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## Graphical Abstract

**Grain size evolution in mantle convection models promotes continuous rather than episodic tectonics**

Antonio Manjón-Cabeza Córdoba, Tobias Rolf, Maëlis Arnould

## Highlights

### **Grain size evolution in mantle convection models promotes continuous rather than episodic tectonics**

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- We systematically investigate self-consistent planetary geodynamic models with grain size evolution
- Tectonic regime diagnostics, such as surface mobility or internal temperature, depend on grain size evolution
- Including grain size evolution alters the transition between episodic and continuous mobile lid, but not the boundary to the stagnant lid

# Grain size evolution in mantle convection models promotes continuous rather than episodic tectonics

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## Abstract

A long-persistent caveat of geodynamic models with Earth-like tectonic behavior is the need of an ‘ad hoc’ yield stress lower than any laboratory-inferred rock strength. Grain size reduction due to dynamic recrystallization provides local weak zones in the lithosphere thereby promoting lithospheric breakdown and continuous mobile-lid tectonics. Grain growth should instead (re-)strengthen the lithosphere and inhibit this regime. By modeling mantle convection in a spherical annulus, we analyze the impact of grain size evolution (GSE) on the global tectonic style. We find that grain size reduction suppresses episodic behavior and facilitates surface mobility over a range of lithospheric yield stresses, but GSE has no discernable effect on the transition to stagnant-lid tectonics. Moreover, increased grain growth does not

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result in higher episodicity either. GSE, together with composite rheology, modify the diagnostics within one tectonic regime. These findings support the importance of grain size evolution for stabilizing mobile-lid tectonics, but also cast doubt on the potential of damage to explain mobile-lid tectonics up to laboratory-inferred strengths.

*Keywords:*

Grain Size Evolution, Convection Models, Planetary Convection, Tectonic Regime

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## 1. Introduction

The thermal evolution of a rocky planet is determined by its tectonic mode, which asserts a first-order control on that planet history (e.g. Cramer et al., 2019; Rolf et al., 2022). This tectonic mode or regime is important for core and magnetic field evolution, magmatism and volatiles circulation, atmosphere, sea level, and ultimately habitability (Foley, 2015). The Earth features a tectonic mode, plate tectonics (more generally mobile-lid), characterized by constant creation of lithosphere and its recycling into the mantle in narrow plate boundaries that bear most of the surface deformation. This regime, however, is absent on any other planet with a known tectonic regime. Instead, other bodies like the Moon, Mercury or Mars present a stagnant-lid regime, characterized by a continuous and immobile upper thermal boundary layer (lithosphere) with much less efficient recycling of material into the convecting mantle (e.g. Stern et al., 2018; Tosi and Padovan, 2021). Venus may have a different, yet-to-be-defined regime between mobile lid and stagnant lid (Byrne et al., 2021; Rolf et al., 2022; Tian et al., 2023).

17 To date, the exact factors conditioning the tectonic regime of a rocky  
18 planet have not been unequivocally determined. In global-scale geodynamic  
19 models, the tectonic regime is mainly a result of a yield stress, which controls  
20 the strength of the lithosphere. The tectonic limits defined by this yield stress  
21 can further vary depending on other parameters that are not always present  
22 in all geodynamic models (Lourenço and Rozel, 2023). This yield stress is,  
23 however, a simplification of the physical phenomena taking place in the de-  
24 forming lithosphere (Karato, 2010; Warren and Hansen, 2023). Moreover, the  
25 values required to reproduce plate tectonics on Earth-like models are lower  
26 than any laboratory measurement of pristine rock (Brace and Kohlstedt,  
27 1980; Alisic et al., 2010; Hirth and Kohlstedt, 2015).

28 In nature, the lithospheric strength is most likely a combination of ther-  
29 mal and compositional effects, and of processes operating at different spatial-  
30 temporal scales. In particular, rheology is known to play a key role by cou-  
31 pling the aforementioned processes and ultimately defining the effective yield  
32 stress of the lithosphere (e.g. Bercovici et al., 2015; Arnould et al., 2023). One  
33 parameter explored in calculations simulating realistic mantle deformation is  
34 the mineral grain size, known to directly affect the rheology of the mantle  
35 and the strength of the lithosphere (Platt and Behr, 2011; Vauchez et al.,  
36 2012). Small grain sizes in deformed shear zones point to a direct relation  
37 between weakening and grain reduction (Warren and Hirth, 2006; Newman  
38 and Drury, 2010). Likewise, experimental and theoretical work suggests a  
39 critical importance of grain size in several deformation mechanisms (e.g., dif-  
40 fusion creep or grain boundary sliding; Karato and Wu, 1993; Hansen et al.,  
41 2012; Kohlstedt and Hansen, 2015).

42 This corpus of evidence begets the question whether grain size and its  
43 dynamic evolution, driven by deformation-assisted reduction and subsequent  
44 growth by thermal healing, are critical for the development of the tectonic  
45 mode of a planet (Bercovici and Ricard, 2014). On the one hand, grain reduc-  
46 tion should reduce viscosity and help to decrease the strength of previously  
47 deformed lithosphere, promoting subduction and ridge creation. Effectively,  
48 this reduction would increase the maximum yield stress that could be used  
49 by tectonic models to recreate the mobile-lid regime (Bercovici and Ricard,  
50 2012), hence bringing these yield stress values closer to laboratory exper-  
51 iments. On the other hand, grain growth counteracts the previous effect  
52 and promotes increasing viscosities in regions of the mantle dominated by  
53 diffusion creep (e.g., the lower mantle; Solomatov, 1996).

54 Due to the apparent importance of grain size evolution (GSE), some work  
55 has focused on exploring how GSE and its governing parameters influence  
56 the tectonic mode. Earlier models used simplified approximations to mantle  
57 convection and GSE evolution to explain differences between the different  
58 bodies of the solar system (e.g., Solomatov, 2001; Landuyt and Bercovici,  
59 2009). Only recently have modelling studies explicitly considered GSE and  
60 mantle convection in a fully self-consistent manner, using state-of-the-art  
61 descriptions of GSE (Austin and Evans, 2007; Rozel et al., 2011). For ex-  
62 ample, Dannberg et al. (2017) gave an extensive description of the effects of  
63 GSE on mantle dynamics, but focused specifically on subduction and plume  
64 upwelling, and very constrained GSE parameters. More recently, Schierjott  
65 et al. (2020) performed a systematic parameter search, but focused on the  
66 preservation of thermochemical piles rather than on the GSE effects on the

67 tectonic regime. Foley and Rizo (2017) did focus on the mobile-lid regime,  
68 but neglected dislocation creep, which could lead to significant differences  
69 at depths of the lithosphere-asthenosphere boundary (Arnould et al., 2023).  
70 In the most recent years, the focus of GSE-dependent models has shifted to  
71 understanding very specific systems (e.g., Paul et al., 2024), but in order to  
72 represent specific planets (e.g., the Earth, Venus), these models include ad-  
73 ditional specific phenomena (such as melting, phase transitions...), that tend  
74 to obscure the effects of grain size evolution.

75 Whether owing to their simplicity or complexity, none of these works de-  
76 scribes how different amounts of grain growth and grain reduction affect the  
77 tectonic regimes in a self-consistent manner. To date, how different diag-  
78 nostic characteristics of these regimes (e.g., internal temperature or surface  
79 velocity) vary within one regime, or among them, depending on GSE parame-  
80 ters, is still unknown for a system with composite rheology and varying GSE  
81 parameters. This sort of description is essential to understand the differ-  
82 ences between solar system bodies, but also to understand how more specific  
83 (Earth-like) models may differ from generic cases. Furthermore, this descrip-  
84 tion may answer the question of why some studies have struggled to find  
85 the mobile-lid regime (Rozel, 2012; Schierjott et al., 2020), even when the  
86 null hypothesis is usually that GSE consideration should help geodynamic  
87 models broaden the interval of yield stress values for which mobile lid occurs  
88 (Bercovici and Ricard, 2014).

89 In this article, we focus on the changes that the different tectonic modes  
90 undergo when systematically changing GSE parameters that affect the grain  
91 growth/reduction linearly. We run 2D whole-mantle numerical experiments

92 with composite rheology coupled with grain-size evolution and evaluate their  
 93 main features. In addition, we vary the yield stress of our models under given  
 94 sets of GSE parameters to understand how grain reduction and growth affect  
 95 the feasibility of plate tectonics (mobile lid) and other tectonic regimes.

## 96 **2. Methods**

### 97 *2.1. Model setting and numerical approach*

98 We run numerical experiments using the code StagYY (Tackley, 2008)  
 99 with a nominal Rayleigh number of  $Ra=10^7$  (defined at the reference con-  
 100 ditions listed in table 1). The code solves the incompressible Stokes equa-  
 101 tions in annulus geometry under the extended Boussinesq approximation  
 102 incorporating internal, adiabatic and shear heating (Christensen and Yuen,  
 103 1985). We employ a viscoplastic rheology approximating two coexisting creep  
 104 mechanisms of deformation - diffusion creep and dislocation creep - with an  
 105 Arrhenius law dependent on pressure ( $P$ ) and temperature ( $T$ ):

$$\eta_{mech} = \eta_0 \left( \frac{\sigma}{\sigma_0} \right)^{1-n} \left( \frac{D}{D_0} \right)^m e^{\frac{E_{mech} + PV_{mech}}{RT}} \quad (1)$$

106 where  $\eta$ ,  $\sigma$  and  $D$  are the viscosity, the stress and the grain size, respec-  
 107 tively, with the subscript ‘0’ referring to their reference value.  $E$  and  $V$  are  
 108 the activation energy and volume, and the subscript *mech* corresponds to the  
 109 different mechanisms of deformation (dislocation or diffusion). The exponent  
 110  $n$  is 3.5 for dislocation creep and 1 for diffusion creep;  $m$  is 0 in dislocation  
 111 creep and 2 in diffusion creep. The choice of  $m=2$  (Nabarro-Herring Creep  
 112 dominates over Coble creep) is aligned with some of the literature (e.g., Jain  
 113 et al., 2019), but other works favor a value of  $m = 3$  (Coble Creep dominates;

114 Hirth and Kohlstedt, 2003). It is far from the objective of this work to solve  
 115 an issue of current discussion in the field of mineral physics (e.g., Jain et al.,  
 116 2018). From the numerical perspective, an exponent of 2 is easier to solve  
 117 and allows us to study a wider range of parameters. Faced with the choice  
 118 of a possible underestimation ( $m=2$ ) or overestimation ( $m=3$ ) of the effect  
 119 of grain size in the viscosity, we choose the former and interpret our results  
 120 accordingly.

