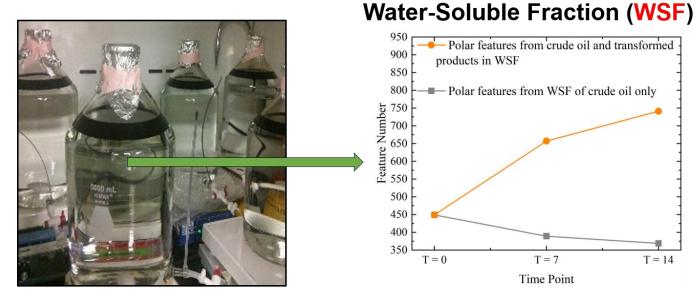
1	Probing the chemical transformation of seawater-							
2	soluble crude oil components during microbial							
3	oxidation							
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Incubation experiment

21 ABSTRACT

22 Studies assessing the environmental impacts of oil spills focus primarily on the non-water-soluble 23 components, leaving the fate of the water-soluble fraction (WSF) largely unexplored. We 24 employed untargeted chemical analysis along with biological information to probe the 25 transformation of crude oil WSF in seawater, in the absence of light, in a laboratory experiment. 26 Over a 14-day incubation, microbes transformed WSF into various metabolic intermediates, 27 without significantly altering the dissolved organic carbon concentrations. Microbial 28 transformation processes increased the chemical diversity and overall oxygen content of WSF 29 compounds, concomitant with an increase in dioxygenase gene abundances. While the majority of 30 metabolites formed from the transformation of WSF could not be structurally identified with 31 existing databases, elemental formulas suggest that many of these compounds could be oxidation 32 products of water-soluble non-polar compounds such as PAHs. In particular, metabolites with 33 three oxygen atoms may represent a key transition point for WSF degradation. One such 34 compound, salicylic acid, likely provides a route for complete WSF remineralization, as it is labile 35 to non-oil degrading marine bacteria. The environmental persistence and toxicity of WSF 36 metabolic products are still unknown, but results from this study provide a framework for further exploration of the fate of WSF in marine ecosystems. 37 38

40 INTRODUCTION

41 Millions of barrels of crude oil are released to the ocean each year from unintentional spillages and natural seepage.¹ A small, but significant, fraction of the oil dissolves in the water and behaves 42 43 differently than the bulk oil. The composition of dissolved oil is distinct from the total oil and is enriched in small (<1000 Da), polar molecules.² Despite decades of study on the fate of oil in the 44 45 environment, the water-soluble fraction (WSF) is vastly understudied because its components are 46 not resolved in traditional gas-chromatography (GC)-based analytical methods. Consequently, we know much less about the factors affecting the fate and transport of crude oil WSF in marine 47 48 ecosystems, despite evidence suggesting that this fraction is enriched during weathering and is more toxic to aquatic organisms than the parent oil.³⁻⁶ 49

50 WSF can be preferentially enriched in the aqueous phase at any oil-water interface, such as in 51 surface waters in contact with oil slicks, seawater around oil seeps or deep-sea oil spills, as well 52 as in water-inundated oil-contaminated soil. The best-studied case for such oil-water partitioning 53 phenomena was at the *Deepwater Horizon* (DWH) drill site in 2010, where 3.19 million barrels of 54 oil spilled into the Gulf of Mexico over a period of 87 days.⁷ Unlike many major oil spills, crude 55 oil from the Macondo well was injected into the water column from the deep-sea. A widespread 56 neutrally buoyant subsurface plume, as thick as 200 m, was observed at 1100 m depth and persisted 57 for months after the blowout.⁸ Assessments during the early response estimated that water-soluble crude oil components were preferentially enriched in this subsurface plume,⁹ with water-soluble 58 hydrocarbons such as low molecular weight n-alkanes and monoaromatic hydrocarbons 59 comprising ~69% by mass.^{8, 10, 11} The preferential enrichment of crude oil WSF in the deep ocean 60 underscores dissolution as an important process driving the distribution of spilled oil.⁹ 61

62 The transport, ecotoxicological impacts, and biotic and abiotic degradation of oil have been the central focus of many oil-spill studies.^{9, 12-18} Microbial degradation represents one of the key 63 degradation pathways for crude oils, and thus has been investigated for decades.¹⁹⁻²⁵ Microbial 64 65 composition and oil degradation pathways deduced from genome sequences and transcripts have previously been investigated in diverse impacted marine ecosystems.^{11, 16, 17, 24, 26, 27} These studies 66 frequently focus on biologically-mediated chemical transformations and degradation of major 67 68 compound classes in crude oils, such as n-alkanes, monoaromatic compounds (benzene, toluene, ethylene, and xylene, or BTEX), and polycyclic aromatic hydrocarbons (PAHs).^{12, 28-30} Absent 69 70 from these studies, however, is information on the microbial response specific to the subset of 71 crude oil that is truly dissolved in water and more polar than hydrocarbons, i.e. compounds that 72 contain heteroatoms (N, S, and O).

Polar compounds account for <15% by mass in bulk crude oil,³¹ but may account for a
substantial fraction (70-82%) of the water-soluble fraction (WSF),^{3, 32} depending on the oil type
and extent of weathering.

