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23 **Evaluation of Vegetation Bias in InSAR Time Series for Agricultural Areas within**  
24 **the San Joaquin Valley, CA**

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29

30 **Key Points:**

- 31 ● We quantify the contribution of bias from vegetation and soil moisture effects on InSAR  
32 phase and time series
- 33 ● We find biases of ~2-4 cm/yr within agricultural fields, with the largest biases occurring  
34 in cotton fields within Tulare Lake basin
- 35 ● We suggest removing agricultural fields from time series analysis can help mitigate  
36 phase biases  
37

## 38 **Abstract**

39 Agricultural regions present a particularly difficult set of challenges during interferometric  
40 synthetic aperture radar (InSAR) displacement time series analyses due to the existence of  
41 abrupt transitions in land use over short spatial scales and rapid temporal changes associated  
42 with different stages of the agricultural cycle. Plant growth and soil moisture changes can  
43 introduce phase biases within interferograms that could be misinterpreted as displacement. We  
44 analyze a full-resolution, multi-year SAR time series over California's San Joaquin Valley, an  
45 intensively cultivated region producing a wide variety of crops. Using independent information  
46 about land cover and crop type, we isolate the effects of individual crops on backscatter  
47 amplitude, interferometric phase change, and interferometric coherence over space and time.  
48 We determine the temporal behavior of the phase changes associated with several key crop  
49 types by isolating the difference between the phase of pixels averaged over each agricultural  
50 field and the phase values of pixels in nearby roads and developed areas. We find that some  
51 fields are associated with a bias of  $\sim 2\text{-}4$  cm/yr of apparent subsidence, with strong seasonal  
52 variability in the degree of bias. When InSAR imagery is spatially averaged or filtered, these  
53 biases also impact the inferred phase in nearby roads and other land cover types. We show that  
54 even a simple approach, where pixels associated with agricultural fields are removed or masked  
55 out before further processing, can mitigate the crop-related biases that we observe in the study  
56 area.

## 57 **Plain Language Summary**

58 We examine maps of ground displacement over the San Joaquin Valley, CA, which contains a  
59 variety of crop types. We use information about ground cover and crop type to isolate the  
60 average effects of individual agricultural fields. We find that some fields can lead to an  
61 overestimation of subsidence by about 2-4 cm/yr. It is important to understand the effect of  
62 agricultural activity on displacement maps in order to accurately interpret where and how fast  
63 subsidence is occurring. Even something as simple as removing the agricultural fields from the  
64 data at an early stage, before interpretation, can remove these false signals.

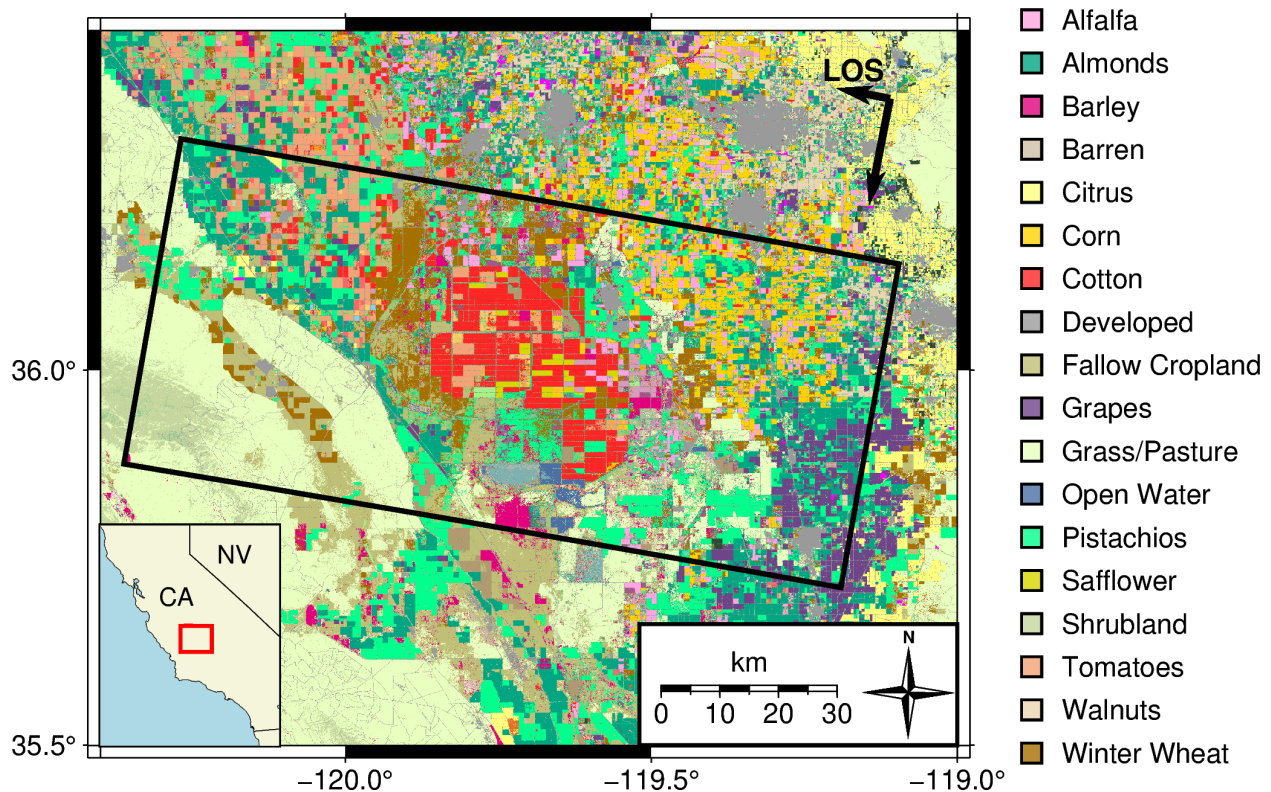
## 65 **1 Background**

66 Many intensively cultivated agricultural regions around the world are heavily reliant on  
67 groundwater extraction. Groundwater overdraft is a widely recognized problem globally, with  
68 numerous large aquifers being depleted faster than they can recharge (e.g., Gleeson et al.,  
69 2012; Richey et al., 2015; Wada et al., 2010). The adverse effects of groundwater overdraft  
70 include saltwater intrusion, damage to ecosystems, land subsidence, and permanent aquifer  
71 storage loss (e.g., Asner et al., 2016; Hasan et al., 2023; Nishikawa et al., 2009; Rohde et al.,  
72 2024).

73 One place where the effects of groundwater extraction have been particularly well-  
74 documented is in the San Joaquin Valley, California. The San Joaquin Valley produces over half  
75 of California's agricultural output, employs about 340,000 people, and generates over \$24  
76 billion each year in revenue (Escriva-Bou et al., 2023). Continued, market-driven expansion of  
77 crops, particularly perennial orchards, is increasing the likelihood of frequent water shortages

78 in the future (Mall and Herman, 2019). Groundwater is increasingly relied on during times of  
 79 drought, which further exacerbates the unsustainability of current water management  
 80 practices and policy (Escriva-Bou et al., 2020; Petersen-Perlman et al., 2022). Future efforts to  
 81 improve water management practices and policies will require reliable estimates of the amount  
 82 and distribution of groundwater withdrawal (Butler et al., 2020). Accurate maps of land  
 83 subsidence are one type of observation that can contribute to our understanding of the  
 84 groundwater budget for this and other aquifers around the world.

85 Land subsidence in the San Joaquin Valley due to groundwater overdraft has been  
 86 recorded for decades, with the first geodetic observations in the 1920s (Poland et al., 1975).  
 87 Since the 1990s, interferometric synthetic aperture radar (InSAR) has been used to study  
 88 ground displacements due to a range of subsurface processes (e.g., Massonnet et al., 1993),  
 89 including subsidence associated with the extraction of groundwater (e.g., Amelung et al., 1999;  
 90 Chaussard et al., 2014; Gao et al., 2018; Hussain et al., 2022; Motagh et al., 2017). Numerous  
 91 studies document subsidence in the San Joaquin Valley using InSAR, GPS, and ground truth  
 92 measurement (e.g., Farr, 2016; Kang and Knight, 2023; Murray and Lohman, 2018; Neely et al.,  
 93 2020). Inferred subsidence rates were as high as 30 cm/yr during the 2012-2016 California  
 94 drought. However, InSAR observations are also impacted by factors that are not accounted for  
 95 in most analyses, such as vegetation and soil moisture (e.g., Dall, 2007; De Zan and Gomba,  
 96 2018; Gabriel et al., 1989; Zheng et al., 2022; Zwieback et al., 2015).



97  
 98 **Figure 1.** Location of study site within San Joaquin Valley, CA (inset, panel location indicated  
 99 with red rectangle). Colors indicate crop and ground cover type in 2020 based on USDA  
 100 Cropland Data Layer database (USDA NASS, 2021). Black box outlines extent of SAR footprint



101 (subset of Sentinel-1a/b Descending Track 144). We use 129 SAR acquisitions from 08/2019 -  
102 09/2021 with 6 day repeats

103 In this study, we evaluate potential phase biases due to contributions from cropland  
104 over the southern San Joaquin Valley (Figure 1). We compare the InSAR phase averaged over  
105 individual fields with the phase of nearby roads and stable surfaces. This approach allows us to  
106 separate the effect of crop growth, irrigation and other agricultural activities that vary on the  
107 spatial scale of individual fields from the much larger spatial scale features associated with  
108 aquifer-related subsidence and tropospheric variability. In Section 2, we describe the datasets  
109 used in our analysis. In Section 3, we briefly discuss geophysical factors that affect InSAR phase  
110 and describe our method for calculating the phase bias associated with individual fields as well  
111 as the resulting displacement time series and inferred velocity map. In Section 4, we report the  
112 results of our methodology, including the behavior of specific crops over time and the results of  
113 our two different types of velocity inversions. Finally, in Section 5, we discuss the fields and  
114 crops that have the largest biases. We comment on the potential overestimation of subsidence  
115 in InSAR time series and provide recommendations on the appropriate strategy for dealing with  
116 these biases.

