- ¹ A shallow earthquake swarm close to
- ² hydrocarbon activities: discriminating
- ³ between natural and induced causes for
- 4 the 2018–19 Surrey, UK earthquake

5 sequence

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13 Abstract

Earthquakes induced by subsurface industrial activities are a globally emotive issue, with a 14 growing catalogue of induced earthquake sequences. However, attempts at discriminating 15 between natural and induced causes, particularly for anomalously shallow seismicity, can be 16 challenging. An earthquake swarm during 2018–19 in south-east England with a maximum 17 magnitude of M_L 3.2 received great public and media attention because of its proximity to 18 operating oilfields. It is therefore vital and timely to provide a detailed characterisation of the 19 earthquake sequence at present, and to decide based on current evidence, whether the 20 earthquakes were likely natural or induced. We detected 129 earthquakes and computed 21 detailed source parameters of these events. Most earthquakes occurred at a shallow depth of 22 2.3 km, >1 km deeper than the geological formations targeted by the oilfields, and laterally >3 23 km away from the drill-sites. We combine the east-west trending cluster of the seismicity with 2-24 D seismic reflection profiles to find the causative fault system for the earthquakes. A b-value close 25 to unity and strike-slip faulting mechanisms are consistent with tectonic reactivation along a pre-26 existing fault. Overall, we find no indicators in the earthquake parameters that would strongly 27 suggest an induced source. Nor do we find any clear trends between drilling activities and 28 29 seismicity based on operational logs provided by the operators. Injected volumes are near-zero and monthly production amounts are many orders of magnitude smaller than other reported 30 31 cases of extraction-induced seismicity. On balance, and based on the available evidence, we find 32 it currently unlikely that nearby industrial activities induced the seismic swarm. Most likely, the 33 Surrey earthquakes offer a uniquely detailed insight into shallow seismicity within sedimentary

- basins. Nevertheless, the way that activity reporting by operators themselves is regulated 34
- 35 remains a controversial issue when discriminating between natural and induced seismicity for
- industrial methods that have not been expected to induce earthquakes. 36

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37 Introduction

In recent years, seismicity induced and triggered by industry has become a topic of great scientific 38 and public interest around the world. Seismic events near industrial facilities alarm local 39 communities yet discriminating between anthropogenic and natural seismicity is not a trivial task 40 (Grigoli et al., 2017). Research has showed many forms of industrial activities can induce that 41 seismicity. These include: conventional hydrocarbon production (Segall, 1989), stimulating 42 geothermal reservoirs (Häring et al., 2008), hydraulic fracturing (Clarke et al., 2014), CO₂ storage 43 (Kaven et al., 2015), coal mining (Wilson et al., 2015), and wastewater injection (Keranen et al., 44 2014). Induced seismicity has been observed to occur both within crystalline basement (Verdon, 45 2014) and the shallower sedimentary formations being targeted by these operations (Eaton et 46 al., 2018). The poro-elastic response of shallow sedimentary rocks to changes in fluid pressure 47 over large distances is poorly understood (Goebel and Brodsky, 2018). 48

Conversely, anomalous seismic swarms occurring at shallow depth can have natural causes (e.g. Bent *et al.*, 2017). Natural earthquakes close to industrial sites heighten public concern and can cause financial loss to operating companies if mis-attributed as induced; an example being the 2015 M_w 6.1 Emilia, Italy earthquake (Dahm *et al.*, 2015; Grigoli *et al.*, 2017). Overall, the mechanisms and occurrence statistics of very shallow earthquakes are poorly constrained.

54 Criteria to discriminate induced versus natural seismicity includes answering qualitative 55 questions (Davis and Frohlich, 1993; Verdon *et al.*, 2019), and more quantitative analyses such 56 as earthquake source studies, numerical modelling and statistical tests (Grigoli *et al.*, 2017).

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The UK is one such area where induced earthquakes are a highly contentious issue. The 57 58 background seismicity rate is low, with the regional state of stress dominated by northwest-59 southeast compression from the Mid-Atlantic Ridge and the Mediterranean (Baptie, 2010). Most earthquakes occur in the north and west of mainland Britain (Figure 1). Anthropogenic 60 earthquakes in the UK account for ~20% of all earthquakes in the instrumental catalogue (Wilson 61 et al., 2015). The greatest contributor has been coal mining (Kusznir et al., 1980; Verdon et al., 62 2018) in central-northern England, South Wales, and Scotland (Figure 1). The maximum observed 63 magnitude from coal mining induced seismicity is M_L 3.1 (Redmayne, 1988; Bishop *et al.*, 1993; 64 Wilson et al., 2015). For geothermal induced seismicity, over 11,000 microseismic events were 65 detected during the Hot Dry Rock project in southwest England between 1982 and 1987 (Pine 66 and Batchelor, 2001). The largest event had a magnitude of M_L 2.0. 67

For hydrocarbon extraction, the largest induced event was the 2001 M_w 4.3 Ekofisk, North Sea 68 69 earthquake with water injection causing shallow slip in the overburden at <3 km depth (Ottemöller et al., 2005). In 2011, the first UK onshore hydraulic fracturing of shale took place 70 near Blackpool in northern England. Injection triggered an M_L 2.3 earthquake, ~1.8 km from the 71 Preese Hall-1 well, at 3.6 km depth (Clarke et al., 2014). Hydraulic fracturing and induced 72 microseismicity resumed nearby in 2018 at Preston New Road, drawing public attention once 73 again to anthropogenic earthquakes (Clarke et al., 2019, in review). Most past induced 74 75 earthquakes in the UK are small (M_L <3.1) and occur at shallow depth (<3 km). Therefore, 76 accurately characterising earthquake sources and understanding the causes of such weak nearsurface seismicity is challenging in areas with sparse seismic station coverage. 77

78 One such example is a sequence of small earthquakes that began on 1 April 2018, a few 79 kilometres from the villages of Newdigate and Charlwood in Surrey, UK (Figure 1, Figure 2). The British Geological Survey (BGS) detected 32 small earthquakes between April 2018 and May 2019. 80 Nearby people in large settlements, such as Crawley, Dorking, and Gatwick Airport, felt many of 81 82 the earthquakes. Residents described shaking from the largest earthquake $(M_L 3.2)$ corresponding to a maximum intensity of 5 (*Strong* shaking) on the European Macroseismic Scale 83 (EMS); (Grünthal, 1998); (http://earthquakes.bgs.ac.uk/research/SurreyEarthquakes.html; last 84 accessed May 2019). The sequence attracted much public interest for several reasons. First, 85 south-east and southern England, which encompasses the Weald and Wessex basins, has a 86 relatively low background earthquake activity rate in comparison to other parts of Britain 87 (Musson and Sargeant, 2007). Few similar sequences have been recorded in the past (Figure 1). 88 Second, oilfield development and production activities at the active fields of Brockham and Horse 89 Hill lie within 10 km distance of the earthquake sequence (Figure 2). Whilst no operators in the 90 Weald have conducted, nor applied to do hydraulic fracturing, the UK's Traffic Light Scheme for 91 hydraulic fracturing-induced seismicity has received extensive media attention. 31 events in the 92 Newdigate swarm had magnitudes that exceed the current $M_L = 0.5$ "red light" threshold. 93 However, the nearest permanent station of the BGS national seismic network lies on the 94 95 southern coast of England, over 50 km away (Figure 1). This network sparsity made it difficult to 96 initially constrain depth and faulting mechanisms, which can help to discriminate between natural and induced causes (Frohlich et al., 2016). The strong macroseismic intensity 97

- 98 observations supported early shallow depth estimates (initially fixed to 5.0 km), raising further
- 99 suspicions over possible induced seismicity (Verdon et al., 2019).
- 100 After the tenth recorded earthquake, we installed a network of five temporary broadband
- seismometer stations in the epicentral region (Figure 2). Given the large interest in these 101
- earthquakes, here we analyse available seismic data to make a coherent seismological analysis 102
- of the 2018–19 Surrey earthquake sequence up to mid-May 2019. We interpret these events in 103
- terms of the regional geological structure of the Weald Basin. We compare the spatial-temporal 104
- 105 evolution of the seismicity with reported activities associated with oilfield development and
- 106 production at the nearby Horse Hill and Brockham sites to understand its cause.

107 Regional geological, industrial, and seismological context

The epicentral area of the Newdigate sequence in the Weald Basin comprises uplifted 108 sedimentary rocks spanning south-east England, the eastern English Channel and northern 109 France (Figure 1). A wealth of 2-D seismic data from the UK Onshore Geophysical Library (UKOGL, 110 2019) allows for a robust characterisation of basin structure. The top Palaeozoic basement 111 beneath the centre of the Weald lies at 2,500–3,000 km depth (Butler and Pullan, 1990). An 112 interpreted regional seismic profile that runs ~20 km west of Newdigate shows sedimentary fill 113 extending to depths of >3,000 m in the basin centre (UKOGL, 2019). Oil drilling in the Weald has 114 targeted Jurassic rocks, including conventional reservoirs such as the Portland Sandstone, and 115 lower-permeability formations such as the Kimmeridge Clay (Andrews, 2014). The Mesozoic 116 117 basin sediments sit unconformably on Devonian-to-Lower Carboniferous Palaeozoic sedimentary rocks, which have been deformed, but not metamorphosed, by the Variscan orogeny (Butler and 118 Pullan, 1990). Boreholes have rarely penetrated pre-Variscan units, and therefore are less well 119 120 studied.

121 The key structural features of the Weald Basin were originally formed during the Variscan 122 (Hansen *et al.*, 2002), generating east-west trending thrust faults. These were re-activated as 123 extensional faults during the Permian as post-orogenic collapse, forming the basin. We find large 124 extensional structures running through the Triassic and Jurassic sediments, rooted in the 125 underlying basement and preserving the original east-west trend, with most dipping to the south.

Angus Energy plc. operates the Brockham oilfield, ~8 km away from the earthquakes (Figure 2). Brockham has produced relatively small volumes from the Portland Sandstone, with ~60,000 m³ gross water and oil since 2002. Produced formation water produced is re-injected back into the reservoir. Overall, net output is greater than net injection. Production volumes since 2002 have been in decline, with several pauses in operations over the years. The most recent pause in operations occurred between February 2016 and March 2018. In 2017–2018, development work from a side-track well targeted the deeper Kimmeridge Clay Formation.

