Decoding sub-seasonal predictors of extreme heat with interpretable machine learning

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1 Abstract

2 As climate change accelerates, heat waves are becoming more frequent, intense, and 3 deadly. A better understanding of sub-seasonal predictors of extreme heat is crucial for adaptation efforts. This study utilizes an interpretable machine learning model, implementing 4 5 Extreme Gradient Boosting (XGBoost) with SHapley Additive exPlanations (SHAP), to evaluate the predictive strength of various climate factors—including local weather, global climate 6 7 indices, geopotential heights, soil moisture, and sea surface temperatures-on heat waves, as 8 classified by consecutive days of extreme daily maximum temperatures across six North 9 American cities over the past 45 years. This model demonstrates strong predictive performance 10 for extreme heat on the sub-seasonal time scale, with sea surface temperatures and soil moisture 11 features emerging as more influential than atmospheric features, though key regional differences 12 in feature importance and feature dependence are shown through variation between chosen cities. 13 Bivariate relationships between MJO phase and amplitude are also uncovered through analysis of 14 model predictions. This method shows promise for rapid application to other regions and also 15 serves as a foundation for integration with dynamical modeling approaches, advancing sub-16 seasonal extreme heat forecasting more broadly.

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18 Significance Statement

As heat waves intensify with climate change, there is an urgent need for more accurate subseasonal forecasts. This research presents a novel machine learning-based method to improve heat wave predictions, offering insights into key drivers of heat on the sub-seasonal scale and enabling earlier, more precise public health interventions that can reduce heat-related illness and mortality.

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25 1. Introduction

Heat waves pose a significant and escalating threat to public health worldwide, with global
trends demonstrating increases in their intensity, duration, seasonal length, and frequency due to
anthropogenic climate change (Perkins-Kirkpatrick and Gibson 2017). As the rate of heat wave
occurrences has accelerated, there has been a clear observed rise in heat-related mortality
(Howard et al. 2024). However, forecasting heat waves, especially on sub-seasonal timescales
(two weeks to two months) remains a challenge. While there are efforts using dynamical,

statistical, machine-learning, and hybrid models for sub-seasonal forecasting efforts, their
performance varies, and they are not currently operational for forecasting extreme heat events.
Developing reliable methods to forecast these events with extended lead times is critical for
enacting timely public health interventions.

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37 This study introduces a machine-learning-based methodology to uncover sub-seasonal predictors 38 of heat waves. Specifically, this approach enables quantification and examination of the drivers 39 of extreme heat on the sub-seasonal timescale, illuminating the specific interactions of various 40 meteorological, land-surface, atmospheric, and ocean processes. This information will not only improve heat wave forecasting but also enhance broader understanding of sub-seasonal weather 41 42 patterns, identifying areas of focus for future model improvements. By extending the lead time and improving reliability of heat wave forecasts, this research aims to advance early warning 43 44 systems and support public health strategies to mitigate the adverse effects of extreme heat.

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46 Over the past decade, operational dynamical sub-seasonal forecasts have advanced significantly 47 in skill, application, and utility (White et al. 2022), with the European Centre for Medium-Range Weather Forecasting (ECMWF) extended-range (up to 46 days) ensemble forecasts (Richardson 48 49 et al. 2020) and the SubX Subseasonal Experiment (Pegion et al. 2019) among the leading 50 efforts. While these models have shown skill in forecasting some extreme weather events (Vitart 51 and Robertson 2018), other events have been dangerously missed beyond three-weeks lead time 52 (Lin et al. 2022). The body of research on sub-seasonal extreme heat forecasting is still limited, 53 restricting its operational use in emergency preparedness. Sub-seasonal climate forecasting is a missing link in developing an early-warning system for heat-related mortality (Lowe et al. 2016), 54 55 especially given that temperature-related illnesses are largely preventable with timely interventions. 56

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Purely statistical or machine learning-based models for sub-seasonal forecasting of extreme heat
have shown considerable skill, often matching or exceeding the performance of dynamical
models (Miller et al. 2021; Weirich-Benet et al. 2023). Studies have identified dry soil moisture
and persistent atmospheric blocking patterns as key factors for predicting extreme heat events
(Wehrli et al. 2019; Lee et al. 2016; Zhang et al. 2023). Recently, hybrid models that integrate

63 dynamical and machine-learning approaches, have demonstrated enhanced predictive skill

64 compared to dynamical models alone (He et al. 2022; Chung et al. 2024; Hwang et al. 2019).

