

# Internal Oscillations in Tropical Mesoscale Convective Clusters

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21 **Abstract**

22 In real-world observations, long-lived tropical mesoscale convective clusters  
23 (TMCCs) often exhibit quasi-periodic oscillations. Previous studies have suggested that  
24 these oscillations can be induced by external forcings. However, many idealized  
25 simulations provided evidence that TMCCs can display quasi-periodic behavior even  
26 without external forcings. Through this study, it is demonstrated that all TMCCs possess  
27 an inherent internal oscillation, and the physics behind is a convectively coupled inertia-  
28 gravity oscillation. When deep convection within a TMCC decays, the stratiform  
29 heating within the system triggers an inertia-gravity oscillation. This oscillation induces  
30 upward motion at lower levels of the disturbance, which facilitates the recovery of low-  
31 level buoyancy and initiates new convection. Notably, in this oscillation, diabatic  
32 heating serves not only as a consequence of the preceding oscillation but also as the  
33 source for the subsequent oscillation. The internal oscillation acts as a fundamental  
34 component in the life cycle of long-lived TMCCs, providing clearer physical intuition  
35 for understanding the variation of TMCCs in real-world scenarios.

36

37 **1. Introduction**

38 Mesoscale convective clusters are frequently observed in Earth's tropical regions.  
39 These clusters typically encompass systems like mesoscale convective systems, tropical  
40 depressions, tropical cyclones (TCs), and monsoon low-pressure systems (LPSs), with  
41 sizes ranging from hundreds to thousands of kilometers. These convective systems not  
42 only play a crucial role in the water and energy cycles within the tropics, but also  
43 significantly impact our social and economic activities (e.g., Nesbitt et al. 2000;  
44 Emanuel 2018; Houze 2018). However, the mechanisms driving the evolution of these  
45 systems remain unclear.

46 In real-world observations and numerical simulations, long-lived tropical  
47 mesoscale convective clusters (TMCCs) often interact with external forcings and  
48 demonstrate significant periodic characteristics. A typical example is the occurrence of  
49 large-scale, westward-propagating convective disturbances with periods of  
50 approximately 2 days in the equatorial western Pacific (e.g., Takayabu 1994; 1996).  
51 Studies indicate that this 2-day period of convection primarily results from the forcing  
52 of equatorial waves with wavelengths of around 2000 to 4000 km (e.g., Haertel and  
53 Johnson 1998; Wheeler et al. 2000; Haertel and Kiladis 2004). Another example is the  
54 diurnal cycle of convection over the tropical ocean. In the nighttime, the absence of  
55 shortwave heating cools the troposphere, which fosters convection by increasing  
56 relative humidity and enhancing convective instability. Conversely, convection is  
57 inhibited during the daytime due to strong shortwave heating (e.g., Gray and Jacobson  
58 1977; Fingerhut 1978). This convective diurnal cycle is robust in nearly all types of  
59 TMCCs, particularly in those with longer lifetimes, such as TCs (e.g.; Browner et al.  
60 1977; Dunion et al. 2014; Wu et al. 2014).

61 However, in many idealized simulations without external forcing, TMCCs also  
62 exhibit pronounced oscillations. For instance, in many idealized TC genesis simulations,  
63 which lack both the diurnal insolation cycle and lateral boundary forcing, significant  
64 oscillations of the disturbance can often be observed (e.g., Nolan 2007; Nicholls and  
65 Montgomery 2013; Yang and Tan 2020). Some studies suggest that this oscillation may

66 be a model artifact resulting from the usage of double periodic boundary conditions,  
67 wherein gravity waves can return to their source and trigger new convection (e.g.,  
68 Nolan, 2007). Nonetheless, in simulations with very large domains or damping lateral  
69 boundary conditions, the oscillation of the TC disturbances still occur (e.g., Li et al.  
70 2006; Nicholls 2015). Similarly, oscillations of the convective disturbance can also be  
71 observed in idealized simulations of monsoon LPSs (e.g., Diaz and Boos, 2021a, b).  
72 These results show evidence that the oscillations of TMCCs are not solely attributable  
73 to external forcing, but may also be internally generated.

74 The aim of this work is to determine whether a TMCC exhibits internal oscillations  
75 and, if so, to identify the mechanisms underlying the oscillations. The rest of the paper  
76 is organized as follows: Section 2 introduces the simulation setups. Section 3 briefly  
77 analyzes the internal oscillation in full-physics simulations. Section 4 shows the critical  
78 role of low-level buoyancy in determining the precipitation. Section 5 reveals that the  
79 inertia-gravity oscillation is responsible for the oscillation of low-level buoyancy and  
80 precipitation. Finally, Section 6 offers a discussion and summary.

81

## 82 **2. Methods**

83 In this work, we use idealized numerical simulations to investigate the internal  
84 oscillations of TMCCs. The numerical model employed is the WRF version 4.6.0  
85 (Skamarock et al., 2019). The model domain extends to an altitude of 27 km, with the  
86 upper third consisting of a sponge layer. There are 50 vertical levels, with 10 levels  
87 located below 1 km height. The initial sounding is derived from Jordan (1958), which  
88 is a typical tropical sounding commonly used in simulations.

89 The simulations cover a horizontal domain size of 1800 km  $\times$  1800 km, with a  
90 horizontal resolution of 3km. To rule out the effects of gravity waves propagating back  
91 to their sources, it is better to use open lateral boundary conditions. However, adopting  
92 open lateral boundary condition leads to a drastic drying of the domain, with the  
93 domain-mean moisture content decreases by 40% in the first 3 days. Therefore, we still  
94 use doubly periodic lateral boundary condition, but add a sponge layer at the lateral  
95 boundaries of the domain (e.g., Li et al., 2006). This sponge layer is 180 km wide at the

106 lateral boundaries, with the diffusion coefficient set to 1000 times the model's predicted  
 107 value. The simulations maintain a fixed sea surface temperature SST of 302.15 K and  
 108 a constant solar radiation of  $350 \text{ W m}^{-2}$ . The Thompson microphysics scheme  
 109 (Thompson et al., 2004) and the RRTMG radiation scheme (Iacono et al. 2008) are  
 110 implemented in our simulations. The boundary layer scheme is YSU, coupled with the  
 111 revised Monin–Obukhov surface-layer scheme (Hong et al. 2006).

112 All simulations initiate with a moist bubble centered in the domain. The moisture  
 113 bubble has a radius of 150 km and a height of 3 km, with the water vapor mixing ratio  
 114 set at 110% of the initial sounding value. We conduct three simulations with Coriolis  
 115 forces set to  $10^{-4} \text{ s}^{-1}$  (CTLF10),  $5 \times 10^{-5} \text{ s}^{-1}$  (CTLF05) and  $0 \text{ s}^{-1}$  (CTLF0), respectively  
 116 (Table 1). All the simulations are run for 72 hours, and results are output every 2 hours.  
 117 In the following analysis, we will mainly focus on the results in CTLF10, and the results  
 118 in the other two experiments will be briefly compared.

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110

**Table 1** Descriptions of experiments.

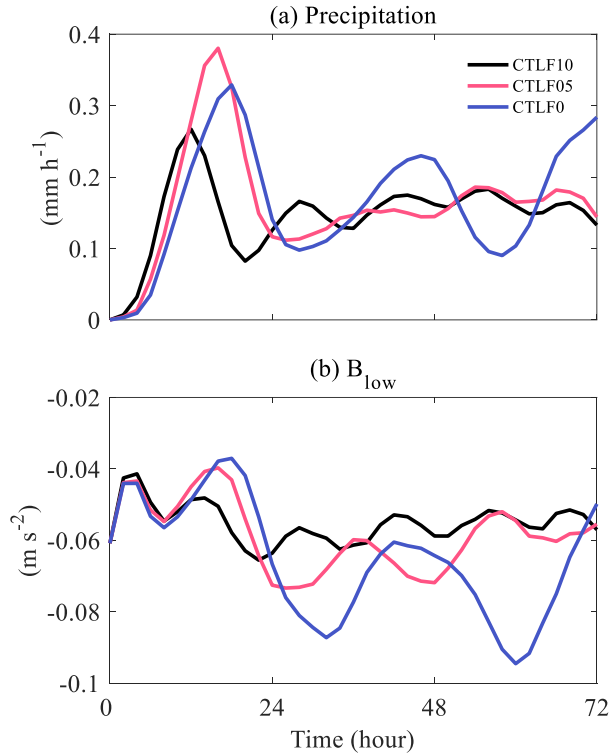
Name	Description
CTLF10	An 1800 km×1800 km full-physics simulation with Coriolis parameter set to be $1 \times 10^{-4} \text{ s}^{-1}$ . The lateral boundary condition is double periodic, with a damping layer of 180 km wide.
CTLF05	As CTLF10, with Coriolis parameter set to be $5 \times 10^{-5} \text{ s}^{-1}$ .
CTLF0	As CTLF10, without Coriolis force.
Dry2_F10	A 3000 km×3000 km simulation forced with a stratiform heating profile, without microphysics and radiation parameterization. The open lateral boundary condition is used. The other settings are with CTLF10.
Dry2_F05	As Dry2_F10, with Coriolis parameter set to be $5 \times 10^{-5} \text{ s}^{-1}$ .
Dry2_F0	As Dry2_F10, without Coriolis forcing.
Dry1_F10	As Dry2_F10, forced with a deep convective heating profile.
Dry1_F05	As Dry1_F10, with Coriolis parameter set to be $5 \times 10^{-5} \text{ s}^{-1}$ .
Dry1_F0	As Dry1_F10, without Coriolis force.

