

1 **Impact of GHG mitigation measures in sanitation**
2 **service chains: focusing on septic tanks and sewers**

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20 **ABSTRACT**

21 Sanitation service chains (SSC) in many cities in low- and middle-income countries are
22 complex and comprise poorly managed on-site and centralized technologies that emit
23 greenhouse gases (GHG). In this study, we aimed to estimate the impact of GHG mitigation
24 measures along SSCs and account for the interdependencies of SSC components with respect
25 to GHG emissions. Using an SSC in Hanoi, we employed a mass balance approach, empirical
26 emission equations, and a carbon footprint estimation model to estimate GHG emissions by
27 component at baseline and four mitigation scenarios. At baseline, the SSC emitted 3,698–
28 5,147 ton CO₂e/year, with CH₄ accounting for 78–85% of the total emissions. Infrequently
29 emptied septic tanks accounted for 44–60% of the total emissions, followed by poorly
30 maintained sewers (23–32%) and a wastewater treatment plant (WWTP, 17–24%). Scenario
31 comparison showed that removing septic tanks alongside sewer improvement led to 15–24%
32 lower GHG emissions compared to frequent septic tank emptying with sewer improvements,
33 despite a slight increase in the N₂O emissions at the WWTP. Therefore, if not removed, septic
34 tanks will remain an important source of GHG emissions even after a centralized sanitation is
35 established. However, their removal may pose significant social challenges.

36 **KEYWORDS:** septic tanks, GHG estimation, urban wastewater management, GHG
37 mitigation; sanitation service chains

38 **SYNOPSIS:** Poorly managed septic tanks and sewers constitute a major source of GHG
39 emissions along sanitation service chains. Removing septic tanks and improving sewers are
40 key CH₄ emission mitigation measures.

41 **Introduction**

42 Globally, the sanitation sector constitutes a potential source of greenhouse gas (GHG)
43 emissions.¹ In urban areas, sanitation service chains (SSCs) include a wide range of
44 technologies and can be categorized under four functional components, namely, (i)
45 containment (e.g., pit latrines and septic tanks), (ii) emptying and transportation (e.g., vacuum
46 trucks and sewers), (iii) treatment (e.g., wastewater or fecal sludge treatment plants), and (iv)
47 end-use and disposal (e.g., fertilizer production, landfilling, and discharge into the
48 environment).² The technologies associated with these different SSC components vary by city,
49 and in low- and middle-income countries (LMIC) most especially,²⁻⁴ SSCs often consist of a
50 combination of complex on-site/pretreatment systems and centralized sanitation systems with
51 sewer networks.

52 Each SSC component can be a potential source of GHG emissions, particularly when the SSC
53 is poorly maintained. For example, with respect to containment components, septic tanks with
54 long emptying intervals tend to emit more CH₄ than those with shorter emptying intervals.⁵
55 Similarly, in emptying and transportation component, poorly maintained gravity sewers could
56 be a source of CH₄ emissions due to stagnant wastewater.^{6,7} Furthermore, during a periods of
57 high flow, sewers can also be a source of N₂O emissions.⁸ Notably, CH₄ and N₂O have,
58 respectively, 28 and 273 times higher global warming potentials (GWP) than that of CO₂ over

59 a 100-year timescale.⁹ Wastewater treatment plants (WWTPs) and fecal sludge treatment plants
60 (FSTPs) constitute potential sources of CH₄, N₂O, and CO₂, even when properly operated,
61 owing to their associated biochemical reactions and energy-intensive nature.^{10–14}

62 In most previous studies on GHG emissions from SSCs, the focus has been on emissions related
63 to individual SSC components. Recently, the first study aimed at estimating city-scale GHG
64 emissions by considering all SSC components was conducted in Kampala, Uganda.¹⁵ However,
65 to the best of our knowledge, the GHG emission reduction potentials of different mitigation
66 measures along SSCs have not yet been studied in detail. An effective strategy for mitigating
67 GHG emissions is to improve the current state of SSCs via adequate management and
68 maintenance by optimizing operational conditions or restructuring SSC components. However,
69 examining the GHG emission mitigation impacts of different measures, particularly from an
70 integrated-system perspective, remains challenging given that a change/improvement in a
71 component affects subsequent downstream components.

72 For example, shortening the emptying intervals of septic tanks could reduce CH₄ emissions but
73 could also lead to higher GHG emissions from the increased frequency of transportation by
74 vacuum trucks and the higher operational demands on FSTPs to handle the larger volumes of
75 emptied fecal sludge. Accordingly, the impact of emptying and transportation using vacuum
76 trucks and treatment at FSTPs must be considered. Furthermore, shortening septic tank
77 emptying interval could also affect the quality of septic tanks effluents, thereby influencing
78 emissions from subsequent components, such as sewers and WWTP. As a result, mitigating
79 GHG emissions from a single component of an SSC can lead to changes in GHG emissions for
80 downstream components, and hence, alter overall emissions. To examine the overall effects of

81 mitigation measures along SSCs, it is necessary to estimate changes in emissions from each
82 upstream and downstream component, taking their interdependencies into account.

83 Therefore, in this study, we aimed to estimate the impact of GHG mitigation measures along
84 SSCs and account for the interdependencies of the SSCs components with respect to GHG
85 emission. Specifically, we investigated different scenarios based on a typical case study from
86 an LMIC, the Truc Bach sewerage and drainage area in Hanoi City, Vietnam. First, we
87 estimated the current state of GHG emission in this study area. Thereafter, we estimated GHG
88 emission under four potential mitigation scenarios based on the following measures: frequent
89 emptying of septic tanks, removal of septic tanks, and/or improving sewer conditions. These
90 mitigation measures were selected not only to identify the most effective mitigation strategy
91 for the selected sewerage and drainage area, but also to provide reference information that can
92 be employed to mitigate GHG emissions under similar settings in other countries.

93 **Materials and methods**

94 **Study area**

95 The study area was selected based on the following criteria: (i) the SSC comprises all SSC
96 components; (ii) the SSC is representative of SSCs in LMIC, in terms of management and
97 component conditions; and (iii) accessibility and availability of data including septic tank GHG
98 emissions, wastewater characteristics (e.g., blackwater and graywater, fecal sludge, WWTP
99 influent), WWTP configuration, and the proportion of wastewater collected and transferred
100 from each component of the SSC (Table S1-2). The sewerage and drainage area that complied

101 the most to these criteria was the Truc Bach drainage area in urban Hanoi, Vietnam (Figure
102 S1-1).

103 In Hanoi, 88% of households rely on septic tanks,¹⁶ with an average emptying interval of 10.2
104 years.¹⁷ Typically, these septic tanks receive only blackwater and consist of two or three
105 compartments.¹⁸ Effluent from these septic tanks is discharged into sewers or open drains,
106 while graywater from households is often directly discharged into combined gravity sewers or
107 open drains.¹⁹

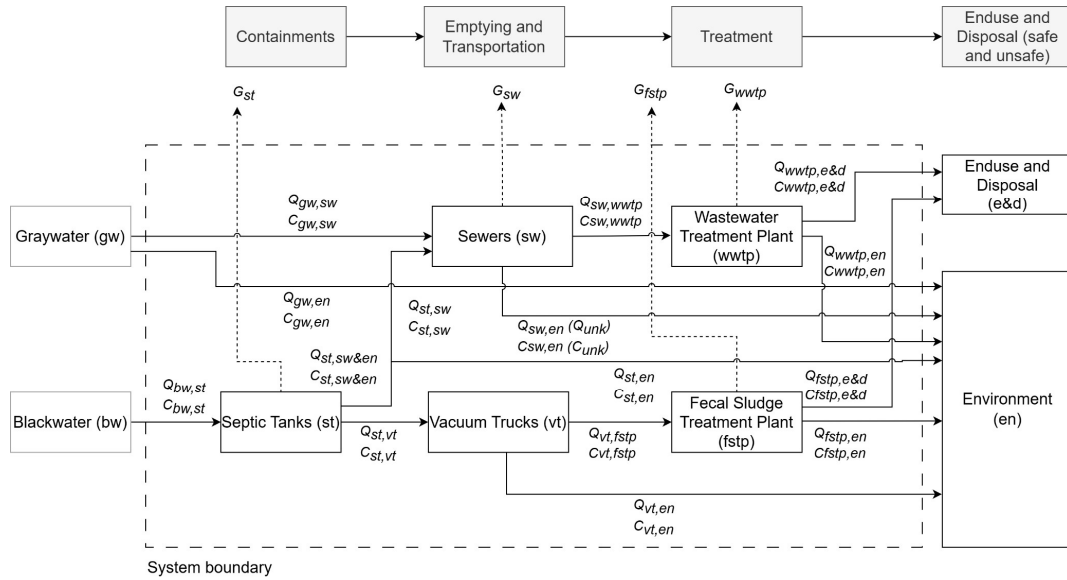
108 The Truc Bach sewerage and drainage area, which has a population of 15,700¹⁶ and covers
109 0.55 km²,²⁰ has one WWTP, the Truc Bach WWTP, with a treatment capacity of 2,500 m³/d.
110 With this capacity, the WWTP accommodates wastewater from Truc Bach and nearby areas.
111 The FSTP for the study area is the Cau Dzien FSTP (i.e., Hanoi URENCO 4), with a design
112 capacity of 300 m³/d. All fecal sludge treated at this FSTP originates from public toilets,¹⁶
113 while that from household septic tanks is emptied using vacuum trucks and directly discharged
114 into the environment without treatment.²¹

115 **Data analysis**

116 **System boundary and input data**

117 We defined the system boundary for GHG emissions along the SSC in our study area as shown
118 in Figure 1. Based on the system boundary, we included emissions from: (i) containment (i.e.,
119 septic tanks), (ii) emptying and transportation (i.e., vacuum trucks and sewers), and (iii)
120 treatment (i.e., WWTP and FSTP). End-use and disposal-related emissions, including those
121 from the environment, were considered to be outside the scope of this study. Further, we

122 focused only on wastewater generated from households, while stormwater and wastewater
 123 from other types of facilities (e.g., restaurants, hotels, and public toilets) were not included in
 124 the dataset.



125

126 **Figure 1.** Diagram showing the flow rates (Q) and chemical oxygen demand (COD)
 127 concentrations (C) of the sanitation service chain (SSC), including the system boundary. $Q_{i,j}$
 128 denotes the flow rate from component i to j . $C_{i,j}$ denotes the change in COD concentration from
 129 component i to j . G_i denotes gases emitted from component i .

130 Wastewater flow rate (Q) and COD load (L) were calculated for each component using the
 131 mass balance approach (Eq. (1) and (2)). The descriptions and equations used to calculate all
 132 the parameters required to estimate Q and L are listed in Table S1-1. The estimation of Q and
 133 L using the measured and reported parameters listed in Table S1-2 were based on the system
 134 boundary. The mass balance results for the SSC are shown in Figure S1-2.

$$\sum Q_{in,i} - \sum Q_{out,i} = 0 \quad (1)$$

$$\sum L_{in,i} - \sum L_{out,i} = 0 \quad (2)$$

135 where Q represents wastewater flow rate (m^3/d) and L represents COD load ($\text{kg COD}/\text{d}$)
136 obtained by multiplying Q (m^3/d) by the COD concentration (C) (g/m^3).

137 In this study, data on the quality and quantity of wastewater discharged directly from sewers
138 into the environment, including leakage data, were lacking. Therefore, during performing mass
139 balance calculations for sewers, we subtracted the flow rates and COD loads entering the
140 sewers from those transferred to the WWTP. The value thus obtained was referred to as the
141 unknown flow rate (Q_{unk}). Thereafter, unknown concentrations (C_{unk}) were calculated based on
142 the obtained Q_{unk} . Hence, the unknown COD load, representing the remaining COD loads after
143 subtracting the COD load of wastewater from sewers transferred to the WWTP ($L_{sw,wwtp}$), was
144 determined as $L_{unk} = Q_{unk} \times C_{unk}$ as shown in Eq. (3). Further details are provided in Section S2.

$$L_{unk} = L_{st,sw} + L_{gw,sw} - L_{sw,wwtp} \quad (3)$$

145 Additionally, following the guidelines of the Intergovernmental Panel on Climate Change
146 (IPCC), we assumed that in sewers, 50% of COD decomposes through biological processes
147 (Δ_{sw}), resulting in the generation of CH_4 .^{7,22} We used Sankey diagrams²³ to separately present
148 the percentages of Q and L along the SSC to provide an overview of the current state of
149 wastewater and COD mass streams.

150 **GHG emission estimation**

151 GHGs from SSC, including CH₄, N₂O, and CO₂ were categorized under three emission scopes
152 as follows: Scope 1, direct emissions from the decomposition processes of wastewater, e.g.,
153 CH₄ and N₂O emissions from biological processes; Scope 2, indirect emissions from electricity
154 use; and Scope 3, indirect emissions associated with consumables and other activities, e.g., fuel
155 consumption and chemical manufacturing. Notably, CO₂ emissions from the decomposition of
156 organic compounds were excluded from the estimation given that they are considered entirely
157 biogenic and would eventually cycle back into the atmosphere.^{7,24} The emission types,
158 categorized according by scope and according to GHG type (CH₄, N₂O, or CO₂) are listed in
159 Table S1-3.

160 **GHG emissions from septic tanks**

161 To estimate emissions from septic tanks, we used the equation proposed by Moonkawin et al.
162 for CH₄ emissions from septic tanks with long sludge emptying intervals:⁵

$$EF_{CH_4-st1} = mT_{st} + b \quad (4)$$

163 where EF_{CH_4-st1} represents the emission factor of CH₄ for the 1st compartment of septic tanks
164 (g CH₄/(cap·d)), T_{st} represents the septic tank emptying interval (year), b represents the vertical
165 intercept (3.83), and m represents slope (0.622).

