

1 **Full title**

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3 Variation of climate and its temporal shifts across an altitudinal gradient of tropical rainforests of

4 Sri Lanka

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6 **Short title**

7 Climate and its temporal shifts in tropical rainforests of Sri Lanka

8

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## 21 **Abstract**

22 Climate and its variability strongly influence the structure and dynamics of tropical rainforests, a  
23 biome which is critical for regulation of the global climate. We characterized the climate of a  
24 series of rainforest plots in Sri Lanka across a wide altitudinal range (117 to 2132 m above sea  
25 level) during 1990-2018 and determined its temporal shifts from the climate of 1961-1989. Long-  
26 term (1961-2018) climatic data were obtained from WorldClim2 and CRU-TS-4.03 global  
27 databases. Soil water deficit was computed, on a monthly (SWD) and cumulative (CSWD) basis,  
28 as the difference between rainfall and evapotranspiration using a validated model. During 1990-  
29 2018, decreases with altitude were faster in annual mean minimum temperatures ( $T_{\min}$ ) than in  
30 annual mean maximum temperature ( $T_{\max}$ ). The diurnal temperature range (DTR) increased with  
31 altitude. Within-year variation patterns of  $T_{\max}$ ,  $T_{\min}$  and DTR were different, with peaks in  
32 March, April-May, and April respectively. Forests at higher altitudes experienced greater DTRs  
33 with greater within-year fluctuation than those at mid- and lower altitudes. Long-term annual  
34 rainfall and solar irradiance decreased while SWD and CSWD increased with increasing altitude.  
35 All altitudes showed peak SWD and CSWD in February-March. The higher altitudes showed an  
36 additional peak in June-July. Inter-annual variability, quantified in terms of the coefficient of  
37 variation, was greater for rainfall than for temperature, while CSWD and SWD showed the highest  
38 variability. Annual mean  $T_{\max}$  and  $T_{\min}$  increased with time during both periods. Annual total  $R_F$   
39 decreased with time during 1961-1989, but did show a significant trend during 1990-2018.  
40 Consequently, maximum monthly SWD and CSWD decreased from 1961-1989 to 1990-2018. The  
41 Dry-Season Index, defined as the annual maximum CSWD, increased during 1961-1989, but  
42 decreased during 1990-2018. Altitudinal trends of climatic variables show that the requirement of  
43 adaptive mechanisms for climate variability is greatest in montane forests at high altitudes.

44

45 **Keywords:** Climate variability, Diurnal temperature range, Maximum temperature, Minimum

46 temperature, With-year variation

47

## 48 **Introduction**

49       Tropical rainforests of Sri Lanka play crucial roles in the island's carbon and water cycles,  
50 environmental sustenance, and biodiversity conservation [1–3]. Long-term climate change, both  
51 at the global scale [4] and the local scale [5], can exert significant impacts on the ecosystem  
52 services of tropical rainforests [6] and tropical rainforests of Sri Lanka (TRFSLs) [7]. However,  
53 the climate experienced by TRFSLs is poorly characterized and there are only a few previous  
54 studies on this important aspect that could exert a crucial influence on their future management  
55 and sustenance [8–10]. Furthermore, the few published studies provide contradictory conclusions.  
56 For example, Hapuarachchi et al.[9] analyzed long-term rainfall and air temperature data from  
57 1866 to 2021 at four altitudes from 477 m to 1880 m within three TRFSLs (*viz.* Knuckles, Peak  
58 Wilderness and Horton Plains) and found significant increasing trends for temperature and  
59 decreasing trends for annual rainfall. On the other hand, in an analysis of 30-year rainfall data  
60 from four stations around the Sinharaja Man and Biosphere Forest Reserve, Samarasinghe et al.  
61 [10] found no significant long-term trend. Furthermore, land surface temperatures estimated from  
62 remotely sensed Landsat satellite images in the Sinharaja forest showed both increases and  
63 decreases at different time points from 1992 to 2019. Differences in the spatial and temporal scales  
64 and the analytical methodologies of these studies probably contributed to their contrasting  
65 conclusions. More comprehensive analyses of long-term rainfall trends across Sri Lanka, without  
66 specifically focusing on rainforest sites, have shown both increases and decreases at different  
67 locations and rainfall seasons [11–15].

68

69       Tropical rainforests of Sri Lanka are predominantly located in its South-West and the Central  
70 Highlands, which experience a well-distributed annual rainfall regime in excess of 1800 mm.

71 However, TRFSLs experience a wide range of temperature regimes as they span across a wide  
72 altitudinal range from lowland evergreen forests at the lower altitudes (*ca.* < 800 m above mean  
73 sea level) to lower- and upper montane forests at the mid- (*ca.* 800 – 1600 m) and higher (*ca.* >  
74 1600 m) altitudes. Therefore, variations in temperature could interact with rainfall variations, and  
75 exert a substantial influence on the functioning, productivity, structure, species composition and  
76 biodiversity of TRFSLs [16], in accordance with similar effects observed globally [17,18].  
77 However, characterization of the gradients of key climatic variables across the range of altitudes  
78 at which TRFSLs occur has not been done so far. Therefore, this work addresses a crucial  
79 information gap to enable establishment of climate-vegetation relationships across TRFSLs in  
80 subsequent studies.

81  
82 Variation of climate along altitude gradients include both variations which are directly linked  
83 to altitude and those that are not directly linked to altitude [19]. The first category includes  
84 temperature, atmospheric pressure and partial pressure of gases such as oxygen and carbon  
85 dioxide, clear sky radiation and the fraction of UV-B radiation. The second category includes  
86 rainfall, cloudiness, incident solar irradiance, relative humidity, wind characteristics and  
87 seasonality of climate. These two categories of climatic variables and their interaction with land  
88 surface characteristics such as topography, slope and aspect combine to create unique  
89 environmental gradients across specific altitudinal ranges where tropical rainforests occur.  
90 Therefore, there is a need to characterize the variation patterns of key climatic variables across the  
91 range of altitudes traversed by the TRFSLs.

92

93 Availability of water in the soil is a key environmental variable that determines the primary  
94 productivity of TRFs. Despite being in relatively high rainfall environments, tropical rainforests  
95 are highly sensitive to drought in terms of their photosynthesis, canopy greenness, light use  
96 efficiency, wood growth and net primary productivity [20–22]. Droughts occurring at a frequency  
97 of 1 -3 episodes per decade, most of which are triggered by phenomena such as El-Niño Southern  
98 Oscillation (ENSO), have caused substantial tree mortality in major tropical forests such as the  
99 Amazon [23–26]. Drought is induced by soil water deficits as a result of evapotranspiration  
100 exceeding rainfall over a prolonged period. Therefore, it is important to determine the magnitude  
101 of potential maximum soil water deficits that are likely to develop across the range of altitudes  
102 occupied by the TRFSLs.

103

104 In this work, our objective was to characterize the climate across the altitude range of  
105 TRFSLs and its temporal shifts across two 30-year time spans, viz. from 1961-1989 to 1990-2018.  
106 As determination of altitudinal gradients of key climatic variables of TRFSLs have not been done  
107 this work addresses a crucial information gap to enable establishment of climate-vegetation  
108 relationships across this crucial ecosystem in Sri Lanka. It will also provide a rational basis for  
109 formulation of policies and action plans to ensure conservation of TRFSLs in the face of climate  
110 change.

111

## 112 **Materials and Methods**

### 113 **Establishment of permanent sampling plots in tropical rainforests**

114 Ten one-hectare permanent sampling plots (PSPs) were established in tropical rainforests  
115 across an increasing altitudinal gradient in Sri Lanka as part of a multidisciplinary research project

116 to monitor their long-term response to climate change. The PSPs traversed an altitude range from  
117 117 m to 2132 m above sea level. Forests having an extent greater than 100 ha and showing  
118 minimum or no evidence of large-scale natural disturbance or human interference during the past  
119 three decades or longer were selected when establishing PSPs. The altitude range was divided into  
120 five class intervals, *viz.* 0-400 m, 400-800 m, 800-1200 m, 1200-1800 m and above 1800 m and at  
121 least two PSPs were established within each interval. Locations and geographic details of the PSPs  
122 are given in Fig 1 and Table 1. Key vegetation and soil characteristics of the rainforests in the  
123 PSPs are described in Sanjeewani et al. [16,27].

124

125 **Fig 1. Location of permanent sampling plots in tropical rainforests across the altitudinal**  
126 **gradient in Sri Lanka. Kanneliya Plot 1 (KDN1 at 117 m above mean sea level), Kanneliya**  
127 **Plot 2 (KDN2 - 174 m), Sinharaja-Pitadeniya Plot 1 (PTD1 - 509 m), Sinharaja-Pitadeniya**  
128 **Plot 2 (PTD2 - 618 m), Sinharaja-Enasalwatte Plot 1 (ENS1 – 1042 m), Enasalwatte Plot 2**  
129 **(ENS2 – 1065 m), Rilagala (RLG - 1668 m), Hakgala (HKG -1804 m), Piduruthalagala (PTG**  
130 **- 2080m) and Horton Plains (HNP – 2132 m).**

131

132

133 **Table 1. Geographic details of the ten permanent sampling plots in tropical rainforests of Sri**  
134 **Lanka**

<b>PSP<sup>†</sup></b>	<b>Agro-Ecological region<sup>‡</sup></b>	<b>Altitude class (m)</b>	<b>Mean altitude (m asl)</b>	<b>Latitude (°N)</b>	<b>Longitude (°E)</b>	<b>Forest Type*</b>
KDN1	WL1a	0-400	117	6.24749	80.34071	TLF
KDN2	WL1a	0-400	174	6.26090	80.35191	TLF
PTD1	WM1a	400-800	509	6.39633	80.47070	TLF
PTD2	WM1a	400-800	618	6.38141	80.47786	TLF
ENS1	WU1	800-1200	1042	6.39433	80.59709	TLMF
ENS2	WU1	800-1200	1065	6.39439	80.59565	TLMF
RLG	WU1	1200-1800	1668	6.97619	80.58330	TMF
HKG	WU3-IU3d	1200-1800	1804	6.92725	80.81839	TMF
PTG	WU3-IU2	Over 1800	2080	6.98197	80.77276	TMF
HNP	WU3-IU3b	Over 1800	2132	6.81459	80.80421	TMF

135 <sup>†</sup>PSP – Permanent Sampling Plots;

136 <sup>‡</sup>Based on Punyawardena et al. [28]. WL - Low Country Wet zone; WM – Mid Country Wet Zone;  
137 WU – Up Country Wet Zone; IU – Up Country Intermediate Zone. TLR - Tropical Lowland  
138 rainforest; TLMF- Tropical Lower montane forests; TMF - Tropical Montane Forest.

139 \*Based on Gunatilleke et al. [29].

140



## 141 **Acquisition of climatic data**

142 Climatic data were obtained from the global climatic databases WorldClim 2 [30] and CRU-  
143 TS-4.03, bias corrected with WorldClim 2.1 [31]. These databases contain spatially interpolated  
144 historical climatic data at a resolution of approximately 21 km<sup>2</sup> (1961-2018) and 1 km<sup>2</sup> (1970-  
145 2000). The databases consist of monthly averages of mean ( $T_{\text{mean}}$ ), maximum ( $T_{\text{max}}$ ) and minimum  
146 ( $T_{\text{min}}$ ) air temperatures, daily solar irradiance, vapour pressure and wind speed and monthly total  
147 rainfall ( $R_{\text{F}}$ ).

148

## 149 **Rationale for determining the time scale of climatic data**

150 Rainforests of the present study have remained undisturbed for three decades or longer. As  
151 such their present status has been determined by the climate experienced over a similar time scale.  
152 Size of the foliage canopy is a major determinant of the functioning and productivity of tropical  
153 forests [32–34]. It is controlled by the rates of leaf initiation (i.e., leaf flushing), expansion and  
154 senescence, which are influenced by the climate experienced across different time scales. The  
155 ‘recent’ climate experienced within the past decade most likely determined the rates of leaf  
156 initiation, expansion and senescence as these processes are strongly influenced by the sum of  
157 thermal time experienced and the availability of light and water [35]. On the other hand, the  
158 number of leaves that initiate and expand at any given time is controlled by the number of buds  
159 that are initiated, and the amount of assimilates (i.e., stored reserves) available for leaf growth  
160 [36]. The number of flushing points and stored reserves are, most likely, determined by the  
161 accumulated tree biomass, its branching pattern, and the size of the root system to acquire the  
162 resources (e.g., water, nutrients etc.) to produce tree biomass. Accumulated tree biomass is  
163 strongly dependent on the long-term climate experienced by trees in terms of solar irradiance,

164 water availability and temperature [37]. Accordingly, in addition to the direct influence of the  
165 relatively ‘recent’ climate, the long-term, ‘past’ climate probably exerted an indirect influence on  
166 the observed vegetation status of the rainforests of the present study. Therefore, climatic data  
167 during the period from 1990 to 2018 were used to compute the mean climate that has determined  
168 their present status. On the other hand, mean climate during the period from 1961 to 1989 was  
169 used as the benchmark to determine its temporal shifts during 1990-2018.

170

## 171 **Computation of long-term means of key climatic variables**

172 All computations were done separately for the 1990-2018 and 1961-1989 periods. Monthly  
173 diurnal temperature range (DTR) was computed as the difference between  $T_{\max}$  and  $T_{\min}$  of each  
174 month. Monthly means of  $T_{\min}$ ,  $T_{\max}$ ,  $T_{\text{mean}}$  and DTR were used in computing their long-term  
175 averages for the respective PSPs. Mean annual  $R_F$  was computed by averaging the monthly totals  
176 and summing them over the respective 12-month period. As monthly means of daily solar  
177 irradiance data were available only for the 1970-2000 period, the long-term average  $S_R$  was  
178 computed by first obtaining their respective monthly means, followed by obtaining the annual  
179 mean over the 30-year period.

180

## 181 **Computation of soil water deficit and dry season index**

182 Soil water deficit (SWD) was computed separately for each PSP on a monthly basis for the  
183 Two periods from January 1990 to December 2018 and from January 1961 to December 1989,  
184 using the soil water deficit model of Malhi and Wright [38]. This model computes the magnitude  
185 and duration of soil water deficit while taking in to account the soil water status at the beginning

186 of each month of computation. An initial  $\text{SWD}_i$  for each month  $i$  was computed as the difference  
187 between its rainfall ( $Rf_i$ ) and evapotranspiration ( $Et_i$ ),

188

$$189 \quad \text{SWD}_i = Rf_i - Et_i \quad (1)$$

190

191 Evapotranspiration was estimated by the following equation developed by Malhi *et al.* [39] and  
192 used in Malhi and Wright [38],

193

$$194 \quad Et_i = (a \times \text{SWD}_i) + Et_0 \quad (2)$$

195

196 where,  $Et_0$  is the potential evapotranspiration in the absence of soil water deficits and  $a$  is a  
197 coefficient of drought sensitivity of evapotranspiration. Based on the work of Shuttleworth [40]  
198 and Malhi *et al.* [39], values of  $118 \text{ mm month}^{-1}$  and  $-0.3625$  were used by Malhi and Wright [38]  
199 for  $Et_0$  and  $a$  respectively. A review of measured or estimated  $Et$  of TRFs in different continents  
200 (i.e. Asia, South America and Africa) in published literature (S1 Table) provided a strong  
201 validation for using the above values as coefficients of equation 2.

