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Rheological control of crystal fabrics on Antarctic ice shelves

Journal:	Journal of Glaciology
Manuscript ID	JOG-2025-0038
Manuscript Type:	Article
Date Submitted by the Author:	27-Mar-2025
Complete List of Authors:	Rathmann, Nicholas; Niels Bohr Institute, PICE Lilien, David; Indiana University, Earth and Atmospheric Sciences Richards, Daniel; British Antarctic Survey McCormack, Felicity; Monash University School of Earth Atmosphere and Environment Montagnat, Maurine; CNRS, Institut des Geosciences de l'Environnement
Keywords:	Ice shelves, Recrystallization, Ice dynamics, Ice physics, Anisotropic ice
Abstract:	Ice crystal fabrics can exert significant rheological control on ice sheets and ice shelves, potentially softening or hardening anisotropic ice by several orders of magnitude compared to isotropic ice. We introduce an anisotropic extension of the Shallow Shelf Approximation (SSA), allowing for fabric-induced viscous anisotropy to affect the flow of ice shelves in coupled, transient simulations. We show that the viscous anisotropy of synthetic ice shelves can be parameterized using an isotropic flow enhancement factor, suggesting that existing SSA flow models could, with little effort, approximate the effect of fabric on flow. Next, we propose a new way to directly solve for SSA fabric fields using satellite- derived velocities, assuming velocities are approximately steady and that fabric evolution is dominated by lattice rotation with or without discontinuous dynamic recrystallization. We apply our method to the Ross and Pine Island ice shelves, Antarctica, suggesting that these regions might experience significant fabric-induced hardening and softening depending on the relative strength of lattice rotation and recrystallization.

Our results emphasize the ice-dynamical relevance of needing to better constrain the strength of fabric processes. This calls for more widespread fabric and temperature measurements from the field, since measurements are currently too sparse for model validation.



Rheological control of crystal fabrics on Antarctic ice shelves

2	Nicholas M. Rathmann ¹ , David A. Lilien ² , Daniel H. Richards ^{3,4}
3	Felicity S. McCormack ⁵ , Maurine Montagnat ⁶
4	¹ Niels Bohr Institute, University of Copenhagen, Copenhagen, Denmark
5	² Department of Earth and Atmospheric Sciences, Indiana University, Bloomington, Indiana, USA
6	³ British Antarctic Survey, Cambridge, England, UK
7	⁴ The Australian Centre for Excellence in Antarctic Science, University of Tasmania, Hobart, Lutruwita, Australia
8	⁵ Securing Antarctica's Environmental Future, School of Earth, Atmosphere and Environment, Monash University,
9	Clayton, Kulin Nations, Victoria, Australia
10	⁶ Institute of Environmental Geosciences, University Grenoble Alpes, Grenoble, France
11	Correspondence: Nicholas M. Rathmann <rathmann@nbi.ku.dk></rathmann@nbi.ku.dk>

ABSTRACT. Ice crystal fabrics can exert significant rheological control on ice sheets and 12 ice shelves, potentially softening or hardening anisotropic ice by several orders of magnitude 13 compared to isotropic ice. We introduce an anisotropic extension of the Shallow Shelf 14 Approximation (SSA), allowing for fabric-induced viscous anisotropy to affect the flow of ice 15 shelves in coupled, transient simulations. We show that the viscous anisotropy of synthetic 16 ice shelves can be parameterized using an isotropic flow enhancement factor, suggesting 17 that existing SSA flow models could, with little effort, approximate the effect of fabric on 18 flow. Next, we propose a new way to directly solve for SSA fabric fields using satellite-19 derived velocities, assuming velocities are approximately steady and that fabric evolution 20 is dominated by lattice rotation with or without discontinuous dynamic recrystallization. We 21 apply our method to the Ross and Pine Island ice shelves, Antarctica, suggesting that these 22 regions might experience significant fabric-induced hardening and softening depending on 23 the relative strength of lattice rotation and recrystallization. Our results emphasize the 24 ice-dynamical relevance of needing to better constrain the strength of fabric processes. 25

