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Title: Pan-European groundwater to atmosphere terrestrial systems climatology from a physically consistent simulation

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Abstract

Applying the Terrestrial Systems Modeling Platform, TerrSysMP, this study provides the first simulated long-term (1996-2018), high-resolution (~12.5km) terrestrial system climatology over Europe, which comprises variables from groundwater across the land surface to the top of the atmosphere (G2A). The data set offers an unprecedented opportunity to test hypotheses related to short- and long-range feedback processes in space and time between the different interacting compartments of the terrestrial system. The physical consistency of simulated states and fluxes in the terrestrial system constitutes the uniqueness of the data set: while most regional climate models (RCMs) have a tendency to simplify the soil moisture and groundwater representation, TerrSysMP explicitly simulates the full 3D soil- and groundwater dynamics, closing the terrestrial water cycle from G2A. Anthropogenic impacts are excluded, the dataset may serve therefore as a near-natural reference for global change simulations including human water use and climate change. The data set is available as netCDF files for the pan-European EURO-CORDEX domain, stored in an open access data repository at the Jülich Supercomputing Centre.

Background & Summary

One of the main impacts of climate change highlighted by the 5th IPCC assessment report (AR5) is “the amplification of temperature extremes by changes in soil moisture”¹⁻³, via a positive feedback mechanism that intensifies and increases the frequency of heat waves given the projected increase in summer drying conditions. The associated processes of the terrestrial water and energy cycle result from the interactions between the subsurface, the land surface and the atmosphere. These processes are essential to reproduce, predict and project climatic extreme events in simulations^{4,5}. Because in most land surface models (LSMs) water transport and runoff has historically been treated in a simplified way, combined with free drainage lower boundary conditions in the subsurface, soil moisture states and fluxes and interactions between groundwater and soil moisture are biased, for example towards an excessive drying with multiple impacts, e.g., on the land-atmosphere coupling and the reproduction of extremes such as heat waves⁶. Recent LSM model improvements for regional climate models (RCMs)^{7,8} can lead to physically consistent interactions between the groundwater, the vadose zone, and the land-surface. Yet, many RCMs and global climate models (GCMs), contributing to CMIP5³ or CORDEX⁹ still simplify these interactions. The AR5 acknowledges that the spread in regional climate projections over Europe is still substantial, due to large uncertainties

related to natural heterogeneity and chaos, but also due the inherent model structural deficiencies in fully representing two-way, non-linear feedbacks across the terrestrial system.

The dynamic feedbacks between the interacting compartments of the terrestrial system have been studied previously and corroborate the added value in coupling the respective compartment models to improve simulations⁷ and forecasts. Research has focused on the interactions of the soil moisture state and the atmosphere¹⁰. In addition, the sensitivity of land surface fluxes on the depth of the groundwater table has been demonstrated¹¹, particularly for a critical water table depth of 1–5m where the influence on energy balance is most pronounced, depending on soil heterogeneity and land use type. Similar effects of water table depths on land surface fluxes have been found⁵ using an idealized simulation set-up to infer the effects of topography, land cover, atmospheric forcing and subsurface heterogeneity. A study¹² on the feedback between groundwater table depth and energy fluxes under changing climate conditions showed that such interactions depend on the prevailing hydrological conditions (energy limited versus moisture limited).

Unlike previous approaches, in which groundwater dynamics are usually not interacting with the atmosphere, terrestrial models such as the Terrestrial Systems Modeling Platform (TerrSysMP) can provide a fully coupled representation of the terrestrial water and energy cycles. The impact of the representation of groundwater in regional climate simulations, has been demonstrated in a number of studies^{13,14} from the catchment to the continental scale. Previous TerrSysMP simulations over the Europe concentrated on the 2003 heat wave, showing a significant impact of groundwater states and the related land-atmosphere feedbacks¹⁵, and demonstrating far reaching impacts of human water use, beyond the local scale through atmospheric moisture transport¹⁶. However, no physically consistent climatology of the coupled terrestrial hydrologic and energy cycles from the groundwater into the atmosphere is currently available.

In this study, TerrSysMP is run for the European CORDEX domain¹⁷ as a first step to establish a terrestrial systems climatology for the past decades, with a focus on a physically consistent representation of variably saturated groundwater and overland flow coupled with land surface and atmospheric processes. The dataset we present features daily simulation results since January 1989, but as some grid cells only reach the groundwater equilibrium in 1995, the period applicable for analysis consists of 23 water-years from September 1996 to August 2018 of all essential variables to describe the terrestrial water and energy cycles (Online-only Table 1). The TerrSysMP- G2A data set is a valuable innovative data set to analyze and understand the mechanisms and interactions of water and energy in the terrestrial system including extreme events such as heat waves and droughts.

