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9	On the Chronology and Development of Europe's Highest Aeolian
10	Landform: The Sarykum Dune Complex in the North Caspian Region
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23	Abstract
24	The highest aeolian landform in Europe, the Sarykum dune complex, is located in the
25	southwestern Caspian Depression near the Caucasus Mountains. Despite its prominence and

2 accessibility, its morphology and evolution have been previously poorly understood. In this 26 27 study we investigate the dune complex through a synthesis of geomorphological, geological, 28 and geochronological methods. Our findings link its development to climatic aridification 29 driven major regression phases of the Caspian Sea during the Late Pleistocene-Holocene. 30 Optical stimulated luminescence dating indicates that dune formation began at least 70 ka 31 during the Atelian regression, with reactivation around 12-8 ka during the Mangyshlak 32 regression. By the early Holocene, the complex had nearly reached its modern height. The 33 Shura-Ozen' River, which divides the dune complex in two major segments, played a 34 significant role in the complex's evolution, influencing aeolian sediment transport and trapping 35 material on its banks, particularly on the left bank, where the highest Central Massif is located. 36 Extensive vegetation now stabilizes most of the complex, except for active ridges in the Central 37 Massif. A numerical analysis of wind potential for dune migration, based on meteorological 38 data spanning the past 55 years, shows that sand movement is primarily driven by self-39 sustaining NW-SE wind fluctuations. Paleowind analysis suggests that similar long-term wind 40 patterns have persisted since the onset of aeolian deposition during the Atelian phase. Our 41 multidisciplinary study sheds new light on the Sarykum dune complex's history and highlights

42 its significance as a dynamic archive of climatic and geomorphic processes in the Caspian43 region.

44 **1. Introduction** 

45 Fluctuations in the Caspian Sea level and associated climatic changes have played a 46 significant role in the desertification of coastal regions during the Late Pleistocene-Holocene 47 (e.g., Leroy et al., 2021; Volozh et al., 2022). Understanding the temporal and spatial 48 conditions of these past climatic events is essential for predicting and modeling the future 49 development of landscapes and the environment in the Caspian region, particularly in light of 50 ongoing global climate changes (e.g., Koriche et al., 2021a, 2021b). This is especially 51 important for the Caspian Depression, a vast, low-lying area in the North Caspian region (Fig. 52 1), which is particularly sensitive to even subtle sea level fluctuations in the Caspian Sea. 53 Although evidence of these climatic events is often preserved in the sedimentary record of the 54 North Caspian region, their geological interpretations have often been subject to debate due to 55 conflicting sedimentological and geochronological results (e.g., Fedorov, 1957, 1978; Popov, 56 1983; Rychagov, 1997; Svitoch and Yanina, 1997; Svitoch and Klyuvitkina, 2006; Yanina, 57 2009; Richards et al., 2014; Badyukova, 2021; Költringer et al., 2021; Zastrozhnov et al., 2021, 58 2024).

59 Located at a greater distance from modern deserts (Fig. 1a), the Sarykum dune complex 60 represents a unique example of a large isolated aeolian landform, which may serve as a 61 geological reference object for studying desertification processes in the North Caspian region 62 throughout the Late Pleistocene-Holocene. The Sarykum dune complex is the highest aeolian 63 landform in Europe and one of the highest in Eurasia (e.g., Gusarov, 2016; Balykova and 64 Andreeva, 2023). Situated in the southwest part of the Caspian Depression, near its junction with the Caucasus Mountains (Figs. 1, 2, 3), the complex lies within a dynamic environmental 65 66 setting where aeolian, marine, fluvial, and tectonic processes closely interact. The formation 67 and development of the Sarykum dune complex were hypothesized to be associated with Late 68 Pleistocene-Holocene epochs of aridization and humidification, closely tied to significant 69 fluctuations in the Caspian Sea level (Idrisov, 2010).

Despite first being scientifically described more than a century ago (Barbot de Marny, 1894; Maiorov, 1927), the Sarykum dune complex remained poorly studied until recent decades, when new factual material on its morphology, structure, and sedimentology has been published (e.g., Idrisov, 2010; Gusarov, 2014, 2015, 2016; Gusarov et al., 2016; Matsapulin et al., 2013, 2021; Balykova and Andreeva, 2023). Nonetheless, the chronology of development 75 of the complex remains missing, preventing a reliable correlation with regional climatic events. 76 An ongoing debate persists regarding the origin and source of the sand material comprising the 77 complex (e.g., Idrisov, 2010; Gusarov, 2015). Principal proposed hypotheses include: (1) 78 aeolian erosion and redeposition of pre-Quaternary sandstones from the neighboring mountain 79 ranges (e.g., Barbot de Marny, 1894; Idrisov, 2010); (2) aeolian redeposition of the marine deposits after the Caspian Sea regression (Maiorov, 1927); (3) reworking of thick alluvial fans 80 81 originating from neighboring mountain ranges (e.g., Gusarov, 2014, 2016); (4) interaction of 82 aeolian and volcanogenic processes (Matsapulin et al., 2013, 2021).



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Figure 1. (A) Satellite image of the Ponto-Caspian region showing the location of the study
area. (B) Satellite image of the northeastern Caucasus region highlighting the position of the Sarykum
dune complex (marked with a red star). Basemap: ArcGIS Imagery. (C) Drone view of the Sarykum
dune complex, with the Central Massif in the foreground and the Shura-Ozen' River valley, Khazarian
marine terrace, and Narat-Tyube Ridge in the background. View from the NW.

89

90 The primary objectives of our study were to establish a chronology for the Sarykum 91 dune complex using optically stimulated luminescence (OSL) dating and to correlate it with regional climatic events; to analyze sedimentary structures to infer paleowind directions and
compare them with the modern wind regime; to evaluate the findings in the context of existing
hypotheses; and to integrate these insights into a comprehensive formation model.

95

# 2. Geological framework

96 The Sarykum dune complex is located in the Republic of Dagestan, Russian Federation, 97 approximately 18 km northwest of its administrative center, the city of Makhachkala. Positioned at the southwest tip of the Caspian Depression at the border with the Narat-Tyube 98 99 Ridge of the Caucasus Mountains (Figs. 1b-c, 2, 3), the complex comprises of a NW oriented field of primarily stabilized sand dunes. The complex is divided into two parts, Western and 100 Eastern Sarykum, by the valley of the Shura-Ozen' River. The Shura-Ozen' River cuts through 101 the middle part of the Narat-Tyube Ridge and descends to the plain of the Caspian Depression 102 (Fig. 2). In the western and largest part of the Sarykum dune complex, there is a central sand 103 104 massif (Central Massif) located right at the riverbank, which is the dominant topographic 105 feature of the complex, rising to 170 meters above the base of the complex and reaching an 106 absolute height of approximately 240 m a.s.l. (Figs. 1c, 4).



Figure 2. Simplified geological map of the study area (modified after Enna et al., in press),
showing the main pre-Quaternary and Quaternary stratigraphic units. The regional extent of this map is
indicated in Figure 1b.

111

112 The Narat-Tyube Ridge, also referred to as the Narat-Tyube Monocline, is a component 113 of the Terek-Caspian Foreland Basin. This basin formed as a result of flexural subsidence 114 induced by the alpine orogeny of the Greater Caucasus since the Oligocene. The created an 115 accommodation space was filled by a thick pile of sediments, with the lower part of the 116 succession predominantly composed of shales of the Oligocene to Lower Miocene Maikop 117 Group, and the upper part consisting of Middle Miocene to early Quaternary continental molasse. It has also experienced several phases of late Cenozoic compressional reactivations 118 119 (e.g., Sobornov, 2021 and references therein).

120 The Narat-Tyube Ridge exposes Middle Miocene (Chokrak-Karagan horizons) 121 deposits, primarily composed of quartz, weakly cemented sandstones, along with subordinated 122 clays and marls (Enna et al., in press). Further north towards the lowland, these Middle 123 Miocene formations are overlain by Middle to Upper Miocene clays of the Sarmatian horizon. 124 The clays of the Sarmatian horizon form the base of the geological section that is outcropped 125 along the banks of the Shura-Ozen' River within the study area.