65 However, further refinement in the selection of covariates and methodological approaches is

- 66 needed to optimize the performance of these hybrid models.
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68 Heat wave characteristics and drivers of heat waves vary by region and individual event (Wehrli 69 et al. 2019; Jiang et al. 2023), underscoring the need for a thorough understanding of region-70 specific drivers to improve forecast accuracy. While machine learning models have demonstrated 71 skill in sub-seasonal heat wave forecasting (Miloshevich et al. 2023), there are few studies which 72 use these methods to better understand predictors of heat waves across regions. The six cities 73 chosen for this analysis-Austin, TX (Seong et al. 2023; Boumans et al. 2014), Dallas, TX, 74 Houston, TX, Las Vegas, NV, Phoenix, AZ, USA (Habeeb et al. 2015) and Mexico City, Mexico 75 (Vargas and Magaña 2020)- are particularly vulnerable to the health effects of heat waves 76 making them ideal test cases for this novel machine learning-based methodology. The climates 77 of these regions vary dramatically, allowing for a comprehensive assessment of how land surface 78 conditions, oceanic influences, atmospheric dynamics, and broader global climate variability 79 contribute to heat wave formation and intensity. Drying summer soil moisture-a trend expected 80 to persist (Nielsen-Gammon et al. 2020)-plays a crucial role in modulating land-atmosphere feedback, particularly in Austin and Dallas, where reduced evapotranspiration can amplify 81 82 surface temperatures. The Gulf of Mexico serves as a significant moisture source for Texas 83 cities, modulating heat wave intensity through latent heat flux and atmospheric moisture 84 transport (Kimmel Jr. et al. 2016). Meanwhile, Las Vegas and Phoenix experience extreme dry heat events, driven by persistent high-pressure systems that suppress convection and limit 85 86 surface cooling (McGregor 2024). Mexico City's heat wave dynamics are shaped by urban heat 87 island effects, altitude-driven atmospheric stability, and regional circulation patterns (Aquino-88 Martínez et al. 2025). At a broader scale, global climate variability—manifested through modes such as El Niño-Southern Oscillation (ENSO) (Luo and Lau 2020), the Madden-Julian 89 90 Oscillation (MJO) (Jenney et al. 2019), the Arctic Oscillation (AO), and the North Atlantic 91 Oscillation (NAO) (Yu et al. 2023)—can modulate heat wave frequency and intensity by altering 92 large-scale atmospheric circulation patterns that influence temperature and precipitation 93 anomalies across these regions. By integrating these diverse factors into a machine learning94 based methodology, this study aims to improve forecast accuracy and enhance early warning95 systems tailored to each city's unique climate drivers.

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97 This study aims to assess the predictive skill of these different climate drivers through a
98 machine-learning approach tailored to local heat wave prediction, leveraging a comprehensive
99 range of variables. By examining individual feature impact, we aim to advance sub-seasonal heat
100 wave forecasting in North America, laying the groundwork for future regional hybrid models
101 which integrate machine learning and dynamical approaches.

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103 2. Data and methods

104 *a. Data*

This study examines data spanning the heat-wave season (1 June–30 September) over the 45year period from 1980 to 2024. The extended study duration ensures a robust sample of past heat
wave events, although it introduces some variability due to changes in local climate drivers over
the study period. Some variables are excluded which exhibit monotonic trends or demonstrate
insufficient variability for predictive modeling, such as long-term climate oscillations (e.g.,
Pacific Decadal Oscillation), change in local vegetation and land-use land-cover. The data
included and model generation workflow and shown below (Figure 1).



Figure 1. Generalized and simplified workflow for XGBoost sub-seasonal heat wave predictionand interpretation for a single city.

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117 *(i) Meteorological and Climatological Data*

Meteorological data, including maximum temperature, minimum temperature, relative humidity,
surface pressure, 10-meter wind, and total precipitation were obtained from the ERA5-Land
reanalysis dataset (Muñoz-Sabater et al. 2021). Observations were extracted for six cities:

- Austin, TX (30.25°N, 97.75°W)
- Houston, TX (29.76°N, 95.37°W)
- Dallas, TX (32.78°N, 96.80°W)
- Phoenix, AZ (33.45°N, 112.07°W)
- Las Vegas, NV (36.17°N, 115.14°W)
- Mexico City, MX (19.43°N, 99.13°W).