Methods

Data generation method - The Terrestrial Systems Modeling Platform (TerrSysMP)

The Terrestrial System Modeling Platform, TerrSysMP^{13,18} version 3.1 is a scale-consistent fully coupled regional Earth system model, comprising the numerical weather prediction model COSMO¹⁹, the land surface model CLM version 3.5²⁰ and the surface-subsurface hydrologic model ParFlow^{21–23} version 3.2. The models are externally coupled via the Ocean Atmosphere Sea Ice Soil (OASIS3) Model Coupling Toolkit (MCT) coupler²⁴.

The COnsortium for Small Scale MOdelling (COSMO) model system is used by several meteorological services for operational numerical weather prediction (NWP)¹⁹ and for climate

change research as the COSMO Climate Limited area Modelling system (CCLM)²⁵. COSMO is a non-hydrostatic limited-area atmospheric model based on the primitive thermo-hydrodynamic Euler equations without scale-dependent approximations, describing fully-compressible flow in a moist atmosphere¹⁹. In COSMO, both adiabatic transport processes and diabatic processes, such as radiation, turbulence, cloud formation and precipitation are included. The prognostic atmospheric variables in this study encompass pressure, horizontal and vertical wind components, temperature, water vapour, cloud water, cloud ice, rain, snow and the turbulent kinetic energy. At land grid points, additional diagnostic variables, such as the 2m air temperature and humidity and 10m wind are provided.

In TerrSysMP, the lower boundary information for COSMO is provided by the widely used Community Land Model (CLM). This boundary condition is composed of surface albedos, upward longwave radiation, sensible heat flux, latent heat flux, water vapor flux, and zonal and meridional surface stresses required by the atmospheric model²⁰. These variables are determined by diverse eco-hydrological processes simulated by CLM, such as root water uptake and transpiration by plants. In turn, CLM receives the short- and long-wave radiation, near-surface temperature, barometric pressure, specific humidity, wind speeds, and precipitation from COSMO at each grid point.

In TerrSysMP, the surface water and groundwater flow are calculated by ParFlow. In the coupling, CLM provides the sources and sinks for soil moisture to ParFlow; these are precipitation throughfall and depth-differentiated (root) water uptake from evapotranspiration. In turn, in order to calculate the land surface water and energy balances, CLM receives from ParFlow spatially distributed soil moisture and soil matric potential, which are calculated by ParFlow based on Richards equation and the appropriate initial and boundary conditions in a continuum approach^{21,23}. Surface runoff is calculated by a kinematic wave equation in ParFlow²⁶. This leads to a dynamic coupling of land surface processes and 3D variably saturated groundwater flow 3D heterogeneity in soil and hydrogeologic hydraulic properties.

The component models are coupled using OASIS3-MCT¹³, following a Multiple Program Multiple Data (MPMD) paradigm in an efficient parallel approach for massively parallel supercomputer environments (Gasper et al., 2014). Hence all simulations of this study are based on TerrSysMP in fully coupled mode including ParFlow, CLM and COSMO.

Model setup

The model is set up over the European continent (Figure 1), using a rotated latitude-longitude model grid with a horizontal resolution of 0.11° (~12,5 km, termed as the "EUR-11" grid) from the COordinated Regional Downscaling EXperiment (CORDEX) project^{17,27,28}, to ensure consistency in comparison with the ensemble of CORDEX RCM experiments (Table 1).

In this setup, CLM has 10 soil layers with a total depth of 3m. These layers correspond to the 10 top layers of ParFlow, which has 5 additional layers with increasing thickness towards the bottom of the model domain reaching a total depth of 57m to represent shallow and deeper aquifers. Along the coastlines the boundary condition is defined as a constant hydraulic pressure with a hydrostatic profile based on a shallow water table of 0.05m below the land surface. The topography in ParFlow is represented by D4 slopes calculated from the USGS GTOPO30 digital elevation data set, and the terrain following grid transform²⁹ with variable vertical discretization in order to improve the simulations for large topographic gradients and coarse lateral resolutions. The time step for ParFlow and CLM is 15 minutes, while COSMO

runs with a 60 seconds time step. The coupling frequency between the component models is 15 minutes using averaged values from COSMO.

