1	Contribution of AMOC Decline to Uncertainty in Global Warming via
2	<b>Ocean Heat Uptake and Climate Feedbacks</b>
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4	Lily C. Hahn, <sup>a</sup> Nicholas J. Lutsko, <sup>a</sup> Ian Eisenman, <sup>a</sup> Matthew T. Luongo, <sup>a,b</sup> Shang-Ping Xie <sup>a</sup>
5	<sup>a</sup> Scripps Institution of Oceanography, San Diego, CA
6	<sup>b</sup> Cooperative Institute for Climate, Ocean, and Ecosystem Studies & School of Oceanography, University of
7	Washington, Seattle, WA
8	
9	
10	Corresponding author: Lily C. Hahn, lihahn@ucsd.edu
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ABSTRACT

13 Climate models vary widely in projections of 21st century global warming and 14 projections of the Atlantic Meridional Overturning Circulation (AMOC). However, the extent 15 to which this uncertainty in AMOC contributes to uncertainty in warming has not yet been 16 quantified. To investigate this, we perform climate model experiments that increase CO<sub>2</sub> 17 concentrations while imposing the range of AMOC declines found in the Coupled Model 18 Intercomparison Project Phase 6 (CMIP6). We find that intermodel spread in the AMOC 19 decline, imposed within a single model, reproduces 20% of the total intermodel spread in 20 global warming. An idealized energy-balance model indicates that changes in ocean heat 21 uptake and climate feedbacks contribute approximately equally to enhanced warming in our 22 experiment with a smaller AMOC decline. A smaller AMOC decline produces greater ocean-23 to-atmosphere heating in the North Atlantic, which increases near-surface warming and 24 reduces the lower-tropospheric stability to produce less-negative lapse-rate and shortwave 25 cloud feedbacks. In the northern tropics, surface warming is enhanced by the wind-26 evaporation-SST feedback and by more-positive longwave cloud and water vapor feedbacks 27 due to a northward shift of the Intertropical Convergence Zone. A Green's function analysis confirms that a less-negative global feedback with a smaller AMOC decline is predominantly 28 29 driven by sea-surface warming in the extratropical North Atlantic. Spread in the AMOC 30 decline is correlated with similar differences in ocean heat uptake and climate feedbacks 31 across CMIP6 models as in our experiments. These results suggest that model uncertainty in 32 global warming may be substantially reduced by constraining projections of AMOC. 33 34 35 36 37 38 39 40 41

# 42 **1. Introduction**

43 Projected 21<sup>st</sup> century global warming varies by more than a factor of two in the latest generation of climate models participating in the Coupled Model Intercomparison Project 44 45 Phase 6 (CMIP6; IPCC AR6). A central goal of climate science is to constrain this spread in 46 the modeled climate response by better understanding and predicting the processes that 47 contribute to it. Intermodel spread in transient warming is driven not only by uncertainty in 48 radiative forcing and climate feedbacks, but also by uncertainty in ocean heat uptake 49 (Geoffroy et al., 2012; Gregory and Mitchell, 1997; Gregory and Forster, 2008; Gregory et al., 2024; Lutsko and Popp, 2019; Raper et al., 2002). In particular, transient warming is 50 51 sensitive to the strength of the Atlantic Meridional Overturning Circulation (AMOC), a 52 system of ocean currents characterized by northward flowing surface waters and deep sinking 53 in the subpolar North Atlantic. Models robustly project a decline in the AMOC under 54 increased CO<sub>2</sub> forcing, with a larger decline in models that simulate a stronger present-day 55 AMOC (Figure 1a,b; Gregory et al., 2005; Weijer et al., 2020). However, there is 56 considerable intermodel spread in the strength of the mean-state AMOC and the degree of its 57 decline (Figure 1a,b; Bellomo et al., 2021). In CMIP6 models under abrupt CO<sub>2</sub> quadrupling, 58 this decline ranges from 17 to 82% of the mean-state strength (Figure S1). 59 Past studies indicate a significant effect of the present-day AMOC (Kostov et al., 2014; 60 He et al., 2017) and its decline (Liu et al., 2020; Rugenstein et al., 2013; Vellinga and Wood, 61 2008; Winton et al., 2013) on transient warming. Using idealized model experiments with 62 CO<sub>2</sub> concentrations rising at 1% per year, Trossman et al. (2016) estimate that at the time of 63 CO<sub>2</sub> doubling, a 25% decline in AMOC reduces global-mean surface warming by 20%. In 64 CMIP6, models with a weak mean-state AMOC tend to have a weak AMOC decline  $(r^2=0.53)$  and large global-mean warming  $(r^2=0.24)$  under increased CO<sub>2</sub> forcing (Figure 1; 65 regressions calculated for averages over years 85-115 of *abrupt-4xCO2* simulations). 66 67 However, these CMIP6 experiments include many other factors that influence intermodel 68 spread in transient warming, making it difficult to isolate the role of AMOC and its decline. It 69 is unclear how the effects of AMOC modeled in idealized experiments scale to the range of 70 intermodel spread found across CMIP6 models: the contribution of uncertainty in AMOC to

71 uncertainty in global transient warming has not yet been quantified.

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Figure 1. Intermodel spread across 28 CMIP6 models in (a) the AMOC strength (Sv) in a preindustrial control experiment (*piControl*); and (b) the AMOC decline (Sv) and (c) global-mean near-surface warming (°C) in an abrupt CO<sub>2</sub> quadrupling experiment (*abrupt-4xCO2*) compared to the *piControl* experiment. Models are sorted from weakest (blue lines) to strongest (red lines) *piControl* AMOC strength, which is calculated as the maximum in the ocean meridional overturning mass streamfunction in the Atlantic north of 30°N and at depth greater than 500 m (e.g., Liu et al., 2020). The CESM2 CMIP6 simulations (solid black lines) and our CESM2 dehosing experiment (dashed black lines) are overlaid.

