Observation-based estimate of Earth's effective radiative forcing

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Human emissions continue to influence Earth's climate. Effective radiative forcing quantifies the effect of such anthropogenic emissions together with natural factors on Earth's energy 14 balance (Soden et al. 2018; Gregory et al. 2020; Forster et al. 2021, 2024). Evaluating the 15 exact rate of effective radiative forcing is challenging, because it can not be directly observed. 16 Therefore, estimating the effective forcing usually relies on climate models (Forster et al. 17 2024). Here, we present an estimate of effective radiative forcing that makes optimal use of 18 observations. We use machine learning to learn the relationship between surface temper-19 ature and radiation caused by internal variability in a multi-model ensemble. Combining 20 this with observations of surface temperature and the Earth's net radiative imbalance (Loeb 21 et al. 2018, 2021; NASA/LARC/SD/ASDC 2023), we predict an effective forcing trend of 22 $0.71 \pm 0.21 \,\mathrm{Wm}^{-2}$ per decade for 2001-2024. This is a new and independent assessment of the observed effective radiative forcing since 1985, that can be updated simultaneously with available observations and aligns with our physical understanding of radiative feedbacks. We make advances to close the Earth's energy budget on annual timescales, by separating the influence of forcing versus the radiative response to surface temperature variations. 27 Effective radiative forcing has substantially increased since 2021 and has not been countered 28 by a strongly negative radiative response until 2024, consistent with exceptional warmth in 29 2023 and 2024. 30

Significance Statement: Effective radiative forcing is the radiative perturbation of the atmo-32 sphere before surface temperature changes. Quantifying this effect is key to understanding Earth's 33 energy balance, testing climate theories, building climate models, and attributing climate change. 34 Effective forcing cannot be observed and its calculation relies on climate models, which come 35 with biases and assumptions of the radiative effect of emissions and cloud processes that are not 36 understood well yet. Here, we develop a new framework to calculate historical forcing that makes 37 minimal use of climate models, by combining artificial intelligence with direct observations. Our forcing estimate indicates a strong upwards trend in the last two decades, can be updated immediately with new observations, and increases our understanding of Earth's recent energy imbalance.

At the top of the atmosphere, effective radiative forcing (F) and the radiative response to surface warming (R) simultaneously modify the radiation budget of the Earth (N). The simplest energy balance model states that, globally averaged,

$$N = F + R. (1)$$

Only N can be measured by satellites recording the energy flux in and out of the entire Earth system (Loeb et al. 2018, 2021; NASA/LARC/SD/ASDC 2023). A positive effective radiative forcing F (excess energy introduced into the atmosphere) is generally balanced by a negative response R, but both are time-varying. In a stable climate, R balances F. A positive N indicates excess energy storage in the Earth system.

Effective radiative forcing (hereafter "forcing" F) is the sum of instantaneous radiative forcing 50 (initial flux changes after a perturbation in emissions or prescribed concentrations such as green-51 house gases or aerosols) and radiative adjustments (radiative flux changes induced by the forcing 52 within the atmosphere but independent of surface temperature; e.g., Sherwood et al. 2015; Smith 53 et al. 2020; Forster et al. 2021; Sherwood et al. 2020). Instantaneous radiative forcing has recently 54 been estimated from observations using radiative kernels (Kramer et al. 2021), but radiative adjust-55 ments have to be added to make its use valid in equation (1) and to compare it to other estimates 56 of radiative forcing (see discussion below; Forster et al. 2024). Radiative adjustments rely on cli-57 mate models and are very uncertain. Here, we directly estimate effective radiative forcing without relying on the concept of radiative adjustments or climate models calculating them. Note that in 59 Eq. (1), we define R to include only the temperature-driven feedbacks, while forcing adjustments 60 are included in F. 61

Estimates of historical forcing are uncertain because it requires the input of external factors such as greenhouse gas concentrations or aerosol emissions and relies on specific implementations of parametrizations that can lead to model biases (Soden et al. 2018; Bellouin et al. 2020; Forster et al. 2021, 2024). Here, we present a new method to quantify effective radiative forcing from the observed surface temperature and radiative imbalance, using a minimal number of assumptions. We combine observations with physically explainable machine learning methods to predict the radiative response to surface warming, from which we subsequently estimate the historical radiative forcing.

1. Convolutional neural network predicts radiative response

The radiative response to surface warming *R* is an aggregate of many processes initiated by the perturbation of a forcing. For example, CO₂ or aerosols change the structure of temperature in the atmosphere and at the surface, and the amount and distribution of water vapour, clouds, snow, sea ice, and vegetation. In turn, all of these factors can change the radiation balance of the Earth, both in the net shortwave solar radiation and the longwave outgoing radiation (Charney et al. 1979; Cess et al. 1990; Roe 2009). Research over the last decade has highlighted that global-mean radiation sensitively depends on the spatial patterns of surface warming, termed the pattern effect (Senior and Mitchell 2000; Andrews et al. 2015; Rugenstein et al. 2023b).

We predict R from maps of surface temperature (T) with a convolutional neural network (CNN; 79 Supporting Information Fig. 1 and Methods). CNNs have recently gained popularity in the geo-80 sciences (Reichstein et al. 2019), because they are well-equipped to recognize nonlinear spatial 81 patterns in images (LeCun et al. 2015). It has been shown that CNNs outperform traditional and 82 regularized linear methods in predicting global-mean radiative response to spatial variations of T 83 caused by internal variability (Rugenstein et al. 2025). Here, we train a CNN to recognize the re-84 lationship between maps of T and the globally averaged R caused by internal variability in large initial condition ensembles of four climate models (see Methods). That is, the forced response is removed from all data prior to training by subtracting the ensemble mean, leaving only natural variations in T and R.

Training on internal variability removes the need to rely on the correct simulation of forcing or forced surface warming in climate models. We only make the assumption that climate models correctly simulate the relationship between the spatial pattern of *T* and global-mean *R* in an unperturbed climate. We do not argue that any individual model is fully correct (Forster et al. 2021; Maher et al. 2023; Myers et al. 2021), but rely on the spread and diversity in the multi-model ensemble to be broad enough to lie *around* the true relationship between *T* and *R* (Olonscheck and Rugenstein 2024).