76 Recent studies examining the dissolution of crude and weathered oils in seawater have improved understanding of the chemical composition of WSF.^{2, 33, 34} NSO-containing compounds are more 77 polar than hydrocarbons, preferentially partition into seawater^{2, 33} and appear to be resistant to 78 79 biodegradation and toxic to organisms.^{3,9} For example, uncharacterized compounds from WSF 80 fractions generated from microbial degradation of crude oil were found to be toxic to marine organisms such as Crustacea,⁵ highlighting the critical gap in understanding WSF chemical 81 composition and its biogeochemical transformations in the context of ecotoxicity affecting the 82 83 marine food web. The microbial response to WSF, however, is relatively unexplored, particularly in comparison to responses to BTEX,^{35, 36} PAHs,^{37, 38} and alkanes.^{24, 35} More recently, next-84

generation sequencing was employed to examine the sequential dominance of hydrocarbon degraders in conjunction with traditional and emerging assessments of oil degradation, but these tools were unable to link directly to WSF chemistry. ^{11, 14, 17, 39}

88 Complex mixture analysis enabled by advanced mass spectrometry methods can provide 89 valuable information on the composition and fate of spilled oils, particularly the polar fraction 90 contained in WSF. Ultrahigh-resolution mass spectrometry such as Fourier transform ion cyclotron 91 resonance mass spectrometry (FT-ICR MS) can be widely used in response to the spills and crude 92 oil characterizations, providing insight into crude oil-derived compounds that could not be resolved with GC-based methods.^{2, 28, 29, 31, 33, 34, 40-44} When applied to biological systems, these 93 94 analytical techniques identify and quantify molecules produced by organisms, thus providing 95 metabolite profiles of microbial cultures or communities under various conditions.^{26, 28}

In this study, we employ untargeted metabolite profiling, guided by biological information (16S rRNA gene and metagenomics), to examine the evolving chemical signature of WSF and the dynamics of polar crude oil compounds within dark aerobic incubations with natural seawater bacterial consortia. This approach combined with existing knowledge of hydrocarbon degradation pathways enabled us to explore the microbial mechanisms responsible for WSF degradation in aerobic seawater.⁴⁵

102

103 EXPERIMENTAL SECTION

Incubation Experiment. We created WSF by slow dissolution of Macondo oil surrogate (source crude oil – MC252; Item IDs A0067V and A0067X) in 0.2 μm-filtered Vineyard Sound seawater (VSW), following the low energy mixing methods described in Liu and Kujawinski² (Supporting Information and Figure S1a). Briefly, we loaded the Macondo oil surrogate on the

108 surface of VSW at a 5:95 (v/v) ratio and allowed the oil dissolution to occur over 7 days in the 109 dark at room temperature. Low energy (i.e., a slow-moving stir bar) was applied to ensure 110 exchange across the water column, but no oil droplets were entrained into the water. After 7 days, 111 we filtered the resulting water-accommodated fraction (WAF) through a 0.7 µm glass fiber filter 112 (GF/F) to collect the truly water-soluble oil component (i.e. WSF). Volatile hydrocarbons such as 113 benzene, toluene, ethylbenzene, and xylene (BTEX) are not retained in this protocol, as they 114 evaporate over the 7-day mixing period or are lost during the filtration process. Thus, this method 115 captures primarily low molecular weight PAHs and polar, water-soluble compounds. The 116 dissolved organic carbon (DOC) concentration of WSF was comparable to that observed in the 117 field during DWH spill (Figures S3).⁴⁶

118 We established three parallel treatments, each in triplicate, to explore microbial community 119 changes in response to the addition of crude oil-derived components in the WSF (Figures S1b): 120 (1) the VSW control, which contained background seawater dissolved organic matter (DOM) and 121 natural bacterial consortia; (2) the succinic acid treatment, which contained seawater DOM, 122 succinic acid, and natural bacterial consortia; and (3) the WSF treatment, which contained seawater 123 DOM, crude oil WSF, and natural bacterial consortia. Succinic acid was used as a labile carbon substrate control to distinguish opportunistic microbes responding to carbon addition,⁴⁷ from oil-124 125 degrading microbes responding uniquely to WSF components. In treatments (2) and (3), we added 126 the organic substrates at similar DOC concentrations (succinic acid = $347 \pm 7 \mu$ M-C; WSF 311 ± 127 7 μM-C).

We added $0.2 \,\mu$ m-filtered ammonium chloride (NH₄Cl; 4 nM) and sodium phosphate (NaH₂PO₄; 0.3 nM) to all incubation chambers to mitigate nutrient limitation for cell growth. We inoculated each treatment with natural bacterial consortia at a volume ratio of 10%, at the beginning of the

131 experiment (Supporting Information). One liter of headspace remained in each incubation 132 chamber, and we maintained aerobic conditions by swirling the incubation chambers gently three 133 times daily. We kept all incubations in the dark at room temperature ($\sim 24^{\circ}$ C) throughout the 14-134 day experiment. The experiment was conducted in the dark to minimize photo-oxidation;^{12, 48} 135 consequently, the observed chemical signatures over the experiment should be due primarily to 136 heterotrophic transformations. The lab conditions chosen for this experiment prioritized our aim 137 to examine microbial oxidation at a rate that would yield measurable metabolites in 14 days, before 138 significant bottle effects occurred. Therefore, the experimental temperature was higher than deep-139 sea conditions, although still relevant to surface ocean conditions. Additional details on the 140 experiment setup are provided in the Supporting Information.

141 **Sample Collection, Preparation, and Analyses.** We collected samples on three days (0, 7, 14). 142 On the sampling day, we swirled each bottle gently to ensure homogeneity and then filtered each 143 sample through a 0.2 µm Omnipore (Millipore Sigma) membrane filter under low vacuum. We 144 used filtrates for external metabolite profiling, PAH analysis, and dissolved organic carbon (DOC) 145 analysis. We used microbial biomass retained on the filter for 16S rRNA gene and metagenomics 146 analyses. We enumerated bacteria in 10 mL of unfiltered water that was fixed with borate-buffered 147 formalin (2% final concentration) and frozen at -20°C. Our WSF protocol includes GF/F filtration 148 to remove oil droplets² and thus we do not expect significant amounts of hydrophobic or sparingly 149 hydrophilic compounds to be retained on the 0.2-µm membrane filters used for biomass collection. 150 We stored the filters at -80°C until extraction.