82 AMOC mediates the rate and pattern of ocean heat uptake, which can impact global 83 warming both directly and by changing the global climate feedback. Specifically, the AMOC 84 decline may impact the global feedback by preferentially cooling the Northern Hemisphere 85 high latitudes, a region with strong amplifying feedbacks (Armour et al., 2013; Bitz et al., 2012; Marshall et al., 2015; Winton et al., 2010, 2013), or by changing local feedbacks 86 87 themselves (Rose et al., 2014; Winton, 2003). Past studies point to a key role for the 88 shortwave cloud feedback: when cloud feedbacks are held fixed, the global cooling response 89 to the AMOC decline is reduced by 30-60% (He et al., 2017; Trossman et al., 2016; Zhang et 90 al., 2010). However, the extent to which the AMOC decline impacts other climate feedbacks, 91 the mechanisms of this feedback response, and this response's robustness and contribution to 92 the spread across the latest generation of climate models remains to be explored.

93 To quantify and mechanistically investigate the role of model uncertainty in AMOC for 94 uncertainty in near-future warming, we use novel experiments that impose the CMIP6 range 95 in AMOC declines within a single climate model. We use an idealized energy-balance model 96 to assess the relative role of changes in ocean heat uptake and atmospheric feedbacks for 97 global warming in these experiments. Finding an important role for atmospheric feedback 98 changes, we investigate what drives these changes and how they compare to AMOC-related 99 feedback differences across climate models in CMIP6. Our results have direct implications 100 for how constraining ocean circulation in climate models may improve projections of climate 101 feedbacks and near-future warming.

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# 103 2. CESM2 Experiments

## 104 a) Experimental design

105 We perform experiments with the fully-coupled Community Earth System Model Version 106 2 (CESM2) at a nominal 1° horizontal resolution (Danabasoglu et al., 2020). This model uses 107 the Community Atmosphere Model Version 6 (CAM6), the Parallel Ocean Program Version 108 2 (POP2; Smith et al., 2010), the Los Alamos Sea Ice Model Version 5.1.2 (CICE5; Hunke et 109 al., 2015), the Community Ice Sheet Model Version 2.1 (CISM2.1; Lipscomb et al., 2019), 110 the Community Land Model Version 5 (CLM5; Lawrence et al., 2019), and the Model for 111 Scale Adaptive River Transport (MOSART; H. Y. Li et al., 2013). 112 We use the CESM2 preindustrial control (*piControl*) and abrupt CO<sub>2</sub> quadrupling 113 (*abrupt-4xCO2*, abbreviated here as 4xCO2) experiments from the CMIP6 archive. The 114 CESM2 4xCO2 experiment has one of the largest AMOC declines of the CMIP6 models 115 (solid black line, Figure 1b). To capture the range in AMOC declines across CMIP6, we 116 perform an additional experiment in CESM2 (dehose4x) that abruptly quadruples CO<sub>2</sub> while

simultaneously removing surface freshwater over the Arctic and north of 50°N in the North

118 Atlantic. While traditional freshwater hosing experiments add a freshwater flux to the

119 Northern Hemisphere high latitudes to simulate an AMOC decline, *dehose4x* removes

120 freshwater to reduce the AMOC decline. We perturb the freshwater budget using a virtual

121 salinity flux and apply a compensating salinity flux over the rest of the global ocean to

122 maintain constant global salinity, using a spatial hosing pattern from the North Atlantic

123 Hosing Model Intercomparison Project (NAHosMIP; Figure 1a in Jackson et al., 2023). The

124 *dehose4x* experiment applies a constant -0.5 Sv freshwater flux to reproduce the smallest

125 AMOC decline seen in CMIP6 (dashed black line, Figure 1b). We can then use the difference

between the *dehose4x* and *4xCO2* experiments to assess how the CMIP6 spread in AMOC

127 decline, imposed within a single model, impacts global warming. These experiments span

128 most, but not all, of the CMIP6 spread in AMOC decline, as several models have a larger

129 AMOC decline than CESM2; imposing the full spread may produce a larger impact on

130 warming.

131 We run the *dehose4x* experiment for 115 years and show anomalies for the *dehose4x* – 132 4xCO2 experiments averaged over years 85-115. We run this experiment for a shorter period 133 than the typical 150-year 4xCO2 experiments in CMIP6 to conserve computing resources, as



Figure 2. (a) Ocean-to-atmosphere heating anomaly in the CESM2 dehose4x - 4xCO2 experiments; (b) ocean-to-atmosphere heating anomaly in the abrupt4xCO2 - piControl CMIP6 experiments, regressed against the anomaly in AMOC strength across CMIP6 models and scaled by the CESM2 dehose4x - 4xCO2 anomaly in AMOC strength; and (c) the difference between panels a and b (W m<sup>-2</sup>). All anomalies are averaged over years 85-115 after CO<sub>2</sub> quadrupling.

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the intermodel spread in AMOC decline across CMIP6 models for years 85-115 captures
most of the spread at year 150. We calculate anomalies during corresponding time periods
between each CO<sub>2</sub> quadrupling experiment and a 21-year running average of *piControl* to
account for model drift (Caldwell et al., 2016; Zelinka et al., 2020).

## 145 b) Impacts on ocean heat uptake compared to CMIP6

146 We first consider the extent to which these two experiments with a single model are representative of AMOC impacts across CMIP6 models. Specifically, do ocean heat uptake 147 anomalies associated with AMOC differences in CESM2 resemble the spread in ocean heat 148 149 uptake associated with AMOC differences across CMIP6 models? We diagnose differences 150 in ocean-to-atmosphere heating for the dehose4x - 4xCO2 experiments using net surface heat 151 flux anomalies (Figure 2a). In comparison, we regress anomalies in ocean-to-atmosphere 152 heating against anomalies in AMOC strength across CMIP6 models for the 4xCO2 – *piControl* experiments, then scale this CMIP6 regression (in W m<sup>-2</sup> Sv<sup>-1</sup>) by the *dehose4x* – 153 154 4xCO2 difference in AMOC strength (in Sv) to compare it with heating anomalies in the CESM2 experiments (in W m<sup>-2</sup>; Figure 2b). 155 The pattern of heating anomalies in our CESM2 experiments is remarkably similar to that 156

across CMIP6 models: a smaller AMOC decline (which results in a stronger AMOC)

158 produces greater ocean-to-atmosphere heating in the subpolar and eastern subtropical North

- 159 Atlantic (Figure 2a,b). Compared to CMIP6 models, the CESM2 experiments tend to produce
- 160 less heating in the Arctic Ocean and Labrador Sea (Figure 2c). Some of this discrepancy may
- 161 result from model differences in the location of deep ocean convection, which occurs in the
- 162 Labrador Sea in CESM2 but elsewhere in many other models (Liu et al., 2024). Sea ice
- 163 differences may also contribute to weaker ocean-to-atmosphere heating in the Arctic in the
- 164 CESM2 experiments than in CMIP6 models. CESM2 has insufficient sea ice in *piControl*

(Kay et al., 2022) and very little sea ice by year-100 of either the CESM2 4xCO2 or dehose4x
experiments (not shown). In contrast, CMIP6 models with a weak AMOC decline tend to

167 have a weak mean-state AMOC that supports more climatological sea ice. As a result, greater

168 northward heat transport associated with the weaker AMOC decline in these models can

169 produce larger sea-ice loss and increased ocean-to-atmosphere heating in the Arctic (Lin et

al., 2023). There are additional, smaller differences in the North Atlantic between our

171 CESM2 experiments and CMIP6 models. However, the impact of AMOC on ocean-to-

atmosphere heating within CESM2 largely resembles the relationship between AMOC and

173 ocean-to-atmosphere heating across CMIP6 models.