133 Known colloquially as the "Gatwick Gusher", the Horse Hill-1 (HH-1) development well lies ~3 km 134 away from the earthquake swarm (Figure 2). Operated by UK Oil & Gas plc. (UKOG), HH-1 was 135 first drilled in October 2014. UKOG first flow-tested the Portland Sandstone at ~600 m depth in 136 March 2016 and the Kimmeridge Shale at ~800 m depth in July 2018. To date, ~7,000 m³ of oil 137 has been produced at HH-1 since July 2018. According to the operator, no water is currently being 138 produced at the well, and no fluids are operationally injected into the reservoir.

Southern and south-east England is one of the least seismically active areas in the UK (Figure 1). 139 The largest instrumentally recorded event in the region was the M_L 4.3 earthquake in Folkestone 140 in 2009. The depth of this event was constrained by both teleseismic observations and regional 141 waveform modelling at 5 ±2 km (Ottemöller et al., 2009). There is also considerable evidence for 142 damaging earthquakes in the Dover Straits over the last 1000 years, for example an estimated 143 M_L 5.8 earthquake in 1580 (García-Moreno et al., 2015). There are only a few recorded 144 145 earthquakes within the Weald Basin itself. In 2005, there were three small earthquakes near 146 Billingshurst (Figure 1, Label a), ~20 km west of the Newdigate sequence. The largest earthquake

147 of the Billingshurst sequence had a magnitude of M_{L} 2.1 and a shallow depth – likely less than 5– 148 10 km (Baptie and Luckett, 2018). Historical catalogues provide evidence for past earthquakes in the Weald region over the last 500 years. For example, Musson (Musson, 2008) finds reports of 149 an earthquake on 5 May 1551. Although the limited macroseismic data means that a location 150 151 and magnitude cannot be determined, the reports suggest that it was strongly felt with an intensity of 5 EMS in Dorking, ~8 km from the 2018–19 earthquake swarm. Further afield, there 152 were six earthquakes near Chichester on the south coast (Figure 1, Label b) in the 1800s with 153 estimated magnitudes of M_L 2.9–3.4 (Musson, 1994). Such earthquake sequences or swarms are 154 relatively common in Great Britain. Examples include Comrie, 1788-1801 and 1839-46 (Musson, 155 1993); Kintail, 1974 (Assumpção, 1981) Manchester, 2002-2003 (Baptie and Ottemoeller, 2003) 156 and Aberfoyle, 2003 (Ottemöller and Thomas, 2007). More recently, in 2014-2015 there was a 157 sequence of earthquakes near Oakham in the East Midlands of England. The three largest events 158 had magnitudes of 3.2, 3.5 and 3.8 ML, with depths of less than 5 km. 159

160 None of the above sequences were linked to anthropogenic causes, although the Manchester 161 sequence occurred in a sedimentary basin where coal had been mined in the past.

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162 Data and methods

Seismic waveform data for the first events comes mainly from the BGS national broadband 163 164 seismic network (Figure 1). In south-east England, there are several RaspberryShake (RS) stations with geophone sensors (Anthony et al., 2018), which improve the recording coverage of the 165 earthquakes (Figure 1). The closest RS station (AM.REC60) lies ~6 km from the epicentral region 166 of the swarm (Figure 2). After the first nine events, we installed a network of five temporary 167 stations in the area (Figure 2) comprising Güralp 3ESPC 30 s - 100 Hz seismometers, with a 168 sampling rate of 200 Hz. We installed two of these stations (GB.HORS and GB.RUSH) in mid-July 169 and three (GB.GATW, GB.STAN, GB.BRDL) in early August 2018 (Figure 3a). We analysed seismic 170 waveform data up until 13/05/2019 (see Data and Resources). 171

We derive our 1-D seismic velocity model from detailed sonic log information from nearby 172 173 boreholes (Note S1, Figure S1 & Table S1 in the electronic supplement to this article). To relocate the earthquakes, we used NonLinLoc (Lomax et al., 2009), which offers robust constraints on 174 location uncertainties compared with traditional single-event location codes. To assess any 175 smaller-scale structure in the spatial-temporal evolution of the seismicity, we also computed 176 double-difference relocations (Waldhauser and Ellsworth, 2000); (Note S1 in the electronic 177 supplement to this article). ~19,000 delay time pairs each for P- and S-waves and ~15,000 and 178 179 ~18,000 cross-correlation times for P- and S-waves, respectively, were selected for the double-180 difference relocation. We computed magnitudes using the UK local magnitude (M_l) scale of Luckett et al. (2019), suitable for near-field observations (Note S2 in the electronic supplement 181 182 to this article).

183 To detect further low-magnitude seismicity not in the initial BGS catalogue, we took two 184 approaches. (1) We used the Lassie software (Heimann, 2016), a stack-and-delay-based coherence detector, to find and locate events using continuous data from the temporary seismic 185 network. Coherency is mapped using a smooth characteristic function calculated from 186 187 normalised waveform envelopes. From this catalogue, we then (2) ran a cross-correlation template-matching algorithm on data from local stations. For this, we used 1.0 s-long template 188 waveforms incorporating P- and S-waves from the events in the catalogue. We utilised 189 EQcorrscan (Chamberlain et al., 2018) to scan for earthquakes on data filtered at 5-15 Hz. 190 Detections were made when the network-stacked cross-correlation sum exceeds nine times the 191 median absolute deviation. We then manually re-picked and relocated positive detections. For 192 nearby RS station AM.REC60, we also scanned continuous waveforms before the first known 193 earthquake, extending back as far as September 2017, when this station was first installed. There 194 195 were no earlier positive detections; therefore, no significantly large earthquake (M_L>1.5) likely occurred here before 01/04/2018, so the main sequence started then. 196

197 To investigate the causal mechanism of the Newdigate earthquakes, we computed moment 198 tensors from waveforms, Gutenberg-Richter *b*-values, stress drops from displacement spectra, 199 and static stress transfer (see Notes S3, S4 & S5 in the electronic supplement to this article).

200 **Results**

Besides the 34 events recorded in the BGS catalogue, we detected a further 95 micro-201 earthquakes from 12/07/2018 onwards, forming an overall catalogue of 129 events (Figure 2, 202 Figure 3b; Table S2 in the electronic supplement to this article). Hypocentres for well-constrained 203 events recorded by five temporary monitoring stations have a mean depth of 2.3 km. Most 204 locations have formal epicentral and depth uncertainties of <200 m and <500 m, respectively. 205 These solutions are robust as they vary little when relocated in different velocity models, and 206 they had low root-mean-square arrival time residuals (<60 ms). Given the high waveform 207 similarity between large events (Figure 4), we fixed the depth of events before 12/08/2018 to 2.3 208 supplement 209 km (Note S1 in the electronic to this article). Overall, the best-constrained events illuminate a seismogenic patch ~2.5 km long and extending 210 over 1.3 km in depth (Figure 2). Most event epicentres in our full catalogue appear to cluster 211 along a roughly linear band, trending east-west. A few microseismic events were detected up to 212 2 km away to the north and east of the main cluster of seismicity. High-precision double-213 difference relocations of 95 events confirm the strong east-west alignment of seismicity (Figure 214 S2 in the electronic supplement to this article). 215

The temporary stations captured six of the larger earthquakes ($M_L \ge 1.9$) in July 2018 and February 2019 (Figure 3a-b), allowing us to probe rupture mechanisms and depths (see Figure 5 for 218 examples). The best-constrained moment tensor solutions have centroid depths of 2.2±0.2 km, 219 consistent with hypocentre depths (Figure S7 in the electronic supplement to this article) and 220 show the same strike-slip faulting mechanism. The west-east nodal plane corresponds to the 13

alignment of seismicity (Figure 2). The double-couple percentage is high (>75%). Analysis of 218
P-wave polarities from the wider catalogue suggest that most events had this same mechanism,
with little variability (Figure 5c).

224 In Figure 2, we examine whether this cluster of earthquakes correlates with pre-existing faults identified from 2-D seismic profiles (Note S6 in the electronic supplement to this article). Faults 225 226 within the Mesozoic sediments are relatively easy to find, most of which strike east-west and dip towards the south. We also find north-dipping and ENE-WSW trending faults. The Newdigate 227 Fault (NGF) is a prominent east-west striking, south-dipping fault system showing a normal sense 228 229 of offset, which extends across much of the study area. Most epicentres lie along the projected surface trace of the NGF, consistent with the west-east nodal plane of the focal mechanisms, 230 231 suggesting slip occurred along this fault system (Figure 2, Figure 5). We can see this overall relationship more clearly in the double-difference relocations (Figure S2 in the electronic 232 233 supplement to this article).

The Triassic lowermost basin fill and underlying Palaeozoic rocks appear to be more heavily faulted (Figure 6). Given the spacing of the 2-D seismic lines and the number of fault traces, we have not attempted to map every fault in the lower units. However, we can assume that these faults have similar trends and positions as the extensional faults that extend above them. The double-difference locations show that most events occurred within the footwall of the NGF; they likely occurred on a south-dipping fault at greater depth within the lowermost basin fill (Figure 6).