We acidified the filtrates (2L) to pH ~3 immediately after filtration, extracted the samples with
PPL solid-phase extraction (SPE) cartridges (Bond Elut, Agilent), and then eluted with 100%
methanol as described in Dittmar et al.⁴⁹ and modified by Longnecker.⁵⁰ The eluents were stored

154 at -20°C until mass spectrometry analysis. Immediately before analysis, we dried down the eluents 155 to near dryness and reconstituted them in 250 µL of 95:5 water:acetonitrile. We added deuterated 156 biotin (5 μ L; final concentration 0.05 μ g/mL) to each sample as an internal standard. PPL resins 157 preferentially capture the aromatic compounds in WSF, but do not retain very small, highly polar molecules such as succinic acid.⁵¹ Therefore, to ensure similar extracted carbon concentrations 158 159 across all treatments and within the pooled samples, we diluted the WSF treatment eluents $50 \times$ 160 due to high concentrations of extracted carbon relative to the non-WSF treatments. We combined 161 50 µL of each sample to create a pooled sample as a reference for data quality control and 162 processing.

163 We divided all samples into two equal volumes, one for targeted analysis and one for untargeted analysis, following methods described by Kido Soule et al.⁵² The untargeted approach, using liquid 164 165 chromatography coupled to FT-ICR MS (LC-FT-ICR MS) equipped with an electrospray 166 ionization (ESI) source, allows examination of metabolite profiles without prior knowledge of 167 sample composition, and thus enables simultaneous identification of known compounds and 168 discovery of previously unknown metabolites. Metabolite profiles from different incubation 169 conditions at the three time points provided valuable information on how the microbes transformed 170 WSF components compared to other organic substrates over the 14-day experiment. Here, we 171 define a metabolite profile as all the features in a given sample, where a feature is defined as a 172 unique combination of mass to charge ratio (m/z) and retention time (RT). Each feature 173 corresponds to a specific metabolite or to a group of co-eluting isomers.

We subjected the top four features in each mass scan to tandem mass spectrometry (MS/MS or MS2) for compound identification. We applied rigorous data quality control procedures to ensure data robustness, e.g. removing features whose variability is driven by instrumental parameters

177 and/or are consistently present in all samples at similar intensities (see Supporting Information). 178 After these measures, approximately 40-48% of features in the dataset had associated MS2 spectra. 179 We used a step-wise approach to classify metabolites of interests based on the Metabolomics Standards Initiative's (MSI) established four-level standard.⁵³ Specifically, a level-4 classification 180 181 includes unknown features that passed QA/QC but do not match any literature and database values; 182 a level-3 putative characterization requires a match between observed exact mass values and 183 elemental formulas; and a level-2 putative annotation requires a multi-component match between 184 exact mass and other physicochemical properties (e.g. RT and/or fragmentation pattern) with 185 literature or external libraries. The highest confidence level-1 identification requires at least two 186 of four independent confirmations of RT, exact mass, MS2 spectrum, or isotope patterns from a chemical standard under identical analytical conditions.⁵³ We used the MetFrag *in silico* tool⁵⁴ to 187 188 search the fragmentation patterns and exact mass values to generate level-2 putative annotations. 189 We compared the search results with metabolites listed in Kyoto Encyclopedia of Genes and 190 Genomes (KEGG) for key hydrocarbon degradation pathways. For pathways with multiple level-191 2 putative annotations, we searched our feature list for additional level-3 putative characterizations 192 within these pathways. We assigned elemental formulas for the level-3 features using an automated compound identification algorithm (CIA) as described in Kujawinski and Behn⁵⁵ with parameters 193 from Liu and Kujawinski.² We did not consider pathways with only level-3 putative 194 195 characterizations, due to the inability to distinguish among structural isomers with the same mass. 196 Finally, we confirmed level-1 identities of key metabolites with commercial standards, including 197 six intermediates related to degradation of naphthalene and methylnaphthalenes, namely 3- and 4-198 hydroxybenzaldehyde, salicylic acid, 3- and 4-methylsalicylic acid, and gentistic acid. These 199 metabolites were then quantified by LC triple-quadrupole-MS (see Supporting Information).

200 It should be noted that many observed features could not be identified with literature or database 201 searches (i.e. level-4). Additionally, many level-2 and level-3 features do not have commercial 202 standards available. Due to the lack of appropriate standards for most of the detected features, 203 feature intensities obtained with the untargeted method could not be converted to absolute 204 concentrations. Instead, we used relative abundances to compare concentration changes among 205 samples. We calculated relative abundance as: Σ (Intensity of feature groups)/ Σ (Intensity of all 206 detected features) \times 100, where feature groups can be defined as specific compound classes or 207 those that contained elements of interest such as oxygen.

208 Compounds ionizable by ESI contain at least one polar function group; thus, features detected 209 by LC-FT-ICR MS are, by analytical definition, more polar than hydrocarbons containing no polar 210 functional groups. Therefore, we equate polar compounds with those observed within the LC-FT-211 ICR MS analytical window in the subsequent discussions.