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28 INTRODUCTION

Nearly three-quarters of Antarctica's coastline is surrounded by floating ice shelves that affect the stability and 29 mass balance of the Antarctic ice sheet. The buttressing provided by these ice shelves can influence the mass 30 flux of marine-terminating glaciers across their grounding lines, but estimating the effect requires an accurate 31 representation of ice shelves in large-scale flow models (Otosaka and others, 2023; Sun and Gudmundsson, 2023; 32 Joughin and others, 2021; Rignot and others, 2004; Reese and others, 2018; Rathmann and others, 2017) with 33 some caveats (Hulbe and Fahnestock, 2004; Hill and others, 2021). Unlike modeling the flow of grounded ice 34 which depends on information about ice geometry, boundary conditions, thermal state, and material (rheological) 35 properties, floating ice shelves represent a somewhat simpler problem: their boundary conditions are better known 36 (no drag on the bottom ocean boundary), they deform by plug flow (negligible vertical shear), and their geometry 37 can be approximated from surface elevation measurements if assumed to fulfill the flotation criterion. 38

Despite their simpler setting, many factors complicate the modeling of ice shelves, such as (hydro)fracturing 39 causing locally softer ice (Borstad and others, 2017; Sun and others, 2017), heterogeneous sub-shelf melting 40 (Adusumilli and others, 2018), and the accretion of marine ice with different thermal and rheological properties 41 (Craw and others, 2023; Treverrow and others, 2010). Another rheological phenomenon that is often neglected (or 42 at least not explicitly modeled) is the heterogeneous viscous anisotropy caused by an evolving crystal-orientation 43 fabric (henceforth *fabric*) (Lutz and others, 2020; Diez and others, 2016; LeDoux and others, 2017), with potential 44 analogies to continental ice streams insofar as they are facilitated by negligible basal drag due to subglacial melting 45 (Gerber and others, 2023; Ma and others, 2010). 46

Of the rheological properties that evolve spatiotemporally (Figure 1), ice temperature exerts in general the most important control. For the range of temperatures observed in ice sheets, -55 °C to 0 °C, ice fluidity (ease of deformation) can vary by up to a factor of 1000 (Cuffey and Paterson, 2010). If deformation is dominated by dislocation creep (typical for ice colder than -10 °C, but depends on stress magnitude, too), the crystal fabric is arguably the second most important rheological property (Fan and Prior, 2023; Duval and others, 1983; Castelnau and others, 1998), unless the ice mass is very fractured. Strongly developed anisotropic ice can be up to 10 times easier to shear and 100 times harder to compress compared to isotropic ice, depending on how favorable grain



Fig. 1. Overview of strain-rate enhancing mechanisms in ice.

orientations are to basal glide (Pimienta and others, 1987; Shoji and Langway, 1985; Jacka and Budd, 1989; Jacka
and Jun, 2000) (Figure 1).

Modeling the coupled evolution between fabric and flow has received a lot of attention in the literature, although 56 limited to flow-line models primarily due to computational expense (Lilien and others, 2023, 2021; Rathmann and 57 Lilien, 2021; Martín and others, 2009; Martín and Gudmundsson, 2012; Ma and others, 2010; Durand and others, 58 2007; Pettit and others, 2007; Castelnau and others, 1998; Gillet-Chaulet and others, 2006; Mangeney and others, 59 1996). The full-Stokes problem (unapproximated momentum balance) for isotropic ice is likewise computationally 60 expensive, making it unfeasible for transient simulations over long time scales. Vertically integrated momentum 61 balance approximations are therefore frequently used (Seroussi and others, 2023), relying on scaling arguments and 62 the shallow aspect ratio of polar ice masses. 63

The Shallow Shelf Approximation (SSA), loosely referred to as *plug flow*, was originally proposed to model 64 the flow of ice shelves, assuming negligible vertical shear and basal drag (Morland, 1987; MacAyeal, 1989). But 65 ice shelves are predominantly in a state of longitudinal extension, which can lead to the development of girdle 66 fabrics (Treverrow and others, 2010) that harden the ice for further along-flow extension compared to isotropic ice 67 (Castelnau and others, 1996; Pimienta and others, 1987). Moreover, horizontal single-maximum fabrics can develop 68 in ice-shelf shear margins similar to ice streams (Monz and others, 2021; Thomas and others, 2021; Gerber and 69 others, 2023) that are softer for shear than isotropic ice (Echelmeyer and others, 1994; Jackson and Kamb, 1997; 70 Pimienta and others, 1987), potentially leading to faster interior (trunk) flow than predicted by isotropic ice flow 71 models. 72

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

In this paper, we introduce an anisotropic version of the SSA equations and explore the extent to which viscous anisotropy of ice shelves can be parameterized by an equivalent scalar flow enhancement factor, thus making it easier to account for the effect of crystal fabrics in existing state-of-art *isotropic* ice flow models. This paper therefore shares the goals of, and builds on, previous work by Placidi and others (2010), Ma and others (2010), Treverrow and others (2015), and Graham and others (2018).