127 Climatological reference values for normal maximum temperature were computed using 30-year 128 means (1980–2010) from ERA5-Land. Mean daily climatological maximum temperature and the 129 standard deviation of day maximum temperature for each day over this thirty-year period were 130 used to calculate a threshold for 90th percentile daily maximum climatology. All climatological 131 data were smoothed with a 14-day running mean to reduce high-frequency variability.

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133 *(ii) Oceanic and Climate Variability Data*

To assess large-scale climate variability, the study incorporates three global climate indices andinformation on SSTs in the Niño 3.4 Region:

MJO Phase and Amplitude: The MJO was quantified on both its phase and amplitude, as
derived from the Real-Time Multivariate MJO (RMM) Index (Wheeler and Hendon
2004).

- NAO Index: Daily values of the NAO index were sourced from the NOAA/OAR/PSL
 dataset (Kalnay et al. 1996).
- AO Index: Daily values of the AO index were sourced from NOAA CPC (NOAA
 Climate Prediction Center).

143	• Niño 3.4 Region SSTs: Sea surface temperature (SST) anomalies in the Niño 3.4 region
144	(5°S–5°N, 170°–120°W) were obtained from ERA5 Post-Processed Daily Statistics
145	(Hersbach et al. 2023).
146	Additionally, two regionally relevant SST variables were included:
147	• Gulf of Mexico SST: Defined as the mean daily SST within 20°–30°N, 82°–95°W.
148	• California Current SST: Defined as the mean daily SST within 30°–40°N, 120°–130°W.
149	Both SST datasets were extracted from ERA5 Post-Processed Daily Statistics.
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151	(iii) Atmospheric Data
152	Large-scale atmospheric circulation patterns play a crucial role in modulating heat waves at
153	subseasonal timescales (2–6 weeks) (Tuel and Martius 2023). While it is difficult to simply
154	capture large scale atmospheric conditions in a few interpretable features, to account for some
155	key atmospheric drivers of extreme heat, we analyzed four variables from ERA5 hourly single
156	pressure level data (Hersbach et al. 2023):
157	• 300-hPa U-component of wind
158	• 300-hPa V-component of wind
159	• 300-hPa potential vorticity
160	• 500-hPa geopotential height
161	Each variable was averaged over a 2.5° latitude–longitude buffer zone surrounding each of
162	the six study cities. The inclusion of these specific fields is motivated by their established
163	relevance in heat wave development. 500-hPa geopotential height serves as a proxy for mid-
164	tropospheric ridging, which is associated with persistent subsidence and warming near the
165	surface (Ventura et al. 2023), 300-hPa U and V wind components characterize upper-level wave
166	patterns and jet stream variability, which influence blocking patterns that contribute to prolonged
167	heat events (Wang et al. 2016). 300-hPa potential vorticity represents upper-tropospheric wave
168	breaking and dynamic forcing mechanisms, which can lead to quasi-stationary ridges that
169	enhance heat wave duration and intensity (Parker et al. 2013). By averaging over a 2.5° spatial
170	buffer, we better capture regional-scale circulation features while mitigating some noise from
171	localized weather variability.

174 *(iv) Land Surface Data*

175 To assess surface conditions, local volumetric soil water (top one-meter) was included from

176 ERA5-Land (Muñoz-Sabater et al. 2021). This is calculated as a weighted average by depth of

the top three soil layers (0-7 cm, 7-28cm, 28-100 cm) included in ERA5 land. Inclusion of this

178 feature provides some crucial insight into land-atmosphere interactions relevant to extreme heat

- 179 events, especially as climate change drives changes in land-atmosphere coupling (Qing et al.
- 180 2023).

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182 (v) Prediction with Multiple Leads

183 Variables were classified as either "fast-changing" or "slow-changing." For fast-changing

- 184 variables, three different leads were prescribed:
- 185 1. 21–23 days before prediction
- 186 2. 24–27 days before prediction
- 187 3. 28–34 days before prediction

188 For slow-changing variables, such as sea surface temperatures, certain global climate

189 oscillations, and regional soil moisture, only one lead was used, covering 21–34 days prior to

190 prediction. Only variables with assigned leads were included in the prediction models, except for

191 climatology, where values for the specific prediction day were provided. A full summary of

192 predictive variables and their lead classification is shown in Table 1.