166 Owing to the inaccessibility of the 2nd and 3rd compartments, we estimated the GHG emissions
167 based on two approximations: (i) 2nd and 3rd compartments have the same rate of CH₄
168 production as the 1st compartment (i.e., maximum septic tank emissions) and (ii) 2nd and 3rd

169 compartments cause no additional emissions (i.e., minimum septic tank emissions). All results
170 related to GHG emissions from septic tanks are presented as ranges, minimum–maximum
171 values. Further, given that N₂O production in septic tanks in Hanoi can be considered
172 negligible,¹⁸ only CH₄ emission was considered. Further details regarding this estimation are
173 provided in Section S3.

174 **GHG emissions from sewers**

175 Given the unavailability of direct measurement data for GHG emissions from sewers in the
176 study area, we used the methane correction factor (MCF) recommended by the IPCC to
177 estimate CH₄ emissions from sewers with stagnant wastewater in warm climates, as shown in
178 Eq. (5).²²

$$E_{CH_4-sw} = C_{sw-in} \times B_0 \times MCF_{sw} \times GWP_{CH_4} \times 365 \times 10^{-3} \quad (5)$$

179 where E_{CH_4-sw} represents CH₄ emissions from sewers (tonCO₂e/year); C_{sw-in} represents the COD
180 load entering sewers (kg COD/d), and GWP_{CH_4} represents the GWP of CH₄.

181 The estimation involved the COD loads entering the sewers, MCF , and the IPCC's theoretical
182 CH₄-producing capacity (B_0). Notably, MCF refers to the fraction of COD used for CH₄
183 production and B_0 represents CH₄ production per unit COD (kg CH₄/kg COD). The default
184 MCF (MCF_{sw} : 0.5) and B_0 (0.25 kg CH₄/kg COD) for sewers were applied to estimate CH₄
185 emission.

186 N₂O emissions were estimated using the emission factor derived from N₂O emissions for
187 gravity sewers by Short et al. (2014),⁸ as shown in Eq. (6).

$$E_{N_2O-sw} = EF_{N_2O-sw} \times N_{pop} \times GWP_{N_2O} \times 10^{-6} \quad (6)$$

188 where E_{N_2O-sw} represents N_2O emission for sewers (tonCO₂e/year); EF_{N_2O-sw} represents the N_2O
189 emission factor for gravity sewers (g N_2O /(cap·year)), and GWP_{N_2O} represents the GWP of
190 N_2O .

191 **GHG emissions from vacuum trucks**

192 GHG emissions resulting from fecal sludge transportation using vacuum trucks were estimated
193 based on emissions related to fuel consumption by the diesel vacuum trucks that are used to
194 transport fecal sludge from the center of the Truc Bach drainage area to the FSTP or to disposal
195 sites in the case of direct discharge without treatment. This trip was considered a round trip,
196 and to estimate the associated emissions, the emission factors of CO₂, CH₄, and N_2O , and the
197 total number of trips required to empty all the septic tanks within a given emptying interval
198 were taken into account. The equations used in this regard are provided in Section S4.

199 **GHG emissions from WWTPs**

200 *Wastewater treatment processes*

201 To estimate GHG emissions from the WWTP, where wastewater from the Truc Bach sewerage
202 and drainage area is treated, we input the flow rate, based on mass balance, to the configuration
203 of the Truc Bach WWTP. The WWTP utilizes an anaerobic–anoxic–oxic (A2O) process, which
204 removes COD, nitrogen, and phosphorus from the wastewater (Figure S5-1). The effluent of
205 the WWTP is discharged into the Truc Bach lake, while the produced sludge is disposed of at
206 a landfill located 41 km from the sewerage and drainage area. The GHG emissions associated

207 with the transportation of sludge were estimated based on the same estimation approach as was
208 applied for vacuum trucks. However, the dewatered sludge was assumed to be handled by a 2-
209 ton truck. The emission factors employed are listed in Tables S1-2.

210 *Model simulation*

211 We employed the *Mantis3lib* carbon footprint estimation model²⁵ to estimate GHG emissions
212 from the WWTP. The WWTP was simulated under steady state, with constant inflow using the
213 GPS-X 8.5 software (HATCH).²⁵ The model input data included the flow rate of the influent
214 reaching the WWTP ($Q_{sw,wwtp}$) obtained via mass balance, measured influent characteristic as
215 reported by Watanabe,²⁶ and the WWTP's configuration and equipment (Tables S5-1 and S5-
216 2). Scope 1 emissions were estimated through a plant-wide simulation using carbon, nitrogen,
217 and phosphorus removal, integrating anaerobic digestion processes (i.e., ASM2d²⁷ and
218 UCTADM1²⁸), a four-step N₂O production model,²⁹ and N₂O production by autotrophic
219 bacteria.^{30,31} Scope 2 emissions were estimated based on electricity use according to regional
220 electricity-related emissions in Vietnam, and Scope 3 emissions were estimated based on the
221 chemical consumption at the WWTP. The equations and parameters used to estimate emissions
222 under Scopes 2 and 3 are presented in Section S5.3.

223 **GHG emissions from FSTP**

224 Figure S6-1 outlines the different processes associated with the Cau Dzien FSTP with a design
225 capacity is 300 m³/d.³² Given that fecal sludge from household septic tanks is currently not
226 transported to this FSTP, GHG emissions from this FSTP were not included in the baseline
227 scenario.³² However, Hanoi city plans to upgrade the FSTP to receive fecal sludge from
228 households and employ sludge dewatering and anoxic-oxic activated sludge technologies.³²

229 Further details on the future upgraded FSTP, including the estimations of the associated GHG
230 emissions are provided in Section Scenario development (Scenario A*).

231 After estimating the GHG emissions associated with all the SSC components, the carbon mass
232 balance of each component was verified using field data. The COD to total organic carbon
233 (TOC) ratio of the influent of the septic tanks was applied to convert the COD into TOC for
234 carbon balance. For septic tanks, mass balance was performed under two conditions: (i) the
235 maximum GHG emission scenario and (ii) the minimum GHG emission scenario. The carbon
236 mass balance results for each component are presented in Section S7.

237 **Scenario development**

238 First, we established a baseline scenario for GHG emissions along the current SSC and
239 thereafter, explored four different mitigation scenarios to reduce GHG emissions based on
240 improvements in the SSC (Table 1). Details on the different scenarios are provided in Table 1
241 and Table S8-1. Any point not mentioned was not subject to change and thus remained the
242 same as in the baseline scenario.

243 **Table 1.** Potential GHG emission mitigation measures for different scenarios.

No.	Scenario	Potential mitigation measures
1	Baseline (current state)	-
2	Scenario A	Emptying septic tanks once a year
3	Scenario B	Removing or bypassing septic tanks
4	Scenario A*	Emptying septic tanks once a year; Improving sewer connections and conditions; Treating all wastewater that is transported to the WWTP; No direct discharge; Treatment of all fecal sludge that is transported to the FSTP
5	Scenario B*	Removing septic tanks; Improving sewer connections and conditions; Treating all wastewater at the WWTP; No direct discharge

244 **Mitigation scenarios focusing on septic tanks**

245 **Scenario A**

246 In Hanoi, it is recommended to empty septic tanks every 1–3 years, depending on the size of
 247 the septic tank.³³ This emptying interval is within the globally recommended range of 1–5
 248 years.^{34,35} Therefore, we changed the average emptying interval from 10.2 years at baseline to
 249 1 year (i.e., emptying once a year) under Scenario A to assess the GHG emission reduction
 250 potential of frequent septic tank emptying. This change in emptying frequency affected the
 251 emission factor of septic tanks (EF_{st}), COD of septic tank effluent (C_{st-eff}), and COD of fecal
 252 sludge (C_{st-fs}), based on the empirical equations of septic tank performance and GHG emissions
 253 (Section S8.2).⁵ Accordingly, the COD loads and GHG emissions of individual components
 254 changed, as calculated using the same methods that were applied at baseline. Similar to baseline,

255 some of the fecal sludge collected during septic tank emptying was not treated at the FSTP, but
256 was directly discharged into the environment.

257 **Scenario B**

258 We hypothesized that removing or bypassing septic tanks can significantly reduce overall GHG
259 emissions along the SSC. Thus, emissions from septic tanks as well as those from associated
260 components, e.g., those related to fecal sludge transportation using vacuum trucks and
261 treatment at FSTP, would become zero. In this scenario, blackwater is discharged directly into
262 sewers. Accordingly, the COD of wastewater transferred from sewers to the WWTP ($C_{sw-wwtp}$)
263 and from sewers to the environment (C_{sw-en}) were estimated. Thereafter, the GHG emissions
264 for each component were re-estimated.

265 **Mitigation scenarios focusing on septic tanks and sewers**

266 **Scenario A***

267 This scenario builds on Scenario A by further modifying sewer conditions and fecal sludge
268 treatment capacity to ensure that 100% of septic tank effluents and graywater are collected and
269 transported to the WWTP, and 100% of emptied fecal sludge is transported to and treated at
270 the future upgraded FSTP. These modifications resulted in the following changes to the
271 estimation inputs: (i) as the sewer was assumed to be clean and wastewater flow was fast, CH₄
272 and N₂O emissions from sewers were assumed to be negligible according to IPCC guidelines,²²
273 (ii) all fecal sludge was treated at the future upgraded FSTP, which employs sludge dewatering
274 and aerobic wastewater treatment; thus, emissions from the FSTP were estimated based on
275 IPCC guidelines for aerobic treatment processes,²² and (iii) dewatered sludge is transported to

276 a landfill using a 2-ton truck. As a result, the processes associated with the upgraded plant
277 (Figure S6-2) were integrated into the GHG emissions estimation model for the FSTP and using
278 CH₄ and N₂O emission factors for aerobic treatment, following the IPCC guidelines. The
279 estimation details are presented in Section S6.2.

280 **Scenario B***

281 A fully centralized SSC with 100% sewer coverage is developed, assuming no leakages and
282 the removal of all septic tanks. Further, to estimate the influent characteristics of the wastewater
283 reaching the WWTP, we assumed that flow in the sewers is fast and that the sewers are clean,
284 allowing negligible changes in wastewater characteristics within the sewers until they reached
285 the WWTP. Under this assumption, CH₄ and N₂O emissions from the sewers were considered
286 negligible, following IPCC guidelines.²²

287 Scenarios A* and B*, which further extend the mitigation measures of Scenarios A and B, aim
288 to accomplish 100% wastewater and fecal sludge collection and treatment. These two advanced
289 scenarios enable the exploration of GHG emissions and reduction potential when sewer
290 connections and conditions are improved and all wastewater generated in the sewerage and
291 drainage area is treated properly before discharge into the environment. Conversely, in
292 Scenarios A and B, a portion of the wastewater and emptied sludge was discharged into the
293 environment without proper treatment. This untreated discharge falls outside the system
294 boundary, and its associated emissions are not considered within the boundary. Therefore, the
295 results of scenarios A* and B* can only be compared to each other, not to other scenarios (i.e.,
296 baseline, Scenarios A and B).

297 **Results and discussion**

298 **Wastewater flow along the SSC**

299 The distribution of household wastewaters (blackwater, graywater, and sludge) along the SSC
300 is shown in Figure S9-1. Blackwater and graywater constituted 17% and 83%, respectively, of
301 the wastewater generated in the SSC. Only 18% of total wastewater was treated properly at the
302 WWTP, while 82% was discharged into the environment after passing through septic tanks
303 and/or sewers. The percentage of wastewater that was appropriately treated at the WWTP in
304 this study area seems realistic considering wastewater management in LMIC, as it is similar to
305 the values reported in previous studies conducted in other parts of Asia, e.g., Hue, Vietnam
306 (23%),³⁶ Thailand (24–27%),^{37,38} India (27%),³⁷ Bangladesh (16%),³⁷ and Iran (22%).³⁷ Of all
307 the wastewaters generated in Hanoi, 21% was not treated at any level before discharge into the
308 environment.

309 Due to the absence of data on leakage, infiltration, exfiltration, and sewer connections, we
310 referred to the net flow rate of those wastewater as unknown wastewater. The unknown
311 wastewater of 52% was estimated to have leaked out from sewers into the environment without
312 treatment. This high loss may be attributed to the effects of exfiltration³⁶ or to the fact that
313 sewers are still being constructed to drain wastewater and not to transport it to the WWTP.