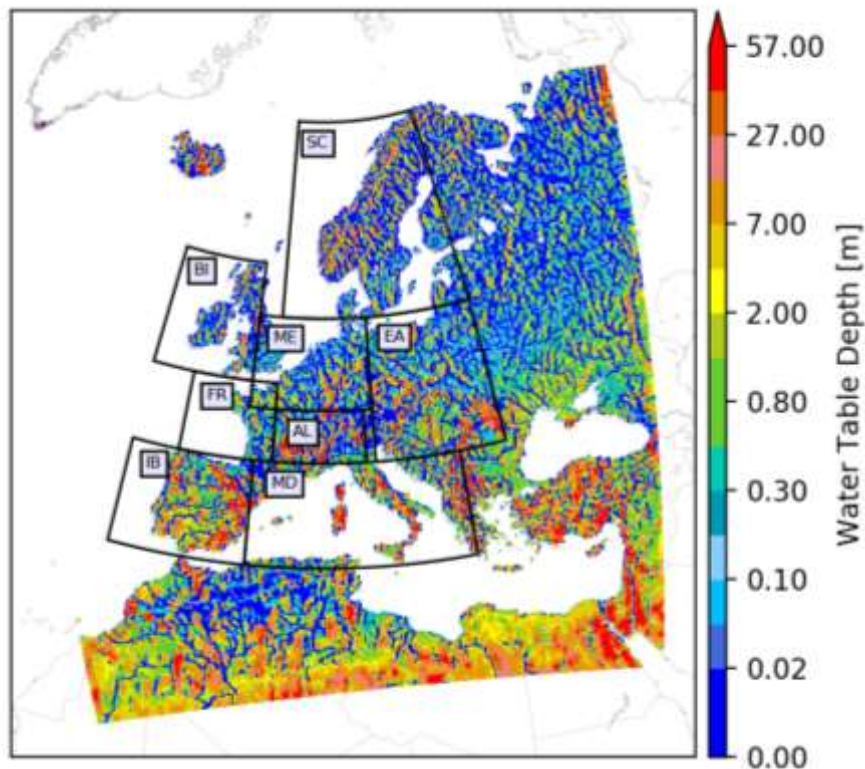


Figure 1. **Water table depth [m] climatology from September 1st 1996 to August 31st 2018, represented within the EURO-CORDEX domain (412x424 grid cells).** The black boxes correspond to the PRUDENCE³⁰ regions, for which the data time-series validation has been performed.

The hydraulic conductivity parameters for ParFlow are estimated³¹ based on the soil texture taken from the Food and Agricultural Organization (FAO) database³². Fifteen different soil types condition the permeability, so that, e.g., grid cells with a soil dominated by clay have a vertical permeability of 0.062 m/hr, while for those composed mainly of sand, a vertical permeability of 0.27 m/hr is defined. The horizontal permeability values are scaled by a factor of 1000, following the scaling effect of the hydraulic parameter according to the grid resolution, resulting from the loss of information of the terrain curvature as consequence of spatial aggregation³³. The land cover data from the Moderate Resolution Imaging Spectroradiometer (MODIS³⁴) data is used to define the plant functional types (PFT) for CLM. The leaf area index, the stem area index, and the monthly bottom and top heights of each PFT are calculated based on the global CLM surface data set²⁰. The COSMO model configuration resembles the settings of the CCLM community (<https://www.clm-community.eu/>).

In order to initialize the model with regard to land surface and subsurface hydrologic and energy states, a dynamic hydrologic equilibrium with the atmosphere must be obtained via a spinup of the model system (Figure 2, top right boxes). In the spinup, the groundwater-land surface subsystem was simulated using ParFlow-CLM using a 1979-1989 climatologic atmospheric forcing derived from the ERA-Interim³⁵ reanalysis. This forcing consists of an annual time series of 6-hourly time steps at each grid point, averaged over 11 years (1979-1989). The reanalysis data were retrieved from the European Centre for Medium-Range Forecasting (ECMWF) MARS archive. The ERA-Interim variables specific humidity, air

temperature, 10m wind speed, precipitation, long and short wave as well as the geopotential height at 0.7° lateral resolution at the lowest ERA-Interim model level were resampled to the EUR-11 grid of TerrSysMP using the COSMO “int2lm” pre-processing software (Figure 2, top left boxes). A stable dynamic equilibrium with regard to, e.g., soil moisture and groundwater states was achieved after running the ParFlow-CLM model system in a closed loop for 20 cycles. One cycle means one year simulation (January to December) driven by the above mentioned data set. After 20 cycles, the surface and subsurface model states converge and then constitute the initial surface and subsurface conditions for the fully coupled simulation starting from 1989-01-01. The model initialisation in 1989 makes the simulations compatible with the experiment protocol of the EURO-CORDEX RCM ensemble experiments.