126 Throughout the Pliocene-Quaternary, the Caspian Depression experienced several 127 major epochs of Caspian Sea transgressions and regressions. However, only the sedimentary 128 interval from the Middle-Late Pleistocene to the Holocene is preserved above the Sarmatian 129 clays in the study area. The Sarmatian clays are overlain by marine clayey sands and alluvial-130 marine coarse gravels, which are believed to have accumulated during the Khazarian 131 transgression of the Caspian Sea (e.g., Fedorov, 1957), which occurred during Marine Isotope 132 Stages 10 to 5 in the Middle-Late Pleistocene. These deposits constitute a body of marine 133 terrace at an altitude of ca. +80-70 m a.s.l., which encircles the foothill of the Narat-Tyube 134 Ridge (Fig. 2). However, it is suggested that the maximum level of the Khazarian transgression 135 was significantly lower, around +35 m a.s.l., and that the modern elevation of this terrace is the 136 result of neotectonic uplift in the region (Idrisov, 2013). The Sarykum dune complex sits on 137 top of this terrace (Fig. 2), indicating that the formation of the complex started no earlier than 138 80 ka (Idrisov, 2010).





Figure 3. Satellite image of the Sarykum dune complex and the adjoining part of the NaratTyube Ridge. Basemap: ArcGIS Imagery. Main studied sites: CMB – blowout in the Central Massif;
EQ – eastern quarry; SS –outcrop exposing substratum deposits on the right bank of the Shura-Ozen'
River; WQ – western quarry (see Table 1 and section 4.2).

144

# 145 **3. Data and methods**

#### 146

# 3.1. Field data: drone survey and sedimentary structure analysis

In August 2022, we conducted geological field work within the Sarykum dune complex. Using a DJI Phantom 4 Pro+ drone, an aerial survey of the entire dune complex was performed (Figs. 3, 4). The drone was manually operated at an average height of 150 meters above ground level, capturing over 2100 photos with nadir-oriented cameras. These photos underwent processing in Agisoft Metashape software (Agisoft, 2022), following the standardized workflow established by the USGS (Over et al., 2021). This process resulted in the generation of a Digital Surface Model (DSM) and orthophotomosaic with resolution of 27 cm/pixel and 14 cm/pixel, respectively. The DSM and orthophotomosaic cover an overall area of 29 km<sup>2</sup>, 155 with the dune complex occupying approximately 20 km<sup>2</sup> (Fig. 3). During the fieldwork, we 156 opted not to use ground control points for efficiency. The georeferencing of the DSM and 157 orthophotomosaic was subsequently quality-checked using global terrain models such as 158 SRTM and Copernicus, ensuring its suitability for the morphological analysis conducted in our 159 study.

An aerial survey was conducted along a quarry located in the eastern part of the dune complex to generate a high-resolution photorealistic Digital Outcrop Model (DOM). A total of 63 photos were processed in Agisoft Metashape to create a DOM of the quarry with a resolution of 1 cm/pixel. The DOM was then utilized to describe the internal structure of the aeolian succession and to perform geological measurements, including the thickness of the units and bedding orientations. The geological measurements and interpretation of the DSM and DOM were done in Agisoft Metashape (Agisoft, 2022) and LIME software (Buckley et al., 2019).

167 To determine the sediment transport direction, we measured cross-bedding series 168 orientations in key localities of the dune complex (Table 1). These localities included quarries 169 in the western and eastern parts of the complex, as well as a blowout at the top of the Central 170 Massif (Fig. 3).

171 Table 1. Position and coordinates of the main studied localities within the Sarykum dune172 complex.

Locality	Position	Lat	Lon		
Substratum sediments (SS)	Right bank of the Shura-Ozen' River, 1.3 km NW of the eastern quarry	43° 1' 16" N	47° 16' 27" E.		
Eastern Quarry (EQ)	NW corner of the Eastern Sarykum; NE part of the quarry, N-NW-facing wall	43° 0' 30.9" N	47° 16' 14.8" E		
Western Quarry (WQ)	Western part of the Western Sarykum, 3.5 km NW of the Central Massif	43° 1' 49.9" N	47° 11' 59.5" E		
Blowout in the Central Massif (CMB)	Small blowout next to the touristic viewpoint, where 4-m thick aeolian succession is outcropped	43° 0' 32.6" N	47° 14' 7.9" E		

173

### **3.2. OSL dating**

We collected 14 samples for OSL dating: 3 samples from the substratum sediments on
the right bank of the Shura-Ozen' River, 7 samples from aeolian deposits in the eastern quarry

177 and 4 samples in the blowout near the top of the Central Massif. The samples were collected 178 by hammering steel and plastic tubes (~5\*30 cm) into freshly-cleaned sections, and they were 179 subsequently sealed to preserve moisture before being transported to the laboratory. Sample 180 preparation and OSL dating were conducted at the OSL laboratory of the Russian Geological 181 Research Institute.

182 All samples were prepared by standard methods described by Wintle (1997) under the 183 red light. Quartz equivalent dose (D<sub>e</sub>) measurements were done using a Risø TL/OSL Reader 184 DA-20 C/D with the Single Grain OSL attachment module following the single aliquot 185 regeneration (SAR) protocol (Murray and Wintle, 2003) in small aliquots (2 mm) mounted on stainless steel discs. To isolate fast component of quartz OSL signal for equivalent dose 186 187 estimation was summed over the initial 0.32 s with the early background subtraction. The suitability of the SAR protocol was tested using a dose recovery test for several samples for 188 189 three aliquots of quartz. De values are given as arithmetic average.

190 Moreover, single grain K-feldspar post-IR IRSL dating (Thiel et al., 2011) using 191 pIR<sub>50</sub>IR<sub>170</sub> and pIR<sub>50</sub>IR<sub>290</sub> signals were applied to one of the youngest (RGI-1105) and oldest 192 (RGI-1112) samples respectively. Equivalent dose was determined for individual grains each 193 spaced in a sample hole on standard aluminum single-grain disc. Single grain measured signal 194 was summed over the initial 0.17 s of the decay curve and subtracting the signal from a late 195 background, taken from 0.34 s. Anomalous fading tests were made for each of two samples 196 and show average g-value 1.0±1.5 %/decade for RGI-1105 and 0.5±0.8 %/decade for RGI-197 1112. D<sub>e</sub> values are given as arithmetic mean with no fading correction.

Activities of 226Ra, 232Th and 40K were determined using high-resolution gamma spectrometer with a high purity germanium (HPGe) detector Canberra BE3825 after sealing each sample with wax and storing it for a minimum of 20 days to allow for radon reequilibrium. Dose rates were calculated using the conversion factor from Guérin et al. (2011) and dose cosmic rates according to Prescott and Hutton (1994).

203 **3.3.** V

### **3.3. Wind data analysis**

Wind data specific to the Sarykum dune complex is unavailable; therefore, we utilized wind direction and speed data from the nearest meteorological station in Makhachkala. This data, covering 1966 to 2023, was obtained from the "Russian Research Institute of Hydrometeorological Information - World Data Center" through an online database (Bulygina et al., 2014). Observations were recorded at standard synoptic times in 3-hour intervals, with wind speeds analyzed in meters per second (m/s). 210 Despite much of the Sarykum dune complex currently being vegetated and therefore 211 resistant to aeolian reworking, we applied the method proposed by Fryberger and Dean (1979) 212 to evaluate the magnitude and direction of potential aeolian sediment transport under the recent 213 wind regime and to compare it with possible paleowind regimes. Here, we reformulate the 214 classical description of the method using the vector approach and adopt the possibility of 215 method applications to subsets of measurements. Each *j*-th wind measure (wind vector  $\vec{V}_i$ ) 216 characterized by certain direction and speed  $V_i$ ) is converted to elementary drift potential which 217 is a vector

218 
$$\overrightarrow{DP_j} = \begin{cases} \overrightarrow{V_j} \cdot V_j (V_j - V^*), & V_j > V^* \\ 0, & V_j \le V^* \end{cases}$$
(1)

This vector is codirected with the wind vector  $\vec{V}_i$  and has length  $|\vec{DP}_i|$  (termed hereafter 219 as  $DP_i$ ). The threshold wind velocity (V\*) is the minimum wind speed at 10-m height required 220 221 to initiate sand movement. In our calculations, we applied a threshold velocity of 6.5 m/s, which 222 is sufficient to mobilize sand grains of 0.35-0.4 mm in diameter (Bagnold, 1941), aligning with 223 the typical grain size of the Sarykum sands (Gusarov, 2016). Notably, only 13.5% of all wind 224 measurements exceed 6.5 m/s, resulting in non-zero  $DP_i$  values. We also checked values between 4 and 9 m/s for  $V^*$  to ensure that further conclusions are independent of chosen 225 226 criterion.