212 Sample Collection for PAH Analysis. We extracted 100 mL of WSF samples with 213 dichloromethane (DCM) for PAH analysis. We dried the extracts with anhydrous Na₂SO₄ and 214 removed excess DCM by rotary evaporation. We reconstituted the concentrated samples with 215 toluene for global chemical characterization through direct infusion FT-ICR MS (data not shown). 216 We took aliquots of $\sim 250 \ \mu L$ from the remaining samples in toluene and performed solvent 217 exchange by drying down the toluene under a gentle stream of high purity nitrogen. The dried 218 samples were shipped to Haverford College for PAH analysis. The samples were reconstituted 219 with DCM before analysis by GC-MS. We expect that our PAH concentrations are underestimates 220 due to the sample drying steps. For example, naphthalene was not detected, which is inconsistent with this oil's known composition.⁵⁶ From previous work, we expect the less volatile PAHs should 221 account for ~10% of the WSF.³ Individual PAH analyzed in this study included: naphthalene, C1-222

223 C4 alkylated naphthalenes, biphenyl, fluorene, C1-C3 alkylated fluorenes, dibenzothiophene, C1-224 C3 alkylated dibenzothiophenes, anthracene, C1-alkylated anthracene, phenanthrene, C1-C3 225 alkylated phenanthrenes, chrysene, C1-C3alkylated chrysenes, and triphenylene and 226 benzo(a)anthracene. Detailed method for PAH analysis is described in the Supporting Information. 227 16S rRNA Gene and Metagenomics Analysis. We extracted microbial DNA from all filters 228 using the MO BIO PowerWater (PW) kit (MOBIO Laboratories, Inc., Carlsbad, CA), according 229 to the manufacturer's instructions. Purified genomic DNA was submitted to the University of 230 Wisconsin-Madison Biotechnology Center. Further details of sample preparations for 16S rRNA 231 gene and metagenomics sequencing, library construction and bioinformatics analysis are provided 232 in Supporting Information.

233

234 RESULTS AND DISCUSSION

235 Presence of WSF Selected for Distinct Microbial Communities. Both chemical and microbial 236 community compositions within the same treatment type changed between the initial time point 237 and 7 and/or 14 days (Figures S2a and b), indicating selection of microbial community based on 238 the organic substrates and microbial alteration of the organic compounds in the starting incubation 239 media. Among the three treatments, the chemical and microbial community compositions were 240 different between non-WSF (i.e. VSW and succinic acid) and WSF incubations (Figures S2a and 241 b). The use of succinic acid as a control carbon source allowed us to determine that this observed 242 shift in microbial community is due specifically to the addition of WSF and not due to opportunistic microbes. Indeed, we observed known hydrocarbon degraders uniquely in the WSF 243 244 treatment, including Cycloclasticus, Oceaniserpentilla, and Rhodospirillales, consistent with field observations of microbial diversity during the DWH spill (Table S5 and S6).^{11, 24, 57} 245

246 WSF is Transformed in Incubation Experiments. We used changes in DOC concentrations 247 across time points to evaluate complete remineralization of organic carbon to CO₂ in each 248 treatment. DOC values were not statistically significantly different across the 14-day incubation 249 in the WSF treatments, based on a one-way ANOVA test at 95% confidence level (Figure S3). In 250 contrast, DOC concentrations decreased more than 100 μ M-C by T = 14 in the succinic acid 251 treatment, suggesting catabolism of succinate for energy (Figure S3). DOC concentrations in the 252 VSW treatment were also not statistically significantly different across the three time points, which 253 was likely due to the overall lower biomass and microbial activity as a result of lower DOC 254 concentrations (Figures S4).

We used LC-FT-ICR MS to examine detailed chemical changes as the microbes altered WSF. We define polar WSF-derived chemical features (P-WSF_{Total}), resolved by LC-FT-ICR MS, to be those found in WSF treatment but not in any non-WSF treatments. We culled the list of features to those that were found in all replicates at a time point. We then divided P-WSF_{Total} into P-WSF₀, or the polar WSF compounds found at T = 0, and P-WSF_M, or the polar metabolites produced during microbial degradation of WSF compounds (Figure S5).

261 To understand the chemical dynamics within WSF over the course of our experiment, we further 262 subdivided P-WSF₀ into four groups: P-WSF_{0-C}, or features that were likely consumed completely 263 (present only at T = 0); P-WSF_{0-U}, or features that were **u**naltered (similar relative abundance 264 values over 14 days); P-WSF_{0-I}, or features whose relative abundances increased over 14 days; and 265 P-WSF_{0-D}, or features whose relative abundances decreased over 14 days. The last three groups 266 (P-WSF_{0-U}, P-WSF_{0-I}, and P-WSF_{0-D}) include features present in WSF treatment samples at all 267 time points. We based our feature classifications on pair-wise one-tailed Student's t-test of feature 268 intensities. A visual overview of our classification scheme is shown in Figure S5.

269 Overall, the features in P-WSF_{Total} increased from 449 at T = 0 to 741 at T = 14 (Figure 1; Table 270 S1), indicating formation of new compounds as a result of microbial transformation of WSF crude 271 oil. Only 80 of 449 (<18 %) P-WSF₀ features were missing by the end of the experiment, due 272 either to complete degradation or to reduction below the detection limit (Figure 1; Table S1). In 273 contrast, P-WSF_M accounted for 41% and 50% of the total features observed in T = 7 and 14, 274 respectively (Tables S1 and S2). The similarity in DOC concentrations in WSF treatment samples 275 across time points, together with the increase in the number of P-WSF_{Total} and the small fraction 276 of P-WSF_{0-C}, implies that the majority of compounds initially found in WSF were transformed 277 into metabolic intermediates by microbial degradation rather than completely remineralized to CO₂, within the time frame of the experiment. 278

279 Changes in the relative abundances of features in P-WSF_{0-I} and P-WSF_{0-D} were significant 280 between T = 0 and T = 7 but not significant between T = 7 and T = 14, based on paired one-tailed 281 Student's t-tests (Figure 2 and Table S3). In contrast, increases in relative abundances for $P-WSF_M$ 282 features were significant between T = 0 and T = 7, and between T = 7 and T = 14 (Figure 2 and 283 Table S3). These findings suggest that processes that lead to a decrease or increase in abundances of P-WSF₀ features proceed at slower rates, or not at all, after T = 7; while production of P-WSF_M 284 continues after T = 7 and potentially beyond T = 14. The source of P-WSF_M may be P-WSF₀ and/or 285 286 low-molecular weight, water-soluble non-polar compounds, such as PAHs. We can discount the 287 first possibility because the change in relative abundance in P-WSF_{0-D} is minimal between T = 7288 and T = 14. In contrast, the second possibility could only be explained by microbial oxidation of 289 compounds that were originally outside the LC-FT-ICR MS analytical window, such as the non-290 polar compounds in WSF.