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### 113 3. Internal oscillation of TMCCs

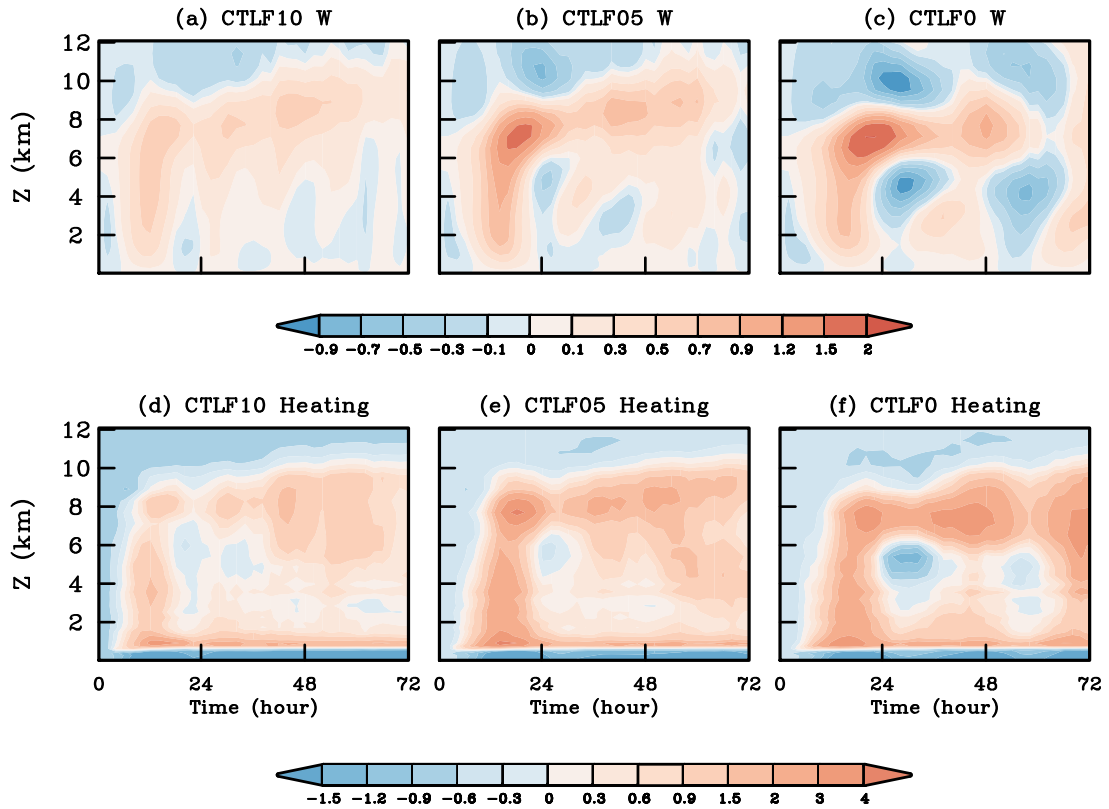
114 The time series of the precipitation rate in the disturbance region of the three  
 115 simulations are shown in Fig. 1a. All three simulations exhibit significant oscillations.

116 Specifically, the periods in CTLF10, CTLF05 and CTLF0 are around 15 hours, 18  
 117 hours and 30 hours, respectively, indicating a noticeable increase in the period as the  
 118 Coriolis parameter decreases.



119  
 120 **Fig.1** Time series of (a) precipitation ( $\text{mm h}^{-1}$ ) and (b) low-level buoyancy ( $\text{m s}^{-2}$ ) over  
 121 the inner 150 km radii of the disturbance in CTLF10 (black), CTLF05 (red), and  
 122 CTLF0 (blue).

123  
 124 To further confirm that this oscillation is physically meaningful rather than a result  
 125 of model noise, we present the time-height plots of vertical velocity and diabatic heating  
 126 averaged over the disturbance region. It is obvious that the air columns being disturbed  
 127 show periodic features in all the simulations. In the CTLF10, the moist bubble triggers  
 128 a burst of convection after 6 hours. From 6 h to 18 h, upward motion predominates in  
 129 the free troposphere (Fig. 2a). The diabatic heating exhibits a maximum located around  
 130 4 km (Fig. 2g), which is a typical deep convective heating in TMCCs, usually referred  
 131 to as the first baroclinic heating mode. Notably, the vertical velocity and diabatic  
 132 heating reach their respective maxima around 12 h, aligning with the peak precipitation  
 133 observed in the disturbance (Fig. 1a).



135

136 **Fig. 2** The time-height plot of (a) vertical velocity ( $\text{cm s}^{-1}$ ) and (d) diabatic heating  
 137 ( $\text{K day}^{-1}$ ), all averaged in the inner 150 km radii of the disturbance in CTLF10. (b), (e)  
 138 and (c), (f) are for CTLF05 and CTLF0, respectively.

139

140 After 12 hours, the vertical velocity and diabatic heating begin to decay. A  
 141 subsidence occurs from 18 hours to 24 hours, spanning from 4 km down to the surface.  
 142 During this phase, the diabatic heating in the free troposphere mainly displays a  
 143 wavenumber-one structure in the vertical direction, characterized by heating above 7  
 144 km and cooling below. This heating profile is typical of stratiform heating in TMCCs,  
 145 often referred to as the second baroclinic heating mode. Traditionally, it is believed that  
 146 stratiform precipitation is associated with downward motion and BL divergence, which  
 147 typically inhibits subsequent convection at the same location (e.g., Houze 1982; Houze  
 148 2018). However, deep convection reoccurs after 24 hours, and the disturbance repeats  
 149 the above cycle over the next several days (Fig. 2). A similar pattern is observed in  
 150 simulations CTLF05 and CTLF0, except the period of the cycles are different (Fig. 2).

151 The occurrence of a periodic convection raises two critical questions: What  
 152 mechanisms facilitate the recovery of deep convection after the stratiform precipitation  
 153 in the disturbance air column? Additionally, what determines the period of the cycle?  
 154 We will address these two questions in the next two sections.

155

#### 156 **4. Recovery of convection: the critical role of low-level buoyancy**

157 To understand the recovery of convection after the stratiform precipitation in  
 158 TMCCs, we need to understand the evolution of the critical variables that determine the  
 159 precipitation. Previous studies have demonstrated that low-level buoyancy plays a  
 160 crucial role in determining precipitation in TMCCs (e.g., Ahmed and Neelin 2018;  
 161 Ahmed et al. 2020). Following Ahmed et al. (2020), the low-level buoyancy can be  
 162 expressed as:

$$163 \quad B_{low} = g \left( w_1 \frac{\theta_{eBL} - \theta_{elow}^*}{\theta_{elow}^*} - w_2 \frac{\theta_{elow}^* - \theta_{elow}}{\theta_{elow}^*} \right), \quad (1)$$

164 in which  $\theta_{eBL}$  is the BL averaged  $\theta_e$ ,  $\theta_{elow}$  is the  $\theta_e$  averaged in the lower troposphere,  
 165 and  $\theta_{elow}^*$  is the saturation  $\theta_e$  in the lower troposphere. The first term on the righthand  
 166 side of Eq. (1) can be approximated as the undilute plume buoyancy based on BL  
 167 properties and low-level temperature, and the second term measures the influence of  
 168 low-level subsaturation (entrainment). The coefficient  $w_1$  and  $w_2$  are the weight of the  
 169 two processes, which can be expressed as:

$$170 \quad w_1 = \frac{\Delta p_B}{\Delta p_{low}} \ln \left( \frac{\Delta p_B + \Delta p_{low}}{\Delta p_B} \right),$$

