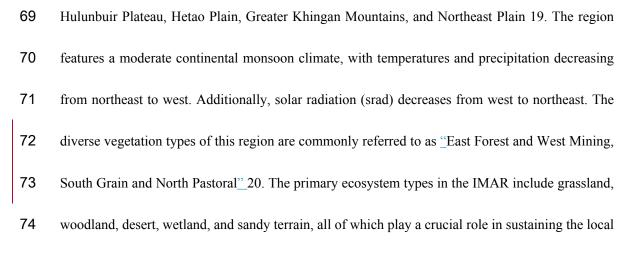
1	Evaluating Temporal and Spatial Variations in Vegetation Coverage in the Inner Mongolia
2	Autonomous Region (2004-2023) Using kNDVI
3	Guangpu Wei <sup>1</sup> , Wenjun Zhang <sup>2</sup> , Zhiheng Zhu <sup>1</sup> , Yaxian Gao <sup>2</sup> , Xiaoyan Yu <sup>2*</sup>
4	Author information
5	School of Architecture and Art Design, Inner Mongolia University of Science and Technology,
6	Baotou, Inner Mongolia, China
7	Guangpu Wei & Zhiheng Zhu
8	School of Economics and Management, Inner Mongolia University of Science and Technology,
9	Baotou, Inner Mongolia, China
10	Wenjun Zhang, Yaxian Gao & Xiaoyan Yu
11	Research Center of Industrial Informationization and Innovation in Inner Mongolia University
12	of Science and Technology, Baotou, Inner Mongolia, China
13	Wenjun Zhang, Yaxian Gao & Xiaoyan Yu
14	* Corresponding author
15	E-mail: yu_xiaoyan@imust.edu.cn
16	Abstract: The Inner Mongolia Autonomous Region (IMAR) is a crucial ecological zone in
17	China, facing significant environmental challenges, particularly climate change. To better
18	understand vegetation dynamics in this region, this study examines vegetation cover trends from
19	2004 to 2023 and identifies their driving factors using an innovative kernel-based Normalized
20	Difference Vegetation Index (kNDVI) dataset from MOD13Q1 V6.1 data in Google Earth Engine
21	(GEE). Spatiotemporal dynamics in vegetation cover were assessed using Theil-Sen median trend
22	analysis, the Mann-Kendall test, and the Hurst exponent. Additionally, correlation analyses explored
23	links between kNDVI and climate variables, including precipitation, temperature, and solar radiation
24	(srad). Results revealed a northeast-to-west gradient in vegetation cover, with 35.36% of vegetation
25	improving, 49.95% remaining stable, and 14.69% degrading. Future vegetation trends indicate
26	70.96% of the region has uncertain trajectories, while 29.04% shows potential for sustainable

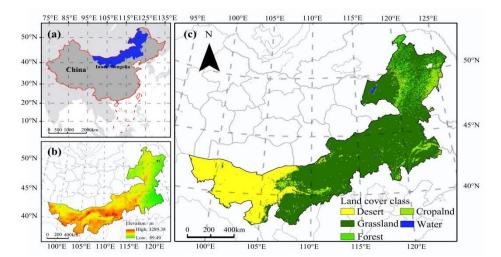
27	development. Among the climatic factors influencing vegetation cover, precipitation was the
28	primary driver, followed by temperature and srad. Climatic factors were significant in western
29	Hulunbuir and central Ulanqab, whereas non-climatic factors, including human activities and land-
30	use changes, were dominant in Hulunbuir, Xing' an League, and Xilin Gol. These findings
31	underscore the necessity for region-specific ecological management strategies integrating climatic
32	and anthropogenic factors to enhance ecosystem resilience.
33	Keywords: spatiotemporal dynamics: vegetation cover: driving factors: ecological
34	management: the IMAR
35	Vegetation coverage is a critical indicator for assessing ecosystem health and tracking change
36	trends, and it has been widely applied in ecological monitoring and environmental assessment 1.
37	The grassland ecosystems of the Inner Mongolia Autonomous Region (IMAR) function as a key
38	ecological safeguard for China, with their growth directly affecting the stability and security of
39	regional ecosystems 2. In recent years, intensified climate change and anthropogenic activities have
40	resulted in significant spatio-temporal changes in the vegetation coverage across the IMAR 3. Most
41	existing studies, however, rely on localized data analyses and traditional vegetation indices such as
42	NDVI, which encounter challenges in the IMAR's arid and semi-arid environments including data
43	gaps and spatial inconsistencies, limiting monitoring accuracy 45. Therefore, a more precise
44	approach is urgently needed to address these challenges and enhance the accuracy and consistency
45	of large-scale vegetation monitoring 6.
46	Although <u>numerous studies highlight</u> the impact of climatic variables and anthropogenic
47	activities on vegetation dynamics, conventional vegetation indices face limitations in dry and semi-

48	arid locations, thereby hindering their effectiveness for precise monitoring 78. To address these
49	limitations, this research presents the kernel Normalized Difference Vegetation Index (kNDVI) as
50	the primary vegetation monitoring index. By employing kernel-based interpolation, kNDVI achieves
51	higher spatial continuity and consistency, enabling a more accurate reflection of the spatio-temporal
52	changes in vegetation cover in the IMAR 910. Additionally, to evaluate long-term stability and trends
53	in vegetation dynamics, the Hurst exponent is applied, which allows for the identification of
54	persistence and self-sustainability in vegetation coverage. This comprehensive approach aids in
55	predicting future vegetation trends and provides theoretical support for ecological management
56	111213.
57	This study <u>uses</u> MODIS remote sensing data from 2004 to 2023 to <u>assess changes</u> in vegetation
58	coverage and its spatial distribution in the IMAR based on the kNDVI. The study seeks to quantify
59	the impacts of climate factors and anthropogenic interventions on vegetation dynamics 1415. In
60	addition to providing an innovative technical approach for vegetation monitoring, this study offers
61	a scientific basis for formulating policies on ecological conservation and restoration 16. Through
62	the integration of kNDVI, this research significantly enhances the accuracy of vegetation monitoring
63	across large_and complex ecological environments, demonstrating_its significant_potential and
64	innovative value 1718.
65	1. Research Region

66 The Inner Mongolia Autonomous Region (IMAR) (37°24′–53°23′N, 97°12′–126°04′E), which
67 is located in China's north, covers approximately 1.183 million square kilometers. It consists of
68 seven distinct geomorphic units: the Alashan Plateau, Ordos Plateau, Inner Mongolian Plateau,



ecological equilibrium 21.



**Figure 1.** The study area (**a**) geographical position <u>of the IMAR in China</u>; (**b**) elevation distribution; (**c**) landscape types.

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80 2. Methodology

# 81 2.1. Data Acquisition and Processing

The vegetation <u>coverage</u> data <u>of</u> the IMAR <u>were gathered</u> from the MOD13 Q1 V6.1 dataset (https://developers.google.com/). The data had a 250-meter of space resolution and <u>were</u> collected every 16 days. Annual *kNDVI* images from 2004 to 2023 <u>were</u> generated by computing *NDVI* data on a pixel-by-pixel basis using the GEE platform. The *kNDVI* calculation technique <u>was</u> utilized to evaluate vegetation dynamics over a 20-year span, providing significant insights into regional 87 vegetation patterns and alterations. The *kNDVI* calculation formula is provided below:

88 
$$kNDVI = \tanh\left[\left(\frac{NIR - red}{2\sigma}\right)^2\right]$$

In the formula, the length scale parameter is designated for each unique application, indicating
the index<sup>2</sup>s sensitivity to sparse or thick vegetation areas; NIR refers to the near-infrared spectrum;
Red denotes the red-light band; tanh represents the hyperbolic tangent function. An appropriate
option is to calculate the mean:

93 
$$kNDVI = \tanh(NDVI^2)$$

94 The temperature data employed in this investigation were obtained from the MOD11 A2 V6.1 95 average temperature dataset (https://developers.google.com). This dataset possesses a geographical 96 resolution of 1,000 meters and updates at 8-day intervals. The precipitation and srad data were 97 obtained from the IDAHO EPSCOR/TERRACLIMATE dataset (https://developers.google.com), 98 with a geographical resolution of 4.6 kilometers and a temporal resolution of 30 days. Utilizing the 99 GEE platform (https://earthengine.google.com), we computed the average temperature, total 100 precipitation, and mean srad from 2004 to 2023, and downloaded 20 images of temperature, 101 precipitation, and srad for the same period. Additionally, the vector boundary data originated from 102 the Resource and Environmental Science Data Platform (https://www.resdc.cn). The elevation data, 103 which were obtained from the National Oceanic and Atmospheric Administration 104 (https://www.ncei.noaa.gov), have a spatial resolution of 30 meters. Furthermore, land classification 105 data were obtained from the Aerospace Information Innovation Research Institute under the Chinese Academy of Sciences (http://www.aircas.cn), featuring a spatial resolution of 30 meters. Before 106

107 further analysis, all data were resampled to ensure consistency in both spatial and temporal

108 resolutions.