121 In addition to these two creep mechanisms, we consider plastic yield-  
 122 ing:  $\eta_y = \sigma_y/2 \dot{\epsilon}_{II}$  (e.g., Trompert and Hansen, 1998), where  $\dot{\epsilon}_{II}$  is the  
 123 second invariant of the strain rate tensor and  $\sigma_y$  is the depth-dependent  
 124 yield stress increasing from a surface value  $\sigma_{y,0}$  downwards with a rate  $\sigma'_y$ .  
 125 All three viscosity mechanisms coexist, with the effective viscosity given as  
 126  $\eta = 1/(1/\eta_{disl} + 1/\eta_{diff} + 1/\eta_y)$ . Table 1 shows all parameters used in  
 127 this work, whose values (unless explicitly mentioned) are nondimensional  
 128 throughout the text.

129 To model grain-size evolution, we follow the thermodynamically self-  
 130 consistent approximation of Rozel et al. (2011):

$$\frac{dD}{dt} = \frac{k e^{-\frac{E^*}{RT}}}{qD^{q-1}} - c D^2 f_G \sigma : \dot{\epsilon}_{disl+y} \quad (2)$$

131 where  $k$  is an empirical prefactor and  $E^*$  the activation energy for grain  
 132 growth.  $c$  is a semi-empirical constant of grain reduction (for a full descrip-  
 133 tion see Rozel et al., 2011), and  $f_G$  describes the fraction of the dissipation  
 134 due to dislocation creep and yielding ( $\sigma : \dot{\epsilon}_{disl+y}$ ) which causes grain reduction  
 135 (diffusion creep does not contribute to grain reduction). In our models,  $f_G$   
 136 may remain constant throughout the mantle or be dependent on tempera-

Table 1: List of parameters used in this work. Intervals show values explored. The constant  $c$  is described in full in Rozel et al. (2011). All values are dimensionalized taking as reference the Rayleigh number  $Ra = \frac{\Delta T \alpha \rho_0 g Z^3}{\eta_0 \kappa} = 10^7$ ; and the Dissipation number  $Di = \frac{\alpha g Z}{C_P} = 0.4$ . Note that any other combination of parameters for which  $Ra = 10^7$  and  $Di = 0.4$  is consistent with our calculations.

| Parameter                   | Abbreviation   | Non-dimensional value                   | Dimensional value                   | Units                              |
|-----------------------------|----------------|---|-------------------------------------|------------------------------------|
| Temperature drop            | $\Delta T$     | 1                                       | 2500                                | K                                  |
| Reference density           | $\rho_0$       | 1                                       | 3300                                | kg m <sup>3</sup>                  |
| Mantle thickness            | $Z$            | 1                                       | $2.89 \times 10^6$                  | m                                  |
| Thermal expansivity         | $\alpha$       | 1                                       | $5 \times 10^{-5}$                  | K <sup>-1</sup>                    |
| Thermal diffusivity         | $\kappa$       | 1                                       | $10^{-6}$                           | m <sup>2</sup> s <sup>-1</sup>     |
| Gravitational acceleration  | $g$            | 1                                       | 9.81                                | m s <sup>-2</sup>                  |
| Reference viscosity         | $\eta_0$       | 1                                       | $9.77 \times 10^{21}$               | Pa s                               |
| Heat capacity               | $C_P$          | 1                                       | $3.54 \times 10^3$                  | J K <sup>-1</sup> kg <sup>-1</sup> |
| Reference stress            | $\sigma_0$     | 2000                                    | $2.34 \times 10^6$                  | Pa                                 |
| Reference grain size        | $D_0$          | $5 \times 10^{-9}$                      | $1.45 \times 10^{-2}$               | m                                  |
| A. Energy (diffusion creep) | $E_{diff}$     | 6                                       | $1.25 \times 10^5$                  | J mol <sup>-1</sup>                |
| A. Energy (dislocation c.)  | $E_{disl}$     | 11                                      | $2.29 \times 10^5$                  | J mol <sup>-1</sup>                |
| A. Volume (diffusion creep) | $V_{diff}$     | 5                                       | $1.11 \times 10^{-6}$               | m <sup>3</sup> mol <sup>-1</sup>   |
| A. Volume (dislocation c.)  | $V_{disl}$     | 35                                      | $7.78 \times 10^{-6}$               | m <sup>3</sup> mol <sup>-1</sup>   |
| Yield stress (surface)      | $\sigma_{y,0}$ | $10^3 - 10^6$                           | $(1.17-1170) \times 10^6$           | Pa                                 |
| Yield stress (slope)        | $\sigma'_y$    | 0.14                                    | $4.53 \times 10^3$                  | Pa m <sup>-1</sup>                 |
| Grain growth constant       | $k$            | $5 \times 10^{-27} - 5 \times 10^{-25}$ | $4.2 \times 10^4 - 4.2 \times 10^6$ | $\mu\text{m}^4 \text{s}^{-1}$      |
| A. Energy (grain growth)    | $E^*$          | 8                                       | $1.66 \times 10^5$                  | J mol <sup>-1</sup>                |
| Grain reduction constant    | $c$            | $1.651 \times 10^9$                     | 0.488                               | Pa <sup>-1</sup> m <sup>-1</sup>   |
| G. red. work fraction       | $f_G$          | $10^{-7} - 10^{-2}$                     | -                                   | -                                  |
| Radiogenic heating          | $H$            | 30                                      | $3.18 \times 10^{-11}$              | W kg <sup>-1</sup>                 |

137 ture as described in Schierjott et al. (2020):  $f_G = f_{surf}(f_{cmb}/f_{surf})^{T/T_{cmb}}$ ,  
 138 where  $f_{surf}$  is the value at the top boundary ( $T=T_{surf}=0.00$ ) and  $f_{cmb}$  is the  
 139 value at the bottom boundary ( $T=T_{cmb}=1.49$ ). Theoretical estimations of  
 140 the exponent  $q$  valid for a polymineralic rock range from 3 to 5 depending  
 141 on the growth mechanism (note that a value of 2 is valid for a suspension,  
 142 but this will not be the case for mantle rocks; Wagner, 1961; Ardell, 1972;  
 143 Solomatov et al., 2002); we choose a value of 4 as an intermediate value, but  
 144 other values are also common in the literature (e.g., Dannberg et al., 2017;  
 145 Paul et al., 2024).

146 We resolve the model domain with 128 grid nodes in the radial direction  
 147 and 1536 nodes in the angular direction. Vertical grid refinement is applied  
 148 at the top and bottom boundary layers. This results in a maximum vertical  
 149 resolution of 0.00401 at the top boundary layer (dimensionalized 11.6 km),  
 150 0.005968 (17.3 km) at the bottom boundary layer, and minimum resolution  
 151 of 0.010566 (30.54 km). We carry the grain size information on  $11 \times 10^6$   
 152 tracers (56 per cell on average). The top and bottom boundaries are free-slip  
 153 with constant temperature. The bottom temperature of  $T_{cmb} = 1.49$  accounts  
 154 for both, the adiabatic and superadiabatic temperature increase across the  
 155 mantle assuming a dissipation number of  $Di = 0.4$ .

156 The mantle is internally heated with a constant nondimensional rate of  
 157 30. Dimensionalized (Table 1), this value yields a radiogenic heat production  
 158 higher than estimated for the Earth (Turcotte and Schubert, 2014), but this  
 159 is a common adjustment for steady state models trying to simulate a non-  
 160 steady state (cooling) Earth (Korenaga, 2017). The initial thermal field was  
 161 obtained by running a preliminary model without GSE until a spontaneous

162 subduction zone develops. This initial state is rather arbitrary but allows  
163 for a direct comparison between models that may be affected by hysteresis  
164 (Weller and Lenardic, 2012; Bercovici and Ricard, 2016). All models are run  
165 until statistical steady state is reached; all diagnostics and properties pre-  
166 sented below are averaged over the time in steady state only. Supplementary  
167 Table S1 shows a list with all the model runs and the properties that are  
168 changed from model to model.

## 169 *2.2. Diagnostics*

170 To characterize the dynamic regime of the presented models, we use the  
171 surface mobility ( $M$ ) as defined in Tackley (2000)  $M = v_{hsurf,rms}/v_{rms}$ , that  
172 is: the root mean square of the horizontal surface velocity divided by the  
173 root mean square of the internal velocity. When averaging this parameter  
174 over time, its value may not discriminate between the episodic and mobile  
175 regime (as they both can have similar averages but different standard devia-  
176 tions). To further discriminate between regimes, we introduce an additional  
177 parameter called ‘tranquillity’ ( $0 \leq \tau_M \leq 1$ ), defined as the proportion of  
178 steady-state run-time during which  $M \leq M_{crit}$ . This diagnostic quantifies  
179 better the temporal fluctuations in surface velocity: a value of  $\tau_M=1$  signals  
180 the stagnant-lid regime, while values significantly lower than 1 and closer to  
181 0 correspond to the mobile-lid regime.

