Shallow fault zone structure affects rupture dynamics and ground motions of the 2019 Ridgecrest sequence to regional distances

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¹¹ Key Points:

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12	• Dynamic rupture simulations of the 2019 Ridgecrest foreshock and mainshock with
13	a flower-shaped fault damage zone structure are presented.
14	- This fault zone affects ground motions at distances >100 km, with frequency-dependent
15	effects related to its eigenfrequencies.
16	• Fault zone-induced supershear transitions may be less common than predicted by
17	previous studies assuming constant friction parameters.

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18 Abstract

Seismic faults are surrounded by damaged rocks with reduced rigidity and enhanced at-19 tenuation. These damaged fault zone structures can amplify seismic waves and affect earth-20 quake dynamics, yet they are typically omitted in physics-based regional ground motion 21 simulations. We report on the significant effects of a shallow, flower-shaped fault zone 22 in foreshock-mainshock 3D dynamic rupture models of the 2019 Ridgecrest earthquake 23 sequence. We find that the fault zone structure both amplifies and reduces ground mo-24 tions not only locally but at distances exceeding 100 km. This impact on ground mo-25 tions is frequency- and magnitude-dependent, particularly affecting higher frequency ground 26 motions from the foreshock because its corner frequency is closer to the fault zone's fun-27 damental eigenfrequency. Within the fault zone, the shallow transition to a velocity-strengthening 28 frictional regime leads to a depth-dependent peak slip rate increase of up to 70% and 29 confines fault zone-induced supershear transitions mostly to the fault zone's velocity-weakening 30 bottom half. However, the interplay of fault zone waves, free surface reflections, and rup-31 ture directivity can generate localized supershear rupture, even in narrow velocity-strengthening 32 regions, which are typically thought to inhibit supershear rupture. This study demon-33 strates that shallow fault zone structures may significantly affect intermediate- and far-34 field ground motions and cause localized supershear rupture penetrating into velocity-35 strengthening regions, with important implications for seismic hazard assessment. 36

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Plain Language Summary

Earthquake-hosting geological faults in the Earth's crust are usually surrounded 38 by damaged rock with reduced seismic wave propagation speeds. Sharp velocity contrasts 39 within the damaged rock lead to reflections and trapping of seismic waves. These waves 40 induce stress perturbations back on the fracture surface and interact nonlinearly with 41 the earthquake's rupture process. We simulate the impact of shallow damaged rocks sur-42 rounding the rupture planes in a complex computer model of the 2019 Rigecrest sequence, 43 including an M_w 6.4 foreshock and the M_w 7.1 mainshock. Rupture modifications and 44 the distortion of the wavefield leaving the fault zone affect ground motions over large dis-45 tances (> 100 km) and cause an irregular pattern of amplification and deamplification. 46 The impact on ground motions is stronger for frequencies above ~ 0.5 Hz correspond-47 ing to the fundamental standing wave between the damaged rock's sharp velocity con-48 trasts. The amplified fault zone waves can cause a transition to supersonic rupture speeds. 49

However, integrating realistic depth-dependent frictional properties suppresses high rup ture velocities, leading to only locally confined supersonic rupture speeds. This study
 reveals the strong impact of shallow damaged rock on earthquake rupture and ground
 motions beyond the source region, affecting seismic hazard assessment on regional scales.

54 1 Introduction

The velocity structure around large fault zones can significantly alter the local seis-55 mic wavefield (Cormier & Spudich, 1984; Ben-Zion et al., 2003; Kurzon et al., 2014; Catch-56 ings et al., 2020) and earthquake rupture properties (e.g., Ben-Zion & Huang, 2002; Sam-57 mis et al., 2009; Huang et al., 2014; Xu et al., 2015). For example, sharp bimaterial in-58 terfaces generate head waves that can interact with dynamic ruptures and distort de-59 rived earthquake source properties (e.g., Ben-Zion, 1990; Andrews & Ben-Zion, 1997; Al-60 lam et al., 2014). Coherent low-velocity zones generate trapped waves and resonance modes 61 that amplify local ground motions (e.g., Li & Leary, 1990; Igel et al., 2002; Hillers et al., 62 2014; Qiu et al., 2020). Numerical studies have shown that fault zone waves can cause 63 pulse-like ruptures, rupture arrest, variations in rupture speed and off-fault damage, sus-64 tained transition to supershear, and back-propagating rupture fronts (Huang & Ampuero, 65 2011; Huang et al., 2014, 2016; Pelties et al., 2015; Flores-Cuba et al., 2024). However, 66 many of these studies rely on simplified fault geometries and 2D frameworks and often 67 lack direct observational constraints. 68

The extent to which fault zone structures can affect the behavior of earthquakes 69 and generated ground motions is a subject of continuing research (Thakur et al., 2020; 70 Abdelmeguid & Elbanna, 2022; Flores-Cuba et al., 2024). Further progress requires ac-71 counting for more realistic source models (e.g., Ulrich et al., 2019; Taufiqurrahman, Gabriel, 72 Ulrich, et al., 2022) and 3D material properties (e.g., Rodgers et al., 2020; Z. Hu et al., 73 2022). Yeh and Olsen (2023) modeled the seismic radiation from the 2019 Ridgecrest earth-74 quake using a kinematic source model (Liu et al., 2019) and a data-constrained local ve-75 locity model that included low-velocity fault zone structures (Zhou et al., 2022). Their 76 results show that the fault zone structure considerably affects ground motions near the 77 source and into the Los Angeles basin by generating Love waves at its boundaries. Regional-78 scale dynamic rupture simulations are computationally challenging but are now feasi-79 ble with advances in high-performance computing (Uphoff et al., 2017; Folch et al., 2023). 80 Dynamic source models can ensure physical consistency while simultaneously capturing 81

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the impact of a fault zone on the wavefield and the rupture process under lab-constrained friction conditions (Kaneko et al., 2008; Dunham et al., 2011).