## 117 **2 Data**

118 We use freely available C-band SAR imagery from descending Track 144 of the European  
119 Space Agency's Sentinel-1a/b mission acquired between 2019/08/14 and 2021/09/20 on a 6-  
120 day repeat interval (129 acquisitions). We use crop information between 2019 and 2021 from  
121 the Cropland Data Layer (CDL) created by the United States Department of Agriculture (USDA),  
122 National Agricultural Statistics Service (USDA NASS, 2021). The CDL is a freely available  
123 geospatial dataset of Land Cover Land Use Change (LCLUC) and crop classification offered at  
124 annual intervals at 30-m pixel resolution derived from remotely-sensed data. The current CDL  
125 Program uses the Landsat 8 and 9 OLI/TIRS sensor, the Disaster Monitoring Constellation (DMC)  
126 DEIMOS-1 and UK2, the ISRO ResourceSat-2 LISS-3, and the ESA SENTINEL-2 A and B sensors  
127 (USDA NASS, 2021).

128 We use Google Earth Engine (Gorelick et al., 2017) to obtain Landsat 8 Surface  
129 Reflectance imagery courtesy of the U.S. Geological Survey and Sentinel-2 MSI: MultiSpectral  
130 Instrument, Level-2A imagery (Copernicus Sentinel-2 (processed by ESA), 2021) acquired  
131 between 2019/08/15 and 2021/09/23 (205 acquisitions). Landsat 8 and Sentinel-2 imagery are  
132 available at 30-m and 10-m pixel resolutions, respectively. We use these Landsat 8 and

133 Sentinel-2 optical imagery to calculate normalized difference vegetation index (NDVI) within  
 134 each field. NDVI is defined as:

$$135 \quad NDVI = \frac{NIR - RED}{NIR + RED} \quad (1)$$

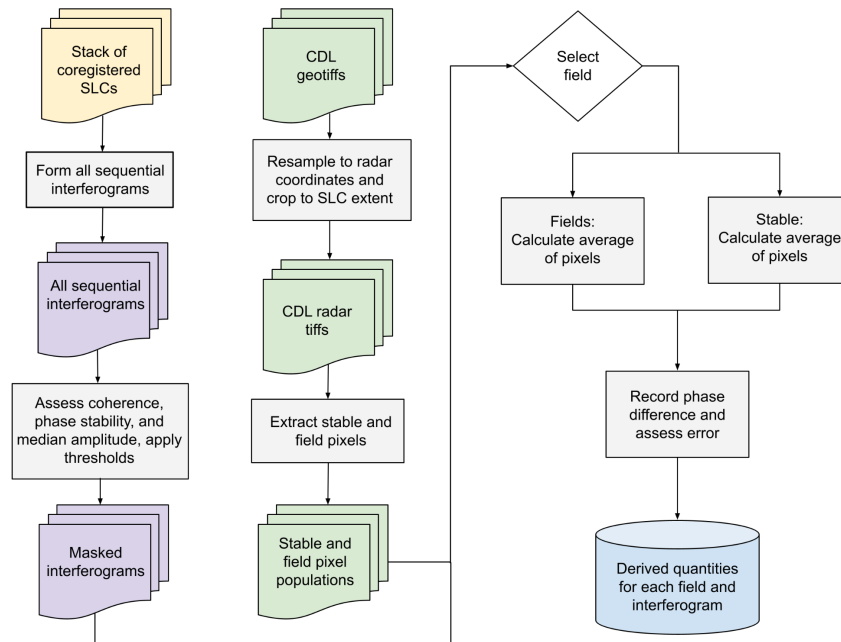
136 where  $NIR$  is the observed reflectance of the near-infrared band and  $RED$  is the observed  
 137 reflectance of the red band. NDVI values are, by definition, bounded within the range  $[-1,1]$ ,  
 138 with higher values generally indicating healthier or denser vegetation.

### 139 3 Methods

140 In this section, we review standard terminology used in interferogram analysis, then  
 141 describe our InSAR processing workflow, from individual interferograms through time series  
 142 analysis (Figure 2). The phase of a full-resolution, unfiltered interferogram can be expressed  
 143 (modulo  $2\pi$ ) as:

$$144 \quad \varphi_{ifg} = \varphi_{disp} + \varphi_{atm} + \varphi_{topo} + \varphi_{surf} + \varphi_{other} \quad (2)$$

145 where  $\varphi_{ifg}$  is the phase of the interferogram,  $\varphi_{disp}$  is the ground displacement vector projected  
 146 onto the satellite's line-of-sight (LOS),  $\varphi_{atm}$  is the atmospheric delay,  $\varphi_{topo}$  is from digital  
 147 elevation model (DEM) errors,  $\varphi_{surf}$  is the contribution from surface properties, such as soil  
 148 moisture, vegetation, and their temporal changes, and  $\varphi_{other}$  includes all other noise sources  
 149 such as thermal and decorrelation noise (Zebker and Villasenor, 1992). Below, we refer to  $\varphi_{surf}$   
 150 as the "bias" to our time series, which assumes that the goal is to extract information about  
 151 deformation associated with deeper earth processes.  $\varphi_{surf}$  on its own is also potentially a signal  
 152 of interest, due to real physical changes in soil and vegetation properties.



154 **Figure 2.** Workflow from raw data to interferograms for individual fields. Initial and end result  
 155 datasets represented by bolded outline. Colors indicate CDL and CDL-derived products (green),  
 156 coregistered SLCs (yellow), complex-valued products from InSAR (purple), and the final dataset  
 157 with derived quantities for each field and interferogram (blue). Gray rectangles represent  
 158 manipulation of datasets and white diamond represents selection of chosen crop for masking.  
 159 Parallel lines indicate identical steps taken on both interferogram stacks independently.

160

161 For this study, we focus on isolating  $\varphi_{srf}$  from the other factors and evaluating phase  
 162 contributions associated with a given crop type. To isolate  $\varphi_{srf}$ , we rely on the assumption that  
 163  $\varphi_{disp}$  and  $\varphi_{atm}$  have spatial scales that are large relative to the size of individual agricultural  
 164 fields (Emardson et al., 2003), and we also assume that  $\varphi_{other}$  is random in time with mean zero  
 165 and will introduce a negligible contribution to our final time series. Because the San Joaquin  
 166 Valley has very low topographic variation, we also neglect consideration of  $\varphi_{topo}$  in this work.  
 167 We isolate  $\varphi_{srf}$  at any location in a given interferogram by taking the difference in phase  
 168 between an agricultural field and any adjacent roads and other developed areas (Section 3.2),  
 169 under the assumption that they will have less sensitivity to soil and vegetation moisture  
 170 changes than the agricultural fields.

171 To assess and control for data quality, we use several metrics. The first is the  
 172 interferometric complex coherence,  $\gamma$ , defined as:

$$173 \quad \gamma = \frac{\langle ab^* \rangle}{\sqrt{\langle aa^* \rangle \langle bb^* \rangle}} \quad (3)$$

174 where  $a$  is the first SAR acquisition,  $b$  is the second SAR acquisition,  $*$  denotes the complex  
 175 conjugate, and  $\langle \cdot \rangle$  denotes a spatial average. We use the complex coherence magnitude,  $|\gamma|$ ,  
 176 (simply referred to “coherence”, below) which falls in the range [0,1]. Low values of coherence  
 177 (decorrelation) are associated with more phase variability within the spatial averaging window,  
 178 and high values of coherence indicate data that is more uniform over that scale. In the San  
 179 Joaquin Valley, we expect decorrelation when there are rapid changes in vegetation and soil  
 180 moisture properties between two SAR acquisitions, such as during times of tilling, irrigation,  
 181 crop growth, and harvesting. During these time periods, the phase values have little physical  
 182 meaning and appear as uniform random noise within any fields associated with these activities.

183 Another metric of data quality we used is the phase stability,  $\chi$ , similar to (Hooper et al.,  
 184 2004), which is defined as:

$$185 \quad \chi = \frac{1}{n-1} \left| \sum_{i=1}^{n-1} \exp \{ j(\varphi_{i,i+1} - \langle \varphi_{i,i+1} \rangle) \} \right| \quad (4)$$

186 where  $n$  is the number of SAR acquisitions,  $\varphi_{i,i+1}$  and  $\langle \varphi_{i,i+1} \rangle$  are the unfiltered and filtered  
 187 phase for interferogram between dates  $i$  and  $i+1$ , respectively.  $\langle \varphi_{i,i+1} \rangle$  is calculated by taking  
 188 the argument of the spatial average of the interferogram; we perform our calculation over a

189 window with 4 pixels in azimuth and 20 in range. Similar to coherence, phase stability falls in  
190 the range [0,1]. Low phase stability values indicate that a pixel's behavior is temporally  
191 inconsistent with its neighbors within the spatial averaging window. Conversely, high phase  
192 stability values indicate that a pixel's behavior is temporally consistent with its neighbors.  
193 Below, when we discuss operations on single interferograms, we drop the  $i$  and  $i+1$  notation for  
194 brevity.

### 195 3.1 SAR imagery preparation

196 We generate a full-resolution coregistered series of single look complex (SLC) imagery  
197 using the open-source InSAR Scientific Computing Environment version 2 (ISCE2) (Rosen et al.,  
198 2012) and the Sentinel stack processor (Fattahi et al., 2017). We remove topographic effects  
199 using the Shuttle Radar Topography Mission (SRTM) DEM (Farr et al., 2007). We use our  
200 coregistered SLC stack to generate 128 sequential six day full-resolution interferograms. We  
201 apply several thresholds to mask out unreliable pixels. We mask out pixels with coherence  $\leq 0.3$   
202 in each interferogram. We mask out all pixels with median amplitude  $\leq 34$  dB over all dates.  
203 This removes pixels within the Tule River, which is immediately adjacent to several of the roads  
204 in our study site. Additionally, we mask out all pixels with phase stability  $\leq 0.4$  to only include  
205 pixels that behave similarly to their neighbors when averaged over the entire study period. For  
206 our analysis below, we resample CDL products and NDVI products onto the range-doppler  
207 coordinate system of the original, full-resolution SLC imagery. When we directly compare NDVI  
208 to InSAR observations, we interpolate the NDVI time series onto the dates of the SAR imagery.