314 Additionally, in Hanoi, the average emptying interval of septic tanks was 10.2 years.¹⁷ Thus,
315 the fecal sludge collected by vacuum trucks was equivalent to 0.1% of the total generated

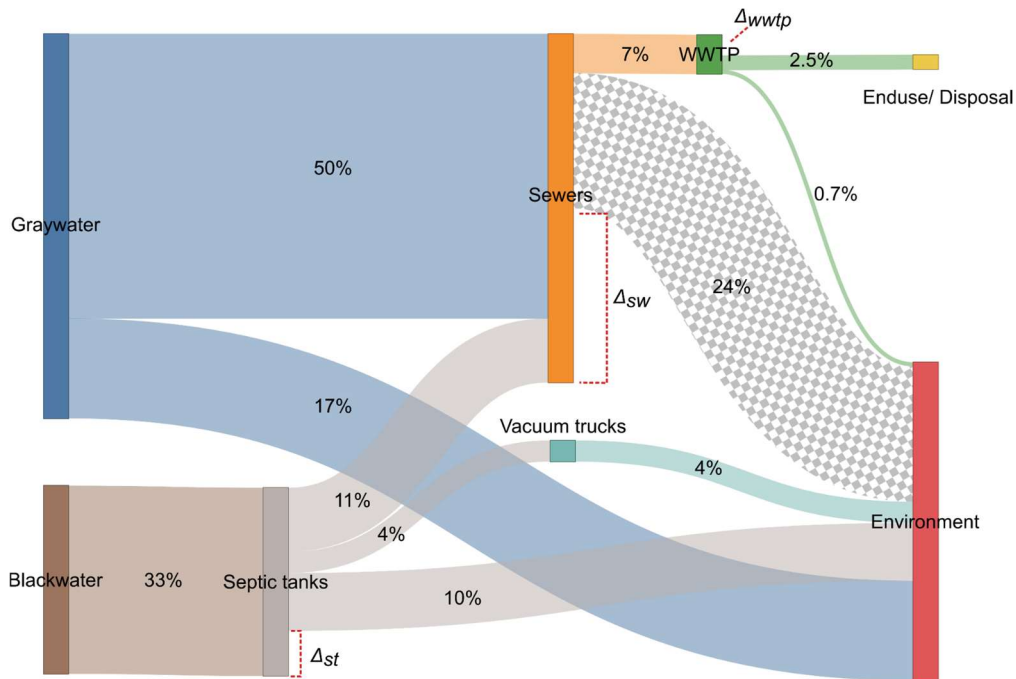
316 wastewater. Further, this fecal sludge was not transported to the FSTP but directly discharged
317 into the environment.

318 **COD mass flow along the SSC**

319 The COD flowing through each SSC component, expressed as a percentage of the total COD
320 load generated from blackwater and graywater, is presented in Figure 2. From this figure, it is
321 evident that graywater constituted the main COD source (67%), whereas blackwater comprised
322 33% of the total generated COD in the sewerage and drainage area. After passing through septic
323 tanks, only one-third of COD passed through the sewers, the remaining COD was decomposed
324 in septic tanks, transported with fecal sludge, and directly discharged to the environment
325 without secondary treatment. Further, only 7% of the total COD was transported to and treated
326 at the WWTP. This low percentage of treated COD could be attributed to: (i) the low proportion
327 of wastewater that was transported to the WWTP,²⁶ (ii) low COD concentrations of wastewater
328 after passing through sewers affected by infiltration, and (iii) a high level of COD degradation
329 in poorly maintained sewers.^{22,26} According to IPCC guidelines, COD degradation in poorly
330 maintained sewers can be as high as 50% of the COD reaching the sewer.²² Therefore, unknown
331 COD, i.e., COD discharged from sewers into the environment, was estimated to account for
332 24% of the total COD. This substantial portion of unknown COD could potentially cause water
333 pollution and additional GHG emissions following environmental processes.

334 These findings highlight the severe challenges associated with wastewater management,
335 particularly with respect to effective transport and treatment of wastewater and emptied fecal
336 sludge. Furthermore, the high proportion of untreated wastewater suggests the need to improve

337 SSCs by enhancing sewer connections and conditions, monitoring leakage, and ensuring
 338 effective fecal sludge management to prevent water pollution and untraceable GHG emissions.



339

340 **Figure 2.** COD mass flow in Hanoi, Vietnam. The percentage flow is expressed in relation to
 341 the total COD generated from blackwater and graywater in the SSC.

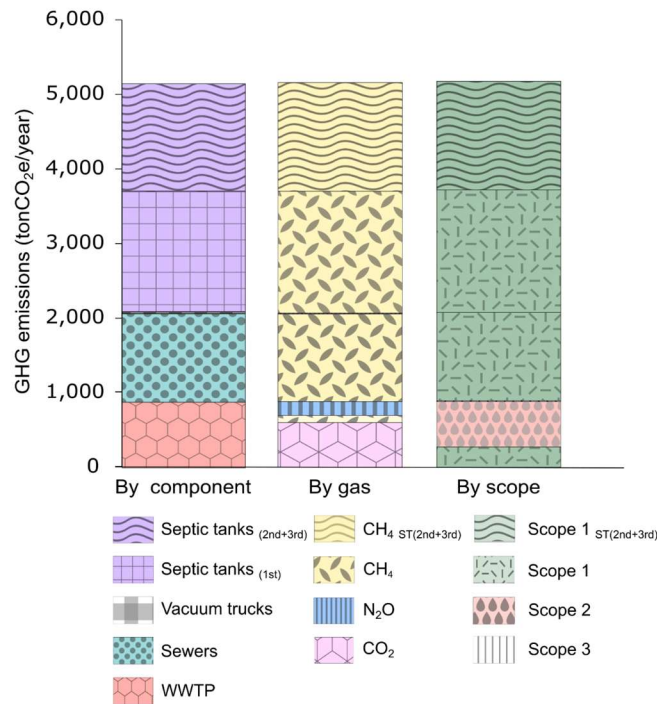
342 Estimation of GHG emissions along the SSC

343 Baseline

344 GHG emission estimation

345 At baseline, the estimated GHG emissions for the entire SSC varied between 3,698–5,147
 346 ton CO_{2e}/year (minimum–maximum GHG emissions, respectively) depending on the
 347 assumptions of the emissions from the 2nd and 3rd septic tank compartments as described in
 348 Section GHG emissions from septic tanks. In addition, it is evident that the primary

349 contributors to GHG emissions were septic tanks (44–60%), followed by sewers (23–32%),
 350 WWTP (17–24%), and vacuum trucks (0.06–0.1%) Figure 3.



351

352 **Figure 3.** Baseline GHG emissions along the SSC categorized by component, GHG type, and
 353 scope of emission.

354 Categorization based on GHG type showed that CH₄ accounted for 78–85% of the total GHG
 355 emissions, and of the total CH₄ emissions, 56–71% originated from septic tanks, while 27–40%
 356 originated from sewers. The contribution of CO₂ was 12–16%, whereas that of N₂O was only
 357 4–5%. Furthermore, both CO₂ and N₂O primarily originated from the WWTP. A similar
 358 finding has been reported for GHG emissions in Kampala, with CH₄ being the predominant
 359 GHG type (81%), followed by CO₂ (14%) and N₂O (6%).¹⁵ Additionally, GHG emissions were
 360 analyzed based on each of the three emission scopes. The results obtained showed dominance

361 for Scope 1 emissions relative to Scopes 2 and 3 emissions. Specifically, Scope 1 accounted
362 for 83–88% of the total GHG emissions, while Scopes 2 and 3 accounted for only 12–17% and
363 0.08–0.1% of the total emissions, respectively. Scope 1 emissions primarily originated from
364 septic tanks (53–68%), sewers (26–38%), and WWTP (6–9%). Conversely, Scope 2 emissions
365 only originated from the WWTP, whereas Scope 3 emissions mainly originated from
366 transportation (97%). Details in this regard are provided in Table S9-1.

367 **Comparison of GHG emissions and key mitigation strategies**

368 Considering the current state, total GHG emissions per capita varied in the range of 236–
369 328 kg CO₂e/(cap·year), similar to the value reported for Kampala, Uganda, i.e.,
370 316 kg CO₂e/(cap·year), when it did not include emissions into the environment as well as
371 those related to end-use and disposal.¹⁵ The total GHG emissions in this study were higher than
372 emissions from only centralized WWTPs reported in previous studies by a factor of 5–10. For
373 example, the values obtained for China and Greece were 37–58³⁹ and 61 kg CO₂e/(cap·year),¹¹
374 respectively. The origin of this difference can be attributed to CH₄ emissions owing to
375 anaerobic processes in septic tanks and poorly maintained gravity sewers. In particular, septic
376 tanks with long emptying intervals could emit large amounts of GHGs owing to a high level of
377 organic matter accumulation under anaerobic conditions.¹⁸ It has also been reported that
378 inadequately maintained or poorly designed gravity sewers constitute a potential source of CH₄
379 due to wastewater stagnation, which promotes anaerobic conditions.^{6,22,40} While GHG
380 emissions for individual SSC components have been studied, our estimation facilitates the
381 comparison of emissions across various components, GHG types, and scopes. Notably, our
382 results indicated that septic tanks were the primary contributors to GHG emissions along SSCs,

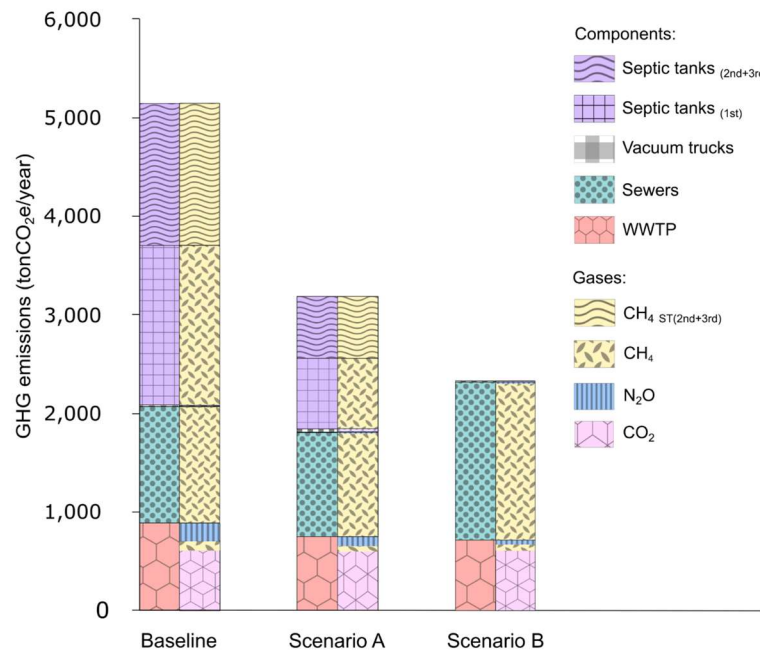
383 followed gravity sewers. Long septic tank emptying intervals as well as sewers with inadequate
384 gravity are common in LMIC. Therefore, septic tanks and gravity sewers could be major
385 emission sources along urban SSCs in areas with similar settings. Additionally, this suggests
386 that mitigation efforts should focus on the reduction of septic tanks and sewers due to their
387 substantial contribution to the total GHG emissions at the current state.

388 **Mitigation scenarios focusing on septic tanks**

389 **Scenario A**

390 Under Scenario A, reductions in CH₄ emissions from septic tanks were estimated by shortening
391 septic tank emptying interval from 10.2 years to 1 year. Thus, the re-estimated COD
392 concentration in fecal sludge in the first compartment of the septic tanks with a 1-year emptying
393 interval was 2,860 g/m³, approximately six-fold lower than the baseline concentration of
394 16,397 g/m³. The lower COD concentrations in the fecal sludge resulted from a lower level of
395 organic accumulation in the septic tanks, owing to more frequent emptying.

396 The GHG emissions under this scenario are shown in Figure 4. The total GHG emissions were
397 2,570–3,204 ton CO₂e/year, equivalent to 31–38% of the baseline emissions. Septic tanks and
398 sewers remained the major contributors to overall GHG emissions (28–42% and 33–41%,
399 respectively). Therefore, with only the change in the septic tank emptying interval, GHG
400 emissions decreased by 56% relative to the baseline value. Emissions from vacuum trucks
401 increased by 920%, even though still comparably small (1.1–1.3% of the total emissions),
402 despite a 10-fold increase in emptying frequency. Therefore, shortening emptying intervals
403 could be a straightforward first measure to reducing overall GHG emissions given that its
404 implementation does not require any transformation of existing built components.



405

406 **Figure 4.** Comparison of GHG emissions along the SSC between baseline and two mitigation
 407 scenarios (Scenarios A and B). The GHG missions under each scenario are shown according
 408 to SSC component (left bar) and GHG type (right bar), with the GHGs further categorized
 409 according to each component.

410 CH₄ was still identified as the dominant GHG, accounting for 71–77% of the total emissions,
 411 followed by CO₂ at 20–25%, and N₂O at 3–4%. The emitted CH₄ primarily originated from
 412 sewers (43–58%) and septic tanks (39–55%). In terms of emission scope, Scope 1 emissions
 413 accounted for 75–80% of the overall emissions, while Scopes 2 and 3 emissions accounted for
 414 19–24% and 5%, respectively. Further data in this regard are presented in Table S9-2.
 415 Additionally, these findings indicated that septic tanks and sewers remained the primary

416 contributors to GHG emissions along the SSC even after shortening septic tank emptying
417 interval.