241 From fitting displacement spectra of earthquakes in the sequence (see Figure S3 in the electronic 242 supplement to this article for an example), we compute stress drops of 0.2–11.0 MPa (Figure S6 in the electronic supplement to this article). From modelled static stress changes resulting from 243 the $M_L > 2$ earthquakes, accounting for the depth and the fault geometry uncertainties, we find 244 245 that most events with $M_L > 2$ occurred in a region of positive static stress from earlier earthquakes (Figure S8 in the electronic supplement to this article). For $M_L > 2$ earthquakes, we computed the 246 total accumulated static stress at the hypocentre before each earthquake (Table S3 in the 247 electronic supplement to this article) resolved onto fault geometries consistent with the 248 Newdigate and Horse Hill faults. For nearly all $M_L > 2$ earthquakes, changing the depth and/or 249 strike of the receiver faults within the range of uncertainties can cause positive stress changes. 250 For some earthquakes, the epicentres were too close, and therefore the static stress calculated 251 is unreliable (Steacy et al., 2004). 252

253 We also assess the frequency-magnitude distribution of the Newdigate seismic sequence in terms of the *b*-value of the Gutenberg-Richter relationship. We look at several timeframes for 254 this as the temporary local monitoring network was not in place for the start of the earthquake 255 256 sequence. We compute magnitude of completeness, M_c by minimising the residual between a power law fit to the data and the observed distribution (Wiemer and Wyss, 2000). First, we 257 consider the entire earthquake sequence starting in April 2018. We find an M_c of ~2.2, supported 258 259 by the overall M_c estimate for south-east England (Survey, 2010). Here, we find a best-fitting bvalue of 1.1 (Figure 7a). 260

261 For the time when the local temporary monitoring network was operational, we computed a 262 much lower M_c of -0.2. This is supported by the detection of few M_L <0.2 events outside of the hours of 1300 – 0500 (Figure S4 in the electronic supplement to this article) because of higher 263 daytime cultural noise. Previous studies (Staudenmaier et al., 2018) show that the different 264 265 scaling between M_L and M_W for small earthquakes may cause an artificial bi-linear Gutenberg-Richter fit. Therefore, we also used our spectral and moment tensor estimates of M_w to re-scale 266 the M_L values. For this part of the catalogue, we are still cannot include the larger magnitudes 267 with a single Gutenberg-Richter fit. We assume that this effect is due to M_c varying with time and 268 our relatively small catalogue of earthquakes under-sampling the true earthquake sequence with 269 an exponential distribution. In any case, we truncate the maximum magnitude at M_L 1.1. This 270 di Reckerie yields a *b*-value of 0.9 (Figure 7b). 271

Discussion 272

Based on the location of our interpreted subsurface faults and earthquake locations, we identify 273 the NGF zone as the causative structure for most of the earthquakes. Given this correlation, and 274 computed moment tensors, the earthquakes most likely represent right-lateral strike-slip faulting 275 along this west-east striking structure. In cross-section, the double-difference relocations imply 276 a steeply dipping fault plane (Figure 6, and Figure S2 in the electronic supplement to this article). 277 At the earthquake source depths, according to interpreted well logs from HH-1 and Brockham, 278 the rock types are mainly mudstone-rich Middle-Lower Jurassic to Upper Triassic sedimentary 279 rocks. The stronger limestone unit of the Penarth Formation at ~2.3 km depth could promote the 280 more brittle failure required for seismic slip. 281

There are few cases of very shallow (<3 km depth) earthquake sequences documented in the 282 283 literature, likely a result of sub-optimal station coverage needed to accurately resolve such shallow depths. Many documented cases of shallow seismicity in stable continental regions 284 worldwide link such seismicity with induced causes, such as hydraulic fracturing (Clarke et al., 285 2014; Eaton et al., 2018), conventional oil production (Frohlich et al., 2012), hydraulic fracturing 286 (Clarke et al., 2014; Eaton et al., 2018), and groundwater extraction (González et al., 2012). There 287 are fewer documented cases of anomalously shallow natural earthquakes within sedimentary 288 basins, with most past cases confined to metamorphic (Maceira et al., 2000; Bent et al., 2017) 289 and igneous lithologies (Malone et al., 1975). Most earthquakes in the UK are consistent with 290 rupture in the shallow to mid-crust, although many events have shallower, and often poorly 291 292 constrained depths. Earthquakes occurring at such depths are thought to be relatively rare in the

- 293 UK, with the most accurate depths for background seismicity lying between 5 and 15 km. 294 However, re-analysis of the 2005 Billingshurst sequence, 20 km to the west of Newdigate, has 295 suggested shallow event depths (Baptie and Luckett, 2018). Given the location of the earthquakes, it is important to assess whether nearby oilfield activities 296 induced these events or whether they were natural. We first consider the problem within the 297 framework of the criteria established by Frohlich et al. (2016) since these were designed for both 298 injection- and extraction-based operations, without requiring knowledge of well pressures. 299 1. Past precedence. There are no known past earthquakes associated with oil and gas 300 activities in south-east England, also an area of low seismicity (Figure 1). The 2005 301 Billingshurst earthquakes in the Weald are the nearest analogue to the Newdigate events 302 as they occurred at a shallow depth but not close to any known hydrocarbon activities. 303 The long-term magnitude of completeness of the British Geological Survey seismicity 304 catalogue for the entire UK is likely $M_L \sim 3.0$ (Musson and Sargeant, 2007); therefore, we 305 cannot rule out smaller past earthquakes in the area. Induced seismicity has been 306 associated with hydraulic fracturing for shale gas in northern England (Clarke et al., 2014) 307 and conventional hydrocarbon extraction in the North Sea (Ottemöller et al., 2005; 308 Wilson et al., 2015). Yet no documented cases exist of conventional onshore extraction 309 inducing seismicity on the British Isles. Compared to offshore plays, onshore reservoirs in 310 the UK typically produce hydrocarbons at much smaller volumes and rates. 311 312 2. Spatial correlation with industrial activities. Figure 1 shows that the Newdigate
- earthquake cluster occurred 3–5 km away from HH-1 and 6-8 km from the Brockham site.

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314 We find no events at closer distances to HH-1; nor do we find any systematic migration 315 of seismicity with time either away from or towards HH-1. The earthquakes are 1.0–1.5 km deeper than the Portland and Kimmeridge targets, which lie at 550–700 m and 700– 316 1000 m depth, respectively (Figure 6). The earthquakes also likely occurred within either 317 Triassic sedimentary rocks or the underlying deformed Palaeozoic rocks. Again, the linear 318 group of epicentres appear to line up along the mapped NGF (Figure 2). 319 3. Temporal links with industrial activities. Whilst oil licence sites lie close to the seismic 320 cluster, we also need to consider whether the earthquakes temporally correlate with 321 oilfield activities. Figure 3 shows a timeline of seismicity and nearby well operations. After 322 323 a two-year pause, production and associated injection activities resumed at the Brockham site in March 2018, two weeks before the first earthquake on 01/04/2018. Therefore, a 324 coincidence exists between the start of the seismicity and the restart in activities at 325 Brockham. However, fluids have been produced at Brockham for 14 years previously 326 without inducing known seismicity. Nevertheless, induced seismicity has been shown to 327 often lag by many years the start of production in conventional, large hydrocarbon 328 reservoirs (Maury et al., 1990). Work was carried out at HH-1 in March 2018 (Figure 3), 329 shortly before the first earthquake on 1 April. According to operator logs, this work 330 involved only preparing the site at the surface and no subsurface work in the borehole 331 took place. Flow testing at HH-1 in February–March 2016 and in July 2018 was a long time 332

both before and after the start of the earthquakes (Figure 3), so we find no direct temporal correlation. Looking at events with magnitudes much greater than *M_c*, we find

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335 that 30–50% of earthquakes in our catalogue occurred within 72 hours of a reported well 336 shut-in day at HH-1, whereas only 13-30% of events occurred during a period of oil 337 production (Figure 3). However, robustly determining any such correlation is uncertain. It depends on the lower magnitude threshold chosen, and it is difficult to remove the effect 338 339 of aftershocks occurring very soon after mainshocks. For hydraulic fracturing and wastewater injection, seismicity rates generally diminish during well shut-in periods 340 (Horton, 2012; Schultz et al., 2016). For simultaneous extraction and injection, models 341 342 show that the highest pore pressure disturbance along basement faults may occur after shut-in (Chang and Segall, 2016). For gas extraction, well shut-ins lead to an overall 343 decrease in earthquakes, although some critically stressed faults may rupture many years 344 later (Zbinden et al., 2017). 345

Using the Frohlich et al. (2016) criteria, uncertainty arises from the testing the questions posed, as we could classify the Newdigate sequence as either "Possibly Induced" or "Probably Induced". However, this approach is likely an over-simplification as it does not consider detailed source parameters or knowledge of fluid pressure or pathways (Verdon *et al.*, 2019). We therefore assess the seismic source parameters in whether they show an induced or natural cause for the events. We then examine in more detail whether the oilfield activities conducted at HH-1 and Brockham had the potential to induce earthquakes.

The faulting mechanisms (Figure 2, Figure 5) are similar to the overall pattern in the British Isles, in which strike-slip faulting dominates (Fig. 1); (Baptie, 2010). The Newdigate earthquake focal mechanisms are consistent with the regional stress field with the maximum horizontal stress 356 oriented northwest-southeast. None of our moment tensor solutions show a large non-double-357 couple component (Figure 5), as is sometimes observed for induced earthquakes (Sileny, 2009; Wang et al., 2018). In cases where seismicity is induced by compaction in a conventional 358 reservoir, we expect normal faulting at the edges of the reservoir, and reverse faulting within the 359 overburden (Segall, 1989). Such mechanisms have been observed for compaction-induced events 360 at large, depleting hydrocarbon fields (Ottemöller et al., 2005; Wees et al., 2014; Dahm et al., 361 2015; Willacy et al., 2018). However, for the Newdigate events, the lack of such dip-slip 362 components in the computed focal mechanisms shows that a compaction/subsidence 363 mechanism did not cause the earthquakes. A faulting mechanism consistent with the regional 364 state of stress does not completely dictate a natural cause. Induced earthquakes because of fluid 365 injection or hydraulic fracturing also usually have a rupture geometry and sense-of-slip consistent 366 with regional stress directions (Clarke et al., 2014; McNamara et al., 2015). However, there has 367 been no large fluid injection at HH-1. Injection at Brockham is of produced water, with the volume 368 injected being smaller than the volume produced from the same formation (Figure 3), resulting 369 in net fluid withdrawal 370

The mean stress drop of 3.2 MPa, given the uncertainty in corner frequency estimates, is consistent with a wide range values computed for past earthquakes in Britain (Baptie *et al.*, 2005; Ottemöller *et al.*, 2009). We can attribute the relatively low stress drop to the low shear strength of sedimentary rocks (Ottemöller *et al.*, 2005). Debate continues on whether we can use stress drop as an indicator of the events being induced. Hough (2014) suggests that induced earthquakes may have stress drops up to ten times weaker than natural events of similar

magnitudes based on ground shaking intensity. However, Zhang et al. (2016) found no significant
stress drop variation between induced and natural earthquakes. Regardless, we find no evidence
for an abnormal stress drop for the Newdigate events.