# 174 **3. Impact of AMOC Decline on Global Warming**

### 175 *a)* Global warming

176 Imposing the intermodel spread in AMOC decline within a single model produces a 1°C 177 difference in global near-surface warming, which is more than 20% of the total intermodel 178 spread in warming in CMIP6 (averaged over years 85-115 after CO<sub>2</sub> quadrupling; Figure 1c, 179 black lines). A weaker AMOC decline in *dehose4x* compared to 4xCO2 warms the surface in 180 the Northern Hemisphere, particularly at high latitudes, and slightly cools the Southern 181 Hemisphere (Figure 3a). Regions with the largest ocean-to-atmosphere heating anomalies 182 (Figure 2a), i.e. the subpolar North Atlantic, experience the largest surface warming, but 183 many regions with negative ocean-to-atmosphere heating anomalies in the Northern 184 Hemisphere still exhibit atmospheric warming. This opposite ocean heating and surface 185 warming response suggests that ocean heat transport changes are a primary, but not sole, 186 driver of enhanced surface warming with a stronger AMOC. Beyond ocean heating, surface 187 warming may also be amplified by changes in atmospheric feedbacks. 188 b) Relative roles of ocean heat uptake and climate feedbacks for warming response to AMOC

Changes in both ocean heat uptake and climate feedbacks may contribute to larger nearfuture warming in experiments with a stronger AMOC. Since ocean heat uptake and climate feedbacks interact with each other, we would ideally use additional idealized experiments to disentangle the role of each. To this end, previous studies have shown that when cloud feedbacks are held fixed in a comprehensive climate model, the global cooling response to the AMOC decline is reduced by 30-60% (Trossman et al., 2016; Zhang et al., 2010). Here



Figure 3. (a) Near-surface warming (°C) in the CESM2 *dehose4x* – *4xCO2* experiments, averaged over years 85-115 after CO<sub>2</sub> quadrupling. (b-h) Difference in climate feedbacks for *dehose4x* – *piControl* compared to 4xCO2 - piControl experiments for (b) the net feedback, (c) the lapse-rate feedback, (d) the shortwave cloud feedback, (e) the water vapor feedback, (f) the longwave cloud feedback, (g) the albedo feedback, and (h) the Planck feedback (W m<sup>-2</sup> K<sup>-1</sup>). Small residual term is shown in Figure S2a.

201 we investigate the relative role of ocean heat uptake and climate feedbacks using a simple

202 energy balance model and leave additional climate model experiments for future work.

203 We use a zero-layer energy balance model (e.g., Gregory and Mitchell, 1997):

$$R = F + \lambda T = \kappa T , \tag{1}$$

where *R* and *T* are the global-mean anomalies in top-of-atmosphere (TOA) radiation and near-surface temperature, respectively, and anomalies are calculated for the *dehose4x* and

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208Figure 4. Near-surface, global-mean warming (°C) for dehose4x - piControl (solid grey) and 4xCO2 - piControl (solid black) experiments, warming reconstructions using a single-layer energy-balance model (EBM;210dashed grey and black), and reconstructed warming in the EBM using  $4xCO2 - piControl \lambda$  and  $dehose4x - piControl \kappa$  (turquoise), or using  $4xCO2 - piControl \kappa$  and  $dehose4x - piControl \lambda$  (yellow).

212 4xCO2 experiments in comparison with *piControl*. Given the small heat capacity of the atmosphere and ocean mixed layer, the anomaly in deep ocean heat uptake,  $\kappa T$ , is 213 approximately equal to the anomaly in TOA radiation, R. We use F = 9.74 W m<sup>-2</sup> K<sup>-1</sup>, the 214 land-surface-corrected and tropospherically-corrected effective radiative forcing for abrupt 215 216 CO<sub>2</sub> quadrupling in CESM2 (Table S1 in Smith et al., 2020), and calculate the net climate feedback,  $\lambda = \frac{R-F}{T}$ , and the ocean heat uptake efficiency,  $\kappa = \frac{R}{T}$ , both of which vary over 217 218 time. In this model, radiative forcing F is balanced both by ocean heat uptake,  $\kappa T$ , and by 219 heat loss to space,  $\lambda T$ .

We can use this model to exactly reconstruct  $T = \frac{F}{\kappa - \lambda}$ , the near-surface warming for 220 4xCO2-piControl and dehose4x-piControl (Figure 4; overlapping dashed versus solid grey 221 222 and black lines). We then isolate how feedback differences alone impact global near-surface 223 warming by calculating T using  $\kappa$  from 4xCO2-piControl and  $\lambda$  from dehose4x-piControl 224 (Figure 4; yellow line). Similarly, we use  $\lambda$  from 4xCO2-piControl and  $\kappa$  from dehose4x-225 *piControl* to isolate the effect of changes in ocean heat uptake alone (Figure 4; turquoise line). Averaged over years 85-115, ocean heat uptake explains 41% of the difference in 226 227 warming for *dehose4x* - 4xCO2, while the global feedback explains 53% of this warming 228 difference, with the remainder contributed by simultaneous changes in  $\kappa$  and  $\lambda$ . This simple 229 model indicates that changes in ocean heat uptake and climate feedbacks contribute 230 approximately equally to increased warming with a weaker AMOC decline.