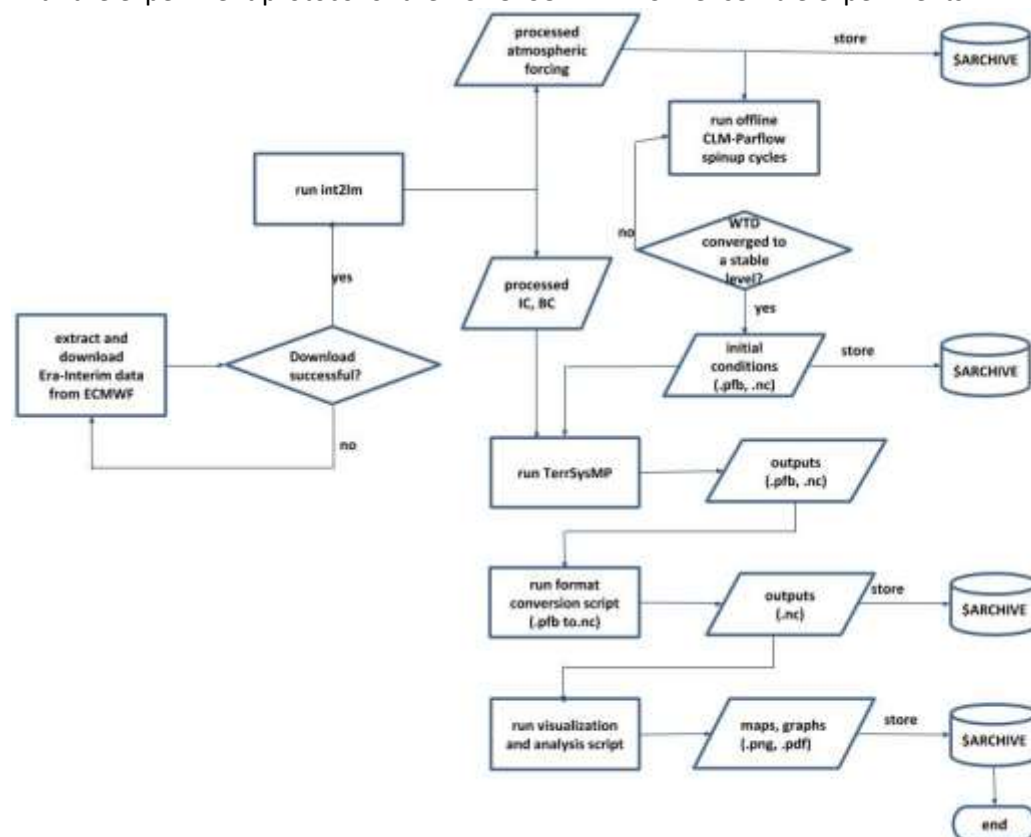


Figure 2. **TerrSysMP workflow for climate simulation experiments.** Tasks and data flow are presented from the extraction of initial conditions (IC), atmospheric forcing for spin-up and boundary conditions (BC), through pre-processing COSMO inputs with int2lm, model spin-up, climate simulations with TerrSysMP (run TerrSysMP) down to the visualisation and analysis, also showing in which steps input and output data are stored on the Jülich Supercomputing Centre tape archive system (\$ARCHIVE).

The ERA-Interim reanalysis data is also used for the COSMO model atmospheric initial and lateral boundary conditions for the EUR-11 domain throughout the fully coupled model simulations. In order to update the lateral boundaries more frequently than the available 00 and 12 UTC analyses, additional 3, 6, 9 and 15, 18 and 21 UTC forecasts from ERA-Interim are estimated by linear interpolation. TerrSysMP is run transient from January 1989 to August 2018, with monthly restarts and no re-initializations of any compartment. Nudging is not used in order to let the evolution of feedback processes evolve freely.

The simulation workflow (Figure 2) commences with the extraction of initial conditions (IC) and boundary conditions (BC) from ECMWF, followed by the pre-processing of the COSMO inputs with int2lm as a driver for the spin-up runs and as forcing data for the main TerrSysMP

simulations. The model spin-up of 20 cycles (years) is performed once before the actual climate simulations with TerrSysMP (*run TerrSysMP* in Figure 2) can be launched. Concurrently to the model runs, TerrSysMP outputs are continuously post-processed, analysed, visualized and stored at the Jülich Supercomputing Centre following a data-centric simulation and processing paradigm where data moving is kept to a minimum.

Table 1. Model setup of TerrSysMP over the EURO-CORDEX EUR-11 domain. ParFlow and CLM use the same equal-area rotated standardized latitude-longitude grid as COSMO. Please note the grid specification here is including the lateral boundary relaxation zone. The EUR-11 grid mandatory focus area is 424x412 grid elements.