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Variable NameVariable TypeLeadsData Source	:

Max. I emp.			ERA5-Land (Muñoz- Sabater et al. 2021)		
Min. Temp.					
Relative Humidity					
10-m U-Component of Wind	Meteorological	Fast-changing			
10-m V-Component of Wind					
Total Precip.					
Normal Max. Temp.	Climatology	None			
Arctic Oscillation Index	Global Climate	Slow-changing	Daily Nino 3.4 Index (Derived from ERA5 Post-Processed Daily Statistics (European Centre for Medium- Range Weather Forecasts (ECMWF))		
North Atlantic Oscillation Index	Variability		Daily NAO Index (Kalnay et al. 1996)		
Madden-Julian Oscillation Index (Amplitude & Phase)		Fast-changing	Real-time Multivariate MJO Index (Wheeler and Hendon 2004)		
Nino 3.4 Region SST			ERA5 Post-Processed Daily Statistics		
Gulf of Mexico SST California Current SST	Ocean	Slow-changing			
300 hPa U- Component of Wind 300 hPa V- Component of Wind 300 hPa Potential Vorticity 500 hPa Geopotential Height	Atmosphere	Fast-changing	ERA5 hourly single pressure level data (Hersbach et al. 2023)		
Local Soil Moisture (Top one-meter)	Land Surface	Slow-changing	ERA5-Land Derived (Muñoz-Sabater et al. 2021)		

Table 1. Summary of predictive variables, including their classification, lead times, and data

sources.

207 (vi) Heat Wave Identification

208 In this study, heat waves were identified using a categorical approach based on daily maximum 209 temperatures. A day was classified as a high-temperature day if its maximum temperature 210 exceeded the 90th percentile of the daily maximum climatology. To qualify as a heat wave event, 211 these high-temperature days were required to occur in clusters of at least three consecutive days 212 (example shown in Figure 2). All days belonging to heat wave events were categorized as heat 213 wave days. This threshold-based methodology ensures that the identified heat waves represent 214 periods of sustained thermal extremes rather than isolated high-temperature occurrences. By 215 focusing on consecutive days, the method effectively captures the persistence of heat stress that 216 is critical for understanding its impacts on human health and ecological systems. This 217 identification protocol provided a systematic framework for quantifying heat wave 218 characteristics and supports the machine learning-based analysis of the strongest heat wave 219 predictors for these cities.

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Figure 2. Example heat wave identification in Las Vegas, NV during the 2024 heat wave season
as defined in this study. Curves represent smoothed daily maximum temperature climatology
using a two-week running average. Individual points represent daily maximum temperatures.

Table 2 summarizes the frequency and duration of heat wave events across the six cities for the decades spanning from 1980 to 2024, during the months of June through September. Overall, 657 heat wave events totaling 3,514 days were recorded, accounting for 10.6% of the total days in the study period. Across all cities, the total number of heat wave events consistently increased each decade, from 91 events in the 1980s to 201 events in the 2010s. Correspondingly, the number of heat wave days increased significantly from 447 in the 1980s to 1,078 in the 2010s. Dallas recorded the highest number of heat wave days (667 days) and tied with Austin for the highest number of heat wave events (117 each) over the full study period. Austin and Dallas also had the longest mean heat wave durations overall (5.7 days), while Phoenix exhibited the shortest mean duration (4.5 days). In the most recent incomplete decade (2020-2024), the frequency of heat wave events has remained high across all cities, with a total of 136 events and 886 heat wave days, suggesting a sustained elevation of heat wave occurrences into the current decade.

		Austin	Dallas	Houston	Las Vegas	Mexico City	Phoenix	Total
1980 -	Events	9	18	14	15	14	21	91
1989	Days	55	93	79	70	74	76	447
1990 -	Events	20	17	12	21	15	16	101
1999	Days	94	89	66	93	66	89	497
2000 -	Events	24	22	21	24	15	22	128
2009	Days	121	110	119	109	62	85	606
2010 -	Events	38	41	29	33	32	28	201
2019	Days	218	240	185	159	151	125	1078
2020 -	Events	26	19	16	26	24	25	136
2024	Days	175	235	127	165	157	127	886
Tatal	Events	117	117	92	119	100	112	657
Total	Days	663	667	577	595	510	502	3514
	Mean Duration	5.7	5.7	6.3	4.9	5.1	4.5	5.3

Table 2. Descriptive statistics of heat wave days and events by decade in study site cities duringthe months of June through September.







Figure 3. Violin plots of the mean heat wave temperature, heat wave duration, and number of
heat wave days across all cities included in the study. Individual points mark the mean value for
a city over each while 95% confidence intervals are provided for the true mean across all cities.