### 209 3.2 Field-specific analysis

210 Our goal is to compare the average interferometric phase of each individual field with  
211 the average interferometric phase of nearby roads and other stable surfaces. To identify  
212 individual fields, we select all pixels labeled in the CDL as one of six crops (almonds, cotton,  
213 grapes, pistachios, tomatoes, and winter wheat) any year between 2019 and 2021. We perform  
214 a series of morphological operations based on bitmaps of the distribution of each crop (e.g.,  
215 "erode" and "dilate" with OpenCV (Bradski, Gary, 2000)). Specifically, we use a 10 azimuth x 5  
216 range kernel to erode over seven iterations and dilate over six iterations. This process reduces  
217 the number of isolated pixels within and around each field. We then identify the connected  
218 components based on the resulting bitmap associated with each crop. We assign each  
219 individual field an identification number. This process identifies 3167 agricultural fields that  
220 cover 26% of the total area of our study site (Figure S1a). To identify roads and other stable  
221 surfaces, we select all pixels labeled in the CDL as developed or barren at any point between  
222 2019 and 2021. These pixels cover 7% of the total area of our study site (Figure S1b).

223 We track several metrics for each field within each interferogram: SLC backscatter  
224 amplitude, average phase bias per field ( $\varphi_{bias}$ ), and coherence ( $\gamma$ ). We define average phase

225 bias as the difference between the average phase within a single field ( $\varphi_{field}$ ) and the average  
 226 phase of the surrounding stable pixels within 100 m ( $\varphi_{stable}$ ) (Figure 2):

$$227 \quad \varphi_{bias} = \mathit{arg}(\exp\{j(\overline{\varphi}_{field} - \overline{\varphi}_{stable})\}) \quad (5)$$

228

### 229 3.3 Time series analyses

230 To quantify the contribution of phase biases within agricultural fields on displacement  
 231 time series, we perform two types of time series analysis - one where we compare the results  
 232 of using masked vs. unmasked versions of the real interferograms, and one where generate  
 233 synthetic interferograms based on the phase estimates for each field and time interval as  
 234 described in Section 3.2.

#### 235 3.3.1 Masked vs. unmasked real-data time series

236 As described above, the study area is marked by very heterogeneous land cover, with  
 237 sparse networks of roads, few cities, and natural terrain, interspersed between large  
 238 agricultural fields. The roads are narrow relative to the filtering and spatial averaging scales that  
 239 are typically used in InSAR processing, so their interferometric phase will tend to be  
 240 “corrupted” by the phase in the adjacent fields during most InSAR processing workflows. To  
 241 assess the potential impact of filtering/averaging over a mix of stable and agricultural pixels, we  
 242 perform two time series analyses - one with the original set of interferograms and one where  
 243 we mask out all but the stable pixels (as described in Section 3.2) at the highest resolution  
 244 before any further processing.

245 We use the spatial resolutions and filtering choices used in the JPL-Caltech Advanced  
 246 Rapid Imaging and Analysis (ARIA) project (Bekaert et al., 2019), which provides a free and open  
 247 archive of Sentinel-1 unwrapped geocoded interferogram products. We spatially average the  
 248 full resolution wrapped interferograms by a factor of 19 in the range direction and 7 in the  
 249 azimuth direction, resulting in pixels that are approximately 90 m in scale. For the “masked”  
 250 version of the dataset, only the unmasked pixels are used in this spatial averaging. In places  
 251 where there are no unmasked pixels within the 19x7 spatial averaging window, the spatially  
 252 averaged, masked interferogram is undefined. For the unmasked interferograms, we apply a  
 253 Goldstein-Werner filter with  $\alpha = 0.1$  (Goldstein and Werner, 1998), then unwrap the  
 254 interferograms using SNAPHU (Chen and Zebker, 2002), resulting in the filtered, unwrapped  
 255 version of the unmasked phase,  $\varphi_{unw}^{unmask}$ .

256 Filtering and unwrapping the masked interferograms is more challenging because of the  
 257 undefined/masked values present within each interferogram. We address this by assuming  
 258 that, within the set of stable pixels, the difference between the unwrapped, unfiltered phase  
 259 values and the unwrapped, filtered phase values in the unmasked dataset,  $\varphi_{unw}^{unmask}$ , should fall  
 260 within the range  $[-\pi, \pi]$ . This would not necessarily be true in the presence of very large  
 261 amounts of noise (in which case unwrapping will likely fail in both cases) or where the spatial

262 scale of filtering is large relative to the gradients in strain present in the interferogram. Where  
 263 this assumption holds, the  $2\pi$  phase ambiguity needed to define the unwrapped, masked  
 264 interferometric phase,  $\varphi_{unw}^{mask}$ , can be solved for (e.g., Jiang and Lohman, 2021; Tymofyeyeva et  
 265 al., 2019):

$$266 \quad \varphi_{unw}^{mask} = \mathit{arg}(\exp\{j(\Delta\varphi_{i,i+1})\}) + \varphi_{unw}^{unmask} \quad (6)$$

267 where  $\Delta\varphi_{i,i+1}$  is the difference in phase between the spatially averaged, filtered, unmasked  
 268 wrapped interferogram, between dates  $i$  and  $i+1$ , and the spatially averaged, masked, wrapped  
 269 interferogram. Note that because  $\varphi_{unw}^{unmask}$  differs from the spatially averaged, masked,  
 270 wrapped interferogram by a factor of  $2\pi$ ,  $\varphi_{unw}^{mask}$  will also differ from the spatially averaged,  
 271 filtered, unmasked wrapped interferogram by a factor of  $2\pi$ . As mentioned above, we expect  
 272 the value of  $\Delta\varphi_{i,i+1}$  to fall within the range  $[-\pi, \pi]$  due to the small size of the filtering/spatial  
 273 averaging window with respect to the scale of variations in atmospheric noise or strain due to  
 274 aquifer depletion. The largest values of  $\Delta\varphi_{i,i+1}$  will occur in areas over heterogeneous terrain,  
 275 such as at the boundaries between fields and nearby stable pixels. We see consistent offsets  
 276 over many interferograms between the roads and fields that are well below  $2\pi$  (Section 4),  
 277 suggesting that the spatial averaging/filtering across these boundaries does not introduce more  
 278 than one cycle.

279 We produce displacement time series and inferred average displacement rates using the  
 280 standard workflow from open source Miami INsar Time-series software in PYthon (MintPy)  
 281 (Zhang et al., 2019). We use the same reference pixel for each inversion and use the sign  
 282 convention such that subsidence is associated with a negative velocity in the LOS direction. We  
 283 apply this approach to both the sets of interferograms and compare the results in Section 4.

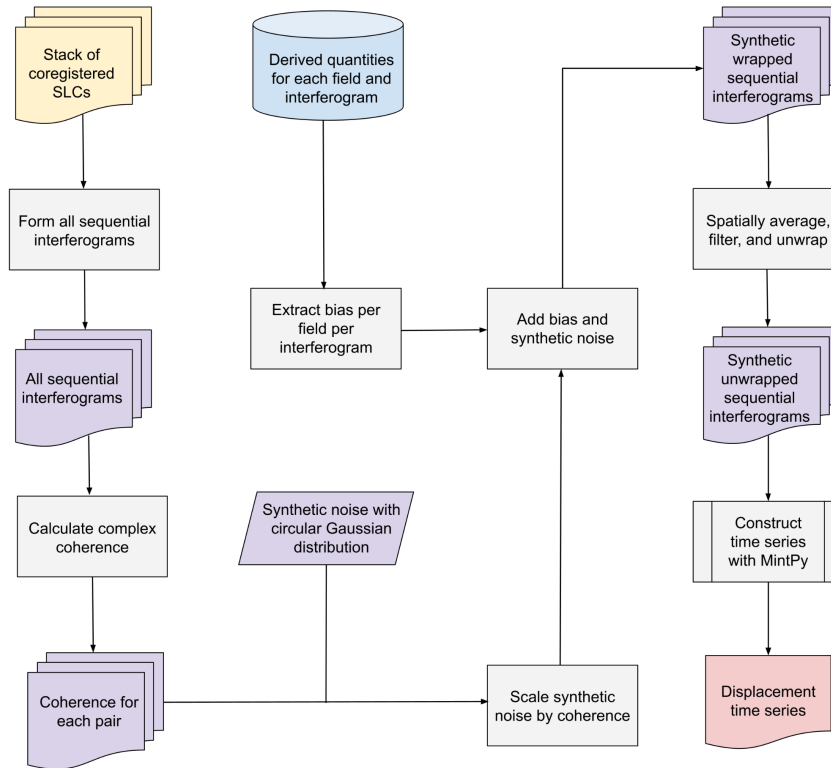
### 284 3.3.2 Synthetic time series, based on observed field biases

285 Our goal is to understand how the history of phase biases,  $\varphi_{bias}$ , described in Section  
 286 3.2, affect the displacement time series inferred from a given set of interferograms. To assess  
 287 this, we need to simulate how the standard processes of filtering, spatial averaging, and phase  
 288 unwrapping perform in the presence of these phase biases. Therefore, we generate synthetic  
 289 data that include the phase biases observed in the real data for each field and for each  
 290 interferogram (Figure 3), and process them in the same way that we would treat real  
 291 interferograms. We begin by constructing synthetic full-resolution wrapped interferograms. For  
 292 each interferogram, we assign the  $\varphi_{bias}$  observed from the real interferogram for each field. We

293 then introduce Gaussian noise scaled to be consistent with the coherence  $\gamma$  of the actual  
 294 interferogram:

$$295 \quad \sigma = \sqrt{-2 \ln \gamma} \quad (7)$$

296 where  $\sigma$  is the standard deviation and  $\gamma$  is the absolute value of the complex coherence in Eq. 4.  
 297 After generating these full-resolution synthetic interferograms, we process them and infer  
 298 velocity using the same workflow as we used for the real data as described in Section 3.3.1.