418 **Scenario B**

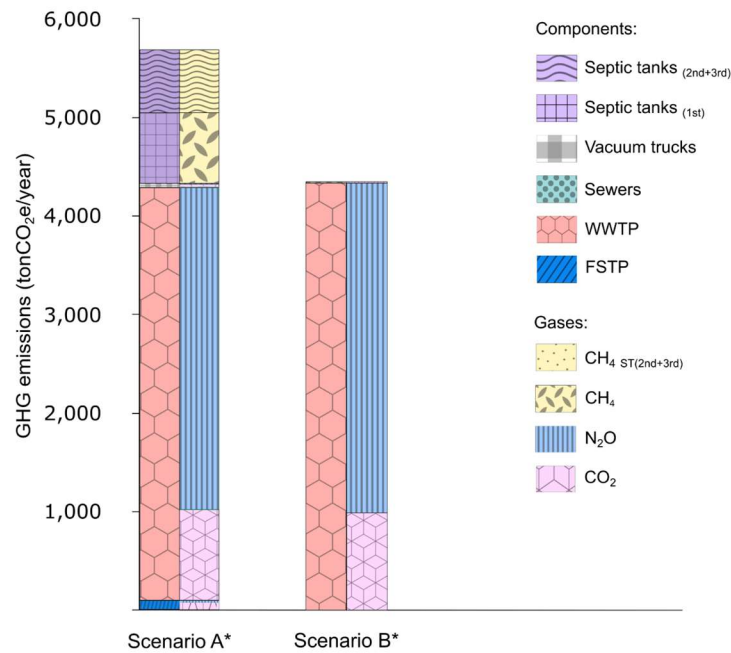
419 Under Scenario B, septic tanks were assumed to be either removed or bypassed; thus, all
420 blackwater was directly discharged into the sewers. Accordingly, GHG emissions from septic
421 tanks as well as those associated with transportation by vacuum trucks and treatment at the
422 FSTP were not considered. The estimated GHG emission under Scenario B was
423 2,319 ton CO₂e/year, equivalent to a 37–55% reduction from the baseline value (Figure 5). The
424 main contributors to this emission were sewers (68%), WWTP (31%). CH₄ emission remained
425 predominant (72%) considering the three GHGs, whereas CO₂ and N₂O emissions were 26%
426 and 2%, respectively. Similar to Scenario A, Scope 1 emissions accounted for the largest
427 proportion (73%) of GHG emissions, followed by Scope 2 emissions (26%), whereas Scope 3
428 emissions were relatively small (0.05%). Additional data are presented in Table S9-3.

429 Comparing GHG emissions between Scenarios A and B showed that impact of removing septic
430 tanks under Scenario B was higher than that of frequent septic tank emptying under Scenario
431 A, with the difference in GHG emission reduction between the two scenarios reaching 10–28%.
432 By removing or bypassing septic tanks and allowing wastewater to be directly discharged into
433 sewers, a substantial reduction in emissions could be achieved owing to the elimination of
434 septic tank-related emissions. However, a large amount of CH₄ was still emitted from the sewer
435 given that the sewer conditions were not sufficiently improved or maintained to prevent
436 wastewater stagnation, indicating that mitigation measures should focus on reducing GHG
437 emissions from sewers.

438 **Mitigation scenarios focusing on septic tanks and sewers**

439 **Scenario A***

440 Under Scenario A*, all emptied fecal sludge was transported and treated at the FSTP, and all
441 septic tank effluents and graywater were collected through improved sewers and treated at the
442 WWTP. The estimated total GHG emissions varied in the range of 5,008–5,642 ton CO₂e/year
443 (Figure 5). The main contributors to GHG emission were WWTP (74–83%) and septic tanks
444 (14–24%), while contributions from vacuum trucks and FSTP were 0.6–0.7% and 1.8–2.0%,
445 respectively. It should be noted that the major gas emitted in Scenario A* was different from
446 those observed at baseline and in Scenarios A and B. Specifically, under Scenario A*, the main
447 GHG emitted was N₂O (57–64%), followed by CO₂ (19–22%) and CH₄ (14–24%). In terms of
448 emission scope, Scope 1 emissions showed predominance (78–80%), whereas Scope 2 and 3
449 emissions accounted for 18–20% and only 2–3% of the total GHG emissions. Additional data
450 in this regard are presented in Table S9-4.



451

452 **Figure 5.** Comparison of GHG emissions between two mitigation scenarios: Scenario A* and
 453 Scenario B*. GHG missions under each scenario are shown by component (left bar) and GHG
 454 type (right bar), with the GHGs further categorized to align with each component.

455 **Scenario B***

456 Under Scenario B, characterized as a purely centralized system, the majority of GHG emissions
 457 originated from the WWTP (4,278 ton CO₂e/year) as shown in Figure 5. N₂O was the
 458 predominant GHG (77%), whereas the contributions of CO₂ and CH₄ were 23% and 0.01%,
 459 respectively. Further, Scope 1 emissions accounted for 77% of the total emissions, followed by
 460 Scope 2 emission at 23%, and Scope 3 emissions at 0.3%. Additionally, N₂O from Scope 1
 461 originating from WWTP bioreactors was the primary contributor to the total GHG emissions.
 462 A similar finding was reported by Gruber et al. for three Swiss municipal WWTPs.⁴¹

463 Reportedly, GHG emissions from WWTPs vary depending on the operating conditions of the
464 WWTP. Therefore, altering plant operating conditions could be an effective strategy for
465 reducing GHG emissions.^{42,43} Further data are shown in Tables S9-5.

466 A comparison of Scenarios A* and B*, the GHG emissions of Scenario B* was slightly
467 increased at WWTP due to the increase of N₂O emissions. Additionally, the total GHG
468 emissions under Scenario B* were 15–24% lower than that under Scenario A* due to the
469 elimination of septic tanks related emissions. This observation indicated that to maximize
470 reductions in GHG emissions, it is necessary to remove or bypass septic tanks. Such a measure
471 was also recommended for the urban community sewer network in China, where septic tanks
472 are in use.⁴⁴ Septic tanks in the SSC can be important contributors to total GHG emissions.
473 However, removing or bypassing them poses a substantial challenge in the selected sewerage
474 and drainage area given that they are often located under houses, hence their removal requires
475 extensive construction work.

476 **Key implications**

477 Overall, this study highlights the potential mitigation measures to reduce GHG emissions from
478 SSCs accounting for interdependencies of SSCs components, based on the improvement of
479 current operational conditions and the structural changes of SSC components. While strong
480 assumptions were made for the selected study area, addressing them with a wide range of
481 parameters allowed the results to be generalizable. Therefore, the obtained results can apply to
482 any SSC that includes a combination of septic tanks, sewers, and centralized WWTP.
483 Nevertheless, future research should focus on field measurements to refine emission factors,
484 particularly for septic tanks, sewers, and the environment (e.g., soil and water environments),

485 ensuring more reliable data for policy and infrastructure planning. Additionally, quantitative
486 studies, taking into account embedded emissions as well as financial aspects, would be of great
487 significance.

488 In this study, we also successfully quantified changes in GHG emission along the SSC by
489 considering how emissions related to downstream components respond to changes in upstream
490 elements. In many urban areas in LMIC, septic tanks were introduced before the development
491 of sewerage systems, and flush toilets are widely used. Our results indicated that
492 removing/bypassing septic tanks is effective for reducing GHG emissions only if this strategy
493 does not impose an excessive burden on the local society. In Hanoi, the removal of septic tanks,
494 which have been in use for a decade may result in a considerable burden and present a
495 significant regulatory and social challenge. Given this limitation, a more practical and
496 implementable measure for mitigating GHGs emissions from urban sanitation services would
497 be frequent septic tank emptying through effective fecal sludge collection followed by
498 treatment, and resource use or safe disposal. Alternatively, it may be possible to consider
499 different measures, such as the collection and utilization of CH₄ from households or cluster
500 septic tanks. Further, in areas where sanitation services do not fully cover all urban areas, even
501 though city-wide inclusive sanitation is being emphasized, achieving comprehensive sanitation
502 services through centralized WWTPs only remains challenging. Therefore, on-site or non-
503 sewerer sanitation will continue to play a crucial role in urban sanitation. Regardless, further
504 efforts are required to develop technologies that can be employed to effectively mitigate GHG
505 emissions from any SSC that incorporates on-site or non-sewerer sanitation.

506 **Supporting Information**

507 Mass balance; Estimation of GHG emissions from sewers; Estimation of CH₄ emission from
508 septic tanks; Estimation of GHG emissions from vacuum trucks; Estimation of GHG emissions
509 from WWTP; Estimation of GHG emissions from FSTP; Mass balance at each component
510 (baseline); Scenario development; Detailed GHG emissions along the SSC in all scenarios
511 (PDF)

512 **Author contributions**

513 JM: Conceptualization, Data Collection, Methodology, Writing-original draft, Writing-review
514 & editing, Data analysis and Interpretation, Visualization; MYS: Conceptualization,
515 Methodology, Writing-original draft, Writing-review & editing, Data Analysis and
516 Interpretation, Visualization; VAN, ANP: Data collection, Writing-review & editing; SF, SE:
517 Writing-review & editing, Supervision; HY: Funding acquisition (software), Supervision,
518 Writing-review & editing; HH: Conceptualization, Writing-original draft, Methodology,
519 Funding acquisition, Data Interpretation, Writing-review & editing, Supervision. All authors
520 have given approval to the final version of the manuscript.

521 **Notes**

522 The authors declare no competing financial interest.

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527 **Abbreviations**

528 A2O, anaerobic–anoxic–oxic; B₀, maximum methane-producing capacity; COD, chemical
529 oxygen demand; FSTP, fecal sludge treatment plant; GHG, greenhouse gas; GWP, global
530 warming potential; IPCC, Intergovernmental Panel on Climate Change; LMIC, low- and
531 middle-income countries; MCF, methane correction factor; SSC, sanitation service chain;
532 TOC, total organic carbon; WWTP, wastewater treatment plant

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Supporting information

(SI)

Impact of GHG mitigation measures in sanitation service chains:
focusing on septic tanks and sewers

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Table S1-1 Description for Q and COD flow balances along the sanitation service chain

Component	Symbol	Description	Equation	Unit
Septic tanks (st)	$Q_{st,vt}$	Flow rate of fecal sludge emptied from septic tanks to vacuum trucks	$Q_{st,vt} = N_{st,emp} \times S_r$	m ³ /d
	$Q_{st,sw\&en}$	Flow rate of effluent from septic tanks to sewers and environments	$Q_{st,sw\&en} = Q_{bw} - Q_{st,vt}$	m ³ /d
	$Q_{st,sw}$	Flow rate of effluent from septic tanks to sewers	$Q_{st,sw} = Q_{st,sw\&en} \times P_{st,sw}$	m ³ /d
	$Q_{st,en}$	Flow rate of effluent from septic tanks to environments	$Q_{st,en} = Q_{st,sw\&en} - Q_{st,sw}$	m ³ /d
	$C_{st,vt}$	COD of fecal sludge emptied from septic tanks to vacuum trucks	$C_{st,vt} = C_{st-fs}$	g/m ³
	$C_{st,sw}$	COD of effluent from septic tanks to sewers	$C_{st,sw} = C_{st-eff}$	g/m ³
	$C_{st,en}$	COD of effluent from septic tanks to environments	$C_{st,en} = C_{st-eff}$	g/m ³
Vacuum trucks (vt)	$Q_{vt,fstp}$	Flow rate of fecal sludge emptied by vacuum trucks and transported to FSTP	$Q_{vt,fstp} = Q_{st,vt} \times P_{vt,fstp}$	m ³ /d
	$Q_{vt,en}$	Flow rate of fecal sludge emptied by vacuum trucks and discharged to environments	$Q_{vt,en} = Q_{st,vt} - Q_{vt,fstp}$	m ³ /d
	$C_{vt,fstp}$	COD conc. of fecal sludge emptied by vacuum trucks and transported to FSTP	$C_{vt,fstp} = C_{st,vt}$	g/m ³
	$C_{vt,en}$	COD conc. of fecal sludge emptied by vacuum trucks and discharged to environments	$C_{vt,en} = C_{st,vt}$	g/m ³
Fecal sludge treatment plant (fstp)	$Q_{fstp,e\&d}$	Flow rate of treated fecal sludge from FSTP to end-use and disposal	$Q_{fstp,e\&d} = Q_{vt,fstp} \times P_{fstp,e\&d}$	m ³ /d
	$Q_{fstp,en}$	Flow rate of treated fecal sludge from FSTP to environments	$Q_{fstp,en} = Q_{vt,fstp} - Q_{fstp,e\&d}$	m ³ /d
	$C_{fstp,e\&d}$	COD conc. of wastewater from FSTP to end-use and disposal	$C_{fstp,e\&d} = C_{vt,fstp} \times R_{fstp}$	g/m ³
Sewer (sw)	$Q_{gw,sw}$	Flow rate of graywater discharged to sewers	$Q_{gw,sw} = Q_{gw} \times N_{pop} \times 10^{-3} \times P_{gw,sw}$	m ³ /d
	$Q_{gw,en}$	Flow rate of graywater discharged to environments	$Q_{gw,en} = Q_{gw} \times N_{pop} \times 10^{-3} \times (1 - P_{gw,sw})$	m ³ /d
	$Q_{sw,wwtp}$	Flow rate of wastewater from sewers transferred to WWTP	$Q_{sw,wwtp} = (Q_{st,sw} + Q_{gw,sw}) \times P_{sw,wwtp}$	m ³ /d
	Q_{unk}	Flow rate of unknown wastewater not transferred to WWTP	$Q_{unk} = Q_{st,sw} + Q_{gw,sw} - Q_{sw,wwtp}$	m ³ /d
	$C_{gw,sw}$	COD conc. of graywater discharged into sewers	$C_{gw,sw} = C_{gw}$	g/m ³
	$C_{sw,wwtp}$	COD conc. of wastewater discharged from sewers to WWTP	$C_{sw,wwtp} = C_{wwtp-inf}$	g/m ³
	C_{unk}^*	COD conc. of unknown wastewater not transferred to WWTP	$C_{unk} = L_{unk}/Q_{unk} \times 1000$	g/m ³
Wastewater treatment plant (wwtp)	$Q_{wwtp-e\&d}$	Flow rate of sludge from WWTP to end-use and disposal	estimated by GPS-X	m ³ /d
	$Q_{wwtp-en}$	Flow rate of treated wastewater from WWTP to environments	estimated by GPS-X	m ³ /d
	$C_{wwtp-e\&d}$	COD conc. of sludge from WWTP to end-use and disposal	estimated by GPS-X	g/m ³
	$C_{wwtp-en}$	COD conc. of treated wastewater from WWTP to environments	estimated by GPS-X	g/m ³