# 109 **2.2. Methods**

- 110 The pattern of vegetation change was analyzed through Theil-Sen slope and the Mann-Kendall
- (MK) tests, using *kNDVI* and climatic data. <u>To evaluate vegetation dynamics' sustainability in</u>
- 112 grasslands, the Hurst index was applied. When integrated with partial and complex correlation
- analysis<u>methods</u>, it <u>provided valuable insights into</u> vegetation responses to climate <u>conditions</u> and
- Spatio-temporal Variation and Climatic Driving Factors of Vegetation Coverage in the Inner Mongolia Autonomous Region from 2004 to 2023 Based on kNDVI Vegetation GEE MOD13 Q1 V6.1 NDVI Median kNDV kNDVI=tanh(NDV) (2004-2023) (2004-2023) latform product Datas Temperature, precipitation an d srad 1-km yearly mean temperature , 4.6-1 Average temperature(2004-2023) GEE yearly precipitation Total precipitation(2004-2023) latforn Mean srad(2004-2023) an srad dataset for Chi Theil–Sen slope analysis Hurst exponent and the vegetation Partial correlation analysis and and the MK test method trend nplex correlation analysis Methods egetation correlation egetation sustainability and future with temperature Spatial-tempora trend rend of the vegetation precipitationand and srad Results 115 116 Figure 2. Workflow of the research process 117 118 119 2.2.1. Examination of Spatial-Temporal Dynamics and Future Forecasts 120 To analyze pixel-level vegetation trends over time, the Theil-Sen slope analysis and the MK
- 114 <u>their key</u> driv<u>ers</u> (**Figure 2**).

121	test are often utilized in combination 222324. Theil-Sen slope analysis is recognized for its
122	computational efficiency and robustness against measurement errors and discontinuous data 2526.
123	Consequently, it was utilized to evaluate pixel-level kNDVI trends across the grasslands of IMAR
124	from 2004 to 2023. Besides, to assess the statistical significance of vegetation trends, we employed
125	the MK test, which is advantageous since it does not necessitate a certain distribution for the sample,
126	reduces the impact of outliers, and does not require a stringent linear trend 2728. This testing
127	methodology is extensively employed to assess the significance of patterns in longitudinal data
128	sequences2930.

The integration of *kNDVI* trends with the Hurst exponent enables the forecasting of future vegetation trends 3132. This research divides the Hurst index value (H) into three categories: When H > 0.5, the *kNDVI* time series shows a trend consistent with its future trends; For H = 0.5, the *kNDVI* time series is classified as a random process lacking sustainability; when H < 0.5, it is considered unsustainable, suggesting a reverse trend in future *kNDVI* time series 3334. The formulas for S<sub>kNDVI</sub> and Z<sub>s</sub> are presented below:

$$S_{kNDVI} = \text{Median}\left(\frac{kNDVI_{j} - kNDVI_{i}}{j - i}\right), 2004 \le i < j \le 2023$$
(3)  
$$Z_{s} = \begin{cases} \frac{S - 1}{\sqrt{var(s')}} & S > 0; \\ 0, S = 0 & \\ \frac{S + 1}{\sqrt{var(s')}} & S < 0; \\ \sqrt{var(s')} & \\ \end{cases}$$
(4)

135

Where, 
$$S = \sum_{i=1}^{n-1} \sum_{j=i+1}^{n} \operatorname{sgn}(x_j - x_i)$$
  
 $Var(S) = \frac{n(n-1)(2n+5) - \sum_{i=1}^{m} t_i(t_i - 1)(2t_i + 5)}{18}$ 

136

 $S_{kNDVI}$  denotes the slope <u>value</u> calculated using the Theil-Sen method, and in the equations,

137  $kNDVI_i$  denotes the kNDVI value at pixel *i*, and  $kNDVI_j$  denotes the value at pixel *j*; the Z<sub>S</sub> parameter 138 ranges from ( $-\infty$  to  $+\infty$ ); Z denotes the standardized test value; sgn indicates the sign function; n 139 denotes the length of the kNDVI time series; m stands for the count of repeated datasets; and t<sub>i</sub> 140 denotes the number of repetitions within the interval. At a significance level  $\alpha$ , if  $|Z_S| > u_{1-\alpha/2}$ , it 141 implies substantial changes in the time series. In this investigation, we adopted the standard 142 significance level of  $\alpha = 0.05$  to assess the pixel-level significance of kNDVI trends.

143

### 2.2.2. Analysis of Driving Factors

144 Partial correlation analysis was employed to investigate the relationships between kNDVI and 145 climatic variables, including average temperature, total precipitation, and mean srad, in the IMAR 146 from 2004 to 2023. The partial correlation coefficient (PCC) quantifies how strongly climatic 147 factors influence vegetation. The significance of the PCC was then assessed with a t-test. 148 Furthermore, we investigated the synergistic impacts of temperature, precipitation and srad on 149 *kNDVI* through correlation analysis. Finally, to evaluate the association between *kNDVI* and the 150 combined effects of temperature, precipitation, and srad, we computed the complex correlation 151 coefficient (CCC) and assessed its significance using an F-test 3536. 152 Given the regional variations in the PCC and CCC between *kNDVI* and climatic variables, we

153 utilized *t*-tests and F-tests to map and synthesize the spatial distribution of climatic driving factors 154 affecting vegetation change in the IMAR. To ensure optimal consistency and regional continuity 155 within each classification, the pixels satisfying the F-test criterion at a significance level of  $\alpha$  = 156 0.05 were selected for further climate-driven spatial categorization, while those pixels not meeting 157 this criterion were considered to be influenced by non-climatic factors. Utilizing the outcomes from

# 158 comparing *kNDVI* with each climatic parameter, we classified the climatic driving factors into three

- 159 distinct groups. The classification method is widely adopted as a determinant for vegetation cover
- 160 3738. The classification criteria are presented in Table 1.
- **Table 1**. Criteria for classifying climatic driving forces influencing dynamic variations in *kNDVI*

Toma of Driving Frater	Classification Basis				
Type of Driving Factor	R <sub>kNDVI-P</sub>	R <sub>kNDVI-T</sub>	R <sub>kNDVI-S</sub>	R <sub>kNDVI-T-P-S</sub>	
Driven by precipitation	t >0.05			F>F <sub>0.05</sub>	
Driven by temperature		t >0.05		F>F <sub>0.05</sub>	
Driven by srad			t >0.05	F>F <sub>0.05</sub>	
Driven by temperature, precipitation and srad	t <0.05	t <0.05	t <0.05	F>F <sub>0.05</sub>	
Driven by non-climate factors				F <f<sub>0.05</f<sub>	

162 Note:  $R_{kNDVI-P}$ ,  $R_{kNDVI-T}$  and  $R_{kNDVI-S}$  represent the PCC between *kNDVI* and precipitation, 163 temperature, and srad, respectively;  $R_{kNDVI-P-T-S}$  denotes the CCC between *kNDVI* and the combined 164 climatic variables (precipitation, temperature, and srad);  $t_{0.05}$  indicates that the correlation is significant 165 at the 0.05 level according to the *t*-test;  $F_{0.05}$  signifies that the correlation is significant at the 0.05 level 166 based on the F-test.