78 In the following, we first introduce the methodological background, which includes the anisotropic SSA problem, how the two-way coupling with fabric evolution is solved, and how the equivalent enhancement factor has previously 79 been defined, including a new definition that we find useful. The methodology builds on recent developments of 80 ours (Richards and others, 2021; Rathmann and Lilien, 2021; Lilien and others, 2023), and the reader less interested 81 in technical details may choose to focus on the section "Equivalent isotropic enhancement" where the equivalent 82 enhancement is introduced and subsequently section "Application to Antarctic ice shelves" where it is applied to the 83 Ross and Pine Island Glacier (PIG) ice shelves, Antarctica, suggesting that significant fabric-induced hardening and 84 softening may be present in Antarctic ice shelves. 85

86 ANISOTROPIC SSA

87 Momentum balance

88 The SSA momentum balance is (Morland, 1987; MacAyeal, 1989)

$$\nabla \cdot (H\mathbf{R}) = \rho g H \nabla S, \tag{1}$$

where S is the surface height, H is the ice thickness, $\rho = 917 \text{ kg m}^{-3}$ is the density of ice, $g = 9.8 \text{ m s}^{-2}$ is the gravitational acceleration, and the resistive viscous stress tensor is defined as

$$\mathbf{R} = \begin{bmatrix} 2\tau_{xx} + \tau_{yy} & \tau_{xy} \\ \tau_{xy} & \tau_{xx} + 2\tau_{yy} \end{bmatrix}.$$
 (2)

The deviatoric stress components τ_{xx} , τ_{yy} and τ_{xy} follow from the power-law rheology of ice assuming dislocation creep is the dominant deformation mechanism,

$$\boldsymbol{\tau} = A^{-1/n} \dot{\boldsymbol{\epsilon}}^{(1-n)/n} \mathbf{C},\tag{3}$$

$$\dot{\epsilon} = \sqrt{\frac{\mathbf{C}:\dot{\epsilon}}{2}},\tag{4}$$

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves



Fig. 2. Schematic of the two-way coupled problem between flow and fabric evolution.

where *A* is the depth-averaged flow rate factor, $\dot{\epsilon}$ is the strain rate tensor, $\dot{\epsilon}$ is the effective strain rate, *n* is the flow exponent, and **C** is the tensorial part of the rheology. Assuming incompressibility, the strain-rate tensor is

$$\dot{\boldsymbol{\epsilon}} = \begin{bmatrix} \dot{\boldsymbol{\epsilon}}_{xx} & \dot{\boldsymbol{\epsilon}}_{xy} & \boldsymbol{0} \\ \dot{\boldsymbol{\epsilon}}_{xy} & \dot{\boldsymbol{\epsilon}}_{yy} & \boldsymbol{0} \\ \boldsymbol{0} & \boldsymbol{0} & -\dot{\boldsymbol{\epsilon}}_{xx} - \dot{\boldsymbol{\epsilon}}_{yy} \end{bmatrix},$$
(5)

following usual scaling arguments for ice masses with a small aspect ratio, allowing vertical shear to be neglected
(MacAyeal, 1989).

The difference between the isotropic and anisotropic SSA equations is presented in Supplementary A. In effect, vertical velocity gradients vanish only to first order in the ice-mass aspect ratio, whereas for isotropic ice they also vanish to second order. Therefore, care should be taken when applying the anisotropic SSA to ice masses with aspect ratios less than ~ 100 .

101 Bulk rheology

In the case of Glen's isotropic rheology, the tensorial part is $\mathbf{C} = \dot{\boldsymbol{\epsilon}}$. For anisotropic rheologies, however, the strainrate components should be scaled according to the local fabric-induced viscous anisotropy.