*See detailed explanation in section S2

Table S1-2 Input parameters for wastewater flow rate, COD loads, and GHG emissions estimation

Symbol	Description	Value	Unit	Sources
B_0	Maximum CH ₄ producing capacity	0.25	kg CH ₄ /kg COD	IPCC 2019 ¹
C_{bw}	COD conc. of blackwater	1245	g/m ³	Moonkawin et al., 2023; Huynh et al., 2021 ^{2,3}
C_{st-eff}	COD conc. of effluent of septic tanks	813	g/m ³	Moonkawin et al., 2023; Huynh et al., 2022 ^{2,3}
C_{gw}	COD conc. of graywater	533	g/m ³	Huynh et al., 2020 ($n=3$) ⁴
$C_{wwtp-inf}$	COD conc. of influent of WWTP	244.5	g/m ³	Watanabe 2018 ⁵
C_{st-fs}	COD conc. of fecal sludge in septic tanks	16397	g/m ³	Moonkawin et al., 2023; Huynh et al., 2021 ^{2,3}
D	Distance from the drainage area to FSTP (round trip)	26	km/trip	OpenStreetMap 2023 ⁶
D_{disp}	Distance from the drainage area to Nam Son waste treatment facilities (round trip)	82	km/trip	Nguyen, 2025 ⁷
D_{env}	Distance from the drainage area to open dumping at the environment (round trip)	22	km/trip	Nguyen, 2025
$EF_{CH_4,vt}$	Emission factor of methane (diesel)	0.00000317	kg CH ₄ /km	US EPA 2014 ⁸
$EF_{CH_4,2tt}$	Emission factor of methane of a 2-ton truck	0.0000076	kgCH ₄ /km	Nakamura et al., 2014 ¹⁰
$EF_{CH_4,sw}$	Emission factor of methane from sewers	1.63	g N ₂ O/(cap·year)	Short et al., 2014 ⁹
$EF_{CO_2,vt}$	Emission factor of carbon dioxide (diesel)	2.697	kg CO ₂ /L	US EPA 2014 ⁸
$EF_{CO_2,2tt}$	Emission factor of carbon dioxide of a 2-ton truck	2.6	kg CO ₂ /L	Nakamura et al., 2014 ¹⁰
$EF_{N_2O,vt}$	Emission factor of nitrous oxide (diesel)	0.00000298	kg N ₂ O/km	US EPA 2014 ⁸
$EF_{N_2O,2tt}$	Emission factor of nitrous oxide of a 2-ton truck	0.000014	kg N ₂ O/km	Nakamura et al., 2014 ¹⁰
FC_{2tt}	Fuel consumption of a 2-ton truck	7	km/L	Nakamura et al., 2014 ¹⁰
FC_{vt}	Fuel consumption of a diesel vacuum truck	5.5	km/L	Nakamura et al., 2014 ¹⁰
GWP_{CH_4}	Global warming potential of methane	28	-	IPCC 2023 ¹¹
GWP_{N_2O}	Global warming potential of nitrous oxide	273	-	IPCC 2023 ¹¹
MCF_{fstp}	Methane correction factor at FSTP, indicating a fraction of COD converted into CH ₄ in FSTP	0.2	-	IPCC 2019 ¹
MCF_{sw}	Methane correction factor at sewers, indicating a fraction of COD converted into CH ₄ in sewers	0.5	-	IPCC 2019 ¹
N_{pop}	Population	15700	person	Brandes et al., 2016 ¹²
$N_{st-area}$	Number of septic tanks in the study area	3140	septic tank	$N_{pop} / N_{user/st}$
N_{st-emp}	Number of septic tanks to be emptied (rounded-up number)	1	septic tank/d	$N_{st-area} / (T_{st} \times 365)$
$N_{user/st}$	Number of users per septic tank	5	Person/tank	Pham 2014 ($n=46$) ¹³
$P_{fstp,e\&d}$	Proportion of treated fecal sludge to end-use and/or disposal	1.00	-	Brandes et al., 2016 ¹²
$P_{gw,sw}$	Proportion of graywater discharged into sewers	0.74	-	Watanabe 2018 ⁵
$P_{st,sw}$	Proportion of septic tanks connected to sewers	0.53	-	Watanabe 2018 ⁵
$P_{sw,wwtp}$	Proportion of wastewater collected by sewers and transferred to WWTP	0.26	-	Watanabe 2018 ⁵
$P_{t,st}$	Proportion of toilets connected to septic tanks	1.00	-	Watanabe 2018 ⁵
$P_{vt,fstp}$	Proportion of fecal sludge emptied by vacuum trucks and transported to FSTP	0.04	-	The World Bank 2013 ¹⁴

Symbol	Description	Value	Unit	Sources
Q_{bw}	Flow rate of blackwater per capita	25.3	L/(person·d)	Huynh et al., 2020 ($n=15$) ⁴
Q_{gw}	Flow rate of graywater per capita	120.7	L/(person·d)	Pham 2014 ($n=80$) ¹³
R_{fstp}	Fraction of COD removal of stabilization ponds	0.53		Saqqar & Pescod, 1995 ¹⁵
T_{st}	Average emptying interval of septic tanks	10.2	year	Pham 2014 ($n=46$) ¹³
V_{2tt}	Two-ton truck capacity	2		Nakamura et al., 2014 ¹⁰
V_{fs-emp}	Volume of fecal sludge emptied per time	3.4	m ³ /septic tank	Pham 2014 ($n=46$) ¹³
V_{vt}	Vacuum truck capacity	3.6	m ³	Nakamura et al., 2014 ¹⁰

Table S1-3 The emission types, categorized according by scope and GHG type (CH₄, N₂O, or CO₂)

Scope	Category	Included in this study
Scope 1 (Direct emissions)	CO ₂ from aerobic, anoxic, and anaerobic processes (biogenic)	✗
	CH ₄ from anaerobic digestion, uncontrolled sludge degradation	✓
	N ₂ O from nitrification and denitrification in biological treatment	✓
Scope 2 (Indirect emissions from purchased energy)	Electricity use for pumping, blower energy (aeration), mixing, other treatment processes	✓
Scope 3 (Other indirect emissions)	Chemical production (manufacturing)	✓
	Miscellaneous energy (fuel for sludge transport)	✓
	Material replacement, labor activities, infrastructure emissions (construction, maintenance, and embodied carbon), etc.	✗