167

168 **3. Result** 

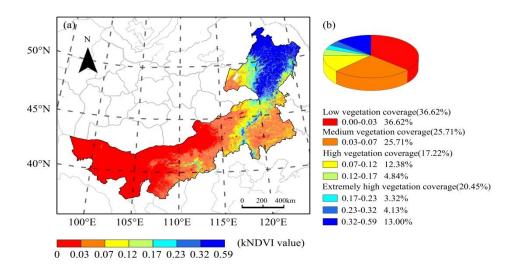
# 169 **3.1.** Spatial and Temporal Characteristics of *kNDVI*

# 170 3.1.1. Spatial Patterns of Vegetation Coverage

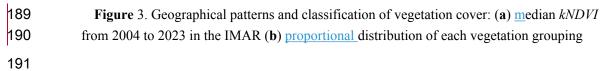
171 The geographical distribution of the median *kNDVI* in the IMAR over the past two decades,

- based on *kNDVI* median data from 2004 to 2023, is depicted in Figure 3a. The spatial distribution
- 173 of *kNDVI* in the IMAR, as shown in the figure, indicates significantly higher vegetation coverage
- 174 in the northeast, diminishing towards the west. The mean *kNDVI* across the region was 0.109, with
- a variation range from 0 to 0.59. The mean *kNDVI* values for the western, central, and northeastern

176	regions of the IMAR were 0.01, 0.05, and 0.26, respectively. Compared to the western region, the
177	kNDVI values in the central and northeastern areas of the IMAR were higher. Figure 3b shows the
178	statistical categorization outcomes of the median kNDVI and the distribution of each group
179	throughout the 20-year period in the IMAR, utilizing the natural break point approach. The largest
180	proportion of <i>kNDVI</i> values below 0.3 was seen in the IMAR, indicating limited vegetation cover <u>age</u> ,
181	mostly located in susceptible regions of the Alashan Plateau, Ordos Plateau, Hetao Plain, and the
182	Inner Mongolian Plateau. Areas with kNDVI values between 0.03 and 0.07, representing median
183	vegetation coverage, were predominantly located in southern Ulanqab, eastern Chifeng, the entirety
184	of Tongliao, and western Hulunbuir. Areas with kNDVI values between 0.07 and 0.17 and those
185	exceeding 0.17 were classified as having high and extremely high vegetation coverage, respectively.
186	These regions primarily encompassed most of Hulunbuir, central Ulanqab, Xing'an League,
187	Chifeng, and parts of Tongliao.

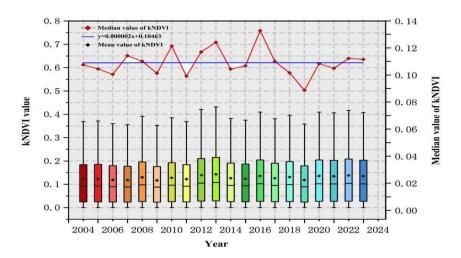






# 192**3.1.2. Temporal Dynamics of Vegetation Coverage**

193	To investigate the temporal dynamics of kNDVI across the IMAR, annual median kNDVI
194	values from 2004 to 2023 were employed to represent the overall vegetation condition for each year.
195	Figure 4 displays a box plot summarizing the yearly distribution of <i>kNDVI</i> values, along with a line
196	graph that illustrates the year-to-year fluctuations in median kNDVI throughout the study period.
197	The <i>kNDVI</i> values in the IMAR exhibit a consistent trend with a variation rate of 0.011 every five
198	years. The kNDVI values demonstrate significant fluctuations annually. The kNDVI values in the
199	IMAR range from 0.089 to 0.133. The peak value was 0.133 in 2016, while the nadir was 0.089_in
200	2019.



201

Figure 4. Annual variations of median *kNDVI* in IMAR (2004–2023). The box plots depict the
annual distribution of *kNDVI* values (left axis), while the line graph shows the median *kNDVI* for each
year (right axis).

205

# 206 3.1.3. Characteristics of Spatial Variation in Vegetation Cover<u>age</u>

The spatial patterns of kNDVI variation across the IMAR (2004–2023) were analyzed using Mann-Kendall tests and the Theil-Sen slope analysis. Since no pixels exhibited an  $S_{kNDVI}$  value of zero, we adapted classification methods from previous studies to develop our approach 3940, resulting in the following classifications based on actual  $S_{kNDVI}$  conditions. We classified pixels into

211	three categories based on their $S_{kNDVI}$ values: stable vegetation areas (pixels with $S_{kNDVI}$ values
212	between -0.0005 and 0.0005), areas of vegetation increase (pixels with $S_{kNDVI}$ values greater than
213	or equal to 0.0005, $S_{kNDVI} \ge 0.0005$ ), and regions of vegetation degradation (pixels with $S_{kNDVI}$
214	values less than or equal to -0.0005, $S_{kNDVI} \leq 0.0005$ ).
215	To determine the statistical significance of the kNDVI trends at each pixel, we applied the
216	Mann-Kendall (MK) test using a confidence level of 0.05. A test result ( $Z_S$ ) exceeding 1.96 or falling
217	below -1.96 indicates a significant change. If -1.96 $< Z_S < 1.96$ , the alteration is deemed negligible.
218	By applying the Theil-Sen slope analysis in conjunction with the Mann-Kendall (MK) test, we
219	mapped the pixel-level spatial distribution of annual kNDVI trends across the IMAR. As presented
220	in Table 2, the results were classified into five distinct categories, and the proportion of region for
221	each category was calculated accordingly. Regions showing increased vegetation coverage
222	constituted 35.36% of the total, while those maintaining stable vegetation made up 49.95%.
223	Conversely, regions with reduced vegetation coverage constituted 14.69%.

224

# Table 2. Results of statistical study on kNDVI trends

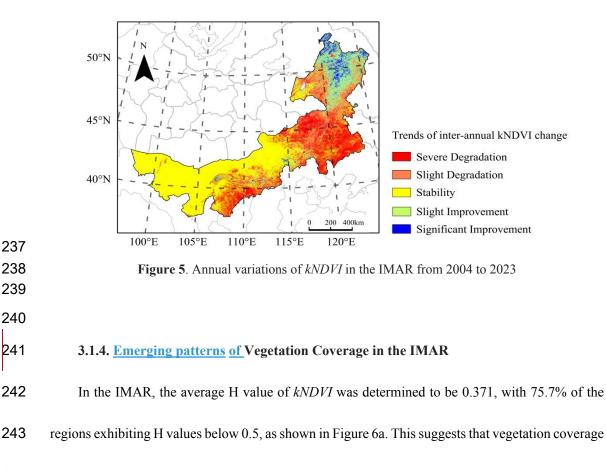
$S_{KNDVI}$	$Z_s$ Value	kNDVI Trends	Area Percentage/%
≥0.0005	≥1.96	Significantly improved	18.90
≥0.0005	-1.96-1.96	Slightly improved	16.46
-0.0005-0.0005	-1.96-1.96	Stable	49.95
≤-0.0005	-1.96-1.96	Slightly degraded	11.38
≤-0.0005	≦-1.96	Severely degraded	3.31

225 Note: Pixels with  $S_{KNDVI}$  values ranging from -0.0005 to 0.0005, and  $Z_S$  statistics satisfying  $|Z_S| \ge 1.96$ , 226 were classified as stable vegetation regions.

227

Between 2004 and 2023 in the IMAR, the areas where vegetation coverage decreased weresubstantially more widespread than those where it increased, as shown in Figure 5. Regions with

230 diminished vegetation coverage were predominantly situated in the eastern and western portions of 231 Hulunbuir, Xing'an League, Tongliao, Chifeng, eastern Xilingol, southern Ulanqab, southeastern 232 Ordos, southern Bayannur, and some parts in Hohhot and Baotou. The stable vegetation zones were 233 mostly located in Alxa, northern Bayannur, northern Baotou, Ulangab, western Xilingol, and 234 western Hulunbuir. The region exhibiting an increase in vegetation coverage was limited, mostly in 235 the middle and northern sectors of Hulunbuir, with minor extensions in Ulanqab, Chifeng, Xilingol, 236 and Bayannur.



244 changes in most regions follow a periodic or gradually declining trend, with a degree of negative

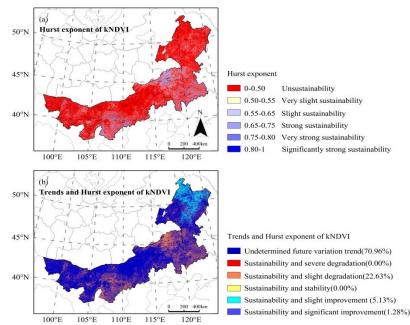
241

245 long-term memory. Conversely, 24.3% of the regions exhibited H values exceeding 0.5, indicating

246 that in these areas, the trends in vegetation coverage were more persistent and demonstrated

247 favorable long-term memory. This suggests significant durability of the kNDVI in the IMAR. To

- 248 clarify the heterogeneity of vegetation trends and their sustainability, we combined the shifting
- trends of *kNDVI* with the corresponding H values. This approach enabled us to extract coupling
- 250 information between trend dynamics and sustainability. As presented in Figure 6b, six distinct
- scenarios derived from the coupling results. Notably, regions with H values below 0.5 from 2004 to
- 252 2023, regardless of the nature of *kNDVI* trends, were classified as having uncertain future trends.