The Gutenberg-Richter *b*-value can give insights into the underlying causes of earthquakes. 380 Seismicity caused by tectonic stresses on pre-existing faults usually has a *b*-value close to unity. 381 Conversely, seismicity induced by fluid-related processes, whether natural (Wyss et al., 1997) or 382 because of fluid injection (Maxwell et al., 2012), often has a higher b-value. The high magnitude 383 of completeness and relatively small size of our catalogue means that our computed *b*-value is 384 385 poorly constrained, but our best estimates suggest it is close to the global average of 1.0. 386 However, this does not on its own rule out a causal link with industrial activities, as many cases of induced seismicity produce *b*-values close to 1. But overall this *b*-value suggests seismicity 387 controlled by tectonic stresses along a pre-existing fault, consistent with the imaged fault 388 structures (Figure 2, Figure 6). 389

In summary therefore, our observations of seismic source parameters are consistent with natural tectonic earthquakes. Given the regional stress tensor, uncertainties in the style of faulting and the static stress changes associated with the M>2 earthquakes in this sequence, it is likely that static stress triggering played a role (Figure S8 in the electronic supplement to this article). We are not required to invoke fluid pressure changes, which have explained the spatial-temporal evolution of injection-induced seismicity (Catalli *et al.*, 2013).

396 To our knowledge, seismicity caused by extraction has only been reported at very large 397 hydrocarbon fields where production has taken place for many years. In contrast, the relatively 22

398 small extraction volumes and rates at Brockham and HH-1 (Figure 3) likely do not promote 399 overburden failure. Compared to large oilfields globally, the Brockham reservoir is small, with only ~60,000 m³ reported oil and water produced, with low rates of extraction, since 2002 (Figure 400 3). This volume is several orders of magnitude smaller than reservoirs where well-documented 401 402 production-induced seismicity has occurred (Segall, 1989). At Brockham, production over roughly 15 years has been balanced by re-injection of produced formation water back into the reservoir. 403 Also, the injection of produced water into a depleted reservoir from which oil has been extracted 404 is unlikely to increase the pressure in the reservoir to above pre-production levels, making 405 induced seismicity less likely (Rubinstein and Mahani, 2015). Overall, more fluid has been 406 extracted than withdrawn, so the net fluid balance is negative, and pore pressures in the 407 Brockham field are likely lower than when the reservoir was first produced from. As a result, 408 injection at Brockham can be ruled out as a cause. Many E-W and ENE–WSW striking faults 409 410 between Brockham and the Newdigate Fault (Figure 6) likely act as a baffle to fluids or hydraulic pressure migrating towards the earthquake source region. 411

At HH-1, during the 2016 flow test, the operator injected approximately 150 m³ acid and water to open fractures in the Kimmeridge at a rate of 0.24 m³/min and pressure of 10 MPa. A shortperiod of flow then followed this testing. Compared to well-studied cases of injection-induced seismicity in the U.S. (Frohlich, 2012), these volumes and rates at HH-1 are much smaller. Also, the flow testing that followed the acid injection would likely offset any transient pressure increase. With a gap of over two years between fracture acidisation at HH-1 and the first

- earthquake, a mechanism involving a time lag of such duration is unlikely given the small volumesinjected.
- 420 The earthquakes began in April 2018, pre-dating phase two of flow testing at HH-1 on 09/07/2018
- 421 (Figure 3). The second major cluster of earthquakes had also occurred by this time. Based on
- 422 available operational data, this eliminates HH-1 as a direct cause for these events.

The toe of the HH-1 well is close to the Horse Hill Fault but does not intersect it (Figure 2), although we cannot estimate a fault damage zone width. Hypothetically, a structural connection between the Horse Hill and Newdigate Faults could support this triggering mechanism by acting as a conduit for fluid and pressure changes. Based on the available 2-D seismic profiles, although we cannot completely rule out a diffuse fault transfer zone between the north-dipping Horse Hill fault and south-dipping NGF, we find no clear evidence to suggest that these faults intersect at depth.

The final possibility is that the first events of the seismic sequence were natural, but then flow 430 testing work at HH-1 subsequently induced a resumption of seismicity. If so, the only causative 431 432 mechanism would be one of extraction and pore pressure drawdown (Teufel et al., 1991). Induced seismicity at conventional hydrocarbon fields is typically produced by compaction and 433 slip within the overburden, which requires high production volumes from large, laterally 434 extensive fields. At HH-1, the volumes produced to date are small (~7,000 m³), and oil has only 435 been produced for a very short time. We are not aware of any extraction-and-subsidence related 436 437 seismicity for such small fluid volumes reported in the scientific literature. As discussed above, 438 we would expect to have dip-slip motions associated with compaction, rather than the observed

- strike-slip mechanisms. In such cases, we expect seismicity to occur within and above the zone 439
- 440 affected by pore pressure drawdown. For such volumes, this zone is unlikely to extend more than
- .me. 441 a few hundred metres from the well-bore; not 3 km away laterally and over 1 km below the
- 442

443 **Conclusions**

Based on the available evidence and consideration of possible triggering mechanisms, we
conclude that at present, it is unlikely that anthropogenic activities induced the 2018–2019
Newdigate seismic sequence. We draw this conclusion from the following key observations of
seismicity and hydrocarbon operations:

- Timing of the start of seismic activity. Based on operators' logs, the earthquake sequence
 started before subsurface activity and flow testing/production at HH-1 in 2018.
- Location. The earthquakes occur at least 3 km from the nearest oilfield operations, which
 would be an abnormally long distance for production-induced seismicity based on past
 reported cases. The earthquakes did not occur directly above, within, or on the immediate
 flanks of the extraction reservoir, which could show an induced cause (Segall and
 Fitzgerald, 1998). We see no migration in the seismicity with time towards or away the oil
 reservoirs.
- 456 3. *Temporal correlation with ongoing oilfield activities*. Based on detailed operational logs 457 provided by the operators, we find no clear link between seismicity rate and cumulative 458 oil production or activities at either HH-1 or Brockham. Some earthquakes occurred 459 during well shut-in periods at HH-1, however if this is a factor, the stress transfer 460 mechanism is unclear.
- 461 4. Source mechanisms. Highly double-couple strike-slip focal mechanisms are consistent
 462 with the regional state of stress and background seismicity in the UK. We do not find dip 463 slip faulting mechanisms that are observed for cases of production-induced seismicity
 26

464	(Segall, 1989). The frequency-magnitude character of the seismicity is not abnormal and
465	shows a tectonic control on the earthquakes, consistent with reactivation of a pre-existing
466	fault. The presence of multiple faults imaged using 2-D seismic and double-difference
467	relocations can help to explain the swarm-like nature of the seismic sequence.
468	5. Fluid volumes and stress. The reported cumulative volumes of net production are many
469	orders of magnitude smaller than past reported cases of extraction-induced seismicity.
470	Therefore, for such volumes, we do not expect large-scale poro-elastic stress changes >10
471	MPa, which might be needed to induce seismicity (Segall, 1989). Aside from a small
472	volume injected for fracture acidisation at HH-1 in 2016, long before the first earthquake,
473	and small volumes of fluid re-injection at Brockham that are exceeded by production
474	volumes, the volumes and rates involved are very small. These amounts are dwarfed by
475	other reported cases of fluid injection-induced seismicity over large distances (Goebel and
476	Brodsky, 2018). Static stress modelling shows that earthquakes likely triggered each other
477	by loading multiple fault strands rather than any external driver of fluid pore pressure
478	changes.
479	6. Fluid pathways. There is no obvious connection between the Horse Hill and Newdigate
480	Faults which could plausibly offer a permeability pathway from HH-1 to the earthquakes.
481	Many west-east trending normal faults likely act as a baffle to fluid flow to/from

482 Brockham.

483 If all or some earthquakes were induced or triggered, then it would represent a novel mechanism484 not previously recognised for this style of oil extraction at the reported volumes. We have shown

that seismic activity can occur at shallow depths in sedimentary basins, especially where preexisting faults are optimally oriented for reactivation in the regional stress field. This result has implications for understanding the background rate of seismicity close to hydrocarbon exploration targets. Such shallow seismicity could pose a moderate seismic hazard to areas of high population density. Moreover, operators and regulators could consider operating small seismic monitoring networks near conventional oilfield operations to better understand any nearby emergent seismic sequences earlier and to reduce uncertainties.

The 2018–19 Newdigate seismic sequence was a contentious issue among members of the public, 492 493 oilfield operators, and campaign groups. Without detailed seismic observations offered by the 494 installed temporary seismic network and nearby citizen seismology sensors, large uncertainty over the causes of the sequence may have remained for the foreseeable future. Our knowledge 495 of activities at Brockham and HH-1 relies on reported operational data provided by the operators. 496 497 This source of data remains a controversial issue when determining induced versus natural causes of earthquakes. This particularly applies to industrial activities that lack any precedence 498 for causing earthquakes, and for areas with a low rate of background seismicity. As operations 499 continue in the long term, we recommend seismic monitoring close to hydrocarbon development 500 and production sites, and high-resolution reporting of operational activities (e.g. well shut-in 501 periods), production volumes and rates. Over time, longer-term monitoring could help reduce 502 503 uncertainties in correlations and casual factors. We have shown that the 2018–2019 Newdigate, 504 Surrey earthquakes offer new insight into the seismogenic potential of shallow sedimentary basins and the seismic hazard associated with these swarms. 505

506 **Data and resources**

All seismic waveform data used in this study is available from the British Geological Survey 507 (ftp://seiswav.bgs.ac.uk; last accessed May 2019) and from the RaspberryShake FDSN web 508 service. All instrumentation for the temporary seismic stations was provided by the British 509 Geological Survey. Operational data from Brockham and Horse Hill was provided by the operators 510 of those fields, Angus Energy and UK Oil and Gas, respectively. We made figures using the 511 Matplotlib (Hunter, 2007), GMT (Wessel and Smalley, 1998), and EQcorrscan (Chamberlain et al., 512 "," 513

29

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- 524 The Authors declare that there are no conflicts of interest.

