1	Nonlinear carbon feedbacks in CMIP6 and their impacts on future
2	freshwater availability
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ABSTRACT

18 Will plants consume more or less water in a high carbon dioxide [CO₂] world? What will 19 the hydrologic consequences of those changes will be? Some theories and analyses of earlier 20 generations of Earth System Models (ESM) suggest that transpiration will decline with higher 21 atmospheric carbon dioxide concentrations [CO₂] due to stomatal closure, thereby enhancing 22 runoff and soil moisture and countering the continental drying predicted by warming alone. 23 We show that the opposite effect prevails in the latest generation of ESMs forced with 24 increasing [CO₂]: plants themselves contribute to projected soil drying, with smaller effects 25 on runoff, and this picture emerges by considering the interactions between radiatively driven 26 warming and the physiological effects of high [CO₂] on plants. These interactions act to 27 increase plant-based evapotranspiration (ET) by expanding leaf area and lengthening and 28 warming growing seasons beyond what would be predicted by radiative or biogeochemical 29 effects alone. Collectively, these interactions increase ecosystem water use and dry soils, 30 compensating any land water savings from stomatal closure. At the same time, these 31 interactions have grown and become more uncertain across model generations. We also find 32 that the strength of these plant-water interactions scale with the simulated resilience of the 33 land carbon sink to warming-a key feedback in the carbon cycle. Our results emphasize that 34 a linearity assumption underpinning analyses of carbon, plant, and water interactions is not 35 appropriate for the latest generation of ESMs, with implications for model development, as 36 well as the accurate interpretation of projected changes to the carbon cycle and the 37 consequences for future climate, drought, and water availability.

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39

SIGNIFICANCE STATEMENT

40 Understanding plants and how their water use will respond to climate change is essential 41 to understanding future drought and aridity. We demonstrate that interactions between 42 warming and higher atmospheric carbon dioxide in the latest generation of climate models 43 lead to amplified plant growth and associated plant water use. The simulated strength of this 44 interaction is related to weaker land carbon losses from warming. The net result is that in 45 climate models, plant responses forcing enhance land surface drying rather than reduce it, as 46 previous analyses of earlier generations of climate models have found. Our findings highlight 47 that as models become more sophisticated, this leads to a greater ensemble range in carbon feedbacks with implications for how we assess plant influences on water cycle changes. 48

49

50 1. Introduction

51 Plants and their countervailing responses to anthropogenic greenhouse gas emissions and 52 climate change will shape the fate of water availability on land (Idso and Brazel 1984). Some 53 theories, modeling, and nascent observations have suggested that high atmospheric carbon 54 dioxide concentrations (hereafter [CO₂]) reduce plant transpiration, leaving more water for 55 other components of land surface hydrology, like runoff or soil moisture (Idso and Brazel 56 1984; Swann et al. 2016; Fowler et al. 2019; Roderick et al. 2015; Keenan et al. 2013; Zhou 57 et al. 2023). Earth System Models (ESMs) parameterize plant photosynthesis to capture the 58 real world in which carbon gains are optimized while minimizing water losses (Sabot et al. 59 2022; Medlyn et al. 2011; Cowan and Farquhar 1977; Bonan et al. 2014). As [CO₂] increases, 60 stomatal conductance declines (Zhang et al. 2018), diminishing the atmosphere's access to 61 deep soil moisture via transpiration (Field et al. 1995) and increasing canopy resistance to 62 evapotranspiration (ET) (Roderick et al. 2015; Milly and Dunne 2016; Lemordant et al. 63 2018). This leads plants to photosynthesize at a lower water cost under higher $[CO_2]$, 64 manifesting as increased plant water use efficiency (WUE) (Keenan et al. 2013; De Kauwe et 65 al. 2013). Together, such stomatal closure and consequent WUE increases suggest that plant 66 physiological responses to high [CO₂] will "turn on the tap" (Jasechko 2018), meaning they 67 will either directly enhance runoff and soil moisture or reduce the drought and aridity risks 68 projected by the radiative effects of warming alone (Idso and Brazel 1984; Swann et al. 2016; 69 Fowler et al. 2019; Roderick et al. 2015; Betts et al. 2007; Milly and Dunne 2016; Yang et al. 70 2019; Aston 1984; Lemordant et al. 2018; Scheff et al. 2021).

71 While prior work emphasizes that plant responses to [CO₂] might enhance land water 72 availability, other analyses emphasize that total plant-based ET increases in the models, 73 decreasing runoff and soil moisture (Mankin et al. 2018, 2017, 2019), with implications for 74 drought globally (Cook et al. 2021, 2020). In transient simulations, [CO₂]-amplified plant growth due to CO₂ fertilization manifests as increased leaf area (and associated canopy 75 76 interception and leaf evaporation). Together with longer and warmer growing seasons, plant-77 based ET can increase, even in the face of enhanced stomatal closure. Some studies have 78 used emergent constraints methods to reduce projection uncertainty in CO₂ fertilization (Cox 79 et al. 2013; Keenan et al. 2023) and its associated hydrologic consequences (Mankin et al. 80 2019; Lehner et al. 2019). Yet, persistent uncertainties in plant responses to [CO₂] across 81 model generations remain. For example, the IPCC's Working Group I concluded in the 6th 82 Assessment Report that "there is *low confidence* that increased WUE by vegetation will [...]

83 diminish the frequency and severity of soil moisture and streamflow deficits associated with 84 the radiative effect of higher CO₂ concentrations" (Canadell et al., 2021). The U.S. Fifth 85 National Climate Assessment differs from the IPCC conclusion, emphasizing that the 86 remaining uncertainties imply the possibility of plant-induced water savings, stating that "[...] 87 changes in plant water use in response to increasing temperatures and rising atmospheric CO₂ 88 are complex and poorly understood and may either ameliorate or amplify soil moisture and 89 runoff droughts at the surface" (Leung et al. 2023). As such, this important source of 90 uncertainty in projections of future freshwater availability, with the potential to inform 91 drought mitigation and adaptation decisions globally, remains unresolved.

92 The uncertainty in ecohydrological responses to [CO₂] in models emphasizes the 93 importance of accurately representing the interactions among the carbon, nutrient, and water 94 cycles as expressed through plants. Importantly, biogeochemical sophistication in ESMs has 95 increased considerably across generations (Arora et al. 2020) as land surface models have 96 rapidly developed (Fisher and Koven 2020). Such model advancements have been driven in 97 part by the longstanding recognition that simulating coupled carbon and nutrient cycles could 98 shape nonlinear responses in terrestrial carbon and water budgets. Such responses would 99 likely shape projected warming and climate impacts as well. For example, there is a long 100 history of work focused on how nitrogen limitations (Vitousek and Howarth 1991) can cap 101 plant productivity responses to enhanced [CO₂], with consequences for carbon feedbacks and 102 hydrologic impacts (Sokolov et al. 2008; Zaehle et al. 2010; Zaehle 2013; Zaehle et al. 2015; 103 Thornton et al. 2009; Felzer et al. 2009; Lee et al. 2013; Davies-Barnard et al. 2020).

104 Recent work has shown that the latest generation of ESMs participating in the sixth phase 105 of the Coupled Model Intercomparison Project (CMIP6) exhibit more sizable interactions 106 among the radiative and biogeochemical effects of [CO₂] than in previous CMIP generations, 107 driven in large part by changes in net primary production (Huang et al. 2022). Here we 108 extend this important work, showing that in the CMIP6, these interactions in carbon 109 responses to warming and high [CO₂] are tied to the carbon-climate feedback and generate 110 hydrologic responses with implications for the interpretation of how plants responses to warming and [CO₂] will shape terrestrial water availability. 111

Using the experiments performed under the CMIP6 Coupled Climate-Carbon Cycle
Intercomparison Project (C4MIP) framework (Jones et al. 2016), we present three key
findings. Firstly, within the CMIP6, there is a sizable interaction between warming and

115 enhanced [CO₂] that leads to amplified plant growth, the simulated variation in the strength 116 of which, we show, is tightly associated with variation in the simulated response of the land 117 carbon sink to warming. Secondly, this amplified plant growth generates an increase in plant-118 based ET beyond that predicted by radiative or biogeochemical effects individually or their 119 sum, cancelling any transpiration reductions from enhanced [CO₂] and causing relative and 120 absolute declines in soil water. Lastly, runoff changes within the ensemble are associated 121 with precipitation changes, not plant-based ET changes. The process-level drivers of 122 interactive terms in the carbon cycle in CMIP6 relative to earlier CMIP generations is a 123 crucial question for future evaluation. Together, our results highlight that while CMIP6 124 projections of future aridity require reconciling plant responses to [CO₂], doing so provides 125 little evidence that plant stomatal responses will increase future water availability or offset radiatively driven drying. Relative to the present day, these idealized projections suggest 126 127 increased plant-based ET for much of the globe, with model uncertainties in carbon cycle 128 responses playing a key role.