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#### 233 c) Climate feedback decomposition

234 To understand how the AMOC decline influences the net climate feedback, we 235 decompose this feedback into the albedo, Planck, lapse-rate, water vapor, and cloud 236 feedbacks using the radiative kernel method described in Shell et al. (2008) and Soden et al. 237 (2008). We calculate climate feedbacks in each  $CO_2$  quadrupling experiment compared to the 238 preindustrial control using a regression of local anomalies in top-of-atmosphere (TOA) 239 radiation against the global-mean anomaly in near-surface temperature for the first 115 years 240 after CO<sub>2</sub> quadrupling. We find similar results when quantifying feedbacks as the quotient of 241 anomalies in TOA radiation and near-surface temperature averaged over years 85-115 (not 242 shown). However, we show feedbacks calculated using regression rather than division to 243 isolate temperature-mediated feedbacks and exclude rapid adjustments to forcing. We use 244 radiative kernels derived from the ERA-Interim reanalysis by Huang et al. (2017), which 245 produce a very small residual between the actual net feedback and the sum of the kernel-246 calculated feedbacks (Figure S2a)—slightly smaller than the residual produced by the CAM5 247 kernels (Pendergrass et al., 2018; not shown). We show feedbacks for 4xCO2 - piControl in 248 Figure S3, for dehose4x - piControl in Figure S4, and the difference between dehose4x -

249 piControl and 4xCO2 - piControl in Figure 3b-h.

A stronger AMOC in the *dehose4x* experiment produces a less-negative global feedback than in the 4xCO2 experiment (-0.62 versus -0.73 W m<sup>-2</sup> K<sup>-1</sup>). Regionally, the net feedback becomes less negative in the North Atlantic and North Pacific and becomes less positive to the south of the equator in the eastern tropical Pacific, Atlantic, and Indian Ocean (Figure 3b, Figure S3b, S4b). We first decompose the net feedback into individual feedback changes, and later evaluate the relative contributions of regional changes to the global feedback change using a Green's functions approach (section 3g).

257 Changes in the net feedback are dominated by the shortwave cloud and lapse-rate 258 feedbacks (Figure 3c,d): a stronger AMOC produces less-negative shortwave cloud and 259 lapse-rate feedbacks, particularly in the North Atlantic. The shortwave cloud feedback also 260 becomes less negative in the North Pacific and less positive in Southern Hemisphere 261 stratocumulus cloud regions. We explore what drives these changes in the lapse-rate and 262 shortwave cloud feedbacks in the next section. 263 Water vapor and longwave cloud feedbacks increase in the northern tropics and decrease in the southern tropics with a stronger AMOC (Figure 3e,f). This results from a northward 264 265 shift of the Intertropical Convergence Zone (ITCZ) and associated high clouds and moisture in response to Northern Hemisphere warming and Southern Hemisphere cooling (Figure S5a-266 267 d; Zhang and Delworth, 2005; Kang et al., 2008). This northward shift of the ITCZ and high 268 cloud cover produces compensating changes in longwave and shortwave cloud feedbacks in 269 the deep tropics (Figure 3d,f). In addition to these dynamic effects, Northern Hemisphere 270 warming and Southern Hemisphere cooling also support thermodynamic changes in the 271 tropical water vapor feedback via Clausius-Clapeyron.

Albedo feedback differences are confined to polar regions: due to increased warming in *dehose4x*, the Arctic becomes ice-free sooner and produces a weaker Arctic albedo feedback than in 4xCO2, which continues to lose sea ice throughout the experiment (Figure 3g). Slight cooling in the Antarctic with a stronger AMOC sustains sea ice and weakens the Antarctic albedo feedback. Lastly, the Planck feedback opposes warming anomalies globally (Figure 3h).

#### 278 d) Drivers of changes in cloud and lapse-rate feedbacks

To investigate how differences in AMOC induce differences in the shortwave low-cloud feedback, we use the cloud controlling factor (CCF) methodology of Myers et al. (2021). This analysis approximates the shortwave low-cloud feedback,  $\lambda_{swcld,low}$ , as the sum of contributions from anomalies in local cloud controlling factors,  $x_i$ :

283 
$$\lambda_{swcld,low} \equiv \frac{dR_{swcld,low}}{d\overline{T}} \approx \sum_{i} \frac{\delta R_{swcld,low}}{\delta x_{i}} \frac{dx_{i}}{d\overline{T}}, \qquad (2)$$

where  $R_{sweld,low}$  is the local anomaly in TOA radiation induced by low clouds and  $\overline{T}$  is the 284 285 global-mean near-surface temperature anomaly. As in our standard feedback calculations, 286 anomalies are calculated for *dehose4x – piControl* and 4xCO2 – piControl. We use 6 cloud-287 controlling factors  $(x_i)$ , as defined in Myers et al. (2021): sea-surface temperature (SST), 288 estimated inversion strength (EIS), horizontal surface temperature advection ( $T_{adv}$ ), relative 289 humidity at 700 hPa (RH<sub>700</sub>), vertical velocity at 700 hPa ( $\omega_{700}$ ), and near-surface wind speed 290 (WS). We regress anomalies in cloud controlling factors against anomalies in global-mean near-surface temperature  $\left(\frac{dx_i}{d\bar{\tau}}\right)$  for the first 115 years after CO<sub>2</sub> quadrupling in our 291 experiments, and use cloud radiative sensitivities  $\left(\frac{\delta R_{swcld,low}}{\delta x_i}\right)$  that have been calculated for 292



Figure 5. Differences in cloud-controlling factor sensitivity to global-mean warming, (a)  $\Delta \frac{dSST}{dT}$  and (e)  $\Delta \frac{dEIS}{dT}$ , for *dehose4x* - 4xCO2 experiments; sensitivity of low-cloud-induced shortwave TOA radiation anomalies to anomalies in (b) SST and (f) EIS (W m<sup>-2</sup> K<sup>-1</sup>); contribution of (c) SST, (g) EIS, and (d) both SST and EIS to the difference in shortwave low-cloud feedback for *dehose4x* - 4xCO2 (W m<sup>-2</sup> K<sup>-1</sup>); and (h) the total difference in shortwave cloud feedback for *dehose4x* - 4xCO2 from radiative kernel analysis (W m<sup>-2</sup> K<sup>-1</sup>).

CESM2 by Kang et al. (2023) using ridge regression (Ceppi et al., 2021). For comparison, we
also use observationally-derived cloud radiative sensitivities from Myers et al. (2021).