The orthotropic rheology (Gillet-Chaulet and others, 2005) has three mutually perpendicular planes of reflection symmetry with normals \mathbf{m}_1 , \mathbf{m}_2 , and \mathbf{m}_3 , allowing each strain-rate component to be uniquely scaled by viscous

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

anisotropy (Figure 2). In the notation of Lilien and others (2023), the tensorial part of the orthotropic rheology is

$$\mathbf{C} = \sum_{i=1}^{3} \left(\eta_i (\mathbf{P}_i : \dot{\boldsymbol{\epsilon}}) \mathbf{P}_i + \eta_{i+3} (\mathbf{P}_{i+3} : \dot{\boldsymbol{\epsilon}}) \mathbf{P}_{i+3} \right),$$
(6)

107 where

$$\mathbf{P}_i = \frac{\mathbf{I}}{3} - \mathbf{m}_i \mathbf{m}_i, \quad \mathbf{P}_{i+3} = \frac{\mathbf{m}_{j_i} \mathbf{m}_{k_i} + \mathbf{m}_{k_i} \mathbf{m}_{j_i}}{2}, \tag{7}$$

are projectors such that $\mathbf{P}_i : \dot{\boldsymbol{\epsilon}}$ and $\mathbf{P}_{i+3} : \dot{\boldsymbol{\epsilon}}$ give the longitudinal and shear strain-rate components in the \mathbf{m}_i frame, respectively, $\mathbf{m}_i \mathbf{m}_i$ and $\mathbf{m}_{j_i} \mathbf{m}_{k_i}$ denote outer products, and the index tuples are defined as $(j_1, j_2, j_3) = (2, 3, 1)$ and $(k_1, k_2, k_3) = (3, 1, 2)$.

The coefficients η_i and η_{i+3} are dimensionless directional viscosities that scale the strain rate components in the m_i frame. These can conveniently be written in terms of the fabric-induced directional enhancement factors E_{ij} (Rathmann and Lilien, 2022)

$$\eta_{i} = 3 \frac{E_{j_{i}j_{i}}^{2/(n+1)} + E_{k_{i}k_{i}}^{2/(n+1)} - E_{ii}^{2/(n+1)}}{\sum_{i=1}^{3} \left(2E_{j_{i}j_{i}}^{2/(n+1)} E_{k_{i}k_{i}}^{2/(n+1)} - E_{ii}^{4/(n+1)}\right)}, \quad \eta_{i+3} = 2E_{j_{i}k_{i}}^{-2/(n+1)}.$$
(8)

Here, E_{ij} are to be understood as the size of the strain-rate components in the \mathbf{m}_i frame relative to that if the fabric was isotropic (Glen's rheology)

$$E_{ij} = \frac{\mathbf{m}_i \cdot \dot{\boldsymbol{\epsilon}}(\hat{\boldsymbol{\tau}}) \cdot \mathbf{m}_j}{\mathbf{m}_i \cdot \dot{\boldsymbol{\epsilon}}_{iso}(\hat{\boldsymbol{\tau}}) \cdot \mathbf{m}_j},\tag{9}$$

for a longitudinal (i = j) or shear $(i \neq j)$ stress state aligned with the \mathbf{m}_i frame

$$\hat{\tau} = \tau_0 \begin{cases} \mathbf{I}/3 - \mathbf{m}_i \mathbf{m}_i & \text{if } i = j \\ (\mathbf{m}_i \mathbf{m}_j + \mathbf{m}_j \mathbf{m}_i)/2 & \text{if } i \neq j \end{cases}$$
(10)

In this way, E_{11} is the longitudinal strain-rate enhancement along \mathbf{m}_1 when subject to compression/tension along \mathbf{m}_1 , E_{12} is the $\mathbf{m}_1 - \mathbf{m}_2$ shear strain-rate enhancement when subject to shear in the $\mathbf{m}_1 - \mathbf{m}_2$ plane, etc. To be clear: $E_{ij} > 1$ implies a softened material response due to fabric anisotropy compared to isotropic ice, whereas $E_{ij} < 1$ implies hardening. Notice that (6)–(8) reduces to Glen's isotropic rheology for $E_{ij} \rightarrow 1$.