291 Degradation of PAHs is a Likely Source of Polar Metabolites in WSF. One of the possible 292 sources of P-WSF_M is the oxidation of non-polar compounds. To investigate whether non-polar 293 compounds in WSF are the sources of polar compounds, we focused our data interpretation on the 294 degradation of water-soluble PAHs, i.e. typically those with less than 3-rings, which should 295 account for ~10% of the WSF.³ If all or a major fraction of PAHs were completely remineralized 296 into CO₂, such a process should result in statistically significant changes in DOC concentrations. 297 However, DOC concentrations did not change significantly while total PAH concentration 298 decreased and the number of P-WSF_{Total} increased (Figures 1 and S6). These observations support

299 the notion that the source of the increasing P-WSF_M was likely the non-polar compounds that were

300 not detected by LC-FT-ICR MS at T = 0.

301 We identified a total of 56 metabolites that occur within known aerobic aromatic compound 302 degradation pathways, such as xylene, toluene, naphthalenes, and PAHs with three or fewer rings 303 (Table S4). Twenty-four of the 56 compounds from P-WSF_{Total} were assigned a level-2 putative 304 annotation based on exact mass and fragmentation pattern matches (Table S4). Of the 24 Level-2 305 metabolites, 7 were associated with the degradation of naphthalene and methyl-naphthalenes 306 (Table S4), even though naphthalene was not detected in PAH analysis. Multiple naphthalene 307 degradation products were observed across all time points with either negligible abundances at T 308 = 0 and elevated abundances at T=7 and/or T=14 or with the highest abundances at T = 7 (e.g. 309 Figures S7 and S8). We observed multiple metabolites from the degradation of aromatic 310 compounds with 1-3 rings, but no metabolites associated with the degradation of high molecular 311 weight PAHs. This is consistent with the absence of high molecular weight PAHs in WSF based on their low aqueous solubilities.² 312

313 **O3 and O4 Metabolites are Important Intermediates in WSF Transformations.** The number

of putatively annotated compounds related to WSF degradation accounted for only a small percentage (<12%) of P-WSF_{Total} in each sample. To better understand the characteristics of the broad range of compounds observed in WSF, existing chemical reaction information available in the KEGG database and metagenomics data from this study was used to guide the interpretation

318 of the remaining P-WSF_{Total} features.

319 We focused on P-WSF_{Total} features with assigned formulas (Levels 1, 2, and 3) that contained 320 oxygen atoms, since oxidation is a well-known mechanism for oil degradation. Aerobic 321 biodegradation of PAHs is catalyzed by either monooxygenases or dioxygenases, enzymes that add one or two oxygen atoms, respectively, in the initial steps.^{38, 58-61} In bacteria, dioxygenases are 322 the primary enzymes that initiate the PAH degradation.^{38, 59, 62} For PAHs with three or fewer rings, 323 324 dioxygenase-catalyzed oxygen addition occurs up to four oxygen atoms (O4), at which point one 325 ring opens. The open-ring O4 intermediates break down into compounds with higher oxygen to 326 carbon ratios, such as O3 and O4 attached to only one aromatic ring (Figure 3). For example, 327 degradation of naphthalene initiated via the naphthalene 1,2-dioxygenase pathway produces 328 metabolic intermediates with oxygen numbers as follows: $O0 \rightarrow O2$ (two isomers) $\rightarrow O4 \rightarrow O4$ 329 (one ring opens) \rightarrow O2 (lower ring number) \rightarrow O3 \rightarrow O4 (towards central metabolism) (Figure 330 3).

In this experiment, three O3 compounds, salicylic and 3- and 4-methylsalicylic acids, were the most abundant Level-1 metabolic intermediates (Table S4 and Figure S7). These compounds, however, only account for a small fraction of the DOC (<0.1%), suggesting that: (1) a large proportion of the WSF compounds were unknown and (2) these compounds likely represent a pool of WSF organic carbon that has low concentrations but high flux.

336 To further examine oxygen-containing compounds beyond the level-1 identification, we 337 compared the oxygen distributions of compounds with C_xH_vO_z formulas. Comparisons of relative 338 abundance between each oxygen compound class across time points were based on pair-wise one-339 tailed Student's t-test. Within the oxygen number distributions of P-WSF_{Total} features, the relative 340 abundances of O4 compounds were significantly higher at T = 7 and 14 than at T = 0 (Figure 4a). 341 Changes in relative abundance of P-WSF_{0-I} features show that most of the production occurred in 342 the O3 and O4 classes (Figure 4b). The relative abundance of O3 P-WSF_M also increased 343 significantly at T = 14, compared to T = 7 (Figure 4d). The oxygen number distribution 344 characteristics from a broader range of compounds in P-WSF_{Total} features are similar to known 345 PAH degradation pathways. Therefore, the high relative abundance of O4 compounds in WSF is 346 likely due to multiple reactions from the degradation of polar and non-polar compounds.