The Drift Potential (DP) as defined by Fryberger and Dean (1979) can be assigned as a weighted average of lengths of elementary drift potentials (eq. 1) with weights equal to the fraction time of each individual measurement. The data used here has equal time spacing and thus we adopt a simple averaging of a set A of N measurements:

231 
$$DP = \frac{1}{N} \sum_{j \in A} DP_j$$
(2)

Traditionally, the *DP* is based on the set *A* equal to the total set of observations (and *N*=168959). In this study, to understand a dynamics of wind forcing, we also calculate *DP* for subsets of measurements. For example, eq. 2 for subset "year 1966" uses  $A=\{1, 2,..., 2921\}$ and *N*=2921. This approach can also help analyze seasonal variations, calculating and comparing *DP* for certain groups of months for the entire observation time.

Based on studies of various deserts, Fryberger and Dean (1979) proposed a classification of wind-energy environments according to *DP* values. While the original classification used wind velocities measured in knots, here we apply the recalibration based on wind velocities measured in m/s (Bullard, 1997). In this adjusted classification, a low-energy environment is characterized by *DP* values below 27, an intermediate-energy environment by *DP* values of 27-54, and a high-energy environment by *DP* values exceeding 54.

- In addition to scalar average (eq. 2) we introduce vector average of elementary drift potential (eq. 1). We term it as a vector Resultant Drift Potential ( $\overrightarrow{RDP}$ ):
- 245  $\overline{RDP} = \frac{1}{N} \sum_{j \in A} \overline{DP}_j$ (3)

Following Fryberger and Dean (1979) parameters Resultant Drift Potential (*RDP*) and Resultant Drift Direction (*RDD*) are the length and direction of vector  $\overrightarrow{RDP}$  respectively. Note the importance of direction of  $\overrightarrow{DP_j}$  in eq. 3. For example, two elementary drift potentials of unit length and opposite directions result in scalar average (eq. 2) DP=1, while eq. 3 results in a vector of zero length.

251 Similar to eq. 2, eq. 3 can use the total set of measurements as well as a desired subset 252 of those. The accurate vector summation in eq. 3 avoids the biases associated with bin-253 distribution methods, as it directly incorporates each individual data point without preordering 254 (cf., Pearce and Walker, 2005).

- The *RDP/DP* ratio reflects the directional consistency of the wind regime. *RDP/DP* close to 1 indicates unidirectional winds, while values approaching zero suggest winds from multiple directions, as the *RDP* becomes low (Fryberger and Dean, 1979).
- 258

261

# **4. Results**

260

### 4.1.1. Eastern Sarykum

4.1. General structure and morphology

262 The eastern part of the complex is lower compared to the western part, with the lowest 263 altitude around 65 m a.s.l. in the easternmost part of the complex (Fig. 4). The height of the 264 Eastern Sarykum increases towards the Shura-Ozen' valley, reaching around 100-110 a.s.l. 265 near the eastern quarry (Fig. 4). The current structure and morphology of the entire Sarykum dune complex is influenced by its vegetation cover (Fig. 1c), which stabilizes sand 266 267 transportation. The Eastern Sarykum displays a "pockmarked" type of topography, as defined by Barchyn and Hugenholtz (2013), where many blowouts develop on pre-existing sand 268 269 deposits, but their advancement is quickly stabilized by vegetation and does not lead to the 270 formation of pronounced parabolic dunes.

On the periphery of the Eastern Sarykum, both saucer- and trough-shaped blowouts typically occur, oriented in a NW-SE direction, with depositional lobes locally recognizable on either their NW or SE sides, or on both sides (Fig. 5a). The blowouts are typically 30 to 80 meters long (locally over 150 meters), 20 to 50 meters wide, and 3 to 7 meters deep.



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Figure 4. (A) High-resolution Digital Surface Model of the Sarykum dune complex. Rose diagrams indicate the dip directions of cross-sets measured in aeolian deposits at the main studied sites: CMB – blowout in the Central Massif; EQ – eastern quarry; WQ – western quarry. The extent of the model is shown in Figure 3. (B) Elevation profile A-B across the Sarykum dune complex, highlighting its main morphological segments. See the location of the profile in Figure 4A.

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The highest and thickest part of the Eastern Sarykum displays a much more complex and ridged morphology. These ridges represent a complex network of erosional walls of blowouts, which also tend to orient in a NW-SE direction (Fig. 5b). There are also minor unvegetated patches where local deflation forms active blowouts and short-distance sand transportation can still occur. Additionally, the active quarry area in the eastern part of the complex is prone to aeolian reworking, which redeposits sand material primarily along the quarry walls.

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## 4.1.2. Western Sarykum and Central Massif

The western part forms the highest section of the dune complex, with the Central Massif reaching around 240 m a.s.l. at its peak (Fig. 4). The lowest, northern segment of the Western Sarykum, off the Central Massif, lies at an altitude of 80-90 m a.s.l. Towards the Narat-Tyube Ridge and Central Massif, the altitude of the complex increases, with an average height of around 120-160 m a.s.l. (Fig. 4a)

295 Off the Central Massif, the structure and morphology of the Western Sarykum is similar 296 to that of the Eastern Sarykum, displaying a "pockmarked" topography with many blowouts 297 (Fig. 5c). In contrast to the Eastern Sarykum, a significant part of the blowouts in the Western 298 Sarykum are currently unvegetated and reactivated by wind erosion. Both active and stabilized 299 blowouts are saucer- and trough-shaped, oriented in a NW-SE direction. They are typically 30 300 to 60 meters long, 10 to 40 meters wide, and 2 to 7 meters deep. In the westernmost part, some 301 of the blowouts can exceed 200 meters in length and 90 meters in width. Depositional lobes 302 are developed on both NW and SE sides; however, in active blowouts, they are better developed 303 on the SE sides. In large blowouts in the westernmost part, depositional lobes may evolve into 304 prominent sand sheets, which can prograde over 300 m in a SE direction, although the distal 305 portions of these sand sheets are partially vegetated. (Fig. 5d).

At the western and northern foot of the Central Massif, vegetation still prevents massive sand transportation, yet the morphology of the dune complex changes remarkably. Here, it is mainly represented by weakly pronounced relict sand ridges, which are oriented in NE-SW direction and associated with rake-like en-echelon blowouts (Fig. 5e). These blowouts are dominantly saucer-shaped, typically 20 to 40 meters long, 15 to 30 meters wide, and 3 to 7 meters deep, with long axes oriented in a NW-SE direction and depositional lobes primarily developed on the SE sides.



313

314 Figure 5. Fragments of the high-resolution orthophotomosaic illustrating the main 315 morphological features of the Sarykum dune complex: (A) NW-SE oriented blowouts (b) and 316 depositional lobes (dl) on their sides in the Eastern Sarykum; (B) ridged morphology of the Eastern 317 Sarykum, featuring a complex network of erosional walls within blowouts; (C) "pockmarked" 318 topography of the Western Sarykum, with many partly reactivated NW-SE oriented blowouts; (D) large 319 blowouts (b) in the westernmost part of the Western Sarykum, forming sand sheets on their SE sides; 320 (E) fragment of the western part of the Central Massif, showing relict dune ridges (highlighted by yellow 321 dashed lines) modified by rake-like en-echelon blowouts (marked by black lines); (F) central part of the 322 Central Massif, with large active ridges (indicated by dashed yellow lines) and vegetated climbing dunes

developed on the southeastern slope. The position of the studied blowout in the Central Massif is shown.The locations of these figures are shown in Figure 4a.

325

326 Towards the highest part of the Central Massif, the absence of vegetation cover 327 promotes the formation of active sand ridges oriented in both N-S and NE-SW directions (Fig. 328 5f). Most ridges measure between 150 and 350 meters in length, but the largest ridge, which 329 forms the highest point of the complex, stretches 1.1 km in length. This ridge exhibits a sinuous 330 geometry, with its southern segment extending 450 m in a N-S direction, while the northern 331 segment runs in a NE-SW direction (Fig. 5f). As of August 2022, the eastern and southeastern 332 slopes of the ridge are approximately 20 degrees, while the western and northwestern slopes 333 are slightly steeper, at around 30 degrees.

The southeastern slope of the Central Massif, inclined at approximately 15 to 20 degrees, features a distinctive network of climbing dunes. These dunes include compound, imbricated parabolic forms that accrete obliquely to the slope in a N to NE direction (Fig. 5f). They are currently stabilized, with both crests and lee sides being vegetated.