The CNN replaces the usual approximation that *R* is linearly dependent on global-mean temperature (Gregory et al. 2004; Sherwood et al. 2020), which is unable to explain large variations of radiation in the historical record (Andrews et al. 2015; Gregory et al. 2020; Rugenstein et al. 2023b). Instead, the CNN takes into account the spatial pattern effect, along with potential nonlin-

earities among T and R. The CNN successfully reproduces held-back testing members with high 100 skill (Supporting Information Fig. 3a-b). Moreover, CNNs trained on data from only three models 101 can effectively predict R in the fourth model, indicating that our CNN can make out-of-sample pre-102 dictions. Importantly, the CNN skilfully predicts the forced response to temperature patterns that 103 have seen strong radiative forcing (Supporting Information Fig. 3c-d). That is, applying the CNN 104 to simulated temperature maps from a warming climate (as opposed to the internal variability the 105 CNN is trained on), we correctly predict a negative trend in R. This is evidence that the CNN is 106 transferable to real-world climate change. 107

2. Quantifying observed radiative forcing

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We estimate the historical forcing with Eq. (1) by quantifying R with our CNN, and subtracting R from the observed N:

First, we apply observed surface temperature anomalies with respect to the 2001-2020 climatology since 1985 to the CNN (Fig. 1, bottom blue lines). Different observational products result in
very similar radiation responses (see Methods). Crucially, these observation-derived temperature
maps have not been used to train the CNN, and they include a forced trend. Although the CNN
has not been trained on forced climate change, the predicted R has a significant negative trend, as
it should in a changing climate in which F increases (Raghuraman et al. 2021; Roe 2009; Knutti
and Rugenstein 2015).

Second, the radiative imbalance N can be derived from the satellite record (Fig. 1, middle black line). Since 2001, these measurements are considered fairly precise (solid black line, derived from CERES, Loeb et al. (2018)) but N has been reconstructed back to 1985 from older satellite products (dashed black line, DEEP-C, Allan et al. (2014); Liu et al. (2020); Liu and Allan (2022)).

Finally, we quantify the forcing (Fig. 1, top red lines) by subtracting our predicted radiative response from the observed imbalance (i.e., F = N - R). This new estimate of F primarily uses observable quantities: the radiative imbalance and surface warming patterns. At the same time, we limit the use of climate model input: the relationship between T and R learned from internal variability only.

Our forcing estimate has a significant positive trend of $0.71 \pm 0.21 \,\mathrm{Wm^{-2} decade^{-1}}$ (5%-95% confidence range, see Methods) in the period 2001-2024 (Fig. 1, dotted red line). This con-

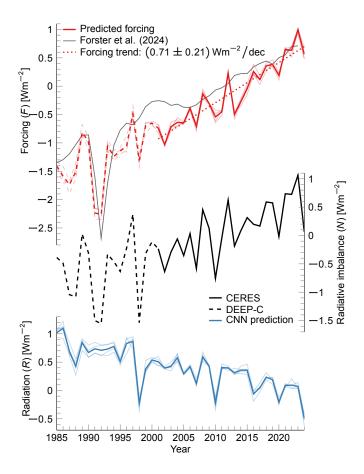


Fig. 1. Radiative forcing derived from observations. Bottom panel shows the radiative response to surface warming (R, blue) predicted by the convolutional neural network (CNN) from four observational surface temperature datasets (thin lines; thick line shows the average). Middle panel is the observed radiative imbalance (N, black). Top panel shows the predicted radiative forcing (F = N - R, red), and the dotted red line is the best linear fit for 2001-2024. As a comparison, the thin black line shows the radiative forcing from Forster et al. (2024). All R, N, and F values are anomalies with respect to the average of 2001-2020.

firms that the Earth system is experiencing a rapid increase in forcing. We predict a stronger trend in F than Forster et al. (2024) (top thin black line in Fig. 1), who predict a forcing trend in 2001-2023 of 0.51 Wm⁻²decade⁻¹ (5%-95% confidence range of 0.36 Wm⁻²decade⁻¹ to 0.68 Wm⁻²decade⁻¹, see methods). For the same period (2001-2023), we estimate a forcing trend of 0.72 ± 0.20 Wm⁻²decade⁻¹.

Contrary to other methods, we can resolve interannual variability of the forcing. For example, we correctly predict a strongly negative forcing in 1991/1992, when natural aerosol emissions peaked

due to the 1991 Pinatubo eruption (Minnis et al. 1993; Stenchikov et al. 2009). Because we rely on observations of the radiative imbalance, which are limited to 1985-2024, we cannot estimate the forcing before 1985. Moreover, although the trend in the observed *N* is robust, satellite measurements alone are not accurate enough to detect the absolute value of the radiative imbalance (e.g., Loeb et al. 2021). Therefore, our estimates in Fig. 1 are defined with respect to the 2001-2020 climatology (see Methods). Thus, we do not constrain the absolute magnitude of the forcing compared to the pre-industrial era, but report on its trend and interannual variability with respect to the 2001-2020 average.

Andrews and Forster (2020) used a similar approach to constrain the magnitude of effective radiative forcing complementary to our current effort to derive the recent trends. They found $F = 2.3 \,\mathrm{Wm^{-2}} \,(1.7 \,\mathrm{Wm^{-2}} \,\mathrm{to} \,3.0 \,\mathrm{Wm^{-2}} \,\mathrm{confidence} \,\mathrm{range}$, averaged over 2005-2015 with respect to the pre-industrial baseline 1861-1880) by calculating a global radiative feedback from atmospheric model simulations with observed sea surface temperature boundary conditions and combining it with N derived from in situ ocean observations (Johnson et al. 2016).

Over the last decade, the Earth energy imbalance has steadily increased (Hodnebrog et al. 2024; 156 von Schuckmann et al. 2023; Storto and Yang 2024; Cheng et al. 2024) and may even be ac-157 celerating (Forster et al. 2024; Loeb et al. 2024b), most likely due to increased anthropogenic 158 forcing (Raghuraman et al. 2021; Hodnebrog et al. 2024). Our results provide independent evi-159 dence that this increasing N is driven by an escalating F. Based on trend analysis, F increased 160 by $0.51 \pm 0.61 \,\mathrm{Wm^{-2}}$ from 2001 to 2010, and by $0.80 \pm 0.44 \,\mathrm{Wm^{-2}}$ from 2011 to 2020, indicating 161 an acceleration of the forcing. This acceleration could be explained by a reversed aerosol forcing 162 trend (Quaas et al. 2022; Kramer et al. 2021), slowing down the global cooling effect of aerosols. 163

3. Validating our approach

Our method relies on the CNN to learn the correct relationship between *R* and surface temperature patterns. Although machine learning techniques are often regarded as a black box, explainable artificial intelligence (XAI) techniques (Mamalakis et al. 2022) allow us to understand why and how the CNN makes predictions.