301 A stronger AMOC in the *dehose4x* experiment produces more North Atlantic sea-surface 302 warming per degree of global warming (Figure 5a), which reduces the lower-tropospheric 303 inversion strength (Figure 5e) and produces a more-positive lapse-rate feedback (Figure 3c). 304 Warmer SSTs and a weaker surface inversion both reduce low cloud cover, allowing for 305 more solar absorption in a more-positive shortwave low-cloud feedback (Figure 5c,g). These 306 results support the hypothesis of Zhang et al. (2010) and Trossman et al. (2016) that a 307 stronger AMOC reduces low cloud cover by reducing the lower tropospheric stability and 308 enabling more entrainment of dry air from the upper troposphere to the lower troposphere 309 (Klein and Hartmann, 1993; Wood and Bretherton, 2006). A stronger AMOC may also 310 reduce low cloud cover by weakening the midlatitude jet (Figure S5e-g; Trossman et al., 311 2016) as a result of reducing the equator-to-pole temperature gradient in the Northern Hemisphere. 312 313 The cloud controlling factors SST and EIS largely reproduce the pattern and magnitude of

314 AMOC-induced differences in the North Atlantic shortwave cloud feedback (Figure 5d,h),

with very little contribution from other cloud-controlling factors (Figure S6). While the shortwave cloud feedback becomes more negative in some regions of the tropical North Atlantic (Figure 5h), the CCF-estimated low-cloud feedback shows little change here (Figure 5d). This more-negative shortwave cloud feedback is driven by high-cloud changes in response to a northward ITCZ shift, as evidenced by a compensating, co-located longwave cloud feedback (Figure 3f), which are not included in the CCF low-cloud analysis.

321 Cloud controlling factors also reproduce changes in the shortwave low-cloud feedback 322 beyond the North Atlantic: warmer SSTs and reduced EIS in the North Pacific produce a 323 more-positive feedback, while cooler SSTs and increased EIS in Southern Hemisphere 324 stratocumulus regions produce a more-negative feedback (Figure S6s,t). These results are 325 robust to substituting observed, rather than modeled, cloud radiative sensitivities, which again 326 illustrate the dominant role of SST and EIS for the low-cloud response to the AMOC decline 327 (Figure S7-10).

### 328 e) Mixed-layer heat budget and role of wind-evaporation-SST feedback

329 We have shown that the shortwave cloud feedback is highly sensitive to AMOC-induced 330 changes in SST—but what produces these SST changes? Additionally, how does a stronger 331 AMOC increase surface warming in other regions of the northern tropics that experience 332 negative ocean-atmosphere heating anomalies? Section 3c demonstrates that this warming 333 can be partly explained by a northward ITCZ shift and more-positive water vapor and 334 longwave cloud feedbacks in the northern tropics. Here we explore additional factors that 335 contribute to these SST changes using a mixed-layer heat budget (e.g., Luongo et al., 2023; 336 Xie et al., 2010):

337 
$$C \frac{\partial T'}{\partial t} = O' + Q'_{SW} + Q'_{LW} + Q'_{SH} + Q'_{LH}, \qquad (3)$$

where *C* is the effective heat capacity of the ocean mixed layer, and anomalies in SST (*T'*) evolve in response to anomalies in net surface heat fluxes, including shortwave ( $Q'_{SW}$ ) and longwave radiation ( $Q'_{LW}$ ) and sensible ( $Q'_{SH}$ ) and latent heat fluxes ( $Q'_{LH}$ ), as well as the effect of anomalous ocean heat transport (*O'*). We use anomalies averaged over years 85-115 for *dehose4x* - *4xCO2* to assess differences between these experiments. As  $C \frac{\partial T'}{\partial t}$  is an order of magnitude smaller than the surface heat flux terms (not shown), we can estimate the effect of ocean heat transport using the surface energy budget:

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Figure 6. Mixed-layer budget decomposition of SST anomalies (°C) for dehose4x - 4xCO2 due to anomalies in (a) ocean heat transport, (b) net shortwave radiation, (c) latent heat flux due to near-surface wind anomalies, (d) the sum of a) and b), (e) net longwave radiation, (f) sensible heat flux, (g) latent heat flux due to anomalies in near-surface relative humidity, air-sea temperature gradient, and a residual term, and (h) the sum of all terms, with arrows for near-surface horizontal wind anomalies (m/s).

351 
$$O' = -(Q'_{SW} + Q'_{LW} + Q'_{SH} + Q'_{LH}).$$
(4)

As detailed in Luongo et al. (2023), we substitute a bulk formula for the latent heat flux due to evaporation and use this to decompose latent heat flux anomalies due to Newtonian cooling of the surface and anomalies in near-surface wind (*W*), relative humidity (*RH*), the air-sea temperature gradient (*S*), and a residual term (*Res*). This allows for a diagnostic decomposition of contributions from different terms in the surface energy budget to SST differences for *dehose4x - 4xCO2*:

358

$$T' = T'_{O} + T'_{SW} + T'_{LW} + T'_{SH} + T'_{LH,W} + T'_{LH,RH} + T'_{LH,S} + T'_{LH,Res}.$$
 (5)

359 The three largest terms that increase North Atlantic warming with a stronger AMOC are ocean heat transport  $T'_{O}$ , net shortwave radiation  $T'_{SW}$ , and latent heat flux due to near-surface 360 wind anomalies  $T'_{LH,W}$  (Figure 6a-c). Ocean heat transport dominates, warming the surface 361 particularly in the extratropical North Atlantic (Figure 6a). Cloud feedbacks amplify this 362 effect, as warmer SSTs reduce cloud cover and increase shortwave absorption at the surface 363 (Figure 6b). However, these two terms alone would cool most of the tropical Atlantic (Figure 364 6d); a positive wind-evaporation-SST (WES) feedback (Xie and Philander, 1994) is critical 365 for extending warming to the tropics (Figure 6c). 366

367 A stronger AMOC weakens the climatological subtropical high, reducing near-surface wind speed (Figure 6h) and evaporative cooling in the subtropical and tropical North Atlantic. 368 369 This southwestward propagation of positive SST anomalies in the tropical Atlantic via the 370 WES feedback, here identified in response to AMOC differences, has also been found as part 371 of a leading mode of variability in the North Atlantic, the Atlantic Meridional Mode (e.g., 372 Amaya et al., 2017; Chang et al., 1997). Evaporative cooling in the tropical North Atlantic is 373 further reduced (Figure 6g) by increased near-surface relative humidity associated with the 374 northward ITCZ shift (Figure S11d) and by a weakened air-sea temperature gradient in the 375 eastern deep tropics (Figure S11h). An increase in net longwave radiation (Figure 6e) due to 376 more-positive water vapor and high-cloud feedbacks warms the tropical North Atlantic by a 377 smaller amount than the WES feedback.