299 **Figure 3.** Workflow for synthetic time series. Initial and end result datasets represented by  
 300 bolded outline. Colors indicate coregistered SLCs (yellow), complex-valued datasets from InSAR  
 301 (purple), CDL and CDL-derived products (green), average phase bias calculated in Figure 2  
 302 (blue), and final synthetic displacement time series (red). Gray rectangles represent processes  
 303 to manipulation of datasets, and white diamond represents determination of whether each  
 304 field is sufficiently coherent. Details of MintPy are described in (Zhang et al., 2019).  
 305

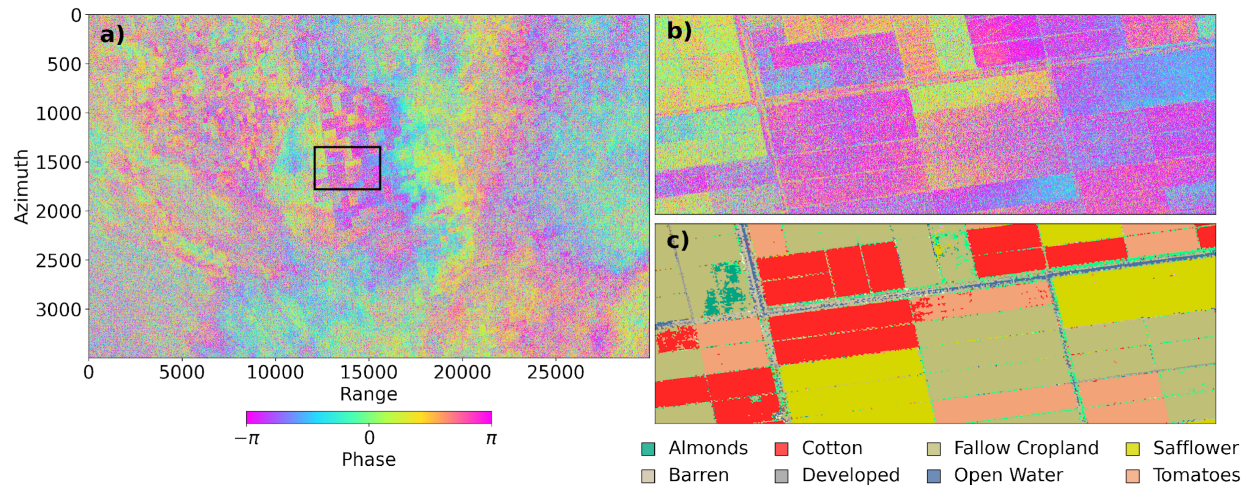
## 306 4 Results

### 307 4.1 Relationship between phase bias and crop type

308 Our analysis includes 3167 individual fields that are flagged in the CDL database as one  
 309 of the six crops we focus on (almonds, cotton, grapes, pistachios, tomatoes, and winter wheat).



310 Figure 4 shows an example of the sharp phase transitions at field boundaries that are present  
 311 throughout this dataset.

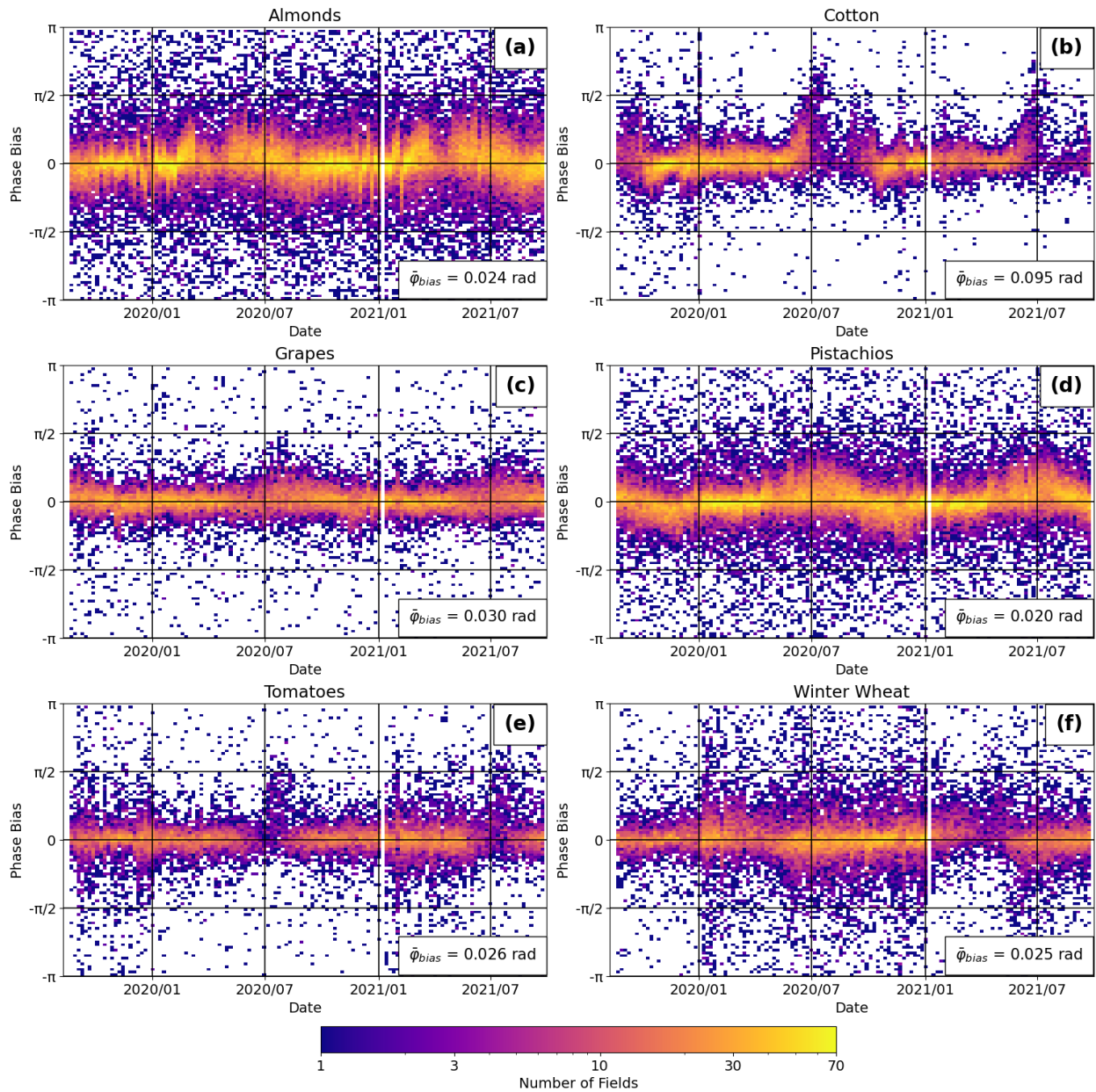


312

313 **Figure 4.** (a) Six-day full-resolution wrapped interferogram between 2021-01-23 and 2021-01-  
 314 29 of the entire study region in radar coordinates. Black box outlines zoomed in subregion of  
 315 (b); (b) Subregion of (a) showing sharp contrast between fields and adjacent roads.  
 316 Interferogram is wrapped on  $[-\pi, \pi]$  interval; (c) CDL in radar coordinates in with the eight most  
 317 common land cover types of subregion (b).

318 Figure 5 shows phase bias over time for each crop type. In each panel, the phase bias is  
 319 shown for each field of that crop type, for each interferogram, except for when  $<10\%$  of the  
 320 pixels in that field or in the surrounding “stable” pixels had coherence  $> 0.3$ . Cotton is  
 321 associated with the largest average phase bias and a strong seasonality. Cotton and tomato  
 322 fields are heavily decorrelated between July and September. The other four crops are coherent  
 323 for the majority of our time frame. Almonds and pistachios also are associated with a clear

324 seasonal phase bias, without the annual loss of coherence observed within the cotton fields.  
 325 Grapes, tomatoes, and winter wheat have small to negligible phase bias.



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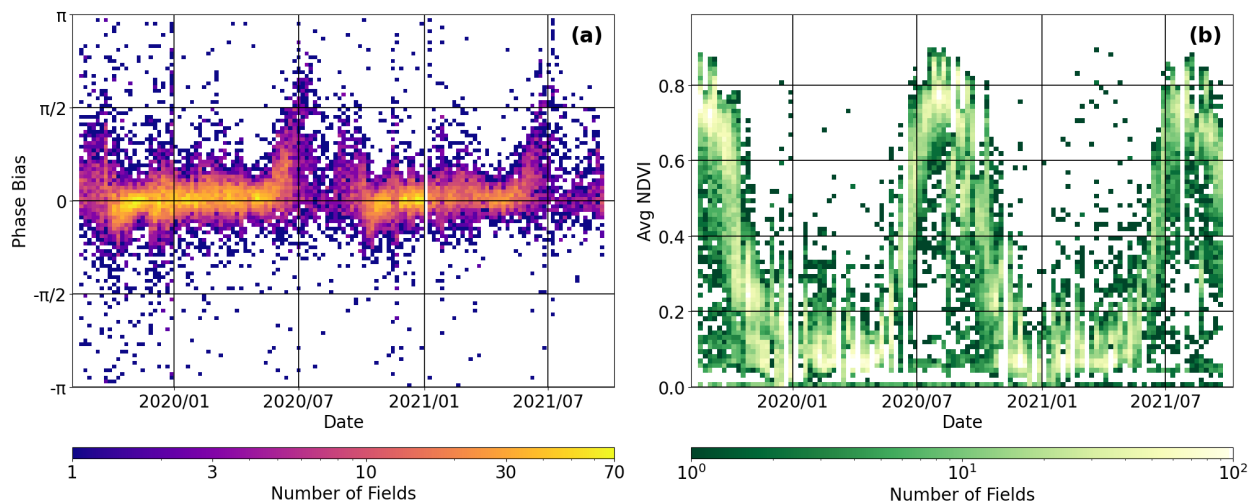
327 **Figure 5.** Heatmap of phase bias over time for each crop type. Each heatmap only includes  
 328 biases at times when at least 10% of possible field and road pixels have coherence  $> 0.3$ . Text in  
 329 lower right corner indicates the mean over the full time period used in this study. Note that

330 this value, particularly for crops like cotton that demonstrate a large seasonality, is very  
 331 sensitive to the exact time period used.

#### 332 4.2 NDVI and phase bias

333 In this section we compare the temporal behavior of NDVI averaged over each field with  
 334 the average phase bias. NDVI is a completely independent observation type and helps to  
 335 illustrate the correspondence between the temporal variations in phase bias and phenological  
 336 stage. Here we show the comparison against cotton, but other crop type comparisons can be  
 337 found in the supplemental material. Rising NDVI values near the end of each year coincide with  
 338 an increase in phase bias, followed by a time period of decorrelation when NDVI values are at a  
 339 maximum (Figure 6). We observe this relationship in individual fields (Figure S2) as well as on  
 340 average across all cotton fields. This indicates that the large phase biases we observe in cotton  
 341 are associated with a time period where the cotton plants are beginning to grow, but the fields  
 342 become decorrelated during the time period of peak vegetation density, as indicated by the  
 343 peak in NDVI.