129

130 **2. Data & Methods**

131 a. Climate model experiments

All ESM data used in this study come from a set of experiments conducted as part of CMIP6
(Jones et al. 2016; Friedlingstein et al. 2006), downloaded from the Earth System Grid
Federation (https://esgf-node.llnl.gov/search/cmip6/) and analyzed via Python and R.

135 A common approach to assess whether plants will tend to 'wet' or 'dry' the surface is to 136 compare hydrologic responses in a set of idealized ESM experiments that isolate plant 137 responses to [CO₂] from other factors associated with radiatively driven changes. This 138 protocol was originally developed over 20 years ago (Friedlingstein et al., 2006; Fung et al., 139 2000) to quantify the strength of carbon feedbacks arising from climate changes versus 140 enhanced [CO₂], and is evaluated using the C4MIP framework, a model intercomparison 141 approved by CMIP6 (Jones et al. 2016). In the idealized experiments used in C4MIP, [CO₂] 142 is quadrupled from its pre-industrial level by increasing at a rate of 1% per year for 140 years 143 (i.e., "4xCO2"). The difference among simulations resides in the parts of the model that are 144 allowed to respond to the [CO₂] increase. We use data from 13 models (ACCESS-ESM1.5, BCC-CSM2-MR, CanESM5, CanESM5-CanOE, CESM2, CMCC-ESM2, CNRM-ESM2-1, 145

146 EC-Earth3-CC, GFDL-ESM4, IPSL-CM6A-LR, MIROC-ES2L, NorESM2-LM, and

- 147 UKESM1.0-LL) participating in the experiments that contributed results to C4MIP and for
- 148 which the required variables are available: (1) The 1% CO₂ experiment ("1pctCO2", termed
- 149 "coupled" or COU), which is a fully coupled simulation forced with an increase in
- atmospheric CO₂ concentrations of 1% per year for 140 years from preindustrial until
- 151 quadrupling, conducted as part of the CMIP6 DECK; (2) The 1% [CO₂] biogeochemical run
- 152 ("1pctCO2-bgc", termed BGC), in which only the land and ocean biogeochemical schemes
- respond to [CO₂], not the climate; and (3) The 1% CO₂ radiatively coupled run ("1pctCO₂-
- rad", termed RAD), in which only the radiation scheme responds to increasing [CO₂], while
- the biogeochemical schemes are given preindustrial [CO₂] levels. Collectively, the COU,
- 156 BGC, and RAD experiments allow analyses of how climate and the carbon cycle
- 157 independently respond to increased [CO₂] and how those responses generate carbon
- 158 feedbacks from the land and ocean acting to amplify or dampen climate change. We also use
- results from seven models that participated in C4MIP during the fifth phase of the Coupled
- 160 Model Intercomparison Project (CMIP5) to compare against results from C4MIP for CMIP6
- 161 (bcc-csm1-1, CanESM2, CESM1-BGC, HadGEM2-ES, IPSL-CM5A-LR, MPI-ESM-LR,
- 162 NorESM1-ME). We note that the net ecosystem exchange variable was not available for
- 163 CESM1-BGC from CMIP5; we opted to include the model for all analyses that do not rely on164 that variable.

165 Our effort centers on investigating the linearity of carbon and water terms in the C4MIP, 166 building on earlier work with previous generations of the experiment that focused on their 167 independent effects in the RAD and BGC runs (Swann et al. 2016; Fowler et al. 2019; Zhou 168 et al. 2023). Linearity in the context of the C4MIP means that one can generally recover the 169 fully coupled 1pctCO2 response after the 140-year simulation (Δ COU) by summing each 170 model's isolated biogeochemical (Δ BGC) and radiative (Δ RAD) responses as Δ COU \approx 171 $\Delta BGC + \Delta RAD$. Any residual in this calculation, NL = $\Delta COU - (\Delta BGC + \Delta RAD)$, is the "nonlinear term," NL, for a quantity at hand. We investigate the NL terms for carbon, plant, 172 173 and hydrologic variables to assess how the RAD and BGC schemes in the models interact to 174 shape the COU response and what that implies about how plants shape water availability.

175 *b. Data processing*

176 Monthly hydrologic variables (precipitation, "pr"; runoff, "mrro"; transpiration, "tran"; leaf 177 evaporation "evspsblveg"; soil evaporation, "evspsblsoi") are converted to monthly totals in 178 mm and then resampled to be water year (WY) totals, which we define as October-September
179 in the Northern Hemisphere and July-June in the Southern Hemisphere.

180 We preprocess monthly layered soil moisture ("mrsol") from each model, integrating soil 181 moisture in each model to a common 2-m depth in units of mm. Soil moisture is analyzed as 182 a WY average, weighted by the number of days in each month. We also calculate vapor 183 pressure deficit (VPD, kPa). To do so, we use monthly near-surface (2-m) air temperature 184 ("tas") and relative humidity ("hurs") to estimate the saturation vapor pressure (e_s, kPa) as $e_s = 0.61078 \times e^{\frac{17.269T}{273.3+T}}$, where T is temperature (°C); we calculate VPD as $vpd = (1 - 1)^{17.269T}$ 185 rh/100) * es, where rh is relative humidity (%), which we aggregate to WY means. 186 187 Ecosystem-scale water use efficiency (WUE) is calculated as the ratio of gross primary 188 productivity (GPP, "gpp" on ESGF) to transpiration ("tran"). Both of these ratios are 189 calculated at the WY scale. Extreme precipitation, where analyzed, is calculated using daily 190 precipitation for the models and experiments for which it is available, estimating the Rx5d 191 measure, which is the maximum total precipitation (mm) occurring over a five-day period in 192 each WY. Within each WY, we estimate the start of the growing season using two-day rolling mean of daily 2-m temperature to identify frost events as those in which two-day 193 194 mean temperatures are less than zero. The growing season is then the longest consecutive 195 stretch of days without frosts in each WY. Throughfall is calculated as the difference between 196 WY total precipitation and WY leaf evaporation (E_L), which is the evaporation of intercepted 197 precipitation. Gross primary productivity ("gpp"), leaf area index ("lai"), and the land carbon 198 store quantities (vegetation, "cVeg"; litterfall, "cLitter"; soil, "cSoil") are all resampled to 199 WY averages weighting by days per month, as above.

200 In all variables except where otherwise noted, WY changes in response to 4xCO2 are 201 calculated as the difference between the average of the last 30 water years and the first 30 202 water years in each 140-year experiment (e.g., Figs. S1 and S2). All quantities are calculated 203 on the native grids for each model and experiment. Where ensemble means are presented, the 204 change from each model is calculated and then interpolated to a common 1°x1° grid (based 205 on the CESM2 grid). For all data except the carbon cycle data, we mask out grid cells where 206 the ensemble mean climatological monthly peak LAI is below $0.1 \text{ m}^2/\text{m}^2$. This masks barren 207 regions like Greenland and the Sahara and other extremely low vegetation areas (shown as 208 grey in maps). For the ensemble mean fields, statistical significance is calculated at the 209 regridded grid-point scale based on a Kolmogorov-Smirnov (K-S) test. To do this, we pool all

- 210 model water years from the first and last 30 years of each experiment and test the statistical
- significance of the difference between them. We mask out (in white) any grid cells where the
- 212 K-S test indicates little difference between the two periods ($p \ge 0.05$). Where we present
- 213 regional or global averages, we area-weight the average of each model on their native grids.

214 c. Carbon cycle feedback calculations

215 Carbon cycle feedbacks are calculated following previous work using the "BGC-COU" 216 approach (Arora et al. 2020). Where available, we use the $[CO_2]$ time series provided by each 217 model ("co2") or those provided as a data addendum to Arora et al. (2020) or based on the 218 last CO₂ value in the preindustrial control simulation. Where CO₂ data are not archived, we 219 calculate their annual value for year *i* assuming a 1% compounding increase from 285 ppm 220 based on the C4MIP experimental design. Following Arora et al. (2020), for each model and 221 experiment, we calculate the change in the total global land carbon storage (sum of cVeg, 222 cLitter, and cSoil in petagrams of carbon PgC) as the area-weighted average of carbon 223 change at each grid cell. We compute temperature changes based on monthly 2-m 224 temperature for each model and experiment as the temperature change between year 139 and 225 year 0 of the simulation.