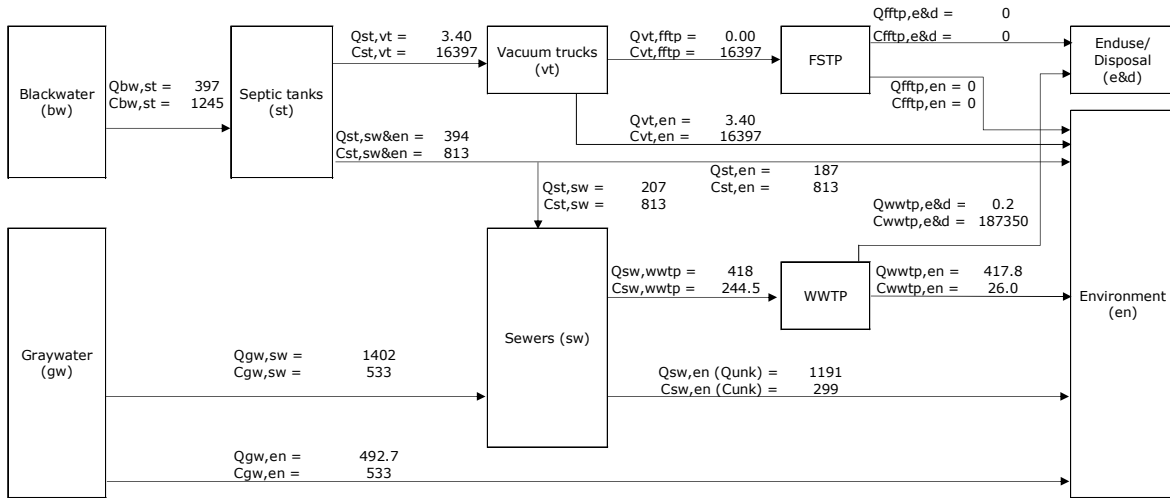


Figure S1-2 Mass balance diagram for baseline

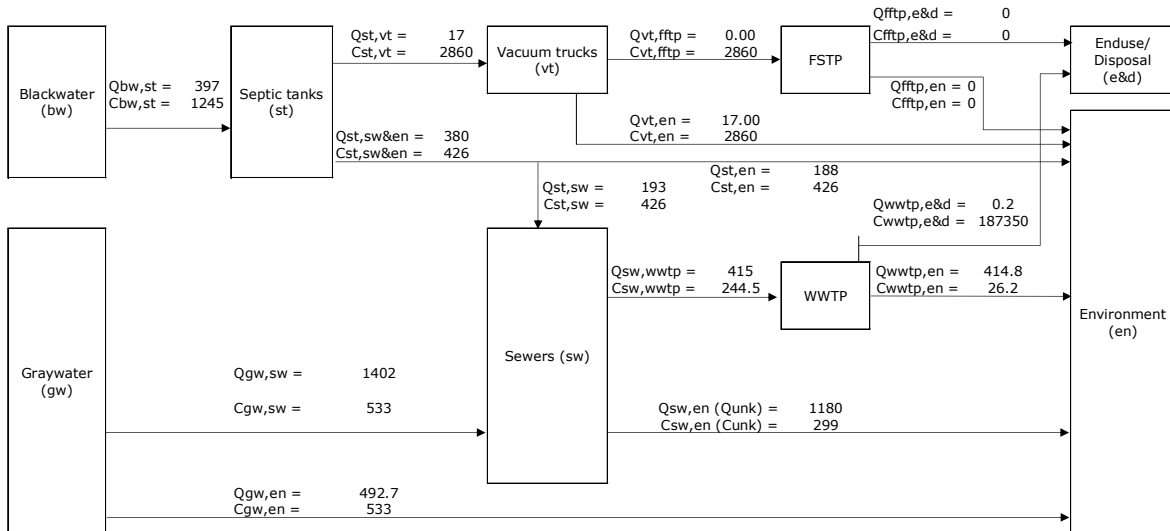


Figure S1-3 Mass balance diagram for scenario A

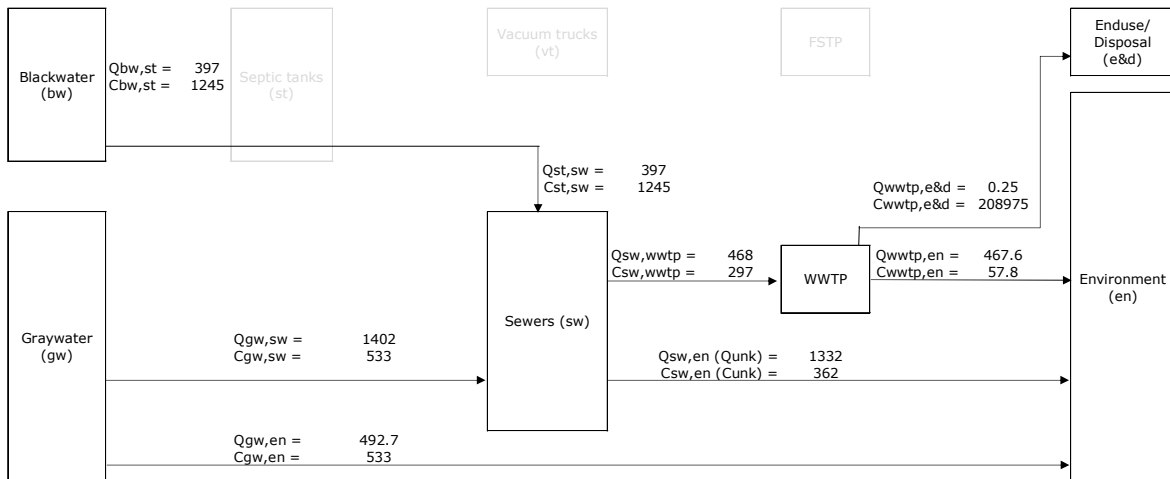


Figure S1-4 Mass balance diagram for scenario B

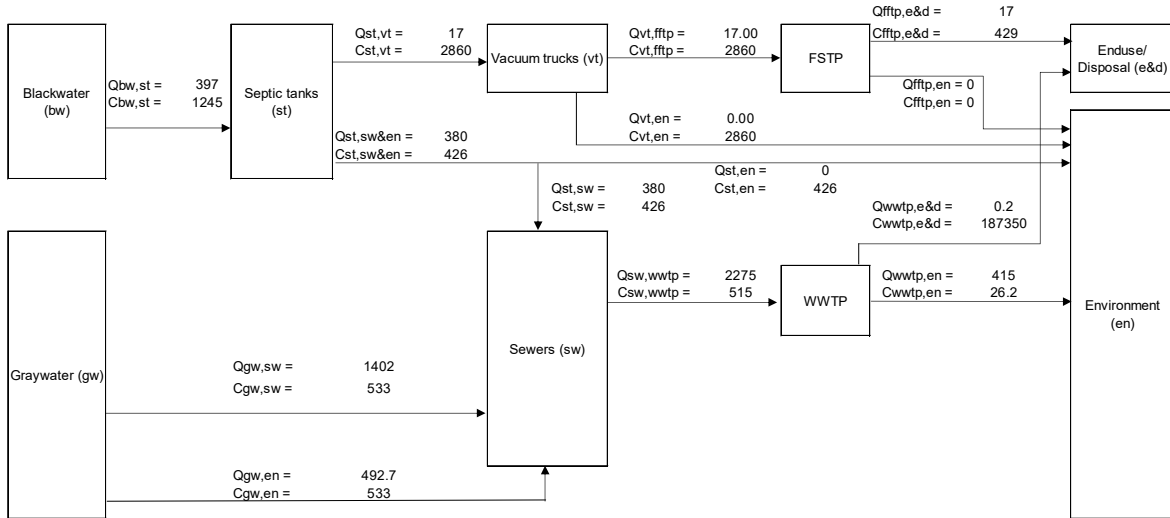


Figure S1-5 Mass balance diagram for scenario A*

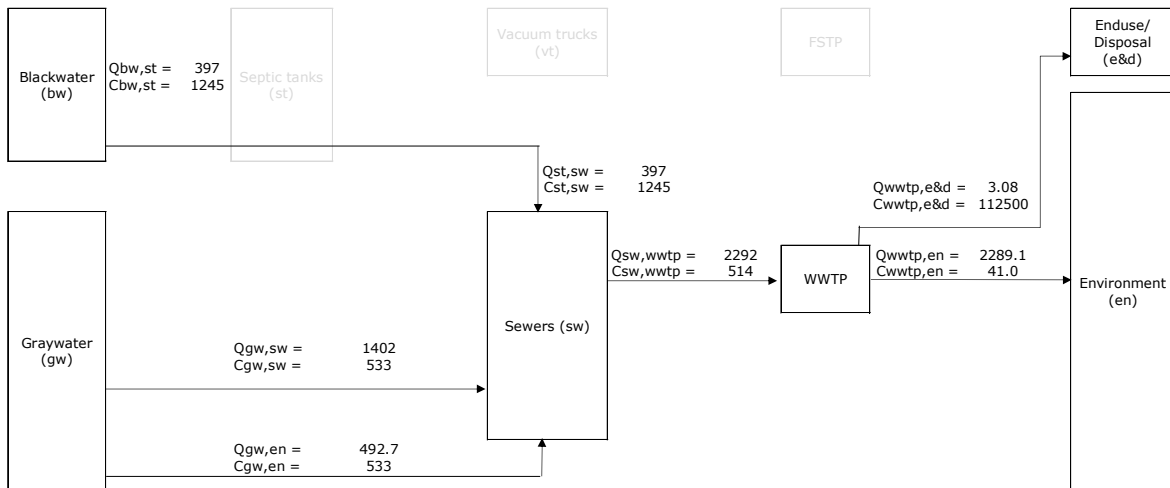


Figure S 1-6 Mass balance diagram for scenario B*

S2 Estimation of GHG emissions from sewers

Derivation of concentration of unknown wastewater

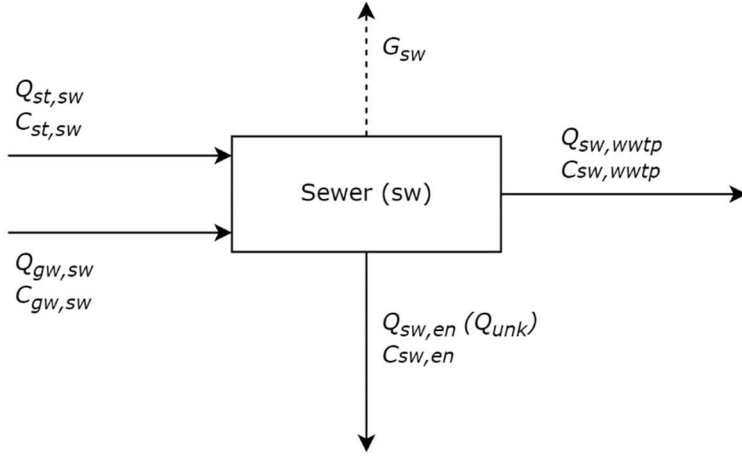


Figure S2–1 Mass balance around sewers

$$\sum Q_{sw-in} - \sum Q_{sw-out} = 0 \quad (2.1)$$

$$Q_{st,sw} + Q_{gw,sw} - Q_{sw,wwtp} - Q_{unk} = 0 \quad (2.2)$$

$$Q_{unk} = Q_{st,sw} + Q_{gw,sw} - Q_{sw,wwtp} \quad (2.3)$$

Where Q_{unk} is flow rate of unknown wastewater not transferred to WWTP (m^3/d); $Q_{st,sw}$ is flow rate of effluent from septic tanks to sewers (m^3/d); $Q_{gw,sw}$ is flow rate of graywater discharged to sewers (m^3/d); and $Q_{sw,wwtp}$ is flow rate of wastewater from sewers transferred to WWTP (m^3/d).

Gas production (G_{sw}) was calculated from COD that is removed in sewers (Δ_{sw}). Δ_{sw} was calculated by using COD loading entering sewers (L_{sw-in}) and a removal fraction or MCF suggested from IPCC for the CH_4 conversion as shown in eq. (2.4).

$$\Delta_{sw} = \sum L_{sw-in} \times MCF_{sw} \quad (2.4)$$

$$\sum L_{sw-in} - \sum L_{sw-out} - \Delta_{sw} = 0 \quad (2.5)$$

$$L_{unk} = Q_{st,sw} \times C_{st,sw} + Q_{gw,sw} \times C_{gw,sw} - Q_{sw,wwtp} \times C_{sw,wwtp} - \Delta_{sw} \quad (2.6)$$

$$C_{unk} = \frac{L_{unk}}{Q_{unk}} \quad (2.7)$$

Where Δ_{sw} is COD loading that is removed in sewers (g COD/d); L_{sw-in} is COD loading entering sewers (g COD/d); MCF is methane collection factor (fraction) of sewers; L_{unk} is unknown COD loading

discharged from sewers to environments (g COD/d); L_{sw-out} is COD loading leaving sewers (g COD/d); and C_{unk} is COD concentration of unknown wastewater not transferred to WWTP (g COD/d).

S3 Estimation of CH₄ emission from septic tanks

$$EF_{CH_4-st1} = 3.83 + 0.622T_{st} \quad (8)$$

$$EF_{CH_4-st2\&3} = EF_{CH_4-st1} \times \frac{S_{st2\&3}}{S_{st1}} \quad (9)$$

$$EF_{CH_4-st} = EF_{CH_4-st1} + EF_{CH_4-st2\&3} \quad (10)$$

$$E_{st} = EF_{CH_4-st} \times N_{pop} \times GWP_{CH_4} \times 365 \times 10^{-6} \quad (11)$$

Where EF_{CH_4-st1} is CH₄ emission factor of the 1st compartment of septic tanks (g CH₄/(cap·d)); T_{st} is emptying intervals of septic tanks (year); $EF_{CH_4-st2\&3}$ is CH₄ emission factor of the 2nd and 3rd compartment of septic tanks (g CH₄/(cap·d)); $S_{st2\&3}$ is a fractional surface area of the 2nd and 3rd compartments to the total surface area (0.47); S_{st1} is a fractional surface area of the 1st compartments to the total surface area (0.53); EF_{CH_4-st} is CH₄ emission factor of septic tanks (g CH₄/(cap·d)); E_{st} is GHG emissions from septic tanks (tonCO₂e/year); N_{pop} is population (persons); GWP_{CH_4} is global warming potential of CH₄ (28); 365 is the number of days in a year; and 10^{-6} is a conversion factor from gram to ton.

S4 Estimation of GHG emissions from vacuum trucks

$$N_{trip/d} = \frac{N_{st-area}}{N_{st/trip} \times T_{st}} \quad (4.1)$$

where $N_{trip/d}$ is number of emptied trips per day (trip/d); $N_{st-area}$ is number of septic tanks in a study area (tank); $N_{st/trip}$ is number of septic tanks to be emptied per trip (septic tank/trip); and T_{st} is average emptying interval of septic tanks (year).

$$E_{CO_2-vt} = \frac{D}{FC_{vt}} \times EF_{CO_2,vt} \times N_{trip/d} \times 365 \times 10^{-3} \quad (4.2)$$

where E_{CO_2-vt} is CO₂ emission from vacuum trucks (tonCO₂e/year); D is a round-trip distance from the drainage area to a FSTP (km); FC_{vt} is fuel consumption of a diesel vacuum truck (km/L); and $N_{trip/d}$ is number of emptied trips per day (trip/d).

$$E_{CH_4-vt} = D \times EF_{CH_4,vt} \times N_{trip/d} \times GWP_{CH_4} \times 365 \times 10^{-3} \quad (4.3)$$

where E_{CH_4-vt} is CH₄ emission from vacuum trucks (tonCO₂e/year); $EF_{CH_4,vt}$ is emission factor of CH₄ from a diesel vacuum truck (kg CH₄/km); and $N_{trip/d}$ is number of emptied trips per day (trip/d); GWP_{CH_4} is global warming potential of CH₄; 365 is the number of days in a year; and 10⁻³ is a conversion factor from kilogram to ton.

$$E_{N_2O-vt} = D \times EF_{N_2O,vt} \times N_{trip/d} \times GWP_{N_2O} \times 365 \times 10^{-3} \quad (4.4)$$

where E_{N_2O-vt} is N₂O emission from vacuum trucks (tonCO₂e/year); $EF_{N_2O,vt}$ is emission factor of N₂O from a diesel vacuum truck (kg N₂O/km); and $N_{trip/d}$ is number of emptied trips per day (trip/d); GWP_{N_2O} is global warming potential of N₂O; 365 is the number of days in a year; and 10⁻³ is a conversion factor from kilogram to ton.

S5 Estimation of GHG emissions from WWTP

S5.1 Truc Bach WWTP process diagram

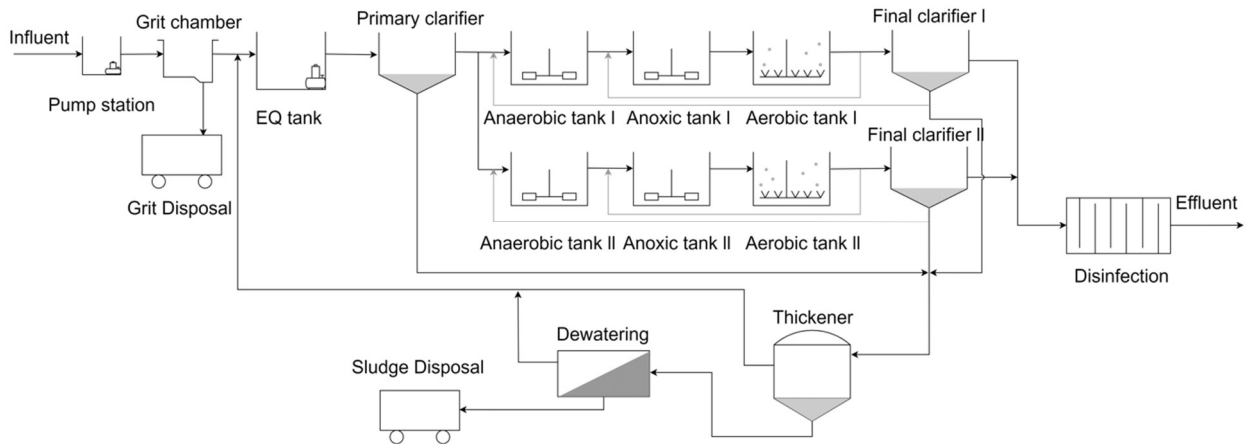


Figure S5-1 Truc Bach WWTP process diagram

S5.2 Input data for GPS-X's WWTP simulation

Table S5-1 Truc Bach WWTP configuration

Unit operation	Size	Unit	Qty.	Operated	Stand by	total kW	Remark
Influent pump pit							
Volume	52.1	m ³	1				assume HRT is 30 mins
Transfer pump	5.5	kw	3	2	1	11	
Grit chamber							
Grip pump	3.7	kw	2	1	1	3.7	1 operated 1 stand by
Fine Screen	0.2	kw	2	2	0	0.4	2 operated
Grit separation	2.2	kw	1	1	0	2.2	1 operated
Equalization tank							
Volume	156.25	m ³	1				assume HRT is 1.5 hrs
Agitator	2	kw	2	2	0	4	2 operated
Transfer pump	3.7	kw	3	2	1	7.4	2 operated 1 stand by
Primary sedimentation							
Volume	171.5	m ³	1				wepa n.d.
Sludge scraper	0.4	kw	1	1	0	0.4	
sludge pump	2.2	kw	2	1	1	2.2	
Anaerobic tank							
Volume	105	m ³	2				
Anaerobic mixer	1.5	kw	2	2	0	3	
Anoxic tank							
Volume	274	m ³	2				
Anoxic mixer	2.8	kw	2	2	0	5.6	
Aerobic tank							
Volume	180.4	m ³	2				
Recirculation pump	5.5	kw	2	1	1	5.5	
Coagulant dosing pump	0.2	kw	2	2	0	0.4	
Air blower	15	kw	3	2	0	30	
Secondary sedimentation							
Volume	224	m ³	2				
Sludge scraper	0.4	kw	2	2	0	0.8	
Return sludge pump	2.2	kw	1	1	1	2.2	
Disinfection							
Volume	52.1	m ³	1				assume contact time is 30 mins
Treated water discharge pump	3.7	kw	2	1	1	3.7	
Recycle water pump	3.7	kw	2	1	1	3.7	
Thickener							
Surface area	9.6	m ²					diameter 3.5 m
Sludge scraper	0.4	kw	1	1	0	0.4	
Sludge pump	0.75	kw	1	1	0	0.75	
Dewatering	1	tank	1	1	0	1	
Sludge cake hopper	1.5	kw	2	2	0	3	
Dehydrator							
Sludge feed pump	1.5	kw	1	1	0	1.5	
Polymer dosing pump	0.75	kw	1	1	0	0.75	
Booster pump	1.5	kw	1	1	0	1.5	
Filtrate return pump	0.75	kw	2	1	1	0.75	
Drainage pump	0.4	kw	1	1	0	0.4	

Table S5-2 Influent characteristics of Truc Bach WWTP

Influent	Value (median)
SS (g/m ³)	49
BOD (g/m ³)	58
COD (g/m ³)	244.5
TN (g N/m ³)	44.5
NH ₄ -N (g N/m ³)	N.A.
NO ₃ -N (g N/m ³)	N.A.
TP (g P/m ³)	2.18
PO ₄ -P (g/m ³)	N.A.
Temperature (°C)	28

Source: Watanabe (2018)⁵

S5.3 Scope 2 and Scope 3 emissions estimation in GPS-X

$$E_{scope2} = E_{wwtp} \times EF_{elec} \quad (5)$$

Where E_{scope2} is GHG emissions from scope 2 (tonCO₂e/year); E_{wwtp} is electricity consumption in the WWTP (MWh/year); EF_{elec} is grid emission factor of Vietnam (0.7221 tonCO₂e/MWh).¹⁶

$$E_{scope3} = M_i \times F_i \times 10^{-3} \quad (6)$$

Where E_{scope3} is GHG emissions from scope 2 (tonCO₂e/year); M_i is chemical consumption in WWTP (kg/year); and F_i is emission factors of chemicals (kg CO₂e/kg).