344



345

346 **Figure 6. a)** Phase bias heatmap of cotton fields over time; **b)** NDVI heatmap of cotton fields  
 347 over time.

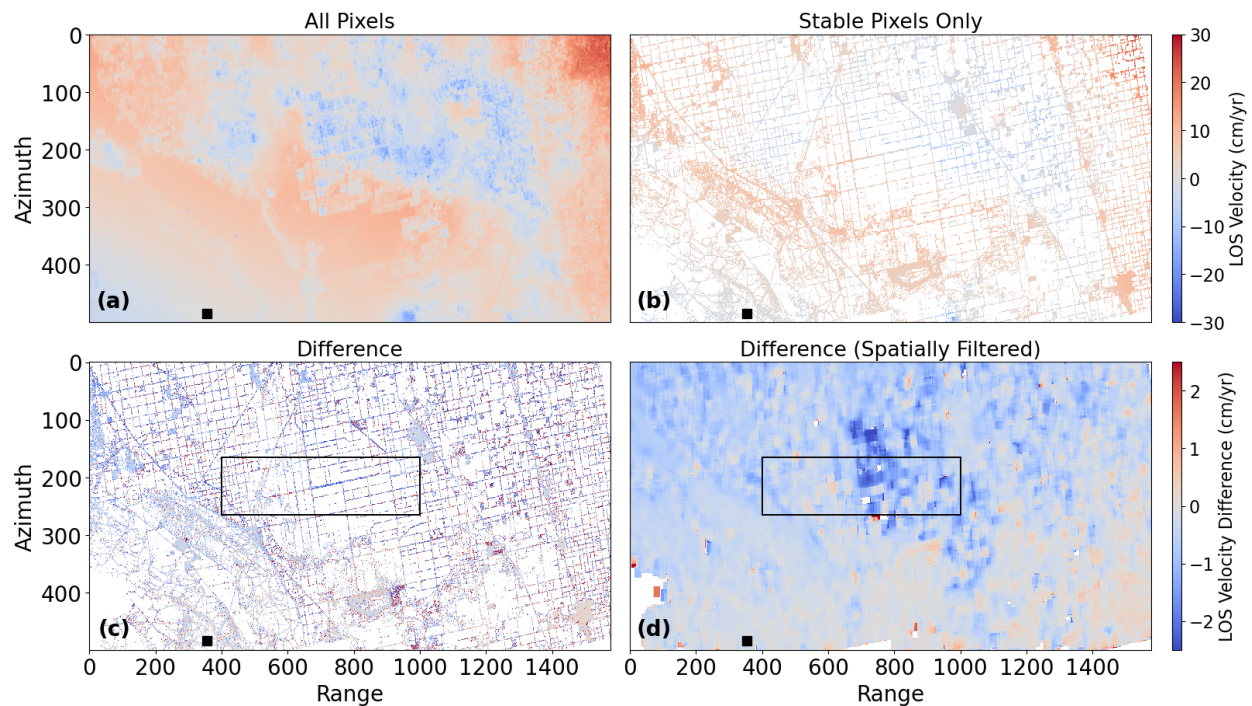
348 Tomatoes and winter wheat also have strong seasonal NDVI cycles, but their phase  
 349 biases do not show similar temporal behavior (Figures S6, S7). The NDVI of almonds (Figure S3),  
 350 grapes (Figure S4), and pistachios (Figure S5) behave similarly over time. For these three crops,  
 351 some fields follow a seasonal cycle between high and low NDVI, but there are also many fields  
 352 that have low NDVI during the entirety of our study period. The phase bias in some individual  
 353 almond and pistachio fields coincide with NDVI seasonality, but we do not see such similarities  
 354 when averaging across all fields of each respective crop. We do not observe similarities  
 355 between NDVI and phase bias in grape fields. Note that all figures showing NDVI over time

356 include all fields containing the specific crop. Some of these fields are too decorrelated to  
 357 include in our phase bias analysis.

### 358 4.3 Time series inversion results

#### 359 4.3.1 Real-data time series results

360 As described above, many current workflows for generating InSAR time series products  
 361 (e.g., Bekaert et al., 2019) include some component of spatial filtering in their analysis. In areas  
 362 with heterogeneous land cover, this filtering may combine pixels from areas with different  
 363 characteristics in ways that are undesirable. We generate two time series: one using the  
 364 standard approach (all possible pixels), and one where we mask out all but the “stable” pixels at  
 365 full resolution before any further spatial averaging or filtering.

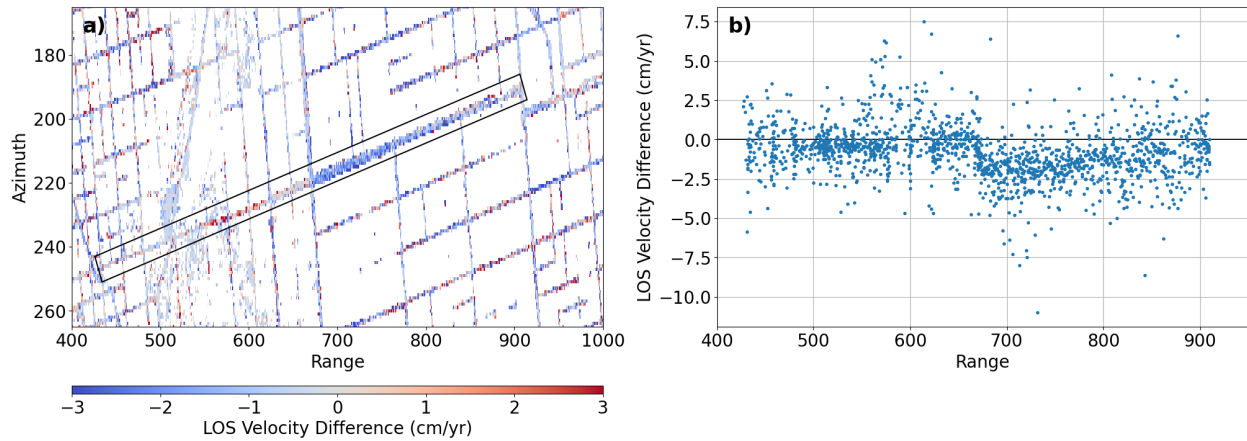


366

367 **Figure 7. a)** Time series inversion using all pixels; **b)** Time series inversion using only stable  
 368 pixels; **c)** Difference between two time series; **d)** Difference spatially filtered by a factor of



369 20x20 for visualization purposes. Black box denotes subregion shown in Figure 8a. Reference  
 370 point shown as a black square.



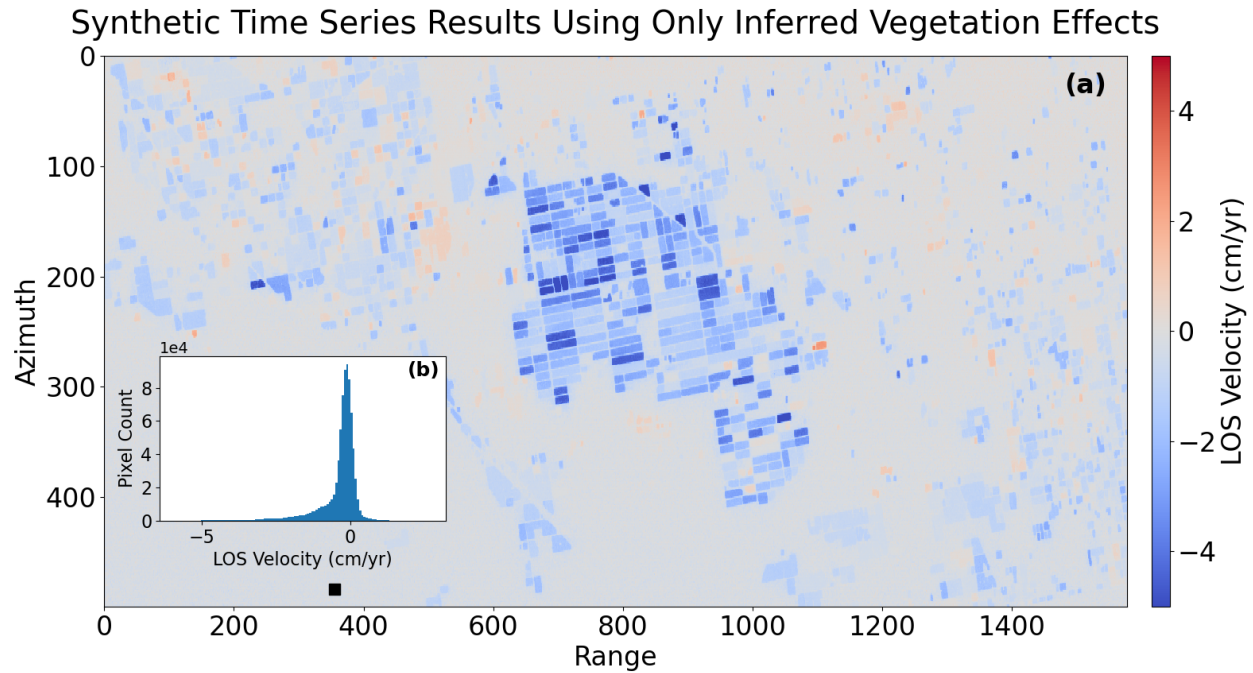
371  
 372 **Figure 8. a)** Zoomed-in area from Figure 7c of difference between inverting with all pixels and  
 373 using stable pixels only. Black box outlines pixels shown in (b); **b)** Profile of pixels within black  
 374 box in (a).

375 Figure 7 shows the inferred average LOS velocity for both approaches. Peak subsidence  
 376 is around -30 cm/yr using either method (Figure 7a,b). Figure 7c shows the difference between  
 377 the two inversions, and Figure 7d spatially filtered for better visualization. Figure 8a focuses on  
 378 a subregion of the study site, along a road with large fields (cotton for most of the study time  
 379 interval) to the north and south (Figure 8b). Note the pronounced difference of  $\sim 2$  cm/yr  
 380 between the unfiltered/masked and the filtered/unmasked inversions. This difference is due to  
 381 phase biases in the adjacent fields impacting the inferred phase values along the roads after  
 382 spatial averaging and filtering. With less spatial averaging, this effect would tend to be smaller,  
 383 as there would be less averaging of heterogeneous land cover.