Local gradients of the CNN explain the sensitivity of the CNN to local temperature variations and can be interpreted as radiative feedback arising from internal variability. Radiative feedback

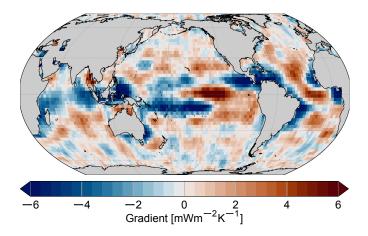


Fig. 2. Gradient map of the convolutional neural network. The map shows the derivative of global mean radiative response to local surface temperature, and can thus be interpreted as local radiative feedback. Gradient maps are dependent on the input, because the CNN is nonlinear. Gradients with respect to detrended temperature maps from the testing dataset are averaged.

characterizes how radiation responds to changes in temperature through processes including clouds, sea ice, or water vapor (Roe 2009; Lutsko and Takahashi 2018; Ceppi and Nowack 2021). A local positive feedback indicates that R increases when T in that location increases. This increased incoming radiation can eventually lead to higher global temperature, hence a positive feedback. Conversely, a negative feedback is stabilizing: increased temperature causes a negative response R, which slows down warming of the planet.

The gradient of the CNN (Fig. 2 and Methods) elucidates the physically meaningful regions used to make predictions. For example, the large amplitude of the gradient in the Tropics reveals that the CNN is most sensitive to temperature changes in these areas. Regions of tropical deep convection, such as the Western Pacific, show strong negative values, interpreted as negative feedbacks. These negative feedbacks are caused by changes in nonlocal lower tropospheric inversion strength, modulating low cloud coverage and hence radiation (Dong et al. 2019; Alessi and Rugenstein 2023; Wood and Bretherton 2006). In contrast, increased temperature in more stable areas (e.g., the subtropical Eastern Pacific) can cause a local decrease in shallow marine clouds and a positive radiative response, as indicated by a strong positive feedback. Importantly, the CNN learns this relationship without explicit knowledge of the underlying physical processes.

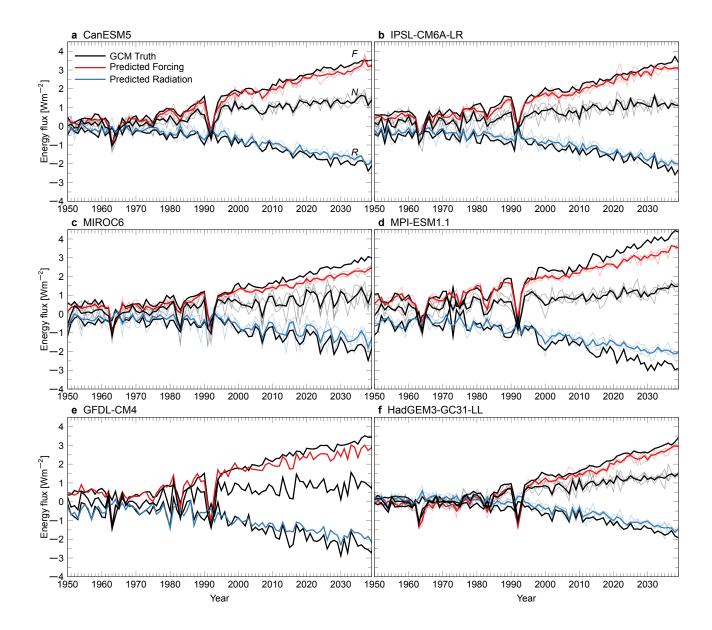


Fig. 3. Radiative forcing estimated and simulated by six climate models. Radiative response (R, bottom blue lines) and radiative forcing (F, top red lines) as predicted by the convolutional neural network (CNN) compared to the true model output (black lines). The middle black lines are the radiative imbalance (N) from the climate model, used to predict the forcing (F = N - R). Thick lines indicate ensemble averages, thin lines are individual ensemble members. The models in panel \mathbf{e} and \mathbf{f} were not used to train the CNN and are fully out-of-sample.

We further evaluate the reliability of our approach by using existing climate model simulations. Designated model runs can provide an estimate of F (Pincus et al. 2016, Methods), while T and N

are available as standard model output. Instead of using observations, here we use the simulated temperature maps to predict R in the climate models (Fig. 3, blue lines). Then, we subtract the sim-200 ulated N (Fig. 3, middle black lines) from the result to predict F (Fig. 3, red lines). The CNN was 201 trained on internal variability of the climate models shown in Fig. 3a-d, but the ensemble members 202 shown were excluded from the training data. For most models, the CNN is able to reproduce the 203 simulated F (Fig. 3, top black lines) very well for past and current values, but a systematic low 204 bias exists for future projections. The low bias indicates that the CNN loses performance when ap-205 plying warmer temperature maps than it was trained on. This suggests that also our observational 206 estimate of the forcing might be biased low, since the internal variability seen during training is 207 smaller than warming over the last decades. Training a CNN on forced climate change instead of 208 internal variability removes the low bias when tested on climate models and that CNN predicts an 209 even stronger observed trend in F in the last two decades (Supporting Information Fig. 4). However, the simulation of the forced response in climate models might be biased itself (e.g., Seager 211 et al. 2019; Wills et al. 2022; Rugenstein et al. 2023a). 212

Even for internal variability, models might be biased. The CNN might not be able to extrapolate 213 to the observed T, if all ensemble members across models used in training share a common bias. 214 These biases in internal variability tend to vary in sign across models (e.g., Parsons et al. 2020; 215 Maher et al. 2023). If the observed T lies within the intermodel spread of T, the CNN is expected 216 to interpolate well to observations. To test this, we apply the CNN to the simulated temperature 217 maps of two climate models that were not used to train the CNN (Fig. 3e and f). The CNN is able 218 to predict R and F in these models, indicating that the CNN can generalize to new climate models. 219 Moreover, replacing the CNN with a Green's function, a method that linearizes the response of 220 a single atmosphere-only model to changes in the sea surface temperature (e.g., Alessi and Ru-221 genstein 2023; Bloch-Johnson et al. 2024), leads to similar results (Supporting Information Fig. 4, 222 Rugenstein et al. 2025). This suggests that the CNN learned a similar relationship between T and R as the Green's function, irrespective of how well the simulated internal variability represents the observed internal variability. 225

These tests, combined with the physically meaningful gradient maps (Fig. 2), increase our trust that our CNN learned a credible relationship between T and R and that our estimate of historical F is trustworthy. Moreover, our observation-based F is robust with respect to the choice of training

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data. Training on different, fewer, or more models; training on internal variability or forced climate change; or training on pre-industrial control simulations versus detrended large ensembles does not significantly alter our results. However, we note that our uncertainty range is likely underestimated, as it only concerns the uncertainty of the 24-year regression and not the uncertainty introduced by the CNN as discussed here (see Methods).