253 254 Figure 6. Patterns of the Hurst exponent and projected vegetation dynamics: (a) map 255 depicting the variation of the Hurst exponent across the region (b) map illustrating the anticipated 256 future trends of kNDVI, based on current kNDVI changes and their sustainability. 257 258 As illustrated in Figure 6b, the IMAR displays varied regional distributions of projected 259 kNDVI trends. Areas classified as exhibiting "sustainability and improvement" comprised 6.41% of 260 the total region, predominantly situated in the central and northern parts of Hulunbuir, with a smaller 261 fraction extending into Xing'an League. The ratio of regions designated as "sustained stability" and 262 "sustained severe degradation" was 0.22. Nevertheless, 63% of the overall region showed "sustained 263 and slight degradation". These areas were chiefly distributed across the Northeast Plain, the southern

reaches of the Greater Khingan Range, the eastern sector of Inner Mongolia's plateau, the Hetao
Plain, and the Ordos Plateau, with a minor extension into the Alashan Plateau. Regions with
uncertain future trends constituted 70.96%, predominantly located in the western and eastern sectors
of Hulunbuir, Xilingol, Bayannur, and Alxa.

268

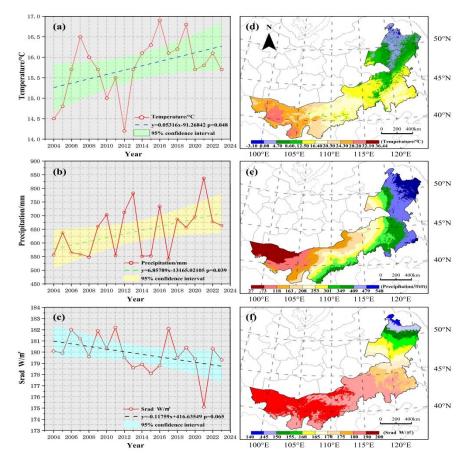
# **3.2. Factors Influencing Vegetation Coverage**

### 269 **3.2.1. Spatiotemporal Dynamics Patterns of Climatic Factors**

270 Temporal variations in climatic variables across the IMAR from 2004 to 2023 were examined 271 by extracting pixel-level data on temperature, precipitation, and srad from images representing the 272 average temperature, total precipitation, and mean srad. Leveraging these datasets, we characterized 273 the general climatic patterns on a yearly basis. The analysis of the average temperature, precipitation, 274 and srad data from 2004 to 2023 illustrates the regional distribution characteristics of the IMAR's 275 climate. Figures 7a-c revealed that temperature, precipitation, and srad throughout IMAR had 276 generally exhibited upward trends with fluctuations. These findings are crucial for restoring 277 ecosystems and promoting sustainable progress within the IMAR. In the IMAR, the annual average 278 temperature increased by approximately 0.3 °C/5a, total annual precipitation by 27 mm/5a, and the 279 annual mean srad by 0.525 W/m<sup>2</sup>. Consequently, the climate in the IMAR demonstrated a clear 280 pattern of rising temperature and humidity. To reveal the spatial patterns of temperature, 281 precipitation, and srad, we applied a statistical classification method to divide these variables into 282 ten distinct categories. Figures 7d-f illustrate that from 2004 to 2023, the IMAR had an average 283 temperature of 16.36°C, total average precipitation of 274.1 mm, and mean srad of 180.03 W/m<sup>2</sup>. 284 The temperature exhibited geographic variation, fluctuating between -3.1°C and 36.44°C from

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- 285 northeast to west. Precipitation varied from 27 to 556 mm, with an increase from the west to the
- northeast. The srad steadily decreased from west to northeast, ranging from 139.46 to 199.27 W/m<sup>2</sup>.
- 287 Moreover, there was significant geographical diversity in temperature, precipitation, and srad across
- the IMAR.



289

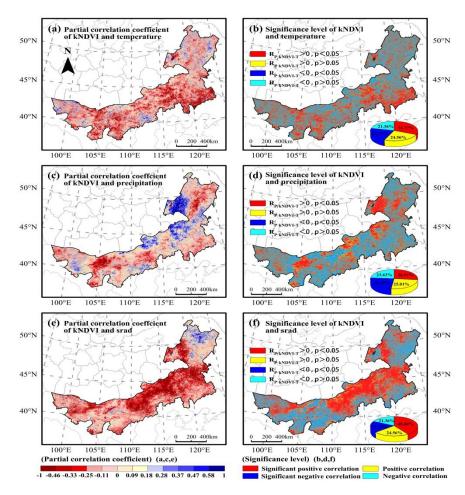
Figure 7. Temporal trends and spatial distributions of climatic variables in IMAR (2004–2023): (a)
 temporal variations in average temperature (b) temporal variations in total precipitation (c) temporal
 variations in mean srad (d) spatial patterns of average temperature distribution (e) spatial patterns of
 total precipitation distribution (f) spatial patterns of mean srad distribution.

294

# **3.2.2.** Analysis of Partial Correlations Between *kNDVI* and Climatic Variables

Employing the breakpoint method, we classified the regional patterns of partial correlation
coefficients (PCC) between *kNDVI* and climatic factors. Between 2004 and 2023, the PCC relating *kNDVI* to temperature ranged from -1 to 1, with an <u>average</u> of -0.11 (Figure 8a). Pixels exhibiting

299	positive correlation (56.33%) were more prevalent than those demonstrating negative correlation
300	(43.67%), with 31.77% categorized as "significantly positively correlated" and 22.31% as
301	"significantly negatively correlated" (Figure 8b). The results demonstrated significant variation in
302	the regional distribution pattern of PCC across kNDVI and temperature. The pixels with a strong
303	positive correlation were predominantly located in central Xilingol, southern Chifeng and Tongliao,
304	western Ordos, and eastern Alxa. In contrast, negatively correlated pixels were predominantly
305	located in the northeast and south of Hulunbuir, throughout most of Xing_an League, northern
306	Tongliao, Ulanqab, southern Hohhot, the majority of Bayannur, southern Ordos, and western and
307	southern Alxa League. Pixels with significant negative correlation were primarily observed in
308	Hulunbuir, Xing'an League, Xilingol, Hohhot, and Ordos.



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310 Figure 8. Spatial analysis of PCC and significance levels between *kNDVI* and climatic factors: (a) 311 PCC between kNDVI and temperature, ( $R_{kNDVI-T}$ ) (b) significance level corresponding to  $R_{kNDVI-T}$ 312 (c) PCC between kNDVI and precipitation, ( $R_{kNDVI-P}$ ) (d) significance level corresponding to 313  $R_{kNDVI-P}$  (e) PCC between kNDVI and srad,  $(R_{kNDVI-S})$  (f) significance level corresponding to 314 R<sub>kNDVI-S</sub> 315 316 From 2004 to 2023, the PCC between *kNDVI* and precipitation spanned the entire range from 317 -1 to 1, averaging 0.02 (Figure 8c). Pixels with positive correlation constituted 51.92%, whilst those 318 with negative correlation comprised 48.08%. Figure 8d demonstrates that the pixels with a strong 319 positive correlation were primarily situated in the western region of Hulunbuir, the eastern region 320 of Xilingol, the central region of Ulanqab, and along the boundary between Alxa and Bayannur. 321 The pixels exhibiting significant negative correlation and negative correlation were predominantly 322 distributed across eastern and northern regions of Hulunbuir, Xing'an League, Chifeng, Tongliao, 323 central Xilingol, northern Ulanqab, Baotou, Hohhot, the majority of Ordos, eastern and northern

**324** Bayannur, and most of Alxa.

325 The PCC between kNDVI and srad spanned the entire possible range, with an average of -0.18 326 (Figure 8e). Positive correlations were observed in 65.16% of the pixels, surpassing the 34.84% 327 that showed negative correlations. Figure 8 f demonstrates that significant positive correlations were 328 primarily identified in western Hulunbuir, eastern Xilingol, central Ulanqab, and along the boundary 329 between Bayannur and Alxa. Significant negative and negative correlations were predominantly 330 observed in eastern and northern Hulunbuir, Xing'an League, Chifeng, Tongliao, central and 331 western Xilingol, northern Ulanqab, Baotou, Hohhot, most of Ordos, northern Bayannur, and much 332 of Alxa. The vegetation in the IMAR exhibited a pronounced sensitivity to climatic conditions,

and srad. ranked in influence as follows: precipitation, temperature, and srad.

# **334 3.2.3. Examination of Determinants Influencing Vegetation Coverage**

- 335 Applying the breakpoint method, we categorized the cross-correlations between *kNDVI* and
- 336 climatic variables, as depicted in Figure 9a, with their significance levels shown in Figure 9b.
- 337 Within the IMAR, these correlations exhibited a broad range and averaged 0.44. Notably, only 32.5%
- 338 of the pixels demonstrated statistically significant correlations at the 0.05 level.