#### 384 4.3.2 Synthetic time series results

385 The synthetic time series inversion (described in Section 3.3) demonstrates the effect of  
 386 our observed phase bias over time within each field. We use the same reference pixel as in  
 387 Section 4.3.1. The inferred LOS velocity varies between individual fields (Figure 9a), with large  
 388 (cm/yr or more) negative values within the central portion of our study area. Most fields have  
 389 biases between -2.5 (subsidence) and 1 (uplift) cm/yr (Figure 9b). The largest magnitude biases  
 390 occur within the artificially drained Tulare Lake, where the majority of cotton fields are located.  
 391 This is consistent with our observations of cotton having the most distinct phase biases over  
 392 time. Note that the features visible in Figure 9a are solely due to the observed biases in each  
 393 individual field. This is in contrast to the rate differences shown in Figure 7c,d, which are

394 attributable to spatial filtering over heterogeneous land covers (agricultural fields together with  
 395 stable pixels).



396

397 **Figure 9. a)** LOS velocity inversion of synthetic interferograms. Positive values indicate  
 398 movement toward the satellite (uplift), and negative values indicate movement away from the  
 399 satellite (subsidence). Reference point shown as a black square; **b)** Histogram of LOS velocities.

## 400 5 Discussion and Conclusion

401 In agricultural regions where groundwater resources are being heavily utilized, InSAR-  
 402 derived rates can help with characterizing and managing such resources (e.g., Amelung et al.,  
 403 1999; Chaussard et al., 2014; Farr, 2016; Gao et al., 2018; Hussain et al., 2022; Kang and Knight,  
 404 2023; Motagh et al., 2017; Murray and Lohman, 2018; Neely et al., 2020). However,  
 405 contributions to the InSAR observations from other factors, such as soil moisture or vegetation  
 406 characteristics, could bias such observations. In this paper, we examine an approach for  
 407 mitigating this effect, applied to C-band data from the Sentinel-1 constellation. We also present  
 408 a method for characterizing how strong the effect on InSAR time series could be. The largest  
 409 biases we observe occur within cotton fields, although we also observe significant biases and  
 410 seasonal signals in almond and pistachio orchards. In general, the observed phase bias and  
 411 NDVI are correlated with each other, suggesting that the bias is due to vegetation effects on the  
 412 InSAR signal. However, factors like soil moisture may also be correlated with NDVI and may also  
 413 contribute to the observed biases. Future work that includes the collection of in situ soil  
 414 moisture measurements, as well as observations at different microwave wavelengths, may help  
 415 with efforts to separate out vegetation water content from soil moisture effects (e.g., Wig et

416 al., 2024; Zheng et al., 2022). These effects are likely of interest in their own right, beyond their  
417 treatment here as a source of noise in ground deformation studies.

418 It is likely that some pixels are mislabeled in the CDL database, particularly since the  
419 database is only published once a year and may, therefore, miss time periods where a given  
420 field is switched from one crop to another. Because we invert for LOS velocity on a field-by-field  
421 basis, independent of land cover type, this potential CDL-based issue will not impact our  
422 inferred displacement rates over the region (Figures 7-9). However, mislabeling of individual  
423 fields will affect our summaries of individual crop types (Figures 5-6), and is only mitigated by  
424 the large number of fields that go into each summary.

425 In general, we observe a bias of  $\sim 2\text{-}4$  cm/year of subsidence, both through our  
426 comparison of masked vs. unmasked interferograms (Figure 7) and through our modeling of the  
427 effect on each individual field over time (Figure 9). The small size of our study region results in  
428 some artifacts when compared to previous studies using the same data (e.g., Farr, 2016; Kang  
429 and Knight, 2023; Murray and Lohman, 2018; Neely et al., 2020). We attribute the uplift signal  
430 we see in the northeast corner of Figure 7a,b to the proximity of the reference point to the  
431 subsidence bowl. However, these considerations would not impact either the difference  
432 between the masked vs. unmasked time series, or the field-based results. We show that  
433 removing pixels that may exhibit suspect behavior, at the highest resolution possible, can help  
434 mitigate these biases at low computational cost, without requiring that the user produce more  
435 computationally expensive full-resolution displacement maps or perform persistent scatterer  
436 analyses (e.g., Ferretti et al., 2000; Hooper et al., 2004).

437 The peak subsidence rate within the San Joaquin Valley is  $\sim 30$  cm/yr (e.g., Farr, 2016;  
438 Kang and Knight, 2023; (Lees and Knight, 2024); Murray and Lohman, 2018; Neely et al., 2020),  
439 which is an order of magnitude larger than our observed bias. However, while the biases may  
440 be insignificant when compared to the signals in this particular region, researchers studying  
441 regions with smaller deformation signals or who are interested in analyzing shorter-term  
442 variations or seasonality in the subsidence in California, may find it useful to adopt some of the  
443 approaches described here.

#### 444 **Acknowledgments**

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447 October 2021, processed by ESA (<https://www.asf.alaska.edu>). We appreciate the insightful  
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449 manuscript. We also thank Editor C. Jones for her work in efficiently guiding the review process.

#### 450 **Open Research**

451 All raw data used in this analysis are free and open, as is all the software used to  
452 prepare analysis-ready data. ESA Sentinel-1a/b data are available through ASF DAAC  
453 (<https://search.asf.alaska.edu/>) with a free Earthdata account



454 (<https://www.earthdata.nasa.gov/>). CDL data are openly available via the CroplandCROS  
 455 website (<https://croplandcros.scinet.usda.gov/>). Landsat 8 and Sentinel-2 imagery are accessed  
 456 through Google Earth Engine (<https://earthengine.google.com/>). ISCE2 software and the  
 457 Sentinel stack processor are available on Github (<https://github.com/isce-framework/isce2>).  
 458 STRM DEM obtained using the software package sardem on Github  
 459 (<https://github.com/scottstanie/sardem>). MintPy software is available on Github  
 460 (<https://github.com/insarlab/MintPy>). Additional code developed by the authors for this  
 461 analysis is also available on Github (<https://github.com/kdevlin525/C-band-phase-bias>).  
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*Earth and Space Science*

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Supporting Information for

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**Evaluation of Vegetation Bias in InSAR Time Series for Agricultural Areas within the San**

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**Joaquin Valley, CA**

625

Kelly Devlin<sup>1</sup> and Rowena B. Lohman<sup>1</sup>

626

<sup>1</sup>Department of Earth and Atmospheric Sciences, Cornell University, Ithaca, NY

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628 **Contents of this file**

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Figures S1 to S7

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632 **Introduction**

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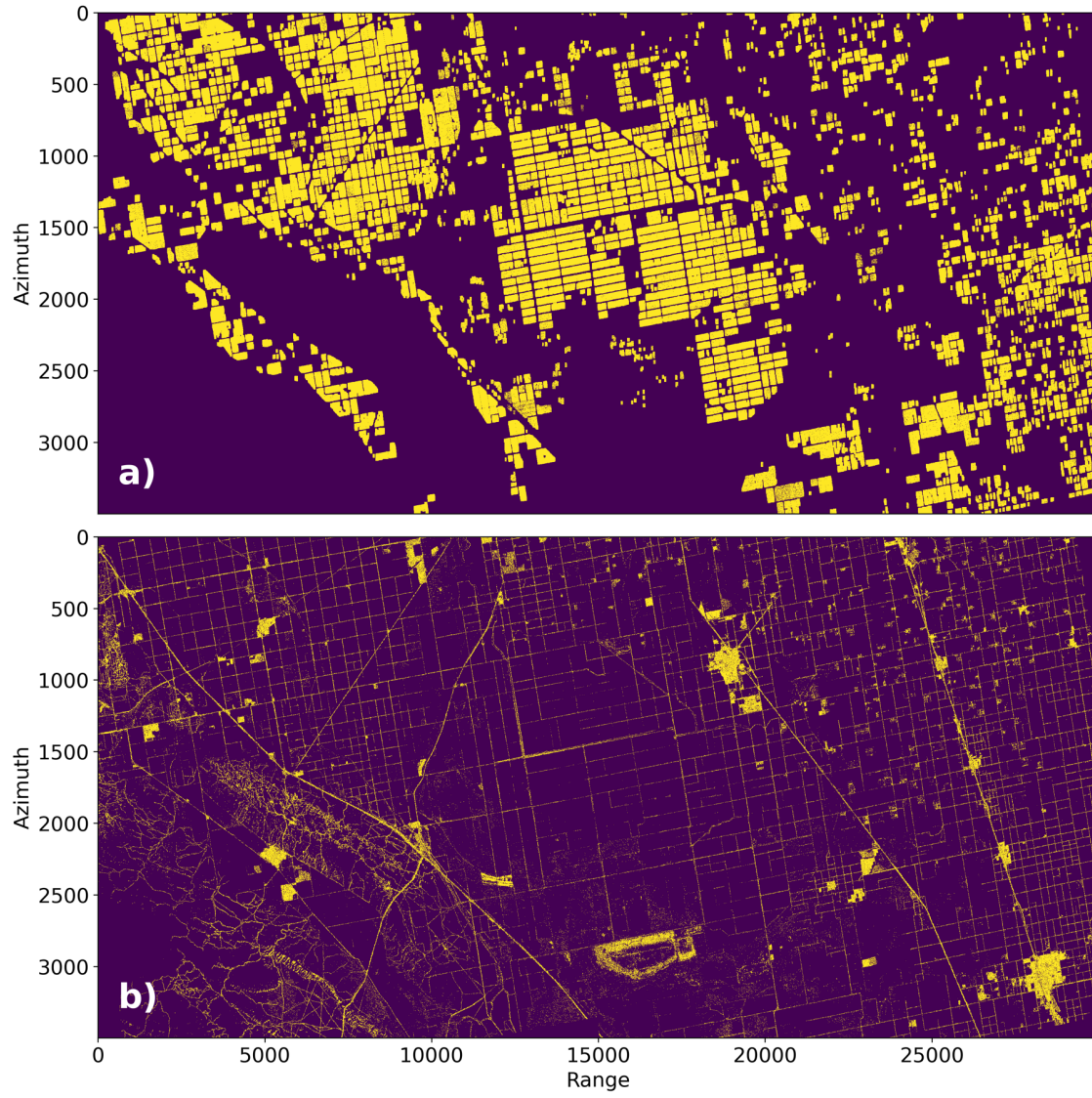
This document contains Supplemental Information for the paper, "Evaluation of Vegetation Bias in InSAR Time Series for Agricultural Areas within the San Joaquin Valley, CA". Figure S1 provides information on pixels used in our analysis. Figures S2-S7 provide additional information on the relationship between phase bias and NDVI for cotton, almonds, grapes, pistachios, tomatoes, and winter wheat.

