature profile. Assuming that the activities of the Fe-Al components 661 of bridgmanite and the oxyhydroxide phase, or their ratios, change only slightly, the right-hand 662 side of equation (14) is dominated by the exponential term. Note that Fe^{3+} of the Fe-Al 663 component of bridgmanite is expected to remain largely in the high-spin state (38-40) at 664 pressures and temperatures of Earth's lower mantle. 665

666

667 Effect of ferric iron on the thermal stability of oxyhydroxides

The stability limit or dehydration of Fe-Al-bearing oxyhydroxides can be formulated as the reverse of reaction (11):

$$FeAlO_2(OH)_2 (ohy) = FeAlO_3 (bdm) + H_2O (fl)$$
(12)

If the activity of H_2O in the fluid phase (fl) is buffered at a constant value, a reduction of the Gibbs free energy of the FeAlO₂(OH)₂ component of the oxyhydroxide phase by the spin transition of Fe³⁺ will change the temperature for which reaction (12) is in equilibrium at a given pressure, i.e, $\Delta G(P,T) = 0$. As illustrated in Figure 4B, the shift in temperature depends on the reduction of the Gibbs free energy $\Delta^{\#}G$ due to the spin transition and on the slope $(\partial G_{BP}/\partial T)_P = -S_{BP}$ of the breakdown products as $\Delta^{\#}T_{SOL} = \Delta^{\#}G/(\partial G_{BP}/\partial T)_P = -\Delta^{\#}G/S_{BP}$. In fact, this approximation will slightly underestimate $\Delta^{\#}T_{SOL}$ because the entropy of the FeAlO₂(OH)₂ component is neglected.

Assuming pure components $FeAlO_2(OH)_2$ and $FeAlO_3$ of the oxyhydroxide phase and bridgmanite, respectively, the entropy S_{BP} of the breakdown products is given by the sum S_{BP} $= S_{bdm} + S_{H2O} - R \ln(aH_2O)$, where *R* is the molar gas constant. The entropies S_{bdm} and S_{H2O} of pure FeAlO₃ and H₂O, respectively, at the pressure and temperature of interest can be calculated from thermodynamic data with the approximation that the entropy of the pure H₂O component of a fluid phase or a melt is similar to the entropy of pure H₂O. For H₂O, we used the same thermodynamic approach as in (*10*):

686
$$S = S_{298}^{\circ} + \int_{298}^{T} \frac{C^{\circ}(T)}{T} dT$$
(13)

with tabulated parameters for the heat capacity $C^{\circ}(T)$ and the entropy S°_{298} at the reference state (10). Due to the absence of relevant thermodynamic data on the bridgmanite component FeAlO₃, we estimated the respective entropy by using a Debye model (72):

690
$$S = 9NR \left(\frac{T}{\theta}\right)^3 \int_0^{\frac{\theta}{T}} \frac{\tau^3}{e^{\tau} - 1} - \tau^2 \ln(1 - e^{-\tau}) d\tau$$
(14)

with a quasi-harmonic model for the Debye temperature θ of the bridgmanite component MgSiO₃ (34, 74).

All properties ($\Delta^{\#}G$, S_{bdm} , S_{H2O}) were calculated along the solidus curve of the system MgO– Al₂O₃–SiO₂–H₂O (*19*) to approximate the yet unknown solidus curve of the Fe-bearing system. This approximation is justified by the observation that the incorporation of iron did not significantly affect the solidus temperatures of phase D-bearing assemblages up to pressures of

- $_{697}$ 32 GPa (12). Because the H₂O activity in fluid phases of experiments and in the mantle is
- unknown, we assumed a range of values $aH_2O = 1, 0.1, 0.01, 0.001$, and 0.0001. The estimated
- shift $\Delta^{\#}T_{SOL}$ was added to the solidus temperatures of the system MgO-Al₂O₃-SiO₂-H₂O to
- illustrate how the spin transition of Fe^{3+} may stabilize oxyhydroxides to higher temperatures
- 701 (Fig. 5B).

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931

932 Author contributions

J.B. and J.M.J. designed the research project. J.B. prepared XRD and NRIXS experiments,
analyzed experimental data, developed thermodynamic models, and wrote the manuscript.
J.M.J. and W.S. validated data analyses. W.S. wrote and maintains the software MINUTI and
PHOENIX. J.B., O.P., V.V.D., W.S., and J.M.J. performed XRD and NRIXS experiments.
S.C., E.G., and T.S.T. assisted with experiments at APS. T.I. synthesized and characterized δAl_{0.87}Fe_{0.13}OOH samples. All authors commented on the manuscript.

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940 **Competing interests**

⁹⁴¹ The authors declare that they have no competing interests.

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943 **Data and materials availability**

All data needed to evaluate the conclusions in the paper are presented or cited in the paper and/or the Supplementary Materials. Supplementary Tables S1 and S2 are available from the corresponding author upon request.