202

203 After calculating the  $\text{SWD}_i$  for the initial month of each period (i.e., January 1961 and 1990),  $\text{SWD}$   
204 for each successive month ( $\text{SWD}_{i+1}$ ) was computed as,

205

$$206 \quad \text{SWD}_{i+1} = \text{SWD}_i + Et_{i+0.5} - Rf_{i+0.5} \quad (3)$$

207

208 where,  $Et_{i+0.5}$  and  $Rf_{i+0.5}$  were the mean  $Et$  and  $Rf$  of the successive months  $i$  and  $i+1$ . Use of the  
209 mean  $Et$  and  $Rf$  takes in to account the variation of daily  $Et$  and  $Rf$  during the month  $i+1$ . This  
210 computation assumes that daily  $Et$  and  $Rf$  varies linearly during the period between the two  
211 successive months.

212  
213 If  $Rf_{i+0.5}$  exceeds  $(SWD_i + Et_{i+0.5})$ , then soil becomes saturated and  $SWD_{i+1}$  is set to zero. If  $Rf_{i+0.5}$   
214 is less than  $(SWD_i + Et_{i+0.5})$ , then  $SWD_{i+1}$  is added to  $SWD_i$  to obtain the cumulative  $SWD$   
215 (CSWD). This process was repeated for each successive month up to December of 1989 and 2018  
216 using the monthly rainfall data. The annual maximum CSWD in a given year in a given site was  
217 identified as a dry-season index (DSI) by Malhi and Wright [38].

218

## 219 **Statistical analysis**

### 220 **Determination of altitudinal trends of annual mean climatic variables**

221 All statistical analyses were done on Statistical Analysis System (SAS) [41]. Normality of the  
222 distributions of monthly means of  $T_{max}$ ,  $T_{min}$ ,  $T_{mean}$ , DTR and  $R_F$  was tested separately for each  
223 PSP and the two periods using Proc Univariate in SAS. Monthly means of climatic variables ( $T_{max}$ ,  
224  $T_{min}$ ,  $T_{mean}$ , DTR,  $R_F$  and  $S_R$ ) and soil water deficits (monthly  $SWD$  and CSWD) and standard  
225 errors of the monthly means were computed. Variation of the monthly means of climatic and soil  
226 variables with altitude was determined by linear regression analysis. Variability of the climatic  
227 and soil variables over each period was quantified as the coefficient of variation of their respective  
228 monthly means. Variation of long-term annual means with altitude was determined by linear  
229 regression. Maximum monthly means of  $SWD$  ( $SWD_{max}$ ) and CSWD ( $CSWD_{max}$ ) observed in the  
230 respective PSPs were regressed against altitude to determine their rate of change with altitude.

231

## 232 **Determination of temporal shifts in long-term climatic variables**

233 The long-term temporal trends of annual mean  $T_{\max}$  and  $T_{\min}$ , annual total  $R_F$  and annual DSI and  
234 their possible shifts over time were determined using the following procedure, which was applied  
235 to each PSP separately. If the annual means of temperatures, annual totals of  $R_F$  and annual DSI  
236 in each PSP were normally distributed, an initial linear regression analysis was done to determine  
237 their respective temporal trends. If the annual means or totals deviated from normality, their  
238 temporal trends were determined by the Mann-Kendall test [42]. After the initial linear regression  
239 on the normally distributed annual means or totals, the randomness of residuals was tested by the  
240 Runs test of randomness using the Wald-Wolfowitz statistic [43]. The independence of residuals  
241 was tested by the Durbin-Watson test [44]. If the residuals satisfied the condition of randomness,  
242 the linear regression slope was considered as the rate of change of the annual mean or total with  
243 time. If the residuals satisfied the condition of independence, the confidence interval of the  
244 regression slope was used to determine whether the slope was significantly different from zero. If  
245 the residuals of the initial linear regression deviated from normality, the temporal trend was  
246 determined by the Mann-Kendall test. The above analysis was carried out separately for the  
247 periods from 1961 to 1989 (Period 1) and from 1990 to 2018 (Period 2) to determine possible  
248 shifts in temporal trends with time.

249

250 In order to determine their shifts with time, mean monthly SWD and CSWD that were computed  
251 for the two periods (both spanning a duration of 29 years) were compared for significance of their  
252 difference using the paired t-test. Similarly, the maximum monthly SWD and CSWD ( $SWD_{\max}$

253 and  $CSWD_{max}$ ) observed during each of the 29-year period for each PSP were compared using the  
254 paired t-test to detect significant shifts with time.

255

## 256 **Results**

### 257 **Variation of climate of tropical rainforest plots across the altitudinal** 258 **gradient during the 1990-2018 period**

259 Annual means of  $T_{min}$ ,  $T_{max}$  and  $T_{mean}$  and annual total  $R_F$  were normally distributed in all PSPs  
260 (Results not shown). However, the corresponding distributions of DTR deviated significantly  
261 ( $P < 0.05$ ) from normality. As expected, the long-term means of  $T_{min}$ ,  $T_{max}$  and  $T_{mean}$  of PSPs  
262 decreased with increasing altitude (Table 2). In contrast, long-term mean DTR showed an  
263 increasing trend with increasing altitude. Accordingly, there was a  $2.5^\circ\text{C}$  difference between the  
264 PSPs with the highest (Pidurutalagala) and the lowest (Plot 1 in Kanneliya) long-term mean  
265 DTR. Although the annual total  $R_F$  showed an overall decreasing trend with altitude (Table 3)  
266 there were a few notable exceptions to this trend. These include plot 1 in Kanneliya (KDN1) and  
267 Pidurutalagala, both of which have lower  $R_F$  than the PSPs which are at the next higher altitude  
268 (i.e., Plot 2 in Kanneliya and Horton Plains). It can be noted that both plots in Sinharaja-  
269 Enasalwatte (ENS1 and ENS2) have experienced the same climate as both are located close  
270 together.

271

272 **Table 2. Means and standard errors of key temperature variables of the permanent sampling**  
273 **plots in tropical rainforests of Sri Lanka across an altitudinal gradient during the 1990-2018**  
274 **period.**

<b>PSP</b>	<b>Alt. (m)</b>	<b>T<sub>min</sub> (°C)</b>	<b>SE<sup>†</sup> (°C)</b>	<b>T<sub>max</sub> (°C)</b>	<b>SE (°C)</b>	<b>T<sub>mean</sub> (°C)</b>	<b>SE (°C)</b>	<b>DTR (°C)</b>	<b>SE (°C)</b>
KDN1	117	23.53	0.248	29.24	0.240	26.38	0.237	5.71	0.115
KDN2	174	23.10	0.248	28.96	0.240	26.03	0.237	5.86	0.118
PTD1	509	20.78	0.250	27.01	0.241	23.90	0.238	6.23	0.121
PTD2	618	20.78	0.250	27.01	0.241	23.90	0.238	6.23	0.121
ENS1	1042	18.46	0.249	25.13	0.240	21.80	0.238	6.68	0.114
ENS2	1065	18.46	0.249	25.13	0.240	21.80	0.238	6.68	0.114
RLG	1668	16.59	0.260	24.68	0.247	20.63	0.240	8.08	0.163
HKG	1804	13.01	0.256	21.05	0.244	17.03	0.240	8.04	0.142
PTG	2080	11.60	0.257	19.82	0.245	15.71	0.240	8.22	0.148
HNP	2132	11.15	0.254	19.19	0.244	15.17	0.240	8.04	0.133

275 Alt. – Altitude; T<sub>max</sub>, T<sub>min</sub> and T<sub>mean</sub> –Annual maximum, minimum and mean air temperatures;  
276 DTR – Diurnal temperature range; †SE – Pooled standard error of mean calculated after pooling  
277 the monthly variances.

278

279 **Table 3. Means and standard errors of rainfall (1990-2018) and incident solar radiation**  
280 **(1970-2000) of the permanent sampling plots in tropical rainforests of Sri Lanka across an**  
281 **altitudinal gradient.**

PSP	Alt. (m)	R <sub>F</sub> (mm y <sup>-1</sup> )	SE (mm y <sup>-1</sup> )	S <sub>R</sub> (MJ m <sup>-2</sup> d <sup>-1</sup> )	SE (MJ m <sup>-2</sup> d <sup>-1</sup> )
KDN1	117	3677	103	18.945	0.449
KDN2	174	3938	110	18.833	0.437
PTD1	509	3922	109	18.496	0.425
PTD2	618	3922	109	18.330	0.430
ENS1	1042	3160	87	17.910	0.437
ENS2	1065	3160	87	17.910	0.437
RLG	1668	3043	84	17.731	0.471
HKG	1804	2034	56	17.688	0.476
PTG	2080	1926	53	17.460	0.477
HNP	2132	2069	56	17.398	0.478

282 Alt. – Altitude; R<sub>F</sub> – Total annual rainfall (1990-2018); S<sub>R</sub> – Daily solar irradiance (1970-2000);  
283 SE – Pooled standard error of mean.

284

### 285 **Within-year variation of average climate during the 1990-2018 period**

286 At all altitudes, monthly mean maximum temperature (T<sub>max</sub>) showed an increasing trend from  
287 January to March-April (Fig 2A). This increasing trend peaked in March in the PSPs at lower  
288 (Kanneliya and Sinharaja-Pitadeniya) and mid (Sinharaja-Enasalwatte) altitudes. However, at  
289 higher altitudes (Hakgala, Pidurutalagala and Horton Plains) the increasing trend in T<sub>max</sub> continued  
290 up to April. At the lower and mid altitudes, T<sub>max</sub> declined from April onwards to reach a lower  
291 plateau during the three-month period from July to September. At higher altitudes, T<sub>max</sub> remained  
292 high in May also, but showed sharp decline in June to a lower plateau from June to August. At



293 lower and mid altitudes,  $T_{\max}$  showed a very slow increasing trend from September onwards. This  
294 increasing trend accelerated in January. At higher altitudes, a sharp increase in  $T_{\max}$  could be  
295 observed from August to September, which was followed by a very slow decreasing trend from  
296 September to November. The variation pattern of  $T_{\max}$  in Rilagala at an altitude of 1668 m was  
297 closer to the corresponding pattern in Sinharaja-Enasalwatte (altitude 1042-1065 m) than to the  
298 pattern in Hakgala (1804 m).

299

300 **Fig 2. Variation of monthly mean maximum temperature (A) and minimum temperature (B)**  
301 **during the period from 1990 to 2018 in permanent sampling plots of tropical rainforests in**  
302 **Sri Lanka across an altitudinal gradient. Error bars indicate the standard error of means.**

303

304 The variation pattern of long-term average monthly minimum temperature ( $T_{\min}$ ) (Fig 2B) was  
305 different from that of  $T_{\max}$ . At lower altitudes (i.e., Kanneliya and Sinharaja-Pitadeniya),  $T_{\min}$   
306 showed a continuous increase from January to May before declining slowly until December. At  
307 the mid and higher altitudes,  $T_{\min}$  increased from February to a peak in June, which was followed  
308 by a decline till January in the following year. Both the increases and the decreases of  $T_{\min}$  were  
309 sharper (i.e., at higher rates) in the mid and higher altitudes than at the lower altitudes.

310

311 The within-year variation of long-term average monthly mean temperature ( $T_{\text{mean}}$ ) showed a single  
312 peak (Fig 3A). At the lower altitudes, this peak occurred in April whereas at the mid and higher  
313 altitudes, it occurred in May. Following a decline from the peak,  $T_{\text{mean}}$  at all altitudes remained  
314 stable during the four-month period from July to October before beginning the declining period  
315 from October to January.

316 **Fig 3. Variation of monthly mean average temperature (A) and diurnal temperature range**  
317 **(B) during the period from 1990 to 2018 in permanent sampling plots of tropical rainforests**  
318 **in Sri Lanka across an altitudinal gradient.**

319  
320 The day-night temperature differential, as quantified by the diurnal temperature range (DTR),  
321 showed a peak in the February-April period and a trough in the June-August period (Fig  
322 3B). Throughout the year, DTR in the four higher altitude sites (i.e., Rilagala, Hakgala,  
323 Pidurutalagala and Horton Plains) was greater than that in the mid and lower altitude  
324 sites. Furthermore, the difference between periods of the highest and lowest DTR was highest in  
325 the four high altitude sites, being between 5.55°C (Rilagala) and 6.23°C (Pidurutalagala). The  
326 corresponding value in the mid altitude site at Sinharaja-Enasalwatte was 4.27°C. The lower  
327 altitude sites of Sinharaja-Pitadeniya and Kanneliy recorded differences of 3.92°C and 3.42°C  
328 between the months of the highest and lowest DTR.

329  
330 Monthly total rainfall ( $R_F$ ) patterns were different between the lower and higher altitudes (Fig  
331 4). The overall patterns at the lower and mid altitudes were clearly bimodal with two prominent  
332 and approximately equal peaks, whereas the higher altitudes had only one prominent peak and a  
333 minor peak. At lower altitudes (Kanneliya and Sinharaja-Pitadeniya), the peaks occurred in May  
334 where  $R_F$  exceeded 450 mm month<sup>-1</sup> and in October-November where  $R_F$  exceeded 400 mm  
335 month<sup>-1</sup>. The mid (Sinharaja-Enasalwatte) and higher-mid (Rilagala) altitudes also had their  $R_F$   
336 peaks in May and November, which at 300 - 400 mm month<sup>-1</sup>, were lower than the respective  
337 peaks of the low altitudes. At high altitudes, the single prominent  $R_F$  peak occurred in November  
338 with around 250 mm month<sup>-1</sup> while the minor peak that occurred in April had only 150 - 170 mm

339 month<sup>-1</sup>. It is notable that among these high-altitude sites, Horton Plains showed an additional  $R_F$   
340 peak in August, with 235 mm month<sup>-1</sup>. Except during the December-January period, monthly  
341 totals  $R_F$  of lower and mid altitudes were always greater than those of the corresponding months  
342 at higher altitudes.

343

344 **Fig 4. Variation of monthly total rainfall during the period from 1990 to 2018 in permanent**  
345 **sampling plots of tropical rainforests in Sri Lanka across an altitudinal gradient.**

346

347 Daily irradiance ( $S_R$ ) also showed a bimodal variation pattern at all altitudes (Fig 5). One peak  
348 occurred in March while the other occurred in August - September. During the peak in March,  $S_R$   
349 showed a clear decreasing trend with increasing altitude. The highest  $S_R$  (22.30 MJ m<sup>-2</sup> d<sup>-1</sup>) was  
350 in Kanneliya-Plot 1 whereas the lowest (20.62 MJ m<sup>-2</sup> d<sup>-1</sup>) was in Horton Plains. The  $S_R$  peak in  
351 August-September was slightly lower (*ca.* 18 - 19 MJ m<sup>-2</sup> d<sup>-1</sup>) than that in March. Furthermore,  
352 there was no clear variation pattern of  $S_R$  with altitude during this peak. In between these two  
353 peaks, two prominent troughs were also evident, one in June and the other December. During both  
354 these periods,  $S_R$  showed decreasing trends with increasing altitude, within ranges of 14.97 - 16.97  
355 MJ m<sup>-2</sup> d<sup>-1</sup> and 15.73 - 17.26 MJ m<sup>-2</sup> d<sup>-1</sup> during June and December respectively.