	ParFlow	CLM	COSMO
Horizontal grid specifications (number of grid points)	436x424	436x424	444x436
Horizontal resolution	0.11°	0.11°	0.11°
Vertical levels	15	10	50
Vertical resolution	variable	variable	variable
Depth/height	57 m	3 m	22 km
Time step	900s	900s	60s

The raw outputs from the three component models are archived with 3h frequency in monthly netCDF files. In addition to data format conversion (binary files from ParFlow to netCDF) and the reduction of the number of files by merging the data in monthly files, post processing also consists of temporal aggregation, calculating daily, monthly and seasonal temporal averages using primarily the Climate Data Operators (CDO, available at <http://www.mpimet.mpg.de/cdo>). Boundary relaxation zones are removed from each side of the domain. In order to efficiently exchange data and as a means of data provenance tracking, final outputs are transferred to be as much as possible compliant with the CORDEX Archive Design, which in turn is derived from the CMIP specifications. In the process of "CMORization", data are stored using a predefined Data Reference Syntax (DRS) for the paths and filenames, and defined meta-data per variable as well as global attributes to describe the experiment. This ensures re-usability and interoperability.

Code availability

Stable release versions of TerrSysMP are provided through a git development repository available at the model's website (<https://www.terrsysmp.org>). The release version includes extensive instructions for installing the system, including sample reference test cases for typical application examples, as well as a suite of pre-processing and post-processing tools. TerrSysMP is essentially released without its component models, i.e., the release contains the built system, all configuration files, such as namelists, for the sample cases, the component model code patches and all coupler related modifications. The user must download the component models from their respective separate repositories: All ParFlow releases are available via GitHub (<https://github.com/parflow/parflow>). The official CLM website (<http://www.cgd.ucar.edu/tss/clm/distribution/clm3.5/index.html>) offers all links to documentation, source code, and input data for the stand-alone version release of CLM as used in this study. The COSMO model is available only after registration (cosmo-licence@cosmo-model.org) and is also free of charge for research applications. More information on the procedure and licensing terms are available at the COSMO model website (<http://www.cosmo-model.org/content/support/software/default.htm>). It must be noted that the TerrSysMP model system supports various combinations of different component model versions; e.g. ParFlow only, ParFlow-CLM, CLM-COSMO, ParFlow-CLM-COSMO.

Data records

The data set is available as netCDF V3 files without compression and is stored at a persistent data repository at the Jülich Supercomputing Centre (<https://datapub.fz-juelich.de/slts/cordex>), as well as at PANGAEA, <https://doi.org/10.1594/PANGAEA.901823>. The spatial resolution and grid specification corresponds to the EURO-CORDEX EUR-11 domain, according to the CORDEX data protocol specification (Version 3.1, 3 March 2014, http://is-enes-data.github.io/cordex_archive_specifications.pdf), with 424x412 grid elements on the rotated 0.11° grid. We provide time-series of daily means, aggregated into yearly files. The file names are structured according to the Data Reference Syntax as defined by the EURO-CORDEX archive design:

<variable>_<spatial resolution>_<boundary conditions dataset>_<period>_<run identification>_<institute>_<model>_<data version>_<time step>_<initial time step>_<final time step>. For example, the file `clw_EUR-11_ECMWF-ERAINT_evaluation_r1i1p1_FZJ-IBG3-TERRSYSMP11_v1_day_20070101-20071231.nc` is the vertical integrated cloud ice variable, at the EUR-11 resolution (12,5km). The run used ECMWF ERA-Interim data, ensemble member r1i1p1, as boundary conditions during the evaluation period, performed at the Research Centre Jülich (FZJ) at IBG-3 Institute, using TerrSysMP version 1.1. It corresponds to the first data-set version (v1), and the file contains daily values between 2007-01-01 and 2007-12-31. Each self-describing file also contains the definition of the geographical coordinate system of the grid (latitudes, longitudes and rotated pole).

Online only-Table 1. TerrSysMP output variable names with their long names, physical units, the generating model and its number of vertical levels provided per variable in the dataset. As far as possible the output variable names and long names are compliant to the CORDEX archive specifications and the CMIP5 standard. Variable names with an asterisk (*) indicate that this is a variable, which had to be newly defined for this data release from TerrSysMP, as they are not part of the official variable definition.

Variable names	Long names	Units	Model	Levels
awt*	Atmosphere Total Water Content	kg m ⁻²	COSMO	1
capec*	Specific Convectively Available Potential Energy	J kg ⁻¹	COSMO	1
capeml*	Cape of Mean Surface Layer Parcel	J kg ⁻¹	COSMO	1
ceiling*	Cloud Ceiling Height (Above MSL)	m	COSMO	1
cli*	Vertical Integrated Cloud Ice	kg m ⁻²	COSMO	1
clt	Total Cloud Fraction	1	COSMO	1
clw*	Vertical Integrated Cloud Water	kg m ⁻²	COSMO	1
evspsbl	Evaporation	mm s ⁻¹	CLM	1
hfls	Surface Upward Latent Heat Flux	W m ⁻²	CLM	1
hfss	Surface Upward Sensible Heat Flux	W m ⁻²	CLM	1
hdiv*	Atmosphere Water Divergence	kg m ⁻²	COSMO	1
hur2*	2m Relative Humidity	%	COSMO	1
hur200(500,850)*	Relative Humidity (at 200, 500 and 850 hPa)	%	COSMO	3
hus2*	2m Specific Humidity	kg kg ⁻¹	COSMO	1