182 We chose a  $M_{crit}$  of 0.9 which is meaningful for our models (see Figure  
183 2), but any value chosen would be arbitrary. To measure the uncertainty of  
184  $\tau_M$ , we also show an error bar calculated considering a  $M_{crit}$  of 0.85 (lower  
185 limit) and 0.95 (upper limit) in Figures 4c,f and 7. Note however that in  
186 this case the errors are correlated among them, that is, it is not correct to

187 compare the error for the threshold of 0.85 with the error for the threshold  
188 of 0.95 (comparing upper with lower limits is not strictly correct).

189 In addition to  $M$  and  $\tau_M$ , we also report global averages such as grain size  
190 or temperature ( $T$ ). We also calculate surface properties like surface velocity  
191 ( $v_{hsurf}$ ) or subduction zones number (*Subduction #*), calculated as number  
192 of convergent peaks in surface velocity. All averages are both spatial (across  
193 the whole model) and temporal (across all of the steady state duration).  
194 As specified before, surface velocity is calculated as  $v_{hsurf,rms}$ . All these  
195 properties are shown with the corresponding (temporal) standard deviation  
196 as an error.

197 Furthermore, we show two additional properties: minimum viscosity (as  
198  $\log(\eta_{min})$ ) and thermal boundary layer thickness (as  $d_{TBL}$ ), for both the top  
199 and bottom boundary layers. The minimum viscosity corresponds to that of  
200 the asthenosphere (in our models, due to grain growth, the viscosity of the  
201 asthenosphere is lower than that of the core-mantle boundary, see below).  
202 Instead of the standard deviation for a time average, we show the differ-  
203 ence between the maximum average (in angular direction) for the minimum  
204 viscosity and the minimum average (in angular direction) of the maximum  
205 viscosity at that depth (corresponding to dashed green lines in Figure 2b).  
206 We found these values more informative than the standard deviation in time  
207 (which was extremely small for many models).

208 The thermal boundary layer thickness ( $d_{TBL}$ ) corresponds to the depth  
209 of minimum viscosity (upper TBL thickness) and the depth of maximum  
210 viscosity excluding the top boundary layer (lower TBL thickness, see Figure  
211 2). While the thermal boundary layer can be defined following different

212 approaches, we found the viscosity minima and maxima to be good dynamic  
213 proxies. For similar reasons as for the viscosity, the error of the  $d_{TBL}$  data  
214 points does not correspond to the standard deviation; instead, it corresponds  
215 to the grid resolution at that depth (as the standard deviation was smaller  
216 than the grid resolution).

### 217 **3. Results**

#### 218 *3.1. Reference case*

219 Figures 1 and 2 show the results for the reference case using  $k=5\times 10^{-26}$   
220 and  $f_G=1\times 10^{-6}$  (independent of temperature), with a surface yield stress  
221 of  $\sigma_{y,0}=5\times 10^3$ . Field snapshots show association between low-temperature  
222 regions and small-grain-size regions (Figure 1a-c). This is expected due to  
223 the strong temperature dependence of the grain growth term (Eq. 2). In ad-  
224 dition, yielding strongly reduces grain size at shallow areas with high stress.  
225 Areas of low temperature and small grain size also present high viscosities,  
226 which suggests that the viscosity is still mainly temperature-dominated. Ar-  
227 eas with significant dislocation creep (Figure 1d) are almost exclusive to the  
228 uppermost mantle and always associated with subduction zones and mid-  
229 ocean ridges. This association creates noticeably lateral (angular) viscos-  
230 ity differences in the asthenosphere. These differences are markedly time-  
231 dependent (see supplementary video SV1).

232 These characteristics are confirmed by the radial profiles (Figure 2a-c and  
233 Figure 3). A notable exception is the asthenosphere, where small grain sizes  
234 can occur despite high temperatures (and therefore low viscosity values) due  
235 to dislocation creep and dynamic recrystallization, and lateral contrasts are

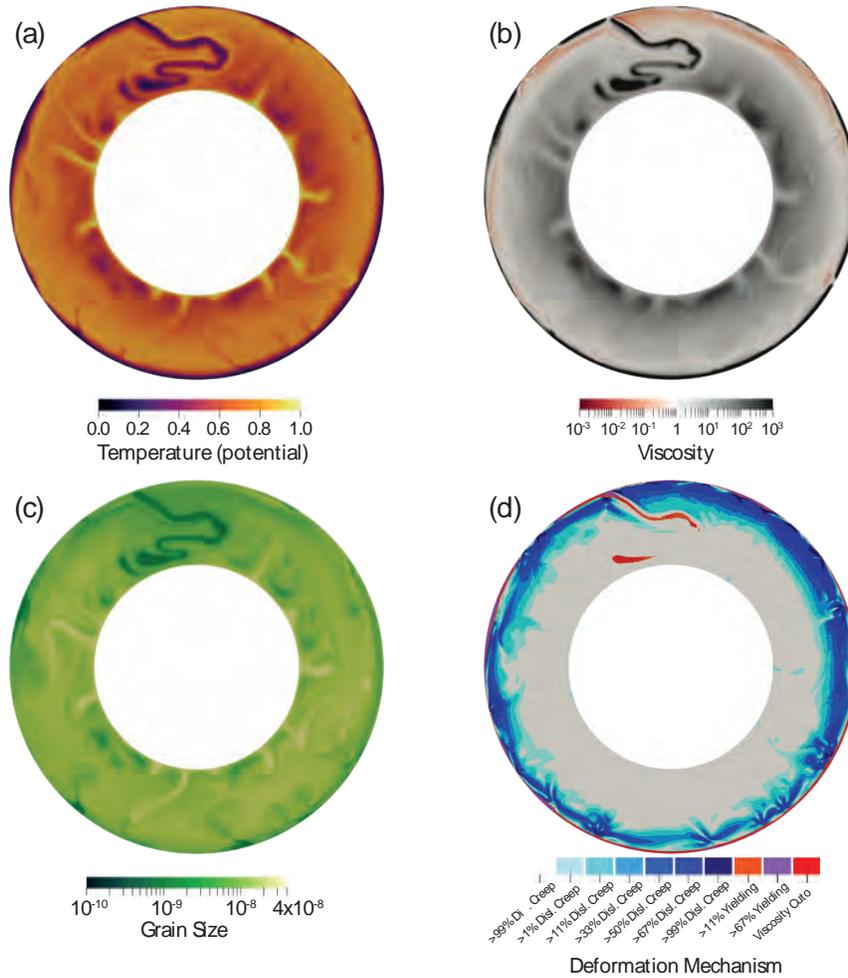


Figure 1: Representative snapshots of the reference case. (a) Potential temperature (adiabatic gradient removed). (b) Viscosity (log scale), with an upper saturation at  $10^3$  (maximum is  $10^4$ ), (c) grain size, (d) deformation mechanism.

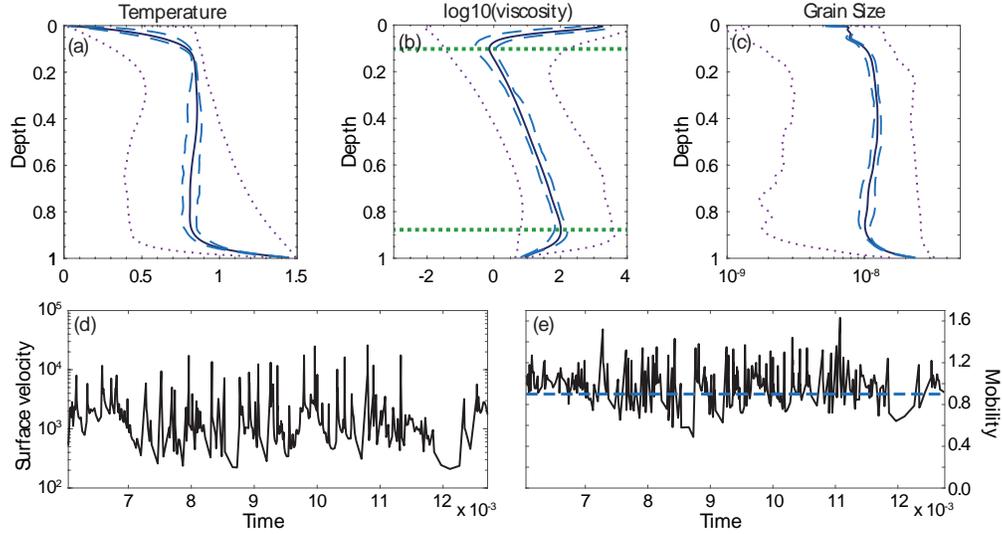


Figure 2: Main convective characteristics of the reference case. (a) Total temperature radial profile (including adiabatic gradient), (b) viscosity radial profile, the dashed green line corresponds to the depths of minimum/maximum viscosity, which are used for calculations pertaining the TBL (see text), (c) grain size radial profile. For panels a-c, blue dashed lines represent the temporal variation in the average values, while the dotted purple lines represent the average minimum and maximum radial profiles. (d) Average horizontal surface velocity (see text for definition), (e) surface mobility, the 0.9 limit to separate “subduction” time and ‘tranquil’ time is indicated by the horizontal blue dashed line. In this case, the tranquillity is  $\tau_M=0.26$ .

236 very stark, as mentioned above (Figure 1b,d). In the lower mantle, viscosity  
 237 contrasts are considerably smaller far from subduction zones (i.e.,  $\leq 10^2$ ),  
 238 with little difference between high and low temperature regions (Figure 1b).  
 239 As in Arnould et al. (2023), plumes show deformation mainly at their ‘flanks’,  
 240 which in our case causes plume conduit ‘cores’ to feature the greatest grain  
 241 sizes and relatively high viscosity. The minimum viscosity corresponds to  
 242 the asthenosphere and not the core-mantle boundary, as would be common  
 243 in models without GSE (Supplementary Figure S1).