356

357 **Fig 5. Variation of monthly mean daily solar irradiance during the period from 1970 to 2000**  
358 **in permanent sampling plots of tropical rainforests in Sri Lanka across an altitudinal**  
359 **gradient.**

360

361 **Within-year variation of monthly soil water deficit during the 1990-**

362 **2018 period**

363 Variation patterns of the monthly soil water deficit (SWD) and the cumulative soil water deficit  
364 (CSWD) showed clear separation based on altitude (Fig 6). Table 4 shows the maximum values  
365 of long-term monthly mean SWD and CSWD observed in each PSP and the months during which  
366 these maxima occurred.

367

368 **Fig 6. Variation of monthly mean soil water deficit (A) and cumulative soil water deficit (B)**  
369 **during the period from 1990 to 2018 in permanent sampling plots of tropical rainforests in**  
370 **Sri Lanka across an altitudinal gradient.**

371

372 Monthly soil water deficit (SWD) was highest during the February-March period at all altitudes  
373 (Fig 6A, Table 4). This was followed by a reduction of SWD from March to April. During the  
374 rest of the year from May to December, SWD remained below 5 mm month<sup>-1</sup> at all altitudes below  
375 1800 m. At altitudes greater than 1800 m, the reduction of SWD from March to April did not  
376 reach as low as at altitudes lower than 1800 m. Thereafter, the three highest altitudes experienced  
377 another increase of SWD from May to June. Even though the SWD decreased from June to  
378 August, it was higher than the corresponding SWDs at altitudes lower than 1800 m during the  
379 same period. There was another smaller increase of SWD from August to September at the three  
380 highest altitudes, which was followed by a continuous reduction from September to December.

381

382 **Table 4. Maximum mean monthly soil water deficit ( $\text{SWD}_{\text{max}}$ ) and cumulative SWD**  
 383 **( $\text{CSWD}_{\text{max}}$ ) observed during the period from 1990 to 2018 in the permanent sampling plots**  
 384 **in tropical rainforests of Sri Lanka across an altitudinal gradient.**

PSP	Alt. (m)	$\text{SWD}_{\text{max}}$ (mm month <sup>-1</sup> )	SE (mm month <sup>-1</sup> )	Month observed	$\text{CSWD}_{\text{max}}$ (mm)	SE (mm)	Month observed
KDN1	117	11.94	5.42	February	21.05	10.98	March
KDN2	174	10.92	5.17	February	18.50	10.04	March
PTD1	509	11.18	5.20	February	15.85	9.57	March
PTD2	618	11.18	5.20	February	15.85	9.57	March
ENS1	1042	25.48	6.65	February	36.92	9.66	February
ENS2	1065	25.48	6.65	February	36.92	9.66	February
RLG	1668	21.98	6.04	February	36.34	13.99	March
HKG	1804	40.54	7.54	March	72.56	14.64	March
PTG	2080	53.97	7.66	March	92.14	15.28	March
HNP	2132	51.22	7.99	March	106.26	17.23	March

385 Alt. – Altitude; SE – Standard error.

386

387 The maximum monthly SWD experienced in March was highest at the two highest altitudes  
 388 (Pidurutalagala and Horton Plains), with the next highest altitude (Hakgala) also showing a  
 389 substantially higher SWD than the altitudes lower than 1800 m (Table 4). The altitudes below  
 390 1800 m experienced the maximum monthly SWD in February. The maximum SWDs at the mid-  
 391 (Sinharaja-Enasalwatta) and higher-mid (Rilagala) altitudes were lower than the maxima of the  
 392 highest altitudes but were higher than the maxima of the lower-mid (Sinharaja-Pitadeniya) and the  
 393 lowest (Kanneliya) altitudes. Among the three highest altitudes, which showed smaller peaks of  
 394 monthly SWD in June and September, Hakgala and Pidurutalagala experienced greater SWDs than  
 395 Horton Plains.

396

397 **Within year variation of cumulative soil water deficit during the**  
 398 **1990-2018 period**

399 The within year variation of cumulative soil water deficit (CSWD) among the different altitudes  
400 (Fig 6B) showed a similar pattern of variation to that of monthly SWD (Fig 6A). The CSWD  
401 reached maximum in March at all altitudes except the mid-altitude in Sinharaja-Enasalwatte,  
402 where CSWD peaked in February (Table 4). The three highest altitudes experienced two further,  
403 smaller peaks in June (Horton Plains)-July (Hakgala and Pidurutalagala) and September. During  
404 March, the highest CSWD of 106 mm was observed at the highest altitude in Horton Plains. This  
405 was followed by the next higher altitudes, Pidurutalagala (92 mm) and Hakgala (73 mm). The  
406 maximum CSWD of altitudes below 1800 m were substantially lower than those at altitudes above  
407 1800 mm.

408

### 409 **Variation of monthly mean climatic variables and soil water deficit** 410 **with altitude during the 1990-2018 period**

411 As expected, all monthly means of  $T_{\max}$ ,  $T_{\min}$  and  $T_{\text{mean}}$  showed highly significant ( $P < 0.0001$ ) linear  
412 reductions with increasing altitude (Table 5). Rates of reduction of  $T_{\min}$  of all months were greater  
413 than the corresponding rates of reduction of  $T_{\max}$ . Consequently, the respective reduction rates of  
414  $T_{\text{mean}}$  were intermediate to those of  $T_{\max}$  and  $T_{\min}$ . The respective rates of reduction of the three  
415 temperature variables showed variation among different months of the year. January, December  
416 and June showed the highest rates of reduction for  $T_{\max}$  whereas May, April and September showed  
417 the lowest rates. March, February and January showed the highest rates of reduction of  $T_{\min}$  while  
418 June, July and November showed the lowest. The highest rates of reduction of  $T_{\text{mean}}$  were in  
419 February, January and March while the lowest rates of in May, September and October. In contrast  
420 to the three temperature variables, the diurnal temperature range (DTR) of all months increased

421 (P<0.0001) with increasing altitude. The rate of increase was highest in March, April and May  
 422 and was lowest in June, July and November.

423 **Table 5. Change of monthly means of key climatic variables with altitude during the period**  
 424 **from 1990 to 2018 in the permanent sampling plots in tropical rainforests of Sri Lanka across**  
 425 **an altitudinal gradient.**

Month	Change (°C per 1000 m increase in altitude)							
	T <sub>max</sub>	Adj. R <sup>2</sup>	T <sub>min</sub>	Adj. R <sup>2</sup>	T <sub>mean</sub>	Adj. R <sup>2</sup>	DTR	Adj. R <sup>2</sup>
January	-4.889***	0.928	-6.084***	0.969	-5.486***	0.953	1.194***	0.922
February	-4.574***	0.909	-6.441***	0.967	-5.508***	0.947	1.867***	0.948
March	-4.365***	0.909	-6.539***	0.965	-5.452***	0.946	2.175***	0.970
April	-4.150***	0.918	-6.209***	0.963	-5.179***	0.947	2.058***	0.977
May	-4.014***	0.926	-5.953***	0.963	-4.984***	0.950	1.939***	0.983
June	-4.863***	0.932	-5.442***	0.958	-5.152***	0.947	0.579***	0.855
July	-4.794***	0.930	-5.476***	0.958	-5.135***	0.946	0.682***	0.914
August	-4.736***	0.932	-5.569***	0.961	-5.152***	0.948	0.833***	0.938
September	-4.292***	0.923	-5.834***	0.963	-5.063***	0.948	1.543***	0.967
October	-4.563***	0.926	-5.588***	0.964	-5.075***	0.949	1.025***	0.897
November	-4.762***	0.931	-5.495***	0.960	-5.129***	0.948	0.733***	0.805
December	-4.832***	0.939	-5.756***	0.967	-5.294***	0.956	0.924***	0.913

426 T<sub>max</sub>, T<sub>min</sub> and T<sub>mean</sub> – Maximum, minimum and mean air temperatures; DTR – Diurnal temperature range;  
 427 \*\*\* – Significant at P<0.0001.

428  
 429 Monthly mean R<sub>F</sub> in January and July did not show a significant (P>0.05) trend with altitude.  
 430 However, all other months showed significant (P<0.05) reductions in their mean R<sub>F</sub> with  
 431 increasing altitude (Table 6). May, March and October showed the highest rates of reduction while  
 432 December, February and August showed the lowest. Monthly SWD and CSWD showed  
 433 significant (P<0.05) linear increases with altitude in seven out of the 12 months. January, August,  
 434 October, November and December were the months which did not show significant (P>0.05)  
 435 trends with altitude. Among the months in which SWD and CSWD increased significantly  
 436 (P<0.05) with altitude, their respective rates of increase were highest in March and lowest in  
 437 September. It was notable that April also had a higher rate of increase for CSWD which was close  
 438 to the maximum observed in March.

439 **Table 6. Change of monthly means of rainfall and soil water deficit with altitude during the**  
 440 **period from 1990 to 2018 in the permanent sampling plots in tropical rainforests of Sri Lanka**  
 441 **across an altitudinal gradient.**

Month	Change (mm per 1000 m increase in altitude)								
	R <sub>F</sub>	Adj. R <sup>2</sup>	P	SWD	Adj. R <sup>2</sup>	P	CSWD	Adj. R <sup>2</sup>	P
January	-14.31	0.051	ns	1.398	-0.040	ns	1.115	-0.086	ns
February	-50.19	0.896	<0.0001	13.49	0.784	0.0004	16.65	0.640	0.0033
March	-120.29	0.817	0.0002	22.17	0.764	0.0006	39.09	0.747	0.0008
April	-110.17	0.838	0.0001	8.109	0.688	0.0018	35.05	0.735	0.0009
May	-165.07	0.910	<0.0001	4.968	0.708	0.0014	5.827	0.694	0.0017
June	-102.49	0.677	0.0021	9.911	0.558	0.0079	15.92	0.622	0.0041
July	-25.91	0.168	ns	5.014	0.339	0.0453	13.82	0.421	0.0252
August	-69.17	0.563	0.0075	1.666	0.274	ns	3.000	0.234	ns
September	-72.11	0.837	0.0001	3.837	0.482	0.0156	5.211	0.433	0.0229
October	-120.43	0.887	<0.0001	0.193	0.237	ns	0.536	0.243	ns
November	-101.57	0.827	0.0002	.	.	.	.	.	.
December	-43.75	0.542	0.0091	-0.143	-0.107	ns	-0.143	-0.107	ns

442 R<sub>F</sub> – Rainfall; SWD – Soil water deficit; CSWD – Cumulative SWD; ns – Non-significant at P=0.05. †The  
 443 SWD and CSWD in November was zero at all altitudes.

444

445 **Variation of annual means of climate and maximum soil water deficit**  
 446 **with altitude during the 1990-2018 period**

447 Long-term annual means of all climatic variables (Tables 2 and 3) and maximum soil water deficits  
 448 (Table 4) showed highly significant (P < 0.001) linear relationships with altitude (Table 7). All  
 449 three temperature variables, R<sub>F</sub> and S<sub>R</sub> decreased whereas DTR, SWD<sub>max</sub> and CSWD<sub>max</sub> increased  
 450 with increasing altitude. Similar to the corresponding variations in their monthly means (Table 5),  
 451 annual mean T<sub>min</sub> showed a greater change with altitude than T<sub>max</sub>.

452



453 **Table 7. Change of annual means of key climatic variables and maximum soil water deficits**  
454 **with altitude during the period from 1990 to 2018 in the permanent sampling plots in tropical**  
455 **rainforests of Sri Lanka across an altitudinal gradient.**

Variable	Change per 1000 m increase in altitude	Adj. R <sup>2</sup>	P
T <sub>max</sub> (°C)	-4.57	0.926	<0.0001
T <sub>min</sub> (°C)	-5.87	0.964	<0.0001
T <sub>mean</sub> (°C)	-5.22	0.949	<0.0001
DTR (°C)	1.30	0.955	<0.0001
R <sub>F</sub> (mm y <sup>-1</sup> )	-995	0.855	<0.0001
S <sub>R</sub> (MJ m <sup>-2</sup> d <sup>-1</sup> )	-0.698	0.928	<0.0001
SWD <sub>max</sub> (mm month <sup>-1</sup> )	20.01	0.817	0.0002
CSWD <sub>max</sub> (mm)	38.86	0.774	0.0005

456 T<sub>max</sub>, T<sub>min</sub> and T<sub>mean</sub> – Maximum, minimum and mean air temperatures; DTR – Diurnal  
457 temperature range; R<sub>F</sub> – Rainfall; S<sub>R</sub> - Daily solar irradiance; SWD<sub>max</sub> – Maximum soil water  
458 deficit; CSWD<sub>max</sub> – Maximum cumulative SWD.

459

## 460 **Variability of climate and soil water deficit during the 1990-2018** 461 **period**

462 Variability of the three temperature variables (i.e., T<sub>max</sub>, T<sub>min</sub> and T<sub>mean</sub>) of all months of the year,  
463 as quantified in terms of their coefficient of variation (CV), increased with increasing altitude (Figs  
464 7 and 8A). The rate of increase of CV with altitude was smaller at altitudes up to 1668 m. There  
465 was a distinct rise in the rate of increase of CV of the temperatures from 1668 m to 2080 m.  
466 Consequently, CVs of all three temperature variables were higher at altitudes above 1668 m than  
467 at altitudes below 1668 m. In general, CVs of T<sub>min</sub> were greater than those of T<sub>max</sub> with T<sub>mean</sub>  
468 showing intermediate CVs. The CV of T<sub>max</sub> was highest in April at altitudes up to 1668 m while  
469 June had the highest CV at altitudes above 1668 m (Fig 7A). August had the lowest CV in T<sub>max</sub>  
470 across the whole range of altitudes. March and April showed the highest CVs in T<sub>min</sub> whereas  
471 August and November showed the lowest CVs at all altitudes (Fig 7B).

472

473 **Fig 7. Altitudinal variation of the coefficient of variation (CV) of monthly maximum**  
474 **temperature,  $T_{\max}$ , (A) and minimum temperature,  $T_{\min}$ , (B) during the period from 1990 to**  
475 **2018 in permanent sampling plots of tropical rainforests in Sri Lanka across an altitudinal**  
476 **gradient.**

477 **Fig 8. Altitudinal variation of the coefficient of variation (CV) of monthly mean temperature,**  
478  **$T_{\text{mean}}$ , (A) and diurnal temperature range, DTR, (B) during the period from 1990 to 2018 in**  
479 **permanent sampling plots of tropical rainforests in Sri Lanka across an altitudinal gradient.**

480  
481 The CV of  $T_{\text{mean}}$  was highest in April while being lowest in August and September (Fig 8A). The  
482 CV of DTR showed a different variation pattern (Fig 8B) to those of the three temperature  
483 variables. All months showed a slow decrease in CV from the lowest (117 m) to mid altitudes  
484 (1042 m and 1065 m), followed by an increase up to 1668 m and clear decreases from 1668 m to  
485 1804 m and from 2080 m to 2132 m. March showed the highest CV while April showed the lowest  
486 CV for DTR across the whole range of altitudes.