Here, we integrate a complex flower-shaped fault zone (see Sec. 2.3, Qiu et al., 2021) 84 into linked foreshock-mainshock regional dynamic rupture models of the 2019 Ridgcrest 85 sequence (Sec. 2.1, Taufiqurrahman et al., 2023), including the M_w 6.4 Searles Valley 86 for eshock and the M_w 7.1 Ridgecrest main shock in the Eastern California Shear Zone 87 (Ross et al., 2019; Goldberg et al., 2020; Chen et al., 2020). The model is embedded in 88 a 3D velocity structure (Lee et al., 2014), incorporates a complex 3D quasi-orthogonal 89 fault geometry, and fits a wide range of observations. Our results illustrate how the fault 90 zone controls key rupture properties and modifies the seismic wavefield on both local and 91 regional scales. The coupling of fault zone structures with simulated ground motions high-92 lights the need to incorporate detailed local velocity models into ground motion simu-93 lations for large-scale earthquake scenarios. 94

95 2 Methods

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2.1 3D dynamic rupture model setup of the 2019 Ridgecrest sequence

We compare two linked foreshock-mainshock dynamic rupture scenarios of the 2019 Ridgecrest sequence, without and with a fault damage zone: a "reference" model, which is based on previous work of Taufiqurrahman et al. (2023), and a "fault zone" (FZ) model, which adds a low-velocity damage zone around all rupture planes of the reference model (Sec. 2.3 and Fig. 1a). The dynamic rupture simulations are performed using the opensource software SeisSol (Text S1).

Taufiqurrahman et al. (2023) show that the dynamic and static mainshock-foreshock interactions during the Ridgecrest sequence can be explained by assuming overpressurized fluids and statically strong but dynamically weak faults. They used a wide range of geophysical data sets and incorporated multiple earthquake physics-relevant processes to construct the reference model. In the following, we briefly summarize the reference model setup and describe the reference model's rupture dynamics (Fig. S1).

To establish the initial 3D stress state, long-term Coulomb failure stress changes from previous large regional earthquakes (Verdecchia & Carena, 2016) are added to a tectonic background model (Yang & Hauksson, 2013). The mainshock model accounts for the stress changes induced by the foreshock model. Geologic field mapping, InSAR

data, relocated seismicity, and selected focal mechanisms (Carena & Suppe, 2002) are 113 combined to construct a quasi-orthogonal 3D fault system (Fig. 1b,c). A fast velocity-114 weakening rate-and-state friction law (Dunham et al., 2011) governs coseismic slip evo-115 lution (Table S1, Fig. S2). The dynamic rupture simulation incorporates off-fault plas-116 tic deformation and viscoelastic attenuation, linked to the 3D velocity structure via $Q_s =$ 117 $0.1v_s$ and $Q_p = 1.5 Q_s$ (Olsen et al., 2003). The model domain's material properties 118 represent a 3D velocity model of southern California (CVM-S4.26; Lee et al., 2014; Small 119 et al., 2017) and it includes high-resolution topography (Farr et al., 2007). The simu-120 lations allow resolving seismic wave propagation up to at least 2 Hz in the near-source 121 region and up to at least 1 Hz in the full study region, which expands to distances up 122 to 140 km away from the Ridgecrest fault system (Text S2). 123

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2.2 Reference model rupture dynamics

The complex rupture dynamics of the foreshock and mainshock in the reference model 125 (Fig. S1) explain a broad set of strong-motion, teleseismic, field mapping, GNSS, and 126 InSAR observations. The Searles Valley foreshock scenario is nucleated near the inter-127 section of F1 and F2 at a depth of 10.5 km. Rupture on F1 halts soon after initiation, 128 not reaching the surface due to non-optimal fault orientation, while rupture on F2 reaches 129 the surface and continues to its southwestern end (Fig. 1). In the reference mainshock 130 model, rupture initially propagates as a bilateral crack across the northern part of F3 131 after forced nucleation at a depth of 8 km. The rupture arrests smoothly to the north-132 west. Stress release from the foreshock prevents the mainshock from breaching the shal-133 low portion of the orthogonal F2; instead a deep rupture pulse crosses the fault inter-134 section, propagates again to the surface, and continues along F3 to its southeastern end. 135 The mainshock reactivates slip on F2 near the intersection with F3 and dynamically trig-136 gers shallow slip at the southern end of F4. 137

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2.3 Integrating an observationally constrained, complex fault damage zone

Fault zones are heterogeneous structures with variable degrees of rock damage (Peng et al., 2003; Cochran et al., 2009), and their properties can vary significantly along strike (Lewis & Ben-Zion, 2010; Materna & Bürgmann, 2016; Perrin et al., 2016). We complement the reference foreshock-mainshock dynamic rupture scenarios with an observation-



Figure 1. (a) Schematic of the flower-shaped fault damage zone geometry (Sec. 2.3) and hypocenter depths. (b) Map view showing model topography and fault traces, with F1, F2, F3, and F4 being the ruptured segments of the Ridgecrest fault system, following the reference foreshock-mainshock dynamic rupture models (Taufiqurrahman et al., 2023). Triangles indicate near-source station locations, for which synthetic and observed spectra are shown in Fig. S3 and S4. (c) Fault zone dynamic rupture model snapshot showing the combined fault slip (mainshock + foreshock) and absolute wave velocity 23 s after mainshock nucleation. The snapshot is cut out to illustrate the dynamic rupture models' complexities, including a quasi-orthogonal listric 3D fault system, high-resolution topography, 3D velocity structure merged with a shallow flowershaped fault damage zone.

ally constrained fault damage zone representing an immature fault system with a rather
low level of damage and localization (Ben-Zion & Sammis, 2003). To this end, we construct a shallow, flower-shaped fault damage zone geometry based on dense seismic observations, including four linear arrays crossing the surface ruptures, with 100 m sensor
spacing (Qiu et al., 2021). Their derived fault zone structure includes a low-velocity zone
with a width of 1–2 km, containing an intensely damaged core and a waveguide approximately 300 m wide, reaching depths of 3–5 km.