265 Figure 3 confirms changes in the number of heat wave days, illustrating changes in heat wave 266 temperature, duration, and the number of heat wave days per year by dividing the 45-year study 267 period into five evenly distributed 9-year periods. These plots indicate that while the mean 268 temperature and duration of heat waves have not significantly changed across the five periods for 269 all cities, there has been a notable increase in the mean number of heat wave days and events per 270 year, particularly in recent periods. Furthermore, heat wave characteristics vary notably by 271 location, with Mexico City, MX experiencing the coolest mean temperatures and Phoenix, AZ 272 the warmest.

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274 b. Prediction & Interpretation

Machine learning models for heat wave-day classification were developed using eXtreme
Gradient Boosting (XGBoost) (Chen and Guestrin 2016), chosen for its efficiency and high
performance in handling diverse input variables in classification tasks. XGBoost models were
run through Scikit-learn package v1.5.2 (Pedregosa et al. 2011) in Python v3.12.6. Each variable
listed in Table 1 was used to predict whether a day meets the heat-wave day criteria in a binary
classification task with logistic regression as the output function, evaluated by the log loss
metric.

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To ensure robust model performance without overfitting, four-fold validation was used. For this, the dataset corresponding to each city was randomly divided into four subsets. In each iteration, the model was trained on three of the four subsets and tested on the remaining subset. This process was repeated to create a single ensemble model with predictive guidance based on the mean outcomes from the validation folds.

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The complete four-fold validation process was repeated ten times, producing an ensemble of models. Performance metrics, including accuracy, precision, recall, and F1 score were aggregated across all ensemble members to derive mean performance values. The probability thresholds for heat wave prediction varied for each fold and were calculated to maximize F1 score. Model performance was also evaluated using the area under the precision-recall curve (PR AUC), generated for each city's XGBoost model individually. The PR AUC metric was calculated using the Scikit-learn package (Pedregosa et al. 2011) to allow for direct comparison

of each city's model performance against a baseline (the proportion of heat wave days in the 297 city's overall dataset), providing a robust evaluation measure particularly suitable for imbalanced 298 datasets such as heat wave occurrences.

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300 All models were created using common parameters. The learning rate (η) was set to 0.1, and the 301 maximum tree depth was set to 4. The fraction of rows sampled by each tree was 0.8, and the 302 fraction of features sampled by each tree was also 0.8. To address class imbalance, a unique 303 weighting was applied based on the relative frequency of heat wave days in each city. The 304 weight for heat wave days (w₁) was calculated as follows:

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$$w_1 = \frac{N_1}{N - N_1}$$

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308 where N is the total number of days in the sample size, and N_1 is the total number of non-309 heat wave days in the dataset. This weighting scheme ensures that heat wave events, which are 310 less frequent, contribute more significantly to the model's learning process, preventing bias 311 toward non-heat wave days.

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313 To interpret the contributions of each feature in the machine learning model, Shapley Additive 314 exPlanations (SHAP) values were utilized (Lundberg and Lee 2017). SHAP values quantify each 315 variable's impact on model output, providing a clear interpretation of feature influence on heat-316 wave day classification. These values are derived by evaluating the average marginal 317 contribution of each feature to the model's predictions. By assigning an importance score to each 318 feature based on its contribution, the relative predictive strength of features influencing heat-319 wave days at a sub-seasonal time scale can be quantified. SHAP values were averaged across the 320 ten models in the ensemble to produce mean absolute SHAP scores for each feature, as well as 321 ensemble-based partial dependence plots, which display SHAP values as a function of feature 322 value. SHAP values were also normalized to allow inter-model comparison, scaling each mean 323 absolute SHAP values of each individual feature by the sum of mean absolute SHAP values for 324 each feature included in a model.

326 While some features in this analysis, particularly lagged meteorological and atmospheric

- 327 variables, exhibit collinearity, this primarily reduces model performance by distributing
- 328 importance among related features rather than concentrating it within fewer variables. However,
- 329 removing these collinear features or employing dimensionality reduction would compromise
- interpretability. Therefore, the presence of collinear features remains a limitation of this analysis,
- and caution should be exercised when interpreting results for these features, referencing
- 332 Supplemental Figure 1 for context.
- 333

334 A final case study of a particular Las Vegas heat wave in July 2024 was analyzed to demonstrate the ability of this methodology to interpret predictors of a specific heat wave event. Conditions 335 336 from 7 July 2024, a day when Las Vegas experienced a record high daily maximum temperature of 48.8 °C (National Weather Service 2024), were pushed into the ensemble XGBoost model, 337 338 trained over the entire 45-year period of data from Las Vegas, to predict the probability of a heat 339 wave given those conditions according to the model prediction. The resulting probability of a 340 heat wave day for these conditions is then outputted, and a SHAP explainer plot is generated 341 showing the relative contribution of each feature to this prediction.