244 The reference model shows a mobility of  $M=0.94 \pm 0.20$  and a tranquillity  
 245 of  $\tau_M=0.26^{+0.10}_{-0.10}$  (the difference in notation is due to the fact that, in the  
 246 tranquillity case, the uncertainties may not be symmetric: the equivalence of  
 247 the absolute value of the lower and upper error is a coincidence). These values  
 248 make the reference case representative of the mobile-lid regime, which is also  
 249 indicated by the presence of at least one active subduction zone throughout  
 250 most of the time evolution. Still, a pronounced time dependence is observed  
 251 (Figure 2d,e), which arises from the cessation of mature subduction zones  
 252 and the onset of new ones.

### 253 *3.2. Grain size evolution parameters systematics*

254 We explore the effect of GSE on mobile-lid convection by varying the pa-  
 255 rameters  $f_G$  and  $k$ . These parameters, respectively, affect the grain reduction  
 256 and grain growth terms in a linear fashion. Changing  $q$  or  $E^*$  would have a  
 257 stronger albeit nonlinear effect, but in chaotic systems (i.e. high Ra number)  
 258 non-linearity may obscure the interpretation of the results. We test differ-  
 259 ent uniform values of  $f_G$  (i.e.,  $f_{surf}=f_{cmb}=10^{-7}$ - $10^{-4}$ ) and  $k$  ( $k=5 \times 10^{-27}$ -  
 260  $5 \times 10^{-25}$ ). In addition, we investigate the temperature dependence of  $f_G$  by

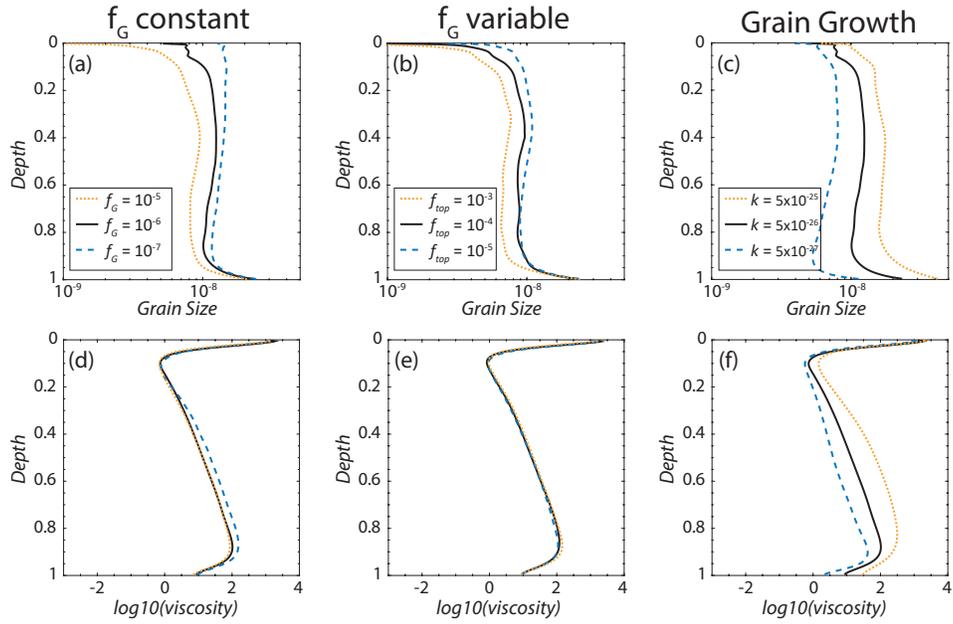


Figure 3: Average radial profiles of grain size and viscosity from cases discussed in the main text. (a-c) Grain size profiles showing that the greatest effect on the grain size in the asthenosphere depends on  $f_G$ , while for the lower mantle variation of viscosity and grain size depends more strongly on the grain growth parameter  $k$  (d-f). The self-regulatory character of GSE, giving similar viscosity profiles for different values of grain reduction (e.g., panels d and e) has been pointed out elsewhere (e.g. Schierjott et al., 2020)

261 fixing  $f_{cmb}$  ( $=10^{-7}$ ) while varying  $f_{surf}$  ( $=10^{-5}$ - $10^{-2}$ ).

262 Figure 3 shows the change radial profiles of grain size and viscosity. A  
263 noticeable characteristic is the extremely small change in viscosity values for  
264 different  $f_G$ , whether constant or variable. This is easily explained by the  
265 dependence of grain reduction on dissipation (and therefore stress). Due  
266 to the piezometric qualities of grain size (Austin and Evans, 2007) and the  
267 composite rheology of our models, viscosity will tend to regulate itself via  
268 more efficient cooling (i.e., via the temperature-dependence of the viscosity,  
269 eq. 1) and grain size reduction. This self-regulating behavior, also seen in  
270 other works (Austin and Evans, 2007; Schierjott et al., 2020; Okamoto and  
271 Hiraga, 2024), is further addressed in the discussion section. Regardless,  
272 this behavior is missing from the profiles of changing  $k$  (figure 3c,f), where  
273 grain size changes strongly across the whole mantle, and viscosity changes  
274 are systematic and more important than in the cases of changing  $f_G$ .

275 Varying both  $f_G$  and  $k$  results in differences in the average grain size  
276 as expected (Figure 4a,d).  $k$  has a starker effect on the average grain size,  
277 but this is likely due to the effect of  $f_G$  being restricted to areas of the  
278 model under predominant dislocation creep or yielding, which are mostly  
279 limited to the upper mantle and lithosphere. For the cases with temperature-  
280 dependent  $f_G$ , grain reduction is even more restricted to low temperature  
281 areas. Consequently, the trend in average grain size is gentler than in the  
282 case with constant  $f_G$ . However, while decreasing grain size via increasing  
283 grain reduction effectively weakens the lithosphere, enhancing subduction  
284 (Figure 5a,d), and therefore decreases mantle temperature, increasing grain  
285 size via increasing  $k$  also results in lower temperatures. Hence, our models

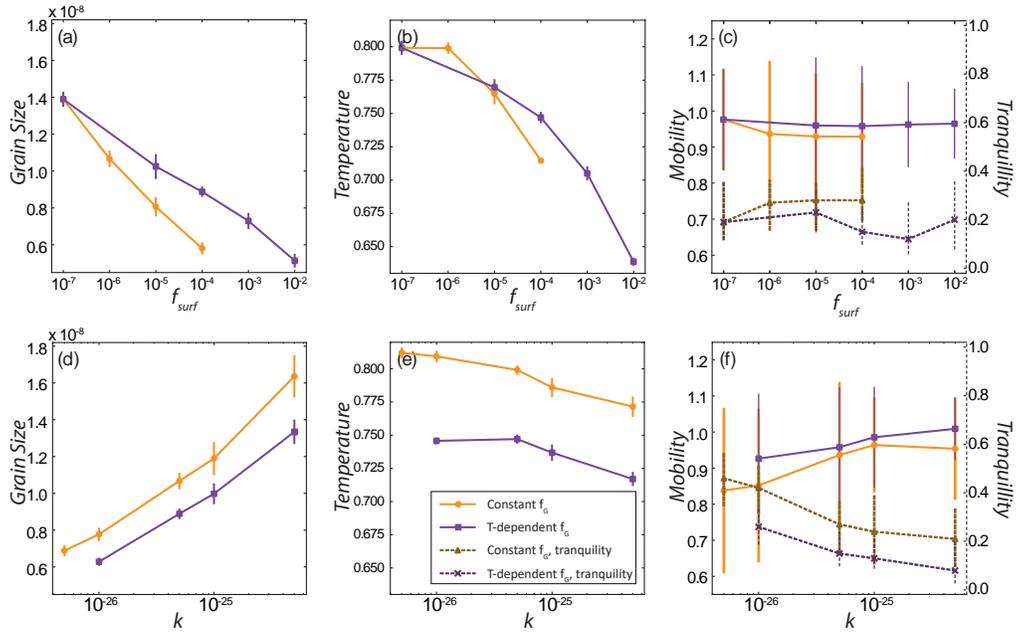


Figure 4: Diagrams showing the variation of average (depth and time) grain size, internal temperature mobility/tranquility with different GSE parameters. In panels a-c, the line for constant  $f_G$  has equal  $f_{surf}$  than  $f_{cmb}$ . In panels d-f, the line for variable  $f_G$  has a fixed  $f_{surf}$  value of  $10^{-4}$ . For further details see text.