487  
488 Rainfall showed a much greater range of CV, i.e., 30 – 76%, (Fig 9A) than the four temperature  
489 variables, i.e., 1 – 6%, (Figs 7 and 8). On the other hand, except in June, CV of  $R_F$  did not show  
490 appreciable variation across different altitudes. In June, CV of  $R_F$  showed an increase from mid-  
491 altitudes up to 1668 m.

492  
493 **Fig 9. Altitudinal variation of the coefficient of variation (CV) of monthly rainfall,  $R_F$ , (A),**  
494 **soil water deficit, SWD, (B) and cumulative soil water deficit, CSWD, (C) during the period**

495 **from 1990 to 2018 in permanent sampling plots of tropical rainforests in Sri Lanka across**  
496 **an altitudinal gradient.**

497  
498 In comparison to all the climatic variables, monthly SWD and CSWD had substantially higher  
499 CVs which also showed fluctuations across altitudes (Figs 9B and C). January to March showed  
500 relatively lower CVs while December showed a consistently higher CV across all altitudes. May,  
501 August and September showed high CVs from the lowest altitude up to 1668 m, followed by a  
502 substantial decrease from 1668 m to 1804 m. July showed intermediate levels of CVs from the  
503 lowest to mid-altitudes, followed by an increase from mid-altitudes to 1668 m and a decrease from  
504 1668 m to 1804 m.

505

## 506 **Temporal trends and their shifts with time**

### 507 **Mean annual maximum ( $T_{\max}$ ) and minimum ( $T_{\min}$ ) temperature**

508 The distributions of  $T_{\max}$  and  $T_{\min}$  in all PSPs of both periods (i.e. 1961-1989 and 1990-2018)  
509 satisfied the condition of normality. Initial linear regressions with time showed highly significant  
510 positive linear trends during both periods ( $P < 0.0001$  and  $P < 0.01$  for 1961-1989 and 1990-2018  
511 respectively). The residuals of linear regressions of both  $T_{\max}$  and  $T_{\min}$  in all PSPs for both periods  
512 were normally distributed and satisfied the Runs test for randomness based on the Wald-Wolfowitz  
513 test for randomness. All residuals of  $T_{\max}$  satisfied the Durbin-Watson test for independence (i.e.  
514 the absence of autocorrelation) in all PSPs for both periods. Accordingly, the regression slopes  
515 and their confidence intervals (Table 8) represent the rates of  $T_{\max}$  increase. While the rates of  
516 increase of  $T_{\max}$  did not vary significantly ( $P < 0.05$ ) among the different PSPs, the rate of  $T_{\max}$   
517 increase during the 1961-1989 period was greater than that during 1990-2018 in all PSPs.

518 **Table 8. Slopes of linear regressions of annual mean maximum temperature ( $T_{\max}$ ) and annual mean minimum temperature**  
 519 **( $T_{\min}$ ) during two 29-year time periods (1961-1989 and 1990-2018) in the permanent sampling plots in tropical rainforests of Sri**  
 520 **Lanka across an altitudinal gradient.**

PSP	Alt (m)	$T_{\max}$ ( $\times 10^{-2} \text{ }^{\circ}\text{C y}^{-1}$ )				$T_{\min}$ ( $\times 10^{-2} \text{ }^{\circ}\text{C y}^{-1}$ )				Kendall $\tau$ ( $T_{\min}$ )	
		1961-1989	95% CI <sup>†</sup>	1990-2018	95% CI <sup>†</sup>	1961-1989	95% CI <sup>†</sup>	1990-2018	95% CI <sup>†</sup>	1961-1989	P <sup>‡</sup>
KDN 1	117	2.280	1.284 - 3.275	1.291	0.506 - 2.076	2.199	1.179 - 3.218	2.134	1.302 - 2.966	0.468	0.000 4
KDN 2	174	2.276	1.280 - 3.272	1.281	0.495 - 2.066	2.191	1.168 - 3.214	2.149	1.314 - 2.983	0.468	0.000 4
PTD1	509	2.281	1.287 - 3.275	1.283	0.491 - 2.076	2.207	1.185 - 3.229	2.172	1.330 - 3.015	0.463	0.000 4
PTD2	618	2.281	1.287 - 3.275	1.283	0.491 - 2.076	2.207	1.185 - 3.229	2.172	1.330 - 3.015	0.463	0.000 4
ENS1	1042	2.281	1.294 - 3.268	1.317	0.521 - 2.112	2.226	1.216 - 3.235	2.149	1.308 - 2.990	0.463	0.000 4
ENS2	1065	2.281	1.294 - 3.268	1.317	0.521 - 2.112	2.226	1.216 - 3.235	2.149	1.308 - 2.990	0.463	0.000 4
RLG	1668	2.325	1.306 - 3.344	1.151	0.348 - 1.954	2.227	1.154 - 3.301	2.347	1.475 - 3.218	0.443	0.000 7
HKG	1804	2.317	1.316 - 3.318	1.219	0.421 - 2.017	2.258	1.212 - 3.303	2.265	1.410 - 3.119	0.463	0.000 4
PTG	2080	2.323	1.318 - 3.328	1.199	0.400 - 1.999	2.251	1.198 - 3.304	2.289	1.430 - 3.148	0.463	0.000 4
HTN	2132	2.317	1.321 - 3.314	1.242	0.442 - 2.043	2.257	1.226 - 3.289	2.225	1.373 - 3.077	0.468	0.000 4

521 <sup>†</sup>95% confidence interval of the regression slope. <sup>‡</sup>Probability of Kendall  $\tau=0$ .

522

523  
524 Although the residuals of  $T_{\min}$  in all PSPs during the 1990-2018 period satisfied the Durbin-Watson  
525 test for independence, the residuals of all PSPs during the 1961-1989 period showed a positive  
526 first-order autocorrelation. The Mann-Kendall  $\tau$  was significantly positive ( $P < 0.001$ ) for all PSPs  
527 during 1961-1989 (Table 8). The slopes of linear regressions of  $T_{\min}$  of all PSPs during 1990-2018  
528 were highly significant ( $P < 0.0001$ ) and positive. The corresponding regression slopes for the  
529 1961-1989 period were also highly significant ( $P < 0.001$ ) and positive. In contrast to  $T_{\max}$ , the  
530 corresponding  $T_{\min}$  regression slopes of the two periods at each PSP did not differ significantly  
531 ( $P > 0.05$ ).

532

### 533 **Annual total rainfall ( $R_F$ )**

534 In all PSPs and both periods,  $R_F$  was normally distributed. Initial linear regressions showed  
535 significant ( $P < 0.05$ ) negative linear trends during the 1961-1989 period in all PSPs except RLG.  
536 In RLG also, the negative linear trend during 1961-1989 was significant at  $P < 0.1$ . In contrast,  
537 during the 1990-2018 period,  $R_F$  did not show a significant ( $P > 0.05$ ) trend in any of the PSPs.  
538 During both periods, residuals of the regressions of all PSPs were normally distributed. However,  
539 the residuals of the significant linear regressions during the 1961-1989 period were not randomly  
540 distributed based on the Wald-Wolfowitz test for randomness ( $P < 0.05$ ). Furthermore, the Durbin-  
541 Watson test also showed a significant ( $P < 0.05$ ) negative first-order autocorrelation. In contrast,  
542 the residuals of regressions during the 1990-2018 period (which were not significant) satisfied the  
543 test for randomness and were not autocorrelated. The Mann-Kendall  $\tau$  was negative in all PSPs  
544 during the 1961-1989 period (Table 9). In five PSPs,  $\tau$  was significant at  $P < 0.05$  whereas in the  
545 rest it was significant at  $P < 0.1$ .

546

547 **Table 9. Mann-Kendall  $\tau$  statistic for the time trends of annual total rainfall ( $R_F$ ) during the**  
548 **period from 1961 to 1989 in the permanent sampling plots in tropical rainforests of Sri Lanka**  
549 **across an altitudinal gradient.**

		<b>Kendall <math>\tau</math> (<math>R_F</math>)</b>	
<b>PSP</b>	<b>alt</b>	<b>1961-1989</b>	<b>P<sup>†</sup></b>
KDN1	117	-0.286	0.0296
KDN2	174	-0.286	0.0296
PTD1	509	-0.271	0.0391
PTD2	618	-0.271	0.0391
ENS1	1042	-0.256	0.0511
ENS2	1065	-0.251	0.0557
RLG	1668	-0.217	0.0988
HKG	1804	-0.266	0.0428
PTG	2080	-0.251	0.0557
HTN	2132	-0.251	0.0557

550 <sup>†</sup>Probability of Kendall  $\tau=0$ .

551

552 **Maximum monthly soil water deficit ( $SWD_{max}$ ) and cumulative maximum soil**  
553 **water deficit ( $CSWD_{max}$ )**

554 When the monthly mean SWD and CSWD data for the two 29-year periods (i.e. 1961-1989 and  
555 1990-2018) of all PSPs were pooled, paired t-tests showed that both SWD and CSWD had  
556 decreased significantly ( $P=0.0337$  and  $0.0082$  for SWD and CSWD respectively) (data not shown).

557 When the corresponding maxima of SWD and CSWD of PSPs were compared, monthly mean  
558  $SWD_{max}$  showed decreases from the 1961-1989 period to the 1990-2018 period in all PSPs except  
559 Pidurutalagala (Table 10). On the other hand,  $CSWD_{max}$  showed decreases from the first to the  
560 second period in all PSPs. When the data from all PSPs were pooled, paired t-tests showed these  
561 decreases to be highly significant ( $P=0.0004$  and  $<0.0001$  for  $SWD_{max}$  and  $CSWD_{max}$  respectively).

562

563 **Table 10. Maximum monthly soil water deficit ( $\text{SWD}_{\text{max}}$ ) and cumulative SWD ( $\text{CSWD}_{\text{max}}$ )**  
 564 **observed during the period from 1961 to 1989 and their respective changes up to the 1990-**  
 565 **2018 period in the permanent sampling plots in tropical rainforests of Sri Lanka across an**  
 566 **altitudinal gradient.**

PSP	alt	1961-1989				1990-2018	
		$\text{SWD}_{\text{max}}$ (mm month <sup>-1</sup> )	Std. Err. (mm month <sup>-1</sup> )	$\text{CSWD}_{\text{max}}$ (mm)	Std. Err. (mm)	Change ( $\text{SWD}_{\text{max}}$ ) (mm month <sup>-1</sup> )	Change ( $\text{CSWD}_{\text{max}}$ ) (mm)
KDN1	117	25.42	7.21	42.25	11.56	-13.49	-21.20
KDN2	174	21.44	6.93	37.40	11.03	-10.51	-18.91
PTD1	509	22.48	6.99	38.75	11.20	-11.30	-22.90
PTD2	618	22.48	6.99	38.75	11.20	-11.30	-22.90
ENS1	1042	46.44	8.90	73.51	14.04	-20.96	-36.59
ENS2	1065	47.14	8.99	74.62	14.24	-21.66	-37.70
RLG	1668	40.70	8.30	60.25	12.92	-18.73	-23.91
HKG	1804	46.47	8.60	97.60	19.51	-5.93	-25.04
PTG	2080	52.08	8.96	123.75	20.13	1.89	-31.61
HTN	2132	62.41	9.73	131.00	21.95	-11.18	-24.74
†Paired t		(SWD <sub>max</sub> ) (df=9)		5.49 (P=0.0004)			
‡Paired t		(CSWD <sub>max</sub> ) (df=9)		12.97 (P<0.0001)			

567 †Paired t-test between  $\text{SWD}_{\text{max}}$  of the two periods

568 ‡Paired t-test between  $\text{CSWD}_{\text{max}}$  of the two periods

569

570 Both  $\text{SWD}_{\text{max}}$  and  $\text{CSWD}_{\text{max}}$  showed highly significant ( $P<0.001$ ) positive linear increases with  
 571 increasing altitude during both periods. However, respective regression slopes did not differ  
 572 significantly ( $P<0.05$ ) between the two periods as their 95% confidence intervals overlapped with  
 573 each other (Table 11).

574

575 **Table 11. Slopes of linear regressions of monthly maximum soil water deficit (SWD<sub>max</sub>) and**  
 576 **maximum cumulative soil water deficit (CSWD<sub>max</sub>) against altitude during the two 29-year**  
 577 **periods in the permanent sampling plots in tropical rainforests of Sri Lanka across an**  
 578 **altitudinal gradient.**

	1961-1989			1990-2018		
	Slope ( $\times 10^{-2}$ mm month <sup>-1</sup> m <sup>-1</sup> )	95% CI <sup>†</sup> ( $\times 10^{-2}$ mm month <sup>-1</sup> m <sup>-1</sup> )	Adj.R <sup>2</sup>	Slope ( $\times 10^{-2}$ mm m <sup>-1</sup> )	95% CI <sup>†</sup> ( $\times 10^{-2}$ mm m <sup>-1</sup> )	Adj.R <sup>2</sup>
SWD <sub>max</sub>	1.712	1.002 - 2.422	0.769	2.001	1.283 - 2.719	0.817
CSWD <sub>max</sub>	4.187	2.575 - 5.799	0.795	3.886	2.298 - 5.473	0.774

579 <sup>†</sup>95% confidence interval of the regression slope.

580

### 581 **Dry-Season Index (DSI)**

582 Time courses of the DSI (i.e. maximum annual CSWD of each PSP) for the two 29-year periods  
 583 are shown in Fig 10. During both periods, the DSI of the higher altitude PSPs (i.e. RLG, HKG,  
 584 PTG and HTN) were consistently higher than those at the lower altitudes (KDN and PTD, with  
 585 the DSI of the mid-altitude plots (ENS) being intermediate. None of the time courses satisfied the  
 586 Shapiro-Wilk test of normality. The Mann-Kendall statistic ( $\tau$ ) was significantly ( $P < 0.05$ )  
 587 positive for time courses of all PSPs during the 1961-1989 period (Table 12). In contrast, during  
 588 the 1990-2018 period, the Kendall  $\tau$  was significantly ( $P < 0.05$ ) negative in all PSPs except ENS  
 589 where it was significant at  $P < 0.1$ .

590

591 **Fig 10. Time courses of Dry-Season Index (DSI) during 1961-1989 (A) and 1990-2018 (B)**  
 592 **periods in the permanent sampling plots in tropical rainforests of Sri Lanka across an**  
 593 **altitudinal gradient. DSI in a given year is the maximum cumulative soil water deficit**  
 594 **(CSWD) observed within that year.**



595

596 **Table 12. Mann-Kendall statistic ( $\tau$ ) of the time courses of dry-season index (DSI) during the**  
597 **two 29-year periods in the permanent sampling plots in tropical rainforests of Sri Lanka**  
598 **across an altitudinal gradient.**

PSP	Altitude (m)	Kendall $\tau$			
		1961-1989	P <sup>†</sup>	1990-2018	P <sup>†</sup>
KDN1	117	0.374	0.0061	-0.289	0.0351
KDN2	174	0.353	0.0096	-0.340	0.0159
PTD1	509	0.342	0.0120	-0.305	0.0295
PTD2	618	0.342	0.0120	-0.305	0.0295
ENS1	1042	0.388	0.0039	-0.249	0.0599
ENS2	1065	0.362	0.0069	-0.249	0.0599
RLG	1668	0.327	0.0151	-0.294	0.0264
HKG	1804	0.384	0.0034	-0.281	0.0325
PTG	2080	0.389	0.0030	-0.286	0.0296
HTN	2132	0.305	0.0200	-0.286	0.0296

599 <sup>†</sup>Probability of Kendall  $\tau=0$ .