We mention in passing that modeling ice flow using an orthotropic rheology is not new (Staroszczyk and Gagliardini, 1999; Gillet-Chaulet and others, 2006; Ma and others, 2010; Lilien and others, 2021, 2023) and is capable of (i) reproducing the viscous anisotropy of Dye 3 ice core samples (Rathmann and Lilien (2022); revisited in Supplementary B), (ii) modeling the fabric profile of the EPICA Dome C ice core (Durand and others, 2007), and (iii) explaining the formation of Raymond bumps with double peaks (Martín and others, 2009).



Fig. 3. Ice crystal processes affecting orientation fabric development: strain-induced rotation of crystal lattices (lattice rotation) and mass transfer between grains with different orientations (recrystallization; DDRX and CDRX).

126 Fabric evolution

The orientation fabric of ice evolves as a function of several crystallographic processes, such as lattice rotation, continuous dynamic recrystallization (CDRX; or rotation recrystallization), and discontinuous dynamic recrystallization (DDRX), depending on the thermomechanical setting (Figure 3). For brevity, we focus on introducing the models of crystal processes used here, referring the reader to e.g. Montagnat and others (2014) or Faria and others (2014a,b) for an introduction to the physics and observations of crystal processes.

In our vertically integrated orthotropic rheology, the fabric field is to be understood as the depth-averaged fabric field (elaborated on below). We therefore argue that it is sufficient to model the dominant crystallographic process in a depth-averaged sense, which is approximately lattice rotation for cold ice but must include DDRX if warmer than ~ -15 °C (De La Chapelle and others, 1998; Samyn and others, 2008; Qi and others, 2019).

136 CDRX accounts for the subdivision of grains along subgrain boundaries resulting from local strain 137 incompatibilities and is generally understood to not change grain orientations much (subdominant to lattice rotation), 138 although it may impact the reduction of stored strain energy (Montagnat and Duval, 2000). For this reason, and 139 because some work (Lilien and others, 2023; Richards and others, 2023) suggests little or no need to include this 140 process when trying to reproduce ice core fabrics, we neglect CDRX for simplification.

141 Lattice rotation

Following a popular approach first proposed by Castelnau and others (1996) and Svendsen and Hutter (1996), lattice rotation is modeled as an advection process on the surface unit sphere (S^2), which is supposed to capture the straininduced rotation of crystal lattices (*c* axes). In this case the *c*-axis velocity field $\dot{\mathbf{c}}$, responsible for advection on S^2 , depends on the bulk stretching $\dot{\boldsymbol{\epsilon}}$ and spin $\boldsymbol{\omega}$ tensors,

$$\dot{\mathbf{c}} = (\boldsymbol{\omega} + \boldsymbol{\omega}_{\mathrm{p}}) \cdot \mathbf{c},\tag{11}$$



Fig. 4. Fabric dynamics for different deformation kinematics and stress states relevant to SSA flows: *c*-axis velocity field for lattice rotation (a-c), and DDRX decay–production rate for an initially-isotropic fabric (d-f).

where **c** is an arbitrary *c*-axis, $\omega_p = \iota(\mathbf{c}^2 \cdot \dot{\boldsymbol{\epsilon}} - \dot{\boldsymbol{\epsilon}} \cdot \mathbf{c}^2)$ is the plastic spin, and \mathbf{c}^k is the *k*th repeated outer product of **c** with itself. We take $\iota = 1$ to ensure that basal slip systems behave like a deck of cards—that is, slip planes tend towards aligning with the bulk shear plane—although ι could take another value to mimic non-basal slip systems activity (Richards and others, 2021; Gillet-Chaulet and others, 2006).

Figure 4a–c shows the predicted *c*-axis velocity field (arrows) and speed (colored contours) for ice subject to three
different deformation kinematics (strain geometries) relevant in SSA flows.