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343 3. Results and discussion

344 a. Model Skill

345 Overall, the XGBoost models exhibited high accuracy across all locations, ranging from 0.903 in 346 Las Vegas and Phoenix to 0.942 in Houston (Figure 4). However, because heat wave events were 347 relatively rare (an imbalanced class problem), other metrics such as recall, precision, and F1 348 score are equally important in assessing model performance. Houston achieved the highest 349 precision and F1 score (0.574 and 0.579, respectively), while Las Vegas and Phoenix had 350 slightly lower F1 scores, indicating slightly more difficulty in predicting heat waves in these 351 more arid, desert climates. The confusion matrices show that across all models and cities, about 352 41-42.5% of true heat wave days were identified as false negatives when models were optimized 353 for F1 score—highlighting model limitations.





Figure 4. Map of heat wave prediction metrics with representations of their mean confusion
matrices, accuracy and uncertainty, and performance over baseline (as reported by PR AUC) for
each city-specific XGBoost model ensemble. Prediction evaluations correspond to ensembles of
ten XGBoost model ensembles each with four-fold cross validation. Error bars represent the 95%
confidence intervals for the true means across the ensemble.

362 In addition to standard classification metrics, the study evaluated model skill via Precision-363 Recall Area Under the Curve (PR AUC) (Table 4). The baseline PR AUC values for each city 364 corresponded to "random" predictions based on class imbalance alone. Houston again exhibited 365 the strongest model performance (mean PR AUC = 0.587), substantially exceeding its baseline 366 (0.067) and thus demonstrating reliable precision-recall tradeoffs. These PR AUC findings 367 reinforce the importance of examining multiple metrics beyond accuracy for imbalanced 368 predictions and demonstrate that the XGBoost models show clear skill in predicting heat waves 369 at three-week lead times over the historical reanalysis datasets for each of the chosen cities. 370

371 *b.* Model interpretation

To better understand the classification methods behind the model predictions, the mean absolute
SHAP scores offer insight into how various land-surface, meteorological, atmospheric, and





Figure 5. Normalized mean absolute SHAP values for land surface soil moisture, meteorological,
atmospheric, and climate features, as averaged across the ensemble of XGBoost models trained
for heat wave prediction for the chosen cities.

Partial dependence plots (Figure 6) reinforce these findings by illustrating how changes in key
predictors—such as soil moisture or SST anomalies—shift the probability of a heat wave,
underscoring the interplay between broader climatic conditions and local atmospheric triggers.
When analyzing the partial dependence of individual features, higher SHAP values for a feature
measurement indicate predictions favoring heat waves, while negative SHAP values suggest
predictions against heat waves.

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Examining the most influential features, all cities' models are less likely to predict heat wave
events when soil moisture is higher, although the drier cities, Las Vegas and Phoenix, exhibit this
trend less strongly than the other sites. Meanwhile, models for the USA cities indicate higher
probabilities of heat waves during moderate Nino 3.4 region SSTs (El Niño-like conditions),
whereas Mexico City's model shows the opposite tendency. Warm California Current SSTs
again appear as a key driver for the US cities but exert less influence on Mexico City's

404 predictions. Models for all cities predict higher probabilities of heat waves for the warmest Gulf405 of Mexico SSTs.

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Figure 6. Scaled and normalized SHAP value plots for strong performing features. Each feature
 represents the average value 21-to-34 days prior to prediction unless otherwise indicated. SHAP

410 plots are scaled for each individual city by a constant factor according to the feature's relative

411 SHAP score across all models trained for that city.

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Figure 7. Bivariate partial dependence plots of MJO phase and amplitude averaged over 28-to34-day lead time prior to heat wave prediction. Plots are scaled by a constant factor according to
the sum of the relative SHAP values of both the phase and amplitude features for all models
trained for each individual city.

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Because the state of the MJO is characterized by both phase and amplitude, bivariate partial
dependence plots (Figure 7) show how these two parameters modulate heat wave probabilities in
model predictions over a 28–34-day lead. In Mexico City, there is a consistent inverse
relationship between MJO amplitude and heat wave prediction probability. For the cities in the
United States, prediction of a heat wave at four weeks lead time is more likely when the MJO is
in phases one through four and at lower amplitudes, and less likely at higher amplitudes and/or
later phases.