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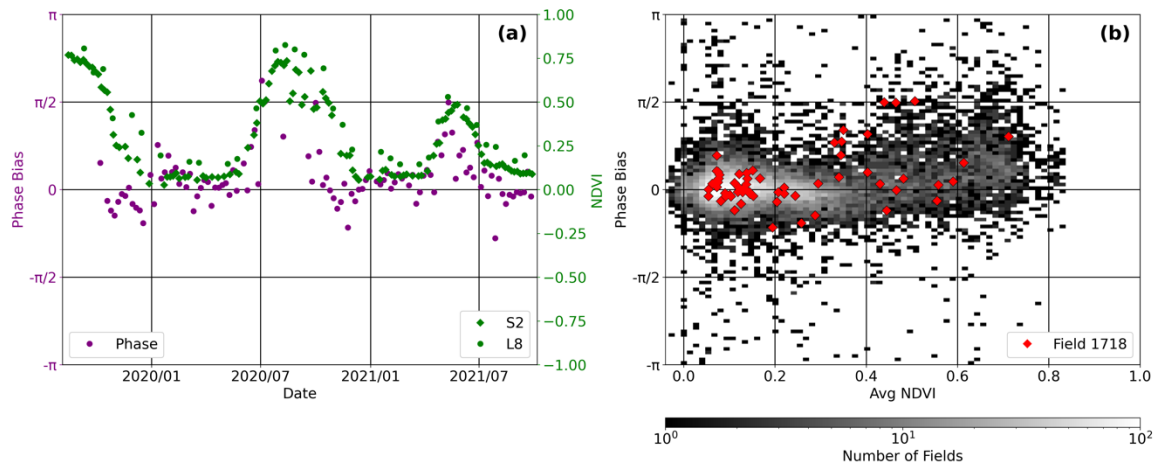
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**Figure S1.** **a)** All pixels included in fields used in analysis shown in yellow; **b)** All pixels included in roads and stable regions shown in yellow.



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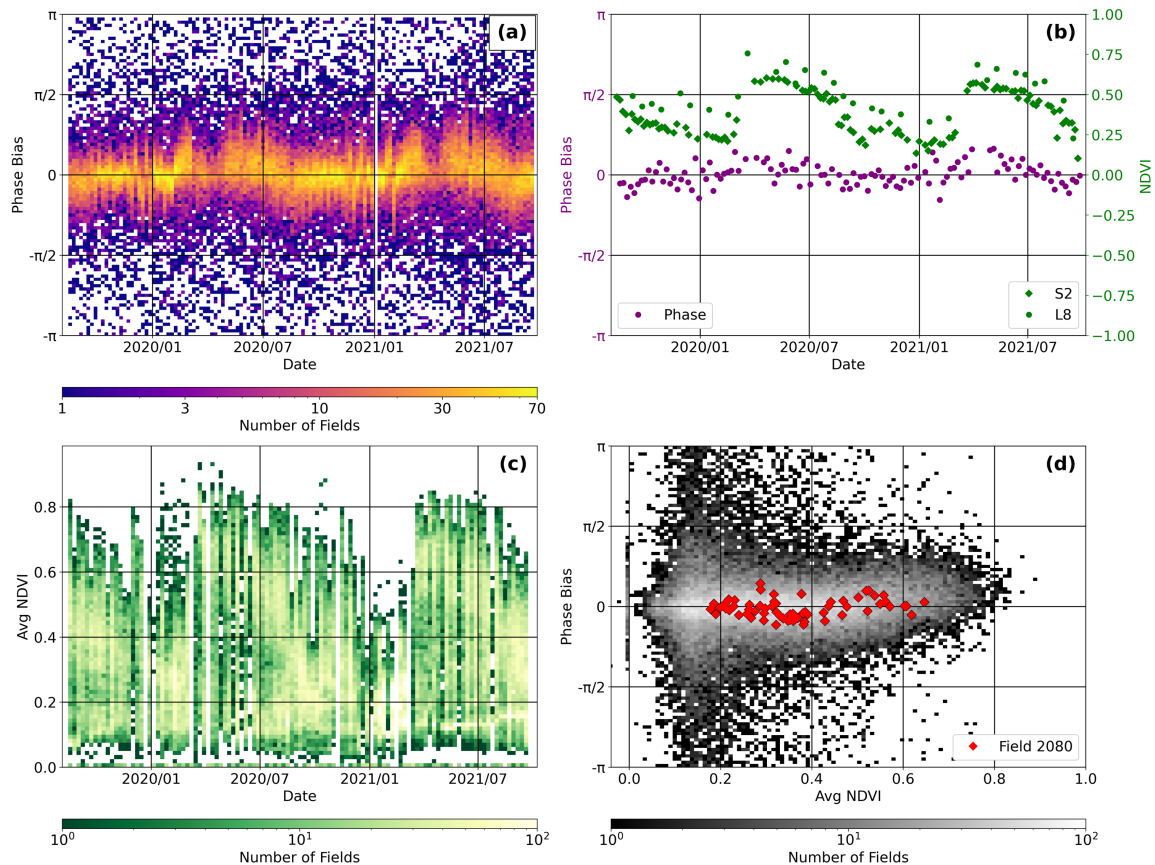
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**Figure S2. a)** Phase bias and NDVI over time for example cotton field (Field 1718); **b)** Heatmap of interpolated NDVI vs. phase bias for cotton fields, with values for Field 1718 shown as red diamonds.



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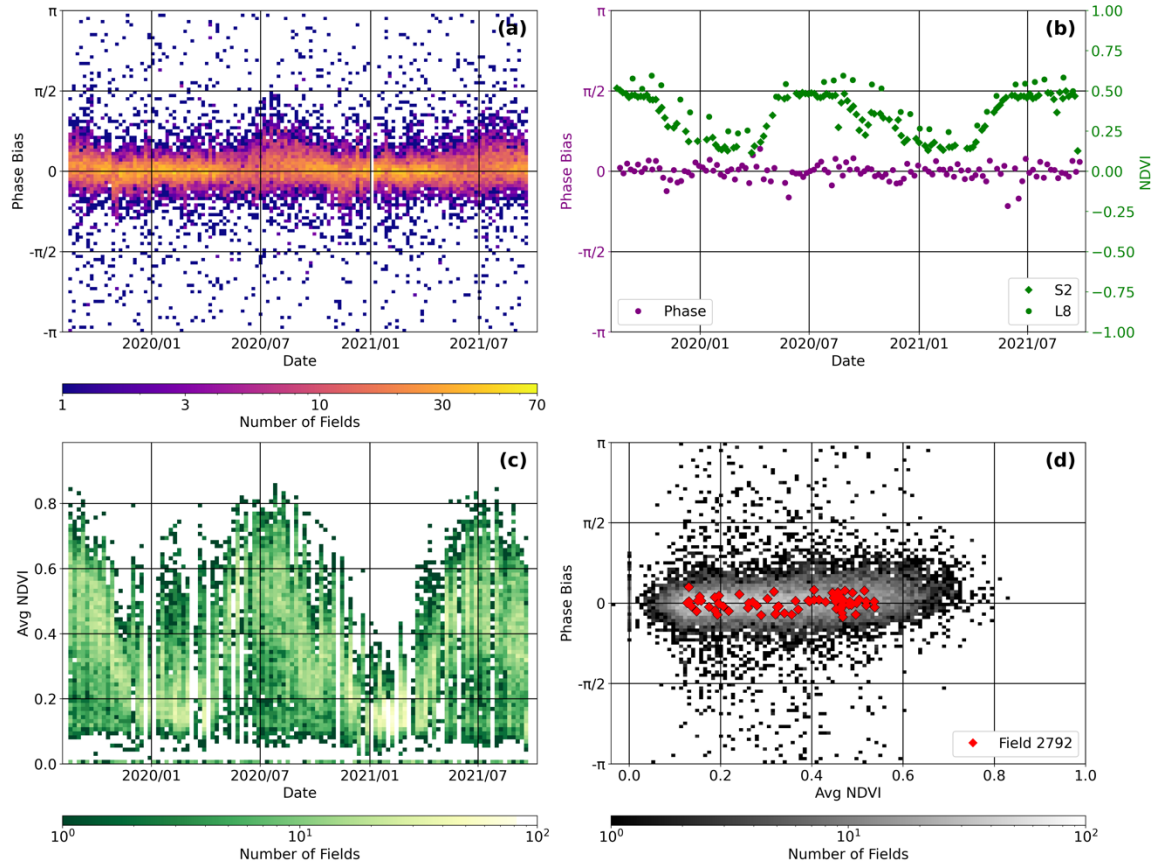
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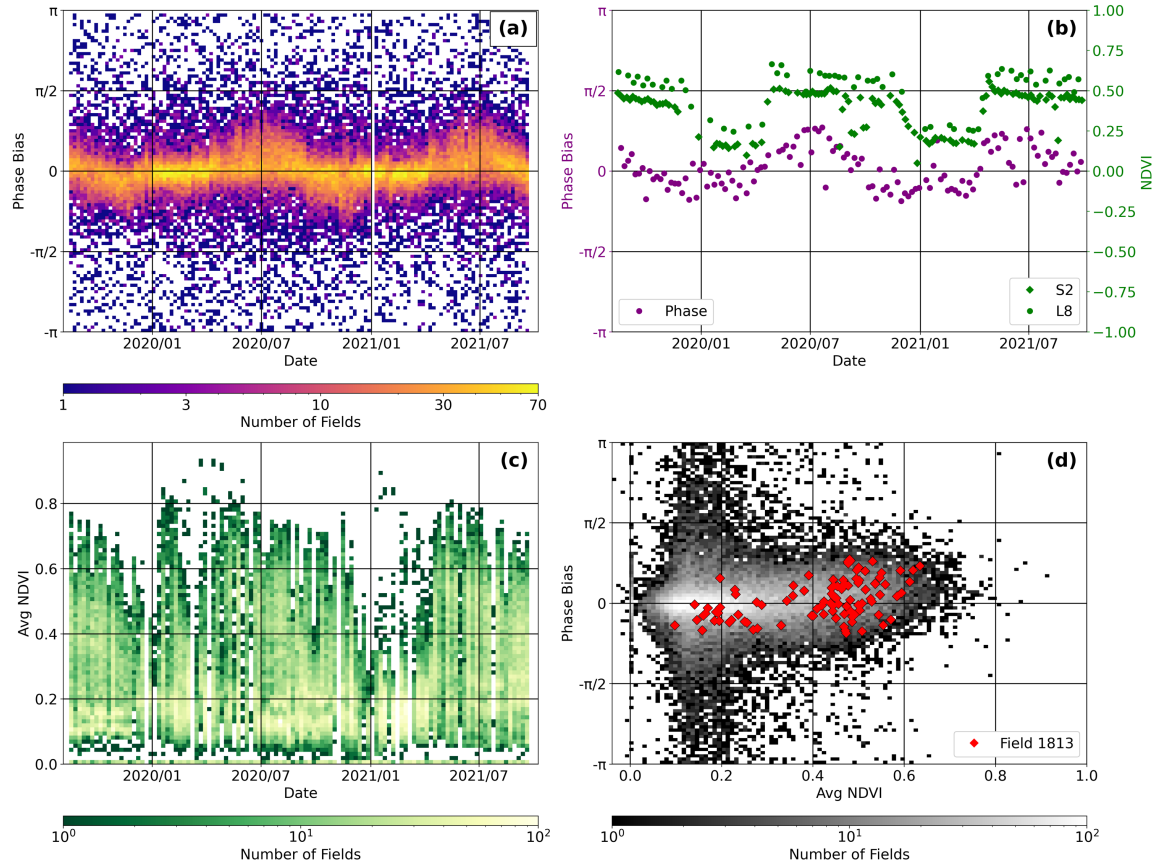
**Figure S3. a)** Phase bias heatmap of almond fields over time; **b)** Phase bias and NDVI over time for example almond field (Filed 2080); **c)** NDVI heatmap of almond fields over time; **d)** Heatmap of interpolated NDVI vs. phase bias for almond fields, with values for Field 2080 shown as red diamonds.