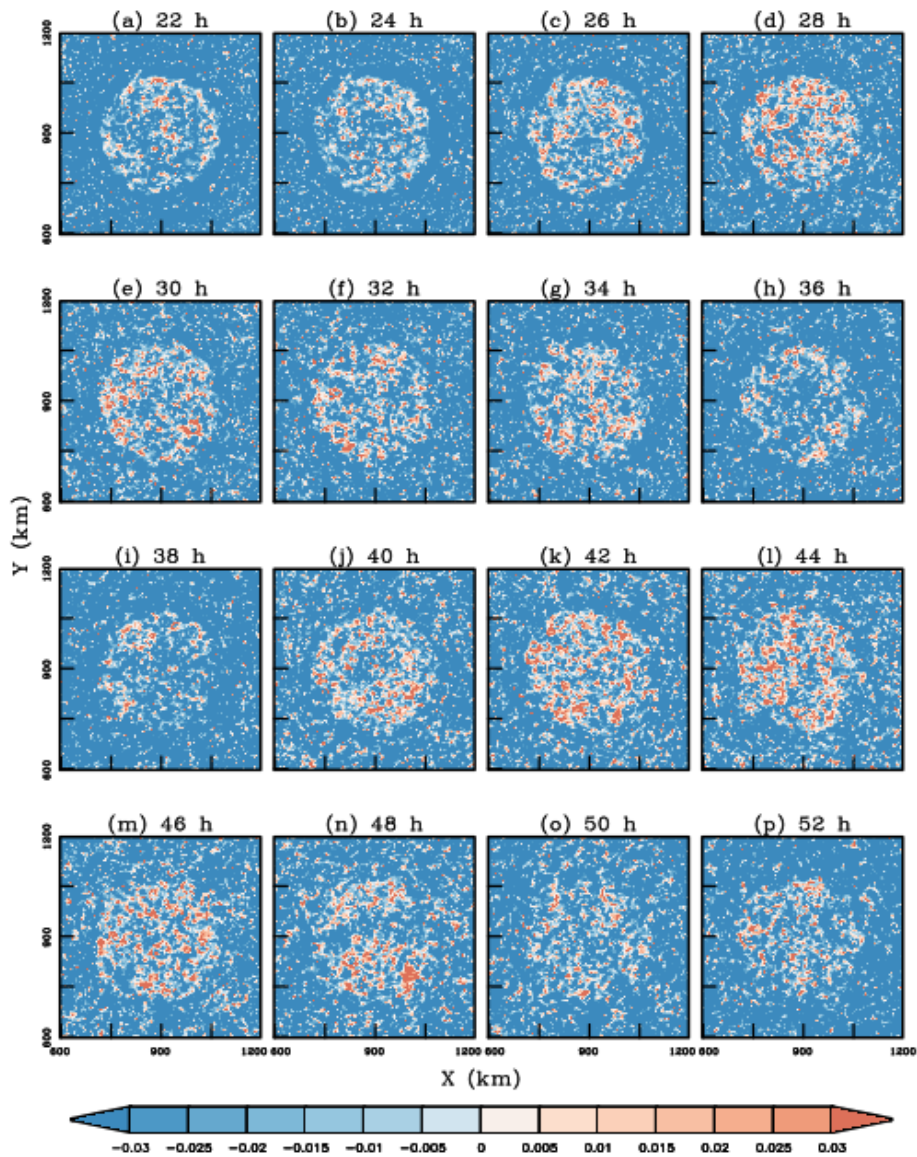
$$171 \quad w_2 = 1 - w_1.$$

172 In this study, the BL and the low-level troposphere are defined as the layer below  
 173 900hPa and the layer between 900hPa and 500hPa, respectively. With this definition,  
 174  $w_1$  and  $w_2$  are almost the same, so we use  $w_1 = w_2 = 0.5$  to calculate the low-level  
 175 buoyancy. All the averaged variables in Eq. (1) are density weighted.

176 The time evolution of  $B_{low}$  in the ‘CTL’ simulations are shown in Fig. 1b. In all the



177 three simulations, the evolution of  $B_{low}$  is in phase with precipitation in the disturbance  
 178 region after 12 hours, indicating a strong correlation between  $B_{low}$  and precipitation.  
 179 For comparison, plane views of  $B_{low}$  from 22 to 54 h in CTLF10 are also presented in  
 180 Fig. 3. It is evident that the most significant variations in  $B_{low}$  occur in the column  
 181 being disturbed, which remains almost stationary throughout the simulation.  $B_{low}$   
 182 shows an increasing trend from 22-28 h and 38-44 h, and a decreasing trend from 30-  
 183 36h and 46-52h, consistent with the trend of precipitation and  $B_{low}$  shown in Fig. 1.  
 184



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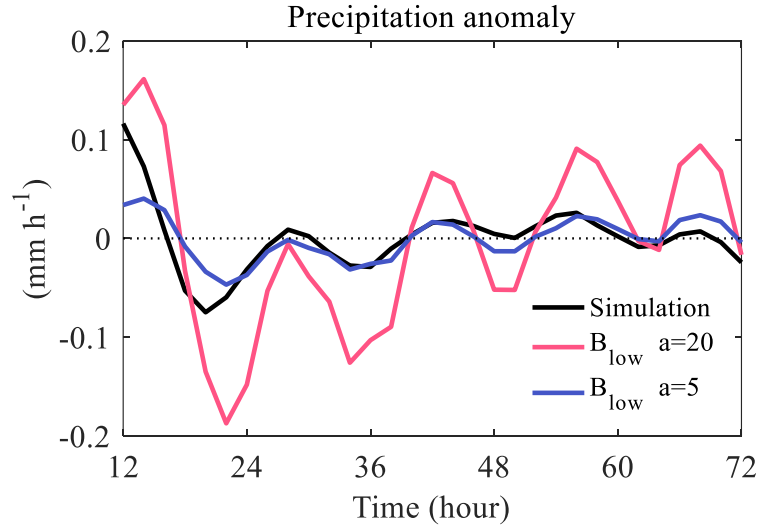
186 **Fig. 3** Plane views of  $(B_{low} + 0.04, \text{m s}^{-2})$  from 22 h to 52 h in CTLF10. We add a constant

187 value of 0.04 to make the domain-mean  $B_{low}$  around 0.

188

189 To further investigate whether the evolution of  $B_{low}$  quantitatively reflects  
190 precipitation changes, we reference the findings of Ahmed et al. (2020), which establish  
191 a general precipitation increase rate of  $a=20$  (mm h<sup>-1</sup>) (m s<sup>-2</sup>)<sup>-1</sup>. We apply this rate to  
192 calculate the temporal anomaly of precipitation based on the anomalies of  $B_{low}$  from  
193 12 to 72 hours in CTLF10. As shown in Fig. 4, the precipitation anomaly estimated  
194 from  $B_{low}$  aligns closely in magnitude with the precipitation anomaly observed in the  
195 full-physics simulations. However, since the increase rate  $a$  in Ahmed et al. (2020) is  
196 estimated based on instances when  $B_{low}$  is greater than 0, as indicated by the P- $B_{low}$   
197 relationship (Fig. 2c in Ahmed et al. 2020), we note that  $a$  should be lower for values  
198 of  $B_{low}$  slightly below 0. We use to  $a=5$  (mm h<sup>-1</sup>) (m s<sup>-2</sup>)<sup>-1</sup> to estimate the precipitation  
199 anomaly again, and the result is almost consistent with the anomaly of precipitation in  
200 full-physics simulations (Fig. 4). This further validates that the low-level buoyancy  
201 scales well with precipitation, which can be used as a good indicator to understand the  
202 evolution of convection in TMCCs. Nonetheless, it is important to recognize that while  
203  $B_{low}$  correlates well with precipitation, the absolute values do not always align. For  
204 instance, at 44 hours,  $B_{low}$  in CTLF0 is lower than in CTLF10, yet the precipitation in  
205 CTLF0 is greater (Fig. 1). Therefore, it is more appropriate to utilize the tendencies of  
206  $B_{low}$  to explain changes in precipitation.

207



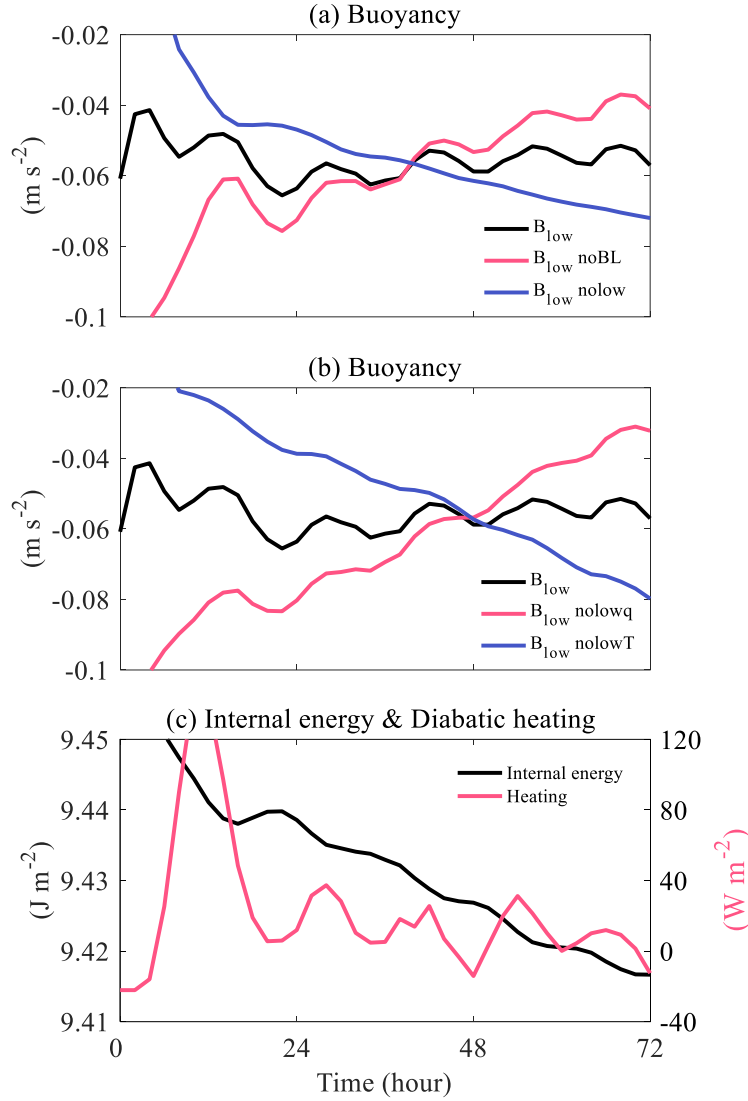
208

209 **Fig.4** Time series of temporal precipitation anomaly ( $\text{mm h}^{-1}$ ) from 12-72 h in the  
 210 disturbance in CTLF10 (black). Red and blue lines are precipitation anomaly estimated  
 211 with low-level buoyancy using coefficient  $a=20$  and  $a=5$ , respectively.