347 The observed oxygen number pattern and complementary genomics data suggest that the 348 degradation of naphthalene and other PAHs in the experiment was likely attributed to dioxygenase-349 catalyzed reactions. We observed enrichments of multiple genes encoding for dioxygenases in the 350 WSF samples (Figure 5). Although evidence of monooxygenase-catalyzed PAH metabolism is present in some bacteria, ^{35, 63} such reactions are predominantly observed in eukaryotic cells such 351 as fungi, yeast, and mammalian cells.⁶⁴⁻⁶⁷ Unlike dioxygenase-encoding genes, we did not observe 352 353 genes that encode for monooxygenases. The increase in O3 class relative abundance was, 354 therefore, not related to monooxygenases, and is instead more likely to be breakdown products 355 from O4 compounds after the first ring opens. We found that both P-WSF_{0-I} and P-WSF_M features 356 include many O3 compounds (Figure 4b and d). The high abundance of O3 compounds may reflect the production and accumulation of intermediates similar to salicylic acids (i.e. salicylic acid, its 357 358 methylated forms, and other modifications), which are key metabolites from naphthalene and

methyl-naphthalene degradation. Interestingly, the abundance of a subset of O3 compounds also decreased substantially over the course of the experiment within P-WSF_{0-D} (Figure 4c), suggesting some of these compounds were rapidly degraded. These findings suggest that the O3 compound class is a dynamic group of compounds with production, accumulation, and degradation occurring simultaneously.

O3 Metabolites Such as Salicylic Acids Represent a Key Transition Point for WSF Degradation. Salicylic acid is a metabolic intermediate in multiple PAH degradation pathways such as naphthalene, anthracene, fluorene, and phenanthrene; thus, it is reasonable to assume that the degradation of naphthalene, anthracene, fluorene, and phenanthrene in WSF all contributed to the observed concentration of salicylic acid in this study. The methylated forms of salicylic acid (3- and 4-methylsalicylic acids) are key metabolic intermediates in the degradation of 1- and 2methylnaphthalenes, respectively.

371 The salicylaldehyde dehydrogenase gene (*nahF*, (KEGG, K00152)), which encodes the enzyme that catalyzes the formation of salicylic acid in the naphthalene degradation pathway,^{68, 69} was 372 373 enriched in the WSF treatments (Figure 5). Further degradation of salicylic acid can proceed via 374 numerous mechanisms, depending on microbial community composition and their associated 375 genes.⁷⁰ For example, in the naphthalene degradation pathway, salicylic acid is transformed into 376 one of two different products through competing reaction mechanisms, i.e. gentistic acid and 377 catechol. We detected trace amounts of gentistic acid in WSF samples (Figure S7), but not 378 catechol. Furthermore, the gene encoding the enzyme for converting salicylic acid to catechol, 379 namely salicylate hydroxylase (*nahG*, (KEGG, K00480)), was not enriched in the WSF samples. 380 Interestingly, the *nahG* gene was elevated in non-WSF samples relative to the WSF treatments 381 (Figure 5), particularly in the VSW treatment. The enrichment of *nahG* gene in the VSW treatment

382 suggest some of the bacteria in seawater retain the genetic capacity to metabolize salicylic acid 383 through the catechol pathway, even though the concentration of salicylic acid in seawater was low. 384 This finding suggests that while the production of salicylic acid via PAH degradation pathways 385 may require specific hydrocarbon-degrading microbial groups, i.e. those that thrive in the presence 386 of WSF, removal of salicylic acid may be mediated by generalist non-oil degrading bacteria. This 387 finding underscores the importance of community structure and succession in complete oil 388 degradation. Distinct groups of oil-degrading microbes are known to bloom at different stages of 389 an oil spill.¹⁵ These microbes potentially produced an array of metabolites that could be utilized 390 by the general microbial community as carbon sources. We propose that while specialized 391 microbes initiate the degradation of WSF, the activity of generalists may be important for the full 392 remineralization of WSF compounds to CO₂. Interestingly, salicylic acid has been shown to enhance the degradation of high molecular weight PAHs, ^{38, 71-74} raising the intriguing possibility 393 394 that salicylic acid stimulates and facilitates degradation of PAHs.

395

396 CONCLUSIONS

WSF represents an important component of spilled oil that can play a role in ecotoxicology, and can impact ecosystems, ^{3, 5, 75} e.g. in deep-sea oil spills or seepages, in the water column beneath a surface oil slick (Figure 6). The molecular-level understanding of the fate of the polar compounds in crude oil WSF, however, is still scarce. This study offers the first multi-omics insights into microbial degradation of WSF, achieved by integrating a broad suite of chemical features with hydrocarbon-degrading genes.

Although this is a controlled laboratory experiment, our results set important reference framesfor future oil spill studies and provide new compound targets for field monitoring. The wide range

405 of oxygen-containing WSF compounds share similar oxygen number distributions as those from 406 the degradation of PAHs through dioxygenase pathways. Intermediate metabolites with three 407 oxygen atoms may represent an important transition point in oil degradation in nature. We 408 hypothesize that O3 metabolites such as salicylic acids are funneling compounds for microbial 409 degradation of hydrocarbons. Initial degradation steps may require specialized microbes, but the 410 subsequent metabolism of intermediate products could be achieved by a more diverse group of 411 microbes present in seawater microbial consortia. Consistent with our laboratory findings, we 412 detected salicylic acids and gentistic acid in archived field samples collected in the DWH plume 413 (Table S7), suggesting these compounds may be used as markers for PAH degradation in the field. 414 Additional work with fresh samples or surface oil slicks is needed to confirm these results. 415 Nevertheless, our results highlight the complexity of uncharacterized polar compounds in WSF 416 and their transformation products. The ecotoxicity of this complex pool remains poorly 417 constrained, underscoring the need for improved understanding of the fate and ecotoxicity of WSF 418 on long and short timescales. With more comprehensive knowledge of WSF and its degradation 419 products, markers for assessing the fate and ecotoxicity of spilled oil in the environment can be 420 developed.