The modeled fault zone geometry follows the derived intensely damaged core of the 151 low-velocity zone surrounding the Ridgecrest fault system. We approximate this com-152 plex structure with a flower-shaped geometry (Finzi et al., 2009; Pelties et al., 2015), ne-153 glecting along-strike variations (Huang, 2018). The fault-zone width is set to 1.6 km at 154 the free surface, tapering to 1.0 km at 600 m depth, and further decreasing to 0.4 km 155 at 6 km depth (Fig. 1a). Within this fault zone, we reduce P- and S-wave velocities from 156 the 3D velocity model CVM-S4.26 (Lee et al., 2014) by 30%, with sharp lateral veloc-157 ity contrasts at the fault zone edges. In the vertical direction, the velocity reduction oc-158 curs smoothly between depths of 4–6 km. 159

160 3 Results

We first compare the results of the FZ and reference models to investigate the im-161 pact of the fault zone on various ground motion parameters in Sec. 3.1. We aim to com-162 pare ground motion parameters of 3D dynamic rupture models that feature similar com-163 plexity as real earthquakes. Fig. S3 and S4 display synthetic and observed spectra of five 164 near-source stations (Fig. 1b). The frequency content of the synthetic spectra compares 165 well to the observations up to the model resolution of 2 Hz. After analyzing ground mo-166 tion parameters, we examine the impact of the FZ structure on rupture dynamics in Sec. 167 3.2.168

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3.1 Fault zone impact on ground motions

Fig. 2 shows logarithmic ratios (e.g., Vach et al., 2021) of peak ground velocity (PGV) values generated by the FZ and reference models to analyze the spatial distribution of amplifications and deamplifications caused by the fault zone. Above rupturing faults, the FZ model generally generates higher PGVs (Ben-Zion et al., 2003; Catchings et al.,

2020). The fault zone amplifies the PGVs more efficiently for the foreshock. In the near-174

field of the foreshock dynamic rupture scenario, the median PGV above the fault zone 175

increases by 79%, from 0.47 m/s in the reference model to 0.84 m/s (Fig. 3a,b). The main-176

shock generates a median PGV of 1.19 m/s above the fault zone, representing a 19% in-177

crease compared to the median of 1.00 m/s of the reference model in the same area (Fig. 178 3c,d). 179

In the FZ model, we observe strong gradients in the fault-normal direction, where 180 PGVs can change by a factor of 10 over a distance of 10 km. These results are consis-181 tent with recent work on an empirical ground motion model using aftershocks of the Ridge-182 crest earthquakes recorded by dense near-fault seismic arrays (Meng et al., 2024) and 183 indicate propagation-site (rather than source) effects produced by the fault zone struc-184 ture (Sec. 4). 185

Fig. S5 shows modeled PGVs on a regional scale and USGS intensity shakemaps 186 (Wald et al., 2022) constructed from observations of the 2019 Ridgecrest sequence. Intensity-187 equivalent PGV values (Wald et al., 1999) are consistent with the results of the dynamic 188 rupture simulations but feature less small-scale variability. 189

Details of rupture dynamics affect the trapping of waves in adjacent fault zones. 190 During the foreshock, seismic waves are also amplified within the damage zones of the 191 faults that will rupture only subsequently during the mainshock (F3 and F4), an effect 192 that would have been missed when modeling rupture only on F1 and F2. This leads to 193 a relative amplification of PGV by >2.2 at the southeastern end of the Ridgecrest fault 194 system (Fig. 2a), more than 10 km away from the foreshock rupture (Marra et al., 2000; 195 Rovelli et al., 2002). In contrast, during the mainshock, we do not observe an equiva-196 lent amplification (Fig. 2c). The mainshock tunnels the F2-F3 intersection (see 5–7 s 197 in Movie S1 below the fault zone, preventing efficient trapping of waves within the dam-198 age zone of the orthogonal fault branch F2 (Taufiqurrahman et al., 2023). 199

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Rupturing of adjacent fault zone regions that concentrate seismic energy shields neighboring regions from strong ground motions to some extent. For example, foreshock 201 PGVs exhibit a relative reduction by >1.8 in the vicinity of strong amplification at the 202 southeastern end of F3 (Fig. 2a). 203

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Figure 2. Logarithmic ratios of peak ground velocities $\log_{10}(\text{PGV}_{fz}/\text{PGV}_{ref})$ from two dynamic rupture models including a fault damage zone and the reference model. Logarithmic ratios indicate relative ground motion amplification and reduction, with values of ± 0.05 , ± 0.25 , and ± 0.45 corresponding to factors of 1.12, 1.78, and 2.82, respectively. We use orientationindependent GMRotD50 PGV values (Boore et al., 2006). The coordinates are UTM 11S in km. (a) Near-fault distribution of the Searles Valley foreshock scenario. (b) Same as (a) but for a larger region. (c) Near-fault distribution of the Ridgecrest mainshock scenario. (d) Same as (c) but for a larger region.