338

### 4.2. Internal structure and age of sediments

### 339 *4.1.1. Substratum*

340 The substratum deposits, which underlie the Sarykum dune complex and form the body of the marine terrace, are most clearly exposed in the study area in the outcrops along the right 341 342 bank of the Shura-Ozen' River. The stratigraphy begins with Sarmatian (Middle-Upper 343 Miocene) black clays (Fig. 6), which are slightly deformed and dip toward the N-NE at an 344 angle of 25–30 degrees. These clays have a visible thickness exceeding 20 meters. The top of 345 the Sarmatian clays represents a clear angular unconformity with a stratigraphic gap of over 5 346 myr, which separates them from the overlying Middle-Late Pleistocene Khazarian sediments. 347 The base of the Khazarian sediments lies here at approximately 65-80 m a.s.l. They consist of alternating layers of sands and clayey sands, rich in marine mollusk shells of Khazarian age 348 349 and their fragments (e.g., Fedorov, 1957). Coarser pebble-gravel layers (1-1.5 meters thick) 350 become more prominent in the middle part of the layer. The overall thickness of the Khazarian 351 sediments (as defined by Fedorov, 1957) in this area is about 10-15 meters.



352

Figure 6. Lithostratigraphic summary of the outcrop on the right bank of the Shura-Ozen' River exposing substratum deposits (SS; stratigraphy after Fedorov, 1957) and the quarry exposing the overlying aeolian units in the Eastern Sarykum (EQ). Only quartz OSL ages are shown. The locations of the sites are shown in Figures 3 and 4a.

The section is topped by a prominent layer, which erosionally rests on the underlying sediments and consists of coarse-grained pebble-gravel deposits interlayered with sands and including rare boulders of sandstones (Figs. 6 and 7). The thickness of this layer is about 3-6 meters, reaching up to 8 meters in some areas. The sands of the Sarykum dune complex lie directly on top of it.

363 We collected OSL samples from the upper part of the substratum section in a small 364 outcrop on the right bank of the Shura-Ozen' River (Fig. 7b; Table 2), approximately 1.3 km NW of the eastern quarry (Fig. 3; Table 1). A sample taken from the middle of the 3-meter-365 366 thick layer of pebble-gravel deposits at the top of the terrace provided a quartz OSL age of 65±8 ka (RGI-1285). In the underlying layer, which consists of horizontally-bedded fine-367 368 grained sands with mollusk shell detritus, we obtained two quartz OSL dates: a sample collected 0.5 meters below the top of this layer was dated  $62\pm8$  ka (RGI-1284), while a sample 369 370 taken 5 meters below the top was dated  $63\pm8$  ka (RGI-1283).

371

			Specific activity (Bq/kg)				Q			KF				
Locality	Lab code	w.c. (%)	<sup>238</sup> U	<sup>226</sup> Ra	<sup>232</sup> Th	<sup>40</sup> K	na/nr	Dose rate (Gy/ka)	Equivalent dose (Gy)	Age (ka)	na/nr/ nall	Dose rate (Gy/ka)	Equivalent dose (Gy)	Age (ka)
Substratum	RGI-1283	20	20±4	20.7±0.4	18.4±0.3	317±15	8/5	1.46±0.05	93±10	63±8				
right bank of	RGI-1284	19	34±6	31.5±0.6	24.2±0.5	421±20	7/2	2.07±0.08	128±16	62±8				
Ozen' River (SS)	RGI-1285	11	39±6	21.8±0.4	13.3±0.3	201±10	9/0	1.39±0.05	90±10	65±8				
	RGI-1105	17	12±2	10.5±0.2	8.7±0.2	143±7	9/1	0.83±0.03	56±4	68±6	6/18 /300	1.69±0.18	104±10	62±9
	RGI-1106	18	13±2	10.6±0.2	9.1±0.2	159±8	9/3	$0.90 \pm 0.03$	58±5	64±6				
Eestern	RGI-1107	16	13±2	11.3±0.3	$9.8 \pm .02$	171±9	11/0	$0.99 \pm 0.04$	53±6	53±6				
Quarry	RGI-1108	18	10±2	9.7±0.2	8.4±0.2	145±8	9/1	0.86±0.03	59±4	69±5				
(EQ)	RGI-1109	16	7±1	5.4±0.1	3.6±0.1	42±3	7/12	0.39±0.01	4.6±0.3	11.9±0.9				
	RGI-1110	16	5±1	6.0±0.2	5.0±0.1	95±5	2/11	$0.58 \pm 0.02$	1.8±0.9	3.1±1.6				
	RGI-1111	17	6±1	$6.2\pm0.2$	5.6±0.1	111±6	5/0	0.63±0.03	-	-				
Blowout in	RGI-1112	16	7±1	5.8±0.2	3.6±0.1	40±3	9/4	0.43±0.02	3.4±0.2	7.9±0.6	29/1 /300	1.30±0.18	10.6±0.5	8.2±1.2
the Central	RGI-1113	17	$5\pm1$	$5.9\pm0.2$	3.8±0.1	46±6	7/6	$0.45 \pm 0.02$	3.9±0.3	8.7±0.8				
Massif (CMB)	RGI-1114	17	6±1	5.4±0.2	3.9±0.1	51±3	9/8	0.48±0.02	3.9±0.2	8.1±0.6				
	RGI-1115	17	6±1	5.4±0.2	3.7±0.1	43±3	8/8	$0.48 \pm 0.02$	3.4±0.2	7.0±0.5				

Table 2. Optically stimulated luminescence (OSL) ages for quartz (Q) and K-feldspar (KF).  $n_a$ ,  $n_r$  and  $n_{all}$  are the numbers of accepted for  $D_e$  estimation, rejected and all measured quartz aliquots or K-feldspar grains respectively.





Figure 7. Outcrop on the right bank of the Shura-Ozen' River exposing substratum deposits of the
Sarykum dune complex (SS): (A) general view of the site; (B) geological interpretation with quartz OSL
ages of the main units. The location of the site is shown in Figures 3 and 4a.

380

# 4.1.2. Aeolian succession

# 381 *4.1.2.1. Eastern quarry*

382 The quarry is situated in the northwestern corner of the Eastern Sarykum (Figs. 3, 4). Its 383 base lies at approximately 80-85 m a.s.l. Our study primarily focused on the N-NW facing wall in 384 the northeastern part of the quarry (Fig. 8), where a 25-meter-thick aeolian succession was exposed 385 (as of August 2022). The first 10 meters of the section (from the bottom), which we define here as 386 lower aeolian unit (Fig. 6), consist of yellowish, primarily medium to coarse-grained quartz sands 387 with layers of carbonate-cemented gravel to pebbles (up to 5 cm in size; Fig. 9b-c) and detrital of 388 mollusk shells. These sands feature large planar cross-bedded series, reaching up to 2-3 meters in 389 thickness (Fig. 9a). Within this interval, we obtained four quartz OSL-dates (Figs. 6, 8 and 9a; 390 Table 1), with ages (from bottom to top) 68±6 ka (RGI-1105), 64±6 ka (RGI-1106), 53±6 ka (RGI-

391 1107), 69±5 ka (RGI-1108). The next 7 meters above are obscured by sand debris (Fig. 8); 392 however, indistinct bedding planes of cemented gravel material (2-3 cm thick) are occasionally 393 visible within this interval, resembling the cross-bedding pattern observed below (Fig. 9d-e).

394 Above this covered section, fine to medium grained quartz sands are exposed, forming the 395 uppermost 5-7 meters of the section, which we define as upper aeolian unit (Figs. 6 and 9e). These 396 sands do not exhibit clear cross-bedding but include at least four distinct subhorizontal layers of 397 dark pedogenized sands enriched in organic matter, which serve as important stratigraphic markers 398 distributed throughout the quarry. The thickness of the two lower pedogenized layers varies from 399 60-80 cm to just a few centimeters, while the upper two layers remain consistently thin, at about 400 10-12 cm in thickness (Fig. 9e). We collected three OSL samples within this interval: one from 401 just 30 cm below the first pedogenized layer (layer ps-1), yielding a quartz age of 11.9±0.9 ka 402 (RGI-1109); another from the second most pronounced pedogenized layer (Fig. 9e; ps-2), with a 403 quartz age of 3.1±1.6 ka (RGI-1110); and a third sample (RGI-1111) 30 cm above the second 404 pedogenized layer, for which paleodose and age were not obtained, as there was no natural 405 luminescent signal from the quartz in the sample (Table 2).



406

407

Figure 8. Drone view of the northeastern part of the quarry in the Eastern Sarykum (EQ) and main 408 studied sections with quartz OSL ages. The orientation of the image is shown in the inset figure in the lower 409 left corner. The location of the site is shown in Figures 3 and 4a.

410

411 Throughout the entire eastern quarry, we made 83 measurements of cross-bedding 412 orientations within the lower aeolian unit, which features prominent cross-sets. These

- 413 measurements indicate a dominant dip direction towards the NW and W, with minor dip directions
- 414 towards the N and SW (Fig. 4a).