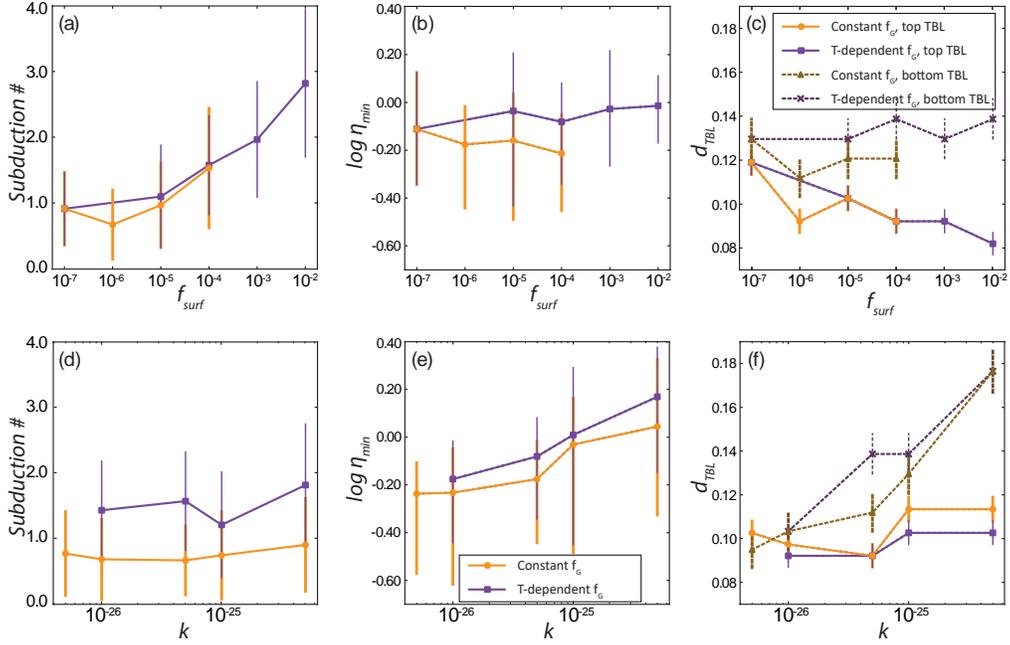


Figure 5: Diagram showing secondary diagnostics variation with grain size parameters. Colors and symbols as in Figure 4 except for panels (c) and (d) which track the upper and lower thermal boundary layer thickness as defined in the text.

286 show opposite correlations (positive vs. negative) between grain size and  
 287 temperature depending on the varied parameters,  $f_G$  or  $k$  (Figure 4b,e). This  
 288 is apparently counter-intuitive and at odds with previous interpretations of  
 289 healing in the lithosphere (Fuchs and Becker, 2022; Mulyukova and Bercovici,  
 290 2023).

291  $M$  decreases slightly with increasing grain reduction, and increases with  
 292 increasing grain growth (Figure 4c,f).  $\tau_M$  decreases with increasing mobility  
 293 for most cases. However, in the case of variable  $f_G$  (purple line in Figure 4c),  
 294 the minimum tranquillity is obtained at  $f_{surf} = 10^{-3}$ . Partly, the increase

295 in mobility with higher grain growth could be explained by the increase in  
296 viscosity in the lower mantle and the corresponding decrease in flow velocities  
297 (see also Figure 3). However, the opposite correlation between grain size  
298 and temperature mentioned above suggests an influence of the grain growth  
299 parameter  $k$  on the subduction efficiency. Cases with high  $f_G$  do feature  
300 more subduction zones (Figure 5a, see also Fuchs and Becker, 2019, 2022)  
301 and more efficient cooling, but these slabs eventually become very weak and  
302 break off (hence the decrease of mobility with higher  $f_G$ ). Supplementary  
303 video SV1 shows an example of this sort of tectonics.

304 Additional diagnostics are shown in Figure 5. As mentioned above, the  
305 number of subduction zones increases when increasing grain reduction (Fig-  
306 ure 5a). This is expected from previous work and signals the relation between  
307 damage and subduction zone creation (Bercovici and Ricard, 2014; Fuchs  
308 and Becker, 2019). Contrary to this, we do not find an evident relation be-  
309 tween increasing grain growth and *subduction #* (Figure 5d), meaning that  
310 if there is a relation between  $k$  and subduction efficiency, as suggested above,  
311 it should be in the persistence of subduction zones. The viscosity of the  
312 asthenosphere seems fairly constant for models with different  $f_G$ , although  
313 there may be a small decrease when  $f_G$  is independent of temperature (Fig-  
314 ure 5b, orange line). On the contrary, increasing grain growth does change  
315 the minimum viscosity (Figure 5e), albeit slightly, which remains puzzling  
316 because the same models have an increasing mobility and decreasing tran-  
317 quillity. Finally, the relation between thermal boundary thickness seems a  
318 bit more straightforward, with the upper  $d_{TBL}$  quasi-independent of grain  
319 growth but considerably affected by increasing  $f_G$  (Figure 5c,f); meanwhile,

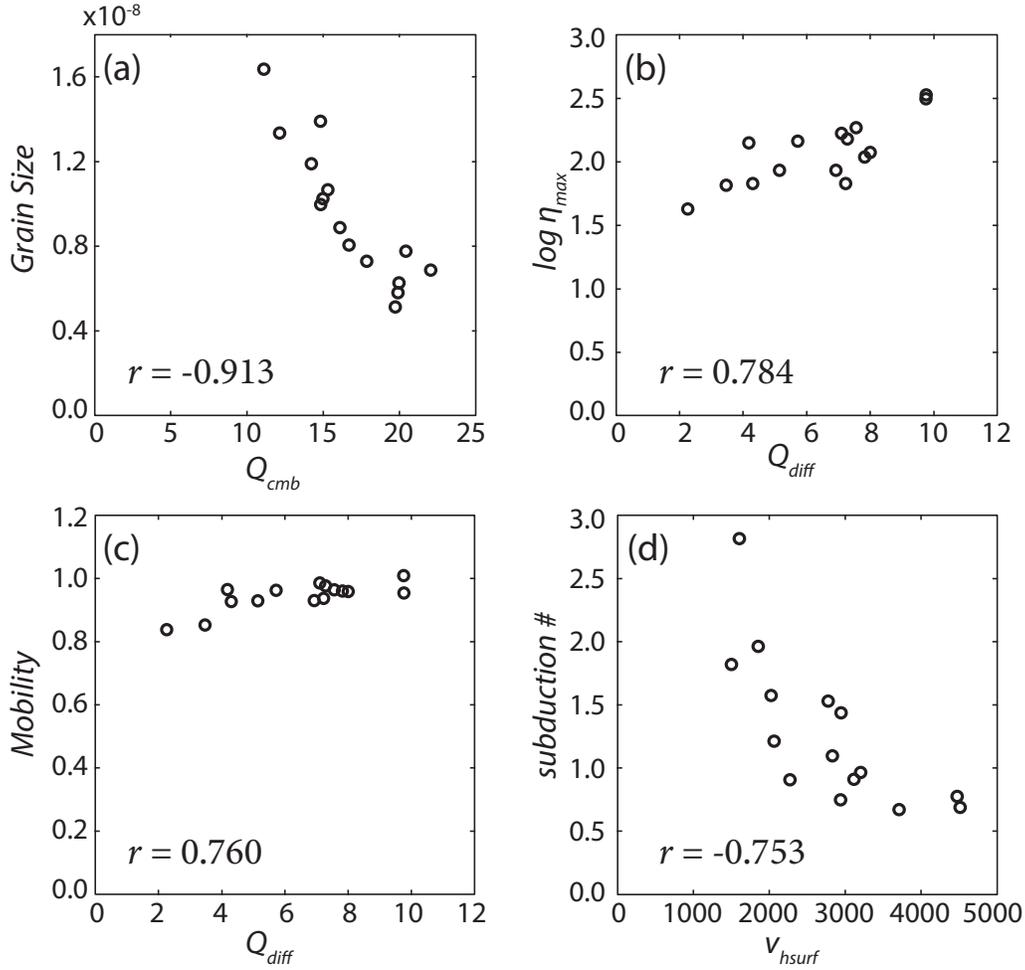


Figure 6: Correlations between model diagnostics. All datapoints correspond to models shown in Figures 4 and 5. Note that the Pearson correlation coefficient  $r$  refers to the linear relation of the normalized data. For panel (d), if  $subduction \#$  is transformed to  $1/subduction \#$ ,  $r = 0.827$ . Please mind that correlation does not necessarily imply causation. For a full description of axes see text.

320 the bottom  $d_{TBL}$  shows the opposite, with a marked increase when increasing  
 321  $k$  but near independence of  $f_G$  due to the absence of dislocation creep near  
 322 the core-mantle boundary.

323 The internal temperature of steady state convection models is a function  
 324 of the heat flow at the base and the surface, the internal heating,  $d_{TBL}$  and  
 325 recycling efficiency. To better understand the puzzling relations between GSE  
 326 parameters, tectonic activity and internal temperature, we plot diagnostic vs.  
 327 diagnostic diagrams in Figure 6. The strongest correlation that we find with  
 328 the bottom heat flux ( $Q_{cmb}$ ) is the average grain size (Figure 6a): the greater  
 329 the average grain size, the lower the heat flow. This is consistent with Figure  
 330 5f, which finds a strong  $k$ -dependence of the bottom  $d_{TBL}$ . Since our models  
 331 are internally heated,  $Q_{cmb}$  does not need to be equal to  $Q_{surf}$  (surface heat  
 332 flow): we plot  $Q_{surf}-Q_{cmb}$  as  $Q_{diff}$  in Figure 6b,c. The smaller the number  
 333 the lower the contribution of internal heat to  $Q_{surf}$ , and therefore the greater  
 334 efficiency of top-to-bottom convection. We find that the maximum viscosity  
 335 (lower green line in Figure 2b) correlates the strongest with this parameter  
 336 (based on the Pearson correlation coefficient  $r$  for normalized data), with  
 337 high lower mantle viscosities corresponding to high  $Q_{diff}$ .

338 Tectonic efficiency is expected to contribute to  $Q_{diff}$  as well.  $M$  and  $Q_{diff}$   
 339 also show a high  $r$  (Figure 6c). However, the slightly positive slope shown is  
 340 the opposite that we would expect, assuming that a greater Mobility should  
 341 contribute to more efficient cooling, lower internal temperatures and lower  
 342  $Q_{diff}$ . To fully understand these processes, we plot  $v_{hsurf}$  vs. *subduction #*  
 343 (Figure 6d). An interesting picture emerges where cases with the highest  
 344 subduction number (i.e., cases with the highest  $f_{surf}$ ; Figure 5a) feature the

345 lowest horizontal surface velocity. This implies that cases that are cooling  
346 very efficiently (i.e., small  $Q_{diff}$ , lowest temperatures in Figure 4b,e) do not  
347 need to show high Mobility. Instead, high plate velocities correspond with  
348 fewer *subduction* #.