Figure 3. Near-fault PGV distributions of the (a) Searles Valley foreshock reference dynamic rupture model. (b) Searles Valley foreshock dynamic rupture model including a fault damage zone. (c) Ridgecrest mainshock reference dynamic rupture model. (d) Ridgecrest mainshock dynamic rupture model including a fault damage zone. We use orientation-independent GMRotD50 PGV values (Boore et al., 2006). The coordinates are UTM 11S in km.

The distortion of the near-source wavefield and altered rupture dynamics due to the fault zone (Sec. 3.2) considerably affect ground motions at distances beyond 100 km. Fig. 2b,d present logarithmic PGV ratios of the FZ relative to the reference model over distances up to 140 km. Amplification and deamplification patterns of both events are highly heterogeneous, with substantial variability over short distances. Yeh and Olsen (2023) found a similar regional-scale impact when simulating a kinematic source with and without surrounding fault zone structures.

We observe opposite fault zone effects on median PGVs during the foreshock and 211 mainshock, highlighting event-specific and frequency dependence of fault zone effects that 212 we detail when looking at peak spectral accelerations. Across the entire domain (Fig. 213 2b,d), the FZ model reduces the mainshock median PGV by 9.4% and increases the fore-214 shock's median PGV by 2.2% compared to the reference model. The logarithmic PGV 215 ratios have a standard deviation from zero of 0.090 for the mainshock and 0.105 for the 216 foreshock, respectively, indicating a stronger FZ influence on foreshock ground motions 217 also on a regional scale. Beyond the near-fault region, foreshock PGV ratios show pro-218 nounced amplification at angles of approximately $\pm 45^{\circ}$ to the rupture forward direction, 219 reflecting the mostly unilateral propagation along F2. A similar but weaker pattern is 220 observed near both ends of F3 for the bilateral mainshock. These effects of the fault zone 221 guided waves are distinct from the signatures of the radiation pattern of local impulsive 222 vertical waves analyzed in (Schliwa & Gabriel, 2023). 223

The influence of the fault zone structure on ground motions is more pronounced 224 at higher frequencies. Fig. 4 shows logarithmic ratios of the FZ and reference models' 225 peak spectral accelerations (PSAs) at 3 s and 1 s (Boore et al., 2006). The different sta-226 tistical spreads of the sets of logarithmic ratios quantify the relative fault zone impact 227 on different frequency bands. For the foreshock, the logarithmic PSA ratio standard de-228 viations from zero are 0.095 at 3 s and 0.173 at 1 s, with the latter corresponding to a 229 relative PSA change by 1.49, representing de-/amplification by $\times 1.49$. The logarithmic 230 PSA ratio standard deviations from zero for the mainshock are 0.119 at 3 s and 0.126 231 at 1 s, respectively. The values show that the relative impact on PSA_{1s} is higher for both 232 events and particularly pronounced for the foreshock. While the large-scale amplifica-233 tion patterns of PSA ratios at 1 s and 3 s are largely consistent, they diverge in certain 234 regions, such as south of the fault system at UTM x = 455 km for the mainshock. These 235

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Figure 4. Logarithmic ratios of peak spectral accelerations (GMRotD50, Boore et al., 2006) comparing dynamic rupture models with a fault damage zone to the reference model. Logarithmic ratios indicate relative ground motion amplification and reduction, with values of ± 0.05 , ± 0.25 , and ± 0.45 corresponding to factors of 1.12, 1.78, and 2.82, respectively. A high degree of saturation in diverging colorbar directions indicates a strong relative impact due to the fault zone. The coordinates are UTM 11S in km. (a) Period of 3 s, Searles Valley foreshock. (b) Period of 1 s, Searles Valley foreshock. (c) Period of 3 s, Ridgecrest mainshock. (d) Period of 1 s, Ridgecrest mainshock.

differences stem from the PSA_{1s} amplifications at angles of approximately $\pm 45^{\circ}$ from the southern end of F3 associated with the rupture directivity within the fault zone.

The frequency-dependency of the fault zone impact is related to the fault zone geometry and material properties. Fault zone trapped waves form due to constructive interference at the eigenfrequencies of the fault zone (Flores-Cuba et al., 2024; Ben-Zion, 1998); therefore, frequencies equal and higher than the fundamental eigenfrequency are affected the most. The fundamental eigenfrequency of a standing wave across the fault zone width w_{fz} with two fixed ends is $f_{fz} = v_s/(2w_{fz}) = 0.53$ Hz, for $v_s = 1700$ m/s and $w_{fz} = 1600$ m, corresponding to the average values at the free surface.

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3.2 Fault zone impact on rupture dynamics

We compare the reference model of the 2019 Ridgecrest sequence (Taufiqurrahman et al., 2023) with the FZ model to investigate the fault zone's impact on rupture dynamics. Both dynamic rupture models share equivalent setups apart from the fault zone. Details of the reference model setup, a description of its rupture dynamics, and a visualization of the rupture evolution are provided in Sec. 2.1, 2.2, and Fig. S1, respectively. The analysis focuses on the mainshock, with foreshock results presented in the supplementary materials (Fig. S6–S8).