Figure 9. Main aeolian units and features of the eastern quarry (EQ): (A) lower aeolian unit with large cross-bedded series and obtained quartz OSL ages; (B) lenses of carbonate-cemented gravel in the lower aeolian unit; (C) layers with carbonate-cemented pebbles observed in the lower aeolian unit; (D) top of the section exposing the upper aeolian unit; (E) geological interpretation of the upper aeolian unit with quartz OSL ages; ps-1, ps-2, ps-3, ps-4 – layers with pedogenized sands. Details on the OSL data are given in Table 2. The location of the site is shown in Figures 3 and 4a.

422

## 423 *4.1.2.2. Western quarry*

The western quarry is situated in the western part of the Western Sarykum, 3.5 km NW of the Central Massif. This small, currently inactive quarry measures 190 by 85 meters, with its base lying at an altitude of approximately 105 m a.s.l.; however, it is uncertain whether this elevation corresponds to the base of the aeolian succession there.

428 The aeolian succession exposed in this quarry is similar to that observed in the Eastern 429 Sarykum. The upper unit represents modern aeolian sands on top of the section and prominent 430 layers of dark pedogenized sands below. The thickness of the upper unit here is about 8 meters. 431 The lower unit consists of yellowish, predominantly medium to fine-grained sands (with a 432 thickness up to 10 meters), characterized by planar cross-sets and subhorizontal layering. In the 433 lower unit, there are regular layers and lenses of coarse-grained sands (up to 3 cm thick; Fig. 10a), 434 and irregular lenses of gravel-pebble material with large, weakly rounded, and angular fragments 435 (up to 40 cm in size) of sandstones (Fig. 10b). A total of 35 measurements of cross-bedding orientations were made in the lower unit, showing a dominant dip direction towards the SE (Fig. 436 437 4a). Due to strict time constraints, no OSL sampling was conducted in this quarry.



438

Figure 10. Main features of the lower aeolian unit exposed in the western quarry (WQ): (A) lenses
with coarse-grained sand in the medium to fine-grained sands; (B) lenses with pebble-gravel material in the
medium to fine-grained sands. The location of the site is shown in Figures 3 and 4a.

442

# 443 *4.1.2.3. Blowout in the Central Massif*

444 In the Central Massif, an old aeolian succession beneath the modern dune sands can be 445 studied in a blowout located at an altitude of approximately 140 m a.s.l., which is 60-70 m above the base of the dune complex. This blowout is situated about 75 meters east of the northern tip ofthe largest active sand ridge and 75 meters north of the tourist viewpoint (Figs. 3, 4a, 5f, 11a).

The blowout exposed a 4-meter section (as of August 2022) of medium to coarse-grained yellowish quartz sands, characterized by planar cross-bedding and prominent carbonate cementation visible on the bedding planes and weathered surfaces (Fig. 11b, c). We obtained four OSL-dates from the sands (Fig. 11; Table 2), with quartz ages (from bottom to top) 7.9±0.6 ka (RGI-1112), 8.7±0.8 ka (RGI-1113), 8.1±0.6 ka (RGI-1114), 7.0±0.5 ka (RGI-1115). 77 measurements of cross-bedding orientations reveal a dominant dip direction towards SE, with minor dip directions towards the S and E (Fig. 4a).





Figure 11. Blowout in the Central Massif: (A) – general view of the locality with the exposed carbonate-cemented coarse-grained sands featuring large planar cross-sets, along with the location of the OSL sampling sites (only quartz ages are shown); (B) lower part of the studied section; (C) upper part of the studied section. In the background of Figure 11A, note a wind storm affecting the dune crest and transporting sand to the west-northwest (photo date: 27<sup>th</sup> August, 2022). Details on the OSL data are given
in Table 2. The location of the site is shown in Figures 3 and 4a.

462

#### 4.3. Modern wind data and aeolian sand drift potential

463 Strong winds exceeding 6.5 m/s in the Makhachkala region occurred during 13.5% of the 464 recorded time, corresponding to 23187 measurements out of a total of 168959 observations for the 465 1966–2023 period (Fig. 12a). The prevailing directions of these strong winds align with the overall 466 wind pattern, characterized by two opposing winds from SE and NW. The total drift potential (DP) 467 value calculated from all wind measurements is 33.7 (based on wind velocities measured in m/s; 468 Bullard, 1997), classifying the region overall as an intermediate-energy environment (Fig. 12b). 469 Seasonal variations in DP, determined by analyzing monthly data across the full measurement 470 period, indicate that February, with DP > 54, is characterized by a high-energy environment. In 471 contrast, wind energy decreases during the summer months, with the May–September interval 472 corresponding to a low-energy wind environment. The remaining months are characterized by an 473 intermediate-energy environment. Notably, SE and NW winds dominate the energy balance, 474 contributing over 80% of the total DP (Fig. 12b, 13a).

475 Despite lower DP values and the corresponding lower-energy environment compared to 476 other months, the May–September interval exhibits intermediate directional variability in winds, 477 as indicated by the *RDP/DP* ratios (Fig. 12c). During this period, either SE or NW winds dominate 478 the wind rose (Fig. 12a). In contrast, the remaining months display higher directional variability, 479 resulting in lower *RDP/DP* ratios (Fig. 12c). June–July and August–September show the highest 480 and nearly equal RDP values among all months, with RDD oriented toward the SE and NW, 481 respectively. However, the total *RDP* value for the entire year is low (2.2) due to the opposing 482 directions of dominant resultant drifts in June–July and August–September. The total RDD is 483 slightly oriented toward the NW, influenced by minor resultant drifts in the NW direction during 484 October-November and April-May (Fig. 14a). Based on these characteristics, the wind regime 485 near the Sarykum dune complex can currently be classified as obtuse bimodal, following the 486 nomenclature of Fryberger and Dean (1979).



487

488 Figure 12. Monthly wind data analysis from the Makhachkala meteorological station (1966–2023): 489 (A) Total and selected double-months wind roses for strong winds (>6.5 m/s). Number of used 490 measurements, N, and the fraction of these measurements from all observations indicated in each panel. (B) 491 Monthly DP variations, calculated by extracting data for each month over the entire measurement period. 492 February DP > 54 indicates a high-energy environment, whereas May–September DP corresponds to a low-493 energy environment (dashed lines, after Bullard, 1997). The rest of the months are characterized by an 494 intermediate-energy environment. The black line shows the dominance of SE and NW winds in the energy 495 balance, accounting for more than 80% of total DP. This line is derived from winds directed within the 496 intervals 105°-145° and 285°-325°. (C) Monthly variations in RDP/DP ratios, reflecting directional 497 variability of the winds. The RDP/DP ratios are highest from May to September, indicating lower variability 498 of wind directions.

500 The annual analysis of DP values reveals a noticeable decline in wind energy from 1966 501 to the present (Fig. 13a). During the 1966–1968 period, the region experienced a high-energy 502 environment, with DP values exceeding 100. Subsequently, wind energy stabilized at intermediate 503 levels between 1970 and 1984, with a notable high-energy peak in 1978. After 1986, the region 504 transitioned to a low-energy wind environment, interrupted by two noticeable increases in DP 505 values in 1994 and 1996. The RDP values during the observations varied drastically from the 506 nearly zero values to 40-50, with only SE or NW resultant drift directions. A clear reduction in 507 RDP values occurred after 1996 (Fig. 13b).



508

Figure 13. Annual wind data analysis of the from the Makhachkala meteorological station (1966–
2023): (A) variations in *DP* values. Note a general decline in wind activity from 1966 to 2023. (B) B)
Variations in *RDP*. Color-coded symbols indicate annual *RDD*s, which are only SE and NW.

To illustrate the potential sand drift over the observed period, we adopted the Fryberger and Dean (1979) assumption that sand drift is proportional to *DP*. The proportionality coefficient is uncertain and not explicitly defined due to complex interactions between wind and sand, as well as the potential temporal variability of this interaction. Thus, we assumed proportionality coefficient as one, allowing for an approximation of cumulative sand drift measured in units of *DP*.

519 Therefore, we represent the evolution of aeolian transport as the cumulative sum of the 520 elementary drift potential vectors  $DP_i$  (eq. 1), starting at (0,0) in 1966 and progressing to 2023 at 521 a location displaced toward the NW. The trajectory, shown in Figure 14b, reflects the net pattern 522 of aeolian transport during the measurement period. This trajectory illustrates the meaning of drift 523 potential measures, RDP and DP. The total RDP in Fig. 14a is calculated as the displacement 524 vector between 1966 and 2023 (Fig. 14b) divided by the total number of measurements (eq. 3), 525 while the length of the drift path (Fig. 14b) divided by the total number of measurements results 526 in the total DP (eq. 2).