4. Implications for past and future climate change

Our approach is independent of previous assessments of the forcing. Forster et al. (2024) (Fig. 1, 235 top thin black line) finds a similar value based on a combination of model simulations and emission 236 measurements. Our trend in F is somewhat higher than previous estimates (Bellouin et al. 2020; 237 Raghuraman et al. 2021; Hodnebrog et al. 2024; Forster et al. 2024), but lies within the 5%-95% 238 confidence range of other methods. Other estimates are restricted to shorter periods and do not include 2024 yet. Coupled climate models and atmospheric models forced with observed sea surface temperature tend to underestimate the trend in N (Olonscheck and Rugenstein 2024). This low 241 bias translates to a low bias in the forcing estimate, if the radiative response to surface warming 242 is taken as correct (e.g., Raghuraman et al. 2019; Hodnebrog et al. 2024). The uncertainty in our 243 estimate of the forcing trend overlaps with these lower estimates, and the overlap depends on the 244 evaluation period. However, because of the systematic low bias in the climate models, we argue 245 that the forcing trend is likely higher than previously estimated. 246

We highlight that our method allows us to estimate F instantaneously as soon as observations of surface warming (T) and radiative imbalance (N) become available and does not rely on model intercomparison protocols, bottom-up emission estimates, or expert assessments.

250 a. Stabilizing feedbacks

By construction, our estimate of the forcing trend $(0.71 \pm 0.21 \,\mathrm{Wm^{-2} decade^{-1}}$ for 2001-2024) is consistent with stabilizing radiative feedbacks in the last two decades. The CNN predicts a trend in R of $-0.21 \pm 0.10 \,\mathrm{Wm^{-2} decade^{-1}}$. Reformulating this in terms of radiative feedbacks, i.e. $\lambda = \Delta R/\Delta \bar{T}$ with $\Delta \bar{T} = 0.22 \,\mathrm{K/decade}$, we find an effective feedback parameter of $-1 \,\mathrm{Wm^{-2} K^{-1}}$. Conversely, other current estimates of F imply unrealistic values of R and feedbacks. For example, using the CERES observed N (0.51 \pm 0.17 $\,\mathrm{Wm^{-2} decade^{-1}}$) and estimated F by Forster et al.

(2024) (0.52 Wm⁻²decade⁻¹) results in an unrealistically low $\Delta R = -0.01$ Wm⁻²decade⁻¹, implying a feedback of only -0.05 Wm⁻²K⁻¹. Using other model-based estimates of the trend in F (e.g., Hodnebrog et al. 2024) leads to a similar conclusion, because they generally agree on the trend reported by Forster et al. (2024). The latter feedback estimate suggests that increasing global temperature barely balances the excess forcing, which contradicts our understanding of feedbacks, especially the well-understood Planck feedback. This implies that the Earth's temperature would sharply increase if the feedback and forcing trend remain constant.

Our estimate of F is more realistic in terms of physical understanding of radiative feedbacks, which are universally recognized as stabilizing in the global mean for the current climate state and all commonly used future scenarios of climate change (Forster et al. 2021; Lee et al. 2021; Gregory et al. 2004, 2020; Bloch-Johnson et al. 2021; Dessler and Forster 2018a; Dessler 2010). We conclude that previous model estimates of the forcing trend are biased low or that, alternatively, the trend in N is wrong.

b. Annually resolved drivers of the radiative imbalance

Our approach gives unique insight into annual drivers of the observed radiative imbalance N.

According to Eq. (1), N can be influenced by F (a particular forcing) or R (response to the observed warming patterns and radiative feedbacks). As mentioned above, the low N in 1991/1992 is consistent with a negative F from the eruption of Pinatubo (Minnis et al. 1993; Stenchikov et al. 2009), while R remained approximately constant. In contrast, in 2010, the low N occurs in conjunction with an anomalously negative R, which we attribute to high anomalous temperatures in the West Pacific and low temperatures in the East Pacific.

This annual attribution can be made more explicit by using explainable artificial intelligence (XAI). We use "input times gradient", an XAI attribution technique that calculates the local contribution of the temperature in each grid point to predict R (Shrikumar et al. 2017, see Methods). The attribution maps are calculated by multiplying detrended temperature maps with the gradient of the CNN, and thus indicate the regions that the CNN uses to make predictions. In Fig. 4d, we show the input times gradient map for 2010. For reference, Fig. 4a shows the detrended temperature anomaly in 2010. The attribution map reveals that the entire tropical Pacific contributes to the negative R in 2010, due to positive temperature anomalies in the West Pacific and negative

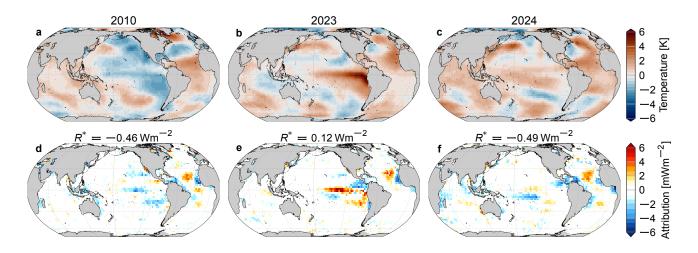


Fig. 4. Annual attribution of the radiative response to surface warming. Top row shows temperature anomalies from the 1995-2024 linear trend in 2010 (a), 2023 (b), and 2024 (c), averaged over four observational datasets. Bottom row shows the product of the temperature anomaly with the gradient of the convolutional neural network in the same year, averaged over four observational datasets, indicating the contribution of each grid point to the anomaly of global-mean radiative response to surface temperature changes. Predicted values of detrended radiation R^* for each year are given above the the attribution maps and are calculated by summing over all grid boxes.

anomalies in the East Pacific. In years with a strong El Niño event, such as 1997 and 2023, we find positive contributions to *R* in the equatorial East Pacific (Fig. 4e and Supporting Information Fig. 5; Ceppi and Fueglistaler 2021).

Since 2021, F has increased strongly (Fig. 1). One possible explanation for this increase is stricter international shipping regulations on aerosol emissions, that went into effect in 2020 (e.g., Yuan et al. 2024; Gettelman et al. 2024), although this increase in forcing might have been counter-acted by the Hunga Tonga-Hunga Ha'apai eruption in 2022 (e.g., Schoeberl et al. 2024). The rising F, in combination with a steady trend in R, contributed to an increase in the radiative imbalance from 2021 to 2023.