212

213 The above analysis indicates that the recovery of low-level buoyancy is critical to  
 214 the recovery of deep convection and precipitation after the stratiform heating.  
 215 According to equation (1), there are three factors controlling the low-level buoyancy,  
 216 which are the BL  $\theta_e$ , the low-level temperature and low-level water vapor content. A  
 217 higher BL  $\theta_e$ , a larger low-level humidity or a lower low-level temperature will favor  
 218 the increase of  $B_{low}$ . To identify which factor dominates the evolution of  $B_{low}$ , we  
 219 calculate  $B_{low}$  in CTLF10 again but substitute the BL  $\theta_e$  with its temporal mean from  
 220 0-72 h. Fixing the BL  $\theta_e$  in Eq. (1) introduces a systematic tendency of  $B_{low}$ , while  
 221  $B_{low}$  still shows a clear oscillation (Fig. 5a). However, when the low-level temperature  
 222 and moisture variation is removed using the same method, the oscillation in  $B_{low}$   
 223 diminishes (Fig. 5a). This indicates that for  $B_{low}$  to recover, it is the low levels that really  
 224 matters.

225



226

227 **Fig.5** (a) Time series of the original  $B_{low}$  ( $\text{m s}^{-2}$ ) in CTLF10 (black),  $B_{low}$  calculated

228 with a 0-72 h temporal mean BL variables (red), and  $B_{low}$  calculated with a 0-72 h

229 temporal mean low-level variables (blue). (b) Time series of the original  $B_{low}$  in

230 CTLF10 (black),  $B_{low}$  calculated with a 0-72 h temporal mean low-level qv (red), and

231  $B_{low}$  calculated with a 0-72 h temporal mean low-level temperature (blue). (c) Time

232 series of low-level mass-weighted internal energy (black,  $\text{J m}^{-2}$ ) and diabatic heating

233 (red,  $\text{W m}^{-2}$ ).

234

235 We further investigate which characteristics of the low-level atmosphere are most

236 significant in influencing the oscillation of  $B_{low}$ . We recalculated  $B_{low}$  in CTLF10 by

237 substituting the temperature and water vapor with their temporal means from 0 to 72  
 238 hours, respectively. The results indicate that the oscillation of  $B_{low}$  nearly disappears  
 239 when the variation in low-level temperature is eliminated (Fig. 5b). This suggests that  
 240 low-level cooling is the primary factor influencing  $B_{low}$  and, in turn, precipitation

241 In this section, we have demonstrated that the oscillation of precipitation can be  
 242 explained by the oscillation of low-level buoyancy, which is primarily influenced by  
 243 variations in low-level temperature. However, the mechanisms underlying low-level  
 244 cooling after stratiform heating, which is critical for the recovery of buoyancy and  
 245 convection, remain unclear. Previous studies have attributed low-level cooling to the  
 246 diabatic cooling associated with stratiform heating structures (e.g., Mapes 2000; Kuang  
 247 2008b). Nevertheless, Fig. 5c illustrates that low-level diabatic cooling actually  
 248 corresponds to a warming process, with cooling occurring 1/4 of a period later than the  
 249 diabatic cooling. This suggests that low-level cooling is not directly caused by the  
 250 diabatic cooling of the stratiform heating structure; rather, it may stem from adiabatic  
 251 responses triggered by this heating structure. In the following section, we will explore  
 252 the occurrence of low-level cooling and the recovery of buoyancy from the perspective  
 253 of adiabatic processes.

254

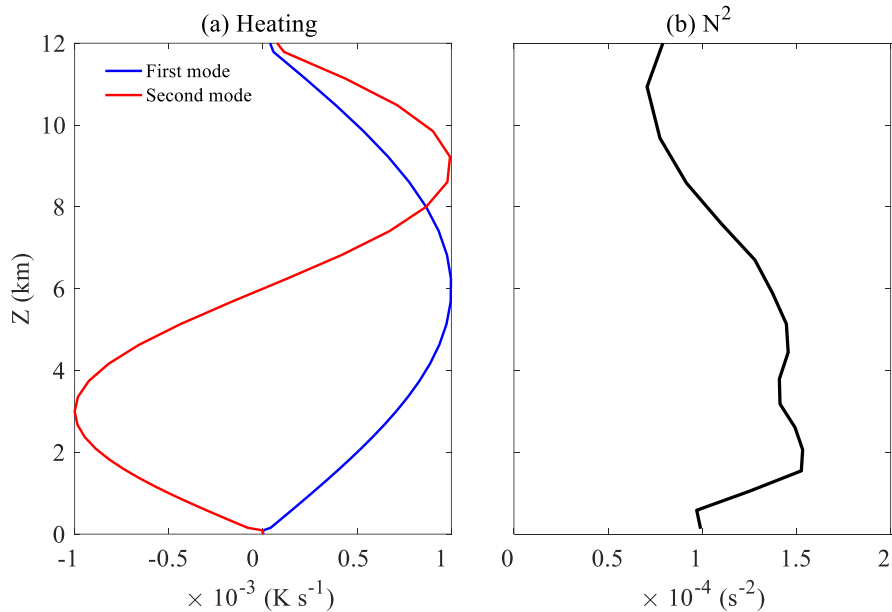
## 255 **5. Recovery of Buoyancy: an inertia-gravity oscillation**

256 In this section, we conduct a series of dry experiments termed ‘Dry2’ to investigate  
 257 the recovery of buoyancy in TMCCs after stratiform heating (Table 1). These  
 258 simulations have a domain size of 3000 km  $\times$  3000 km with open lateral boundary  
 259 conditions. Additionally, there is no radiation or microphysics parameterization, while  
 260 the other settings remain consistent with the ‘CTL’ series. The dry simulations are  
 261 initialized with a stratiform heating profile, with its structure as follows:

$$Q(r, z) = \begin{cases} Q_0 \sin(2\pi z/H - \pi) \cos(\pi r/2R) & (z < H \text{ and } r < R) \\ 0 & (z \geq H \text{ or } r \geq R) \end{cases} \quad (2)$$

262 In Eq. (2),  $r$  and  $z$  represent radius and height, respectively.  $H$  and  $R$  are the maximum  
 263 height and radius of the heating, which are 12 km and 150 km, respectively.  $Q_0$  is the