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| 948 | Tables | (see following | pages) |
|-----|--------|----------------|--------|
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952 Table 1: Isothermal equation of state of δ-Al_{0.87}Fe_{0.13}OOH

| | Isothermal contribution | | |
|--------|-------------------------|----------|--|
| V_0 | (Å ³) | 56.93(4) | |
| K_0 | (GPa) | 205(3) | |
| K_0' | | 4.34(8) | |

Electronic contribution

| Δ_0 | (cm^{-1}) | 15374(94) |
|------------|---------------|-----------|
| B_0 | (cm^{-1}) | 655* |
| B/C | | 4.73* |
| δ | | 5* |
| b | (= <i>c</i>) | -0.94(12) |

The isothermal contribution is described by a 3rd order Birch-Murnaghan equation of state. The electronic contribution is described by a crystalfield model (*32*). * values from (*71*)

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| | FeOOH component | | | AlOOH component | |
|-------------------------|-----------------|-------------|---------------|-----------------|----------|
| | ${}^{6}\!A_{1}$ | $^{2}T_{2}$ | ${}^{4}T_{1}$ | δ | δ-Alooh |
| V_0 (Å ³) | 56.93 | 55.50 | 56.27 | 56.93 | 55.13 |
| $	heta_0$ (K) | 402(6) | 336(12) | 369(7)* | 1357(883) | 526(422) |
| γο | 1 | 4.89(27) | 2.95(14)* | 1.24(37) | 1.62(10) |
| q_0 | 1 | 1 | 1 | 2.05(1.07) | 3.19(21) |

Table 2: Quasi-harmonic parameters of δ-Al_{0.87}Fe_{0.13}OOH

Values in *italics* were fixed during fitting. The parameters for the FeOOH component were derived from high-pressure NRIXS data. The parameters for the AlOOH component (δ) were derived from high-pressure high-temperature XRD data. For comparison, the parameters for pure δ -AlOOH were derived by refitting previously reported data (16) to a Debye model (34). * average of ${}^{6}A_{1}$ and ${}^{2}T_{2}$

973 Supplementary figures

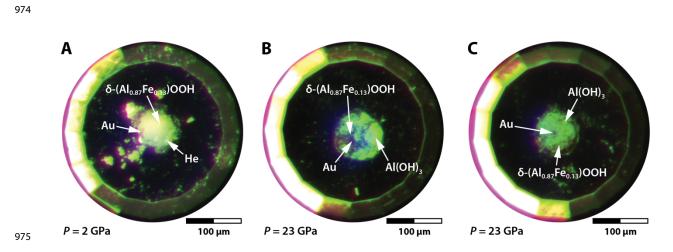


Fig. S1: Pressure chambers of diamond anvil cells for X-ray diffraction experiments. (A) For X-ray diffraction experiments at high pressures and room temperature, powder of δ -Al_{0.87}Fe_{0.13}OOH was loaded together with gold (Au, pressure calibrant) and helium (He, pressure-transmitting medium). (B) For X-ray diffraction experiments at simultaneously high pressures and high temperatures, powder of δ -Al_{0.87}Fe_{0.13}OOH and gold (Au, pressure calibrant) were embedded in Al(OH)₃, which served as pressure-transmitting medium and thermal insulation and prevented dehydration of δ -Al_{0.87}Fe_{0.13}OOH during laser heating.

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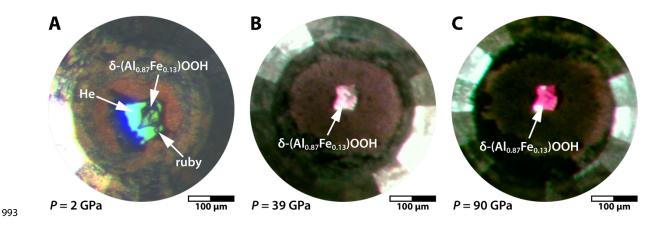
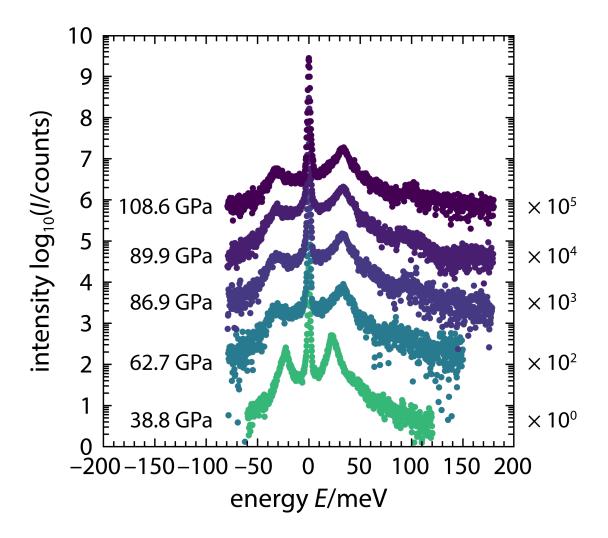


Fig. S2: Pressure chamber of the diamond anvil cell for nuclear resonant inelastic X-ray scattering experiments at different pressures. (A) 2 GPa. (B) 39 GPa. (C) 90 GPa. A grain of δ -Al_{0.87}Fe_{0.13}OOH was loaded together with a ruby sphere (ruby, pressure calibrant) and helium (He, pressure-transmitting medium). With increasing pressure, the helium (partly) escaped from the pressure chamber. Note the change in color of δ -Al_{0.87}Fe_{0.13}OOH from pale orange at low pressures to bright red at high pressures.



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1002Fig. S3: High-pressure nuclear resonant inelastic X-ray scattering spectra. Nuclear1003resonant inelastic X-ray scattering (NRIXS) spectra of δ-Al_{0.87}Fe_{0.13}OOH with 57 Fe/ΣFe = 961004% recorded at different pressures and room temperature. Spectra are vertically offset for clarity1005as indicated on the right.