The year 2023 was exceptionally warm (e.g., Esper et al. 2024; Min 2024). The causes behind this record are debated, specifically in how far it was due to internal variability (e.g., Samset et al. 2024; Jiang et al. 2024; Raghuraman et al. 2024; Gyuleva et al. 2025; Xie et al. 2025) or due to external forcing (e.g., Rantanen and Laaksonen 2024; Gettelman et al. 2024; Min 2024; Kuhlbrodt et al. 2024; Schoeberl et al. 2024; Goessling et al. 2025). We find that the large *N* in 2023 was not

induced by a large R. In the satellite record, the observed N has never been as high, while R did not 307 decrease enough to balance N. That is, the observed temperature pattern in 2023 did not induce 308 a radiative effect stabilizing enough to counteract the increased F. The observed R in 2023 was 309 largely consistent with the negative trend in R since 1995, although the El Niño pattern resulted in 310 a positive contribution to R in the equatorial East Pacific (Fig. 4e). The continued increase of the 311 forcing, together with a relatively less stabilizing radiative response, could explain the remarkably 312 warm 2023. 313

In 2024, the radiative imbalance decreased significantly, which can be explained by a temperature 314 pattern that induced a strongly negative R (Fig. 4c and f). The attribution map shows negative 315 signals throughout the Tropics due to anomalously high temperatures. 316

Eq. (1) does not include the influence of natural variations on N. In principle, also the internal 317 variability of the ocean heat content affects N, independent of F and R (Raghuraman et al. 2021). The forcing is often depicted as a fairly smooth function of time or a linear trend, such that the 319 variability of N and R are equal (e.g., Dessler and Forster 2018b). In our case, not all of the 320 variability of N is explained by the variability of R (Supporting Information Fig. 6). This could be 321 because our method does not perfectly predict R from T, or because other factors, such as internal 322 variability of ocean heat uptake unrelated to R, influence N. Due to the nature of our method, the 323 variability of N not explained by R is assigned to F. 324

c. Outlook

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We use the recently demonstrated dependence of global-mean radiative response to patterns of 326 surface warming (the pattern effect) to predict effective radiative forcing with a novel framework. 327 Our convolutional neural network allows us to rely on climate models less than traditional methods and predict physically realistic values of R. We do not rely on climate models to accurately predict 329 a forced response to climate change, but we still use climate model data to learn the relationship 330 between R and T from internal variability. We confirm the order of magnitude of the trend and 331 acceleration of effective forcing, but our estimate lies on the high end of former approaches. Yet, 332 our effective forcing estimate might still be too conservative, because tests in strong climate change 333 scenarios indicate that the CNN underestimates the forcing (e.g., Fig. 3). 334

Currently, we only use the convolutional neural network to predict global values of R from observed temperature maps. Future work could break down R into its different components (e.g., shortwave or longwave) or include the spatial distribution of R to predict the spatial pattern of the effective radiative forcing. This would allow for a detailed study of local trends in F and R and could provide insight into the regional drivers of the radiative imbalance.

Our work moves towards attribution and increased physical understanding of annual values of the global-mean radiative imbalance. Combining our approach with in-situ ocean heat uptake estimates could strengthen and formalize this annual attribution. This would allow the addition of an ocean heat uptake variability term [e.g., by adding an extra term in Eq. (1)], which we leave for future development. Our framework could be used to predict radiative imbalance into the future, because surface warming and radiative forcing are, to some extent, predictable.

Materials and Methods

347 Convolutional neural network

The convolutional neural network (CNN) consists of a series of convolutional, max pooling, and 348 fully connected layers (Supporting Information Fig. 1). The input data (yearly surface temperature 349 maps) is passed through a convolutional layer with 32 kernels of size 3×3 , a max pooling layer 350 with a 2×2 kernel, a second convolutional layer (32 kernels, size 3×3), and another max pooling 351 layer (2×2 kernel). The output from the second max pooling layer is flattened and connected to two 352 fully connected layers, with 32 and 16 nodes, before being compared to the output (global mean 353 radiative response to surface temperature changes, R). Every layer uses a ELU activation function, 354 except for the last dense layer, which uses a linear one. We have tested different CNN architectures (Supporting Information Fig. 2 and Tab. 1) and chose the setup that performed best (lowest mean squared error) on the testing output data. 357

Because the CNN depicts a nonlinear function, its gradient is state dependent. To compute the gradient map in Fig. 2, derivatives with respect to local temperature are evaluated with the testing dataset (internal variability in the climate models, see below) and averaged over all years and ensemble members. Gradient maps averaged over members from individual climate models look similar to the one shown in Fig. 2, indicating that the average gradient in Fig. 2 is a good representation of all models. We use the CNN trained on four climate models simultaneously, under the assumption that, combined, they display enough variability to encompass observed variations of *R* with *T*.

366 Global climate model data

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The CNN is trained on four different large ensemble climate models: CanESM5 (Swart et al. 2019), IPSL-CM6A-LR (Boucher et al. 2020), MIROC6 (Tatebe et al. 2019), and MPI-ESM1.1 (Maher et al. 2019). These models were selected based on two criteria: (1) they have at least 25 ensemble members; and (2) they contributed to the Radiative Forcing Model Intercomparison Project (RFMIP, Pincus et al. 2016), which provides dedicated simulations to quantify the radiative forcing (used as perfect model testbed in Fig. 3 and to compute the internal variability of *R* for training the CNN).

The input training data are maps of annual surface temperature (T). The output training data is global-mean radiative response to surface temperature changes R, computed by subtracting the forcing F from the net radiative imbalance N. We define a downward flux at the top of the atmo-sphere as positive. The forcing F is computed from a dedicated model run and is the same for all ensemble members, but model-dependent (Pincus et al. 2016). We average the forcing over three ensemble members provided by RFMIP, apart from MPI-ESM1.1, for which we have a single forcing time-series. N is available as standard model output as the sum of incoming shortwave, outgoing shortwave, and outgoing longwave. CanESM5, IPSL-CM6A-LR, and MIROC6 simulate the historical forcing from 1850-2014 and the Shared Socio-economic Pathway 2-4.5 (SSP2-4.5) for following years. MPI-ESM1.1 simulates historical forcing from 1871-2004 followed by the rep-resentative concentration pathway 8.5 (RCP8.5). We apply a land mask to the surface temperature, such that we can use observed sea surface temperature datasets (see below). However, using a CNN trained on surface temperature over both land and ocean does not significantly alter our results.