hus200(500,850)	Specific Humidity (at 200, 500 and 850 hPa)	1	COSMO	3
incml*	Convective Inhibition of Mean Surface Layer Parcel	J kg ⁻¹	COSMO	1
pgw*	Groundwater Pressure	m H ₂ O	PF	15
pr	Precipitation	kg m ⁻² s ⁻¹	COSMO	1
prc	Convective Precipitation	kg m ⁻²	COSMO	1
prg*	Large Scale Precipitation	kg m ⁻²	COSMO	1
prsn	Snowfall Flux	kg m ⁻² s ⁻¹	CLM	1
prso*	Precipitation on Ground	kg m ⁻² s ⁻²	CLM	1
prt*	Total Rain Water Content Vertically Integrated	kg m ⁻²	COSMO	1
ps	Surface Air Pressure	Pa	COSMO	1
psl	Sea Level Pressure	Pa	COSMO	1
rlds	Surface Downwelling Longwave Radiation	W m ⁻²	CLM	1
sgw*	Groundwater Saturation	1	PF	15
snt*	Total Snow Content Vertically Integrated	kg m ⁻²	COSMO	1
ta200(500,850)	Air Temperature (at 200, 500 and 850 hPa)	K	COSMO	3
tas	Near-Surface Air Temperature	K	CLM	1
tch	Drag Coefficient of Heat	1	COSMO	1
td2	2m Dew Point Temperature	K	COSMO	1
trspbl*	Transpiration	W m ⁻²	CLM	1
ua200(500,850)	Eastward Wind (at 200, 500 and 850 hPa)	m s ⁻¹	COSMO	3
uas	Eastward Near-Surface Wind Velocity	m s ⁻¹	COSMO	1
va200(500,850)	Northward Wind (at 200, 500 and 850 hPa)	m s ⁻¹	COSMO	3
vas	Northward Near-Surface Wind Velocity	m s ⁻¹	COSMO	1
vso*	Volumetric Soil Water	m ³ m ⁻³	CLM	10
wtd*	Water Table Depth	m	PF	1
zg200(500,850)	Geopotential Height (at 200, 500 and 850 hPa)	m	COSMO	3
zmla	Height of Boundary Layer	m	COSMO	1

Limitations

Due to the fact that the simulation was run transient after initialisation in 1989-01-01, without re-initializations, the model solution is expected to diverge from the forcing data as well as observations at the event scale. However, the regional anomalies compared to reference observational datasets show that the model captures the system dynamics and succession of dry/wet years, as well as heat waves and cold spells (Figures 3 and 4). Furthermore, the 12.5km resolution is not high enough to explicitly resolve convection and the development of

convective precipitation^{36,37} or the hydrology of smaller headwater catchments, including flash-flood prone watersheds.

Data Validation

The current experiment was designed to produce a near-natural climatology of the physical states of the terrestrial system, without the influence of, e.g., human water use. Accordingly, no real-world measurements are available for this type of system state for comparison and validation. Nevertheless, Figures 3 and 4 show that the model reproduces the succession of warm/cold and wet/dry seasons on the regional scale for the PRUDENCE analysis regions³⁰ (boxes in Figure 1), compared to the one of the commonly used reference datasets for temperature and precipitation, the 0.25 degrees gridded European Climate Assessment and Dataset³⁸ (E-OBS v17, ECA&D). The Pearson's correlation values between the simulated and observed data series show good agreement in most European regions, with scores ranging from 0.73 to 0.94 for mean temperature anomalies and from 0.62 to 0.88 for precipitation anomalies. The time-series used for the validation period comprises 22 years from 01-01-1996 to 31-12-2017, because the model had not reached full groundwater states equilibrium in all grid cells until 1995 and E-OBS v17 is available until the end of December 2017.

The model capability of reproducing water storage and water table depth have been discussed in previous studies focusing on the European heat wave of 2003^{15,16}. The overall WTDs simulated with TerrSysMP are comparable to the WTD composition by an observation based global gridded model of WTD³⁹ with large-scale patterns, following the terrain, representing a shallow WTD along the coastlines and in arid valleys and also inundated wetlands in lowland regions, e.g., Netherlands¹⁵.