152 *DDRX*

Following Placidi and others (2010), DDRX is modeled as a spontaneous mass decay-production process in orientation space, intended to represent the combined effect of nucleation and grain boundary migration. That is, mass is spontaneously exchanged between grains with different orientations depending on the local stress state, Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

strain rate, and temperature, in a statistical sense. The decay-production rate is defined as

$$\Gamma = \Gamma_0 (D - \langle D \rangle), \tag{12}$$

where the prefactor Γ_0 accounts for the preferential (Arrhenius) activation at warm temperatures and the effect of strain-rate magnitude, defined as (Richards and others, 2021; Lilien and others, 2023)

$$\Gamma_0 = \sqrt{\frac{\dot{\boldsymbol{\epsilon}} : \dot{\boldsymbol{\epsilon}}}{2}} A_{\Gamma} \exp\left(\frac{-Q_{\Gamma}}{RT}\right). \tag{13}$$

Here, *R* is the gas constant, *T* is the temperature, and $A_{\Gamma} = 1.91 \times 10^7$ and $Q_{\Gamma} = 3.36 \times 10^4 \,\text{J}\,\text{mol}^{-1}$ according to a recent calibration by Lilien and others (2023). The deformability *D* is the normalized square of the basal-plane resolved shear stress

$$D = 5 \frac{(\tau \cdot \tau) : \langle \mathbf{c}^2 \rangle - \tau : \langle \mathbf{c}^4 \rangle : \tau}{\tau : \tau},$$
(14)

where **c** is an arbitrary *c*-axis and the factor of 5 is conventional to include. Because *D* is largest for grains with an orientation favorable to basal glide, mass is spontaneously created/added to grains with such preferred orientations (in a statistical sense). Conversely, mass spontaneously decays if $D < \langle D \rangle$, corresponding to grains with an unfavorable orientation being consumed by grains with a more favorable orientation to basal glide. Here, $\langle D \rangle$ is the grain-average deformability of the polycrystal, where the averaging operation $\langle \cdot \rangle$ is defined below.

Figure 4d–f shows the normalized decay–production rate Γ/Γ_0 of an initially-isotropic fabric when subject to three different stress states relevant to SSA flows, i.e. mass is transferred from grains with orientations in red-shaded regions to gray-shaded regions.

170 Representation

The crystal orientation fabric is represented by the grain mass-density distribution function in orientation space, ψ , following the theory of mixtures of continuous diversity (Faria, 2001) (also referred to as ρ^* in the literature, but here * is reserved for complex conjugation). We expand ψ in terms of a spherical harmonic series following recent work (Richards and others, 2021; Rathmann and others, 2021)

$$\psi(\mathbf{x}, t, \theta, \phi) = \sum_{l=0}^{L} \sum_{m=-l}^{l} \psi_l^m(\mathbf{x}, t) Y_l^m(\theta, \phi),$$
(15)

where ψ_l^m are complex-valued expansion coefficients, Y_l^m are harmonic basis functions, and *L* is the wave mode truncation above which finer-scale structure in ψ is unresolved. Since ψ is the distribution of mass density in orientation space, integrating over orientation space gives the usual mass density of glacier ice $\rho = \int_{S^2} \psi \, d\Omega$, where

Journal of Glaciology

178 $d\Omega = \sin(\theta) d\theta d\phi$ is the infinitesimal solid angle. Note that $\psi_l^{-m} = (-1)^m (\psi_l^m)^*$ by identity since ψ is real, and that 179 the mass orientation distribution function (MODF) is defined as the normalized distribution function ψ/ρ .

The combined effect of advection, lattice rotation, and DDRX on ψ is (see e.g. Svendsen and Hutter, 1996; Richards and others, 2021)

$$\frac{\mathrm{D}\psi}{\mathrm{D}t} = -\nabla_{S^2} \cdot (\psi \dot{\mathbf{c}}) + \Gamma \psi, \qquad (16)$$

where ∇_{S^2} is the gradient on S^2 and D/D*t* is the material derivative. The harmonic expansion of ψ transforms the fabric model (16) into a high-dimensional matrix problem (Rathmann and others, 2021; Rathmann and Lilien, 2021),

$$\frac{\mathrm{D}\mathbf{s}}{\mathrm{D}t} = (\mathbf{M}_{\mathrm{LROT}} + \mathbf{M}_{\mathrm{DDRX}}) \cdot \mathbf{s},\tag{17}$$

185 where M_{LROT} and M_{DDRX} are matrices of harmonic interaction coefficients, and

$$\mathbf{s} = [\psi_0^0, \psi_2^0, \psi_2^1, \psi_2^2, \psi_4^0, \cdots, \psi_4^4, \cdots, \psi_L^0, \cdots, \psi_L^L] \in \mathbb{C}^{(L+2)^2/4}$$
(18)

is the unknown *fabric state vector field* to be solved for. That is, the harmonic expansion transforms the problem of fabric evolution in $\mathbb{R}^3 \times S^2$ to a high-dimensional advection–reaction vector field problem in $\mathbb{R}^3 \times \mathbb{C}^{(L+2)^2/4}$.