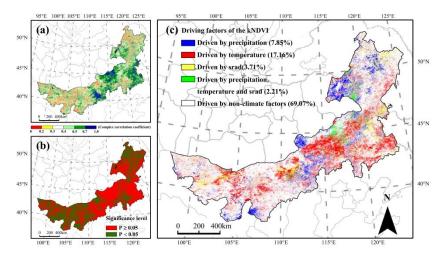


Figure 9. Determinants of *kNDVI* Analysis: (a) regional distribution of the CCC between *kNDVI* and climatic variables (b) significance levels of the CCC (c) factors influencing *kNDVI* in the IMAR (2004-2023)

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339

344 Analyzing correlations from 2004 to 2023 revealed spatiotemporal variability within the IMAR. 345 The vegetation patterns, influenced by climatic conditions, were categorized into distinct zones. 346 Figure 9c depicts the regional distribution of these driving forces. The findings revealed that only 347 30.93% of the vegetation coverage in the IMAR was influenced by climatic variables, whereas 69.07% 348 was influenced by non-climatic factors. Climatic factors, specifically precipitation, influenced 7.85% 349 of the regions, predominantly in Hulunbuir, western Xilingol, southern Ulangab, southwestern 350 Ordos, and southern Alxa. The temperature-driven zone covered 17.16% of the area, primarily 351 situated in the eastern region of Alxa and the northern sections of Ordos, Baotou City, Ulangab,

352	Xilingol, Chifeng, southern Tongliao, Xing_an League, and both eastern and western parts of
353	Hulunbuir. The srad-driven area constituted 3.71%, was primarily distributed in northern Hulunbuir,
354	eastern Xing_'an League, and central Ulanqab, Baotou City, and Alxa. The region affected by
355	temperature, precipitation, and srad accounted for 2.21% of the total, predominantly located in the
356	western half of Hulunbuir, the western section of Xing_1 an League, and the central-eastern zone of
357	Xilingol. Our findings suggest that in the IMAR, vegetation was predominantly influenced by non-
358	climatic factors, and this influence was observed across many cities throughout the region.

359 4. Discussion

# 360 4.1. Temporal Evolution and Spatial Distribution of *kNDVI*

361 From 2004 to 2023, we investigated the temporal and spatial variations of kNDVI across the 362 IMAR. The analysis revealed that vegetation coverage was notably higher in the northeastern region 363 (Figure 3a), likely due to humid climatic conditions, ample precipitation, and the presence of 364 wetland ecosystems 4142. The predominant plant type was grassland, with the exception of trees 365 and bushes. By contrast, the biological habitat in the western region, which was predominantly 366 influenced by environmental variables 43 was more fragile, as indicated by the low *kNDVI* values. 367 This fragility was particularly evident in areas such as Alxa. Alxa hosts the expansive Badain Jaran 368 Desert, covering approximately 49,000 square kilometers and recognized as one of China's largest 369 deserts. Similarly, the Tengger Desert in Alxa Left Banner extends over 43,000 square kilometers, 370 ranking among the country's most extensive desert regions. Additionally, the Kubuqi Desert and 371 Ulanbuhe Desert, which cover smaller area, are situated to the west of the IMAR 44. 372 In comparison to other research, vegetation coverage data exhibited a consistent and declining

trend overall (Figure 4). The <u>"stable</u>" vegetation coverage category was the predominant one in the
IMAR, although the <u>"uncertain future trend</u>" category also represented a significant portion (Figure
and Figure 6b). The prevalence may be attributed to the influence of various factors on surface
vegetation changes, such as climate change, alterations in land use, and human activities, with their
interactions potentially being complex, resulting in uncertainty about future trends 4546.

378 Nonetheless, the percentage of "sustainable and slightly degraded" regions was also notably 379 high, indicating "very strong sustainability", which implies that vegetation coverage in the IMAR 380 has likely experienced a minor decline in the recent past and may continue to decline in the 381 foreseeable future. This is mostly due to natural factors, human activities, or other environmental 382 causes that negatively impact vegetation in these areas 47. Agriculture and animal husbandry have 383 consistently been foundational sectors in the IMAR, and overgrazing has caused grassland 384 degradation, leading to a notable reduction in vegetation cover. However, certain areas have 385 exhibited "sustainability and substantial enhancement". This favorable advancement may be chiefly 386 ascribed to the government's implementation of various ecological protection laws aimed at 387 increasing vegetation coverage and restoring the ecological environment. The government has 388 strengthened the protection of vegetative resources, including grasslands and forests, imposed 389 restrictions on development and logging, and implemented policies to convert cropland into forests 390 and grasslands 4849. Nevertheless, there has been a slight reduction in the overall vegetation across 391 the IMAR.

### 392 4.2.

### 4.2. Analysis of the Influencing Factors on kNDVI

393 The analysis revealed that non-climatic factors significantly influence vegetation in the IMAR

394 (Figure 9c), indicating that key drivers of *kNDVI* differ across regions 50. Prior studies have
395 identified anthropogenic activities, precipitation, temperature, and srad as the principal determinants
396 of vegetation coverage 51. In areas with minimal human impact, fluctuations in vegetation coverage
397 were primarily attributed to weather influences 52.

398 The investigation into the regional distribution of driving forces indicated notable spatial 399 variability in climatic variables throughout the IMAR. Most precipitation-dependent regions were 400 located in dry or semi-arid zones, where rainfall is often limited. Conversely, areas affected by 401 temperature tended to experience relatively low temperatures. Regions impacted by strong srad were 402 predominantly located in low-latitude zones with sparse vegetation, particularly those below 500 403 meters in elevation. Conversely, regions affected by a confluence of precipitation, temperature, and 404 srad were predominantly situated at elevated heights, especially in Xilingol, where the elevation 405 surpasses 1,000 meters. Geographical variations highlight the influence of height and topography 406 on climatic elements including temperature, moisture availability, and light, which directly impact 407 plant distribution 53. Therefore, areas which are susceptible to natural disasters should use tailored 408 strategies to improve resilience to cold and drought, based on their specific climate conditions. 409 Incorporating precipitation, temperature, and srad into vegetation management establishes a 410 robust foundation for enhancing plant development and sustaining ecological equilibrium. Effective 411 measures include careful consideration of local climatic and hydrological conditions to prevent 412 problems such as overplanting and excessive soil moisture loss. Customized and knowledgeable 413 strategies are essential for achieving sustainable vegetation management 54.

414 4.3. Limitations and Guidelines for Subsequent Research

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This study analyses trends in vegetation change, assesses the long-term stability of vegetation dynamics, and <u>it also</u> examines the influence of climatic factors on the spatial distribution of vegetation in the IMAR. The findings of this study can facilitate the efficient monitoring of vegetation alterations and provide a theoretical foundation for conservation and rehabilitation initiatives in the IMAR.

420 Nevertheless, our study has several limitations. Firstly, although prospective vegetation 421 changes can be quantified by integrating kNDVI trends and H values, the Hurst index fails to offer 422 insights into the longevity of sustainable vegetation dynamics. Therefore, it is essential to develop 423 methodologies that can more accurately capture temporal patterns 55. Additionally, in some years, 424 the yearly median kNDVI values were very low, which allowed for the identification of interference 425 years and regions of vegetation coverage from 2004 to 2023. This limitation calls for further 426 examination of the factors affecting plant growth. Temperature, precipitation, and srad were 427 identified as major climate-related elements influencing vegetation coverage, yet the complex 428 interplay between these factors and topography at micro and macro scales could not be fully 429 captured 56. Lastly, several natural factors, such as soil composition and runoff, also affect 430 vegetation coverage, suggesting a need for more comprehensive data in future studies. Consequently, 431 further research should examine these natural factors that were not addressed in this study 57. 432 Moreover, non-climatic factors exert a significant influence on vegetation coverage in the 433 IMAR. Over time, the complexity of vegetation dynamics has been substantially affected by 434 anthropogenic activities such as urban expansion, infrastructure development, grazing, and changes

435 in land use and land cover (LUCC). <u>Therefore</u>, <u>future research should focus on integrating these</u>

23

436 <u>anthropogenic variables into studies to better understand</u> their geographical effects on vegetation
437 58.