527 The cumulative drift pattern indicates a sand transport toward the NW from 1966 to 1971, 528 followed by a shift toward the SE until 1992, and a subsequent return to NW-directed transport 529 that persists to the present (Fig. 14b). It is important to note that the graph in Figure 14b simplifies 530 the full complexity of aeolian transport dynamics, as much of the time *DP* alternates between SE 531 and NW directions, often resulting in zero net displacement over shorter time intervals. The low total RDP/DP ratio (0.065) and monthly variations of RDP indicate that the sand drift is mainly



533 SE-NW directions, leaving only a small portion to total displacement.

Figure 14. (A) Polar diagram of  $\overline{RDP}$  for the 2 month periods (marked by months' numbers) and for all months together (marked by Total) for 1966-2023 period. *RDP* values are indicated along the 180° axis based on wind velocities measured in m/s. (B) Modelled relative sand drift path calculated for 1966-2023 period. Vector  $\vec{R}$  ( $N \cdot \overline{RDP}$ ) (see eq. 3) connects the first and the last points of measurements and approximates total displacement during drift.

540

# **541 5. Discussion**

542 5.1. Phases of aeolian activity in the Sarykum dune complex and regional correlations
543 5.1.1. Onset of aeolian activity: Khazarian transgression and Atelian regression of the
544 Caspian Sea

545 Understanding the stratigraphy and paleoenvironment that preceded the aeolian deposition 546 is important for clarifying the chronology and key factors that governed the onset of aeolian 547 activity in the region. The Sarykum dune complex is believed to be underlain by the deposits 548 formed during the Khazarian transgression of the Caspian Sea (e.g., Fedorov, 1957; Rychagov, 549 1997), which provides a rough constraint for the maximum age of the dune complex as being post-550 Khazarian (e.g., Idrisov, 2010). However, many aspects regarding the stadiality, timing, and spatial 551 extent of the Khazarian transgressive phase remain under debate (e.g., Fedorov, 1957, 1978; 552 Rychagov, 1997; Krijgsman et al., 2019; Zastrozhnov et al., 2024). Nonetheless, it is generally 553 agreed that the Khazarian transgression occurred during MIS 10 to MIS 5. The peak of the 554 transgression is believed to have happened during its earlier phase in the Middle Pleistocene, 555 reaching a level of 20-35 m a.s.l. (e.g., Fedorov, 1978). The last phase of the Khazarian 556 transgression likely occurred around 128-85 ka in the Late Pleistocene at MIS 5 (e.g., Krijgsman 557 et al., 2019, and references therein; Zastrozhnov et al., 2020, 2021), with a sea level not exceeding 558 10 m b.s.l. (e.g., Yanina, 2014) (Fig. 15). The two phases of the Khazarian transgression are 559 separated by the mild Singilian regression (Zastrozhnov et al., 2018), when the Caspian Sea level 560 could drop down to 25 m b.s.l. (Fig. 15). The deposits of this phase have not yet been documented 561 within the Sarykum dune complex area.

562 The Shura-Ozen' river valley near Sarykum is regarded as a stratotypical area for upper 563 Khazarian deposits, distinguished by a characteristic assemblage of Caspian Didacna mollusks, as 564 documented by Fedorov (1957). However the stratification of the terrace sequence still remain 565 debatable. For instance, Fedorov (1957) interpreted thin lower Khazarian deposits resting on top 566 of Sarmatian clays, however Rychagov (1997) questioned this interpretation, suggesting that these 567 deposits belong to the upper Khazarian. Additionally, Rychagov (1997) interpreted the pebble-568 gravel deposits capping the terrace sequence (Figs. 6, 7) as late Khazarian alluvium, whereas 569 Fedorov (1957) attributed them to post-Khazarian alluvial deposition. Both Fedorov (1957) and 570 Rychagov (1997) agreed in defining the fine-grained sands with mollusk shell detritus (Figs. 6, 7), 571 situated below the pebble-gravel layer, as late Khazarian marine sediments. This demonstrates that 572 relying solely on malacological analysis of Caspian Sea sediments, without incorporating other 573 geological methods, can result in conflicting stratigraphic interpretations. For example, 574 Zastrozhnov et al. (2018, 2020, and 2021) showed that different researchers have considered the 575 same deposits in the riverside outcrops in the Lower Volga region to be Bakunian, Singilian, lower 576 Khazarian, or upper Khazarian.

577 The modern top level of the Khazarian deposits within the Sarykum dune complex is at an 578 altitude of 70-80 m a.s.l. This suggests that the area has experienced significant uplift since the 579 retreat of the Khazarian sea, with uplift magnitude exceeding 100 meters (e.g., Fedorov, 1957). 580 Therefore, even a modest average uplift rate of 1 mm/year could be sufficient to raise the 581 Khazarian sediments to their current altitude. This is plausible given that both the study area and 582 the Caucasus region in general are tectonically active, known for significant seismicity and vertical neotectonic movements throughout the Quaternary and earlier epochs (e.g., Kaftan et al., 2024;
Tatarinov et al., 2024). Notably, the 1970 Dagestan earthquake (M=6.6) had its epicenter near the
Sarykum dune complex within the Narat-Tyube Ridge (Lukk and Sidorin, 2022, and references
therein).

587 The Atelian regression, which succeeded the Khazarian transgression, was marked by a 588 drastic decrease in the Caspian Sea level at around 80-30 ka during MIS 4 and MIS 3 (Fig. 15) 589 (e.g., Eremin and Molostovsky, 1981; Eremin, 1986; Shakhovets, 1987; Bezrodnykh et al., 2015; 590 Taratunina et al., 2021, 2022; Kurbanov et al., 2022). According to various estimates, the sea level 591 dropped to 70÷100 m b.s.l. (Bezrodnykh et al., 2004, 2015), or even down to 140 m b.s.l. (Lokhin 592 and Maev, 1990). However, some studies (e.g., Badyukova, 2021) question the existence of a 593 significant regressive phase at that time. This period was characterized by cold and arid climatic 594 conditions associated with the early Valdai (Weichselian) glacial epoch, leading to increased dust 595 accumulation, vegetation degradation, and substrate drying. These factors contributed to the 596 formation of loess-like deposits across large areas of the Caspian Depression and its surroundings.

597 Our OSL results indicate that the alluvial deposits capping the terrace were formed around 598 65 ka (Figs. 6, 7; Table 2), confirming their deposition at the onset of the Atelian regression, 599 thereby supporting the age interpretation proposed for these deposits by Fedorov (1957). The fine-600 grained sands with mollusk shell detritus beneath the alluvial pebble-gravel layer yielded similar 601 OSL ages of 63–62 ka (Figs. 6, 7, 15; Table 2), further correlating with the Atelian phase. Finally, 602 OSL dating of the lower aeolian unit of the Sarykum dune complex, which is exposed in the eastern 603 quarry (Figs. 6, 8, 9a; Table 2), produced ages ranging from 69 to 53 ka, also aligning these 604 sediments with the arid Atelian phase. Although the aeolian succession in the western quarry was 605 not sampled, the similarity between the eastern and western sections suggests they may have 606 formed under similar conditions and within the same timeframe. Further dating of the western 607 quarry is needed to confirm this. It is also intriguing to consider a potential correlation between 608 the formation of the Sarykum sands and thick loess-like deposits found in the Khasavyurt district, 609 located 60 km northwest of the dune complex (Fig. 1b). These deposits bear similarities to typical 610 Atelian loesses exposed along the Lower Volga region, although, currently, there is no absolute 611 chronology available for them (Idrisov, 2011, 2014).

612 The similar ages of the lower aeolian unit at the Eastern Sarykum and the underlying 613 alluvial pebble-gravel deposits suggest that the development of the Shura-Ozen' River valley 614 began with the onset of aeolian activity, driven by the aridification of the climate during the Atelian 615 regression (Fig. 15). Evidence of aeolian-fluvial interaction is also exemplified by coarse-grained 616 layers and lenses in the lower aeolian sands found in both the eastern and western parts of the 617 Sarykum dune complex (Figs 9b, 9c, 10a, 10b). The Atelian ages obtained for the fine-grained 618 sands beneath the alluvial deposits (Figs. 6, 7), previously interpreted as marine (Fedorov, 1957; 619 Rychagov, 1997), suggest two possibilities: (1) a marine environment may have persisted in the 620 area until 70-60 ka, or (2) the existing lithogenetic and biostratigraphic interpretations require 621 revision, potentially linking this sandy layer to the onset of aeolian activity following the retreat 622 of the Khazarian sea. Additionally, based on the grain size of the sand matrix in both the alluvial 623 deposits of the supposed high river terraces and the aeolian sands of the Sarykum dune complex, Gusarov (2018) suggested that the accumulation of Sarykum sands could begin even before the 624 625 incision of the Shura-Ozen' valley. However, the alluvial complexes and associated terraces of the 626 Shura-Ozen' River remain poorly understood and require further detailed studies.