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429	The manuscript	t was written	through	contributions	of all author	s. All aut	hors have given	approval
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- 430 to the final version of the manuscript. The authors declare no competing financial interest.
- 431 Supporting Information. Additional experimental details, figures and tables as noted in the text.
- 432 This material is available free of charge via the Internet at <u>http://pubs.acs.org</u>.
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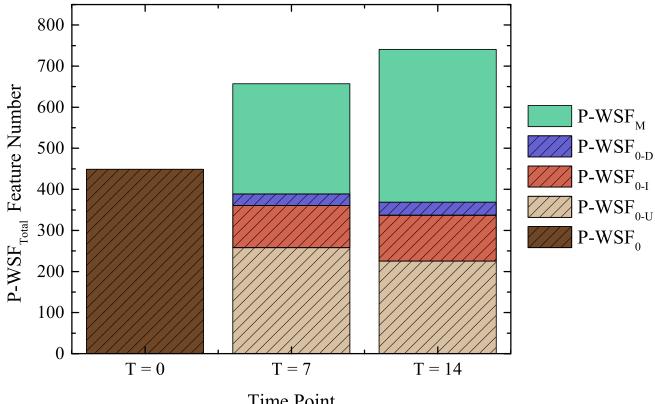
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443 ABBREVIATIONS.

FT-ICR-MS, Fourier transform ion cyclotron resonance mass spectrometry; VSW, Vineyard
Sound seawater; WSF, water-soluble fraction; OTU, operational taxonomic unit; DOC, dissolved
organic carbon. WAF, water-accommodated fraction, DOM, dissolved organic matter.

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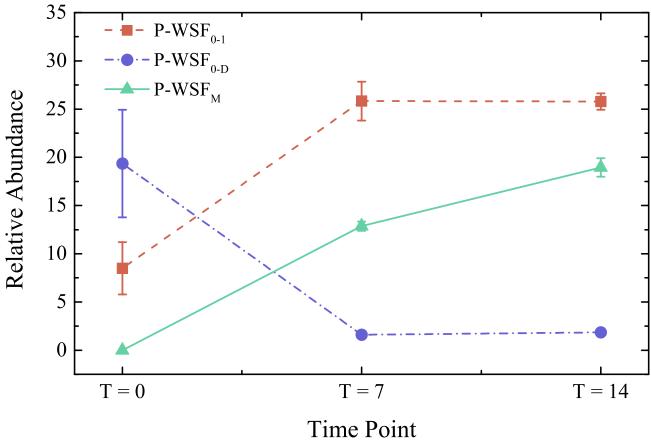
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Time Point

451 **Figure 1.** Number of observed features across time points T = 0, T = 7, and T = 14 for P-WSF_{Total}. 452 All features shown here were present in all treatment replicates of a time point. Compounds derived 453 from WSF of crude oil at T = 0 (P-WSF₀) are indicated with stripes. Dark brown striped bar 454 represents P-WSF₀ features in the starting material of the incubation experiment. Light brown 455 striped bars highlighted features that persisted from T = 0 to T = 14 and whose relative abundance 456 did not change (P-WSF_{0-U}). Red striped bars highlighted features whose relative abundance 457 increased over the course of the experiment (P-WSF_{0-I}). Blue striped bars highlighted features 458 whose relative abundance decreased the course of the experiment (P-WSF_{0-D}). Green bars 459 highlighted new compounds present at T = 7 and T = 14, but not at T = 0 (P-WSF_M). The difference 460 between the initial striped bar and the sum of the three striped bars at T=7 and T=14 constitutes 461 the features that were lost (P-WSF_{0-C}).



463

464 Figure 2. Relative abundance of three compound groups observed in WSF treatment (P-WSF₀): 465 P-WSF_{0-I} (red squares), P-WSF_{0-D} (blue circles), P-WSF_M (green triangles). Error bars represent 466 one standard deviation (n = 3) of relative intensity in each compound class at each time point.

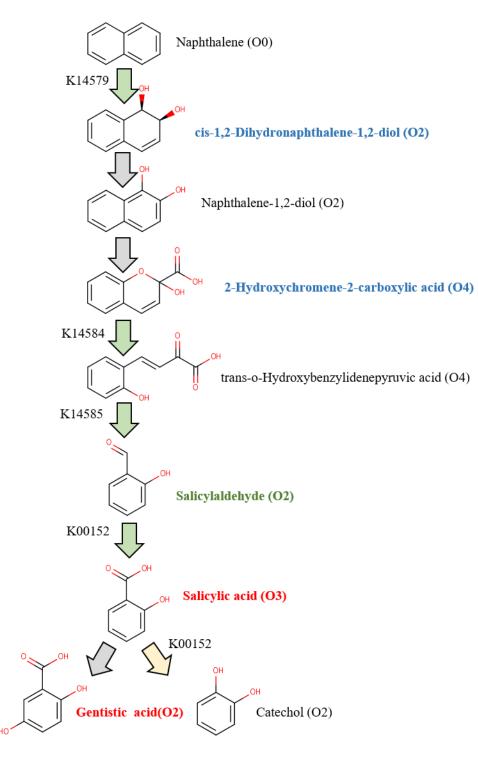
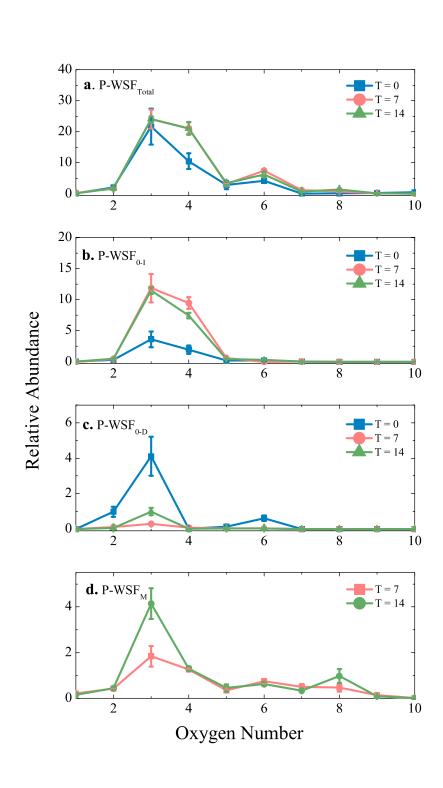