438 5. Conclusions

439 In this study, calculations of *kNDVI* were performed on the GEE platform using MOD13Q1 440 V6.1 data. The annual median kNDVI values from 2004 through 2023 were utilized as indicators of 441 vegetation status for each respective year. We analyzed the spatiotemporal characteristics of kNDVI 442 across the IMAR by correlating it with temporal datasets of temperature, precipitation, and srad. 443 This approach revealed *kNDVT* s sensitivity to climatic variables and other driving forces. 444 The findings indicated that vegetation coverage in the IMAR was substantially higher in 445 northeastern regions and decreased towards the west, exhibiting considerable spatial variability. 446 Specifically, the yearly median kNDVI varied between 0.089 and 0.133 from 2004 to 2023, 447 indicating a consistent trend. Over this period, 35.36% of vegetation coverage in the IMAR showed 448 improvement, 49.95% remained stable, and 14.69% experienced degradation. In terms of 449 sustainability, 70.96% of the vegetation coverage exhibited "unpredictable future trends", while 450 29.04% was classified as sustainable, including 6.41% categorized as "sustainability and 451 improvement" and 22.63% as "sustainability and degradation". Furthermore, vegetation coverage 452 was strongly influenced by climatic factors, ranked in order of influence as follows: precipitation, 453 temperature, and srad. At a 0.05 confidence level, non-climatic factors influenced 69.07% of the 454 vegetation, predominantly across much of Hulunbuir, Xing'an League, Ulanqab, Baotou, Hohhot, 455 Bayannur, Wuhai, and extensive areas of Alxa. In contrast, 30.93% of vegetation changes were 456 driven by climatic factors, mainly in the western Hulunbuir and Xing'an League, Xilingol, central

457	Ulanqab, central Baotou, and eastern Alxa. Overall, this study integrates kNDVI with various
458	analytical methods to offer a robust approach for monitoring vegetation dynamics in large-scale,
459	ecologically complex environments. The findings provide valuable scientific insights to support
460	ecological restoration and sustainable development efforts in the IMAR.
461	Author Contributions:
462	† These authors contributed equally to this work.
463	Investigation, Methodology, and Analysis: G.W. and W.Z.; Supervision and Validation: X.Y.
464	and Z.Z.; Writing—Original Draft: W.Z.; Writing—Review and Editing: G.W. and Y.G. All authors
465	read and approved the final manuscript.
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468	Ethical standards
469	The experiments comply with the current laws of the country in which they were performed.
470	Data Availability Statement:
471	The data presented in this study can be obtained by contacting the corresponding author upon
472	request.
473	Conflicts of Interest:
474	The authors declare no conflicts of interest.
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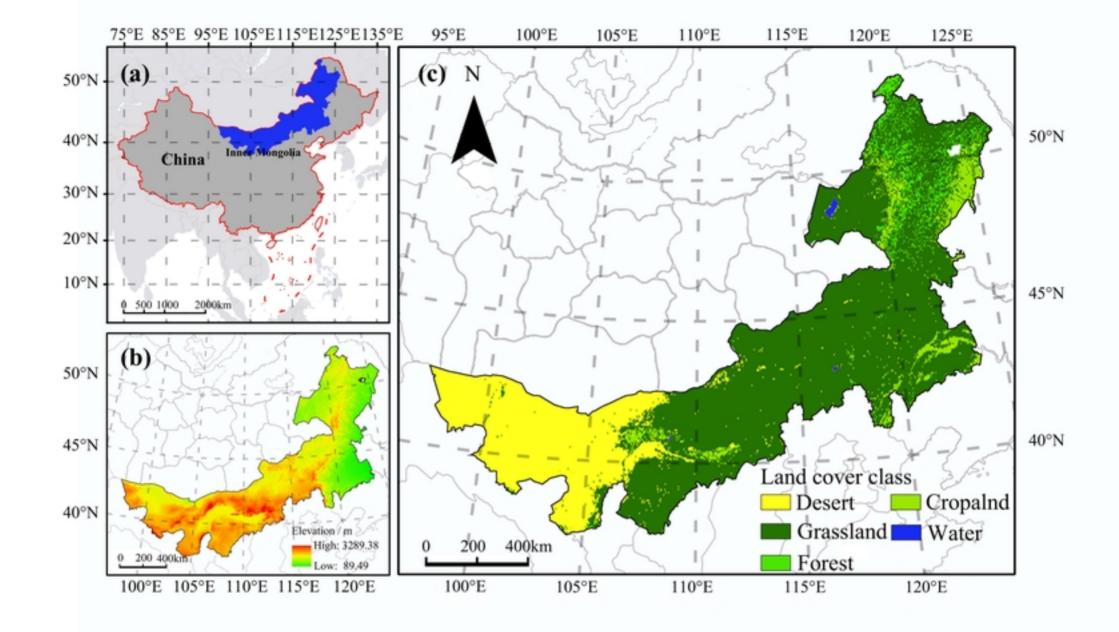
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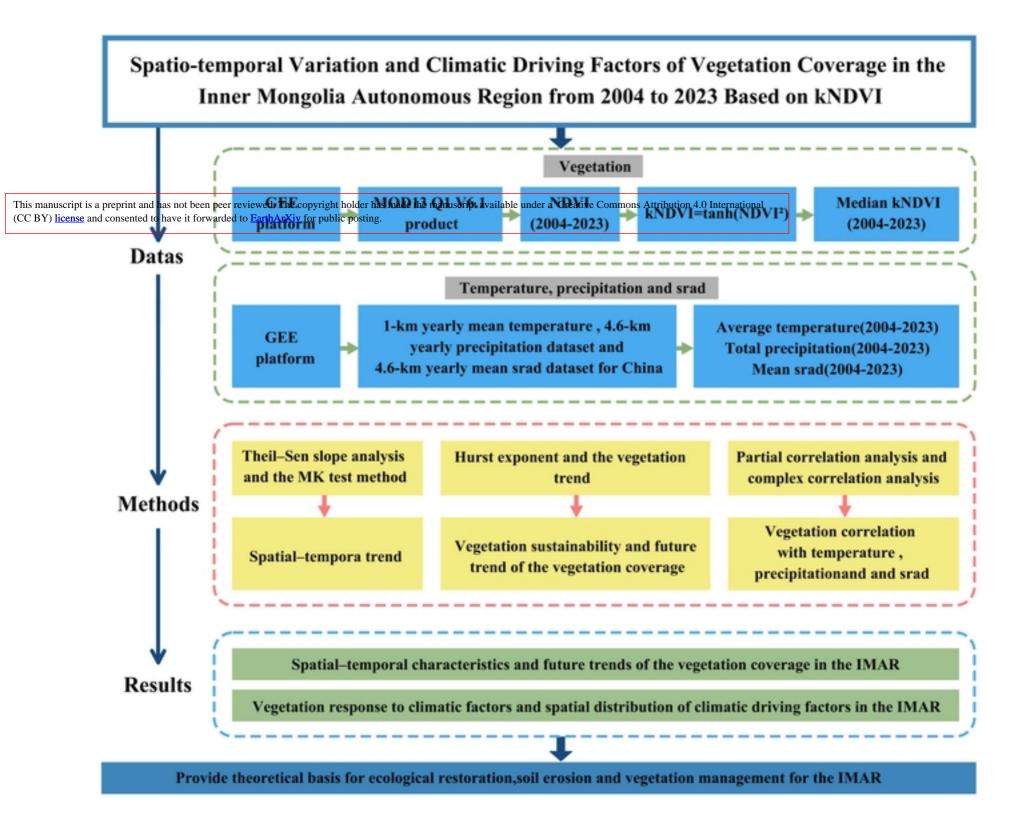
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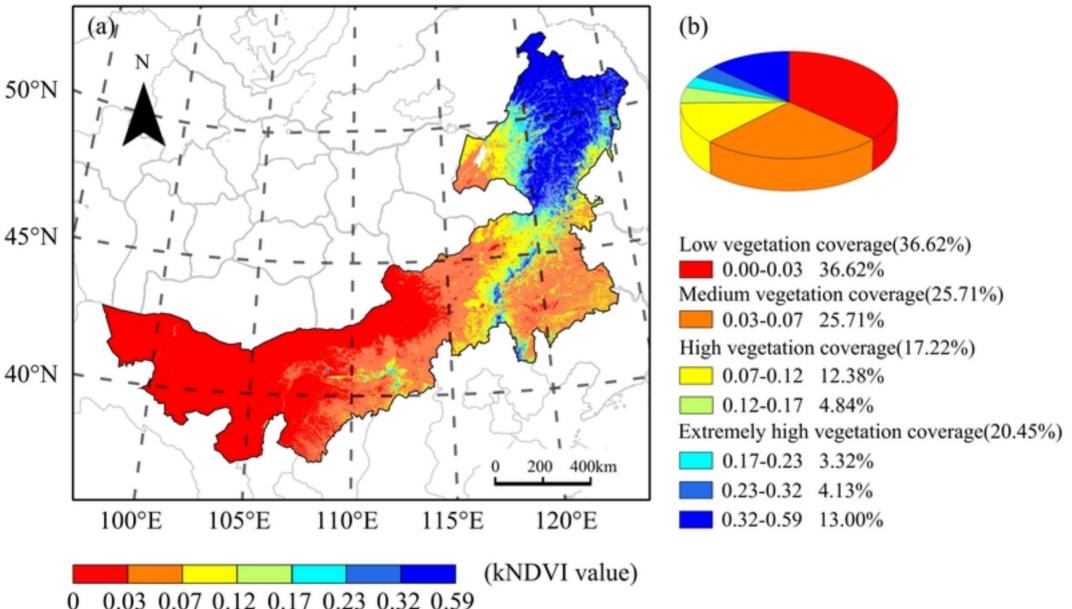
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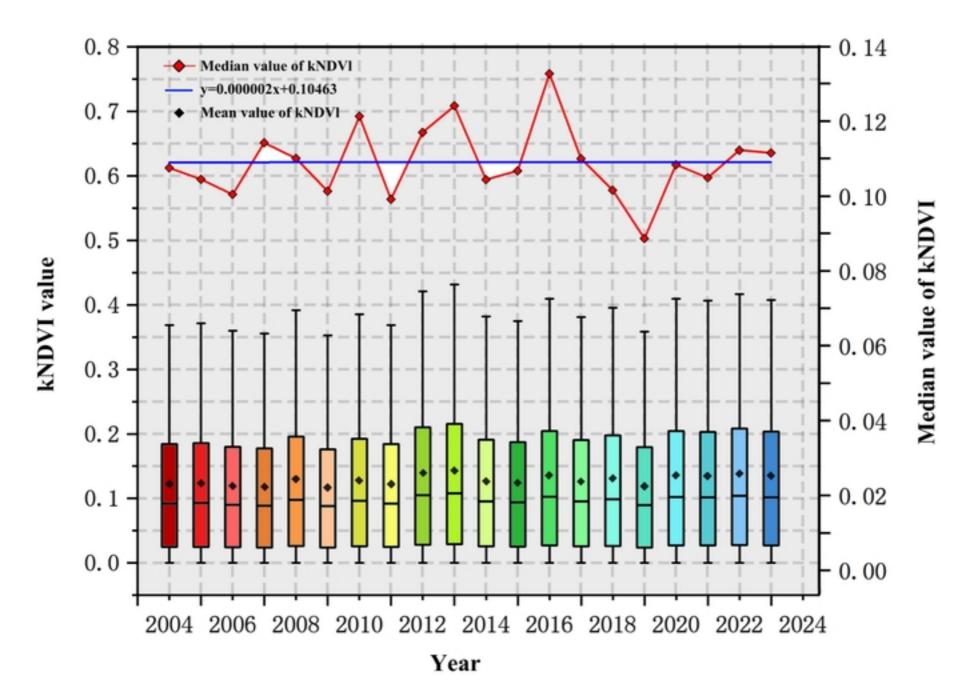
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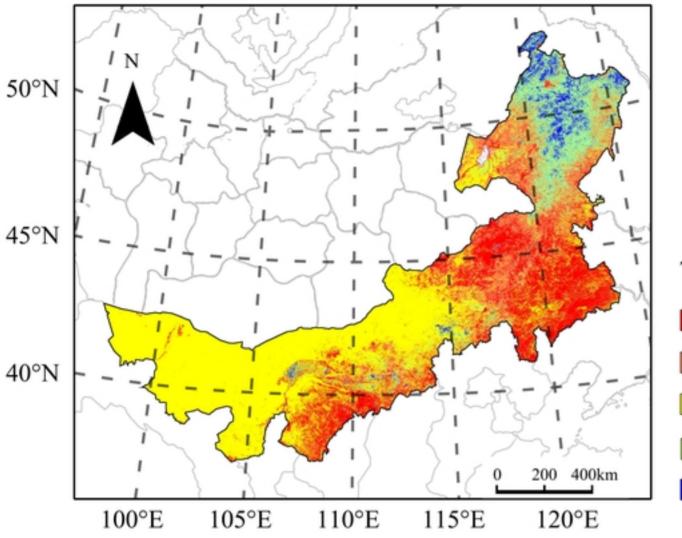