226 We compute the land carbon-concentration feedback, β_L , or what we call β in this analysis, as $\beta = \frac{1}{c'} \left(\frac{\Delta C^* \Delta T' - \Delta C' \Delta T^*}{\Delta T' - \Delta T^*} \right)$, where *c* refers to [CO₂] change, ΔC refers to the change 227 228 in total land carbon stores, ΔT refers to 2-m temperature changes, primes (') refer to 229 quantities from the control run (COU) and the asterisks (*) refer to those from the biogeochemical experiment (BGC); the units of β are PgC ppm⁻¹. The carbon-climate 230 feedback over land, or γ_L , which we call γ , is calculated similarly, as $\gamma = \frac{\Delta C' - \Delta C^*}{\Delta T' - \Delta T^*}$; the units 231 are PgC °C⁻¹. These calculations are performed on global-scale area-weighted average data 232 233 over land.

To estimate net ecosystem exchange (NEE), we follow earlier work (Huang et al., 2022). We calculate the "C_{int}" quantity they present, which is equivalent to the nonlinear term on net ecosystem exchange (NEE) or what we call NEE_{NL}. We calculate cumulative net primary productivity ("npp") and heterotrophic respiration ("rh") fluxes for each model and grid cell, estimating the flux in PgC. In contrast to Huang et al. (2022), and to be consistent with the rest of our analysis, we define the reference carbon flux as the climatological average flux over the first 30 years of the experiment. We remove the reference flux from the integrated

- time series in each grid cell, model, and experiment and estimate net ecosystem exchange
- 242 (NEE, also called C by Huang et al. (2022)) as the difference between NPP and heterotrophic
- respiration; the total change in NEE is simply the value in the last simulation year. We
- 244 compute the nonlinear term for NEE, called NEE_{NL}, as $NEE_{NL} = \Delta NEE' (\Delta NEE^* +$
- 245 $\triangle NEE^+$), where, as above, primes signal the COU, asterisks, the BGC, and the plus sign
- 246 ("+") refers to the RAD simulation. All interactive, or "nonlinear" terms (i.e., COU BGC –
- 247 RAD), which are presented with an "NL" subscript, are calculated similarly as $X_{NL} = \Delta X' \Delta X'$
- 248 $(\Delta X^* + \Delta X^+)$. All model-by-model regressions are linear ordinary least squares (OLS) with
- 249 the fit and significance (Student's t-test) reported.

250 *d. Hydrologic budget framework*

251 Following earlier work (Mankin et al. 2018, 2019), we use a hydrologic budget that 252 assumes climatological WY precipitation (P) is balanced by plant-based ET, or what we term 253 the "canopy water flux" (C, which is the sum of transpiration and evaporation from the leaf 254 surface, E_L), total runoff (Q, surface and subsurface) fluxes, and soil water (S, which we 255 calculate as the sum of soil evaporation and each year's change in WY mean 2-m soil 256 moisture, calculated as the first difference of the soil moisture time series). Following earlier work, we write this budget as P = C + Q + S, where all terms are WY totals in mm. We note 257 258 two things: firstly, S can equivalently be represented as the residual of P - C - Q. In fact, a 259 comparison between changes in the S term and the change in the residual from P - C - Q are 260 similar in pattern and magnitude, suggesting that our S term effectively characterizes the 261 residual in the hydrologic budget (Fig. S3). Secondly, snow is not explicitly considered in our 262 budget owing to the fact that we analyze climatological WY changes over vegetated regions 263 (recall the LAI mask described in b. Data Processing), making any year-to-year changes in 264 snowpack exceedingly rare. To ensure this is not an issue and that our budget terms recover 265 model precipitation, we calculate precipitation partitioning to each WY term C, Q, and S as the ratio of each to WY total precipitation (e.g., for canopy partitioning as C/P). We find that 266 267 the partitioning ratios sum to unity nearly everywhere outside of High Mountain Asia, 268 suggesting the terms we include in our water balance equation capture the scope of each 269 model's hydrologic budget and reproduce WY total precipitation. 270 For the regional analyses, we calculate the ensemble mean changes in precipitation

270 For the regional analyses, we calculate the ensemble mean changes in precipitation 271 partitioning, $\Delta(C/P)$, $\Delta(Q/P)$, and $\Delta(S/P)$ in the COU for all grid points by averaging across 272 all models. Based on that ensemble mean response in the COU, we classify and group all grid 273 points that share positively signed changes in partitioning to runoff, known as runoff ratio, 274 $\Delta(Q/P)$, or to canopies, $\Delta(C/P)$. We then calculate area-weighted averages across all these grid points for a number of quantities to assess the composite response over regions where 275 276 runoff or canopy partitioning increases. We use this same approach to calculate ΔP positive 277 versus ΔP negative regions, again, based on the response in the COU. Where time series are 278 presented, we present the 30-year rolling mean as a function of [CO₂]; statistical significance 279 in the time series is presented as a thicker line where the model signal (the ensemble mean 280 change evaluated at that time point) is greater than one standard deviation of changes across 281 the ensemble at that point (S/N>1). Where we present grid-point level scatter plots, we 282 construct them by pooling all model grid points on their native grids. To calculate the canopy water flux (C) for the ACCESS-ESM1-5 model, we use C = ET - soil evaporation ("evspsbl" 283 284 minus "evspsblsoi"), as this model does not provide "tran" or "evspsblveg" to the ESGF 285 archive.

286

287 **3. Results**

288 Our analysis centers on whether plants will consume more or less water in a high [CO₂] 289 versus low [CO₂] world and what the hydrologic consequences of those plant-water use 290 changes will be. We present our analysis in six parts: (1) we motivate the work by showing 291 the pattern of projected greening and drying in the CMIP6 ensemble, which suggests future 292 plant growth and ecosystem health in the face of water availability declines; (2) to understand 293 this co-located greening and drying, we outline the set of radiative versus physiological plant-294 relevant responses in the CMIP6 C4MIP experiments; (3) we show that there are sizable 295 nonlinear terms in vegetation productivity in the CMIP6 C4MIP experiment, much larger 296 than those in CMIP5, emphasizing that nonlinear interactions among the RAD and BGC 297 simulations have grown across model generations and act to amplify projected plant growth 298 beyond what would be expected from CO_2 fertilization or temperature effects alone; (4) we 299 show that ensemble variation in nonlinear vegetation growth is closely tied to ensemble 300 variation in the climate-carbon feedback, or y, a key climate parameter; (5) we use a simple 301 hydrologic framework to show that these vegetation growth nonlinearities emerge from 302 carbon cycle processes and generate positive nonlinearities in plant-based ET; and (6) we 303 show that these increases in plant-based ET from interactive warming and [CO₂] are tightly 304 associated with soil moisture decreases and act to offset water savings from reductions in

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stomatal conductance in response to high [CO₂]. Collectively our results trace the
nonlinearity in carbon feedbacks to consequences for vegetation, hydrology, and future water
availability in a high-[CO₂] world.

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309 a. Greening and drying in the CMIP6

310 The CMIP6 ensemble mean COU simulation shows a consistent spatial pattern of 311 vegetation "greening" and land surface "drying" implying healthy vegetation in the face of 312 general reductions in water availability (Fig. 1). While the response is consistent with earlier 313 model generations (Mankin et al. 2017, 2018, 2019), its magnitude appears stronger in the 314 CMIP6. The most salient indicator of this resides with GPP, which is the rate of plant 315 photosynthesis (Fig. 1a, S1). In the ensemble mean, the fully coupled COU response in WY 316 GPP is an increase of 68% over global land, reflective of the productivity benefits of 317 additional [CO₂] and the strengthening of the land carbon sink (Fig. 1a, S1). Complementing 318 these productivity gains is additional carbon allocation to the leaves of plants, as measured by 319 LAI, which increases by over 53% in the global mean (Fig. 1b, S2). The ensemble-mean 320 responses in these two quantities, GPP and LAI, share consistent increases with each model 321 in the COU run, though nuances in the spatial patterns vary (Fig. S1, S2). Notably, there is a 322 57% increase in canopy WUE, suggesting that plants are also more efficient in their water 323 consumption (Fig. 1c). While the ubiquitous signal of the biogeochemical and physiological 324 effects of [CO₂] on plants are clearly denoted by the widespread greening in **Figures 1a-c**, 325 the shared water responses are far more heterogenous. A considerable fraction of land area, 326 for example, has statistically significant WY drying in 1-m and 2-m soil moisture, as well as 327 a reduction in WY total runoff (the sum of surface and subsurface runoff in the models). For 328 example, approximately 50% of land areas have GPP and/or LAI increases collocated with 1-329 and 2-m soil moisture declines and about 40% have runoff declines and such greening (Fig. 330 **1d-f**). These results suggest that for many locations, plant growth is unimpeded by regional land water reductions. 331



332 333

Fig. 1 Plant greening (in percent change, %) and soil drying (in standard deviations of change, SD) in the ensemble mean of the 1pctCO2 coupled simulation (COU). In response to 4xCO2 (taken as the climatological difference between the last and first 30 WYs of the simulations, **Methods**), we show spatial patterns of changes in gross primary productivity, GPP (**a**), leaf area index, LAI (**b**), and ecosystem water use efficiency, WUE, calculated as the ratio of GPP to transpiration (**c**), all in percentage (%) changes. We also show the hydrologic response in 1-m soil moisture (SM₁) (**d**), 2-m soil moisture (SM₂) (**e**), and total runoff (**f**), all in standard deviation (SD) changes. Only statistically significant changes are shown (**Methods**).