600

## 601 **Discussion**

### 602 **Importance of the findings of this study**

603 The range of altitudes covered in this study is broader than those covered in previous studies [8–  
604 10] and as such provides a comprehensive and complete picture of the climate and soil water  
605 availability of TRFSLs. It revealed important within-year variation patterns of key climatic  
606 variables and soil water deficits experienced by TRFSLs across the whole altitudinal range of their  
607 occurrence. Furthermore, it also quantified the variation of long-term averages of these climate  
608 and soil variables with altitude. The variation patterns identified and quantified in this work will  
609 be useful in determining how climate and soil water availability controls the species composition,  
610 structure, and primary productivity of TRFSLs when relationships are established between these  
611 ecosystem properties and environmental variations. Such relationships will enable prediction of

612 the responses of TRFSLs to long-term climate change and provide a valid foundation for key  
613 policy decisions for protection and future sustenance of this vital ecosystem of Sri Lanka.

614

## 615 **Key findings on climate variation and their implications**

### 616 **Temperatures**

617 The observed long-term annual and monthly variations of temperature show that the rainforests in  
618 the lower altitudes experience higher temperatures which have lower diurnal and within-year  
619 fluctuations. In contrast, the TFRs in the higher altitudes experience lower temperatures which  
620 have higher diurnal and within-year fluctuations. These fluctuations, when expressed as a  
621 percentage of the prevailing temperatures, are greater at the higher altitudes.

622

623 While the observed reduction of air temperature with altitude is expected, the rate of reduction  
624 reveals important differences, which require important functional shifts in tree species present in  
625 TRFSLs at different altitudes. For example,  $T_{\min}$  shows a greater sensitivity to altitude than  $T_{\max}$   
626 (Tables 5 and 7), thus indicating that lower temperatures at night decrease faster with altitude than  
627 higher temperatures during the daytime. This requires the tree species at higher altitude montane  
628 forests to have greater tolerance to lower temperatures (i.e., cold tolerance) than those at lower  
629 altitude lowland evergreen forests. On the other hand, the lower rate of decline of  $T_{\max}$  indicates  
630 that adaptations to tolerate higher temperatures during the daytime may be less crucial than  
631 evolving adaptations to tolerate cold tolerance for tree species in tropical montane forests.

632

633 The differential sensitivity of  $T_{\min}$  and  $T_{\max}$  to altitude causes the increase of DTR with altitude  
634 (Tables 2 and 7). This means that the day-night temperature fluctuation as a percentage of the

635 prevailing average temperature regime becomes greater with increasing altitude. This also has  
636 important implications for evolving tree species with adaptations required to achieve survival and  
637 higher productivity in TRFSLs at different altitudes. It means that tree species in tropical montane  
638 forests need adaptations to a lower temperature regime with greater fluctuations whereas tree  
639 species in tropical lowland evergreen forests require adaptations to a warmer environment with  
640 fluctuations of a lower amplitude.

641  
642 In this regard, the impact of greenhouse gas-induced climate warming [4] on future  $T_{\min}$  and  $T_{\max}$   
643 of TRFSLs will be crucial in specifying the adaptations that tree species of TRFSLs need to evolve  
644 in their physiology. In an analysis of long-term temperature data from Nuwara Eliya in Sri Lanka  
645 (altitude 1895 m), Sonnadara [45] found DTR to have decreased significantly with time due to an  
646 increasing trend in  $T_{\min}$ , especially during the colder periods of the year, while the  $T_{\max}$  remained  
647 unchanged. The decreasing trend of DTR with time in parallel to the global warming trend agrees  
648 with the altitudinal trend of DTR found in the present study (Tables 2 and 7). As the temperatures  
649 increased with decreasing altitude, DTR narrowed as the  $T_{\min}$  increased faster than  $T_{\max}$ . The faster  
650 decrease of  $T_{\min}$  with altitude agreed with the faster increase of  $T_{\min}$  with global warming, whereas  
651 the slower decrease of  $T_{\max}$  with altitude contrasted with the absence of a  $T_{\max}$  trend with global  
652 warming. Therefore, there is partial agreement between the temperature trends in response to  
653 decreasing altitude and those shown in response to global warming.

654  
655 The present analysis reveals that within-year variations of  $T_{\max}$  and  $T_{\min}$  (Fig 2) cause substantial  
656 within-year fluctuation in DTR (Fig 3B). At all altitudes, DTR shows a peak during the three-  
657 month period from February to April and a trough during the period from June to August. The

658 peak period occurs mainly because of a peak in  $T_{\max}$  while the trough period occurs because of the  
659 combined effect of a trough in  $T_{\max}$  and a peak in  $T_{\min}$  during June to August. These within-year  
660 variations in  $T_{\max}$ ,  $T_{\min}$  and DTR further emphasize the differing temperature regimes at lower and  
661 higher altitudes and consequent need for the rainforests to evolve different eco-physiological  
662 mechanisms for optimum adaptation to their respective environment. Accordingly, tree species at  
663 higher altitude rainforests need adaptations to survive and function at a higher day-night  
664 temperature differential which can reach as high as 11 – 12°C during March. On the other hand,  
665 tree species at lower altitudes require eco-physiological adaptations to a day-night temperature  
666 differential, which is only about 50% of the maximum DTR at higher altitudes. In a seedling  
667 experiment with ten neo-tropical tree species, Cheeseman and Winter [46] showed that the  
668 seedling response to different DTR regimes differed among pioneer and non-pioneer species, with  
669 the pioneers showing greater adaptation than non-pioneers in their growth response to non-  
670 optimum temperatures and DTRs. Accordingly, Cheeseman and Winter [46] highlighted the  
671 importance of thermal acclimation potential of key physiological processes such as photosynthesis  
672 and respiration of tropical tree species. In this regard, Cunningham and Read [47] found that the  
673 photosynthesis of temperate tree species can acclimate to a broader temperature range than tropical  
674 tree species. They attributed this difference in acclimation potential between temperate and  
675 tropical tree species to the day-night and seasonal fluctuations that the temperate species  
676 experience in their natural environment. Therefore, the different tree species that are found in  
677 TRFSLs at different altitudes probably require acclimation of their key physiological processes in  
678 accordance with the within-year and day-night temperature fluctuation at their respective altitudes.  
679 Such variation in acclimation potential could have important implications on how these forest  
680 ecosystems and their tree species respond to global warming and climate change [48,49].

681

682 **Rainfall and solar irradiance**

683 The respective analyses of this study reveal that both  $R_F$  and  $S_R$  experienced by TRFSLs decrease  
684 with increasing altitude (Tables 2 and 7). These trends should be considered specific to the  
685 altitudinal gradient covered in this study as other altitudinal gradients in tropical rainforests of  
686 other parts of the world could show different trends. As Körner [19] points out, altitudinal trends  
687 in rainfall are extremely difficult to predict because of the complex interaction of several  
688 atmospheric processes and land features that determine the moisture availability in the atmosphere  
689 to generate rainfall. In the present study, the forest plots at altitudes up to 1668 m are located on  
690 the western slope of the Central Highlands of Sri Lanka, which is on the windward side for the  
691 south-west monsoon rains, one of the two major rainy seasons experienced by TRFSLs. On the  
692 other hand, the three plots at the highest altitudes (i.e., Hakgala, Pidurutalagala and Horton Plains)  
693 are located along the eastern slope, which is on the leeward side for the south-west monsoon rains.  
694 Therefore, it is likely that the ‘rain shadow effect’ on the leeward side contributed to the relatively  
695 lower  $R_F$  experienced at the three highest altitude plots. The distribution of the first inter-monsoon  
696 rainfall season during the period from March to April increases towards the south-western part of  
697 the country [50]. During this period, rainfall has shown increases in all PSPs creating a minor peak  
698 in HKG, PTG and HTN. But the increase of rainfall at lower and mid altitudes was not sufficient  
699 to create a prominent peak nor a minor peak during the first inter-monsoon. During the second  
700 inter-monsoon rainfall season from October to November, even distribution of rainfall occurs  
701 throughout the country with a higher rainfall at south-western slopes. In agreement with this trend,  
702 a prominent peak was observed during the period from October to November in all PSPs, which  
703 increased with decreasing altitude. Although the north-east monsoon rains from December to

704 February were expected to bring higher rainfall to the eastern slopes of the Central Highlands [50],  
705 no prominent nor minor peak occurred in HKG, PTG and HTN. The greater effect of the north-  
706 east monsoon on higher altitudes compared to lower and mid altitudes probably altered the clear  
707 decreasing pattern of monthly rainfall along the altitudinal gradient in December and January.  
708 However, the within-year variation  $R_F$  at different altitudes shows that the three highest altitudes  
709 have consistently lower  $R_F$  throughout the year than the lower and mid-altitude plots (Fig 4).  
710 Therefore, atmospheric phenomena and land surface features other than their location on the  
711 Central Highlands probably play a role in determining the altitudinal variation of  $R_F$  across  
712 TRFSLs. The observation that both annual total  $R_F$  and monthly  $R_F$  of the mid- (Sinharaja-  
713 Enasalwatte, ENS1 and ENS2) and higher-mid (Rilagala, RLG) altitudes are at intermediate levels  
714 between those of the lowest and highest altitudes indicates that the rainfall variation across this  
715 altitudinal gradient is at least partially linked to factors and phenomena that vary with altitude.

716  
717 Even though the intensity of incident solar radiation under clear skies increases with increasing  
718 altitude because of increased atmospheric transmissivity at higher altitudes [19], variations in  
719 cloudiness and atmospheric moisture (i.e., fog, mist) determine the actual  $S_R$  experienced by  
720 vegetation. It is possible that greater cloudiness, fog, and mist contributed to the decrease of  $S_R$   
721 experienced by TRFSLs at higher altitudes. It is notable that the inverse relationship between  $R_F$   
722 and  $S_R$  that is observed across the different climatic zones of Sri Lanka does not apply to the  
723 altitude range occupied by the TRFSLs [51]. However, the two peaks of monthly  $S_R$  observed at  
724 all altitudes in February – April and August – September periods (Fig 5) partially coincide with  
725 two periods of relatively lower  $R_F$  (Fig 4). In addition, they also correspond to the two periods  
726 during which the Earth's orbit around the sun brings it directly above Sri Lanka. Hence, it is likely

727 that the two above phenomena combined to determine the within-year variation pattern of  $S_R$   
728 observed across the TRFSLs of the present study.

729

### 730 **Soil water deficits**

731 Computation of the soil water deficits, both on a separate monthly basis (SWD) and on a  
732 cumulative basis (CSWD), across the range of altitudes traversed by the TRFSLs (Fig 6) is an  
733 important contribution of this study to the existing knowledge base. As acknowledged by Malhi  
734 and Wright [38], SWD and CSWD calculated using their method, provides a relative measure of  
735 the dry season length and intensity. The computation of SWD and CSWD uses monthly  
736 evapotranspiration rates ( $E_t$ ) derived from equation 2 with the coefficients obtained from Malhi  
737 and Wright [38]. Even though these coefficients were derived from measurements in South  
738 American TRFs in the Amazonia, the  $E_t$  values reported from published literature on TRFs in Asia,  
739 South America and Africa (Table S1) confirmed the validity of the coefficients used. The Table  
740 S1 also includes a compilation of  $E_t$  measurements from 138 tropical forests [52]. All measured  
741 or estimated monthly  $E_t$  values were within a narrow range between 97 and 125 mm month<sup>-1</sup>. The  
742 monthly  $E_t$  values in the Asian region with annual rainfalls that are comparable to those of the  
743 present study are within an even narrower range between 109 and 125 mm month<sup>-1</sup>. The TRFs in  
744 the Congo basin where the monthly  $E_t$  values are lower (97 – 99 mm month<sup>-1</sup>) have a lower annual  
745 rainfall regime than the sites of the present study. The TRFs in the Amazonia are intermediate  
746 between those in Asia and the Congo basin while having a rainfall regime which is comparable to  
747 that of the present study. The value of 118 mm month<sup>-1</sup> used as  $E_{t_0}$  in equation 2 represents the  $E_t$   
748 of a TRF which is not experiencing a water deficit. This is within the range of  $E_t$  values reported  
749 for TRFs in Asia. The value of -0.3625 mm ( $E_t$  month<sup>-1</sup>) mm<sup>-1</sup> (Soil water deficit, SWD) is a

750 measure of the sensitivity of Et to SWD. The maximum monthly SWD observed in the present  
751 study was 54 mm (at Pidurutalagala where the annual rainfall was 1926 mm y<sup>-1</sup>), which would  
752 reduce Et<sub>0</sub> from 118 mm month<sup>-1</sup> by 20 mm month<sup>-1</sup>. The resulting Et at Pidurutalagala would be  
753 98 mm month<sup>-1</sup> which is similar to the Et values reported for the lower rainfall regimes in the  
754 Amazonia and in the Congo basin. As such, values of the two coefficients of equation 2 have a  
755 strong validation from Et values reported in literature from all three continents which contain  
756 substantial areas of tropical rainforests.

757  
758 Computed SWD values showed that TRFSLs at all altitudes experienced a dry season from  
759 February to March, which coincides with the lower rainfall that prevails during this period. The  
760 lower rainfall levels at the three highest altitudes caused their higher SWDs. On the other hand,  
761 the relatively higher rainfall levels, especially during March were responsible for the lower SWDs  
762 at the lowest altitudes. It is notable that CSWD increased continuously over the three-month period  
763 from January to March at the three highest altitudes while CSWD increased only up to February  
764 at mid- and lower altitudes. Furthermore, the three highest altitudes experienced a second, smaller  
765 peak of SWDs in June and a 2 to 3-month period of continuous increase of CSWD from May to  
766 July. In contrast, the mid- and lower altitudes did not experience this second peak of SWD and  
767 CSWD.

768  
769 The Dry-Season Index (DSI), which was the maximum CSWD observed in a given year in each  
770 PSP gives a relative measure of the intensity and duration of the ‘dry’ season [38] during which  
771 the forests are likely to experience water stress. Results of this study showed that DSI increases



772 with increasing altitude so that the montane forests at higher altitudes are more likely to experience  
773 droughts of greater intensity and duration than the lowland rainforests at lower altitudes.

774

775 The above variations in SWD and CSWD, when considered in conjunction with the corresponding  
776 monthly variations of temperature (Figs 2 and 3) and rainfall (Fig 4) means that the montane forests  
777 at the higher altitudes experience greater seasonality in terms of both soil water availability and  
778 temperature. Accordingly, the tree species in the montane forests need to evolve physiological  
779 mechanisms to adapt to this seasonality of climate and soil water availability [53–55]. In contrast,  
780 tree species in the lowland evergreen forests do not have a critical need to evolve such adaptations  
781 to climate seasonality.