Fault zone waves and the reduction of the shear modulus within the fault zone con-253 siderably alter the final slip distribution of the mainshock model. Fig. 5 shows the po-254 tency and moment release rates of the reference and the FZ model, the accumulated fault 255 slip in the FZ model, and the difference between the FZ and reference models' accumu-256 lated fault slip. In the FZ model, the accumulated slip is generally higher than in the 257 reference model, which is reflected by an increase in the total potency. The assumed shear 258 modulus reduction outweighs the slip increase and results in a lower seismic moment for 259 both the mainshock and foreshock FZ model (Fig. S6). However, this is misleading in 260 relation to the ground motion since the assumed rigidity at the source does not directly 261 affect the radiated energy (Ben-Zion, 1989, 2001; Trugman & Ben-Zion, 2024), which is 262 properly quantified by the potency. Slipping fault portions in the FZ mainshock model 263 accumulate on average 2 m of slip, reaching maximum values of 5 m at the free surface 264 near the southeastern end of F3. Within the fault zone near the hypocenter, slip increases 265 by 0.3–0.4 m relative to the reference model. 266



Figure 5. Comparison of rupture parameters in Ridgecrest mainshock models with and without the fault damage zone. (a) Potency release rate. (b) Moment release rate. (c) Accumulated slip distribution of the dynamic rupture model including the fault damage zone, showing two perspectives. (d) Difference in accumulated slip $(s_{fz} - s_{ref})$ of the dynamic rupture model, including the fault damage zone compared to the reference model. Contours indicate the velocitystrengthening to velocity-weakening transition (at a depth of 1.8 km), and the base of the fault zone (at 6 km depth).

The shallow fault zone structure amplifies surface-reflected rupture fronts, contribut-267 ing to the accumulated slip increase. At the free surface, the FZ model's slip amplifica-268 tion grows with lateral distance from the hypocenter in both directions due to an am-269 plified surface-reflected rupture front (e.g., Kaneko & Goto, 2022). Below the fault zone, 270 slip differences between the FZ and the reference model are minimal, except near the F2-271 F3 intersection. In this region, the amplified surface-reflected rupture front originating 272 from the hypocenter reaches the base of the seismogenic zone and even reinitiates rup-273 ture through the intersection with F2 for a second time (see 6-12 s in Movie S1). 274

On the southern segment of F3, the FZ model exhibits vertical and lateral oscil-275 lations of accumulated slip amplification and deamplification relative to the reference model 276 (Fig. 5d). A superposition of fault zone waves generated at the southern F3 segment and 277 those originating from the hypocentral region causes these complex oscillations. Rup-278 ture tunneling at the F2-F3 intersection and the associated delay lead to the rupture ar-279 riving at the southern F3 segment fault zone simultaneously with a pronounced fault zone 280 wave packet emanating from the hypocentral region (30-36 s in Movie S2). The sign of 281 the accumulated slip change depends on whether the dynamic stress perturbations due 282 to fault zone waves favor slip when interacting with the rupture front. Reversed polar-283 ity slip reactivation (Glastonbury-Southern et al., 2022; P. M. Shearer et al., 2024) due 284 to fault zone coda waves further contributes to the strong positive slip anomaly at the 285 free surface of the southern F3 segment. We detail the slip reactivation and the time-286 dependent interactions between rupture and dynamic stress perturbations at the end of 287 this section. Next to the southern segment of F3, fault zone waves dynamically trigger 288 more shallow slip on F4 in the FZ model compared to the reference model. 289

Peak slip rates show a pronounced depth-dependent increase within the fault zone 290 (Fig. 6 and S9). On average, peak slip rates within the fault zone are 70% higher than 291 in the reference model, marking the most significant relative change induced by the fault 292 zone. The largest changes occur in the velocity-weakening bottom half of the fault zone. 293 We note that there the difference between the direct effect parameter and the state-evolution 294 parameter a-b is -0.004. The transition between seismogenic velocity-weakening and 295 shallow velocity-strengthening frictional regimes falls between depths of 4 km and 1.8 km 296 (Fig. S2), which aligns with a secondary shallow depth-dependent change in peak slip 297 rate increase (Fig. 6a). Including the fault zone increases the spatial correlation of peak 298 slip rates with accumulated slip, rise time, and rupture speed normalized by the rigid-299

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Figure 6. Comparison of Ridgecrest mainshock rupture dynamics in models with and without the fault damage zone, with each subplot showing two perspectives. (a) Difference in peak slip rate $(\max(\dot{s}_{fz}) - \max(\dot{s}_{ref}))$. (b) Rupture speed distribution of the Ridgecrest mainshock FZ model. (c) Difference in rupture speed $(v_{r,fz} - v_{r,ref})$. (d) Ratio between rupture speed and local S-wave velocity $(v_{r,fz}/v_s)$ of the Ridgecrest mainshock FZ model. The colorbar is saturated to highlight supershear rupture. Contours indicate the depth of the velocity-strengthening to velocity-weakening transition (1.8 km) and the bottom of the fault zone (6 km). Black dots in (d) show fault receiver locations analyzed in Fig. 7.

ity (Fig. S10). In particular, peak slip rate and rupture speed strongly correlate (> 0.8)
for both foreshock and mainshock FZ models. This correlation appears to be dynamically enforced independently of the friction law (e.g., Schmedes et al., 2010; Schliwa et al., 2024).

Peak slip rates on the shallow southern segment of F3 show oscillations similar to the slip differences caused by fault zone trapped waves. However, unlike the slip differences, peak slip rates do not decrease compared to the reference model, and the oscillations do not align spatially with those of the accumulated slip differences. The spatial offsets arise from the accumulated slip being affected by slip reactivation due to fault zone coda waves (Fig. 7).

The fault damage zone facilitates supershear rupture, which is primarily confined to its bottom half. Within the fault zone, rupture speed decreases by an average of 17% compared to the reference model (Fig. 6c), which is less than the 30% reduction in seismic wave propagation velocities within the fault zone. These rupture speed changes have only a small effect on rupture duration (see Fig. 5a,b). Fig. 6d shows the ratio of rupture speed to local shear wave velocity, revealing localized, episodic supershear transitions at the bottom of the fault zone.