We use annual means from 1871 to 2039 (the longest period of overlap across the model data) and scale to the resolution of CanESM5 ($\sim 2.8^{\circ}$) using a bilinear regridder with periodic boundary conditions. We use 25 ensemble members from each model, of which 19 are used for training, 3 for validation, and 3 for testing. In total, we train on $19 \times 4 = 76$ ensemble members over a period of 168 years, evenly distributed over the four models. In order to use a minimal amount of information from climate models, T and R are detrended by removing the ensemble mean (at every grid point, for each model separately), effectively removing the forcing (Suarez-Gutierrez et al. 2021). Note that detrending R and N results in the same dataset, because the forcing F is the same in every ensemble member. Hence, any climate change information is removed, except for the indirect effect of the forcing on internal variability. Only this detrended data is used to train the CNN, and thus the CNN has never seen a forced response during training.

The detrended data is representative of *T*- and *R*-anomalies with respect to the pre-industrial era, because the forced signal is removed. However, because we do not have observations in the pre-industrial era, we cannot define the observational anomalies with respect to the same pre-industrial baseline. Therefore, we redefine the training data as anomalies with respect to the 2001-2020 climatology. We do this for each model separately, by (1) calculating the average in the first twenty years of the forced simulation (1850-1871 for CanESM5, IPSL-CM6A-LR, and MIROC6; 1871-1890).

for MPI-ESM1.1); (2) calculating the average in 2001-2020 of the forced simulation; (3) taking the 404 difference between the 2001-2020 average and the pre-industrial average; and (4) subtracting this 405 difference from the detrended training data. Each average is calculated over all ensemble members 406 of the forced simulations of T and R. Finally, because CNNs make better predictions when the 407 input data is centered around zero, we calculate the average across the entire training dataset (all 408 models, all ensemble members, all years, for a given location or global mean) and subtract this 409 average from the training data. This average is saved and used to center the testing and validation 410 data as well. That is, when testing the CNN, anomalies are always defined with respect to the 2001-411 2020 climatology, but, before applying the CNN, the average of the training data is subtracted. This 412 procedure ensures that the CNN is trained on anomalies with respect to the same climatology as 413 the observational data. 414

Finally, we use data from two additional coupled climate models to test the CNN with fully outof-sample data: GFDL-CM4 (Held et al. 2019) and HadGEM3-GC31-LL (Kuhlbrodt et al. 2018).
These two models simulate the historical forcing from 1850-2014 and the SSP2-4.5 scenario from 2015-2100 and contributed to RFMIP. We use one ensemble member from GFDL-CM4 and three from HadGEM3-GC31-LL (of the 5 available). We compute yearly average temperature maps *T* and *R*, but do not detrend the data. As with the other models and observations, we use the 20012020 climatology to define anomalies. Importantly, these models were *not* used to train the CNN.

422 Observational data

When estimating the forcing (Fig. 1), we use temperature data from four different observa-423 tional/reanalysis datasets: the European Centre for Medium-Range Weather Forecasts Reanalysis 424 v5 (ERA5, Hersbach et al. 2020, 2023), COBE2 (Hirahara et al. 2014), NOAAGlobalTemp 6.0.0 (Huang et al. 2022, 2024), and The Hadley Centre Global Sea Ice and Sea Surface Temperature 426 (HadISST-1.1, Rayner et al. 2003). All data are interpolated to the same grid as the CNN input data 427 (~ 2.8°) using a bilinear scheme with periodic boundary conditions. Note that NOAAGlobalTemp 428 has a native grid of 5°, and thus had to be downscaled, while the other datasets have a smaller na-429 tive grid. After regridding, the largest overlap of land areas across datasets is used as a land mask 430 and applied to all training, validation, and testing data. Yearly temperature maps are defined as 431

anomalies with respect to the 2001-2020 climatology. The climatology is defined for each dataset separately, by averaging the temperature over 2001-2020 at each grid box.

The observational datasets (apart from ERA5) report sea surface temperature (SST), and not near-surface air temperature (TAS), on which the CNN is trained. For ERA5, we compare CNN predictions based on SST and TAS and find similar values of *R* (Supporting Information Fig. 4a, TAS is used in the main text). The forcing trend predicted from SST is slightly lower than the one predicted from TAS, but is not significantly different (Supporting Information Fig. 7b).

For the observed radiative imbalance *N*, we use satellite observations from CERES-EBAF4.2.1 (Loeb et al. 2018; NASA/LARC/SD/ASDC 2023). Yearly averages from 2001-2024 are computed from monthly data of globally-averaged net top of the atmosphere radiative fluxes. From 1985-2000, we use a reconstruction (DEEP-C, Allan et al. 2014; Liu et al. 2020) based on older satellite observations and model simulations. Note that the reconstruction before 2001 uses models and reanalyses, and is therefore less reliable than the direct observations derived from CERES (Raghuraman et al. 2019, 2023; Loeb et al. 2024a). We use anomalies of *N* with respect to the 2001-2020 climatology of CERES.

447 Trend analysis

Trends are calculated from yearly averages using ordinary least squares. We include predictions 448 from all four temperature datasets in the calculation of the overall trend. We compare the overall 449 trend with the trend of individual observational datasets and find similar results (within 5-95% 450 confidence bounds). For the individual datasets, we find a trend in F of $0.70 \pm 0.21 \,\mathrm{Wm^{-2} decade^{-1}}$ 451 (ERA5), $0.69 \pm 0.21 \, Wm^{-2} decade^{-1}$ (COBE2), $0.75 \pm 0.20 \, Wm^{-2} decade^{-1}$ (NOAAGlobalTemp), 452 and $0.69 \pm 0.20 \,\mathrm{Wm^{-2} decade^{-1}}$ (HadISST-1.1). The spread across different datasets could be be-453 cause there are differences in surface temperature trends across these datasets, which can be large, even in the satellite era (e.g., Menemenlis et al. 2025). However, because the trends in F are very similar, differences between sea surface temperature datasets seem to have a small effect on the 456 trend in *F*. 457

We estimate the uncertainty in the trend of F by combining the uncertainties in the trends in N and R. Raghuraman et al. (2021) estimated the 95% confidence range of the trend in N to be $0.20 \,\mathrm{Wm}^{-2}\mathrm{decade}^{-1}$, which encompasses different observational uncertainties (see also Loeb

et al. 2021, 2022; Hodnebrog et al. 2024). Assuming a normal distribution, this converts to a standard error of $\sigma_N = 0.10 \,\mathrm{Wm}^{-2} \mathrm{decade}^{-1}$. The standard error of the trend in R is computed for every observational dataset separately, and the mean is taken over all four datasets, that is, $\sigma_R^2 = \sum_{j=1}^5 \sigma_j^2/5$. The uncertainty in the trend in R does not include any uncertainty introduced by the CNN. Finally, the standard error of the trend in R is estimated to be $\sigma_F = \sqrt{\sigma_N^2 + \sigma_R^2}$, and converted to a 5-95% confidence range by assuming the trend slope follows a t-distribution with 21 degrees of freedom.