782

### 783 **Variability of climate and soil water deficits and its implications**

784 In addition to the long-term averages and within-year variations of key climatic variables and soil  
785 water deficits experienced by TRFSLs, the present study also quantified the inter-annual variability  
786 in the climate and soil water availability that are experienced by this ecosystem at different  
787 altitudes. These assessments of climate and soil variability could provide indicators on the  
788 dynamics and successional trajectories of TRFSLs as long-term environmental variability  
789 influences the functional properties of plant species that thrive in these lowland evergreen and  
790 montane forest ecosystems [56–59].

791

792 A key finding of the assessment of inter-annual variability of climatic variables, quantified in terms  
793 of their CV (Figs 7 and 8) was the substantially higher variability in rainfall and soil water deficits  
794 in comparison to the variability of the four temperature variables. This agrees with the findings of

795 De Costa [5] in a long-term analysis of temperature and rainfall data at a series of locations  
796 representing different agroclimatic zones of Sri Lanka. The higher variability of rainfall can be  
797 attributed to the complex interaction of atmospheric processes and mechanisms responsible for  
798 rainfall generation and their interaction with altitude and land surface properties. Furthermore,  
799 atmospheric phenomena such as the El-Niño Southern Oscillation (ENSO) [11,56,58,60] and  
800 Indian Ocean Dipole [61,62] probably contribute to the greater inter-annual variability of rainfall.  
801 It is notable that the magnitude of variability of rainfall shows little within-year variation (Fig 8A).  
802 In contrast, magnitudes of variability of the temperature variables showed appreciable within-year  
803 variation (Fig 7). The substantially higher inter-annual variability of SWD and CSWD, when  
804 taken in conjunction with the higher variability of rainfall, shows that despite being in a relatively  
805 high-rainfall environment, tree species in TRFSLs may require adaptations and mechanisms to  
806 buffer them against these substantial fluctuations in water availability.

807

## 808 **Comparison of long-term climate of tropical rainforests of Sri Lanka** 809 **(TRFSLs) with the climate of tropical rainforests in other parts of the** 810 **world**

811 The ranges of climatic variables (i.e.  $T_{\max}$ ,  $T_{\min}$ ,  $R_F$  and  $S_R$ ) observed in the present study broadly  
812 agreed with the ranges reported for tropical rainforests elsewhere [38,63–67] because all these  
813 forests are located within the same range of latitudes. Similarly, the range of maximum cumulative  
814 soil water deficit ( $CSWD_{\max}$ ) observed in the TRFSLs of this study (Tables 4 and 10) was within  
815 the corresponding range of Malhi and Wright [38] who termed  $CSWD_{\max}$  as the dry season index  
816 (DSI).

817  
818 However, the altitudinal trends of climatic variables observed in the present study showed only  
819 partial agreement with the altitudinal trends observed in other studies [68,69]. The reductions of  
820 annual means of  $T_{\max}$  and  $T_{\min}$  with increasing altitude observed in the present study agreed with  
821 the trends observed in other studies. However, the decreasing trends of annual  $R_F$  and daily mean  
822  $S_R$  of this study were different from the corresponding patterns reported in other work. For  
823 example, Dieleman et al. [69] observed annual total  $R_F$  increasing with increasing altitude. On the  
824 other hand, Wallace and McJannet [68] observed a reduction of annual total  $R_F$  from the low (i.e.  
825 30 m) to the mid (1050 m) altitude, which was followed by a substantial increase at the high (1560  
826 m) altitude. Similarly, in contrast to the continuous decrease of daily mean  $S_R$  of the present study,  
827 Wallace and McJannet [68] reported an increase from low to mid altitude, followed by a substantial  
828 decrease at the high altitude.

829  
830 The increasing temporal trends of  $T_{\max}$  and  $T_{\min}$  observed in the present study (Table 8) agreed  
831 with similar warming trends in other tropical rainforests elsewhere [38,64,67]. However, the rates  
832 of increases of  $T_{\max}$  and  $T_{\min}$  (0.13 – 0.23°C per decade) were lower than the mean rate of 0.26°C  
833 per decade observed by Malhi and Wright [38] for the rainforests in all tropical regions of the  
834 world (i.e. South America, Asia and Africa). However, except for the rates of increase of  $T_{\max}$   
835 during 1990-2018, the rates of increases of both  $T_{\max}$  and  $T_{\min}$  in the present study were greater  
836 than the rate of 0.15°C per decade reported for rainforests in North Queensland, Australia by  
837 Bauman et al. [67] for the 1971-2019 period.

838

839 The temporal decline of annual total  $R_F$  observed for the 1961-1999 period is in agreement with  
840 the moderate decreasing trend observed for the Asian region by Malhi and Wright [38]. Similarly,  
841 Asefi-Najafabady and Saatchi [70] and Zhou et al. [71] observed strong decreasing trends in  $R_F$  in  
842 the rainforests of West and Central Africa and Congo during the first decade of the 21<sup>st</sup> century.  
843 However, Malhi and Wright [38] also reported that many tropical regions did not show a  
844 significant trend in  $R_F$ . This is similar to the absence of a  $R_F$  trend that was observed in the present  
845 study during the 1990-2018 period. The higher variability of  $R_F$  in comparison to the lower  
846 variability of  $T_{max}$  and  $T_{min}$  in the present study agrees with a similar observation in Malhi and  
847 Wright [38]. On the other hand, Gloor et al. [66] observed an increasing trend in annual total  $R_F$   
848 in the humid tropical regions of the Amazon since 1990. Gloor et al. [66] further observed an  
849 increasing trend in the wet-season  $R_F$  while observing a decreasing trend in the dry-season  $R_F$ .

850  
851 It is notable that both monthly  $SWD_{max}$  and  $CSWD_{max}$  had decreased from the 1961-1989 period  
852 to the 1990-2018 (Table 10). The observation that the intensity and duration of the dry-season (as  
853 quantified by the DSI) decreased during the 1990-2018 period (Fig 9 and Table 12) supports the  
854 above decreases of  $SWD_{max}$  and  $CSWD_{max}$ . This is primarily because of the decreasing trend in  $R_F$   
855 during 1961-1989 and the absence of a trend during 1990-2018. This contrasts with the increasing  
856 trend in  $CSWD_{max}$  that was observed by Malhi and Wright [38] for rainforests in South-West India  
857 during the 1970-1998 period. Asefi-Najafabady and Saatchi [70] also observed a continuing  
858 drying trend in the TRFs of West and Central Africa from the 1970s onwards. However, similar  
859 to the decrease of  $CSWD_{max}$  in the present study, Malhi and Wright [38] also observed decreasing  
860 trends in TRFs of several tropical sub-regions of the world including Eastern Malaysia, Southern

861 Congo, Central America and North-East Amazonia. On the other hand, Bauman et al. [67] did not  
862 observe a temporal trend of  $CSWD_{max}$  in TRFs of North Queensland from 1971 to 2019.

863

## 864 **Supporting information**

865 **S1 Table. Evapotranspiration (Et) values of tropical rainforests in different continents as**  
866 **reported in literature.**

867

868

## 869 **Acknowledgements**

870 We thank the National Science Foundation of Sri Lanka for funding this work (Grant No.  
871 NTRP/2017/CC&ND/TA-04/P001/01).

872

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887

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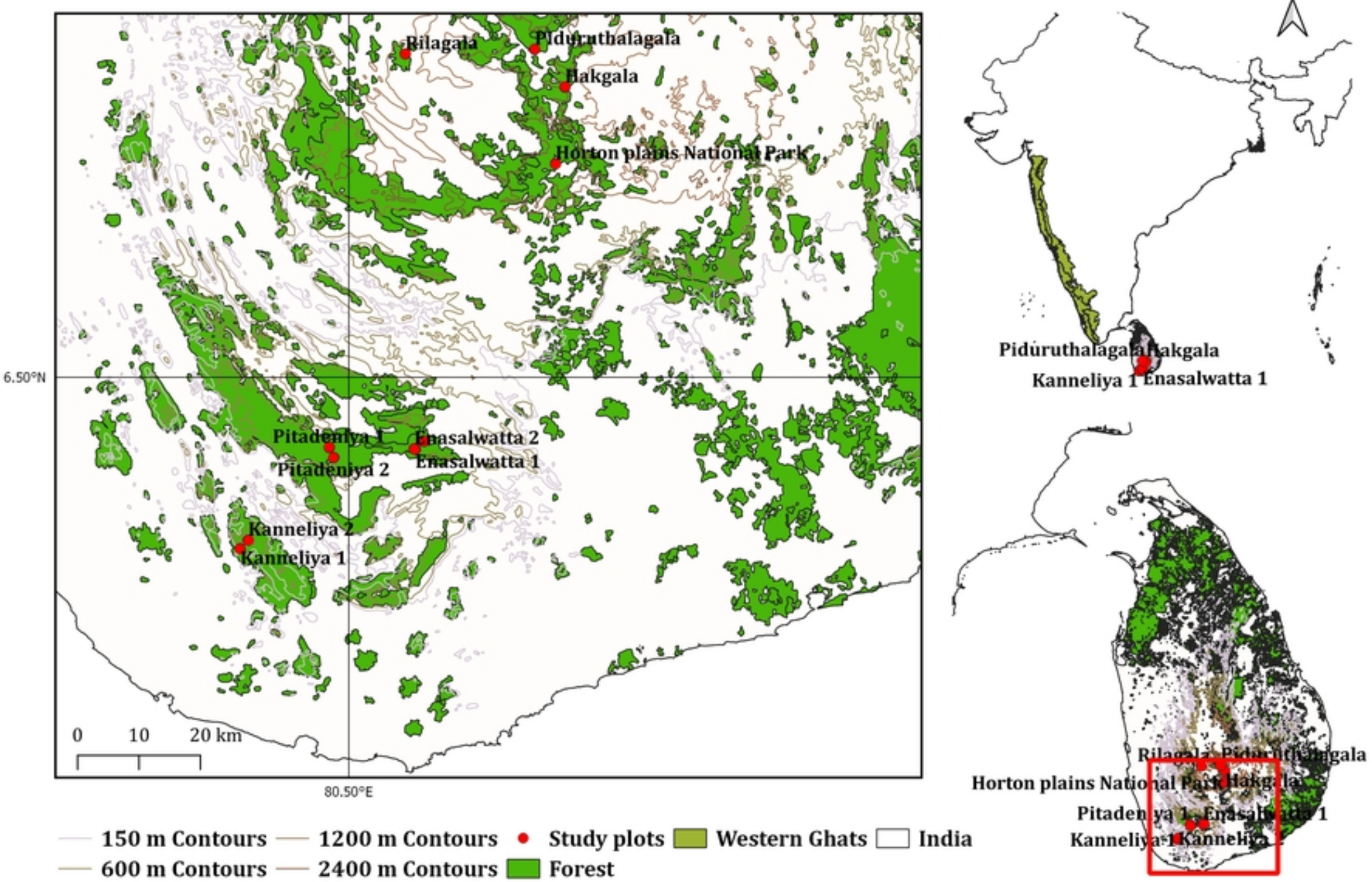
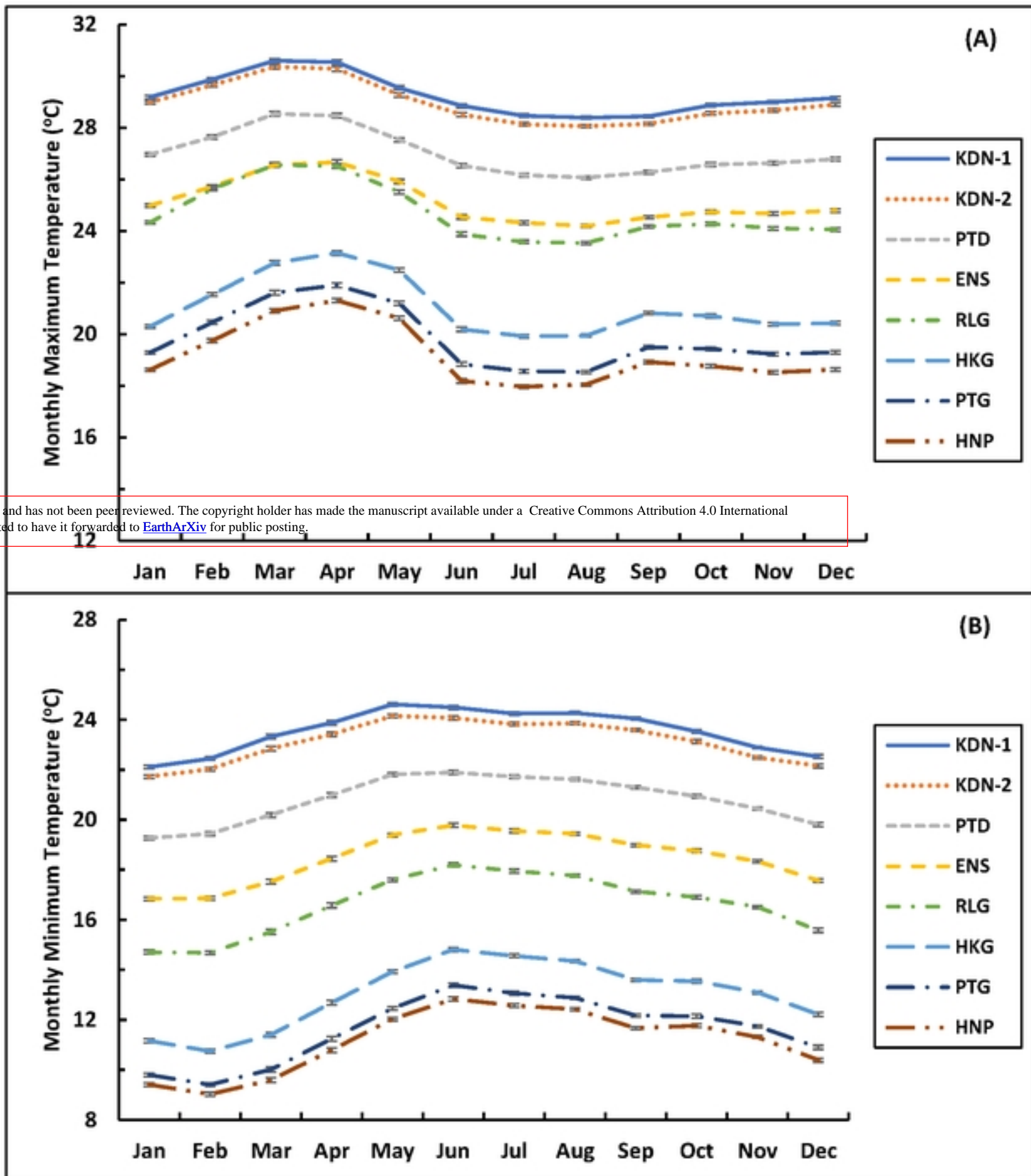
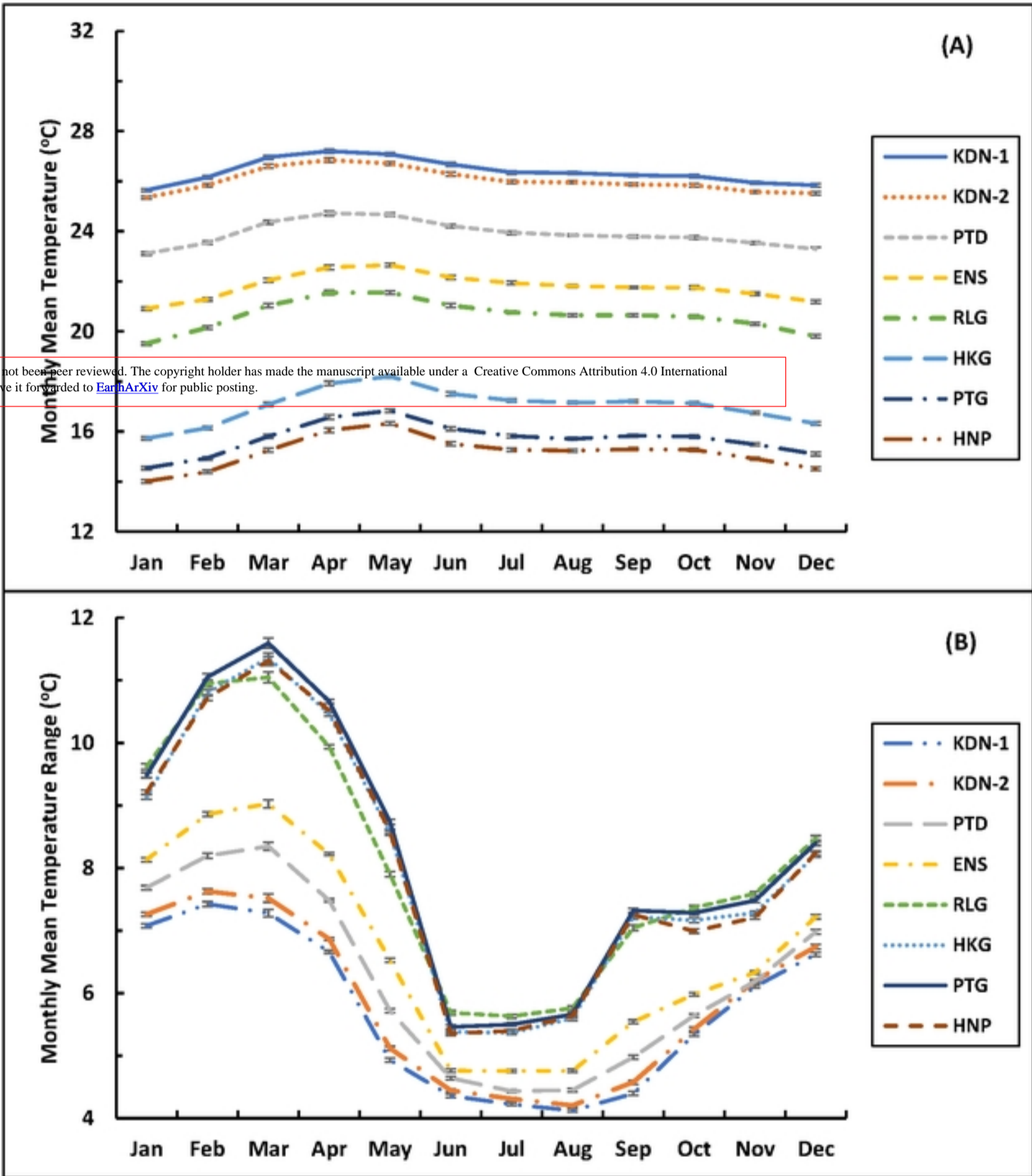