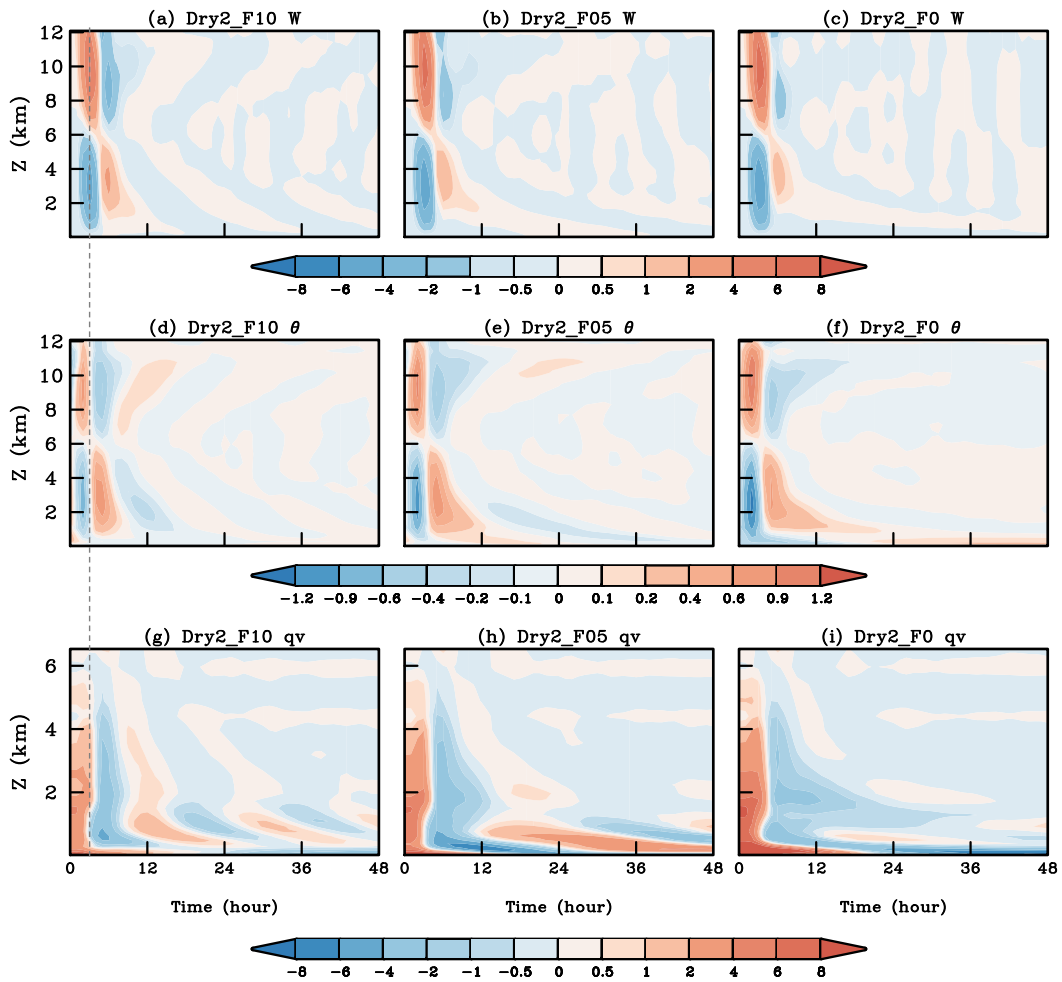
264 magnitude of the heating, which is set to be  $0.001 \text{ K s}^{-1}$ . This heating has a typical  
 265 tropical stratiform heating profile in the vertical direction (Fig. 6), which decays  
 266 sinusoidally with radius. In all the dry simulations, heating is maintained for two hours,  
 267 followed by an additional 46 hours of simulation.  
 268



269  
 270 **Fig. 6** Vertical profiles of (a) the first (blue) and second (red) diabatic heating ( $\text{K s}^{-1}$ )  
 271 used in the dry simulations, and (b) the  $N^2$  ( $\text{s}^{-2}$ ) in the initial field.

272

273 The immediate response of the air column in Dry2\_F10 to the heating is  
 274 characterized by upward motion above 6 km and downward motion below 6 km (Fig.  
 275 7a). Although these vertical motions may induce adiabatic cooling at upper levels and  
 276 warming at lower levels, they are insufficient to offset the diabatic heating and cooling  
 277 over such a short time frame. Consequently, warm and cold anomalies develop at 9 km  
 278 and 3 km, respectively, during the initial hours (Fig. 7d). Additionally, the vertical  
 279 motion redistributes water vapor content ( $q_v$ ). Given that the  $q_v$  at upper levels is  
 280 minimal, the most significant change in the  $q_v$  field over the first several hours is a  
 281 drying tendency at lower levels. It should be noted that since Figs. 7d-f and figs. 7g-i  
 282 plot the temporal anomalies of  $\theta$  and  $q_v$ , respectively, it is the change of the  
 283 anomalies that really matters.



285

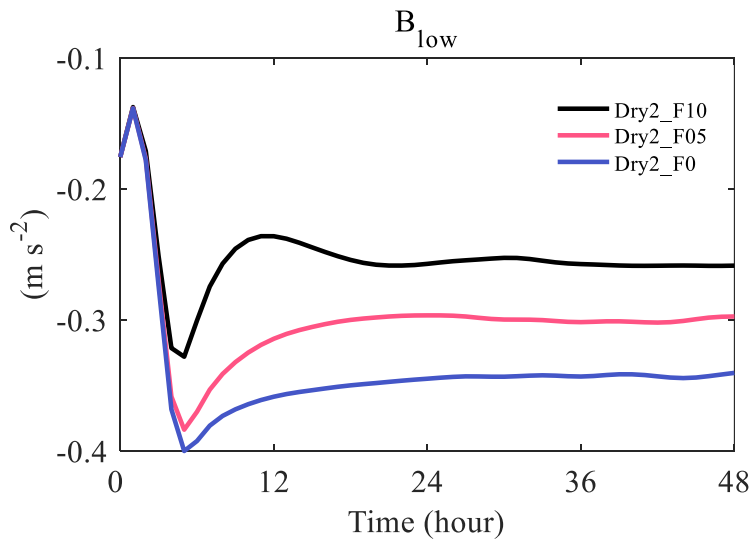
286 **Fig. 7** The time-height plot of (a) vertical velocity ( $\text{cm s}^{-1}$ ), (d) temporal potential  
 287 temperature anomaly (K), and (g) temporal qv anomaly ( $\text{g kg}^{-1}$ ), all averaged in the  
 288 inner 150 km radii of the disturbance in Dry2\_F10. (b), (e), (h) and (c), (f), (i) are for  
 289 Dry2\_F05 and Dry2\_F0, respectively. The vertical dashed line marks the moment that  
 290 the low-level vertical velocity reaches the first minimum, indicating that the  
 291 temperature and qv anomaly is 1/4 period behind the vertical velocity.

292

293 Although the diabatic heating ceases after 2 hours, the response of vertical motion  
 294 persists for a significantly longer duration. The evolution of temperature and qv lags  
 295 the vertical velocity by one-fourth of the oscillation period, suggesting that vertical  
 296 motion is the driving force behind the changes in temperature and qv. The low-level  
 297 subsidence further results in warming and drying at lower levels, which decreases low-

298 level buoyancy and hinders the occurrence of convection (Fig. 8). However, the vertical  
 299 motion reverses after 6 hours, resulting in a cooler and more moist lower troposphere,  
 300 which enhances  $B_{low}$  (Fig. 8). In fact, the vertical motion undergoes periodic sign  
 301 changes, leading to oscillations in temperature and  $qv$  (Figs. 7a, d, & g), and, in turn,  
 302  $B_{low}$  (Fig. 8). In Dry2\_F05 and Dry\_F0, we also observe similar oscillations (Figs. 7 &  
 303 8). It is evident that the oscillation period increases as the Coriolis parameter decreases,  
 304 which is consistent with the results observed in the full-physics simulations (Fig. 2). It  
 305 is important to note that in all the dry simulations, the oscillation period varies with  
 306 altitude (Fig. 7). The profile of the period generally exhibits a ‘C’ shape (Fig. 9),  
 307 characterized by shorter periods at middle levels and longer periods at both upper and  
 308 lower altitudes (the period is defined as the first return period of the vertical velocity  
 309 maximum above 6 km, and the first return period of the vertical velocity minimum  
 310 below 6 km). This pattern leads to an increase in vertical wavenumber over time (Fig.  
 311 7).

312

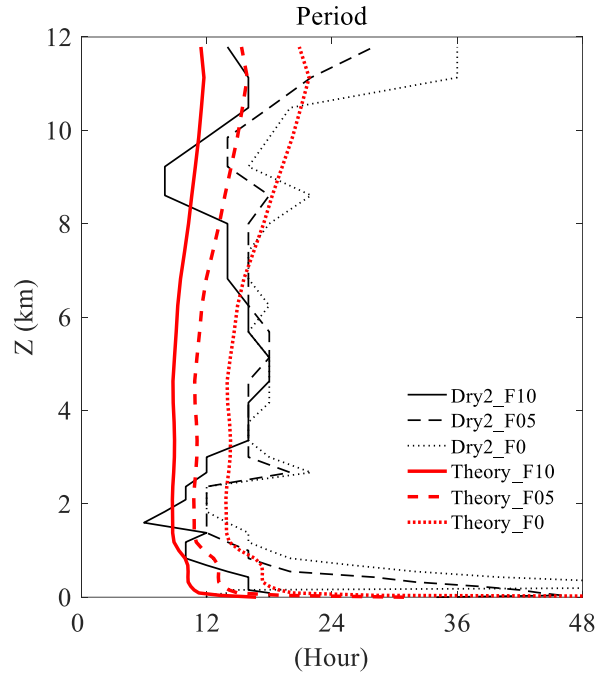


313

314 **Fig. 8** Time series of  $B_{low}$  ( $\text{m s}^{-2}$ ) in Dry2\_F10 (black), Dry2\_F05 (red), and Dry2\_F0  
 315 (blue).

316





317

318 **Fig. 9** Vertical profiles of period (hour) in Dry2\_F10 (black solid), Dry2\_F05 (black  
 319 dashed) and Dry2\_F0 (black dotted). Red lines are their counterparts estimated by the  
 320 linear theory.