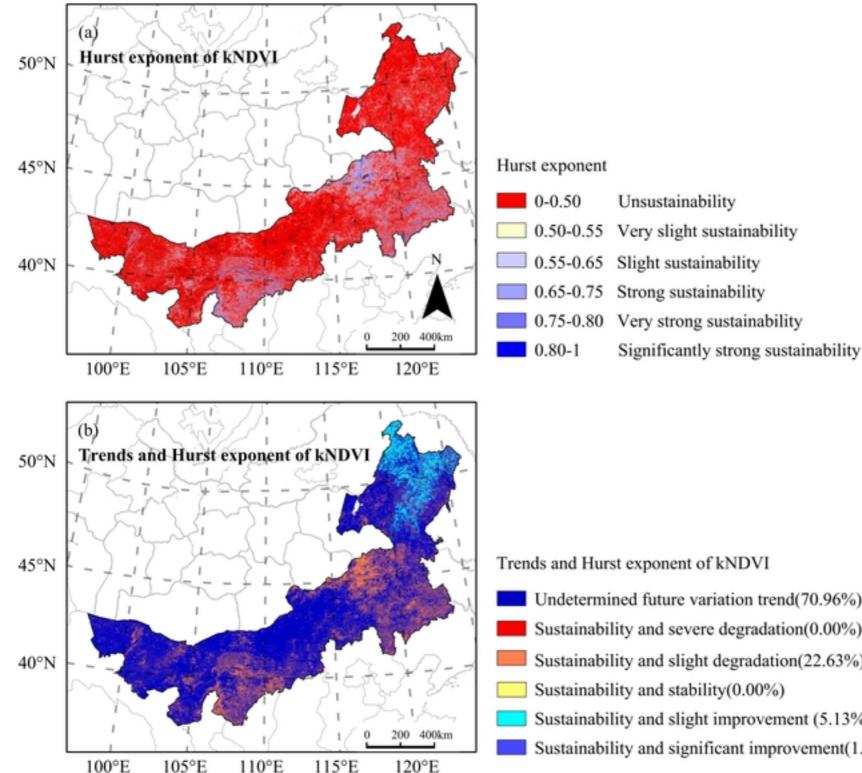
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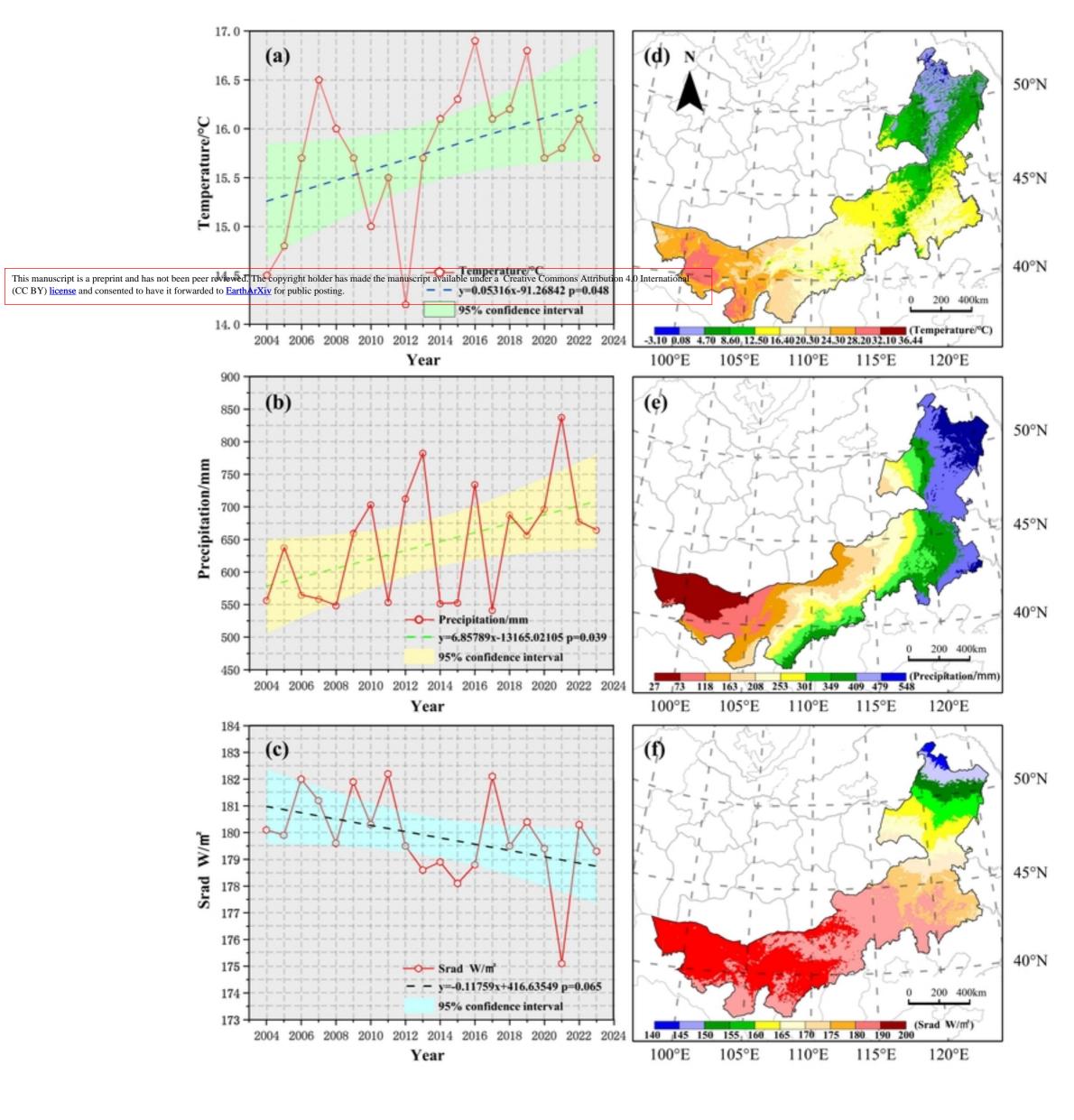
Trends of inter-annual kNDVI change