Episodic supershear rupture remains mostly confined to depths of 3-6 km, the velocity-317 weakening frictional regime within the fault zone (Cui & Zhu, 2022). However, a thin 318 yet laterally stable supershear corridor exists at the southern end of F3, just below the 319 free surface. This supershear rupture is induced within the velocity-strengthening regime 320 due to a combination of rupture directivity, free surface reflections (Olsen et al., 1997; 321 Kaneko & Lapusta, 2010; F. Hu et al., 2019), a superposition of fault zone waves gen-322 erated in the adjacent fault zone and the hypocentral region (Huang et al., 2016), and 323 wave focusing due to the slope change of the flower structure geometry (Fig. 1a Pelties 324 et al., 2015). 325

Average rise times within the fault zone are reduced by 10% compared to the reference model when areas with long rise times due to surface reflections are excluded (see Fig. S11, which is less than observed for simpler dynamic rupture models incorporat-

ing a fault zone (Huang & Ampuero, 2011; Pelties et al., 2015).

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Lateral oscillations of dynamic source parameters along the southern F3 segment 330 are explained by the timing between the rupture front and dynamic stress perturbations 331 due to fault zone waves. Fig. 7 shows normalized shear stress and slip rate evolution of 332 eight fault receivers located along a constant-depth profile overlapping with the previ-333 ously identified supershear corridor (Fig. 6d). Oscillations before and higher frequent 334 shear stress modulations after the rupture onset represent dynamic perturbations due 335 to fault zone waves. The first three fault receivers (a-c) have subshear rupture speeds, 336 and a peak slip rate increases $\Delta \max(\dot{s}) \ll 1 \text{ m/s}$ compared to the reference model. 337 The subsequent receivers (d-g) exhibit supershear and larger increases in peak slip rate. 338 For the fault receivers a-c, dynamic stress perturbations right before rupture onset are 339 decreasing but do not turn negative, which indicates that the maximum unfavorable stress 340 perturbations coincide with rupture onset. For the remaining receivers, the maximum 341 unfavorable stress perturbations occur right before rupture onset, which then aligns with 342 a favorable stress perturbation, facilitating supershear and large peak slip rate increases. 343 We do not observe a daughter crack (Andrews, 1976; Burridge et al., 1979) during the 344 supershear transition. Receivers e-g show notable reverse slip reactivations by fault zone 345 coda waves, adding to the accumulated slip difference compared to the reference dynamic 346 rupture model (Fig. 5d). 347

348 4 Discussion

The dynamic rupture models of the 2019 Ridgecrest sequence demonstrate that the 349 shallow fault zone structure significantly impacts key aspects of the ruptures and ground 350 motions up to regional distances. The effects are more substantial for high-frequency ground 351 motions (> 0.5 Hz) and ground motions of the foreshock (Fig. 4). The corner frequen-352 cies of both events are lower than the fundamental eigenfrequency of the fault zone, but 353 the foreshock of the Ridgecrest sequence has a higher corner frequency than the main-354 shock (Schliwa & Gabriel, 2023). Therefore, more of the foreshock's relative frequency 355 content overlaps with the eigenfrequencies of the fault zone, which explains the stronger 356 impact on the foreshock ground motions. This implies a magnitude dependence of the 357 fault zone impact, which should reach its maximum when the events corner frequency 358 exceeds the fundamental eigenfrequency of the fault zone. However, when the magni-359 tude gets smaller it becomes less likely that the earthquake will rupture the Earth's sur-360 face (Bonilla, 1988; Wells & Coppersmith, 1994) and the shallow velocity-strengthening 361

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Figure 7. Normalized shear stress and slip rate evolution of the Ridgecrest mainshock model including the fault damage zone at eight fault receivers (a–h). The receivers are located along a constant depth profile (≈ 800 m) overlapping with the supershear corridor at the southern end of F3 (Fig. 6d). Insets show the distance to the southern end of F3, the difference in peak slip rate (Δ max(\dot{s})) and accumulated slip (Δ s) compared to the reference mainshock model, and the ratio between rupture speed and local shear wave velocity (v_r/v_s), indicating supershear onset at the fault receiver associated with subplot (d).

and fault zone region. In addition, the higher frequencies generated by smaller events
 attenuate more along the same propagation distance.

Meng et al. (2024) analyze ground motion parameters of aftershocks of the Ridgecrest sequence with dense 1D arrays (Qiu et al., 2021) across the fault zone of the mainshock rupture. They observe ground motion parameter attenuation rates of aftershocks within the fault zone that are consistent with our simulated results for the FZ dynamic rupture models. The similar attenuation rates of ground motions from small and large events indicate that propagation rather than source effects dominate the fault zone's impact on the ground motions.

The shallow nature of fault damage zones may prevent extensive supershear rup-371 ture due to the overlap with the transition of the frictional regime. Observed coherent 372 fault zone waveguides, capable of generating fault zone trapped waves, typically extend 373 to depths of only 3–5 km (e.g., Ben-Zion et al., 2003; Lewis et al., 2005; Lewis & Ben-374 Zion, 2010; Qiu et al., 2021). Evidence for shallow velocity-strengthening portions (Kaneko 375 et al., 2008) of strike-slip fault systems include the lack of shallow seismicity (e.g., P. Shearer 376 et al., 2005; Peng & Zhao, 2009), the coseismic shallow slip deficit (e.g., Fialko et al., 2005), 377 and shallow afterslip following large earthquakes (e.g., Hsu et al., 2006; Barbot et al., 378 2012). Laboratory experiments and in-situ observations associate this shallow velocity-379 strengthening zone with unconsolidated sediments and fault gouge (e.g., C. J. Marone 380 et al., 1991; Chester et al., 1993; Beeler et al., 1996; C. Marone, 1998; Lockner et al., 2011). 381 The dynamic rupture models indicate that such a shallow transition to velocity-strengthening 382 friction inhibits sustained supershear rupture within the fault zone. This suggests that 383 fault zone-induced supershear transitions may be less common than predicted by numer-384 ical studies assuming constant friction parameters (Huang et al., 2014, 2016). Nonethe-385 less, the FZ model produces supershear rupture speeds in a thin corridor close to the free 386 surface at the southeastern end of the mainshock rupture, even within the velocity-strengthening 387 regime (Fig. 7). Loading and unloading waves reflected from 3D material heterogeneities 388 can further complicate supershear transitions, even when the heterogeneities occur at 389 some distance from the fault surface (Ma & Elbanna, 2015). 390