342 b. Plant-relevant responses to high [CO₂] in the C4MIP experiments

Part of the power of the experimental design of the C4MIP is that it allows us to assess 343 344 why the biogeochemical and radiative responses of plants to enhanced [CO₂] can result in 345 vegetation greening and hydrological drying in the same grid points in the fully coupled COU 346 simulations (Fig. 1). To illustrate this, we examine the ensemble and global mean responses 347 of the physiological and radiative effects of increasing [CO₂] on terrestrial vegetation across 348 the three experiments, COU, BGC, and RAD (Fig. 2). As noted in Section 2a, comparing the 349 BGC and RAD runs (one simulation in which plants are responsive to increasing [CO₂] and 350 one in which they are not) to a third, fully coupled run (COU) in which all parts of the model

- 351 respond to enhanced [CO₂], allows changes in plant water use and water availability to be
- attributed to radiative (e.g., warming) versus biogeochemical (e.g., plant physiological)
- 353 effects.



Fig. 2 Ensemble mean plant-related changes in response to the biogeochemical and radiative effects of enhanced CO₂ concentrations (in parts per million, ppm). For each of the fully-coupled experiment (COU), that where the biogeochemical response is isolated (BGC), or that where radiative response is isolated (RAD), we show the ensemble-mean global-land fertilization effect, estimated from gross primary productivity (GPP, %, **a.-c.**), water use efficiency (WUE, %, **d.-f.**), atmospheric water demand, proxied by the vapor pressure deficit (VPD, hPa, **g.-i**), and the growing season length, proxied by the advance in days of the last frost (**j.-l.**).

The CO₂-fertilization effect, as proxied by GPP, prevails in the COU run (**Fig. 2a**) due to the strong BGC response (**Fig. 2b**), with no contributions from RAD effects (**Fig. 2c**). Such enhanced GPP should tend to increase ecosystem water consumption and dry out the land. Stomatal closure under high [CO₂] yields increased WUE (**Fig. 2d**) and is considered to be the dominant factor contributing to enhanced water availability under anthropogenic forcing, particularly when compared to radiative effects alone. In contrast, atmospheric water demand, as reflected in VPD (**Fig. 2g**), is driven predominantly by radiative effects (**Fig. 2i**). Plant water 13 369 consumption would also be shaped by changes to the growing season length, which increase
370 with forcing due to radiative, rather than biogeochemical, effects (Fig. 2m-o).

371

c. Nonlinear interactions between warming and [CO₂] enhance vegetation growth in CMIP6 372 373 Resolving the countervailing physiological and radiative responses presented in Figure 2 374 is critical for appraising whether plants have a net wetting or drying effect under the full 375 effects of CO₂ forcing. Such an effort, however, is complicated by the potential for nonlinear 376 interactions among the radiative and biogeochemical effects of CO₂. Prior work using earlier 377 generations of C4MIP have assumed that the BGC and RAD effects can be linearly 378 decomposed, including investigations of how plant responses shape water availability under 379 high-[CO₂] (Swann et al. 2016; Fowler et al. 2019; Arora et al. 2020; Zhou et al. 2023); 380 however, the assumption of linearity in carbon feedbacks does not hold in CMIP6 (Huang et 381 al. 2022).



Fig. 3 An emergent nonlinear term in vegetation productivity in the C4MIP **a-d**, The ensemble mean percent change in gross primary productivity (Δ GPP, %) in each of the three experiments, the coupled (COU, **a**), the biogeochemical (BGC, **b**), the radiative (RAD, **c**), and the nonlinear term (NL, **d**) that is calculated as the experimental residual all presented as a function of increasing [CO₂].

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In analyzing the linearity assumption for the CMIP6 C4MIP experiments, there is a large and significant nonlinear response of enhanced plant growth from the interaction of radiative and biogeochemical effects of high [CO₂] (**Fig. 3**). This response can be seen in **Figure 3d**, where a nonlinear term in GPP, which we term GPP_{NL}, increases by ~35% beyond that predicted by the biogeochemical or radiative effects, or their sum, alone. The magnitude of this nonlinear

- 392 GPP term is sizable—it is equivalent to ~25% of the fully coupled COU response in GPP at a
- 393 quadrupling of [CO₂].

394 While such an interaction between [CO₂] and warming is expected in the real world, sizable 395 interactions between RAD and BGC across the ESM ensemble is a new feature in CMIP6. 396 We can compare the nonlinear plant terms calculated with CMIP6 versus that from an earlier 397 generation, CMIP5. Nonlinear interactions between the radiative and biogeochemical effects 398 of high [CO₂] on carbon and plants were smaller and less uncertain in CMIP5 than in CMIP6 399 (Fig. 4). Consider for example, the change across generations in GPP_{NL} (Fig. 4a). Two 400 features are worth noting here. First is the expected and tight association between ensemble 401 variation in GPP_{NL} and LAI_{NL} across both ensembles—positive nonlinear GPP changes imply 402 positive nonlinear leaf area growth. Second, however, is that the strength of this relationship 403 and the inter-model variation in the magnitude of these nonlinear terms is considerably larger 404 in CMIP6 than in CMIP5. The ensemble spread in GPP_{NL} in the CMIP5 spans $\pm 10\%$, 405 approximately half the range found in CMIP6 (Fig. 4a). Moreover, the CMIP5 ensemble 406 range in LAI_{NL} is less than a third of that for CMIP6 (e.g., compare relative spans of the bars 407 mapped to the y-axis in Fig. 4a,b).

408 The larger uncertainty and magnitude of carbon interactions across model generations 409 suggests that the assumption that carbon feedbacks can be linearly decomposed into those 410 arising from biogeochemistry or radiative effects alone, or that any carbon feedback 411 nonlinearity is trivial does not hold for CMIP6 (Figs. 3 and 4). The large nonlinear terms in 412 GPP presented in **Figure 3** are invariably tied to the carbon cycle and are critical to 413 understand, particularly given the ongoing efforts to constrain model uncertainty in land 414 carbon responses to forcing (Hall et al. 2019; Liu et al. 2023; Wenzel et al. 2014). Here we tie 415 the nonlinear term in GPP to the change in net ecosystem exchange arising from RAD-BGC 416 interactions, NEE_{NL} (Fig. 4b). NEE_{NL} quantity is very tightly correlated with that from 417 GPP_{NL} across both the CMIP5 and CMIP6 ensembles, with a strong positive relationship 418 consistent across model generations. There is, however, a far larger range of modeled NEE_{NL} 419 values in the CMIP6 than in the CMIP5, suggesting a growing uncertainty in carbon 420 interactions across generations.



422 Fig. 4 The inter-model association between nonlinear vegetation terms in CMIP5 and CMIP6. We show the 423 variation in (a) GPP_{NL} and LAI_{NL} and (b) in NEE_{NL} and GPP_{NL} in CMIP5 (blue) and CMIP6 (red) for all 424 vegetated land areas. The full model range is mapped to each axis via the colored bars. Panels (c) and (d) 425 show the same set of results as in (a) and (b) but for the subset of models that are available for both 426 generations of CMIP (bolded models in legend): BCC-CSM 1-1 and BCC-CSM2-MR; CESM1-BGC and 427 CESM2; CanESM2 and CanESM5; IPSL-CM5A-LR and IPSL-CM6A-LR; NorESM1-ME and NorESM2-428 LM. It is clear that the CMIP6 spans nearly double the range of that from the earlier CMIP5, suggesting a 429 growth in uncertainty due to nonlinear interactions among biogeochemistry and warming across generations. 430

431 The growth in RAD-BGC interactions in CMIP6 relative to CMIP5 is perhaps 432 expected given the greater representation of terrestrial biogeochemical interactions in the new 433 model generation. However, which processes precisely are most important in determining the 434 magnitude of the RAD-BGC interaction should be a focus of future work. Hypotheses to test 435 could include whether ensemble variation in the representation of nutrient cycles, or other 436 factors, such as ecosystem disturbance, succession, and mortality account for the change from 437 CMIP5 to CMIP6. It is certainly the case that models are becoming richer in their treatment of nitrogen and phosphorous cycles and vegetation cohort dynamics (Fisher and Koven 438 439 2020). As such, a rigorous model-by-model investigation of which key model choices have 440 shaped the growing interactions between RAD and BGC changes is essential to betterconstrain the land carbon sink under warming and its consequences for water and warming. 441 At the same time, while increased model sophistication is a possible factor, an expanding 442 ensemble range in these nonlinearities could simply be a function of the larger number of 443

444 models in CMIP6 or the increase in modeling centers contributing simulations. To assess 445 whether the growing CMIP uncertainty is robust, we consider the subset of five models that 446 have the requisite data available across both generations (Fig. 4c,d). The results show that even when considering paired models across generations and the same number of models, 447 448 CMIP6 spans a far greater range of nonlinear carbon flux values than CMIP5. All five models 449 except those from the Beijing Climate Center (BCC models) show an increase in in LAI_{NL} , 450 GPP_{NL}, and NEE_{NL}—some as large as 20 percentage points—as is the case for the Norwegian 451 model (NorESM). These results suggest that carbon cycle uncertainty has expanded in the 452 CMIP6 relative to the CMIP5, suggesting a potential cost to the increased biogeochemical 453 sophistication from an ensemble perspective, even as individual models become more 454 accurate or realistic in their treatment of biogeochemistry.