Fig 1



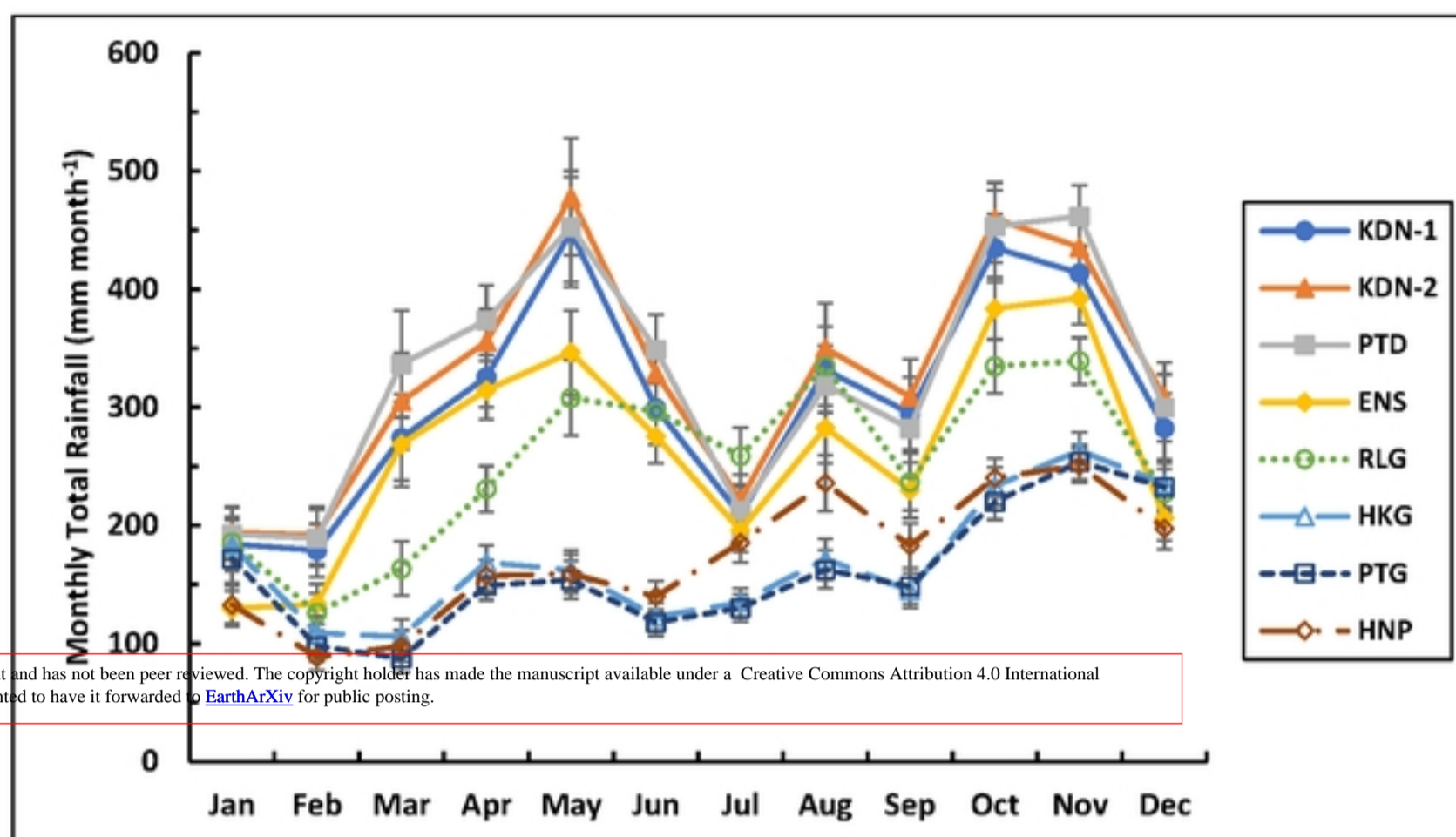
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Fig 2



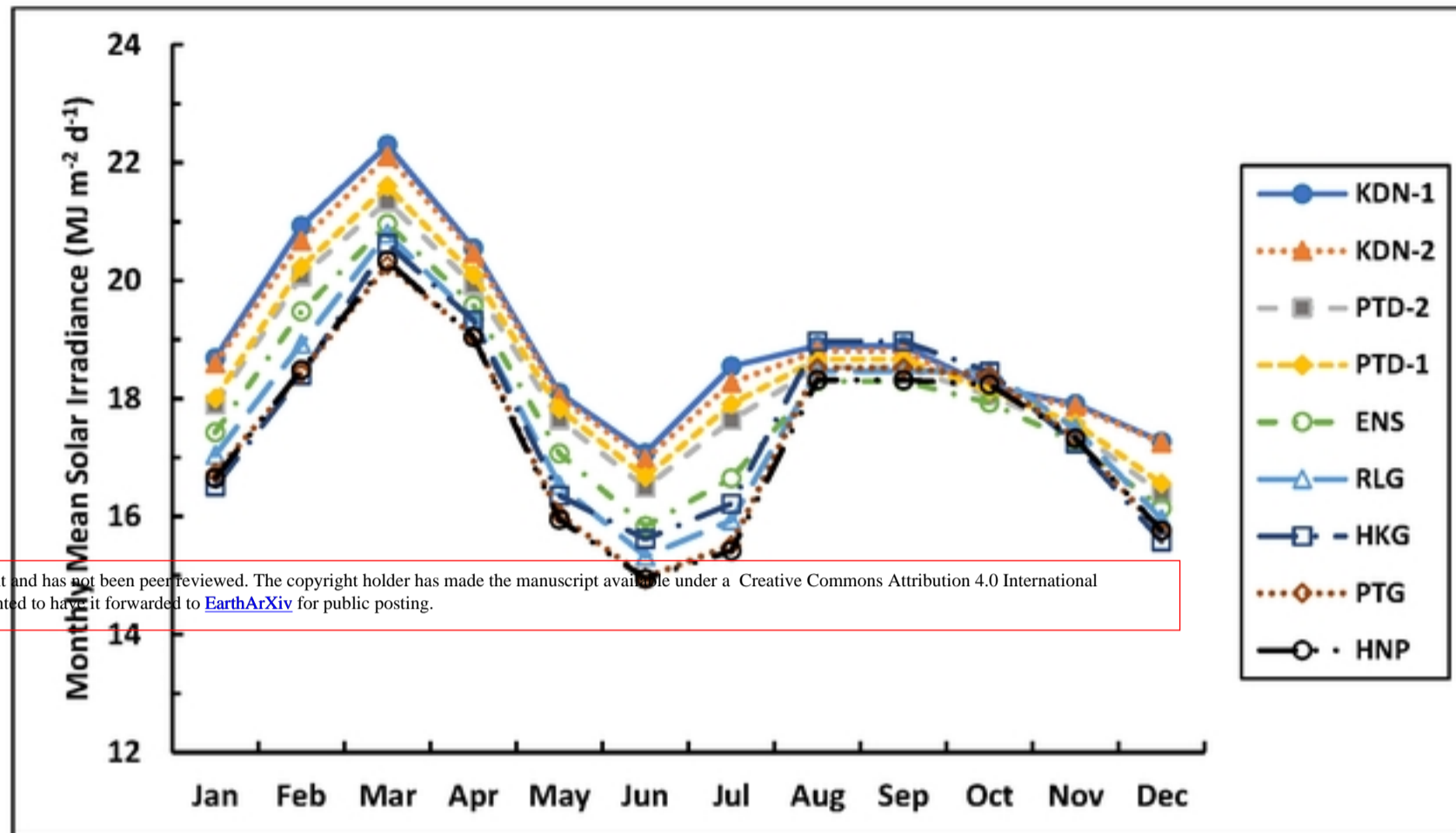
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Fig 3



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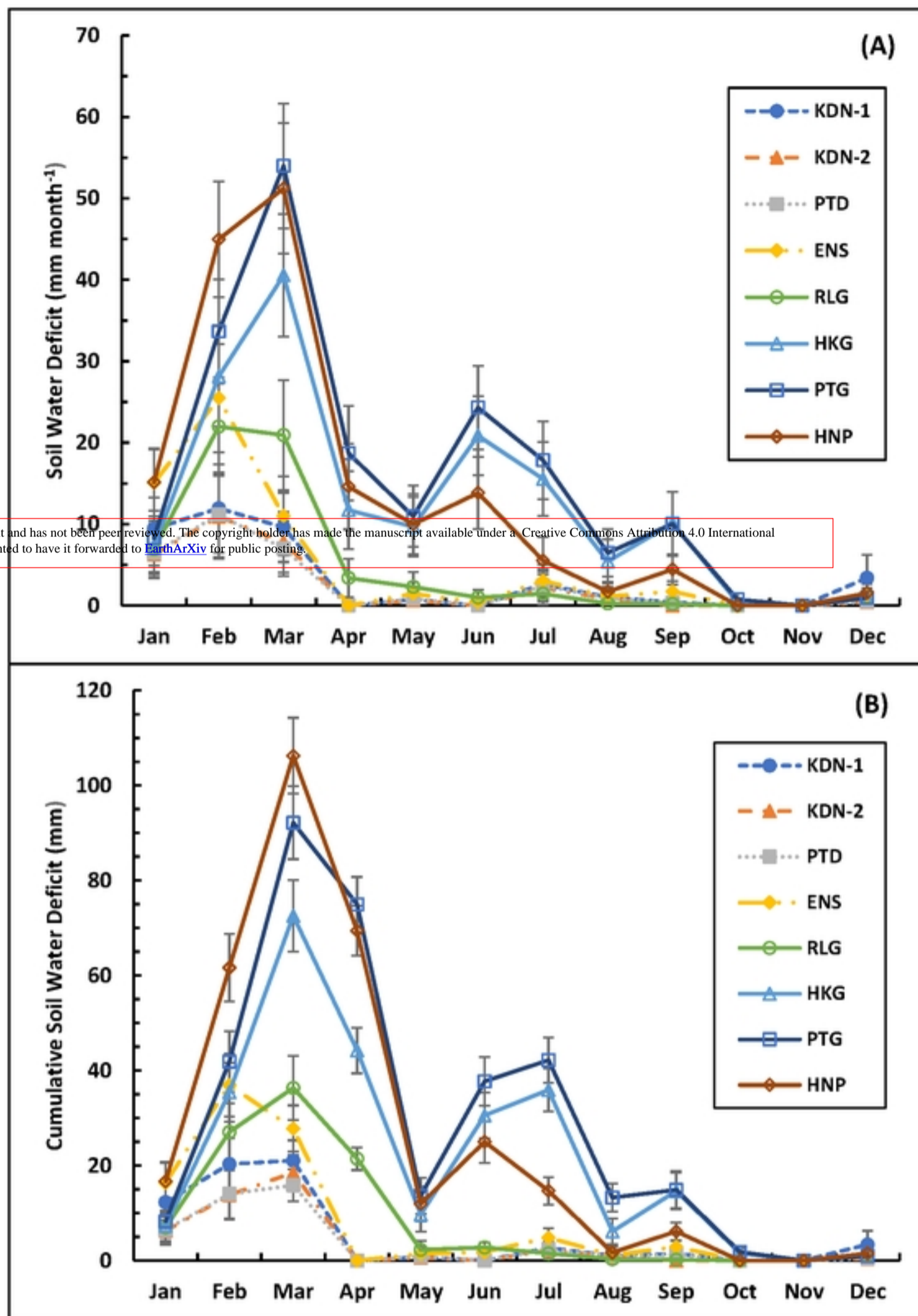
Fig 4