627

628 Figure 15. Event summary for the Sarykum dune complex. The chronostratigraphic chart, 629 paleomagnetic records, marine isotope stages (MIS), and oxygen isotope fractionation are from Gibbard 630 and Cohen (2019). Caspian Sea level variations are based on literature data (see text for details). LGM -631 Late Glacial Maximum, PGM - Penultimate Glacial Period.

632

633 Paleowind directions inferred from cross-bedding orientations in the lower aeolian unit of 634 the Eastern Sarykum indicate prevailing winds blowing toward the NW during the period of their 635 deposition in the Atelian phase (Fig. 4a). In contrast, cross-bedding of the lower unit within the 636 Western Sarykum indicates an opposite paleowind direction (Fig. 4a). If the lower aeolian unit in 637 the western quarry are contemporaneous with that in the eastern quarry, these opposing paleowind 638 directions might reflect seasonal shifts in paleowind regimes. This interpretation aligns with the 639 modern wind regime, characterized by strong bimodal winds capable of transporting sand. The 640 highest cumulative drift potential occurs in June-July and August-September, with winds 641 predominantly blowing toward the southeast and northwest, respectively (Figs. 12c and 14a).

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#### 5.1.2. Khvalynian transgression and Mangyshlak regression: cessation and subsequent 644 renewal of aeolian activity

645 After the Atelian regressive stage, the Caspian Sea underwent a significant transgressive 646 episode known as the Khvalynian transgression, during which the sea level rose to approximately 647 50 m a.s.l. (Fig. 15) (e.g., Fedorov, 1957; Svitoch, 2007). However, this transgression did not reach 648 the area of the Sarykum dune complex. In fact, the Khvalynian transgression is believed to have 649 occurred around 30-12 ka (e.g., Bezrodnykh et al., 2004, 2015; Kurbanov et al., 2021, 2022); 650 albeit, the magnitude and stadiality of this event remain subjects of ongoing debate (e.g., Makshaev 651 and Tkach, 2022; Zastrozhnov et al., 2024). Recent OSL data suggest that the maximum extent of 652 the Khvalynian sea occurred around 20-15 ka, coinciding with the Late Glacial Maximum (LGM) 653 (Taratunina et al., 2022, 2024), and was likely driven by increased runoff from the Volga River. 654 Palynological records from Khvalynian sediments in the Lower Volga region indicate periglacial 655 landscapes and harsh climatic conditions during this time (e.g., Bolikhovskaya and Makshaev, 656 2020). Data from caves in the North Caucasus suggest that the region experienced a warmer 657 climate around 29-25 ka, followed by severe cold conditions and perhaps persistent snow cover 658 during the LGM (e.g., Golovanova et al., 2021). Notably, aeolian sediments corresponding to the

659 Khvalynian stage have not been documented within the Sarykum dune complex. This absence 660 could be due to the later removal and reworking of these deposits during the following phases or 661 a temporary break in aeolian activity during the Khvalynian transgression, possibly caused by 662 increased precipitation.

663 Our results indicate that the Pleistocene-Holocene transition appears to have marked a 664 significant period of aeolian activity in the study area. This is evidenced by OSL dating of the sand 665 layer just beneath pedogenized sands in the upper aeolian unit of the eastern quarry (Figs. 6 and 666 9e), suggesting that aeolian reactivation may have begun as early as 13-11 ka. This aeolian phase 667 could continue until at least 8-7 ka, as inferred from the OSL dating of the sediments studied in 668 the blowout in the Central Massif. However, the overall chronology of the Central Massif's 669 development remains uncertain, primarily due to the limited exposures, which restrict detailed 670 study from its base to summit. Despite these limitations, our results indicate that by the early 671 Holocene, the Central Massif had reached a height of at least 70 meters from its base, 672 approximately half of its current elevation. It is also plausible that by this time, the massif had already attained a height closer to its present elevation, with the studied sediments potentially being 673 674 redeposited near the flanks of previously accreted dune ridges.

675 This renewed aeolian activity coincides with the Mangyshlak regression, a period when the 676 Caspian Sea level dropped to 70÷90 m b.s.l. (Fig. 15) (e.g., Bezrodnykh et al., 2004; Maev, 2009). 677 While the precise timing of this lowstand event remains debated, it is generally estimated to have 678 occurred between 12.5 and 7 ka (e.g., Bezrodnykh et al., 2004; Richards et al., 2014; Bezrodnykh 679 and Sorokin, 2016). The palynological records from the North Caspian region (e.g., Richards et 680 al., 2014) and mountainous Dagestan (e.g., Ryabogina et al., 2019) indicate dry climate with warm 681 and cold episodes at that time with activation of wind-blown processes. The formation of the 682 enigmatic Baer Knolls, linear accumulative ridges that span vast areas of the Caspian Depression, 683 was also attributed to aeolian activity during the Mangyshlak regression (e.g., Volkov, 1960; 684 Kroonenberg et al., 1997; Richards et al., 2014). Notably, the OSL dates from the Central Massif 685 correlate well with the '8200-year cold event' (Alley et al., 1997), a short-lived global climatic shift 686 characterized by dry and cold conditions and increased wind activity.

The paleowind analysis of the sediments in the Central Massif suggests that they were
formed under predominantly SE-blowing paleowinds during their accumulation in the early
Holocene (Fig. 4a). This reconstructed paleowind direction is similar to that observed in the lower

aeolian sands of the western quarry and aligns with the modern wind regime, where strong SE-blowing winds with high cumulative drift potential are typical for June and July (Fig. 14a).

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#### 5.1.3. Post-Mangyshlak phase and recent development

694 By the end of the Mangyshlak phase, the development of the Sarykum dune complex was 695 largely complete, and aeolian activity in the study area began to slow down. This reduction in 696 activity was likely influenced by increased vegetation, as evidenced by the presence of 697 pedogenized layers observed in the upper aeolian units in both the Western and Eastern Sarykum 698 (Fig. 9e) and changes in adjacent lowland areas (Ryabogina et al., 2022). The OSL date from the 699 lower pedogenized layer in the eastern quarry aligns well with the previous radiocarbon dates from 700 the pedogenized sandy layers of 3.5 ka, 2.2 ka, and 1.9 ka (Idrisov, 2010; Gusarov, 2016), which 701 were the only geochronological data available for the Sarykum dune complex prior to this study.

702 Presently, the development of the dune complex is largely influenced by vegetation, which 703 controls the stabilization and periodic reactivation of blowouts, reshaping pre-existing topography 704 of the Western and Eastern Sarykum. These blowouts are elongated in NW-SE direction, with 705 depositional lobes forming at both ends, indicating that sediment transport is generally aligned 706 with present-day wind regime. In the large blowouts in the western part of the dune complex, partly 707 vegetated depositional lobes, which have progressed into sand sheets, are mainly developed on the 708 SE sides (Fig. 5d). Given that the current wind regime produces a relatively low resultant drift 709 trending toward the NW (Fig. 14), this implies that the wind regime in the recent past may have 710 been slightly different, with the primary sand drift direction oriented toward the SE. The 711 multidecadal changes in hydrometeorological parameters including the wind regime across the 712 Caspian region over the past 70 years have been extensively documented (e.g., Gusarov, 2016; 713 Kostianov et al., 2019; Vyruchalkina et al., 2020; Kazmin, 2021; Gontovaya et al., 2023). These 714 regional trends align with the patterns observed in our study, highlighting a significant decline in 715 wind activity, transitioning from a high-energy to a low-energy environment (Figs. 13a, 14b). 716 According to Vyruchalkina et al. (2020), during the 1948–2017 interval, periods of Caspian Sea 717 level drop were characterized by dominant winds from the east that transported dry and warm air 718 masses from Central Asia, thereby enhancing evaporation from the Caspian Sea. Conversely, 719 during periods of sea level rise, northerly winds prevailed, reducing evaporation rates and 720 contributing to water level increases.