321

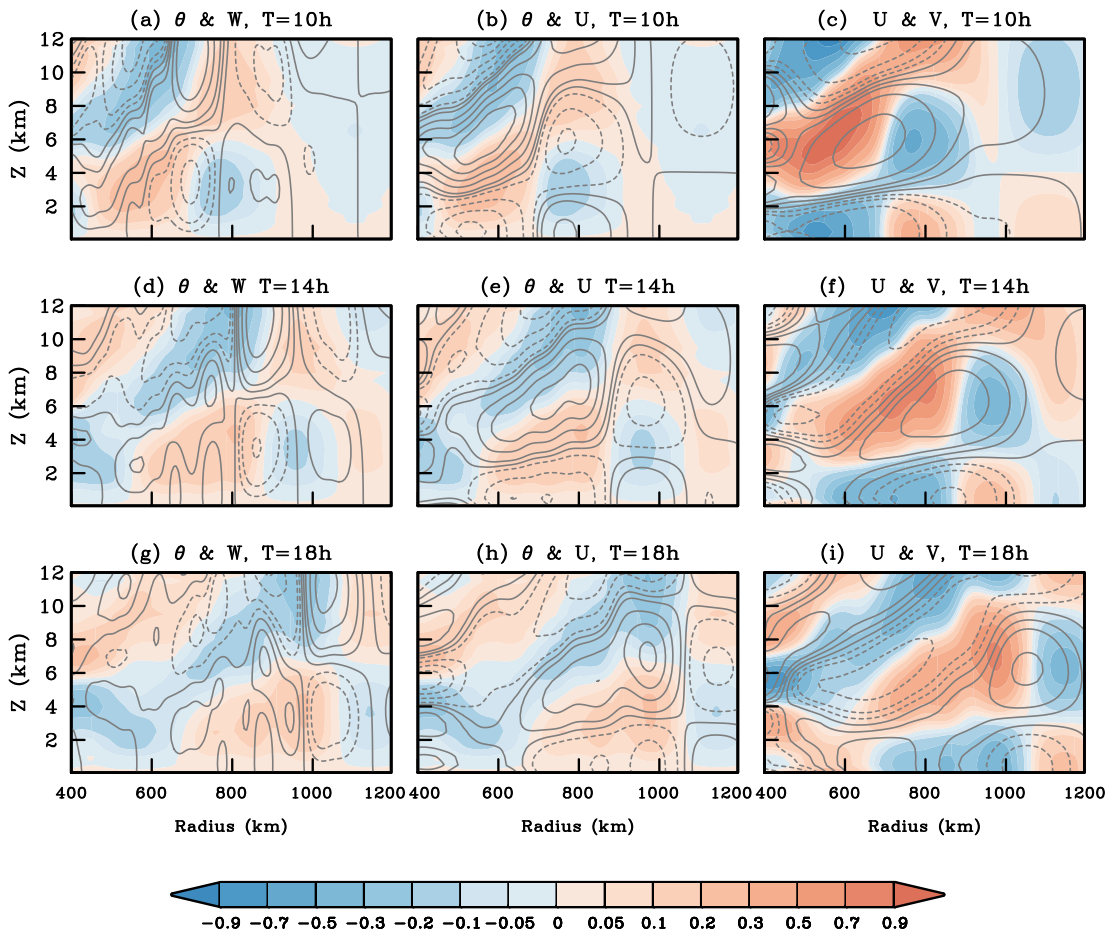
322 Now we have shown that even in dry simulations, an air column perturbed by a  
 323 stratiform heating profile exhibits internal oscillations, which contribute to the recovery  
 324 of low-level buoyancy that supports subsequent episodes of convection. Given that the  
 325 simulations are conducted on an f-plane within a stratified atmosphere, these  
 326 oscillations are likely inertia-gravity oscillations. In the following part of this section,  
 327 we will validate this inertia-gravity nature by comparing the characteristics of these  
 328 oscillations with established theoretical frameworks.

329 If an air column is undergoing inertia-gravity oscillations, it will generate inertia-  
 330 gravity waves characterized by specific structures. We begin by comparing the  
 331 characteristics of the dry waves with inertia-gravity wave theories as described in  
 332 textbooks. The radius-height structures of several key variables outside the disturbance  
 333 region in Dry2\_F10, as shown in Fig. 10, indicate an outward propagating wave signal.  
 334 The first wave front exhibits subsidence at upper levels and ascent at lower levels,  
 335 resulting in respective warm and cold anomalies occurring one-fourth of a wavelength

336 behind the vertical motion (Figs. 10a, d & g). This structure aligns with findings from  
 337 previous studies (e.g., Nicholls et al. 1991; Mapes 1993).

338 According to linear inertia-gravity wave theory, when the phase line of the cold  
 339 anomaly is situated above the warm anomaly, an ascent motion and outward radial  
 340 velocity anomaly should exist between them (Fig. 5.12, Holton and Hakim 2012). The  
 341 left and middle columns in Fig. 10 illustrate that the relationships between temperature  
 342 and vertical and radial velocity are consistent with this theoretical expectation.  
 343 Furthermore, theory predicts that tangential velocity maxima should occur where the  
 344 radial velocity changes sign. As depicted in the right column of Fig. 10, similar  
 345 phenomena are observed. The alignment of the wave structure with textbook theory  
 346 reinforces the conclusion that these waves are inertia-gravity waves, indicating that the  
 347 air column is undergoing inertia-gravity oscillations.

348



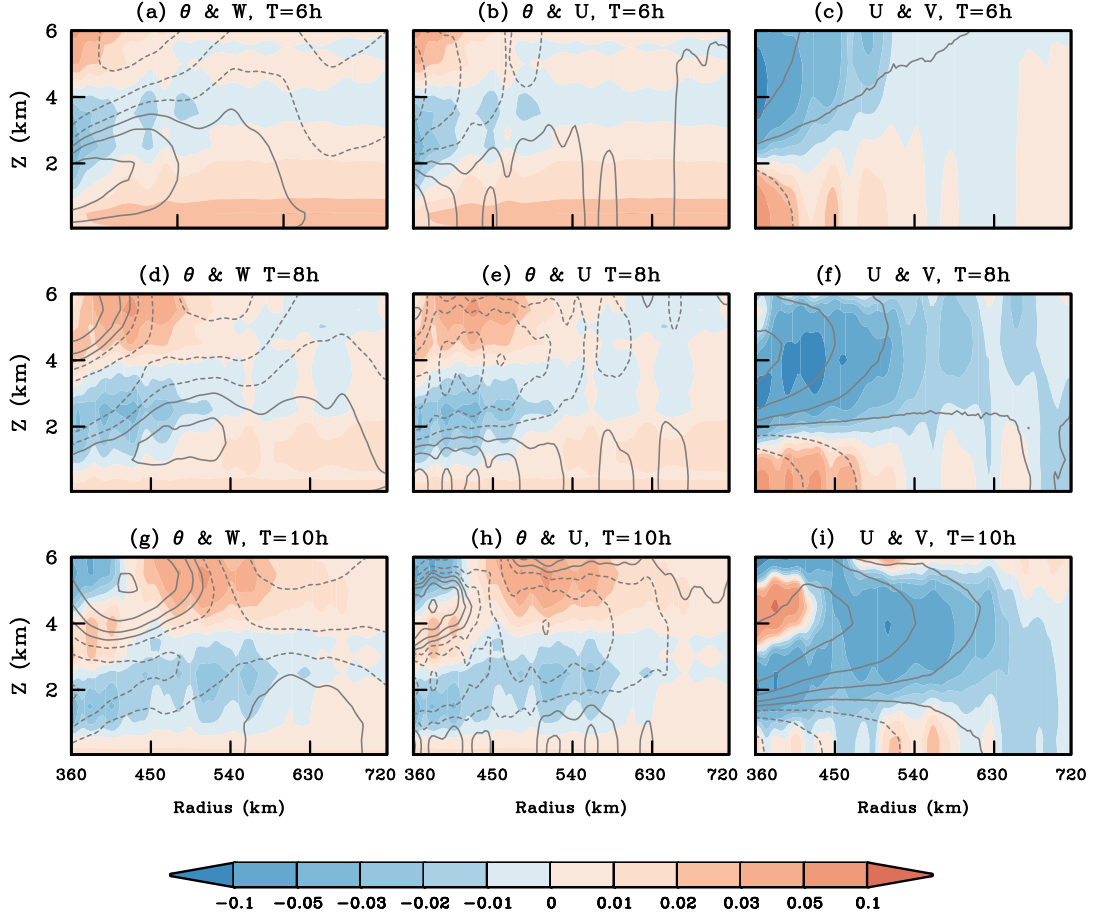
349

350 **Fig. 10** Radius-height plots of azimuthal-mean of (a) horizontal potential temperature

351 anomaly (K, shading) and vertical velocity (contour at -3, -2, -1, -0.5, 0, 0.5, 1, 2 and 3  
352  $\text{cm s}^{-1}$ ), (b) horizontal potential temperature anomaly (K, shading) and radial velocity  
353 (contour at 0.9, -0.7, -0.5, -0.3, -0.1, 0, 0.1, 0.3, 0.5, 0.7 and  $0.9 \text{ m s}^{-1}$ ), and (c) radial  
354 velocity ( $\text{cm s}^{-1}$ , shading) and tangential velocity (contour at -0.6, -0.4, -0.2, -0.1, -0.05,  
355 0, 0.05, 0.1, 0.2, 0.4 and  $0.6 \text{ m s}^{-1}$ ). The upper, middle and lower panel are for 10 h, 14  
356 h and 18 h in Dry2\_F10, respectively.