188 Truncation error

The spectral formulation suffers from a closure problem in the sense that to represent fabric anisotropy of increasing strength (increasing alignment of grains), harmonic modes of increasing degree *l* must be included. For practical reasons, the expansion series is truncated at degree L = 10 so that the finer-scale structure is ignored. To avoid backscatter effects in spectral space at l = L (causing quasi periodic noise to dominate ψ), a spectrally-sharpened Laplacian term in orientation space is applied that disproportionally causes high wave-number modes to decay (for details see Rathmann and Lilien, 2021).

195 SSA fabric evolution

The vertically-averaged model of fabric evolution, consistent with the SSA approximation, is derived in Supplementary C. Denoting the depth average of $\mathbf{s}(x, y, z, t)$ by $\overline{\mathbf{s}}(x, y, t)$, and implicitly assuming that the velocity field and all gradients refer to their horizontal *x*-*y* parts, $\overline{\mathbf{s}}$ is governed by (Figure 5)

$$\frac{\mathbf{D}\bar{\mathbf{s}}}{\mathbf{D}t} = (\mathbf{M}_{\text{LROT}} + \mathbf{M}_{\text{DDRX}}) \cdot \bar{\mathbf{s}} + \frac{a_{\text{sfc}}}{H} (\mathbf{s}_{\text{sfc}} - \bar{\mathbf{s}}) + \frac{a_{\text{sub}}}{H} (\mathbf{s}_{\text{sub}} - \bar{\mathbf{s}})$$
(19)

Journal of Glaciology



Fig. 5. Fabric harmonic expansion series and contributions to SSA fabric evolution from advection, englacial crystal processes, and surface/subglacial accumulation of ice.

where *S* is the surface height, *B* is the bottom of the ice mass, and H = S - B is the ice thickness. Compared to the above three-dimensional problem, the vertically averaged problem (19) contains additional terms on the right-hand side. The first term is simply the depth-averaged effect of englacial crystal processes such as lattice rotation and DDRX. The second and third terms are state-space attractors, causing \bar{s} to tend towards the characteristic fabric states of ice that accumulates on the surface s_{sfc} or subglacially s_{sub} (likely isotropic), depending on the positivelydefined accumulation rates a_{sfc} and a_{sub} .

205 Viscous anisotropy

Given the fabric state **s** or its depth average $\bar{\mathbf{s}}$, we are left to provide the local rheological symmetry axes \mathbf{m}_i and associated enhancement factors E_{ij} , required by the bulk orthotropic rheology.

We follow Rathmann and Lilien (2021) and Lilien and others (2023) who calculated E_{ij} by substituting $\dot{\epsilon}$ in (9) for the grain-averaged strain-rate tensor, subject to a linear combination of stress (Sachs) and strain-rate (Taylor) homogenization assumptions over the polycrystal scale:

$$E_{ij} = (1 - \alpha) \frac{\mathbf{m}_i \cdot \dot{\boldsymbol{\epsilon}}_{\text{scons}}^{\text{Sachs}}(\hat{\boldsymbol{\tau}}) \cdot \mathbf{m}_j}{\mathbf{m}_i \cdot \dot{\boldsymbol{\epsilon}}_{\text{iso}}^{\text{Sachs}}(\hat{\boldsymbol{\tau}}) \cdot \mathbf{m}_j} + \alpha \frac{\mathbf{m}_i \cdot \dot{\boldsymbol{\epsilon}}_{\text{Taylor}}^{\text{Taylor}}(\hat{\boldsymbol{\tau}}) \cdot \mathbf{m}_j}{\mathbf{m}_i \cdot \dot{\boldsymbol{\epsilon}}_{\text{iso}}^{\text{Taylor}}(\hat{\boldsymbol{\tau}}) \cdot \mathbf{m}_j},$$
(20)