³⁹¹ Dynamic stress perturbations due to fault zone waves can be crucial for dynam-³⁹² ically activating secondary faults. We find (Fig. 5d) that fault zone waves dynamically ³⁹³ trigger substantial rupture along F4 while assuming identical frictional conditions for F3

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and F4. The additional triggered slip aligns with Ridgecrest mainshock observations that indicate that F4 experienced anelastic damage, surface rupture, and localized aftershock activity (Ross et al., 2019; Milliner & Donnellan, 2020).

We assume a coherent pre-existing fault damage zone, which might be exaggerated 397 compared to natural conditions. However, low-velocity zones around faults generated by 398 previous ruptures remain for a long time (e.g., Rovelli et al., 2002), suggesting that fault 399 damage zones likely existed around the main ruptures prior to the Ridgecrest sequence. 400 The limited data near the rupture zones, where the fault zone effects are most pronounced, 401 prevents us from performing a detailed comparison of simulation results to observations 402 and highlights the need for additional near-fault sensors (e.g., Ben-Zion, 2019). The small-403 scale variability introduced by the fault zone structure further highlights the need for 404 dense recordings to quantify the source and near-source effects. 405

406 5 Conclusions

We analyze the effects of a shallow flower-shaped fault zone in linked dynamic rup-407 ture models of the 2019 Ridgecrest sequence on the generated ground motions and rup-408 ture dynamics. The combination of the fault zone's near-source wavefield distortion and 409 rupture dynamic changes considerably affects ground motions locally and beyond dis-410 tances of 100 km. The impact on ground motions is frequency- and magnitude-dependent, 411 which is related to the difference between an event's corner frequency and eigenfrequen-412 cies of the fault zone structure. The shallow fault zone geometry and the shallow tran-413 sition to velocity-strengthening friction confine fault zone-induced supershear rupture 414 to localized areas in the FZ dynamic rupture model. While v_s within the fault zone is 415 reduced by 30%, the rupture speed is reduced on average by 17%, reflecting the local in-416 crease of v_r/v_s . Peak slip rates within the fault zone exhibit the most extreme relative 417 change, with an average increase of 70% compared to the reference model. The shallow 418 fault zone amplifies surface-reflected rupture fronts, enabling a secondary surface-reflected 419 rupture front of the Ridgecrest mainshock FZ model to re-rupture the base of the seis-420 mogenic zone. The reduced rigidity leads to lower seismic moments for both the FZ fore-421 shock and mainshock models, despite an increase in seismic potency, which is more fun-422 damentally related to seismic radiation. These results highlight the importance of near-423 source velocity structure for local and regional-scale ground motion modeling and physics-424 based seismic hazard assessments. 425

426 Open Research Section

- The open-source dynamic rupture software SeisSol is available at https://seissol 427 .org/. The setup to run the reference Ridgecrest model is available at Zenodo (Taufiqurrahman, 428 Gabriel, Li, et al., 2022a), as well as the associated SeisSol version (Taufiqurrahman, Gabriel, 429 Li, et al., 2022b), and the material files to incorporate the low-velocity fault zone (Schliwa, 430 2024). We compute ground motion parameters with the following script: https://github 431 .com/SeisSol/SeisSol/tree/master/postprocessing/science/GroundMotionParametersMaps. 432 All seismic data were accessed through the IRIS Wilber 3 system (https://ds.iris.edu/ 433 wilber3/) from the Southern California Seismic Network (CI, California Institute of Tech-434 nology and United States Geological Survey Pasadena, 1926). The Python package Ob-435
- sPy was used to remove the instrument response (Krischer et al., 2015).

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Shallow fault zone structure affects rupture dynamics and ground motions of the 2019 Ridgecrest sequence to regional distances

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This document contains supplemental material to augment the main manuscript.

Contents of this file

- 1. Text S1 to S2 $\,$
- 2. Figures S1 to S11
- 3. Tables S1

Additional Supporting Information (Files uploaded separately)

1. Captions for Movies S1 to S2

Text S1: Dynamic rupture simulation code

Dynamic rupture simulations are performed with the open-source software SeisSol (see Open research). SeisSol implements the arbitrary high-order accurate derivative discontinuous Galerkin (ADER-DG) method on unstructured tetrahedral elements (Dumbser & Käser, 2006; de la Puente et al., 2009), and is verified through numerous dynamic rupture benchmark problems (Pelties et al., 2014; Harris et al., 2018). SeisSol demonstrates high computational efficiency scaling up to full supercomputers using models with several billions degrees of freedom, achieving a significant fraction of the theoretical peak performance (Breuer et al., 2014; Uphoff et al., 2017; Krenz et al., 2021), and supports elastic, viscoelastic, viscoplastic, anisotropic, and poroelastic rheologies (Uphoff & Bader, 2016; Wollherr et al., 2018; Wolf et al., 2020, 2022).