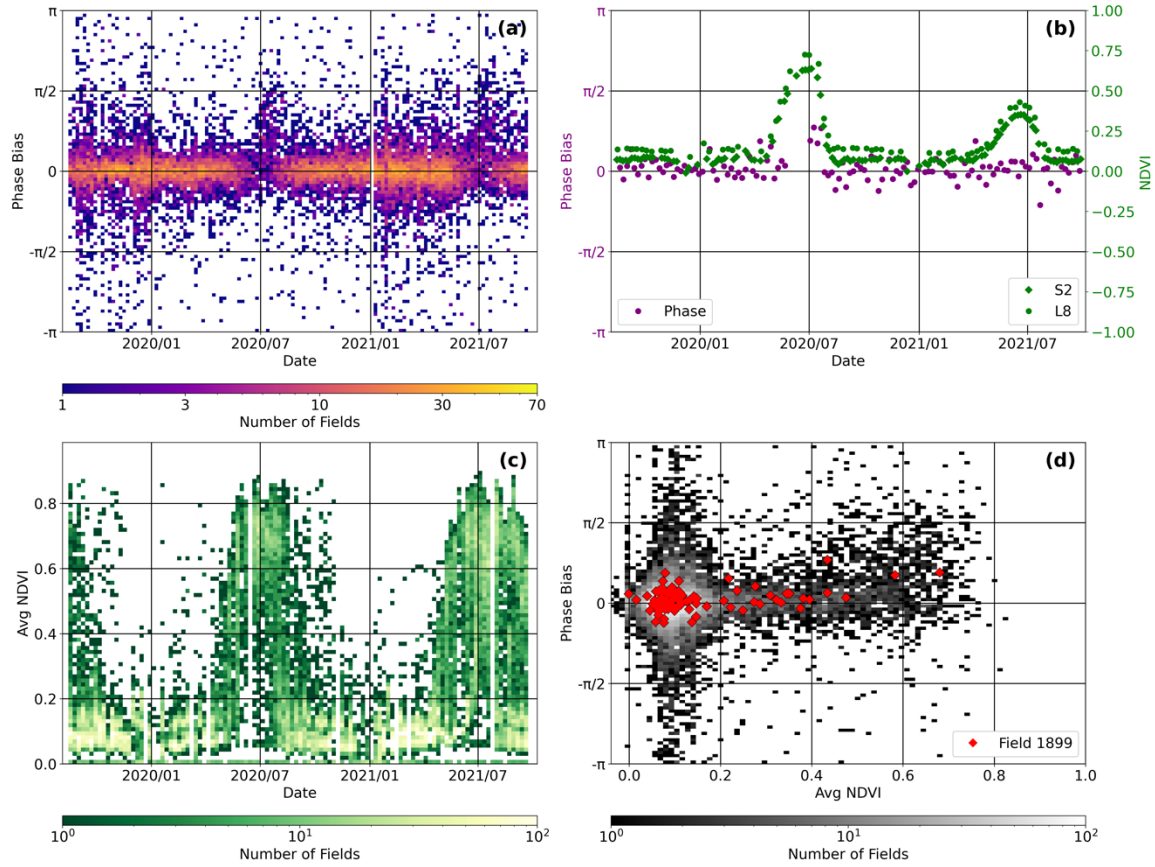
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**Figure S4:** **a)** Phase bias heatmap of grape fields over time; **b)** Phase bias and NDVI over time for example grape field (Field 2792); **c)** NDVI heatmap of grape fields over time; **d)** Heatmap of interpolated NDVI vs. phase bias for grape fields, with values for Field 2792 shown as red diamonds.



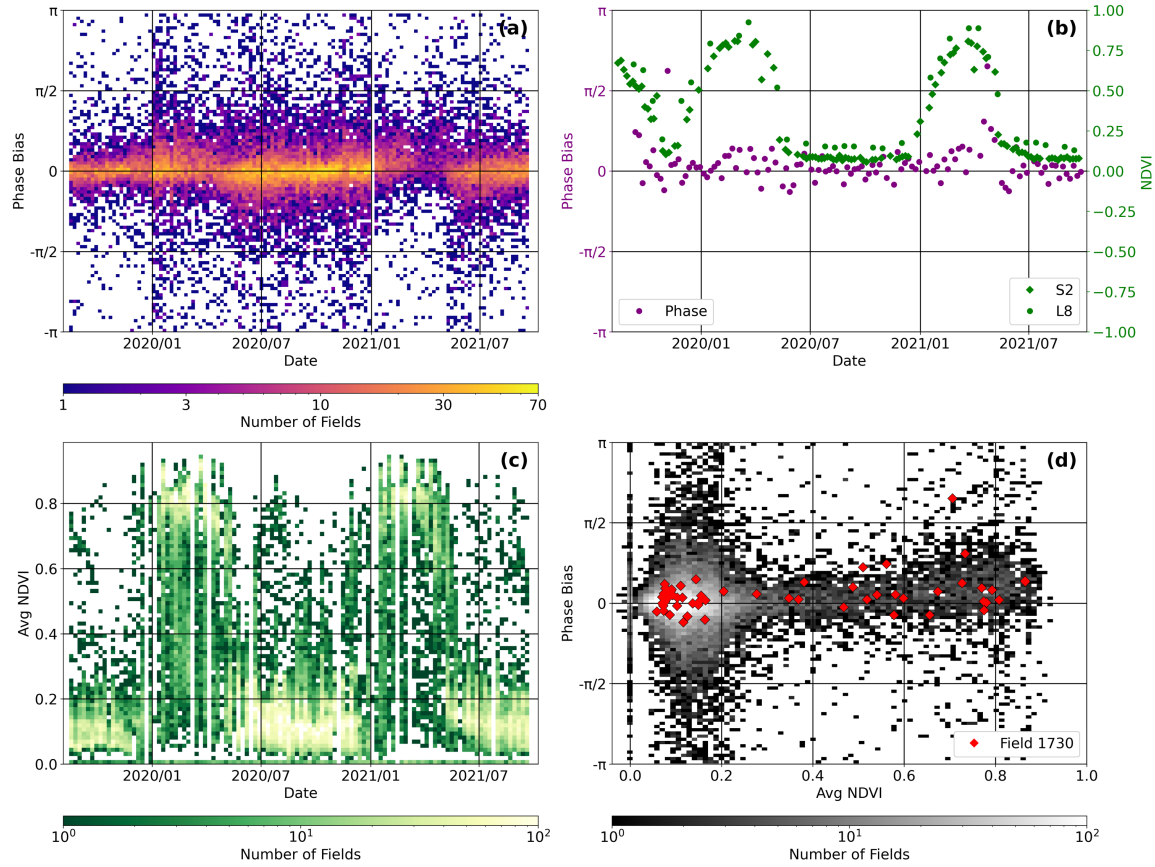
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**Figure S5:** **a)** Phase bias heatmap of pistachio fields over time; **b)** Phase bias and NDVI over time for example pistachio field (Field 1813); **c)** NDVI heatmap of pistachio fields over time; **d)** Heatmap of interpolated NDVI vs. phase bias for pistachio fields, with values for Field 1813 shown as red diamonds.



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**Figure S6: a)** Phase bias heatmap of tomato fields over time; **b)** Phase bias and NDVI over time for example tomato field (Field 1899); **c)** NDVI heatmap of tomato fields over time; **d)** Heatmap of interpolated NDVI vs. phase bias for tomato fields, with values for Field 1899 shown as red diamonds.



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**Figure S7:** **a)** Phase bias heatmap of winter wheat fields over time; **b)** Phase bias and NDVI over time for example winter wheat field (Field 1730); **c)** NDVI heatmap of winter wheat fields over time; **d)** Heatmap of interpolated NDVI vs. phase bias for winter wheat fields, with values for Field 1730 shown as red diamonds.