Figure 3. Metabolic pathway of naphthalene degradation initiated through a dioxygenase, based on KEGG. Green arrows indicate the presence of the coding gene encoded in our samples. Grey arrows indicate absence of the coding gene in this study. A yellow arrow indicates the coding gene was observed but not enriched in WSF treatment samples. Chemical names for each structure was labeled with oxygen number indicated in parenthesis. Chemical names in red indicate a level-1 identification. Chemical names in green indicate a level-2 putative annotation. Chemical names in

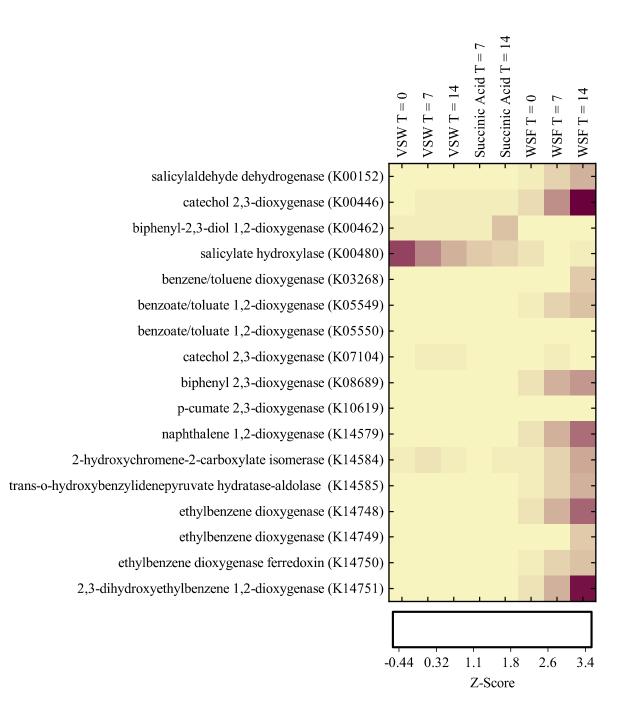
- 475 blue indicate a level-3 putative characterization. Chemical names in black indicated that we did
- not observe these compounds. BLAST identities of dioxygenase genes detected against an
 experimentally validated database⁷⁶ are listed in Table S12.





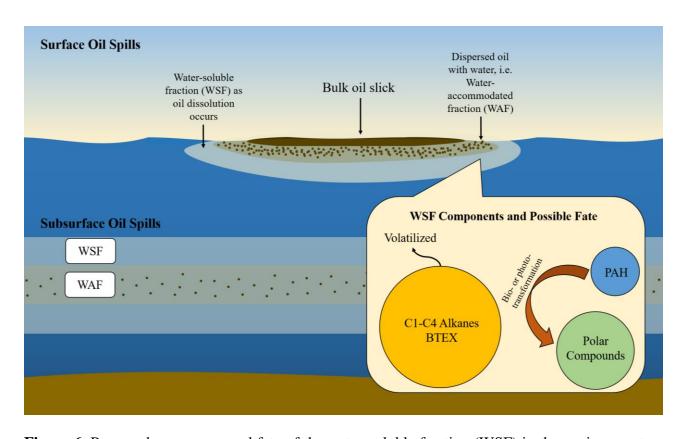
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Figure 4. Oxygen number distributions of $C_xH_yO_z$ compounds observed in WSF. (a) P-WSF_{Total}, (b) P-WSF_{0-I}, (c) P-WSF_{0-D}, and (d) P-WSF_M in the three time-points (T = 0 in blue, T = 7 in red, and T = 14 in green). Error bars indicate one standard deviation around the mean of triplicate analyses.



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Figure 5. Heatmap of genes that encode for aromatic compound metabolisms identified from unreplicated metagenomic data (described in Supporting Information). Only genes encoding for the degradation of oil-derived compounds are included. The darker color indicates higher Z-Score values for specific genes compared to the light yellow. Abundance and percentile of the gene are listed in Table S11. BLAST identities of dioxygenase genes detected against an experimentally validated database⁷⁶ are listed in Table S12.



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Figure 6. Proposed occurrence and fate of the water-soluble fraction (WSF) in the environment.
 Through dissolution, WSF is expected in the water around the surface oil slicks during an aquatic

497 oil spill. The chemical fingerprint of WSF is distinct from the water-accommodated fraction
498 (WAF), which contained emulsified oil droplets. In the water column, WSF is expected to contain

499 a larger fraction of BTEX, low molecular weight PAHs, and polar compounds. Based on our

results, non-polar compounds such as BTEX and PAHs can contribute to polar compounds in WSF

501 through bio-transformation in the water column. At the surface, BTEX may readily volatilize while

502 PAHs are transformed to polar compounds through photo- and bio-mediated pathways.

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