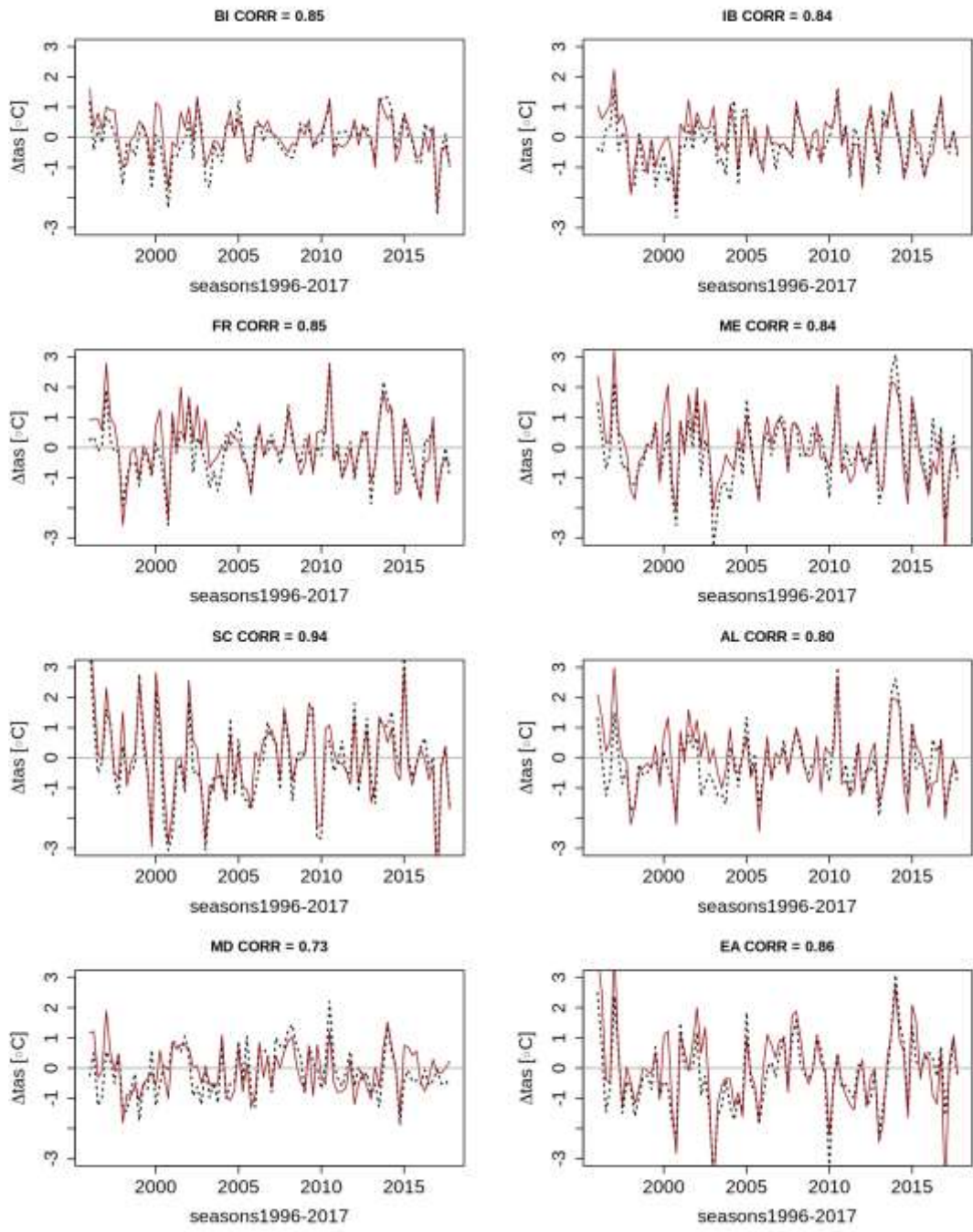


Figure 3. Seasonal anomalies of the simulated 2m air temperature compared to the E-OBS v17 dataset averaged over each PRUDENCE region³⁰. The black dashed line represents E-OBS v17 dataset whereas the continuous red line represents TerrSysMP simulated data. The corresponding sample covariance or cross-correlation (CORR) values with no lag time follow the PRUDENCE³⁰ region code (BI - British Isles, IB - Iberian Peninsula, FR - France, ME - Mid Europe, SC - Scandinavia, AL - Alps, MD - Mediterranean and EA - Eastern Europe, see Figure 1)