455

456 *d. Nonlinear vegetation growth in CMIP6 tied to carbon feedback parameters*

The large nonlinear vegetation response to enhanced $[CO_2]$ present in CMIP6 models (Huang et al. 2022) raises questions about their implications for carbon feedbacks and land hydrology. To provide some insights into the former, we extend earlier analyses (e.g., Huang et al. (2022)) and examine two key carbon feedback metrics estimated from the C4MIP simulations, as detailed in the *Methods* (Arora et al. 2020; Jones et al. 2016): the carbonconcentration feedback, β , and the climate-carbon feedback, γ . Positive values of β or γ indicate land carbon gains in response to increasing $[CO_2]$ and temperature (**Fig. 5**).



464

Fig. 5 Nonlinear carbon feedbacks and their association with vegetation growth for global land areas in CMIP6. **a**, The inter-model association between the nonlinear term in net ecosystem exchange (NEE_{NL}) and the carbon-concentration feedback, β . **b**, The inter-model association between the nonlinear term in net ecosystem exchange (NEE_{NL}) and the carbon-climate feedback, γ . Colors indicate the magnitude of each COU model's 2-m global mean 4xCO2 surface air temperature (GMSAT) response (land and ocean). The 13 models included in the analysis are listed. Inset text in each panel indicates the R-squared derived from

471 a linear regression, shown as a red line, the significance of that regression, and the correlation between the

- 472 x- and z-axis variables. Dotted grey lines indicate the 95% prediction-based confidence interval.
- 473

474 We find that carbon nonlinearities (exemplified by NEE_{NL}) scale much more tightly with 475 model variation in the land-carbon sensitivity to climate (χ , Fig. 5b), than to higher [CO₂] 476 concentrations (β , Fig. 5a). In particular, models in which GPP is amplified by the 477 interactions of warming and [CO₂] have larger values of GPP_{NL}, larger values of LAI_{NL}, and 478 they also stimulate stronger carbon uptake than release by heterotrophic respiration (a 479 positive NEE_{NL}) relative to models with weaker nonlinear terms (Fig. 4). These same models 480 also tend to be warmer than models with weaker nonlinearities, denoted by the global mean 481 surface air temperature (GMSAT) changes from the COU in Figure 5. As a consequence, the 482 models with strong nonlinearities in carbon exchange tend to have less negative or even 483 positive values of y (Figs. 5b). Weaker carbon-climate feedbacks (y) imply either stronger 484 carbon assimilation by the land, less land carbon lost from warming-induced heterotrophic 485 respiration, or some combination thereof, making for a more resilient land-carbon sink under climate change (Fig. 5b). In contrast, models with smaller GPP_{NL} have less amplified LAI_{NL} 486 487 (Fig. 4a), weaker or negative NEE_{NL} (Fig. 4b), less warming (Fig. 5) and a more negative y 488 (from the land perspective), indicating larger land carbon losses to the atmosphere with 489 warming (Fig. 5b).

490 Decomposing NEE into its components, net primary productivity (NPP) and 491 heterotrophic respiration (RH), confirms the above interpretation and further emphasizes that 492 these nonlinear carbon terms are more tightly associated with y than with β (Fig. 6). 493 Cumulative changes in NPP and RH from the COU run, for example, tightly scale with β , 494 rather than with y, (cf. Fig. 6a,e and c,g). Such an association is expected and intuitive: β is 495 dominant in shaping the total carbon cycle response to climate change in the fully coupled 496 models (Arora et al. 2020). What is notable, however, is that this pattern reverses entirely 497 when considering nonlinear terms, such as NPP_{NL} and RH_{NL} (cf. Fig. 6b,f and d,h). Across 498 the CMIP6 ensemble, the magnitude of y tightly corresponds with the magnitude of the 499 RAD-BGC interactions terms in net primary productivity, NPP_{NL}, and respiration, RH_{NL}

500 (Fig. 6f,h). Both of those terms also shares a tight positive association with NEE_{NL} and

501 GPP_{NL} (Fig. 6i-l).



503 Fig. 6 The CMIP6 inter-model association between the components of NEE, NEE_{NL} and carbon feedbacks 504 for global land areas. We show cumulative changes in net primary productivity, NPP and NPP_{NL}, left side, 505 and heterotrophic respiration, RH and RH_{NL}, right side, all in of petagrams of carbon (PgC) and carbon 506 feedbacks, β (**a-d**) and χ (**e-h**) for each model. We also show the model-by-model association between 507 NEE_{NL} and GPP_{NL} and the components that comprise each term (i-l). Ensemble variation in NPP and RH 508 changes from the COU are tightly associated with β (a, c) (as opposed to γ (e, g)). However, the nonlinear 509 components NPP_{NL} and RH_{NL} are not associated with β (**b**, **d**). Instead, these nonlinear terms are tightly 510 associated with variation in χ (**f**, **h**), and are closely related to NEE_{NL} and GPP_{NL} (**i-l**). R-squared values 511 and the significance of each regression is shown in the top left of each panel.

512

502

513 e. Nonlinear interactions between warming and [CO₂] enhance plant-based ET

514 To examine how the nonlinear terms in vegetation growth influence ecosystem water

515 use, we use a simple hydrologic budget following earlier work (Mankin et al. 2019, 2018).

- 516 We analyze changes in how WY precipitation (P) is partitioned at the land surface among
- 517 three terms: the canopy water flux (C), total runoff (Q), and soils (S) (see Methods). To
- 518 illustrate that this budget is reasonable and that there is, in fact, closure among the terms, we
- 519 plot the ensemble mean percentage of total WY precipitation going to each term—C, Q, and
- 520 S—in the first 30 years of the COU run (Fig. 7). If there is closure, then the sum of the
- 521 partitioning ratios C/P, Q/P, and S/P should approach 100%. We find they do for most

- 522 regions, save for a small portion of High Mountain Asia (Fig. 7d), where multiyear snowpack
- 523 (Methods) is present in both observations and models (Gottlieb and Mankin 2024).



Fig. 7 Ensemble mean precipitation partitioning during the first 30 years of the 1pctCO2 (COU) simulation expressed as a percent (rather than fraction) for the canopy (C/P) (**a**), runoff (Q/P), (**a**), and soils (S/P), (**c**). The sum of the three ratios (**d**) should approach 100% assuming that at climatological WY scales as P=C+Q+S.

529

530 Maps of total changes in WY hydrologic variables (Fig. 8) and their relative changes 531 from precipitation partitioning (Fig. 9) show that nonlinear terms increase plant-based ET 532 both relatively (as a fraction of precipitation) and absolutely (in standard deviations of 533 change) in response to high [CO₂]. As expected, and consistent with the pattern of the fully 534 coupled ensemble mean response to forcing, precipitation in the COU increases in the 535 extratropical northern latitudes and equatorial Africa and Asia, with drying in the 536 Mediterranean, the Amazon, Central America, southern Africa and Chile, and uncertain 537 change elsewhere (Fig. 8a). Across most of the budget terms, P (Fig. 8a-d), C (Fig. 8e-h), Q 538 (Fig. 8i-l), and S (Fig. 8m-p), the ensemble mean pattern in the COU is primarily driven by 539 the forced climate response in the RAD, more so than the BGC or NL terms, which are

540 modest in the ensemble mean, if and where they are significant. This response is because P

- 541 changes are driven by the thermodynamic response in the RAD, whereas forced P responses
- 542 in the BGC are smaller (though still potentially important) (Skinner et al. 2017).