357

358 In comparison, we present the radius-height plots of the same variables from the  
359 full-physics simulation CTLF10 (Fig. 11). Due to a systematic warming tendency  
360 above 7 km, which may obscure the wave signal, we focus only on the structures below  
361 6 km. In CTLF10, diabatic heating is a composite of shallow convective, deep  
362 convective, and stratiform heating processes. As a result, the wave structures are not as  
363 clearly defined as those in the dry simulations. Nevertheless, several dominant features  
364 of inertia-gravity waves remain evident. For instance, we observe that downward  
365 (upward) motion consistently precedes the warm (cold) anomaly, the phase lines  
366 separating the cold and warm anomalies align with the radial velocity, and the  
367 tangential velocity maxima correspond to points where the radial velocity changes sign.  
368 These observations suggest that even in full-physics simulations, the oscillation  
369 generally retains its inertia-gravity characteristics.



370  
371 **Fig. 11** As in Fig.9, but for CTLF10 at 6 h (upper) 8 h (middle) and 10 h (lower).  
372

373 While the structure of the wave aligns with inertia-gravity wave theory, more  
374 quantitative evidence would come from consistency in the dispersion relationship.  
375 According to the linear inertia-gravity wave theories, the frequency of the oscillation  
376 can be estimated with the properties of the wave, which can be written as:

$$377 \quad \nu^2 = f^2 + N^2(k^2 + l^2)m^{-2} \quad (3)$$

378 In Eq. (3),  $\nu$  is the frequency of the oscillation,  $f$  is the Coriolis parameter and  $N^2$  is the  
379 frequency.  $k$  and  $l$  are the respective wavenumber in the x and y direction, and  $k^2 + l^2$   
380 thus the square of the wavenumber in the radial direction.  $m$  is the wavenumber in the  
381 vertical direction.

382 Since we have applied a stratiform heating structure in the disturbance as shown in  
383 Fig. 6a, the wavelength in the vertical direction should be 12 km. Potential temperature,  
384 vertical motion, and radial velocity exhibit a wavelength of around 600 km (Fig. 10).

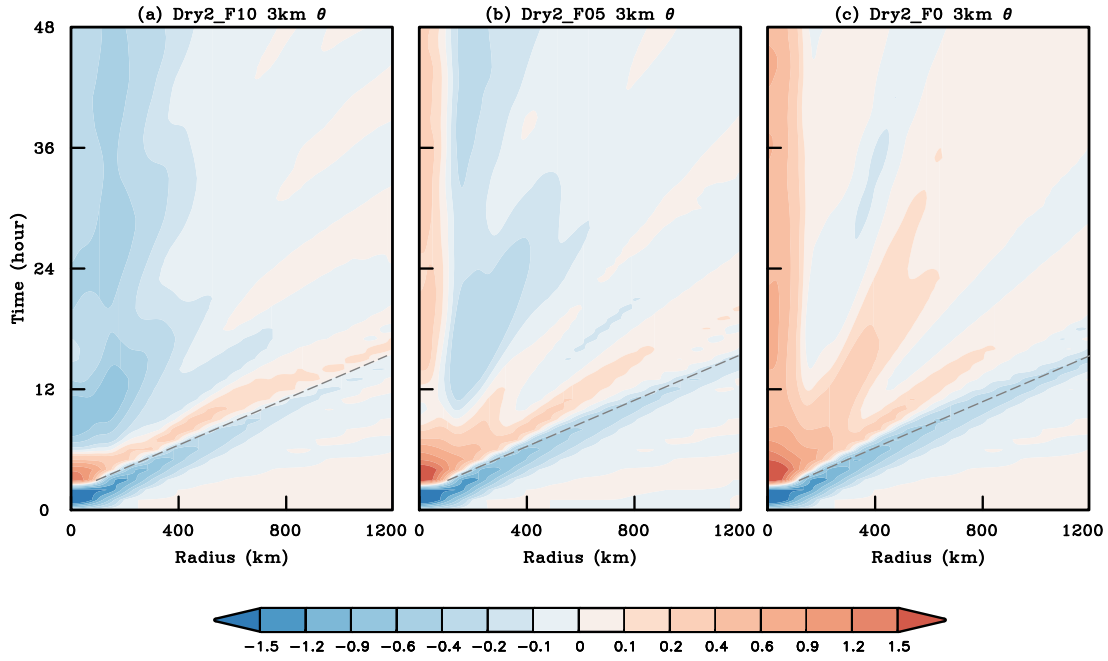
385 However, the tangential velocity displays a longer wavelength, with a wavelength  
 386 exceeding 400 km (Figs. 10f & h). For simplification, we assume a general wavelength  
 387 of 750 km, which is roughly five times the size of the disturbance. By substituting the  
 388 profile of  $N^2$  shown in Fig. 6b, we can estimate the oscillation period using Eq. (3). The  
 389 estimated oscillation period aligns closely with the results from Dry2\_F10 (Fig. 9) and  
 390 exhibits a ‘C’ shape in the vertical direction, attributed to smaller values of  $N^2$  at both  
 391 upper and lower altitudes. Furthermore, the wavelengths in Dry2\_F05 and Dry2\_F0 are  
 392 slightly longer compared to those in Dry2\_F10 (Figs. 12b & c). We estimate the general  
 393 wavelengths in Dry2\_F05 and Dry2\_F10 to be 900 km and 1050 km, respectively, and  
 394 the estimated periods are also consistent with those observed in the dry simulations (Fig.  
 395 9).

396 From the dispersion relationship, we can also infer the phase speed of the wave.  
 397 The phase speed is written as:

$$398 \quad c = v / \sqrt{k^2 + l^2} \quad (4)$$

399 We substituted the respective periods and wavelength of Dry2\_F10, Dry2\_F05,  
 400 and Dry2\_F0 at a height of 3 km (10, 15, and 18 hours, respectively, as shown in Fig.  
 401 11) into Eq. (4). This yielded theoretical phase speeds of 20.8 m/s, 16.7 m/s, and 16.2  
 402 m/s, respectively. As illustrated in Figs. 12a-c, the phase speeds of the first wave bore  
 403 in Dry2\_F10, Dry2\_F05, and Dry2\_F0 are 22.4 m/s, 20.8 m/s, and 18.2 m/s,  
 404 respectively. Overall, the theoretical phase speeds are close to those observed in the dry  
 405 simulations, and they both show an increase in phase speed with a larger Coriolis  
 406 parameter.

407 From the analysis presented above, it is evident that the periods and wave speeds  
 408 estimated from the theory generally align with the results observed in the dry  
 409 simulations, confirming that the oscillation is an inertia-gravity oscillation.