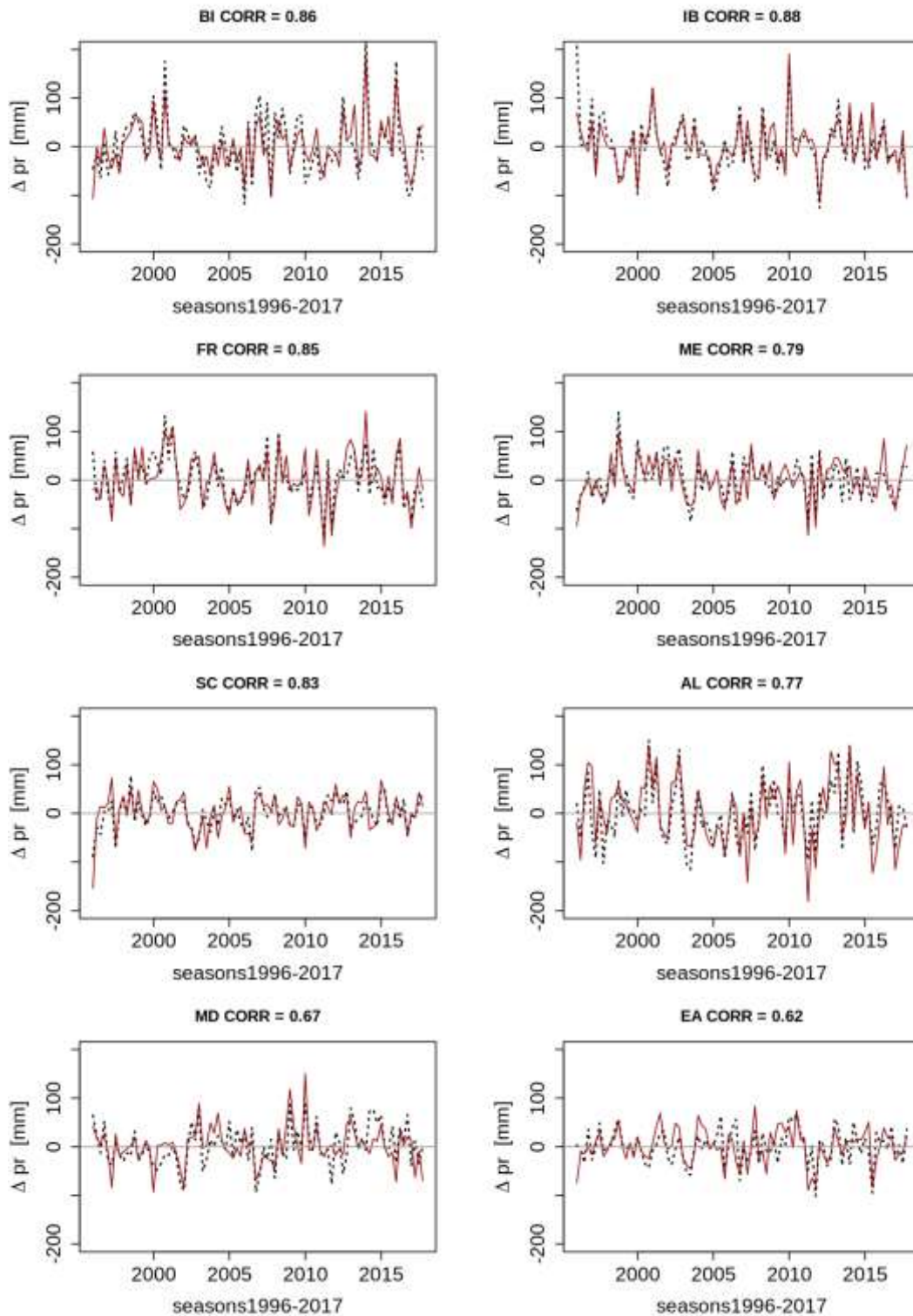


Figure 4. Seasonal anomalies of the simulated precipitation (mm) compared to the E-OBS v17 dataset over each PRUDENCE region³⁰. As in figure 3, only for precipitation instead of temperature data.

Usage Notes

As most climate data in netCDF, starting with a quick visualization can be easily achieved with any netCDF viewer such as nview (http://meteora.ucsd.edu/~pierce/nview_home_page.html), meta data of the file may be best viewed using the ncdump command; a list of software tools and libraries for using netCDF

is available from the developers of the netCDF format at UCAR (<https://www.unidata.ucar.edu/software/netcdf/software.html>). The CDO software is a collection of operators for standard processing of climate model data and can be directly used to work with this dataset taking into account the spatial reference of the data as well as the temporal information. The application is straightforward and allows a wide range of calculations from space-time aggregation to sophisticated climate index calculations. For more personalized analysis and visualization, we also recommend using Python with specific libraries such as Pandas or xarray. Codes created specifically for post-processing TerrSysMP are also available with the TerrSysMP release version.

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Author contributions

Conceived and designed the experiments: J.K., K.K. and S.K. Performed the experiments: C.F. and K.K. Analyzed the data: C.F., S.K., and K.G. Contributed with analysis tools: J.K., Wrote the paper: C.F., K.G. and S.K.

Competing interests

The authors declare no competing interests.

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