Fig. 8 Hydrologic changes across experiments under the water budget of P = C + Q + S, presented in standard deviations of change (SD) in response to 4xCO2. The ensemble mean response of precipitation (**a-d**), canopy water flux, C (**e-h**) total runoff (Q, **i-l**), and soils (change in 2-m soil moisture storage plus soil evaporation, **Methods**, **m-p**) in the fully coupled experiment (COU), the experiments in which the biogeochemical response is isolated (BGC), the radiative response is isolated (RAD), and the residual or nonlinear interaction between the RAD and BGC (calculated as COU-BGC-RAD). Gray regions indicate where historical peak leaf area index is below 0.1. Only statistically significant changes (K-S test, p<0.05) are shown and all maps are at the WY scale.

552 Beyond the pattern of precipitation changes, the stomatal response from higher [CO₂] in 553 the BGC run clearly leads to a global-scale reduction in plant-based ET, the C term in our 554 budget (Fig. 8f). The ubiquity of the brown contours in Figure 8f is striking, and it is this 555 response in the C4MIP BGC that gives rise to the suggestion that plant physiological 556 responses to enhanced [CO₂] will tend to enhance water availability for soils or rivers, 557 offsetting the drying from RAD effects or CO₂ fertilization. However, the NL term for plant-558 based ET, C_{NL} (Fig. 8h), shows a pattern of *increases* that roughly mirrors the *reductions* in 559 plant-based ET in the BGC run (Fig. 8f). This means that nonlinear interactions between the

560 radiative and biogeochemical effects cancel any plant-water savings from the BGC run,

561 leaving the RAD run to dominate the COU pattern. It is also noteworthy that there are

562 decreases in the S_{NL} term (Fig. 8p), which we explore further in later sections.

563

564

Fig. 9 Changes in WY precipitation partitioning at the land surface to each term in the hydrologic budget in response to 4xCO2, presented in percentage points (pp) of change. For each experiment and the NL term (columns) we show the spatial pattern of changes in the fraction of total WY precipitation directed to canopies, Δ (C/P) (**a-d**), runoff, Δ (Q/P) (**e-h**), and soils, Δ (S/P) (**i-l**) (see **Methods**). Gray regions indicate where historical peak leaf area index is below 0.1. Only statistically significant epoch changes (K-S test, p<0.05) are shown.

572 The nonlinear terms are robust in the parts of land hydrology most closely associated with 573 vegetation; this pattern also persists when we examine relative changes in precipitation 574 partitioning. The maps in Figure 9 show the change in the fraction of WY precipitation 575 allocated to each hydrologic budget term, C, Q, and S. In the fully coupled COU run, the change in precipitation partitioning to plant canopies, $\Delta(C/P)$, increases across vast swaths of 576 the globe, particularly in the northern and eastern hemispheres (Fig. 9a) as plants in those 577 578 regions demand larger fractions of each drop of precipitation. In tropical Africa and Asia, 579 canopy partitioning declines (Fig. 9a), likely due to WUE and stomatal closure effects being 580 stronger than CO₂ fertilization in the BGC runs (Fig. 9b) and because of either reduced 581 precipitation or higher VPD in the RAD runs (Fig. 9c). Changes in runoff partitioning, 582 Δ (Q/P), often called runoff efficiency or runoff ratio, show declines over much of the 583 northern and eastern hemispheres (Fig. 9e), driven predominantly by radiative warming (Fig. 584 9g), and show increases in tropical Africa and Asia through contributions from both the 585 biogeochemical and radiative effects of increasing [CO₂]. The BGC contribution to increased 586 Q/P and decreased C/P (cf. Fig. 8b, f and Fig. 9b, f) is the "plants turn on the tap" response

- 587 (Jasechko 2018), where stomatal closure and WUE increases spare water for runoff and or
- soils. Lastly, there are the changes in precipitation partitioning to soil water flux, Δ (S/P) (Fig.
- 589 9i-k), which outside of western North America, shows an inverse pattern to runoff
- 590 partitioning (cf. Fig. 9e, i) driven largely by radiative effects (Fig. 9k). Because the
- 591 partitioning ratios sum to unity (Fig. 7), changes are bounded such that increases in one term
- 592 must be compensated by decreases in another.
- 593 Importantly, changes in precipitation partitioning at the land surface for the COU run do
- 594 not equal the sum of changes in the BGC and RAD components. A large, positive, and
- 595 statistically significant (C/P)_{NL} spans most regions globally in the C4MIP (Fig. 9d), a feature
- that is associated with decreased $(Q/P)_{NL}$ and, primarily, $(S/P)_{NL}$ (Fig. 9h and I). Increases in
- 597 $\Delta(C/P)_{NL}$ shown in Figure 9d arise from some combination of changes in transpiration and
- 598 leaf evaporation, which are combined in the C term (**Methods**).

599

Fig. 10 WY precipitation partitioning and its changes across experiments to the components of plantbased ET in percentage points of change (pp). The ensemble mean low [CO₂] partitioning, defined as the first 30 years of the 1pctCO2 (COU) run (first column, **a**,**f**) and then its change in the COU (second column, **b**,**g**), BGC (third column, **c**,**h**), RAD (fourth column, **d**,**i**) simulations, and then in the NL term (fifth column, **e**,**j**). We show the response for transpiration partitioning (first row **b**-**e**, calculated as WY changes, Δ (TRAN/P)) and leaf evaporation partitioning (second row, **g**-**j**, calculated as Δ (E_L/P)).

- 607 To examine the independent changes, we decompose the partitioning of precipitation in 608 the C term in Figure 10. It is clear that positive (C/P)_{NL} changes are driven by nonlinear 609 increases in precipitation partitioning to transpiration, though important contributions also come from increased canopy evaporation (Fig. 10e, j). In other words, while BGC responses 610 611 alone are associated with plant responses that diminish transpiration and thus spare water for 612 runoff and soil moisture (cf. Fig. 9b, f, j), that alone does not shape plant-based ET changes. 613 Nonlinear interactions between BGC and RAD responses favor relative increases in plantbased ET to the sacrifice of runoff and soil moisture when controlling for precipitation 614 changes (cf. Fig. 9d,h,l), predominantly by enhancing transpiration (Fig. 10e). The 615
- 616 consequence of RAD and BGC interactions is an increase in plant-based ET relatively and

absolutely, reducing soil water (Figs. 10p and 9l), and to a lesser extent, runoff ratio (Fig.
9h).

619 It is notable that this nonlinear increase in relative plant water use is insensitive to the 620 sign of precipitation change (Fig. 11). To make this assessment, we divide global grid points 621 into regions where precipitation increases versus where it decreases based on COU response 622 (Fig. 11a,b, Methods). For each of these domains, we present the area-weighted average 623 response as a function of [CO₂] in each experiment as well as the NL term (Fig. 11c-h). 624 Regardless of the precipitation change, there is a significant increase in precipitation that is 625 partitioned to plants from the nonlinear interactions between the radiative and 626 biogeochemical effects of [CO₂] (Fig. 11c,d, bolded pink lines). It is also interesting that in 627 regions with decreased precipitation, there is a significant decrease in runoff ratio from the 628 NL term that emerges under high [CO₂], countering any increase in runoff partitioning from 629 the BGC (cf. the pink and green lines in Fig. 11f). There is essentially no significant 630 contribution of enhanced runoff ratio in precipitation positive regions (Fig. 11e), suggesting 631 that average WY precipitation increases alone are insufficient to increase runoff efficiencies. 632 Instead, we find that it is increases in extreme precipitation that are key to increasing runoff 633 ratio (Fig. S4).

634 Regardless of the precipitation change, the NL term acts to decrease precipitation 635 partitioning to soils, denoted by the significantly declining pink lines (Fig. 11g,h). 636 Nevertheless, the direction of precipitation change appears to shape the extent to which 637 runoff efficiency or soil partitioning is affected, with precipitation decreases leading to an 638 increase in nonlinear partitioning to plants $(C/P)_{NL}$ (pink line in Fig. 11d), and a decrease in 639 nonlinear partitioning to runoff $(Q/P)_{NL}$ and soils $(S/P)_{NL}$ (pink lines in Fig. 11f, and h, 640 respectively). Together, these results indicate that the NL increase in plant-based ET occurs 641 regardless of precipitation change and can diminish water partitioning to soils and runoff. 642 This response occurs in spite of enhanced WUE and surface resistance to ET that are thought 643 to be key to runoff enhancement or drought-risk reductions (Roderick et al. 2015; Swann et 644 al. 2016; Fowler et al. 2019). Where canopy partitioning does decrease, such as from the 645 BGC effect (insignificant green lines in Fig. 11c,d), the nonlinear term acts to weaken or completely counter that effect (significant pink lines in Fig. 11c,d). 646

Fig. 11 Precipitation partitioning responses in percentage point change (pp) to increasing [CO₂] in ppm. Maps show the regions of ensemble mean precipitation increases in blue (a) and decreases in red (b) from the COU run. These maps define the domains over which we evaluate the ensemble mean changes in each experiment for each quantity presented in panels c-h. The ensemble mean area-weighted regional average responses in percentage points [pp] of canopy partitioning, C/P (c-d), runoff partitioning, Q/P (e-f), and soil partitioning S/P (g-h) in precipitation increasing (a) and decreasing (b) regions as a function of [CO₂]. Ensemble statistical significance is presented as a bolded line (Methods).