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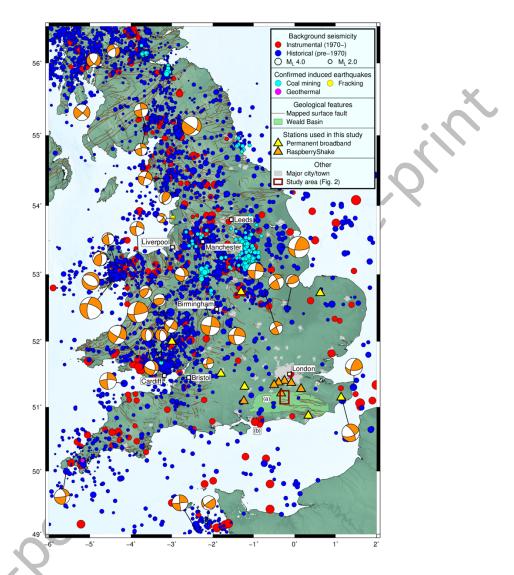
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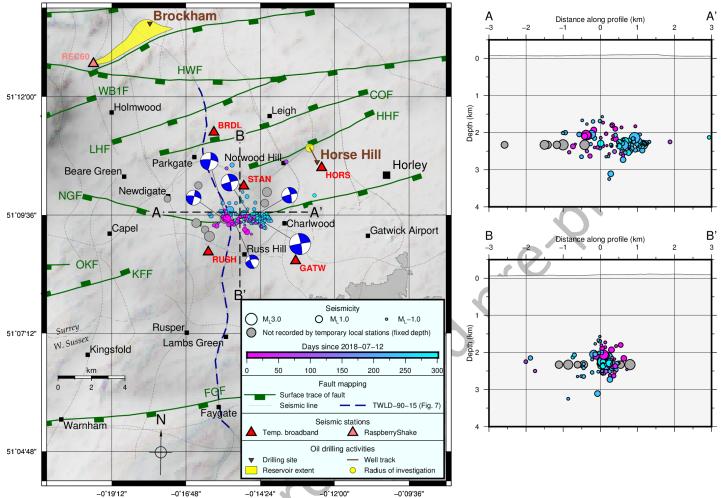
845 Figures



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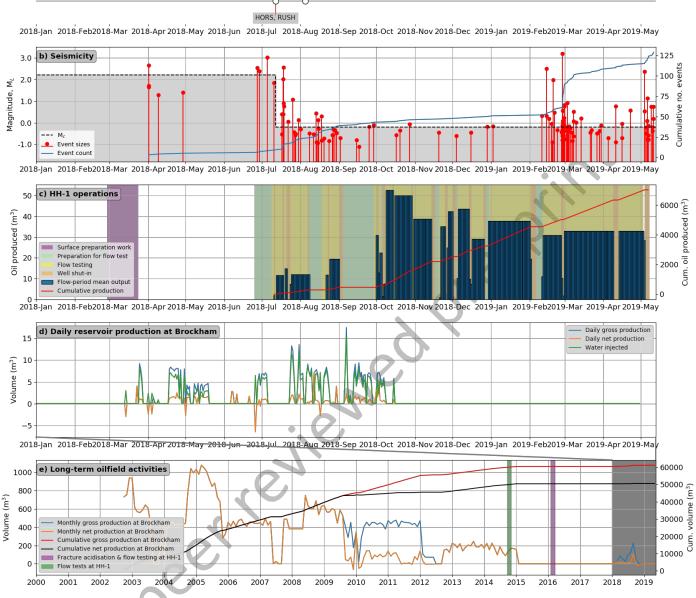
Figure 1: Regional context showing the study area (brown rectangle), together with instrumental and
historical seismicity context of England and Wales from the BGS catalogue. Induced earthquakes are
from Wilson et al. (Wilson *et al.*, 2015) Regional seismic stations used in this study are shown. Mapped
surface fault traces come from BGS

- 851 (https://www.bgs.ac.uk/data/services/kml/BGS_GEOLOGY_625_faults.kmz; last accessed May 2019).
- Past earthquake focal mechanisms (orange beachballs) come from Baptie, 2010 and from BGS annual
- 853 earthquake bulletin reports. Labels a) and b) refer to the 2005 Billingshurst and 1811–1834 Chichester
- 854 sequence, respectively, which are discussed in the text.



This is a non-peer-reviewed pre-print submitted to Seismological Research Letters. Findings are subject to change.

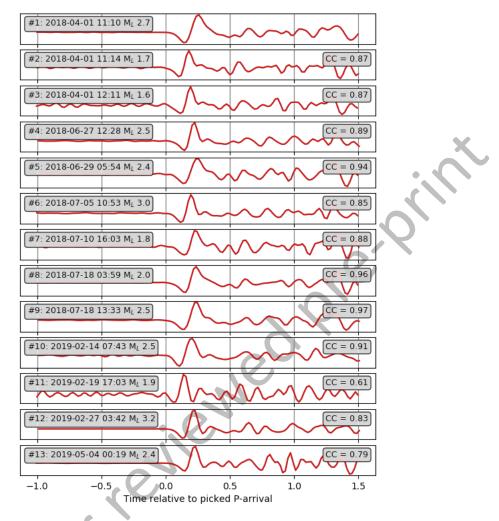
Figure 2: Left: map of the study area showing relocated earthquakes of the 2018–2019 Newdigate sequence, focal mechanisms, mapped faults, local seismic stations, 2-D seismic lines (Note S6 in the electronic supplement to this article), and the locations of oilfield activities. Only high-quality earthquake hypocentres are plotted with a maximum azimuthal gap of less than 200°. Earthquake locations are coloured to show their evolution through time. Dark grey circles indicate earthquakes that occurred before the installation of the temporary local seismic network, and therefore have uncertain locations, with fixed depths. 2-D seismic profile TWLD-90-15 is shown in Figure 6. Right: N-S and W-E cross-sections of seismicity with event hypocentres. The cross-section locations are labelled on the map. The definition of fault abbreviations are as follows: BHF = Box Hill Fault; BRF = Brockham Fault; BUF = Buckland Fault; COF = Collendean Fault; FGF = Faygate Fault; HWF = Holmwood Fault; HHF = Horse Hill Fault; KFF = Kingsfold Fault; LHF = Leigh Fault; NGF = Newdigate Fault; OKF = Ockley Fault; WCF = Westcott Fault; WB1F = Whiteberry-1 Fault.



This is a non-peer-reviewed pre-print submitted to Seismological Research Letters. a) Local seismic network installation Findings are subject to change.

Figure 3: Timeline comparing evolution of the Newdigate seismic swarm with nearby oil field activities. a) Installation dates of the local temporary seismic monitoring network. (a) detected seismicity, cumulative number of events, and the grey shaded area indicating the approximate completeness magnitude of the catalogue over time. (c) Horse Hill-1: operations timeline (shaded boxes) together with flow-period averaged production and cumulative production over time. d) Daily reservoir production and injection values at Brockham. (e) A long-term view of operations, with the time interval shown in panels above covering the 2018–2019 period delineated by the grey box and connecting lines.

This is a non-peer-reviewed pre-print submitted to Seismological Research Letters. Findings are subject to change.



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858Figure 4: Lowpass-filtered (10 Hz) vertical-component waveforms recorded at RaspberryShake (RS)859station REC60 (~8 km epicentral distance) showing similarity between the largest events ($M_L > 1.5$) of860the Newdigate sequence. Waveform cross-correlation (CC) values computed in a window starting 0.02 s861and ending 0.70 s after the picked P-wave arrival are labelled and are calculated with respect to the first862event in the sequence (#1).

This is a non-peer-reviewed pre-print submitted to Seismological Research Letters. i) Findings are subject to change.

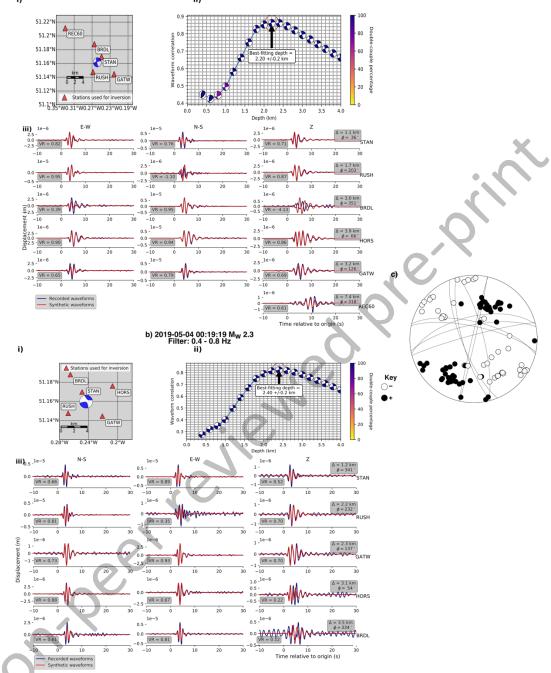
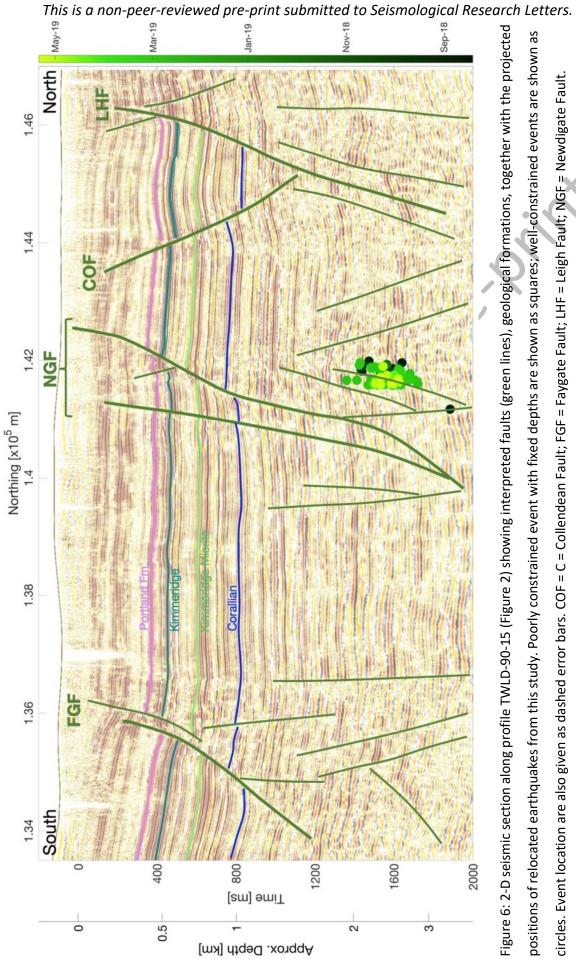


Figure 5: a) and b) Moment tensor solutions for two earthquakes observed by local stations. i) Map showing stations and best-fitting focal mechanism; ii) waveform correlation as a function of centroid depth; iii) waveform fits. "VR" = variance reduction. c) Observed first-motion P-wave polarities compared to faults planes from the best-fitting focal mechanisms from moment tensors a) and b).