378 In summary, a mixed-layer heat budget shows that a stronger AMOC in *dehose4x* 379 compared to 4xCO2 warms the North Atlantic primarily by reducing ocean heat uptake in the 380 extratropics. A more-positive shortwave cloud feedback amplifies this warming, and a 381 positive WES feedback extends it to the tropical North Atlantic. The WES feedback plays a 382 key role not only for the North Atlantic but also for the global northern tropics, where 383 thermally driven, southerly near-surface wind anomalies are redirected eastward by the 384 Coriolis effect to weaken the trade winds, reduce evaporative cooling, and enhance sea-385 surface warming (Figure S11c,j).

#### *f) Correlations of climate feedbacks with the AMOC decline across CMIP6 models*

387 We have shown that the degree of AMOC decline in a single model mediates global 388 climate feedbacks, particularly the North Atlantic shortwave cloud feedback. Do similar 389 feedback differences arise from the intermodel spread in AMOC across CMIP6 models? To 390 investigate this, we calculate climate feedbacks for each CMIP6 model in the same way as 391 described for CESM2, using a regression of local TOA radiation anomalies against global-392 mean near-surface warming for the first 115 years of each CO<sub>2</sub> quadrupling experiment 393 compared to the preindustrial control. We regress these feedbacks against anomalies in 394 AMOC strength (averaged over years 85-115) across CMIP6 models, and we scale this regression (in W m<sup>-2</sup> K<sup>-1</sup> Sv<sup>-1</sup>) by the difference in AMOC strength (in Sv) for the CESM2 395 *dehose*4x - 4xCO2 experiments for comparison (in W m<sup>-2</sup> K<sup>-1</sup> Figure 7b-h). Similarly, we 396 regress near-surface warming averaged over years 85-115 against AMOC anomalies across 397

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399Figure 7. (a) Near-surface warming (°C) anomalies averaged over years 85-115 after CO2 quadrupling and400(b-h) climate feedbacks for the *abrupt4xCO2 – piControl* CMIP6 experiments, all regressed against the anomaly401in AMOC strength averaged over years 85-115 after CO2 quadrupling across CMIP6 models and scaled by the402CESM2 *dehose4x – 4xCO2* anomaly in AMOC strength. Small residual term is shown in Figure S2b.

403 CMIP6 models and scale by the difference in AMOC strength for the *dehose4x* – 4xCO2

404 experiments (Figure 7a).

398

405 We find similar relationships between the AMOC decline and climate feedbacks across

- 406 CMIP6 models (Figure 7) as in our CESM2 experiments (Figure 3). Feedback changes in the
- 407 North Atlantic for models with a stronger AMOC are dominated by less-negative shortwave
- 408 cloud and lapse-rate feedbacks (Figure 7c,d), with similar patterns of anomalies as in
- 409 CESM2. As in CESM2, a weaker AMOC decline (a stronger AMOC) across CMIP6 models

410 is correlated with more-positive water vapor and longwave cloud feedbacks in the northern
411 tropics due to a northward ITCZ shift, with an opposite response in the southern tropics

412 (Figure 7e,f).
413 One key difference between the CESM2 experiments and correlations across CMIP6
414 models is that across CMIP6, models with a stronger AMOC produce more-positive lapse415 rate and albedo feedbacks in the Arctic (Figure 7c,g). In contrast, a stronger AMOC in the
416 CESM2 experiments produces more-negative Arctic lapse-rate and albedo feedbacks (Figure
417 3c,g). This difference likely results from sea ice differences. Across CMIP6, models with a
418 stronger AMOC produce more sea-ice loss that supports more-positive albedo and lapse-rate

419 feedbacks. However, in CESM2, the *dehose4x* experiment with a stronger AMOC produces

420 an ice-free Arctic, crossing a threshold that precludes these positive sea-ice feedbacks, while

421 4xCO2 continues to lose sea ice throughout the experiment. As a result, our CESM2

422 experiments may underestimate the impact of intermodel spread in AMOC on Arctic

423 warming in CMIP6.

424 Another difference is that CMIP6 models with a stronger AMOC tend to produce more-425 negative shortwave cloud feedbacks in the Southern Hemisphere (Figure 7d) in eastern 426 tropical ocean basins and across the Southern Ocean than found in the CESM2 experiments 427 (Figure 3d). This could reflect a larger low-cloud sensitivity to AMOC-induced surface 428 cooling across CMIP6 models than in CESM2. Alternatively, this regression may reflect that 429 more-negative cloud feedbacks in CMIP6 produce, rather than result from, a stronger 430 AMOC. Our CESM2 experiments isolate the impact of AMOC on climate feedbacks, 431 whereas regression across CMIP6 also reflects the impact of climate feedbacks on AMOC.

432 Lastly, we show area averages for the North Atlantic shortwave cloud, lapse-rate, and net 433 feedbacks plotted against the AMOC decline for CMIP6 4xCO2 experiments and for the 434 CESM2 4xCO2 and dehose4x experiments, all compared to piControl experiments (Figure 435 8). We include another data point for an intermediary CESM2 experiment that is identical to 436 dehose4x, but with a .25 Sv dehosing (rather than .5 Sv). As seen in Figure 7, models with a 437 weaker AMOC decline produce less-negative shortwave cloud, lapse-rate and net feedbacks 438 in the North Atlantic. Moreover, a similar slope across CESM2 experiments as across CMIP6 439 models indicates that the sensitivity of North Atlantic feedbacks to the AMOC decline is 440 comparable between our CESM2 experiments and other CMIP6 models.

441

17









447 In summary, we find similar relationships between the AMOC decline and climate 448 feedbacks in CMIP6 regressions as in our CESM2 experiments, suggesting that our 449 experiments are relevant for understanding AMOC impacts across CMIP6. These results are 450 particularly interesting for the shortwave cloud feedback, which is also impacted by model 451 differences in cloud physics. While many additional factors impact climate feedbacks, these 452 results imply a key role for AMOC in mediating feedback differences across CMIP6 models.