Other operational parameters for simulation in all scenario were maintained as shown in Table S5-3

Table S5-3 Operational parameters in GPS-X model

Parameter	Value
DO in aeration tanks (g/m ³)	2
Internal recycle ratio (%)	200
Temperature (°C)	28
C:N ratio	5.5

For emissions from transportation of sludge, the approaches are in the same basis as in GHG emissions for vacuum trucks.

S6 Estimation of GHG emissions from FSTP

S6.1 Current Cau Dzien FSTP process diagram

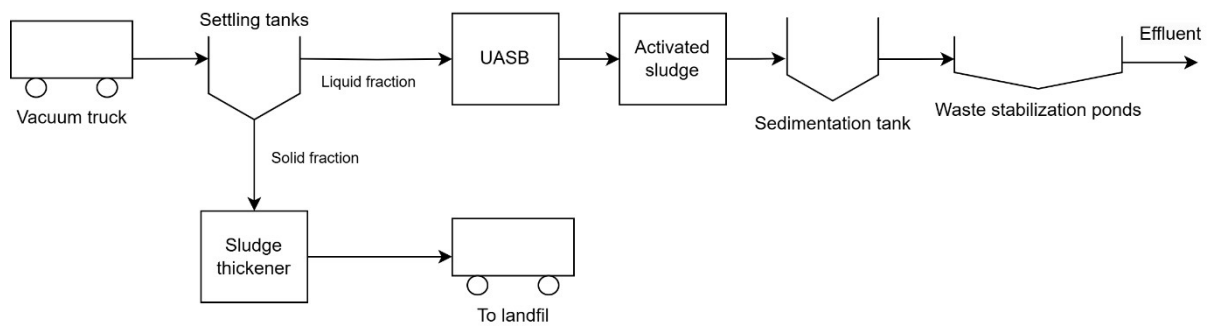


Figure S6–1 Current Cau Dzien FSTP process diagram (Brandes et al., 2016)

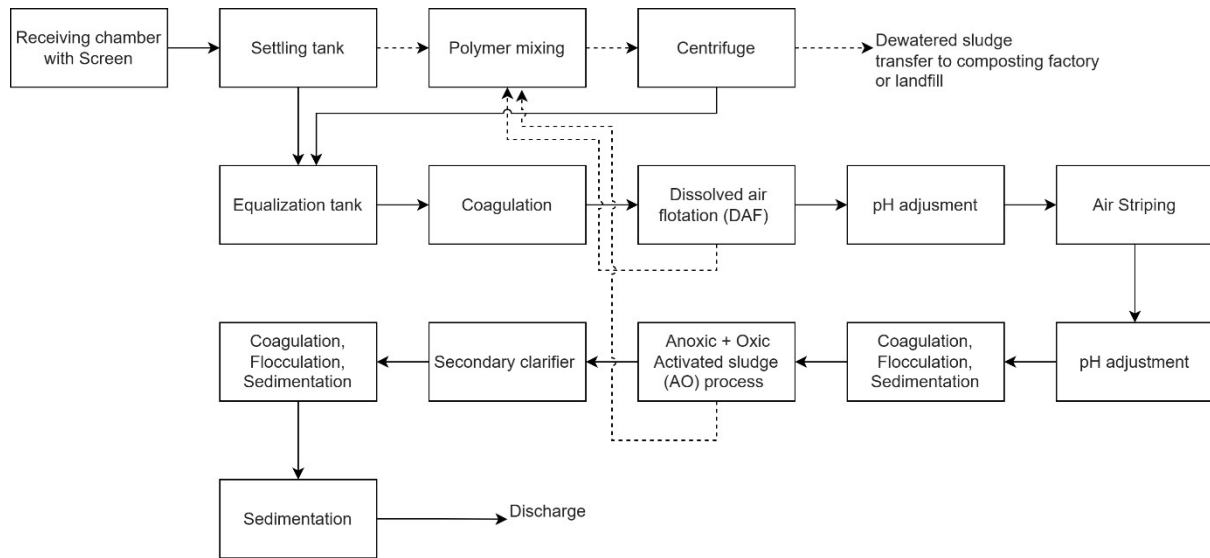


Figure S6–2 Upgraded (future) Cau Dzien FSTP process diagram (URENCO Hanoi and CENIC Co 2023)

S6.2 GHG emissions estimation from FSTP for Scenario A*

$$E_{CH_4,fstp} = COD_{vt,fstp} \times EF_{CH_4} \times GWP_{CH_4} \times 365 \times 10^{-3} \quad (7)$$

Where E_{fstp} is emissions from FSTP (tonCO₂e/year); CH₄ emission factor for aerobic treatment (0.0075 kg CH₄/kg COD); GWP_{CH_4} is global warming potential of CH₄.

$$E_{N_2O,fstp} = COD_{vt,fstp} \times EF_{N_2O} \times GWP_{N_2O} \times 365 \times 10^{-3} \quad (8)$$

Where E_{fstp} is emissions from FSTP (tonCO₂e/year); N₂O emission factor for aerobic treatment (0.016 kg N₂O/kg N); GWP_{N_2O} is global warming potential of N₂O.

For emissions from transportation of sludge, the approaches are in the same basis as in GHG emissions for vacuum trucks.

S7 Mass balance at each component (baseline)