This is a non-peer-reviewed pre-print submitted to Seismological Research Letters. Findings are subject to change.

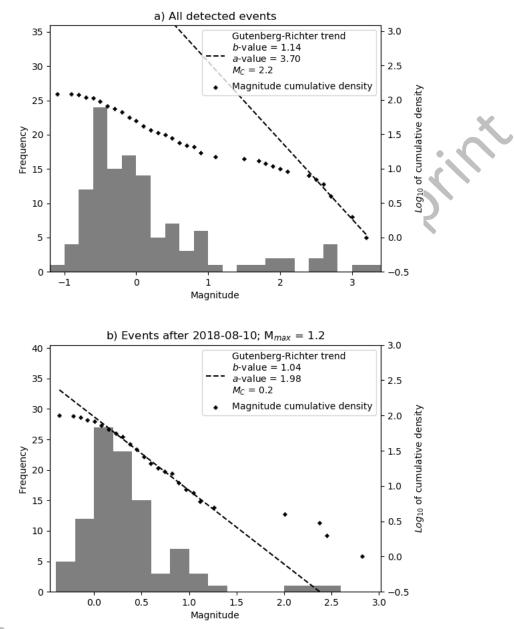


Figure 7: Individual and cumulative frequency-magnitude distributions together with Gutenberg-Richter (G-R) relationship fits for a) the entire sequence and b) the sequence recorded by the temporary local monitoring network, with magnitudes scaled to an equivalent M_w , and G-R fits truncated to maximum magnitude of 1.2.

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Supplementary Notes

S1 Velocity model and relocation

We need a robust velocity model for well-constrained earthquake locations, and to make sure no systematic errors bias the hypocentral locations. A 1-D seismic velocity model should represent the average structure along each seismic ray-path, especially close to the source. We based our initial 1-D velocity model on constraints from the BGS's "General UK" operational model and the CRUST1.0 model (Laske *et al.*, 2013) for south-east England. We then improved this using sonic logs from the Brockham (UKOGL, 2019) and Horse Hill wells (UKOG, personal communication). We tried different layer thicknesses and seismic velocity perturbations in terms of the stability of event locations, spatial clustering of events, and the average residual between observed and theoretical seismic wave arrival times. We also experimented with including a depth-varying S-wave velocity model based on Poisson's ratio constraints at the nearby Balcombe Well (UKOG, personal communication). Overall, we found that a depth-varying shear-wave velocity resulted in higher arrival time residuals, so we used a constant v_p/v_s ratio of 1.73, as per the BGS operational model, which is consistent with Wadati plot analysis. Figure S1 and Table S1 in the electronic supplement to this article show our preferred 1-D layered velocity model.

We re-picked P- and S-wave arrival times from all events, classifying the error on each pick because of arrival time uncertainty from 0 to 4 (where 0 shows the smallest error of ~0.1 s and 4 is the largest error of > 1.5 s). We performed iterative picking and initial relocation of events using the SDX software package (Hicks *et al.*, 2014).

For the events recorded by only two temporary stations, we found that inclusion of stations at longer epicentral distances (> 25 km) resulted in poorly constrained depths, even though we applied a distance weighting to observations in the relocation strategy (Theunissen *et al.*, 2018).

Even with an appropriate velocity model, local RS stations, and our relocation strategy, events that occurred before the installation of the dedicated local network had poorly constrained hypocentre parameters, with depths ranging from 0 km to 2.4 km and mean depth uncertainties of +/- 1.5 km. Because of this inherent uncertainty for the earlier events in the sequence, we first focussed on the events recorded by all five local temporary stations had recorded.

For double-difference relocation, we used a combination of phase arrival times and waveform cross-correlations for both P- and S-waves. We used the software toolbox, hypoDDpy (Krischer, 2015). Waveform cross-correlation values were computed on windows of data starting 0.05 s before the picked arrival time and ending 0.20 s after the picked arrival time. Data windows were bandpass filtered between 1.5 and 20.0 Hz. The relocated origins were inverted for using singular value decomposition.

Station GATW suffered from timing problems from the period 12/23/2018 to 27/03/2019. Therefore, we used S-P relative observations for the single-event locations for earthquakes within this period. For the double-difference relocation, we excluded absolute arrival times and cross-correlation data from this station during the above time period.

S2 Magnitude estimation

We computed event magnitudes from the largest zero-to-peak displacement in nanometres on 3-component waveforms in a window starting at the P-wave arrival and ending at a time of 30 seconds after the theoretical Lg arrival time (assuming a minimum Lg velocity of 3 km/s). Waveforms were high-pass filtered at 1.25 Hz, and we only used waveforms with a signal-tonoise ratio of greater than 2. We used the largest amplitude of all station components (this allowed the use of measurements from single-component RS stations) to calculate station magnitudes using the scale of Luckett et al. (2019), which builds on Butcher et al. (2017) to account for near-field amplitude attenuation and the scale of Ottemöller and Sergeant (2013) for regional distances. We then computed the overall event magnitude using a 25% trimmed mean to reject outliers. This magnitude scale is largely based on existing data, and as a result, significant residuals may be expected in areas with few earthquakes and recording stations such as southeast England.

S3 Waveform moment tensor inversion

For long-period waveform inversion, we use the ISOLA software (Sokos and Zahradnik, 2007), which uses a least-squares inversion to solve for moment tensor point-sources, with a grid search to solve for the best-fitting centroid time and position of trial point-sources. We fix the centroid location at the hypocentre position and allow centroid depth to vary with an interval of 200 m. We computed Greens Functions using the frequency-wavenumber algorithm of Bouchon (1981) using the layered velocity model described above (Figure S1). Because of the relatively small

magnitude of the events, and proximity of the local stations (<8 km epicentral distance), we filtered the waveforms at relatively high frequencies (0.33 – 1.0 Hz). We cross-checked the best-fitting moment tensor solutions with observed first-motion polarities. We also checked stability and uncertainty of moment tensor solutions by jack-knifing waveform data. We found that the resulting moment magnitudes are in excellent agreement with the computed local magnitudes. A similar good correlation is found between centroid and hypocentre depths (Figure S7b) in the electronic supplement to this article).

S4 Stress drop estimates from displacement spectra

We computed stress drops for the largest events of the sequence ($M_L \ge 2.0$) by fitting source amplitude spectra using a Brune source model following the approach of Ottemöller & Havskov (2016), which has been successfully applied to UK earthquakes (Ottemöller *et al.*, 2009). We used records at short epicentral distance (< 8 km) from microseismic events ($M_L < 1.5$) to determine site attenuation, κ using the displacement-slope method (Kilb *et al.*, 2012) at frequencies of 2.5-15 Hz - well below the expected corner frequency for these event magnitudes. We found a mean κ of 0.02, consistent with the results of Ottemöller et al. (2009) for the 2007 Folkestone earthquake, with stations located in south-eastern England. We used an UK-average attenuation model documented in Ottemöller et al. (2009). To negate the effect of weaker signal quality and greater regional attenuation effects at longer distances, we only computed corner frequencies and moment magnitudes at local seismic stations (< 8 km distance).

S5 Static (Coulomb stress modelling)

Using the earthquake locations, focal mechanisms and the mapping of faults from seismic profiles, static (Coulomb) stress changes from the largest earthquakes (M>2) in the sequence were calculated. The static stress is defined by Equation 1:

$$\Delta CST = \Delta \tau - \mu (\Delta \sigma + \Delta P) \tag{Eq.1}$$

where ΔCST is the Coulomb stress transfer, $\Delta \tau$ is the change in shear stress (in the direction of fault slip), μ is the coefficient of friction, $\Delta \sigma$ is the change in normal stress and ΔP is the change in pore fluid pressure (Harris and Simpson, 1992, 1998). Herein we do not consider changes in pore fluid pressure when calculating the static stress change because we lack direct measurements of this at the depths the earthquakes occurred at. In addition, if the sequence can be explained by static stress triggering alone without invoking pore fluid pressure changes, then this would suggest a natural cause to these earthquakes. Poro-elastic stress changes have been suggested to trigger earthquakes by injection (Segall and Lu, 2015). These calculations were performed using Coulomb 3.4 (Toda *et al.*, 2005). Uniform slip on square faults were modelled because of the lack of information of detailed slip distribution of fault plane size/geometry. This will affect our interpretation when earthquake epicentres are located close together (Steacy *et al.*, 2004).

There are a number of uncertainties in these models, primarily regarding the fault geometry/orientation. To account for this, the static stresses have been resolved onto fault geometries consistent with the Newdigate and Horse Hill faults, and optimally orientated strike-

slip and thrust faults (given a regional stress tensor from Baptie (2010) with sigma-1 orientated NW-SE).

S6 Fault mapping from 2-D seismic profiles

A selection of 2-D seismic lines was provided by the UK Onshore Geophysical Library (Figure 2) and acquired in this area since the early 1960s. We only used surveys acquired from the late 1970s onwards in our analysis as these showed improved data quality. We used a total of 28 individual 2-D lines, most of which ran either North-South or East-West. The seismic lines were visualised using OpendTect (https://www.dgbes.com). Large normal faults were typically clearly visible (Figure 6), running from near-surface layers through Mesozoic sediments and to the limits of well-resolved data. These faults were picked on individual lines and then their positions extrapolated between each 2-D line. The spacing of 2-D lines is such that the interpreted fault positions shown in Figure 2 are not unique, but the overall pattern of both northward and southward-dipping, east-west trending normal faults is clear. In total we mapped 14 faults in the area.

S7 Moment tensor dip uncertainty

Although the focal mechanisms for events that occurred before the full installation of the local network have a strike closer to WNW-ESE (Figure 2, Figure 5c), this could reflect the curved strike of the NGF or more likely, could be an artefact due to insufficient station coverage.