### 349 3.3. Yield stress systematics

350 We also varied the surface yield stress ( $\sigma_{y,0}$ ) for different sets of GSE  
351 parameters ( $k$  and  $f_G$ ). Figure 7 shows the change in tranquillity based on  
352 the yield stress of those models. The transition between episodic and mobile-  
353 lid is slightly arbitrary in terms of both, mobility and tranquillity, but the  
354 slope of tranquillity provides a reproducible way to distinguish between the  
355 regimes. For example, for the stagnant-lid regime the slope is necessarily 0,  
356 and for continuous mobile-lid this slope is similarly very small, yet it is very  
357 steep for the episodic regime. With this definition, the transition between  
358 mobile and episodic can happen at different tranquillities for different GSE  
359 properties (Figure 7). This difference reflects the different strengths and  
360 continuities of the subduction slabs, as well as different subduction speeds.  
361 It is also worth noting that, in this work, the episodic regime is not equivalent  
362 to the catastrophic overturns in other works (e.g., Uppalapati et al., 2020):  
363 in our models, episodic cases feature events that do not recycle the whole  
364 lithosphere. Moreover, the phases between episodes with subduction are  
365 similar to the “ridge only” cases of Rozel et al. (2015).

366 For the reference set (black lines in figure 7), mobile cases present a near  
367 constant tranquillity around a value of 0.25, while we deem episodic the cases  
368 that form the strong slope between mobile cases ( $\sigma_{y,0} \leq 10^4$ ) and stagnant  
369 lid ( $\sigma_{y,0} \geq 3 \times 10^5$ ). The reference profile shows the transition from episodic

370 to stagnant lid ( $\tau_M=1$ ) occurring between  $\sigma_{y,0}=10^5$  and  $\sigma_{y,0}=3\times 10^5$ . This  
371 transition is the same for the case with stronger grain reduction ( $f_G=10^{-4}$ -  
372  $10^{-7}$ ; Figure 7a), and faster grain growth ( $k = 5\times 10^{-25}$ ; Figure 7b).

373 The transition between mobile-lid and episodic-lid regimes for differ-  
374 ent GSE properties does feature differences from the reference case, with  
375 the cases with higher grain reduction ( $f_{surf}=10^{-4}$ ) and higher grain growth  
376 ( $k=5\times 10^{-25}$ , Figure 7) showing mobile lid at higher  $\sigma_{y,0}$ . Moreover, cases  
377 with very high yield stress are still very 'mobile' (low tranquillity) for the  
378 cases with temperature-dependent grain reduction (Figure 7). With respect  
379 to the constant  $f_G$  cases, these cases may show greater average grain size and  
380 greater average viscosity for the same yield stress (Figure 4), but they show  
381 lower 'tranquillity', showcasing the importance of the temperature effect of  
382  $f_G$  for the stability of plates. Moreover, the transition between stagnant lid  
383 and mobile lid occurs over a smaller range of yield stresses (as a result of the  
384 "reduced" episodic regime).

385 The trend with constant grain size (Figure 7) shows no clear difference  
386 from GSE models as far as the transition yield stress between episodic-lid and  
387 stagnant-lid is concerned. Because we chose a reference grain size,  $D_0=10^{-8}$ ,  
388 intentionally to be similar to the average grain size obtained for the reference  
389 case (supplementary Figure S1), values of tranquillity are similar to the case  
390 with low grain growth and grain reduction (reference case), but very different  
391 from the other cases (even if for those cases the grain size is similar, as is  
392 the case of increased grain growth). However, similar  $\tau_M$  does not necessar-  
393 ily imply similarities in other properties (for a further comparison between  
394 variable and constant grain size, see supplementary figure S1).

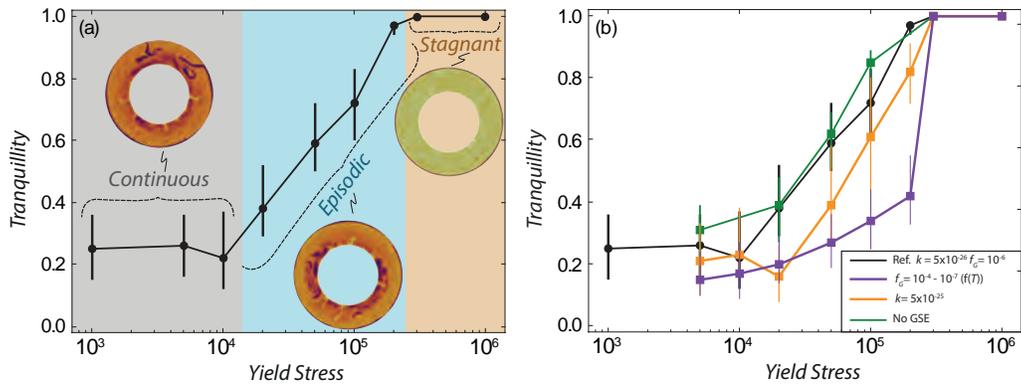


Figure 7: tranquillity ( $\tau_M$ ) vs. yield stress diagram. Each line reflects the transition from mobile lid to stagnant lid for different GS parameters. (a) Reference case with the limits of the tectonic regimes highlighted by color. The initial near-constant tranquillity at low yield stresses reflects the mobile lid. The slope at intermediate stresses corresponds to episodic cases. The flat section at  $\tau_M = 1$  represents the stagnant lid cases. (b) Cases with different GSE properties. While the transition between episodic and stagnant tectonics does not change, the tranquillity for the episodic regime differs greatly among cases.

## 395 4. Discussion

### 396 4.1. Effect of GSE on global tectonics

397 In this study, we investigated how grain-size evolution (GSE) impacts the  
398 tectonic regimes arising from mantle convection. Several authors predicted  
399 that GSE, particularly when strong grain reduction is present, would favor a  
400 higher critical yield stress for the transition between stagnant lid and episodic  
401 or mobile-lid regimes (Rozel, 2012; Bercovici and Ricard, 2014). Our models  
402 do not show any evident change of yield stress for the transition between the  
403 episodic and stagnant regimes (Figure 7). Instead, the critical yield stress  
404 remains similar regardless of the GSE parameters, although the transition be-  
405 tween stagnant and episodic may be more or less abrupt in terms of mobility  
406 jump (or tranquillity) with different GSE parameters.

407 Our models do suggest, however, that increased grain reduction may favor  
408 a continuous mobile-lid regime, as opposed to an episodic regime, hinting at  
409 the role of inheritance to stabilize subduction zones (e.g. Fuchs and Becker,  
410 2019). This may reduce the feasibility of the episodic regime, which is also  
411 in contrast with previous work that found a large transition between mobile-  
412 lid regime and stagnant-lid regime (Foley and Bercovici, 2014); the main  
413 difference being that we include dislocation creep and a yield stress in our  
414 models. Particularly, the trend of temperature-dependent grain reduction  
415 (purple line in Figures 4, 7) shows low tranquillity for any model not in  
416 stagnant lid (Figure 7b), suggesting a very narrow transition between mobile  
417 and stagnant lids.

418 Regarding grain growth, an increased value of  $k$  does not preclude the  
419 persistence of subduction zones (Bercovici and Ricard, 2014). The higher

420 end of the grain growth  $k$  used in our models resembles realistic olivine grain  
421 growth laws (Table 1; Schierjott et al., 2020), but more importantly, we ex-  
422 plore several orders of magnitude of change with little effect in the tectonic  
423 regime limits. These results suggest that healing plays a minor role in the  
424 definition of the tectonic regime (i.e., its limits), at least within the assump-  
425 tions of our models (i.e., as grain growth remains temperature-dependent  
426 with an activation energy of the same order of magnitude as diffusion and/or  
427 dislocation creep; Okamoto and Hiraga, 2024). This is consistent with the  
428 findings of Arnould et al. (2023), who found that dislocation creep fosters  
429 decoupling of the lithosphere and asthenosphere, decreasing the effect that  
430 asthenospheric stresses have on subduction zone creation. Healing will be  
431 further limited in systems considering pinning (Bercovici and Ricard, 2012),  
432 which, for simplicity, is not explicitly included in this work.

433 Certainly, while transition between regimes may depend only weakly on  
434 GSE parameters, this does not mean that grain size does not affect proper-  
435 ties of the convective system within one regime. Indeed, Figure 4 shows that  
436 within the mobile lid regime, properties such as internal temperature can be  
437 highly dependent on grain growth and reduction. Changing grain growth  
438 and grain reduction parameters modulates the average grain size of a planet  
439 as expected. Concerning global average internal temperature, however, the  
440 relation is less straightforward. Due to the decreased temperature with in-  
441 creasing both  $k$  and  $f_G$  there is no univocal relation between average grain  
442 size and internal temperature (or, in steady-state models, cooling efficiency).

443 While correlation does not imply causation, Figures 4, 5 and 6 allow us  
444 to deduct the effects of  $k$  and  $f_G$  within the mobile regime. The grain growth

445 prefactor  $k$  has a marked effect on the thickness of the bottom  $d_{TBL}$  (Fig-  
 446 ure 5f). Bottom heat flow ( $Q_{cmb}$ ) is therefore hampered by increasing grain  
 447 growth (Figure 6). The lower internal temperature of high grain growth mod-  
 448 els is likely a consequence of the lower heat flow from the core. Compared  
 449 with models of high  $f_G$ , these models also feature an inefficient convective  
 450 heat transfer (high  $Q_{diff}$ , Figure 6b), partly because the  $Ra$  decreases with  
 451 increasing viscosity (Figure 3d,f), and a higher contribution of internal heat-  
 452 ing to surface heat flow. Meanwhile high  $f_G$  models should feature a higher  
 453 heat flow from the bottom, but an increase in *subduction #* (Figure 5a) more  
 454 than compensates for this heat flow, causing also a decrease in global internal  
 455 temperature.