#### 453 g) Role of the North Atlantic region for global feedback change

454 To understand how the AMOC decline impacts the net global feedback, we have focused on the North Atlantic region, which shows the largest anomalies in local feedbacks for the 455 456 dehose4x - 4xCO2 experiments. However, the AMOC decline also influences warming 457 beyond the North Atlantic, and past research suggests that the global feedback is most 458 sensitive to SSTs in the tropical Pacific (Dong et al., 2019; Zhou et al., 2017). This raises the 459 question: to what extent can AMOC-induced changes in the global feedback be explained by 460 SST changes in the North Atlantic, as opposed to other regions?

461 To estimate the relative role of North Atlantic SSTs for global feedback changes, we use

462 a Green's function approach, which allows us to reconstruct global changes in TOA

- 463 radiation, near-surface warming, and the net climate feedback that result from local SST
- 464 changes. We apply atmospheric Green's functions developed for CESM2 by Duffy et al. (in
- 465 prep.) using +2K SST-patch experiments, following the Green's Function Model
- 466 Intercomparison Project (GFMIP) protocol described in Bloch-Johnson et al. (2024). Green's
- functions estimate the sensitivity of global anomalies in TOA radiation and near-surface 467



Figure 9. Green's functions for (a) global TOA radiative sensitivity  $(\delta R_{global} / \delta SST_{local}; W m^{-2} K^{-1})$  and (b) global near-surface temperature sensitivity  $(\delta TAS_{global} / \delta SST_{local})$  to local SST anomalies. (c) Local SST anomalies for the *dehose4x* – *4xCO2* experiments averaged over years 85-115 and (d,e) their product with the Green's functions in a,b) to reconstruct (d) TOA radiation anomalies (W m<sup>-2</sup>) and (e) near-surface temperature anomalies (K) for the *dehose4x* – *4xCO2* experiments. (f) The change in global feedback resulting from local SST anomalies for *dehose4x* – *piControl* compared to *4xCO2* – *piControl* experiments.

475 temperature to local SST anomalies  $(\frac{\delta R_{global}}{\delta SST_i}$  and  $\frac{\delta T_{global}}{\delta SST_i}$ , respectively). Consistent with 476 Green's functions developed for other models (Bloch-Johnson et al., 2024), the CESM2 477 Green's functions show the largest global radiative and warming sensitivity to SST in the 478 western Pacific, and relatively small global sensitivity to SST in the North Atlantic (Figure 479 9a,b).

We multiply these sensitivities by local SST differences for *dehose4x* - *4xCO2* in each year ( $\Delta SST_i$ ) to estimate each grid point's contribution to global-mean differences in TOA radiation ( $\Delta R_{global_i}$ ) and near-surface warming ( $\Delta T_{global_i}$ ), and we sum over all gridpoints to estimate the total change in  $\Delta R_{global}$  and  $\Delta T_{global}$ :

484 
$$\Delta R_{global} = \sum_{i} \Delta R_{global_i} = \sum_{i} \frac{\delta R_{global}}{\delta SST_i} \Delta SST_i , \qquad (6)$$

485 
$$\Delta T_{global} = \sum_{i} \Delta T_{global_i} = \sum_{i} \frac{\delta T_{global}}{\delta SST_i} \Delta SST_i .$$
(7)

Averages over years 85-115 for 
$$\Delta SST_i$$
,  $\Delta R_{global_i}$ , and  $\Delta T_{global_i}$  are shown in Figure 9c-e. We  
add the Green's reconstructions of  $\Delta R_{global}$  and  $\Delta T_{global}$  for *dehose4x* - *4xCO2* to the actual  
anomalies in  $\Delta R_{global}$  and  $\Delta T_{global}$  for *4xCO2* - *piControl* to reconstruct anomalies in  
 $\Delta R_{global}$  and  $\Delta T_{global}$  for *dehose4x* - *piControl* (Figure S12). As discussed below, this allows  
for more accurate reconstructions than directly reconstructing anomalies for *dehose4x* -  
*piControl*. These reconstructions of  $\Delta R_{global}$  and  $\Delta T_{global}$  for *dehose4x* - *piControl* produce

492 a reasonable approximation of the actual global anomalies, although they slightly493 underestimate near-surface warming.

494 Discrepancies between Green's function reconstructions and actual anomalies in global-495 mean TOA radiation and near-surface temperature may arise from applying Green's 496 functions to fully-coupled rather than atmosphere-only experiments. In addition, Green's 497 function reconstructions may introduce error by linearly summing the responses to regional 498 SST forcings that induce larger changes in atmospheric circulation and convection (particularly in the tropics) than the actual response to more spatially-uniform anomalies 499 500 (Dong et al., 2019). These Green's functions also exclude the impact of anomalies in sea-ice 501 concentration on TOA radiation and near-surface temperature. We reduce these errors due to 502 nonlinearities and sea-ice impacts by applying Green's functions to the dehose4x - 4xCO2503 difference (as opposed to *dehose4x* - *piControl* and 4xCO2 - piControl), as both experiments 504 have very little sea ice and have temperature differences that are largest in the extratropics.

Following Dong et al. (2019) and Zhou et al. (2017), we approximate the global feedback,  $\lambda_{global} = (\Delta R_{global} - F)/\Delta T_{global}$ , again setting F = 9.74 W m<sup>-2</sup> K<sup>-1</sup>. The Green's function reconstruction captures +0.08 W m<sup>-2</sup> K<sup>-1</sup> of the actual +0.11 W m<sup>-2</sup> K<sup>-1</sup> increase in global feedback for *dehose4x* – *piControl* compared to 4xCO2 - piControl, averaged over years 85-115. We find qualitatively similar results when defining the feedback using regression, but use division here for consistency with the Green's function literature and for a better fit between reconstructed and actual feedback changes.