We inverted for a moment tensor using a fixed mechanism using the same fault geometry as the best solution for all events but forcing a south-dipping fault. We found that this a south-dipping fault fails explain the observed waveforms as well, reducing the optimum variance reduction by 36% relative to the best-fitting (north-dipping) moment tensor. Furthermore, we found a small moment tensor uncertainty corresponding to a mean Kagan angle of 5°.

Supplementary Figures

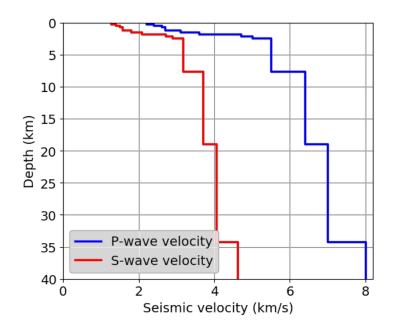


Figure S1: Our optimum 1-D P- and S-wave layered velocity model used for event relocations and moment tensor inversion, which is based on a nearby interpreted well log at the Brockham field.

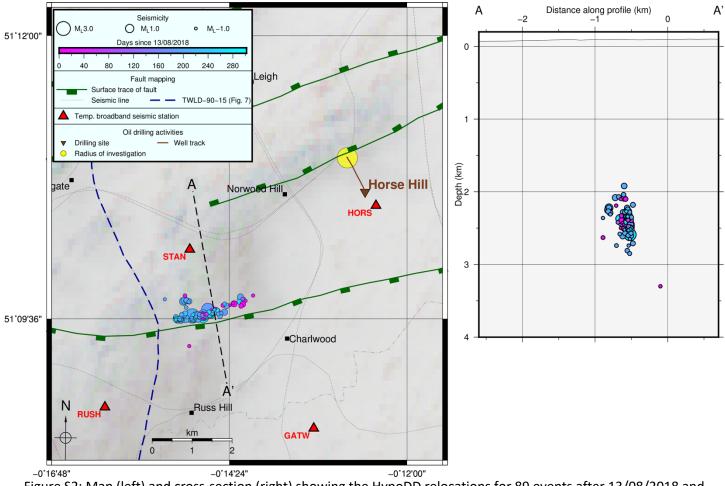


Figure S2: Map (left) and cross-section (right) showing the HypoDD relocations for 89 events after 13/08/2018 and coloured by time.

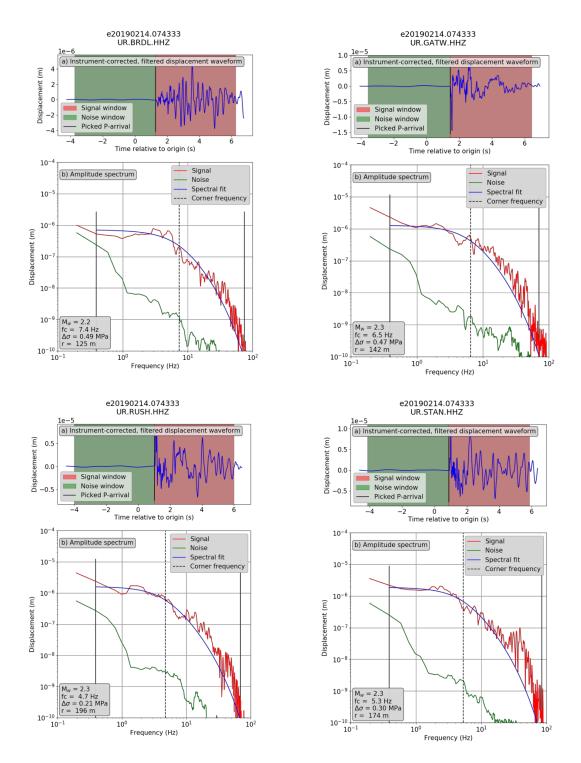


Figure S3: Spectral fits to displacement spectra for the 2017-02-14 event. Each of the four panels shows the waveforms and spectral fits for four of the near-field seismic monitoring stations. Computed source parameters are shown in the white box in each case.

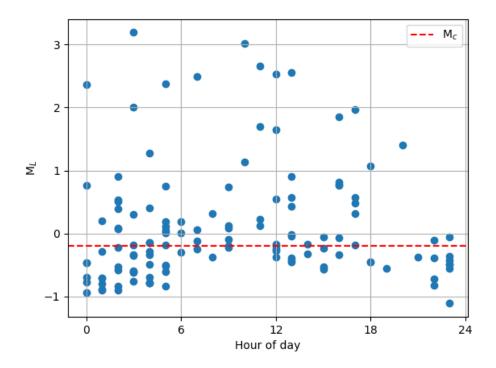


Figure S4: Scatter plot showing local magnitude versus hour of day for all detected events. The red line shows the magnitude of completeness based on analysis of the frequency-magnitude distribution.

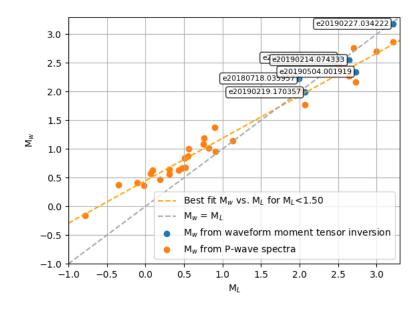


Figure S5: Scaling between local magnitude, M_L with estimated moment magnitude from P-wave spectral inversion and from moment tensor inversion for the larger events (labelled). The orange line shows a regression fit between M_L and M_w calculated from spectra, and this fit is used to compute equivalent moment magnitudes for Gutenberg-Richter analysis in Figure 7b.

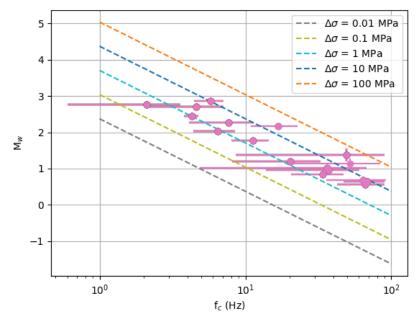


Figure S6: Estimated stress drops for a cluster of 17 events recorded on the local temporary seismic network from P-wave spectra and assuming a Brune source model. Error bars show standard deviations in corner frequency and moment magnitude

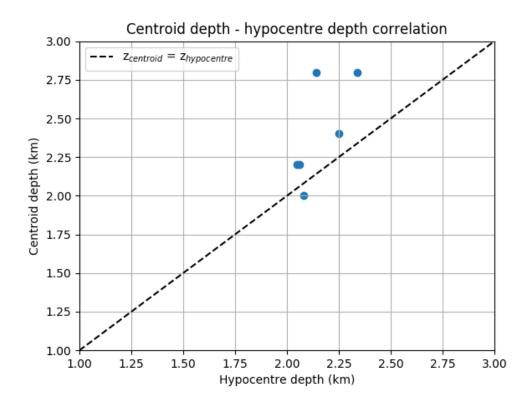


Figure S7: Correlation between magnitude (a) and depth (b) estimates from hypocentre location and moment tensor inversion.

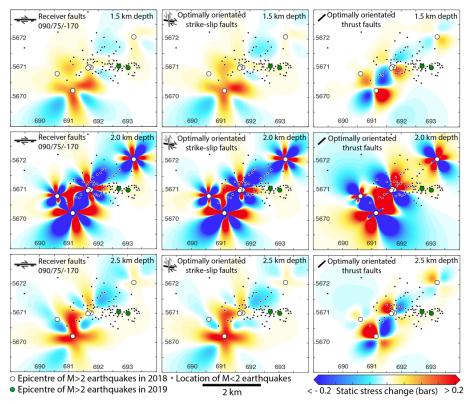


Figure S8: Static stress changes associated with M>2 earthquakes in 2018, resolved onto faults with three different geometries, 1.) parallel to the Newdigate/Horse Hill faults, 2.) optimally orientated strike-slip faults and 3.) optimally orientated thrust faults, at a range of depths. Across the range of uncertainties, the majority of earthquakes in the sequence, including the M>2 events in 2019, plot in regions of positive static stress change, suggesting that static stress triggering has played a role in this sequence.

Supplementary Tables

Table S1: Layered velocity model used for the hypocentre relocation and moment tensor inversion in this study.

Depth to top of layer (km)	P-wave velocity (km/s)	S-wave velocity (km/s)
0.0	2.2	1.3
0.2	2.4	1.4
0.4	2.6	1.5
0.7	2.7	1.6
1.2	3.1	1.8
1.5	3.6	2.1
1.8	4.7	2.7
2.1	5.0	2.9
2.4	5.5	3.2
7.6	6.4	3.7
18.9	7.0	4.1
34.2	8.0	4.6

Table S2: Summary of hypocentre parameters relocated using NonLinLoc. The * symbol denotes a fixed hypocentre depth due to the lack of nearby seismic stations. The † symbol denotes a poorly constrained hypocentre location due to poor azimuthal coverage. For a detailed dataset of source parameters, please see the spreadsheet located at

https://docs.google.com/spreadsheets/d/1lCrOJtql1syPlofSe0OCp0RJQkO36lBLuyl8CrA7EAU/edit?usp=s haring.