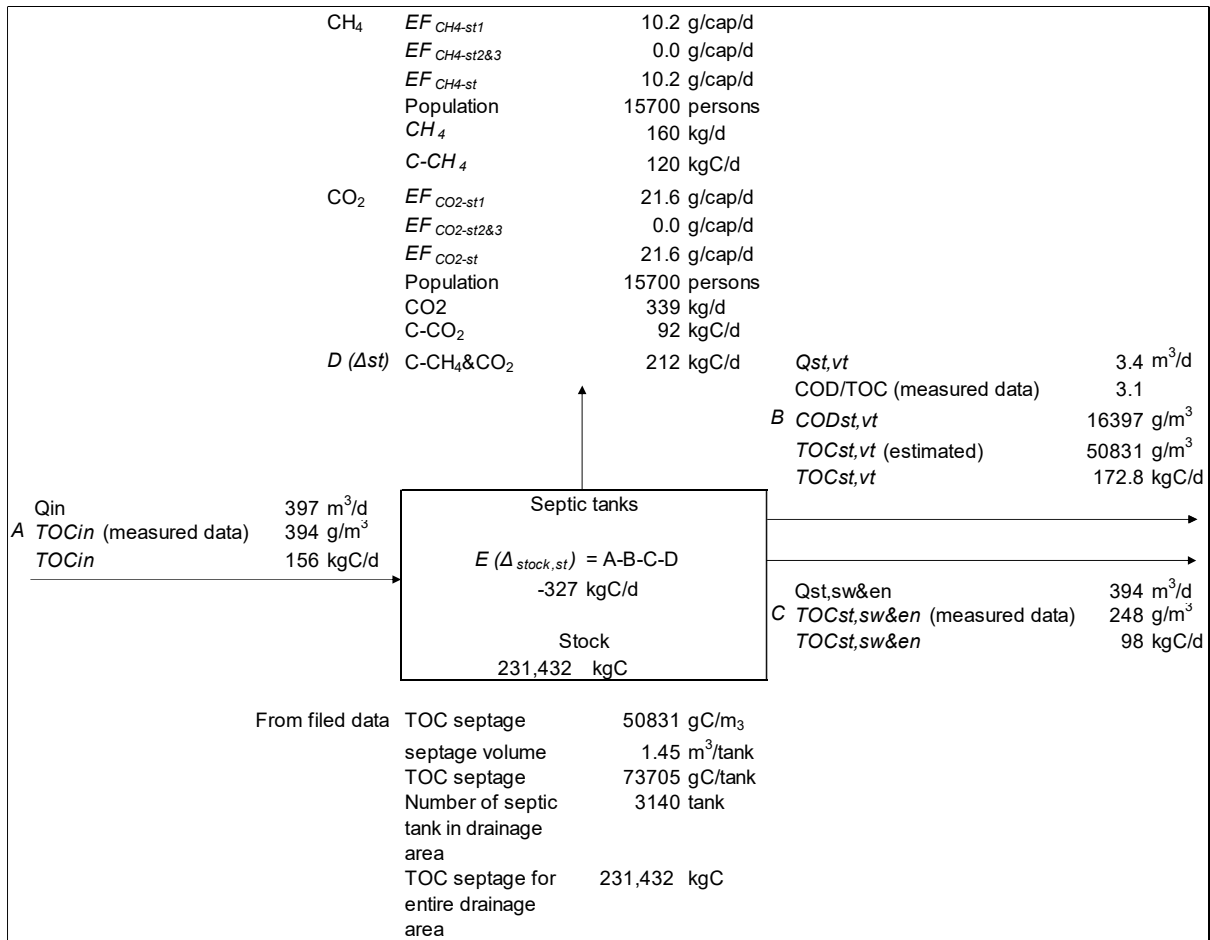


Figure S7–1 Mass balance around septic tanks at minimum emissions

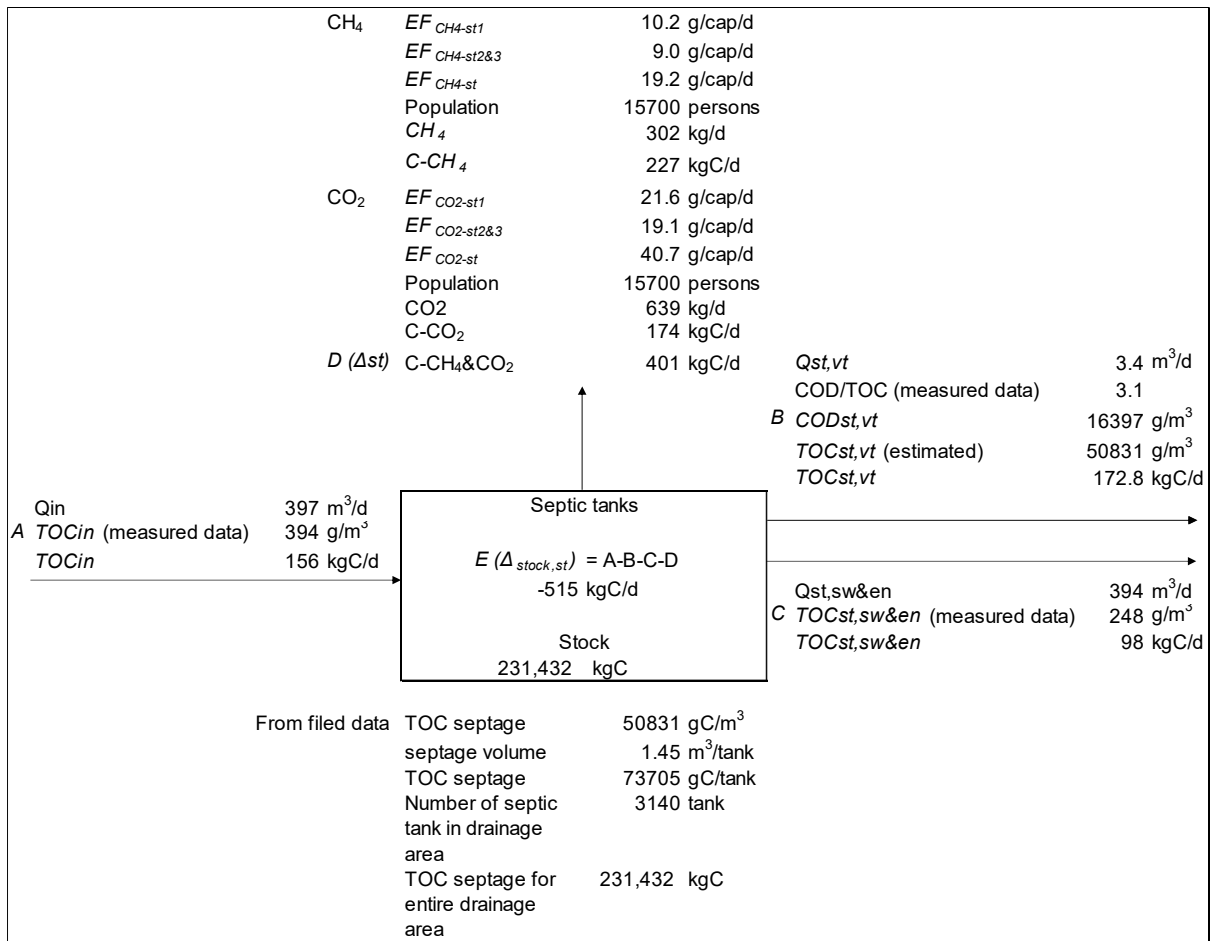


Figure S7–2 Mass balance around septic tanks at maximum emissions

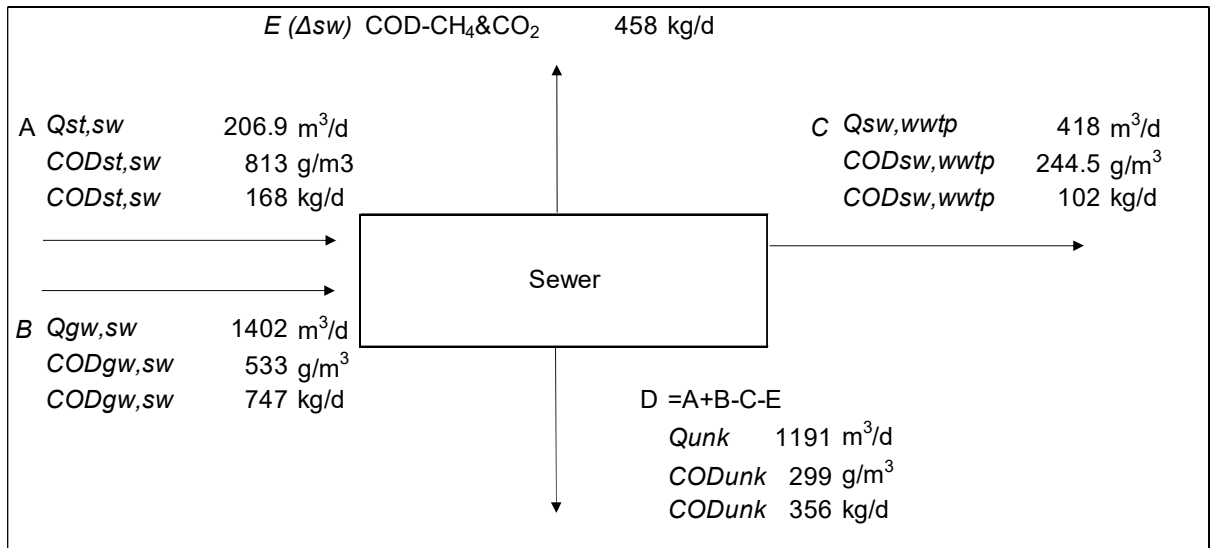


Figure S7–3 Mass balance around sewers

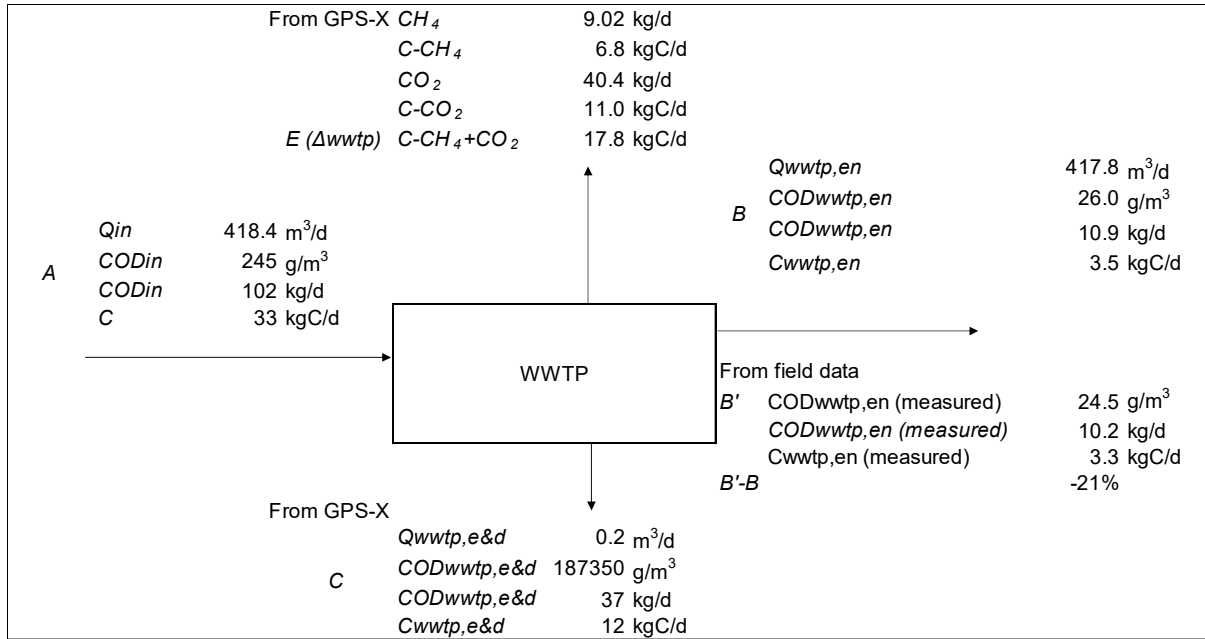


Figure S7-4 Mass balance around WWTP

S8 Scenario development

S8.1 Variable application in each scenario

Table S8-1 Variable application in each mitigation scenario

Symbol	Description	Baseline: Current state	Scenario A: Increased frequency of septic tank emptying	Scenario B: No septic tanks without improved sewer conditions	Scenario C: No septic tanks with improved sewer conditions
T_{st}	Average emptying interval of septic tanks	10.2	1.00	-	-
$P_{bw,sw}$	Proportion of blackwater discharged into sewers	0.00	0.00	1.00	1.00
$P_{t,st}$	Proportion of toilets connected to septic tanks	1.00	1.00	0.00	0.00
$P_{st,sw}$	Proportion of septic tanks connected to sewers	0.53	0.53	0.00	0.00
$P_{gw,sw}$	Proportion of graywater discharged into sewers	0.74	0.74	0.74	1.00
$P_{sw,wwtp}$	Proportion of wastewater collected by sewers and transferred to WWTP	0.26	0.26	0.26	1.00
$P_{vt,fstp}$	Proportion of fecal sludge emptied by vacuum trucks and transported to FSTP	0.04	0.04	0.00	0.00

S8.2 Scenario A

$$EF_{CH4-st1} = 3.83 + 0.622T_{st} \quad (8.1)$$

$$COD_{st-fs} = 1600 + 1260T_{st} \quad (8.2)$$

$$COD_{st,eff} = 394 + 32T_{st} \quad (8.3)$$

S9 Detailed GHG emissions along the SSC in all scenarios

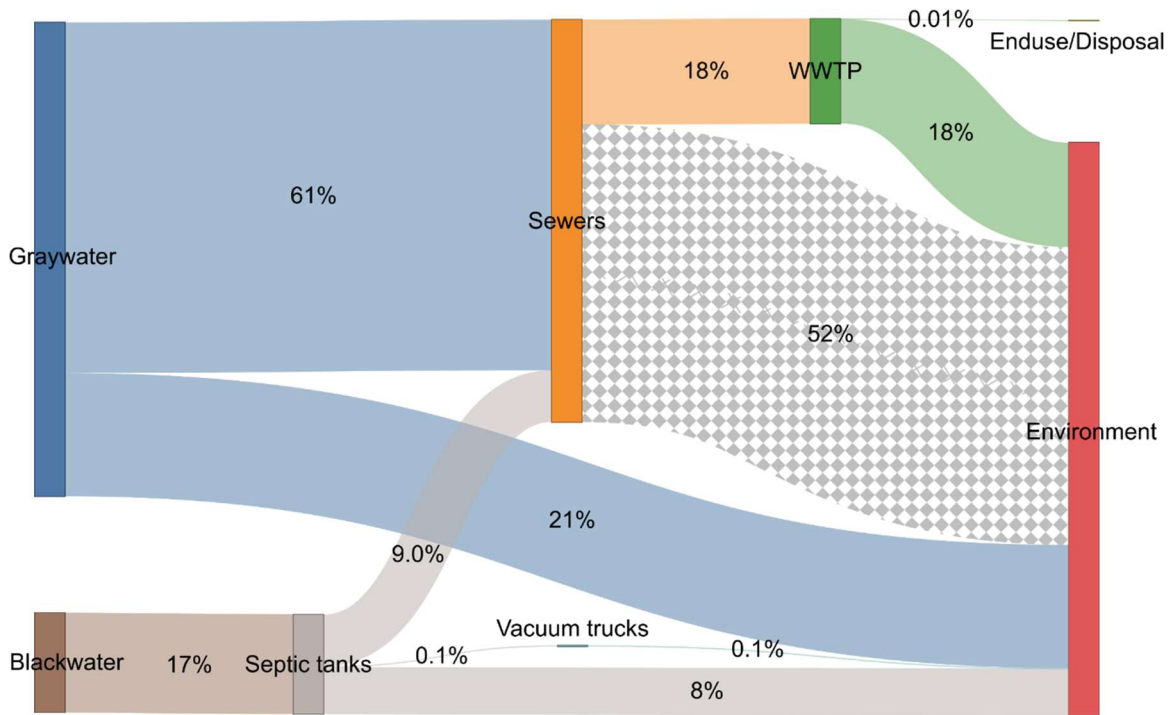


Figure S9–1 Wastewater flow diagram of Hanoi, Vietnam. All percentages are in relation to the total quantity of wastewater generated in the study area.

Table S9-1 GHG emissions along the SSC in Baseline

Sanitation service	tonCO ₂ e/year						
	Baseline	CO ₂	CH ₄	N ₂ O	Scope1	Scope2	Scope3
Septic tanks (min, 1 st compartment)	1,633	0	1,633	0	1,633	0.00	0.00
Septic tanks (additional from 2 nd and 3 rd compartments)	1,448	0	1,448	0	1,448	0.00	0.00
Vacuum trucks	3	3	0	0	0	0.00	3.33
Sewers	1,177	0	1,170	7	1,177	0.00	0.00
WWTP	886	599	100	187	272	613.01	0.76
FSTP	0	0	0	0	0	0	0
Total GHG emissions (max.)	5,146	603	4,350	194	4,529	613	4
Total GHG emissions (min.)	3,698	603	2,902	194	3,081	613	4

Table S9-2 GHG emissions along the SSC in Scenario A

Sanitation service	tonCO ₂ e/year						
	Baseline	CO ₂	CH ₄	N ₂ O	Scope1	Scope2	Scope3
Septic tanks (min, 1 st compartment)	714	0	714	0	714	0	0
Septic tanks (additional from 2 nd and 3 rd compartments)	633	0	633	0	633	0	0
Vacuum trucks	34	34	0.01	0.06	0	0	34
Sewers	1,067	0	1,060	7	1,067	0	0
WWTP	755	599	52	104	142	613	0.74
FSTP	0	0	0	0	0	0	0
Total GHG emissions (max.)	3,204	633	2,459	111	2,556	613	35
Total GHG emissions (min.)	2,570	633	1,826	111	1,923	613	35

Table S9-3 GHG emissions along the SSC in Scenario B

Sanitation service	tonCO ₂ e/year						
	Baseline	CO ₂	CH ₄	N ₂ O	Scope1	Scope2	Scope3
Septic tanks (min, 1 st compartment)	0	0	0	0	0	0.00	0.00
Septic tanks (additional from 2 nd and 3 rd compartments)	0	0	0	0	0	0.00	0.00
Vacuum trucks	0	0	0	0	0	0.00	0.00
Sewers	1,594	0	1,587	7	1,594	0.00	0.00
WWTP	725	600	81	44	110	614	1.14
FSTP	0	0	0	0	0	0	0
Total GHG emissions	2,319	600	1,667	51	1,704	614	1.1

Table S9-4 GHG emissions along the SSC in Scenario A*

Sanitation service	tonCO ₂ e/year						
	Baseline	CO ₂	CH ₄	N ₂ O	Scope1	Scope2	Scope3
Septic tanks (min, 1 st compartment)	714	0	714	0	714	0.00	0.00
Septic tanks (additional from 2 nd and 3 rd compartments)	633	0	633	0	633	0.00	0.00
Vacuum trucks	34	34	0	0	0	0.00	33.94
Sewers	0	0	0	0	0	0.00	0.00
WWTP	4,160	972	0	3,187	3,164	982	91
FSTP	99.9	78	4	18.6	4	19	0
Total GHG emissions (max.)	5,642	1,084	1,352	3,206	4,516	1,001	125
Total GHG emissions (min.)	5,008	1,084	718	3,206	3,882	1,001	125

Table S9-5 GHG emissions along the SSC in Scenario B*

Sanitation service	tonCO ₂ e/year						
	Baseline	CO ₂	CH ₄	N ₂ O	Scope 1	Scope 2	Scope 3
Septic tanks (min, 1 st compartment)	0	0	0	0	0	0	0
Septic tanks (additional from 2 nd and 3 rd compartments)	0	0	0	0	0	0	0
Vacuum trucks	0	0	0	0	0	0	0
Sewers	0	0	0	0	0	0	0
WWTP	4,278	980	0	3,299	3,275	989	14
FSTP	0	0	0	0	0	0	0
Total GHG emissions	4,278	980	0	3,299	3,275	989	14