655 The changes in precipitation partitioning from nonlinear interactions between the RAD 656 and BGC runs generate wide changes in land hydrology. To illustrate the connection between 657 the relative changes presented in Figures 9-11 and the changes presented in Figure 8, we 658 divide global land areas into three regions, one in which ensemble mean COU changes in 659 canopy partitioning are positive (called " Δ (C/P) positive region"), one in which changes in 660 runoff partitioning are positive (or " $\Delta(Q/P)$ positive region"), and one in which changes in 661 soil partitioning are positive (or " Δ (S/P) positive region") (Fig. 12a-c, S4). We note two 662 revealing patterns of hydrologic change: Firstly, the precipitation increase in the $\Delta(Q/P)$ 663 positive region is far larger than in the $\Delta(C/P)$ positive region, as precipitation changes have a 664 first-order control on enhancing runoff ratio and total runoff, even in the BGC run (cf. **Fig.**

665 **12d and e** with **Fig. 12k and l**).

666

Fig. 12 Total hydrologic changes in mm per WY across experiments and the nonlinear terms in response to increasing [CO₂] in ppm. Maps (**a-c**) of regions where the ensemble mean partitioning increases for Δ (C/P), Δ (Q/P), and Δ (S/P), based on the COU 4xCO2 response (see maps in **Fig. 9**, **a**, **e**, **i**). The maps in (**a-c**) define the domains over which we evaluate the ensemble mean change in total hydrologic quantities in mm per WY presented in panels **d-p**, linking how the partitioning changes shape total changes in C, Q, and S. For each partitioning increase region, we show the time series of ensemble mean precipitation changes (**d-f**), canopy water flux, C, changes (**h-j**), runoff, Q, changes (**k-m**) and soil water, S, changes (**n**-

p) in each experiment and the nonlinear term. Ensemble statistical significance in the time series is presented as a bolded line (**Methods**).

677 The $\Delta(S/P)$ positive regions contrast with the $\Delta(C/P)$ and $\Delta(Q/P)$ positive regions in 678 that they have no statistically significant change in precipitation in the COU run (Figure 12f). 679 Secondly, and consistent with the nonlinear term in carbon and in plant-based ET, our results 680 also demonstrate a clear-and previously unaccounted for-significant nonlinear increase in 681 the canopy water flux, C (and its components: transpiration and leaf evaporation), regardless 682 of the partitioning region (bolded pink lines in Fig. 12h-j). Such ubiquity suggests that the 683 nonlinear term in canopy partitioning, or $\Delta(C/P)_{NL}$, is associated with a positive nonlinear 684 term in the absolute plant-based ET (ΔC_{NL}) as well, regardless of whether canopy partitioning 685 increases in the COU run. These changes are sizable, amounting to more than 50% of the 686 canopy water flux response in the COU experiment. The widespread increase in plant-based 687 ET from interactions between BGC and RAD runs cancel or exceed any water savings that 688 accrue in the BGC runs from stomatal closure in the CMIP6 across precipitation changes 689 (Fig. 11), or hydrologic partitioning changes (Fig. 12).

690

691 Nonlinear plant-based ET reduces soil moisture while runoff is controlled by precipitation

To connect our two sets of results—the nonlinear vegetation growth from carbon feedbacks (**Fig. 3-6**) and the nonlinear hydrologic changes (**Figs. 8-12**)—we examine intermodel global changes in C, Q, and S, as well as their grid-point responses to evaluate whether they are consist across model spatial scales (**Fig. 13**).

696 At the global scale, and across the C4MIP ensemble, the magnitude of C_{NL} is significantly and positively correlated with LAI_{NL}, accounting for over 50% of the inter-697 698 model variation in the C4MIP (Fig. 13a). Positive leaf-area nonlinearities (LAI_{NL}) are closely 699 tied to nonlinear changes in GPP_{NL} and by extension, to the carbon feedbacks (Figs. 4-6), 700 suggesting the RAD-BGC interactions in carbon cycle processes amplify plant growth and 701 consequent ET. We pool all model grid points together, bin them into their LAI_{NL} and C_{NL} 702 responses, and calculate the area-weighted average of $\Delta(C/P)_{NL}$ across all model grid points 703 falling in each LAI_{NL} and C_{NL} bin (Fig. 13b, Methods). Across spatial scales there is a clear 704 positive correlation between nonlinear leaf area increases, nonlinear canopy water fluxes 705 from plant-based ET, and nonlinear precipitation partitioning to plants (C/P). The positive 706 association among all three is strong, suggesting that plant growth nonlinearities influence

507 both the relative and absolute increases in simulated ecosystem water use across models,

regions, climates, and biomes.

709

710 Fig. 13 Linking plant greening to soil drying in the C4MIP. a, The inter-model association between the 4xCO2 response in the nonlinear LAI term (LAI_{NL}, %) and the nonlinear canopy water flux term (C_{NL}, mm 711 712 WY^{-1}) over global land areas. **b**, The model-grid point association among LAI_{NL} term and C_{NL}. Colors indicate area-weighted model-grid point average change in nonlinear canopy partitioning (C/P)_{NL} in 713 714 percentage points (pp). c, The inter-model association among 4xCO2 changes in total canopy throughfall, 715 calculated as the difference between WY precipitation and WY leaf evaporation ($P-E_{L}$, mm WY⁻¹) and 716 total runoff (mm WY⁻¹) in the COU run. **d**, As in **b**, except showing the model-grid point association 717 among precipitation throughfall, runoff, and runoff partitioning (colors) from the COU run. e, The intermodel association among the C_{NL} (mm WY⁻¹) and the nonlinear soil water flux (S_{NL}, mm WY⁻¹). **f**, as in **b** 718 719 and d, but showing the model-grid point association between C_{NL} and S_{NL} . Colors indicate the nonlinear 720 soil partitioning, (S/P)_{NL}. In **a**, **c**, and **e**, R-squared and p-values are reported. Model numbers are the same 721 as presented in Figure 4-6. In b, d, and e, red text indicates the percentage of pooled model-grid points 722 falling into that quadrant.

In contrast to the canopy water flux from plant-based ET, there is little nonlinearity

- that emerges in runoff efficiency or runoff itself, and—where it exists—it tends to be
- negative or insignificant, implying that interactions among the RAD and BGC weakly reduce

726 runoff (e.g., Figs. 9h, 12k-m). Because there is not a strong nonlinear runoff term to explain, 727 we instead focus on the factors determining total runoff in the fully-coupled COU run (Fig. 728 **13c,d**). While the assumption has always been that plant-driven runoff enhancements were 729 conditioned on precipitation changes, precipitation, not plants, is the dominant factor shaping 730 runoff changes across the ensemble, even in the BGC run where plant effects are thought to 731 be central to hydrologic changes (Fig. 11-13). Nonlinear vegetation responses do appear to 732 shape runoff responses in regions with precipitation decreases, reducing runoff ratio or 733 countering any runoff ratio gains from the BGC run (Fig. 11f, pink line). This implies that in 734 the models, plants take their share of precipitation regardless of precipitation change, which 735 in turn cuts into the runoff allocation where precipitation is limited. In contrast, runoff 736 appears to increase primarily through increased precipitation (Figs. 12e, I) and canopy 737 throughfall, with the latter estimated as the difference between precipitation and leaf 738 evaporation (Fig. 13c, d).

739 The relationship between throughfall, runoff, and runoff partitioning is clear at both the inter-model and model grid-point scales, as enhanced throughfall increases runoff (Figs. 13c, 740 741 d). As such, in regions where increased runoff efficiency does occur, these changes are 742 associated with precipitation changes that more than compensate for canopy interception and 743 leaf evaporation changes, or have larger increases in extreme precipitation relative to other 744 regions (Fig. S4). For example, changes in extreme precipitation changes (defined as the 745 maximum total precipitation (mm) occurring over a five-day period in each WY) in $\Delta(Q/P)$ 746 positive regions are 50% larger than in Δ (C/P) positive regions, driven by a strong radiative 747 response in those regions (Fig. S4d,e). This is consistent with earlier work that showed that 748 the strength of extreme precipitation change accounts for the strength of runoff efficiency 749 changes in models (Mankin et al. 2018).