We compare our estimate of the trend in F to data from Forster et al. (2024). We use the 100 000-member probabilistic Monte Carlo ensemble of their effective radiative forcing estimate and calculate the trend in 2001-2023 for each ensemble member. The mean over all 100 000 trends is $0.52 \,\mathrm{Wm^{-2} decade^{-1}}$, with a 5-95% confidence range of $0.36 \,\mathrm{Wm^{-2} decade^{-1}}$ to $0.68 \,\mathrm{Wm^{-2} decade^{-1}}$. Our mean estimate of $0.72 \,\mathrm{Wm^{-2} decade^{-1}}$ in 2001-2023 coincides with the 97.5% percentile of the probabilistic ensemble.

474 Annual attribution

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To attribute the regions that mostly contribute to the prediction of R, we make use of "input 475 times gradient", an explainable artificial intelligence (XAI) technique that attributes the output of 476 the CNN to the input features (Shrikumar et al. 2017; Mamalakis et al. 2022). Because we are 477 interested in the interannual variability of the prediction, we apply the method to detrended data. 478 First, we compute the gradient of the CNN with respect to the observed temperature map in 479 each year y and denote it as $\nabla_T R(y)$. Note that the gradient depends on the year, because the 480 CNN is nonlinear. Second, for each grid box, we calculate the trend in the observed temperature 481 from 1995 to 2024 using ordinary least squares regression, $\hat{T}(y) = ay + b$. Finally, in a given year y, we multiply the detrended temperature with the gradient to find the attribution map $\rho(y) =$ $[T(y) - \hat{T}(y)] \times \nabla_T R(y)$. We follow this procedure for all four observational datasets separately, 484 and average over the four results to obtain the final attribution maps. 485 In a given year, the sum of all grid boxes j in the attribution map is approximately equal to the 486

In a given year, the sum of all grid boxes j in the attribution map is approximately equal to the detrended radiative response, $R^*(y) = \sum_j \rho_j(y) \approx R(y) - \hat{R}(y)$, with \hat{R} being the trend in R from 1995-2024. This is an approximation because the input times gradient technique approximates the

nonlinear CNN with a linear function. More advanced XAI techniques for attribution maps that exactly sum up to the predicted value lead to similar results.

491 Data availability

All climate model data is standard CMIP model output, and is made freely available by the Earth 492 System Grid Federation (ESGF) at https://esgf-node.llnl.gov/. The effective radiative forcing 493 timeseries from Forster et al. (2024) can be downloaded from https://github.com/ClimateIndi-494 cator/forcing-timeseries/releases/tag/v6.2.1. Observational temperature data is available from 495 https://doi.org/10.24381/cds.adbb2d47 (ERA5), https://psl.noaa.gov/data/gridded/data.cobe2.html 496 https://www.ncei.noaa.gov/products/land-based-station/noaa-global-temp (NOAA-497 GlobalTemp), and https://www.metoffice.gov.uk/hadobs/hadisst/ (HadISST-1.1). The observed 498 radiative imbalance can be downloaded at https://ceres.larc.nasa.gov/data/ (CERES-EBAF4.2.1) 499 and https://researchdata.reading.ac.uk/347/ (DEEP-C). 500

501 Code availability

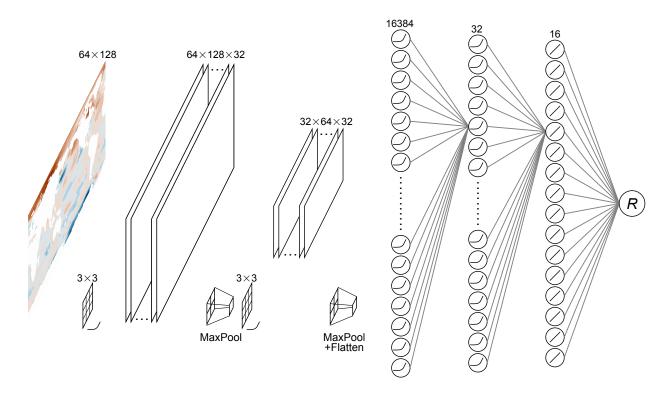
All reported results were analyzed using Python-3.10, and the CNNs were trained using Tensorflow-2.15. All code will be made available at the time of acceptance of the manuscript.

504 Acknowledgements

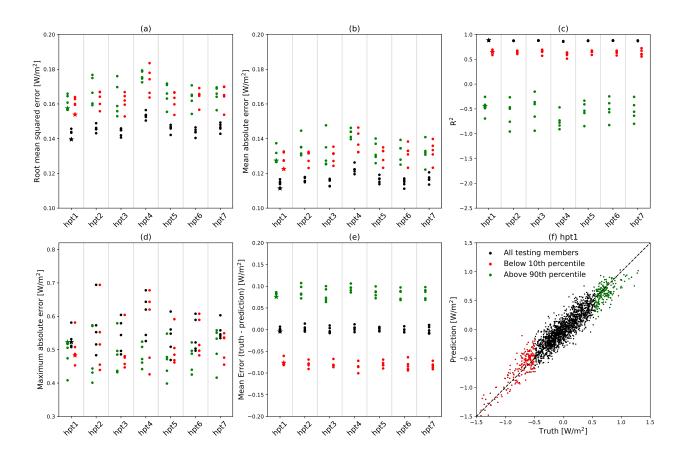
We thank Dirk Olonscheck for sharing large-ensemble and forcing climate model data and Mark
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Supporting Information

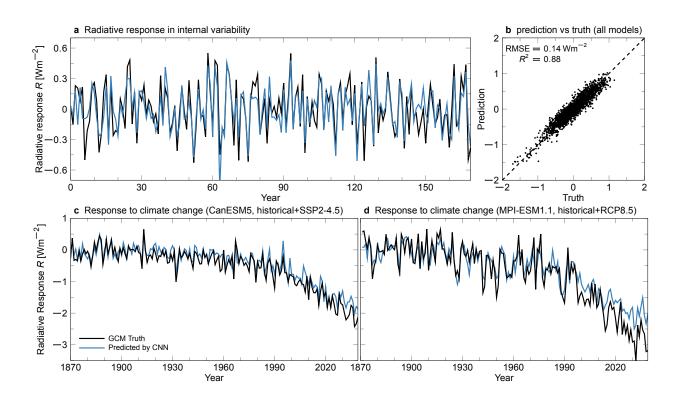
515



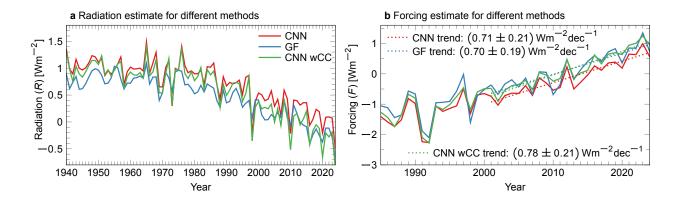
Supporting Information Fig. 1. Schematic of the architecture of the CNN used. The input maps (surface temperature) are passed through two convolutional layers, each with 32 kernels of size 3×3 and followed by a 514max pooling layer and an ELU activation function. The result is flattened and passed through two additional fully connected layers with 32 and 16 neurons, with a ELU and linear activation function respectively. The final result 516 is a single number estimating the global-mean radiative response R.