456 As mentioned in section 3, healing does not have a strong effect in our  
 457 models, likely due to the activation energy in Eq. 2. Moreover, it is likely  
 458 that healing would be of secondary importance in our models even with lower  
 459  $E^*$ . Cases with high  $k$  feature high mobility and low tranquility. Not only  
 460 is this effect due to an internal sluggish convection owed to increased  $\eta_{max}$   
 461 (lower  $v_{rms}$ ), but actually models with a lower number of subduction zones  
 462 feature higher average plate velocities (Figure 6d). This behavior hints at  
 463 the possibility that grain growth stabilizes subduction zones, favoring persis-  
 464 tence, and that of grain reduction to cause slab breakoff. Alternatively, grain  
 465 growth favor thicker lithospheres, and therefore higher negative buoyancy of  
 466 slabs (although this is not evident in Figure 5f). Grain growth and grain  
 467 reduction are not dynamic opposites, and they do not need to have a sym-  
 468 metrical and opposite effect in the convection diagnostics. Eq. 2 shows this  
 469 asymmetry in the calculation. Furthermore, grain reduction can only occur

470 in areas with high dislocation creep, and grain sizes will only affect diffusion  
471 creep. In chaotic systems (i.e., high  $Ra$ ), assuming that high grain reduction  
472 will aid plate tectonics, and grain growth impede it, is not warranted.

473 When considering the time dependence of the models (supplementary  
474 video SV1, see also Figure 1g,h), processes other than subduction creation  
475 hint to the reasons of this duplicity in behavior of  $k$  and  $f_G$ . Indeed, supple-  
476 mentary video SV1 shows the creation of a subduction zone via lithospheric  
477 'scar' activation (this scar, in particular, corresponds to a failed rift), but  
478 also its cessation due to slab breakoff. In fact, in models with high grain re-  
479 duction, reactivation of weak zones was a relatively rare phenomenon, while  
480 breakoff due to lithospheric weakness is more common. All in all, subduction  
481 zones are more numerous in models with high grain reduction, but also their  
482 stability is smaller. As mentioned in section 2, we chose the smaller option of  
483 the  $p$  values. We admit that a higher value of  $p$  may produce stronger grain-  
484 size dependence in the lithosphere, but this may lead to an even weaker,  
485 more fragmented subduction, as observed elsewhere (Schierjott et al., 2020;  
486 Gerya et al., 2021).

#### 487 *4.2. Limitations*

488 Determining the precise effects of GSE parameters in real systems must  
489 be done carefully and our models should not be interpreted further than what  
490 their design allows us. Our activation parameters, particularly activation en-  
491 ergies, are relatively small compared to laboratory measurements (Kohlstedt  
492 and Hansen, 2015). We kept the activation energy for grain growth between  
493 the activation energies of diffusion creep and dislocation creep, as other stud-  
494 ies have suggested (Schierjott et al., 2020), but that also meant an activation

495 energy for grain growth below what has been measured experimentally. In  
496 turn we are confident that our results are relevant for the lower mantle,  
497 since the lower mantle features low viscosity contrasts (Yang and Gurnis,  
498 2016), but these discrepancies between our parameters and the laboratory  
499 values could influence results, particularly in the lithosphere-asthenosphere  
500 boundary. In the latter case, the null hypothesis should be that higher creep  
501 activation energies stiffen the lithosphere, making the tectonic regime even  
502 more dependent on the yield stress.

503 As stated in the introduction, the main evidence for grain reduction by  
504 deformation is found in shear zones. In our work, however, resolution lim-  
505 itations preclude the detailed investigation of narrow shear structures, and  
506 therefore we are limited to analyze our data in terms of broad trends. Some  
507 regional geodynamic models, nonetheless, suggest that many of our findings  
508 are robust and we expect them to hold on smaller crustal-to-lithospheric  
509 scales. For example, recent regional models show the same distribution of  
510 dislocation creep and reduced grain size in mid-ocean ridges and subduction  
511 zones when compared to our global models (Gerya et al., 2021; Ruh et al.,  
512 2022).

513 The simplicity of our models allows us to systematically isolate the effects  
514 of GSE. However, this implies that our calculations miss several complexities  
515 of planetary mantle convection. In particular, the lack of chemical hetero-  
516 geneity precludes any evaluation of important phenomena that can influence  
517 grain growth and plate tectonics such as melting or Zener pinning (Katz  
518 et al., 2022; Bercovici and Ricard, 2012). In addition, our yield stress ap-  
519 proximation is a highly simplified form of mechanisms such as the Peierls

520 Creep, which should affect and be affected by GSE (Hansen et al., 2019).  
521 Future work should focus on elucidating the effects of these phenomena in  
522 models with GSE.

#### 523 *4.3. Implications for early Earth and Venus*

524 When considering Earth-like  $k$  and  $f_G$  parameters (which would corre-  
525 spond to the higher end of  $k$  here considered, as well as a strong temperature-  
526 dependence of  $f_G$ , see Table 1), high grain reduction at lithospheric depths  
527 favors a narrow transition between stagnant lid and mobile lid. Assuming  
528 that our change in yield stress is a valid proxy for the change of the strength  
529 of the lithosphere with time (as suggested by Jain et al. (2022)), this narrow  
530 transition could imply a relatively quick change between Archean-style ver-  
531 tical tectonics and horizontal motion of lithospheric plates, which would be  
532 in agreement with isotopic data that found this transition occurring between  
533 3.8 and 3.6 Ga (Bauer et al., 2020). If this was the case, the Earth may have  
534 never experienced episodic tectonics.

535 On Venus, however, higher surface temperatures may favor faster grain  
536 growth and less grain reduction (lower stresses), therefore enabling the episodic  
537 regime (Armann and Tackley, 2012). Nonetheless, the differences in the GSE  
538 between Earth and Venus today do not arise from intrinsically different GSE  
539 parameters (if significant) assuming a similar composition between Earth  
540 and Venus (e.g., Rolf et al., 2022), but likely from surface temperature differ-  
541 ences and consequently different rates of grain growth (Bercovici and Ricard,  
542 2014). Preliminary models by Landuyt and Bercovici (2009), Bercovici and  
543 Ricard (2014), or Foley and Driscoll (2016) (among others) do hint to the  
544 feasibility of this hypothesis. However, these works lack a self-consistent dy-

545 namical approach and/or composite rheology, and our results suggest that  
546 more specific models featuring different surface temperatures with composite  
547 rheology and GSE are needed to properly address this issue.

548 Nonetheless, models including more complex phenomena and realistic  
549 parameters may obscure some of the effects detected here. A puzzling phe-  
550 nomenon are the differences between the works of Schierjott et al. (2020),  
551 Paul et al. (2024) and ours. These three works present similar grain size evo-  
552 lution treatment but reach a different conclusion regarding self-regulation  
553 of the rheological properties. While Schierjott et al. (2020) and our models  
554 show regulation of viscosity at the expense of changing grain size (Figure 3;  
555 see also Okamoto and Hiraga, 2024), Paul et al. (2024) finds a near-constant  
556 grain size with changing viscosity. The values of Paul et al. (2024) are closer  
557 to the latest experimental values for bridgmanite, but our models show that  
558 the self-regulation depends on grain reduction and occur at all values of  
559  $k$  (which is responsible for the absolute grain size). In turn, very specific  
560 (Earth-like) models include many other phenomena that affect cooling of  
561 the planet and/or grain size (melting, phase transitions...) which could also  
562 affect this regulation.

563 Whether mantle convection in Earth has been able to effectively regulate  
564 grain size or/and viscosity remains an open question with important implica-  
565 tions. On the one hand, grain size remains important for considerations other  
566 than those studied here: incipient melting and transport depend on the sur-  
567 face of grains related to their volume (Philpotts and Ague, 2022; Katz et al.,  
568 2022), for example. Similar grain sizes imply similar behavior of the melt-  
569 generating asthenosphere in the past. On the other hand, if viscosity of the

570 mantle has been similar during Earth’s history, convection-related changes  
571 such as the onset of plate tectonics must have happened early on Earth’s his-  
572 tory. This latter sentence makes our models consistent with early transitions  
573 to plate tectonics on Earth (e.g., Bauer et al., 2020), while interpretations  
574 claiming a more recent starting point of plate tectonics (e.g., Stern, 2018)  
575 may be more consistent with Paul et al. (2024), since they require a system  
576 able to change its internal properties.

577     Regardless of which exact parameter values are representative of the  
578 Earth, we are confident that our models do reflect the systematic changes  
579 that planetary mantle convection undergoes with changing GSE parameters.  
580 Our models show that considering GSE imprints a new array of behaviors  
581 and diagnostic changes that can only be reproduced by models without GSE  
582 when considering composite rheology (e.g. Arnould et al., 2023), and only if  
583 the grain growth/reduction parameters are assumed to be in the low side of  
584 the array here explored (Figure 7, supplementary Figure S1), which would  
585 not be the case of Earth, for example. Moreover, simplified models of GSE  
586 may be overstating the effect of grain size on lithospheric strength for subduc-  
587 tion creation, while ignoring its potential for subduction cessation. Likewise,  
588 healing by grain growth may preclude subduction creation, but once created  
589 may favor its persistence.

## 590 **5. conclusions**

591     To summarize, our models show the following systematics for the different  
592 tectonic regimes and the transitions between them:

- 593     • increasing neither grain growth nor grain reduction substantially changes

594 the critical yield stress of the lithosphere at which the transition to the  
595 stagnant-lid regime occurs. The effects within one regime are nonethe-  
596 less stark.