512 We calculate the contribution of different grid points or regions *i* to the total feedback 513 change as:

514 
$$\Delta\lambda_{i, dehose4x-4xCO2} = \frac{\Delta R_{global_{i,dehose4x-4xCO2}} + \Delta R_{global_{4xCO2}-piControl} - ERF}{\Delta T_{global_{i,dehose4x-4xCO2}} + \Delta T_{global_{4xCO2}-piControl}} - \lambda_{4xCO2-piControl}, \quad (8)$$

515 where  $\lambda_{4xCO2-piControl} = \frac{\Delta R_{global_{4xCO2-piControl}} - ERF}{\Delta T_{global_{4xCO2-piControl}}}$  is the actual net feedback for 4xCO2 -

516 *piControl*. Applying Equation 8 to the North Atlantic basin (0-60°W, north of 0°N), we find 517 that North Atlantic SST changes alone reproduce the total +0.08 W m<sup>-2</sup> K<sup>-1</sup> increase in the 518 reconstructed global feedback for *dehose4x – piControl* compared to 4xCO2-piControl. We 519 also use Equation 8 to calculate the contribution of AMOC-induced SST changes at each grid 520 point to changes in the global feedback (Figure 9f). Figure 9f illustrates that a less-negative 521 global feedback with a stronger AMOC is primarily driven by increased warming in the

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extratropical North Atlantic region. Extratropical North Pacific warming also produces a lessnegative global feedback. As shown in Section 3c, warmer SSTs in both regions weaken the
local inversion strength and low-cloud cover to support a more-positive shortwave cloud
feedback.

526 In contrast, warming in the tropical western Pacific and Atlantic is effectively damped by 527 a more-negative global feedback. Surface warming in these regions is communicated 528 vertically by deep convection to the upper troposphere and then horizontally throughout the 529 tropics by gravity waves, strengthening non-local inversions and negative shortwave cloud 530 feedbacks (e.g., Williams et al., 2023). This analysis suggests that increased local warming in 531 the tropical Atlantic induced by the WES feedback actually damps global-mean warming. 532 Despite larger radiative sensitivities to SSTs in tropical regions (Figure 9a), the global 533 feedback is impacted most by the extratropical North Atlantic (Figure 9f) because this region 534 experiences the largest SST anomalies in response to AMOC changes (Figure 9c).

## 535 4. Summary and Conclusions

536 We use novel experiments in a state-of-the-art climate model to examine how the 537 considerable model uncertainty in projections of AMOC weakening impacts uncertainty in 538 near-future warming. Imposing the intermodel spread in AMOC decline within a single 539 model, CESM2, reproduces more than 20% of the total intermodel spread in near-future 540 warming across CMIP6, averaged over years 85-115 in CO<sub>2</sub>-quadrupling experiments. A 541 simple energy-balance model indicates that changes in ocean heat uptake and climate 542 feedbacks in these experiments contribute approximately equally to the near-surface warming 543 response to AMOC differences.

544 A weaker AMOC decline, resulting in a stronger AMOC, yields greater northward ocean 545 heat transport that warms SSTs, particularly in the extratropical North Atlantic. Warmer SSTs 546 reduce lower tropospheric stability, dissipating low clouds and allowing for greater absorbed 547 shortwave radiation in a more-positive shortwave cloud feedback. In response to weakened 548 winds in the subtropical North Atlantic and global northern tropics, reduced evaporative 549 cooling extends warming to lower latitudes in a positive wind-evaporation-SST feedback. We 550 quantify these effects using a radiative feedback decomposition, a cloud-controlling factor 551 analysis, and a mixed-layer heat budget.

552 Furthermore, we use Green's functions to illustrate that a less-negative global feedback 553 with a stronger AMOC results primarily from sea-surface warming in the extratropical North 554 Atlantic. Moreover, we find similar changes in ocean heat uptake and climate feedbacks 555 correlated with the AMOC decline across CMIP6 models as in our CESM2 experiments. This 556 consistency suggests that our experiments within a single model are relevant for 557 understanding AMOC impacts on intermodel spread across CMIP6.

558 One caveat to this analysis is that the sensitivity of climate feedbacks to AMOC in 559 CESM2 may not be representative of other climate models. CESM2 is known to have a too-560 positive shortwave cloud feedback particularly in the Southern Hemisphere due to issues with 561 cloud microphysical parameterizations (Shaw et al., 2022; Zhu et al., 2022). A more realistic 562 cloud microphysical scheme may produce differences in the cloud feedback and warming response to the AMOC decline. However, as noted above, we find similar feedback changes 563 564 associated with the AMOC decline across CMIP6 models as in our CESM2 experiments. 565 Further, in our cloud controlling factor analysis, observational cloud radiative sensitivities 566 produce similar cloud feedback changes as the CESM2 radiative sensitivities (Figure S7-10). 567 This analysis indicates that a stronger AMOC produces a robust increase in the North 568 Atlantic shortwave low-cloud feedback (and a decrease in the Southern Hemisphere 569 shortwave low-cloud feedback) by changing sea-surface temperatures and inversion 570 strengths.

571 CESM2 also simulates insufficient sea-ice cover in present and future climates (Kay et 572 al., 2022). As a result, CMIP6 models with more mean-state sea ice may show a larger effect 573 of the AMOC on Arctic feedbacks and warming (Bellomo and Mehling, 2024), as suggested 574 by regressions of Arctic feedbacks against the AMOC decline across CMIP6 models (Figure 575 7). We plan to explore the role of AMOC for uncertainty in Arctic warming as mediated by 576 sea ice in a follow-up study. Additionally, coupled interactions between ocean circulation and 577 climate feedbacks complicate assessments of how each mediates the surface warming 578 response to AMOC changes; we plan to apply more idealized experiments to address this in 579 future work.

580 This study contributes novel mechanistic insight on how the AMOC decline impacts 581 climate feedbacks, and demonstrates that we need to understand both feedback changes and 582 ocean circulation changes to understand AMOC impacts on near-future warming. We also 583 quantify for the first time the extent to which uncertainty in the AMOC decline across CMIP6 models may impact uncertainty in global warming. Others have suggested that constraining
the mean-state AMOC strength using observations is a promising avenue for constraining
AMOC projections (Bonan et al., submitted; Lin et al., 2023; Weijer et al., 2020). Given the

587 sizeable effect of uncertainty in the AMOC decline on uncertainty in global warming, our

results suggest that constraining AMOC projections may substantially reduce global warminguncertainty.

590

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601 Output from the *piControl* and *abrupt-4xCO2* experiments in CESM2 and other CMIP6 602 models can be found in the Earth System Grid Federation (ESGF) repository at <u>https://esgf-</u> 603 <u>node.llnl.gov/projects/esgf-llnl/</u>. Model output from the CESM2 *dehose4x* experiment will be 604 publicly available at https://doi.org/10.5281/zenodo.14219338 upon publication.

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