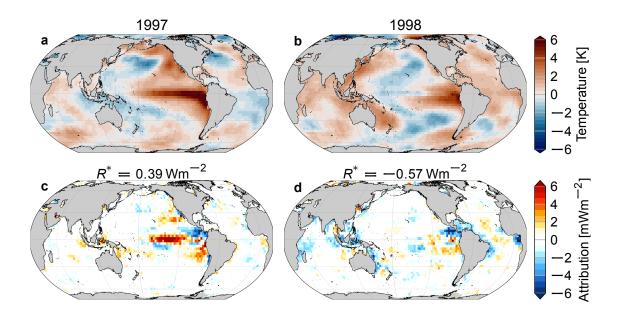
Supporting Information Fig. 2. Hyperparameter testing for different CNN architectures. The x-axis labels are defined in Supporting Information Tab. 1, and each dot represents a different random initialization of the CNN before training. For each trained CNN, we compute the (a) root mean squared error, (b) mean absolute error, (c) R^2 value, (d) maximum absolute error , and (e) mean error (truth-prediction). Panel (f) reports the truth versus prediction of all years in the testing dataset. Black dots represent all years, while red and green dots show only those in the lower and upper 10th percentiles, to examine how well the CNN performs on the extremes. The CNN used in the main text is highlighted by a star.



Supporting Information Fig. 3. **Verification of the CNN.** Panel (a) shows the truth (black) and predictions (blue) for a single ensemble member in the testing dataset, for internal variability of the temperature and radiative response. Panel (b) shows the true versus the predicted radiative response for all years in the testing dataset; the CNN explains 85% of the variance across all testing members. Panels (c) and (d) show the CNN applied to an out-of-sample member that experiences forced climate change for two different models used in training. Even though the CNN has never seen climate change during training, it can predict the response to forcing well.



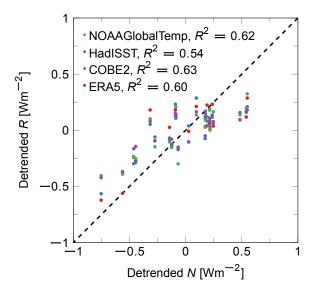
Supporting Information Fig. 4. Comparison of the convolutional neural network versus a Green's function approach. Panel (a) shows the predicted radiative response (R) and (b) shows the predicted forcing (F = N - R). Dotted lines in (b) are forcing trends in 2001-2024. We show the predictions averaged over four different observational datasets (see Methods). Red lines are predictions from the convolutional neural network trained on internal variability (CNN, as in the main text), green lines are predictions from a convolutional neural network trained on forced climate change (CNN wCC), and blue lines are predictions made by convolving the observational temperature maps with the Green's function (GF) of ECHAM6 (Alessi and Rugenstein 2023). The Green's function is a method that captures the causal response of a single atmospheric model to changes in the sea surface temperature (Bloch-Johnson et al. 2024). Because the Green's function method uses atmosphere-only simulations, it does not rely on the simulated warming patterns from a coupled model. However, the method forces the atmospheric model with artificial surface temperature perturbations, and thus does not sample the system in its natural state (see Rugenstein et al. 2025, for an in-depth comparison of the CNN to the Green's function method). Note that the Green's function of Alessi and Rugenstein (2023) is tuned to obtain the correct magnitude of the radiative response in a future RCP8.5 scenario.



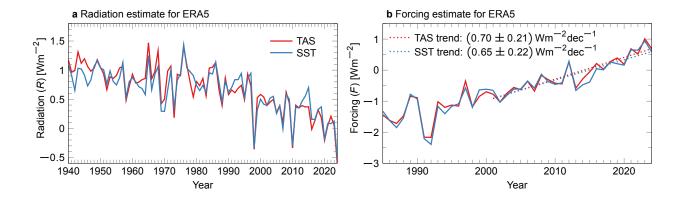
Supporting Information Fig. 5. Annual attribution of the radiative response to surface warming. Top row shows linearly detrended temperature anomalies in 1997 (a) and 1998 (a), averaged over four observational datasets. Bottom row shows the product of the temperature anomaly with the gradient of the convolutional neural network in the same year, averaged over four observational datasets, indicating the contribution of each grid point to the anomaly of global-mean radiative response to surface temperature changes. Predicted values of detrended radiation R^* for each year are given above the the attribution maps and are calculated by summing over all grid boxes.

Supporting Information Table 1. Hyperparameters used in testing different CNN architectures in Supporting Information Fig. 2. All CNNs use a similar architecture as shown in Supporting Information Fig. 1, but we change the number of convolutional layers/kernels, kernel size, and amount of dense layers/nodes. The set of hyperparameters used in the main text is hpt1.

	Convolutional layers	Kernel size	Dense layers
hpt1	[32, 32]	3×3	[32, 16]
hpt2	[32, 32]	5×5	[32, 16]
hpt3	[64, 64]	3×3	[32, 16]
hpt4	[32, 32, 32]	3×3	[32, 16]
hpt5	[16, 16]	3×3	[32, 16]
hpt6	[32, 32]	3×3	[16, 16]
hpt7	[32, 32]	3×3	[8, 8, 16]



Supporting Information Fig. 6. Variability of radiative response versus radiative imbalance. The linearly detrended yearly global-mean values of R predicted by the CNN for the four observational datasets versus linearly detrended N from CERES. If the variability of R and N around the trend is the same, all data points should lie on the 1:1 line (dashed black). The correlation coefficient is given in the legend.



Supporting Information Fig. 7. Comparison of near-surface temperature (TAS) and sea surface temperature (SST) as input for the convolutional neural network. Panel (a) shows the predicted radiative response (R) and (b) shows the predicted forcing (F = N - R). Dotted lines in (b) are forcing trends in 2001-2024. We use ERA5 data and our CNN from the main text. Both predictions are very similar; therefore, we expect only minor differences when using observational datasets of SST compared to TAS.

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