597 • Increasing grain growth and/or grain reduction efficiently promotes  
598 lower internal temperatures. High grain growth limits the transfer of  
599 heat from the core to the mantle; while high grain reduction favors  
600 cooling by tectonic recycling.

601 • For Earth, the transition between stagnant lid and mobile lid may have  
602 not gone through an episodic period, while on Venus the episodic regime  
603 may have been favored due to higher surface temperatures, lower grain  
604 reduction and higher grain growth, but further work is needed to resolve  
605 this question.

## 606 **6. Author contributions: CRediT**

607 Antonio Manjón/Cabeza Córdoba: Conceptualization; Software; Formal  
608 analysis; Validation; Visualization; Investigation; Methodology; Writing-  
609 original draft; Writing-review and editing. Tobias Rolf: Conceptualiza-  
610 tion; Software; Funding acquisition; Investigation; Project administration;  
611 Writing-review and editing. Maëlis Arnould: Conceptualization; Software;  
612 Writing-review and editing.

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# Supplementary Material for “Grain size evolution in mantle convection models promotes continuous rather than episodic tectonics”

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6 **1. Introduction**

7 Here we present the supplementary information for our paper "Grain  
8 size evolution in mantle convection models promotes continuous rather than  
9 episodic tectonics". Table S1 shows a summary of the models run for this  
10 work and the specific nondimensional parameters varied in each one. Figure  
11 S3 shows models with constant grain size compared to the reference case (see  
12 text for details). The caption for supplementary video SV1 shows a short  
13 description of the process depicted in SV1 (attached separately).

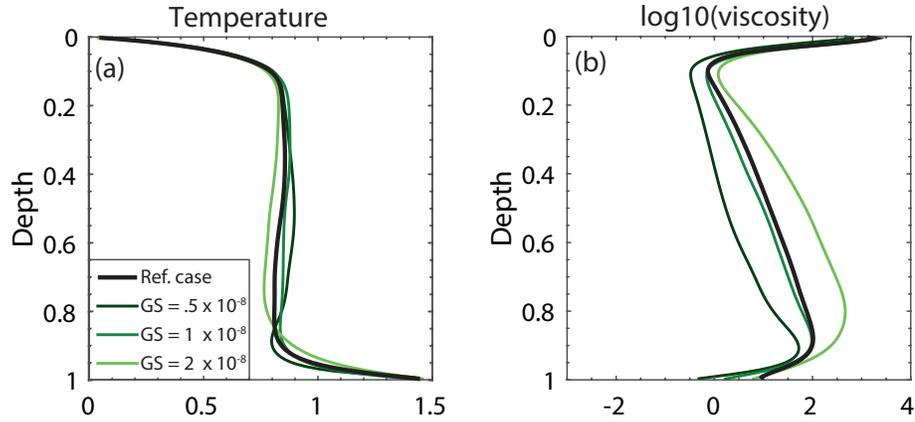
<sup>14</sup> **2. Table S1**

<sup>15</sup> List of models used in this paper. CGS stands for Constant Grain Size.  
<sup>16</sup> For the rest of the parameter symbols and interpretation, see Table 1 and  
<sup>17</sup> main text.

| Model ID | $K$                 | $f_G$             | YS              | Model ID   | $K$                 | $f_G$             | YS              |
|----------|---------------------|-------------------|-----------------|------------|---------------------|-------------------|-----------------|
| MRA23-16 | $5 \times 10^{-26}$ | $10^{-6}$         | $5 \times 10^3$ | MRA23-61   | $5 \times 10^{-25}$ | $10^{-6}$         | $10^5$          |
| MRA23-22 | $5 \times 10^{-26}$ | $10^{-5}-10^{-7}$ | $5 \times 10^3$ | MRA23-62   | $5 \times 10^{-25}$ | $10^{-6}$         | $3 \times 10^5$ |
| MRA23-23 | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $5 \times 10^3$ | MRA23-63   | $5 \times 10^{-25}$ | $10^{-6}$         | $10^6$          |
| MRA23-24 | $5 \times 10^{-26}$ | $10^{-3}-10^{-7}$ | $5 \times 10^3$ | MRA23-64   | $5 \times 10^{-27}$ | $10^{-6}$         | $5 \times 10^3$ |
| MRA23-26 | $5 \times 10^{-26}$ | $10^{-6}$         | $10^3$          | MRA23-65   | $5 \times 10^{-24}$ | $10^{-6}$         | $5 \times 10^3$ |
| MRA23-28 | $10^{-25}$          | $10^{-4}-10^{-7}$ | $5 \times 10^3$ | MRA23-66   | $5 \times 10^{-26}$ | $10^{-4}$         | $2 \times 10^4$ |
| MRA23-29 | $5 \times 10^{-25}$ | $10^{-4}-10^{-7}$ | $5 \times 10^3$ | MRA23-67   | $5 \times 10^{-25}$ | $10^{-6}$         | $2 \times 10^4$ |
| MRA23-36 | $5 \times 10^{-26}$ | $10^{-6}$         | $10^4$          | MRA23-68   | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $2 \times 10^4$ |
| MRA23-37 | $5 \times 10^{-26}$ | $10^{-6}$         | $2 \times 10^4$ | MRA23-69   | $5 \times 10^{-26}$ | $10^{-4}$         | $10^4$          |
| MRA23-39 | $10^{-26}$          | $10^{-4}-10^{-7}$ | $5 \times 10^3$ | MRA23-70   | $5 \times 10^{-25}$ | $10^{-6}$         | $10^4$          |
| MRA23-40 | $10^{-26}$          | $10^{-6}$         | $5 \times 10^3$ | MRA23-71   | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $10^4$          |
| MRA23-41 | $10^{-25}$          | $10^{-6}$         | $5 \times 10^3$ | MRA23-72   | $5 \times 10^{-26}$ | $10^{-6}$         | $5 \times 10^4$ |
| MRA23-42 | $5 \times 10^{-25}$ | $10^{-6}$         | $5 \times 10^3$ | MRA23-73   | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $5 \times 10^4$ |
| MRA23-47 | $5 \times 10^{-26}$ | $10^{-7}$         | $5 \times 10^3$ | MRA23-74   | $5 \times 10^{-26}$ | $10^{-4}$         | $5 \times 10^4$ |
| MRA23-48 | $5 \times 10^{-26}$ | $10^{-5}$         | $5 \times 10^3$ | MRA23-75   | $5 \times 10^{-25}$ | $10^{-6}$         | $5 \times 10^4$ |
| MRA23-49 | $5 \times 10^{-26}$ | $10^{-6}$         | $10^5$          | MRA23-76   | $5 \times 10^{-26}$ | $10^{-6}$         | $2 \times 10^5$ |
| MRA23-50 | $5 \times 10^{-26}$ | $10^{-6}$         | $10^6$          | MRA23-77   | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $2 \times 10^5$ |
| MRA23-52 | $5 \times 10^{-26}$ | $10^{-4}$         | $5 \times 10^3$ | MRA23-78   | $5 \times 10^{-26}$ | $10^{-4}$         | $2 \times 10^5$ |
| MRA23-53 | $5 \times 10^{-26}$ | $10^{-2}-10^{-7}$ | $5 \times 10^3$ | MRA23-79   | $5 \times 10^{-25}$ | $10^{-6}$         | $2 \times 10^5$ |
| MRA23-54 | $5 \times 10^{-26}$ | $10^{-6}$         | $3 \times 10^5$ | MRA23-16.5 | CGS                 | CGS               | $5 \times 10^3$ |
| MRA23-55 | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $10^5$          | MRA23-16.1 | CGS                 | CGS               | $5 \times 10^3$ |
| MRA23-56 | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $3 \times 10^5$ | MRA23-16.2 | CGS                 | CGS               | $5 \times 10^3$ |
| MRA23-57 | $5 \times 10^{-26}$ | $10^{-4}-10^{-7}$ | $10^6$          | MRA23-37.1 | CGS                 | CGS               | $10^4$          |
| MRA23-58 | $5 \times 10^{-26}$ | $10^{-4}$         | $10^5$          | MRA23-49.1 | CGS                 | CGS               | $10^5$          |
| MRA23-59 | $5 \times 10^{-26}$ | $10^{-4}$         | $3 \times 10^5$ | MRA23-54.1 | CGS                 | CGS               | $3 \times 10^5$ |
| MRA23-60 | $5 \times 10^{-26}$ | $10^{-4}$         | $10^6$          | MRA23-72.1 | CGS                 | CGS               | $5 \times 10^4$ |

18 **3. Figure S1**

19 Comparison of radial profiles of the reference case (see main text) and  
20 three different cases with constant grain size. (a) Temperature profile. (b)  
21 Viscosity profile. Note that an average grain size of  $10^8$  reproduces the aver-  
22 age characteristics of the reference case (low grain growth and grain reduc-  
23 tion) except for the bottom boundary layer, where viscosity is lower for the  
24 case of constant grain size. This value depends completely of  $D_0$  (table S1)  
25 and is physically meaningless (i.e., can be added to a constant prefactor in  
26 equation 1, main text).



27 **4. Caption for Supplementary Video SV1**

28 Animation of the inheritance process in a model with GSE. (clockwise  
29 from top-left) Temperature field, viscosity field, grain size field, and defor-  
30 mation mechanism field. For color scales, see Figure 1 in the main text.

31 A lithospheric scar (zone of low viscosity and low grain size) from a pre-  
32 vious deformation event is reactivated and a subduction zone is created. In  
33 turn, this subduction zone is short-lived due to slab break-off, but another  
34 ‘scar’ is left in the lithosphere.