Text S2: Computational mesh and model resolution

The fault zone and reference model share the same computational mesh (Taufiqurrahman et al., 2023). The mesh comprises 27.2 million elements and spans a domain of 200 km × 200 km horizontally and 100 km vertically, utilizing the WGS84/UTM Mercator 11S projection. The spatially adaptive mesh resolution is set to an element edge length of 500 m at the free surface and 75 m near faults to resolve the fault zone geometry and rupture dynamics. High-resolution topography from the Shuttle Radar Topography Mission (Farr et al., 2007) is incorporated. The simulations employ high-order basis functions of polynomial order 4, leading to a fifth-order space-time accurate numerical scheme. The chosen discretization resolves the wavefield up to at least 2 Hz in the near-source region and at least 1 Hz in our study region expanding to distances up to 140 km away from the Ridgecrest fault system. Fig. S3 and S4 show the synthetic and observed frequency content of five near-source stations.

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Movie S1: Fault zone model mainshock ruture

Two perspectives on the slip rate evolution of the fault zone Ridgecrest mainshock dynamic rupture model: https://drive.google.com/file/d/1aGY8Hnc_sli6_aGj-FL_IGEEtZhREGpN/view?usp=sharing

Movie S2: Fault zone model foreshock and mainshock ruptures with surface ground motions

Accumulated slip evolution and surface ground motions of the foreshock and mainshock of the Ridgecrest sequence dynamic rupture models, including the fault zone. The movie displays two perspectives. https://drive.google.com/file/d/1VMtliDMPHQjw _2JfT3TO-QPjwxBygQHu/view?usp=sharing SCHLIWA ET AL.: RIDGECREST FAULT ZONE EFFECTS



Figure S1. Slip rate snapshots of the Searles Valley foreshock and Ridgecrest mainshock dynamic rupture reference models (Taufiqurrahman et al., 2023) adapted from Fig. 3c in Schliwa and Gabriel (2023).



Figure S2. Depth profile of the a and b rate-and-state friction parameters (adapted from Figure S4 in Taufiqurrahman et al., 2023)



Figure S3. Comparison of the displacement spectra of the reference and FZ Searles Valley foreshock dynamic rupture models with observations from five near-source stations. Spectra are not normalized and represent physical units. The text boxes indicate the respective station codes. Station locations are shown in Fig. 1.



Figure S4. Comparison of the displacement spectra of the reference and FZ Ridgecrest mainshock dynamic rupture models with observations from five near-source stations. Spectra are not normalized and represent physical units. The text boxes indicate the respective station codes. Station locations are shown in Fig. 1.



Figure S5. Regional peak ground velocity distribution of the (a) Searles Valley foreshock reference dynamic rupture model. (b) Searles Valley foreshock dynamic rupture FZ model. (c) Ridgecrest mainshock reference dynamic rupture model. (d) Ridgecrest mainshock dynamic rupture FZ model. The shown peak ground velocities represent rotationally independent geometric means (GMRotD50, Boore et al., 2006). Insets show USGS intensity shakemaps (Wald et al., 2022) of the corresponding events.



Figure S6. (a) Potency and (b) moment release rates of the Searles Valley foreshock dynamic rupture models with and without a fault damage zone.

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Figure S7. Dynamic rupture parameters of the Searles Valley foreshock FZ model and the differences to the reference model: (a) Absolute slip. (b) Absolute slip difference. (c) Peak slip rate. (d) Peak slip rate difference. (e) Rise time. (f) Rise time difference. The southern part of the fault system is cut out to improve visibility of the foreshock rupture.



Figure S8. (a) Rupture speed distribution of the Searles Valley foreshock FZ model. (b) Rupture speed difference between the FZ and reference model of the Searles Valley foreshock. (c) Ratio between rupture speed and local S-wave velocity (v_r/v_s) of the FZ foreshock model. The colorbar is saturated to highlight supershear rupture. The southern part of the fault system is cut out to improve visibility of the foreshock rupture.



Figure S9. (a) Two perspectives of the fault zone model's peak slip rate distribution of the Ridgecrest mainshock. (b) Two perspectives of the peak slip rate difference $(PSR_{fz} - PSR_{ref})$ between the fault zone model and the reference model of the Ridgecrest mainshock.



Figure S10. Spatial correlation matrices of kinematic rupture parameters of the different dynamic rupture models of the 2019 Ridgecrest sequence, following (Schmedes et al., 2010). Correlation coefficients are computed from fault elements where the accumulated slip exceeds 0.01 m. We normalize the rupture speed by the fault-local S-wave velocity. Rise time is defined by the period the absolute slip rate exceeds 0.1 m/s.



Figure S11. (a) Two perspectives of the rise time distribution of the Ridgecrest mainshock dynamic rupture model, including the fault damage zone. Rise time is defined by the period the absolute slip rate exceeds 0.1 m/s. (b) Two perspectives of the rise time difference $(t_{r,fz} - t_{r,ref})$ between the fault damage zone model and the reference model of the Ridgecrest mainshock.

 Table S1.
 Fast velocity-weakening rate-and-state friction parameters of the 2019 Ridgecrest

Parameter	Symbol	Value
Direct-effect parameter	a	0.01-0.02
Evolution-effect parameter	b	0.014
Reference slip rate	V_0	10^{-6} m/s
Steady-state low-velocity friction coefficient at the slip rate V_0	f_0	0.6
Characteristic slip distance of the state evolution	L	0.2
Full weakened friction coefficient	$f_{\rm w}$	0.1
Initial slip rate	$V_{ m ini}$	10^{-16} m/s
Weakened slip rate	$V_{ m w}$	$0.1 \mathrm{m/s}$

sequence dynamic rupture models (Table S2 in Taufiqurrahman et al., 2023).

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