721 The opposing nature of the strong winds also controls overall spatial stability of the large 722 active dune ridges within the Central Massif (e.g., Gusarov et al., 2016; Balykova and Andreeva, 723 2023). These ridges migrate over short distances during both SE and NW wind events. The 724 southeastern slope of the Central Massif has been modified by a network of climbing dunes (Fig. 725 5f). Gusarov (2015) and Gusarov et al. (2016), referencing Maiorov (1927), noted the existence of 726 a sand quarry that was active in the early twentieth century which allegedly destroyed a significant 727 portion of the southeastern slope. The suggested location of this quarry overlaps with the area 728 where climbing dunes are present, leading Gusarov (2015) and Gusarov et al. (2016) to conclude 729 that the morphology of the southeastern slope was shaped by slope processes initiated by human 730 activity. However, our review of Maiorov's original publication (1927), which documents his 731 extensive fieldwork in the Sarykum dune complex between 1915 and 1926, does not corroborate 732 the existence of such a quarry. Instead, Maiorov (1927) describes a system of ridges on the 733 southern slope of the Central Massif that are perpendicular to the main ridges. These ridges are 734 depicted in a photo illustration included in his work as supplementary material, and they 735 undoubtedly represent the climbing dunes, which we observe today. Therefore, we assert that these 736 climbing dunes are of natural origin and are not linked to anthropogenic impacts and slope 737 processes. The morphology of the climbing dunes indicates that winds from S-SW were 738 responsible for their formation. These winds, which run nearly parallel to the Shura-Ozen' valley, 739 likely originate as minor wind currents from the Narat-Tyube Ridge or from the deflection of more 740 dominant winds influenced by the surrounding topography.

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#### 5.2. On the origin of the dune complex and the proposed model for its development

742 Perhaps, except for the provenance of sand material, attributed to the Middle Miocene 743 quartz sandstones of the Narat-Tyube Ridge (e.g., Maiorov, 1927; Idrisov, 2010; Matsapulin et al., 744 2013; Gusarov, 2016), other key aspects of the evolution of the Sarykum dune complex remain 745 unclear. These include the isolated nature of the complex, situated far from other large dune fields; 746 the large volume of sediments concentrated in a relatively small area; the topographic influence 747 facilitating this concentration; and the drivers responsible for sediment transport prior to aeolian 748 redeposition (e.g., Gusarov, 2016). Our results indicate that aeolian deposition in the area began 749 immediately after the retreat of the Khazarian sea (Fig. 15). Given the local source and long-lasting 750 aeolian deposition supported by frequent strong winds, the concentration of aeolian material here

must be explained by specific local features that promoted the accumulation of sands and verticalbuild-up of the dune complex.

753 Gusarov (2015, 2016) emphasized the role of the Shura-Ozen' River in concentrating sand 754 material. He proposed that the Sarykum sand material, before undergoing partial aeolian 755 reworking, initially originated as deposits from the Shura-Ozen fluvio-deltaic basin, which 756 developed on the abandoned marine plain of the Caspian Sea. He suggested that the sands with 757 coarse-grained lenses in the Western and Eastern Sarykum, along with the sediments exposed in 758 the Central Massif blowout, are remnants of this deltaic system. These deposits, intermixed with 759 alluvial fans from the surrounding mountains, collectively form the core of the dune complex. This 760 conclusion seems unrealistic for us, as it fails to explain how the proposed fluvio-deltaic basin 761 could have formed so close to the mountain front, which at that time should have been bordered 762 by the sea. There was no accommodation space for such a deltaic system to account for the thick 763 and isolated deposits supposedly observed at high elevations within the Central Massif, given that 764 the alluvial plain was too narrow and the sea basin too shallow. This scenario becomes even more 765 problematic under the assumption that river incision began after aeolian deposition. In such a case, 766 the required incision rates would exceed observed rates, conflicting with the valley and marine 767 terrace morphology. Furthermore, the dip directions of the studied sediments indicate sediment 768 transport perpendicular to the Shura-Ozen' valley, aligning with the prevailing modern wind 769 regime. This evidence strongly suggests an aeolian, rather than fluvial, origin for these deposits.

It is clear that the Shura-Ozen' River played a role in sediment supply to the Sarykum aeolian system; however, within the study area, it typically deposits coarse-grained material such as gravel and pebbles, rather than sand. Given the limited sand supply from the Shura-Ozen' River, the relatively narrow floodplain, and the vast scale of the Sarykum dune complex, it is highly unlikely that it can be classified as a source-bordering, riverine foredune system.

We propose that landscape and drainage dynamics, as well as the regional wind regime were critical in the formation and growth of the dune complex. During the Khazarian transgression, when the Caspian Sea approached the mountain front, clastic material from the Shura-Ozen' River and alluvial fans sourced from the uplifting Narat-Tyube Ridge fed into the sea basin. Additional material could have been contributed through wind abrasion of the highlands. These sediments were likely sorted in the beach and littoral zones. After the Caspian Sea retreated during the Atelian phase, they became susceptible to aeolian reworking across the abandoned marine plain, which 782 extended along the mountain from the Kizilyurt district in the west to the Makhachkala region 783 in the east (Fig. 1b). Concurrently, the Shura-Ozen' River began incising into the abandoned 784 marine plain, interacting with the developing aeolian system. This fluvial-aeolian interaction is 785 evidenced by the layers and lenses of pebbles and gravel within the aeolian succession. Idrisov 786 (2010) and Gusarov (2015) noted the absence of paleontological evidence, such as fragments of 787 Caspian mollusk shells, within the Sarykum sands, casting doubt on the idea that these sands were 788 sourced from marine coastal deposits. However, our observations show that shell detritus is 789 relatively abundant within the sands. Therefore, it is plausible that the disintegration or even 790 pulverization of shell fragments prior to burial occurred over sufficient time for mechanical 791 breakdown during aeolian transport and reworking.

792 Our analysis indicates that the reversing NW-SE winds, which blow subparallel to the 793 Narat-Tyube Ridge, could persist in the area for at least 70 000 years, since the Atelian phase. 794 These winds played a crucial role in sediment transport and the development of the Sarykum dune 795 complex. It is likely, based on current observations, that the sand material was supplied from both 796 the NW and SE directions, with a stronger tendency for supply from the NW. As the incision of 797 the Shura-Ozen' valley progressed, fluvial processes became increasingly dominant, affecting the 798 aeolian sediment transport capacity and ultimately hampering the progression of the sand material, 799 trapping and accumulating it on the river banks. The presence of larger and thicker dunes on the 800 left NW bank of the Shura-Ozen' River further supports the idea of dominant sediment supply 801 from NW. The relative spatial stability and growth of the dune complex throughout its evolution 802 were largely influenced by intermittent periods of increased vegetation and precipitation, along 803 with the reversing patterns of the regional winds.

### **6.** Conclusions

This study provides, for the first time, insights into the chronology of the Sarykum dune complex's evolution. The dune complex began to develop approximately 70 ka during the Atelian phase, when the Caspian Sea experienced significant regression, caused by a drier climate that facilitated the regional accumulation of wind-blown sediments across large areas of the North Caspian region. Aeolian activity likely ceased during the Khvalynian phase (30-12 ka), characterized by a rise in the Caspian Sea of up to 50 m a.s.l, with specific climate conditions associated with the LGM inhibiting aeolian processes. A renewed phase of aeolian activity began at the Pleistocene-Holocene transition, associated with another lowstand episode of the Caspian Sea known as the Mangyshlak regression, which occurred approximately 12.5-7 ka. Following the Mangyshlak phase, aeolian activity decreased, becoming intermittent and increasingly influenced by vegetation; this ultimately led to the stabilization of most of the dune complex, except for the dune ridges within the Central Massif.

817 The reversing NW and SE winds, currently dominant in the study area, persisted during the 818 Late Pleistocene-Holocene and played a pivotal role in aeolian sediment transport and 819 redeposition. Along with intermittent periods of increased vegetation and precipitation, these 820 factors supported the relative spatial stability of the dune complex. The development of the dune 821 complex has been closely tied to the Shura-Ozen' River since its inception. The river cuts through 822 the dune complex, shaping its morphology by serving as a topographic barrier that traps sediments, 823 which accumulate primarily along its banks. The stronger tendency for sediment supply from the 824 NW may explain the greater accretion of aeolian landforms on the left NW bank of the river 825 compared to the right SE bank. By the early Holocene, the large aeolian Central Massif on the left 826 bank of the Shura-Ozen' River had already emerged as the dominant topographic feature within 827 the dune complex, having accreted to at least half of its current elevation.

828 Our findings highlight that regional climate aridization, which drove fluctuations in 829 Caspian Sea levels, was one of the key factors in reshaping paleo-landscapes in the North Caspian 830 region, as demonstrated by the formation of the Sarykum dune complex. Further investigation into 831 the development of the dune complex, including geochronological and biolithostratigraphic 832 studies of its aeolian succession; substratum deposits; the incision history of the Shura-Ozen' 833 River; and neotectonic uplift in the study area and surrounding mountains, clearly merits additional 834 research. These studies will help to refine our understanding of past climatic events and model 835 future developments of the Caspian Sea.

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