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Fig 5





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Fig 6

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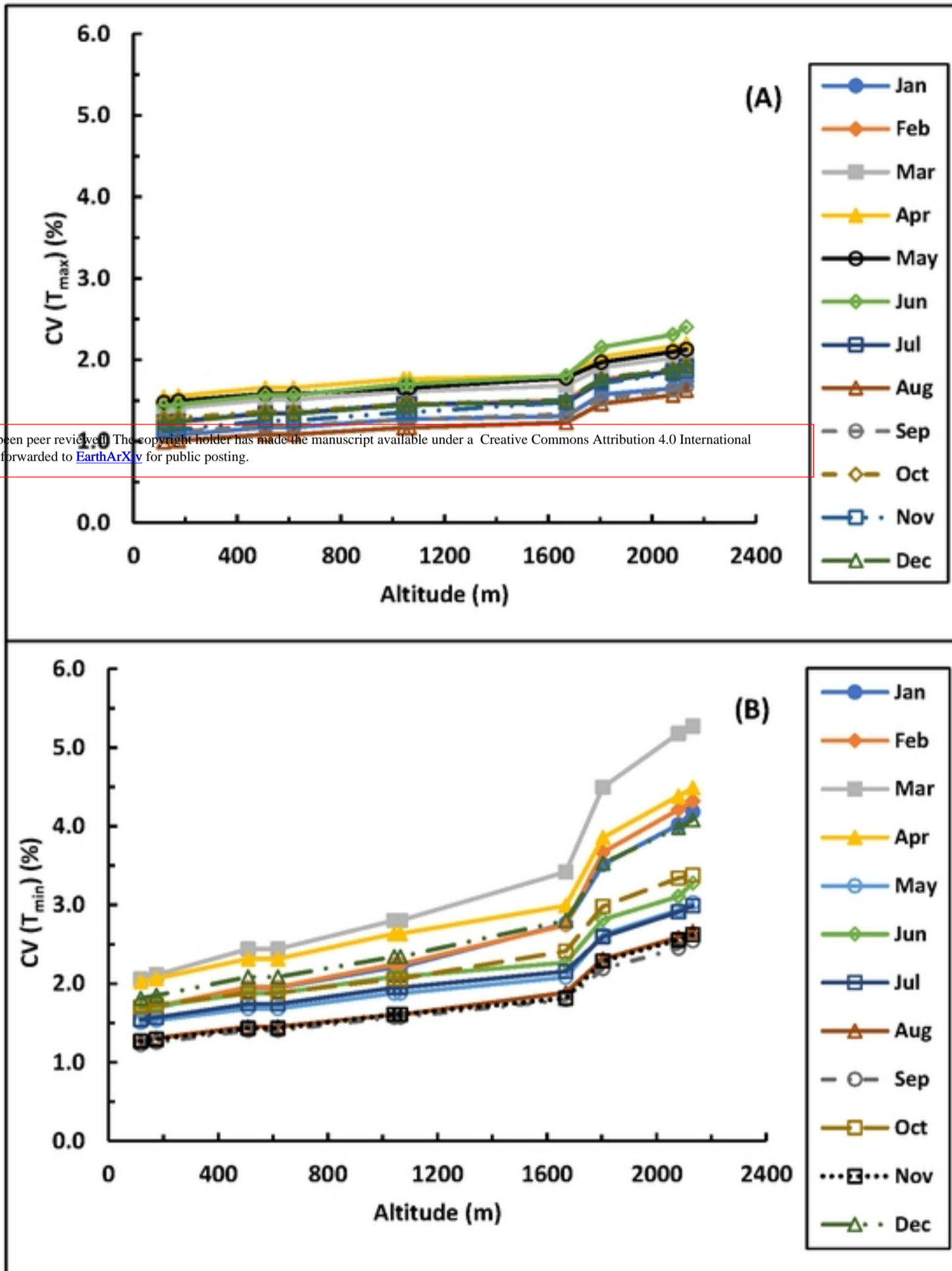
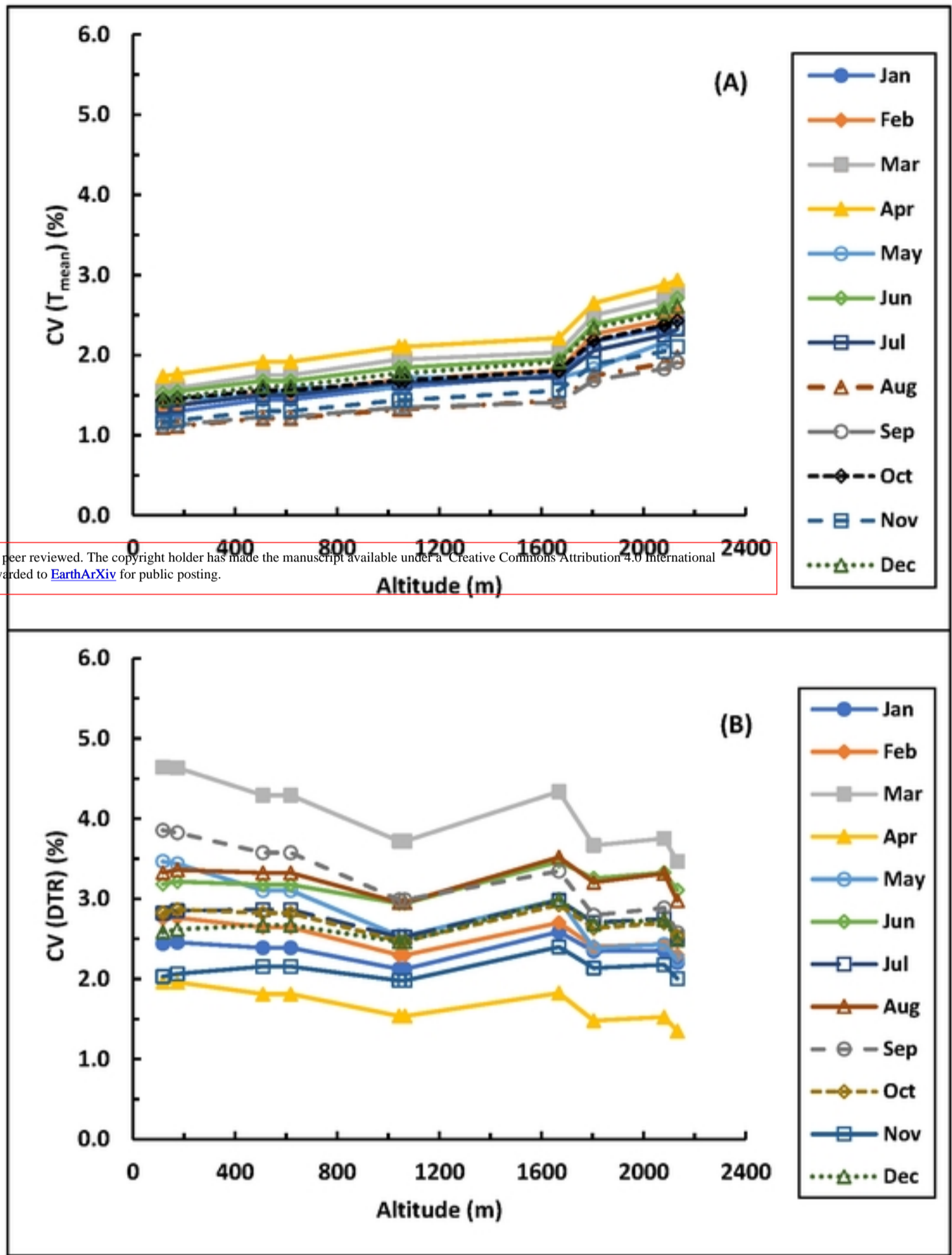
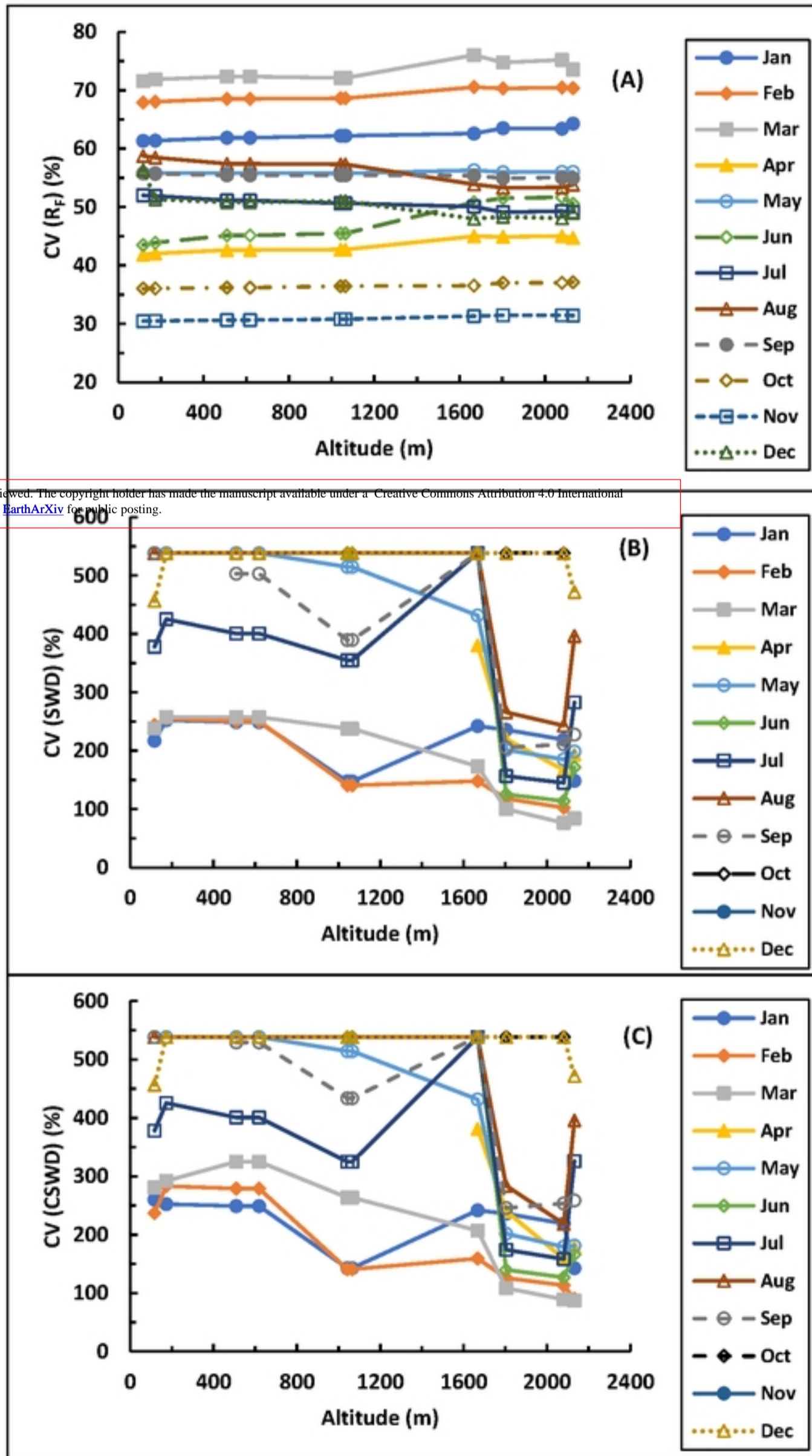


Fig 7



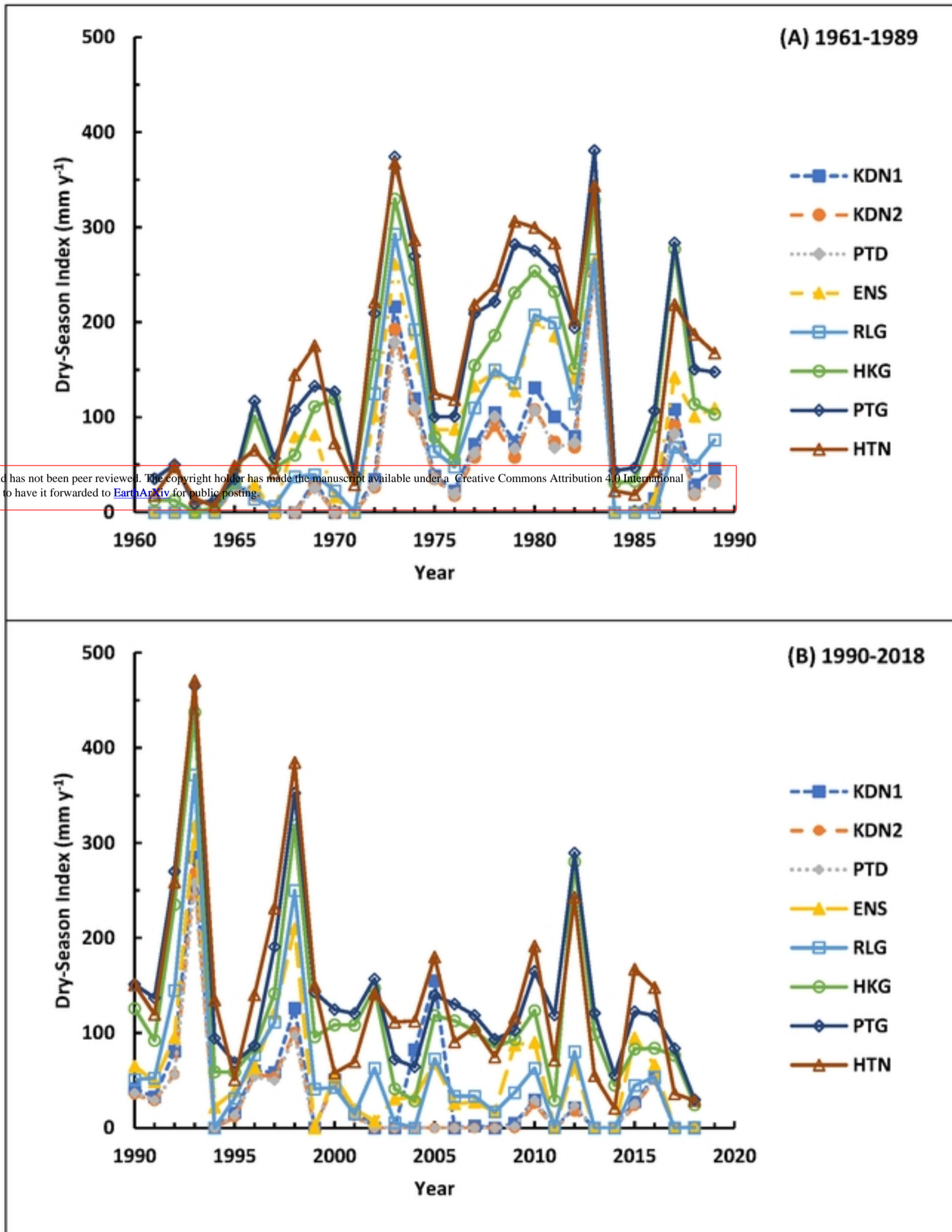
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Fig 8



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Fig 9



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Fig 10