	Time	Latitude	Longitude	Depth	ML
Date	(UTC)	(°)	(°)	(km)	
01/04/2018	11:10:58	51.1588	-0.2591	2.33*	2.66
01/04/2018	11:14:00	51.1551	-0.2698	2.33*	1.70
01/04/2018	12:11:12	51.1700	-0.2734	2.33*	1.65
09/04/2018	04:13:58	51.1924	-0.2067	2.33*	1.28
28/04/2018	20:38:35	51.1655	-0.2900	2.33*	1.40
27/06/2018	12:28:23	51.1678	-0.2365	2.33*	2.53
29/06/2018	05:54:10	51.1573	-0.2745	2.33*	2.38
05/07/2018	10:53:24	51.1528	-0.2674	2.33*	3.02
10/07/2018	16:03:10	51.1640	-0.2377	2.33*	1.85
17/07/2018	02:24:52	51.1595	-0.2472	1.90	0.39
17/07/2018	02:27:01	51.1588	-0.2448	1.86	-0.53
18/07/2018	03:59:56	51.1588	-0.2579	2.08	2.01
18/07/2018	04:00:09	51.1551	-0.2484	1.80	0.40
18/07/2018	13:33:18	51.1592	-0.2585	2.06	2.55
18/07/2018	13:33:39	51.1573	-0.2555	1.93	0.90
18/07/2018	16:28:28	51.1543	-0.2472	2.16	0.77
22/07/2018	05:11:53	51.1565	-0.2365	0.40	0.05
25/07/2018	18:50:21	51.1610	-0.2591	2.10	1.07
26/07/2018	23:20:13	51.1577	-0.2442	2.62	-0.43
27/07/2018	23:37:05	51.1625	-0.2567	1.13†	-0.56
28/07/2018	05:56:27	51.1562	-0.2394	1.83	-0.51
30/07/2018	11:03:38	51.1375	-0.2287	0.39†	0.12
01/08/2018	01:59:01	51.1595	-0.2507	2.03	-0.29
08/08/2018	04:34:56	51.1588	-0.2519	1.73	-0.49
11/08/2018	15:08:34	51.1597	-0.2487	1.81	-0.53
13/08/2018	13:36:52	51.1564	-0.2635	2.37	0.43
14/08/2018	01:23:24	51.1575	-0.2504	2.35	-0.90
15/08/2018	00:11:06	51.1573	-0.2626	2.31	-0.94
15/08/2018	09:15:06	51.1567	-0.2570	2.63	0.12
15/08/2018	12:28:55	51.1586	-0.2445	2.25	-0.27
17/08/2018	22:07:55	51.1565	-0.2484	2.18	-0.82
18/08/2018	03:21:58	51.1579	-0.2522	2.35	0.30
19/08/2018	22:21:27	51.1586	-0.2481	2.09	-0.72
24/08/2018	02:53:43	51.1595	-0.2496	2.57	-0.83
26/08/2018	15:15:03	51.1573	-0.2424	2.27	-0.57
27/08/2018	06:58:19	51.1567	-0.2540	2.40	-0.30
28/08/2018	16:24:37	51.1565	-0.2650	2.53	-0.34
30/08/2018	00:22:10	51.1579	-0.2487	2.36	-0.47

					•
02/09/2018	01:45:27	51.1571	-0.2552	2.40	-0.71
15/09/2018	01:17:28	51.1536	-0.2448	2.46	-0.80
16/09/2018	23:51:50	51.1577	-0.2466	2.36	-1.11
25/09/2018	05:26:53	51.1594	-0.2433	2.30	-0.18
28/09/2018	22:55:00	51.1781	-0.2260	2.25	-0.11
16/10/2018	19:52:12	51.1590	-0.2451	2.57	-0.56
19/10/2018	23:43:45	51.1758	-0.2582	2.50	-0.36
27/10/2018	15:02:03	51.1586	-0.2475	2.51	-0.06
20/11/2018	00:54:59	51.1582	-0.2457	2.30	-0.46
04/12/2018	05:07:15	51.1571	-0.2528	2.14	-0.60
15/12/2018	18:11:55	51.1595	-0.2448	2.50	-0.45
28/12/2018	17:15:08	51.1623	-0.2671	2.26†	-0.18
02/01/2019	12:02:46	51.1665	-0.2570	3.14	-0.17
10/02/2019	17:10:10	51.1579	-0.2409	2.35	0.31
14/02/2019	07:43:33	51.1586	-0.2439	2.34	2.49
14/02/2019	08:02:53	51.1612	-0.2612	2.05	0.32
16/02/2019	06:58:46	51.1769	-0.2552	2.15	0.19
18/02/2019	13:16:20	51.1577	-0.2418	2.51	-0.04
19/02/2019	02:38:52	51.1678	-0.2531	3.13	-0.90
19/02/2019	17:03:57	51.1616	-0.2564	2.05	1.97
20/02/2019	17:27:36	51.1582	-0.2409	2.43	0.48
23/02/2019	04:43:10	51.1603	-0.2674	2.01	-0.34
26/02/2019	13:27:55	51.1577	-0.2418	2.39	-0.43
27/02/2019	03:42:21	51.1582	-0.2397	2.14	3.19
27/02/2019	03:43:40	51.1640	-0.2531	2.42	-0.34
27/02/2019	03:43:54	51.1580	-0.2400	2.42	-0.18
27/02/2019	03:51:32	51.1642	-0.2400	2.25	-0.15
27/02/2019	03:59:30	51.1646	-0.2499	2.64	-0.60
27/02/2019	04:11:32	51.1655	-0.2507	2.65	-0.79
27/02/2019	04:46:52	51.1556	-0.2374	2.28	-0.15
27/02/2019	05:17:39	51.1620	-0.2469	2.19	-0.50
27/02/2019	07:25:54	51.1573	-0.2448	2.44	-0.25
27/02/2019	07:23:34	51.1640	-0.2448	2.44	-0.25
27/02/2019	09:15:36	51.1603	-0.2388	2.31	0.08
27/02/2019	09:43:16	51.1590	-0.2356	2.31	-0.19
27/02/2019	12:35:22	51.1597	-0.2380	2.30	0.55
27/02/2019	13:05:33	51.1590	-0.2368	2.48	0.55
27/02/2019	13:05:46	51.1586	-0.2308	2.48	-0.02
27/02/2019	15:45:52	51.1620	-0.2330	2.29	-0.02
27/02/2019	16:04:34	51.1580	-0.2455	2.34	-0.24
28/02/2019	03:16:44	51.1580	-0.2355	3.25	-0.07
28/02/2019		51.1556		2.30	-0.59
28/02/2019	04:26:10 04:48:50	51.1556	-0.2380 -0.2582	1.77	-0.89
28/02/2019	05:37:53	51.1564	-0.2385	2.45	0.01
28/02/2019	05:39:37	51.1560	-0.2380	2.30	0.11
28/02/2019	07:07:49	51.1562	-0.2394	2.47	0.06
28/02/2019	09:33:29	51.1640	-0.2603	2.65	-0.10
28/02/2019	11:15:48	51.1597	-0.2665	2.00	0.22
28/02/2019	13:02:12	51.1580	-0.2341	2.25	-0.39
28/02/2019	16:51:59	51.1607	-0.2490	3.11	0.82

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28/02/2019	16:52:06	51.1577	-0.2442	2.73	0.76
01/03/2019	00:00:00	51.1579	-0.2439	2.19	-0.77
02/03/2019	09:50:27	51.1603	-0.2531	1.69	-0.22
03/03/2019	02:33:18	51.1594	-0.2391	2.19	0.91
03/03/2019	04:48:14	51.1586	-0.2415	2.22	-0.28
03/03/2019	22:31:33	51.1582	-0.2457	2.33	-0.39
04/03/2019	14:18:06	51.1590	-0.2403	2.16	-0.17
05/03/2019	12:16:45	51.1601	-0.2558	1.70	-0.25
06/03/2019	18:18:56	51.1580	-0.2377	2.05	-0.45
07/03/2019	05:53:38	51.1601	-0.2522	1.57	-0.83
08/03/2019	02:39:41	51.1594	-0.2362	2.31	0.51
08/03/2019	02:40:30	51.1595	-0.2365	2.33	0.07
08/03/2019	03:01:42	51.1605	-0.2600	1.67	-0.62
10/03/2019	06:51:21	51.1663	-0.2472	2.07	0.01
14/03/2019	01:00:58	51.1590	-0.2445	2.29	0.20
21/03/2019	13:08:47	51.1588	-0.2400	2.16	-0.45
22/03/2019	14:02:45	51.1594	-0.2362	2.26	-0.33
26/03/2019	23:04:41	51.1595	-0.2377	2.38	-0.49
28/03/2019	21:07:53	51.1590	-0.2415	2.24	-0.38
30/03/2019	07:20:47	51.1603	-0.2365	2.29	-0.12
05/04/2019	00:58:01	51.1558	-0.2531	1.77	-0.70
11/04/2019	00:18:34	51.1594	-0.2421	2.54	0.76
11/04/2019	01:20:04	51.1579	-0.2463	2.26	-0.89
15/04/2019	23:54:51	51.1601	-0.2368	2.38	-0.05
16/04/2019	01:17:42	51.1577	-0.2490	2.32	-0.71
22/04/2019	17:16:55	51.1594	-0.2415	2.50	0.57
04/05/2019	00:19:19	51.1595	-0.2436	2.25	2.36
04/05/2019	02:03:08	51.1594	-0.2457	2.37	0.53
04/05/2019	23:20:46	51.1586	-0.2487	2.33	-0.49
05/05/2019	02:11:19	51.1594	-0.2457	2.32	-0.22
06/05/2019	02:55:36	51.1601	-0.2374	2.32	0.08
06/05/2019	03:01:11	51.1601	-0.2380	2.33	-0.76
06/05/2019	10:19:23	51.1579	-0.2528	2.29	1.13
07/05/2019	02:04:58	51.1605	-0.2433	2.52	-0.58
07/05/2019	04:05:35	51.1597	-0.2421	2.36	-0.15
07/05/2019	04:21:14	51.1605	-0.2368	2.30	-0.78
09/05/2019	09:46:43	51.1594	-0.2457	2.26	0.74
10/05/2019	12:10:37	51.1579	-0.2457	2.30	-0.38
10/05/2019	12:49:43	51.1666	-0.2109	2.13	-0.20
11/05/2019	05:05:01	51.1601	-0.2421	2.30	0.19
11/05/2019	05:05:37	51.1601	-0.2421	2.22	0.75

Table S3: Static stress transferred to the epicentres of the M>2 earthquakes in the sequence from all prior M>2 earthquakes.

Date and magnitude of	Static stress at	Notes
earthquake	epicentre (bars)	
27/06/18, M _L 2.5	-0.0045	±30° strike makes stress positive
29/06/18, ML 2.4	0.0109	
05/07/18, M _L 3.0	-0.0626	Difficult to make positive with changes
		in strike or depth
18/07/18, M _L 2.0	-8.1352	Too close to location of the first
		earthquake on 01/04/18 to draw
		conclusion
18/07/18, ML 2.5	-4.4294	Too close to location of the first
		earthquake on 01/04/18 to draw
		conclusion
14/02/19, M _L 2.5	-0.0002	±0.1km change in depth changes stress
		to positive
27/02/19, M∟3.1	0.0102	