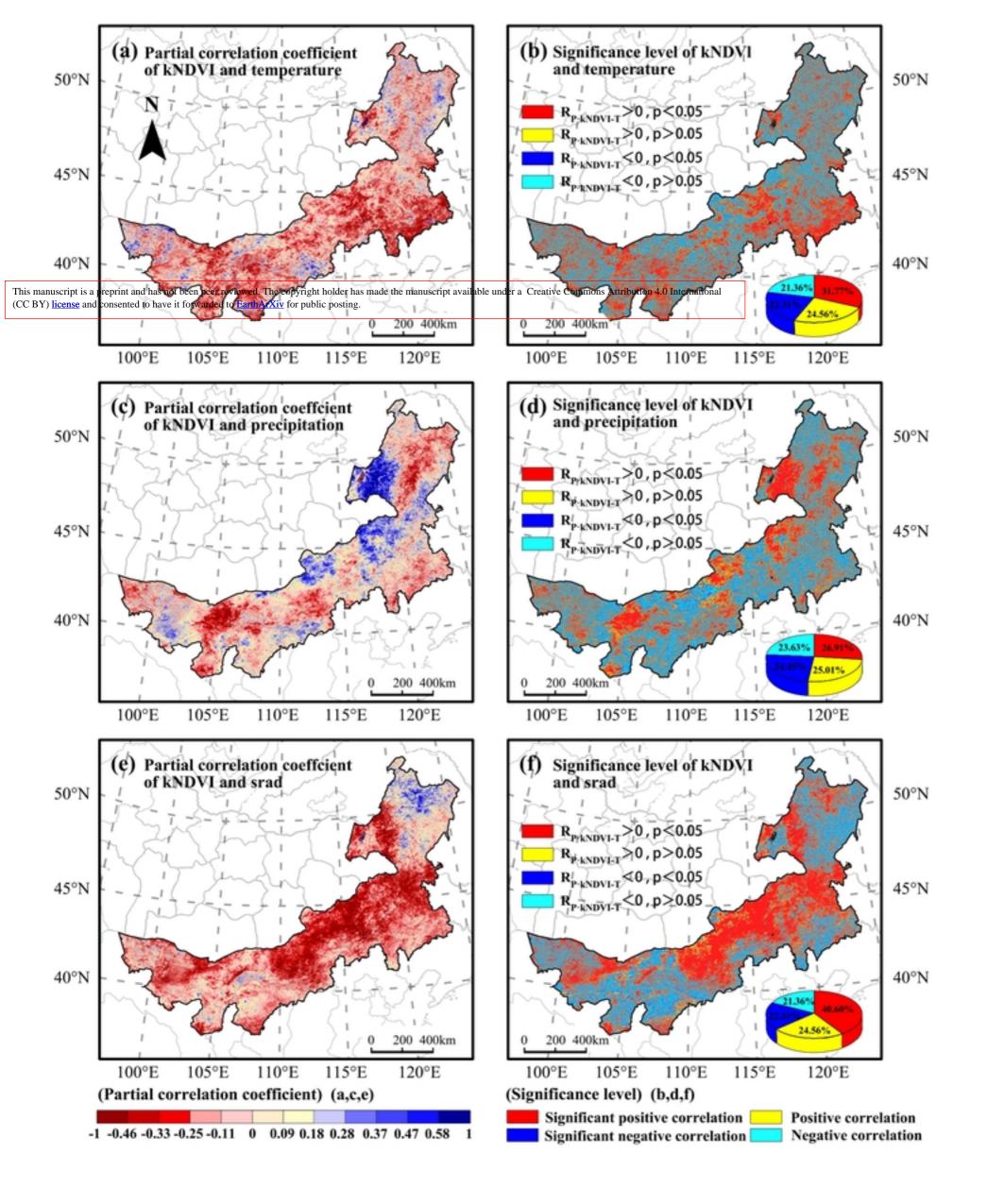
- Severe Degradation
- Slight Degradation
- Stability
- Slight Improvement
  - Significant Improvement

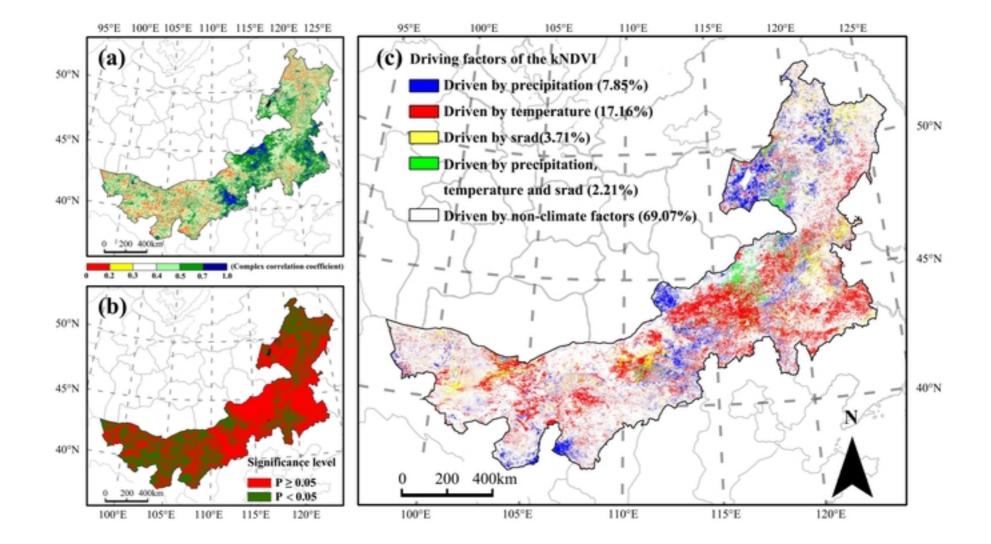


# Very slight sustainability Very strong sustainability

- Undetermined future variation trend(70.96%)
- Sustainability and severe degradation(0.00%)
- Sustainability and slight degradation(22.63%)
- Sustainability and stability(0.00%)
- Sustainability and slight improvement (5.13%)
- Sustainability and significant improvement(1.28%)







	S <sub>KNDVI</sub>	$Z_{\rm s}$ Value	kNDVI Trends	Area Percentage/%		
	≥0.0005	≥1.96	Significantly improved	18.90		
	≥0.0005	-1.96-1.96	Slightly improved	16.46		
	-0.0005-0.0005	-1.96-1.96	Stable	49.95		
This manuscript is a preprint and has not be	$\leq$ -0.0005	-1.96-1.96	Slightly degraded e under a Creative Commons Attribution 4.0 Internat	11.38		
(CC BY) <u>license</u> and consented to have it for				Ionar		
	≤-0.0005	≦-1.96	Severely degraded	3.31		

	True of Driving Factor	Classification Basis						
	Type of Driving Factor	R <sub>kNDVI-P</sub>	R <sub>kndvi-t</sub>	R <sub>kNDVI-S</sub>	R <sub>kNDVI-T-P-S</sub>			
	Driven by precipitation	t >0.05			F>F0.05			
	Driven by temperature		t >0.05		F>F0.05			
	Driven by srad			t >0.05	F>F0.05			
This manuscript is a preprint and has (CC BY) <u>license</u> and consented to ha	not been peer reviewed. The copyright holder has made the manuse ve it forwarded to <u>EarthArXiv</u> for public posting.	cript available under a Creative	Commons Attribution 4.0 Internation $t < 0.05$	ational  t <0.05	F>F0.05			
	Driven by non-climate factors				F <f<b>0.05</f<b>			