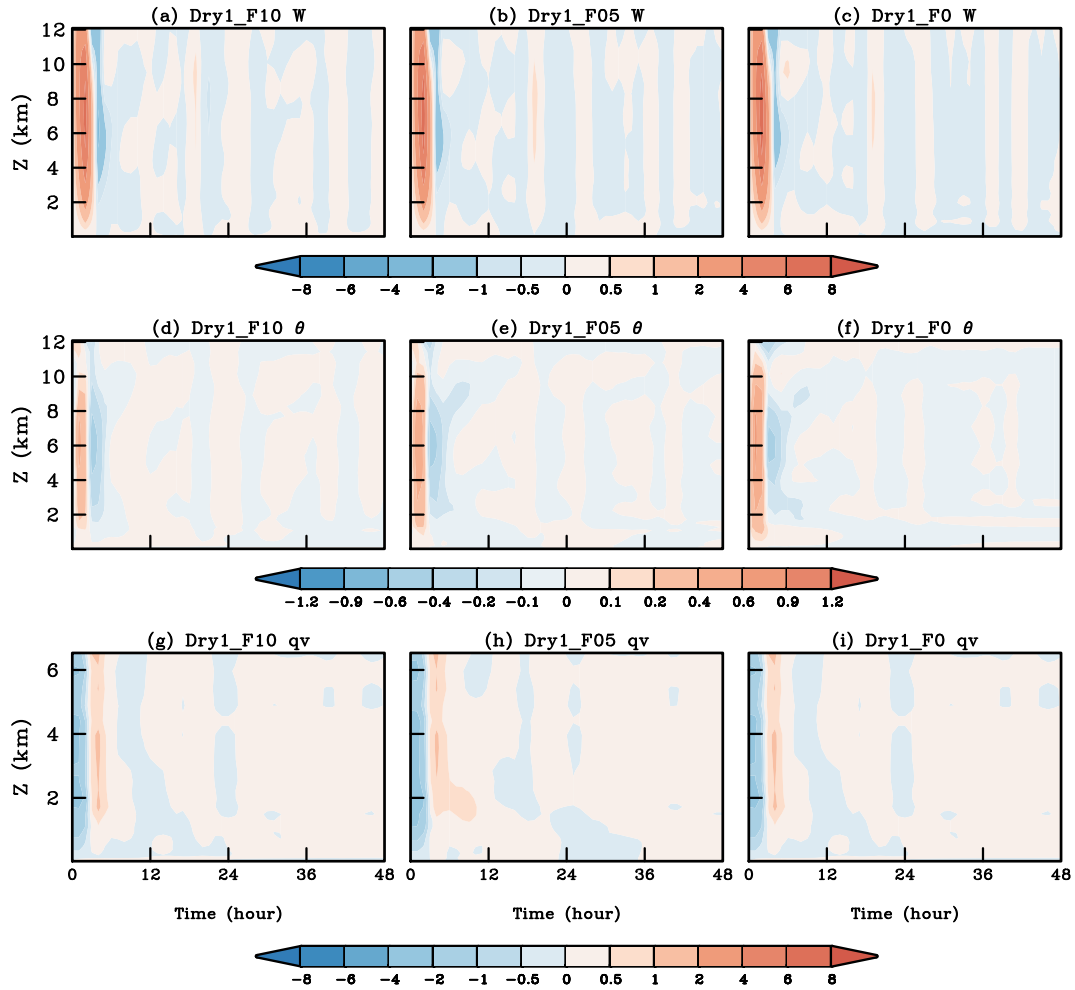
410

411 **Fig. 12** Radius–time Hovmöller diagram of the azimuthal-mean of horizontal potential  
 412 temperature anomaly (K) at 3 km height in (a) Dry2\_F10, (b) Dry2\_F05 and (c)  
 413 Dry2\_F0. The dashed line shows the phase line where potential temperature anomaly  
 414 changes sign in Dry2\_F10.

415

416 Finally, we examine the response of the dry atmosphere to the first baroclinic  
 417 heating mode, as this heating mode is also a dominant feature in TMCCs. The set of  
 418 ‘Dry1’ simulations is designed similarly to the ‘Dry2’ simulations (Table 1), with the  
 419 only difference being the application of the first baroclinic heating mode in the vertical  
 420 direction (Fig. 6a). Upon model initialization, the deep heating triggers upward motion  
 421 throughout the entire column (Figs. 13a-c). Although this upward motion induces  
 422 adiabatic cooling, it is insufficient to counterbalance the diabatic heating, resulting in  
 423 warming across the entire column during the first 2 hours (Figs. 13d-f). After the  
 424 heating ceases, the upward motion continues for a longer duration, leading to cooling  
 425 and moistening of the lower atmosphere. This phenomenon may help to invigorate deep  
 426 convection and prolong the presence of the first heating mode observed in full-physics  
 427 simulations. After 6 hours, the vertical velocity becomes negative in the disturbance  
 428 region (Figs. 13a-c), which reduces the cold and wet anomalies in the column (Figs.  
 429 13d-i). However, there is no clear recovery of upward motion or low-level buoyancy

430 following this period, nor is there any indication of oscillation. Furthermore, the  
 431 magnitude of the response in the ‘Dry1’ simulations is significantly smaller compared  
 432 to that in the ‘Dry2’ simulations. These results strongly suggest that it is the second  
 433 baroclinic heating mode that is responsible for the inertia-gravity oscillation.  
 434



435

436

**Fig. 13** As in Fig. 6, but for Dry1\_F10, Dry1\_F05 and Dry1\_F0.

437

438 From the dry simulations in this section, we have demonstrated that the stratiform  
 439 heating profile is critical to the recovery of low-level buoyancy. Although the  
 440 immediate response of low-level stratiform cooling does not favor buoyancy recovery,  
 441 the inertia-gravity oscillation triggered by the stratiform heating soon results in ascent  
 442 at the lower levels of the column. This upward motion cools and moistens the lower  
 443 atmosphere, leading to the recovery of low-level buoyancy and, consequently, a new

444 episode of deep convection.

445

## 446 **6. Discussion and Summary**

447 The interaction between convective clusters and waves has been a prominent topic  
448 of research for decades. Previous studies often treat waves as large-scale external  
449 forcings, and have gained a lot of understanding on how these waves may modulate  
450 convective clusters. However, most of these studies do not address the feedback from  
451 convective clusters to the waves. How the convective clusters influence the wave, and  
452 in turn, the cluster themselves, remains unclear. A handful of studies (e.g., Kuang 2008a;  
453 Kuang 2010; Tulich and Mapes, 2010) have investigated the response of convective  
454 heating to the waves. However, these studies either treated the entire simulation domain  
455 as the disturbance or use parameterizations to represent the large-scale response, both  
456 of which limit their ability to directly resolve the interactions between the disturbance  
457 and the environment.

458 In this study, we directly simulate the interaction between a mesoscale disturbance  
459 and its environment. It is demonstrated that tropical mesoscale convective clusters  
460 exhibit internal oscillations, significantly influenced by the oscillation (wave) they  
461 generate themselves. When deep convection within a cluster decays, the system  
462 becomes dominated by the stratiform heating profile. This stratiform heating facilitates  
463 the recovery of buoyancy and initiates new convection by triggering an inertia-gravity  
464 oscillation. As the new convection decays, stratiform heating occurs again, leading to  
465 another oscillation, and this cycle continues. In contrast to previous studies, the diabatic  
466 heating in this oscillation is not solely a consequence of the preceding oscillation; it  
467 also serves as the source for the subsequent oscillation. The wave source (heating) is  
468 renewed with each oscillation period, establishing this as a convectively coupled  
469 inertia-gravity oscillation.

470 Two key points are highlighted through this research. The first is the critical role  
471 of low-level buoyancy. In many previous studies, the latent heat release in convective  
472 clusters is often linked to BL convergence. This concept has been incorporated into  
473 many cumulus parameterizations (e.g., Hayashi and Sumi 1986; Lau and Peng 1987),



474 but sometimes lead to unstable growth at the finest grid scales, resulting in the blow up  
475 of simulations (CISK catastrophe, e.g., Crum and Dunkerton 1992; Matthews and  
476 Lander 1999). Recent studies by Liu et al. (2019, 2022) proposed that latent heat release  
477 actually lags behind BL convergence. Cumulus parameterizations accounting for this  
478 time lag yield significantly better performance. Our study indicates that the variability  
479 of precipitation in a TMCC is not controlled by changes in the BL, but rather dominated  
480 by variations in low-level buoyancy, which are mainly influenced by changes in low-  
481 level temperature. The time lag identified by Liu et al. (2019, 2022) actually represents  
482 the time required for the system to transition from a low-level vertical velocity  
483 maximum to a temperature minimum, approximately one-fourth of the oscillation  
484 period (Fig. 7). Recognizing the critical role of low-level buoyancy in precipitation  
485 dynamics provides valuable insights for improving cumulus parameterizations from the  
486 ‘wave-CISK’ perspective.

487 Another important point to mention is the critical role of stratiform heating.  
488 Although stratiform heating initially leads to subsidence and a reduction in buoyancy,  
489 which does not favor deep convection, it soon results in upward motion and a recovery  
490 of buoyancy that triggers the next episode of convection. Compared to deep convective  
491 heating, stratiform heating is more effective in inducing oscillation. This efficiency  
492 arises from the larger vertical wavenumber associated with stratiform heating, which  
493 results in slower outward propagation of energy, allowing more energy to remain  
494 available for maintaining the oscillation of the air column (e.g., Wu 2000; 2003). In  
495 real-world scenarios, stratiform heating is typically much weaker than deep convective  
496 heating, making the oscillation susceptible to contamination and influence from other  
497 signals, such as large-scale waves and the diurnal cycle of insolation. Therefore,  
498 TMCCs with stronger intensity will be easier to sustain a quasi-periodic oscillation. In  
499 a companion paper, we will demonstrate that this quasi-periodic oscillation does occur  
500 in tropical cyclone precursors.

501 In fact, the internal oscillation identified in this study offers a new perspective for  
502 understanding and predicting the variations of TMCCs in the real world. With the

503 demonstration of internal oscillation, the first-order interaction between TMCCs and  
504 other periodic forcings can be simplified to the overlapping of two (or more) sinusoidal  
505 signals with differing periods. This approach provides clearer physical intuition for  
506 comprehending the variation of TMCCs in real-world scenarios. As an example, we  
507 will illustrate in a companion paper that the phase synchronization of the internal  
508 oscillation of a TC precursor with the diurnal cycle of insolation can systematically  
509 enhance convective activity, ultimately accelerating TC genesis. However, an urgent  
510 question that needs to be addressed is the identification of factors influencing the  
511 oscillation period. This study indicates that, in addition to the Coriolis parameter and  
512 stratification, a significant influence may arise from the coupling of convection. When  
513 coupled with convection, the recovery of deep convection occurs more swiftly than in  
514 dry cases, particularly when the Coriolis parameter is smaller. The interplay of  
515 convection complicates the processes, resulting in a complex area that necessitates  
516 further investigation.

517

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522

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