750 We find that plant changes due to interactions of the RAD and BGC runs act to draw 751 down soil water, drying the surface (Fig. 13e-f). Global-scale inter-model decreases in the 752 nonlinear soil term (S_{NL}) are tightly associated with increases in the nonlinear canopy water 753 flux term (C_{NL}) (Fig. 13e). The result implies that the resultant increase in plant-based ET 754 (e.g., Fig. 13a,b) comes at the expense of soil water. This relationship holds across grid 755 points and models, as positive nonlinear canopy water use is associated with decreases in 756 nonlinear soil partitioning, (S/P)_{NL} (colors in Fig. 13f). This implies that the strength of the 757 greening and drying pattern in the CMIP6 ensemble (Fig. 1) is, in part, a function of the

nonlinear increase in plant growth and the associated increase in plant-based ET, which leadsto soil water reductions in the CMIP6 ensemble.

760 **4. Discussion & Conclusions**

761 Relative to the CMIP5, the latest generation of CMIP6 ESMs simulate larger interactions 762 between the radiative and biogeochemical effects of [CO₂] (Huang et al. 2022) (Fig. 4). 763 There are a number of potential reasons for the growth in these interactions, from varied sophistication in nutrient cycling schemes, or mortality, disturbance, and succession, among 764 765 others. Irrespective of the precise reasons for these emergent interactions between the 766 radiative and biogeochemical effects of CO_2 , they lead to larger and more uncertain nonlinear 767 terms in plant growth and net ecosystem exchange that are associated with a reduced 768 sensitivity of the land carbon feedbacks to warming across the ensemble (Fig. 5). These plant 769 nonlinearities have consequences for hydrology (Figs. 8-13): leaf areas increase beyond what 770 would be predicted by the sum of CO₂ fertilization and radiative effects alone, leading to 771 *increased* ecosystem water use, both absolutely (as total plant-based ET increases) (Fig. 8) 772 and relatively (as plant-based ET comprises an increasing fraction of precipitation) (Fig. 9). 773 These increases in plant-based ET from interactions among RAD and BGC contribute to 774 land-surface drying (Figs. 11-12) or counter any gains from increased ecosystem WUE. 775 Vegetation in these latest simulations only increases water availability when comparing 776 radiative and biogeochemical simulations in isolation (Swann et al. 2016; Scheff et al. 2021). 777 When radiative and biogeochemical responses can interact in simulations as they do in the 778 real world, the models suggest that vegetation and its water use counter (or exceed) any 779 increases in runoff or soil moisture from stomatal closure in the BGC runs. In the fully 780 coupled simulations, plants and their responses to [CO₂] generally dry the land.

These results suggest that the curious global pattern of vegetation greening and land surface drying (Mankin et al. 2017) projected by the models appear to be internally consistent, meaning that the soil drying is, in part, associated with enhanced greening (Figs. 1, 13). It does not suggest, however, that they are reflective of what will occur in the real world. Future investigations should consider the set of processes governing carbon uptake and release by the land (Fig. 6), and how this has evolved across the CMIP5 to CMIP6 ensemble resulting in a weaker climate-carbon feedback (Fig. 5b). 788 That there are nonlinear interactions among nutrient cycles and warming is not surprising 789 given the long history of work on feedbacks and dynamics in the plant physiology, ecology, 790 and nutrient cycle communities (Burkett et al. 2005; Field et al. 1995, 2007). It is also not 791 surprising that the magnitude of and uncertainty in such interactions increase with model 792 sophistication in representing these processes (Fisher and Koven 2020; Zaehle et al. 2015). 793 However, the emergence of sizable nonlinear interactions in carbon feedbacks appears to be a 794 new feature of the latest generation of CMIP relative to earlier generations (e.g., Fig. 4) 795 (Huang et al. 2022). While new parameterizations likely make land surface schemes more 796 reflective of real-world processes, how they interact to shape model responses and accuracy 797 requires continued evaluation. Certainly, in this instance, the C4MIP range in the magnitude 798 of nonlinear carbon responses has increased relative to CMIP5, and the reasons for this 799 change are a worthy subject of future work.

800 Our findings reinforce a more widespread need for the perturbed parameter ensembles 801 being pursued by researchers in the land surface modeling community (Zarakas et al. 2024; 802 McNeall et al. 2024). As science seeks to understand the independent and interactive effects 803 of these parametrizations schemes around plants, nutrients, and hydrology, such interactions 804 can shape very different model answers, even within the same land surface model (Zarakas et 805 al. 2020, 2024). Our results here emphasize a point relevant to these efforts: one-at-a-time 806 perturbed parameter ensembles, while computationally feasible, may actually be insufficient 807 to characterize the importance of any one land surface parameter, owing to interactive effects. 808 Instead, "global variance-based" sensitivity analyses (Saltelli 2000; Saltelli et al. 2010) 809 should be pursued, where modelers jointly and independently perturb carbon, plant, and 810 water parameters to evaluate not just the contribution of any one parameter to the distribution 811 of model answers, but how interactions among parameters shape uncertainties in model 812 responses. These ensembles could help identify the parameters most culpable for uncertainty 813 in RAD and BGC interactions and position their benchmarking to the real world.

Despite a remaining need to investigate the processes underpinning the uncertain carbon, plant, and water interactions across model generations, there are some key takeaways from our work. Most importantly, our results demonstrate that making plant water consumption assessments based on radiative or biogeochemical effects alone neglects a sizable interaction in the latest generation of models. Ignoring this interaction will lead to a misdiagnosis of the simulated drivers of the water balance and how that is shaped by nonlinear features in the 820 land carbon response to forcing. While some previous work on amplified runoff responses to 821 plant physiological effects noted a small nonlinearity in the CMIP5 version of the C4MIP

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experiments or constrained their analyses to regions where such nonlinearity was small

823 (Fowler et al. 2019), this nonlinear term has doubled in size for CMIP6 (Fig. 4). As such, in

824 current model generations, independently comparing the responses in the RAD and BGC

825 simulations can lead to the incorrect conclusion that plant responses to enhanced $[CO_2]$ yield

826 a net wetting effect.

827 As ESMs incorporate more sophisticated processes (Fisher and Koven 2020), 828 nonlinearities are emerging with hydrologic consequences. Furthermore, considerable 829 uncertainties in land carbon and hydrology stem from model representations of phenology, 830 nutrient limitations, soil microbial and respiratory processes, vegetation mortality from 831 wildfire, insects and pathogens, drought stress, wind storms, and post-disturbance 832 successional processes (Ziehn et al. 2021; Sanderson and Fisher 2020; Albrich et al. 2020; 833 Trugman et al. 2018; Zaehle et al. 2015; Fisher and Koven 2020). To better represent the land 834 carbon sink and its implications for water availability for people and ecosystems, model 835 development is rightfully focused on improving representation of the soil water-plant-836 atmosphere continuum (Clark et al. 2015) and plant mortality and succession (Anderegg et al. 837 2013; Trugman et al. 2018; Williams et al. 2022). To what extent these processes collectively 838 generate nonlinear feedbacks in the real world is poorly understood and must be validated. At 839 the same time, greater model sophistication may improve the realism of any one model, it 840 may also give rise to additional poorly understood nonlinear feedbacks, which may, or may 841 not, be reflective of the real world. Identification of these nonlinearities and their 842 consequences, as we have done here, is an essential step and creates a scientific imperative to 843 understand the genesis and validity of the emerging and pronounced nonlinearities in our 844 state-of-the-art ESMs.

845

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862 Data Availability Statement.

All CMIP5 and CMIP6 C4MIP data that support this study are publicly available at: <u>https://esgf-node.llnl.gov/</u>. All code that supports this study will be made available upon reasonable request.

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Supplemental Material:

Nonlinear carbon feedbacks in CMIP6 and their impacts on future freshwater availability

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Fig. S1 Climatological GPP and its change in the 1pctCO2 fully coupled simulation (COU) for each model in the analysis and the ensemble mean (rows). Columns correspond to the climatological water-year (WY) mean of GPP in the first 30 years, last 30 years, and difference among the two periods for each simulation.

Fig. S2 Climatological LAI and its change in the 1pctCO2 fully coupled simulation (COU) for each model in the analysis and the ensemble mean (rows). Columns correspond to the climatological water-year (WY) mean of LAI in the first 30 years, last 30 years, and difference among the two periods for each simulation.

Fig. S3 Climatological water-year (WY) changes in response to 4xCO2 in the S term used in the analysis, defined as the sum of soil evaporation and the first difference of 2-m soil moisture (**a-d**), versus the S term when it is calculated as the residual of the difference between P, C, and Q (**e-h**). The last row (**i-l**) shows the difference between the two estimates of the S term.

Fig. S4 Changes in extreme precipitation (**d-f**) as a function of increasing $[CO_2]$ in $\Delta(C/P)$, $\Delta(Q/P)$, and $\Delta(S/P)$ regions (**a-c**) based on the COU run (see maps in **Fig. 9**, **a**, **e**, **i**). Significance in the time series is presented as a bolded line where the 30-year rolling ensemble mean change is greater than one standard deviation of changes across the ensemble at that time.