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429 c. Application to single-heat wave events

430 On July 7, 2024—the hottest day on record for Las Vegas with temperatures rising over 48.5 °C

- 431 (National Weather Service 2024)—the ensemble model predicted a 97.2% chance of a heat
- 432 wave, with a 95% confidence interval ranging from 96.6% to 97.9%. Figure 8 illustrates the most
- 433 influential feature contributions (as determined by SHAP values) for this specific event. Positive
- 434 contributions (red) increase the likelihood of a heat wave, while negative contributions (blue)
- 435 reduce it. Notably, MJO Amplitude at a 24-day lead, elevated surface pressure at that same lag,
- 436 and recent temperature anomalies all push prediction towards a heat wave event, whereas certain
- 437 geopotential height anomalies and longer-lead precipitation features reduce strength of that
- 438 prediction. These interactions highlight the complex interplay among multiple atmospheric
- 439 variables; heat waves require the alignment of multiple climate drivers, and this model can be
- 440 applied to form insights regarding the specific combinations of those drivers as they interact in
- 441 individual events.
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Figure 8. SHAP values derived from ensemble model predictions using conditions from the 7

- July 2024 heat wave day in Las Vegas. Error bars represent the 95% confidence interval for the
- true mean SHAP value across the ensemble.
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448 These results suggest that sea surface temperatures, followed by land-surface soil moisture, 449 emerge as the strongest sub-seasonal predictors of heat waves for the Northern Hemisphere cities 450 examined. More broadly, the current theoretical understanding of global climate dynamics posits 451 that three-week temperature predictability largely originates from land-surface processes, which 452 retain memory over weeks to months; by this time range, the influence of initial atmospheric 453 conditions has substantially diminished, and initial oceanic temperatures are not yet exerting a 454 significant effect. Additionally, predictability arises from global climate oscillation, including the 455 ENSO, the MJO, and NAO (DelSole et al. 2017). However, recent studies using the CESM2 456 model indicate that mid-latitude three-week temperature predictability may derive nearly equally 457 from oceanic and atmospheric processes, as well as from land-atmosphere feedbacks (Richter et 458 al. 2024). This varies for the mid-latitudes, where atmospheric processes may exhibit greater 459 influence. The findings here corroborate Richter et al.'s findings in countering the conventional 460 hypotheses behind sub-seasonal three-week lead time predictability, showing that longer-term 461 ocean, atmospheric, and global climate processes all show predictive signals at three-week lead 462 times. An earlier study by Wehrli et al. found that atmospheric and land surface processes are 463 key to the formation of heat waves through CESM analysis, with oceanic processes not 464 contributing significantly to their formation (2019). These results counter that study, suggesting 465 that for extreme heat events, the proper combination of background climate conditions is 466 essential for the formation and persistence of sustained heat waves (Miralles et al. 2012). 467 Additionally, these results provide nuance to the highly region-specific influence of certain 468 climate drivers on this time scale.

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470 These model predictions gain further validity by aligning, in most cases, with well-established 471 physical mechanisms. In particular, the partial dependence plot for soil moisture in the Texas 472 Gulf Coast region corroborates previous research, showing that positive soil moisture anomalies 473 generally correspond to a lower likelihood of heat-wave days. Benson and Dirmeyer (2021) 474 reported a strong negative correlation (r < -0.7) between daily soil moisture and maximum 475 temperature in this region, although they also noted the non-linear regime dependent nature of 476 this relationship (weakly-coupled, sensitive, and hypersensitive). This study provides strong 477 evidence that, below the mean soil moisture threshold, heat extremes are more likely, marking 478 the transition between the sensitive and hypersensitive regime. Below the mean soil moisture

- threshold, our analysis indicates a marked increase in heat extremes, signaling a shift from the
 sensitive to the hypersensitive regime. Dynamic modeling further supports the critical role of soil
 moisture in heat-wave formation for climates situated between humid and arid conditions (Seo et
- al. 2019), which helps explain why local soil moisture appears especially predictive of heat
- 483 waves in Austin, TX and Dallas, TX compared with other cities examined.
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485 SSTs exhibit particularly interesting dynamics, with warm Niño 3.4 Region SSTs and Gulf of 486 Mexico SSTs both showing strong predictive influence on heat waves in all modeled cities 487 except Mexico City. Warmer SSTs can induce near-surface convergence, which promotes upward motion and potential cloud formation (Minobe et al. 2008). These processes also 488 489 highlight important teleconnections on multiple spatiotemporal scales (Small et al. 2023). However, Mexico City's more tropical latitude, as well as high elevation and unique plateau 490 491 setting appear to reduce the relative impact of these remote SST signals, leading heat wave 492 prediction to be more dependent on localized atmospheric conditions than on large-scale oceanic 493 patterns.

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Despite these promising insights, fully separating individual processes without losing key
information remains difficult. For instance, while the model demonstrates that the MJO
contributes region-dependent skill at various lead times, considering both its phase and
amplitude, it is plausible that some of the MJO's predictive power is masked by correlations with
other climate features utilized in this study (notably, Pacific SSTs). Given the relationships
between the MJO and other oscillations, such as ENSO (Arcodia et al. 2020) and the QBO
(Mundhenk et al. 2018), further co-analyses are warranted to isolate their independent effects.

Additionally, other atmospheric processes not included in this study may hold significant value for improving heat wave prediction. These include identifiable features such as quasi-stationary Rossby waves (Schubert et al. 2011) and atmospheric rivers (Scholz and Lora 2024). Although the present model has not explicitly accounted for these larger-scale dynamics, incorporating them into future model developments could provide deeper insight into heat wave onset mechanisms. By broadening the scope of predictors, further development with this method can 509 continue refining sub-seasonal forecasting techniques and better capture complex interplays510 between multiple climate drivers.

511

512 Although these predictive models have been optimized to balance false positives and false 513 negatives, they can be intentionally adjusted to minimize false negatives-choosing to over-514 predict potential heat waves rather than risk missing true events. However, for reasons related to 515 both data availability as well as the lack robust training and testing splits designed to handle non-516 stationarity, the method is not currently recommended for any operational prediction. 517 Specifically, the evolving long-term trends in heat waves due to climate change indicate that 518 historical predictors may lose some reliability over longer training periods. While the model can 519 be applied to regions beyond North America, caution is advised in areas that assimilate fewer data points into reanalysis datasets. Therefore, the use of this method for operational forecasting 520 521 is strongly discouraged in its present state; rather, value should be taken in these results for an 522 understanding of the predictors of heat waves over the sub-seasonal time scale for these North 523 American cities.

524

525 4. Conclusions

526 XGBoost models trained on a diverse set of climate drivers demonstrate skill in predicting past 527 heat waves at a 21-day lead time in North America, with key region-specific sea surface 528 temperatures and soil moisture emerging as the strongest common predictors. While overall 529 predictive skill is consistent across study sites, the relative importance of these predictors varies 530 significantly, offering valuable insights into the unique local climate dynamics of each area. This 531 study establishes a crucial foundation for addressing inherent limitations in sub-seasonal 532 forecasting and paves the way for the development of regional hybrid models that integrate 533 machine-learning and dynamical approaches—an approach that holds promise for localized heat-534 health impact predictions. With further refinement into an operational prediction product, this 535 methodology could lead to critical improvements in public health preparedness, particularly in 536 urban centers increasingly vulnerable to heat wave risks.

537

Future work will test these predictions in real time and continuously update the training sets,acknowledging that evolving heat-wave dynamics under climate change may shift predictor

- 540 trends and their relative importance. Moreover, integrating dynamical sub-seasonal models with
- 541 machine-learning methods is essential for enhancing predictions of extreme heat events,
- 542 especially when conditions fall outside historical norms.
- 543

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- 550

551 Data Availability Statement

- 552 The datasets analyzed in this study are publicly available from multiple sources. ERA5 post-
- 553 processed daily statistics data were retrieved using the Climate Data Store API (CDS API;
- 554 <u>https://cds.climate.copernicus.eu/</u>). ERA5-Land daily data were accessed and post-processed via
- 555 Google Earth Engine (<u>https://earthengine.google.com/</u>). Daily North Atlantic Oscillation (NAO)
- and Arctic Oscillation (AO) indices were obtained from the NOAA Climate Prediction Center
- 557 (<u>https://www.cpc.ncep.noaa.gov/</u>). The Madden-Julian Oscillation (MJO) Real-time Multivariate
- 558 MJO (RMM) indices are available from the Australian Bureau of Meteorology
- 559 (<u>http://www.bom.gov.au/climate/mjo/</u>).

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Supplemental Materials

Supplemental Figure 1. Collinearity matrices of all model features across the full dataset for each individual city.













Collinearity Matrix for Austin