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

for $\alpha \in [0; 1]$. The Sachs and Taylor contributions are

$$\dot{\boldsymbol{\epsilon}}^{\text{Sachs}} = \langle \dot{\boldsymbol{\epsilon}}'(\boldsymbol{\tau}) \rangle, \qquad (21)$$

$$\dot{\boldsymbol{\epsilon}}^{\text{Taylor}} = \langle \boldsymbol{\tau}'(\dot{\boldsymbol{\epsilon}}) \rangle^{-1}, \qquad (22)$$

where τ' and $\dot{\epsilon}'$ are the microscopic (grain scale) stress and strain-rate tensors, and $\langle \cdot \rangle^{-1}$ inverts the tensorial relationship. The fabric averaging operator is defined as

$$\langle \cdot \rangle = \frac{1}{\rho} \int_{S^2} (\cdot) \psi \, \mathrm{d}\Omega \,. \tag{23}$$

In effect, grains are modeled as interactionless, which reduces the homogenizations (21)–(22) to that of calculating
the ensemble-averaged monocrystal rheology (Figure 2).

Here, monocrystals are approximated as linear-viscous transversely isotropic following Rathmann and Lilien (2021):

$$\dot{\boldsymbol{\epsilon}}'(\boldsymbol{\tau}') = A' \bigg(\boldsymbol{\tau}' - \frac{E'_{cc} - 1}{2} (\boldsymbol{\tau}' : \boldsymbol{c}^2) \mathbf{I} + \frac{3(E'_{cc} - 1) - 4(E'_{ca} - 1)}{2} \boldsymbol{\tau}' : \langle \boldsymbol{c}^4 \rangle + (E'_{ca} - 1)(\boldsymbol{\tau}' \cdot \boldsymbol{c}^2 + \boldsymbol{c}^2 \cdot \boldsymbol{\tau}') \bigg),$$
(24)

where A' is the isotropic part of the fluidity, $E'_{ca}A$ is the fluidity specific for basal plane shear, and $E'_{cc}A$ is the fluidity specific for compression/tension along **c** (Figure 2). The inverse $\tau'(\dot{\epsilon}')$ is simply (24) where $\dot{\epsilon}' \leftrightarrow \tau'$ are swapped, $E'_{ii} \rightarrow 1/E'_{ij}$, and $A' \rightarrow 1/A'$.

From (24) it is clear that $\dot{\epsilon}^{\text{Sachs}}$ and $\dot{\epsilon}^{\text{Taylor}}$ end up depending on the second- and fourth-order structure tensors, 221 $\langle \mathbf{c}^2 \rangle$ and $\langle \mathbf{c}^4 \rangle$. These depend, in turn, exclusively on the low-order harmonics ψ_2^m and ψ_4^m , respectively, implying that 222 choosing a small truncation L is sufficient as long as regularization does not affect harmonics of degree $l \leq 4$ too 223 much. This is the primary motivation for considering a linear viscous monocrystal rheology in spite of observations 224 that indicate nonlinear viscous behavior (Duval and others, 1983). In the nonlinear-viscous case, the Sachs and 225 Taylor averages depend on higher-order structure tensors (i.e. l > 4 harmonics) which is not computationally 226 feasible in our coupled flow-fabric simulations when also needing to dedicate spectral width for regularization, 227 in effect requiring $L \ge 10$ and therefore dim(s) ≥ 36 (Rathmann and others, 2021). 228

229 Eigenenhancements

The rheological symmetry axes \mathbf{m}_i are taken to align with the fabric principal directions, defined as the eigenvectors of $\langle \mathbf{c}^2 \rangle$ (Gillet-Chaulet and others, 2005). In the following, we therefore refer to the bulk enhancement factors in the frame \mathbf{m}_i as *eigenenhancements*, denoted by subscripts *i*, *j* = 1, 2, 3, e.g. E_{11} , E_{22} , E_{12} , and so on. These are to be distinguished from the *Cartesian enhancements* where \mathbf{m}_i are replaced by the Cartesian basis vectors in (9), denoted by subscripts *i*, *j* = *x*, *y*, *z*, e.g. E_{xx} , E_{yy} , E_{xy} , and so on, primarily useful for diagnostic purposes.

235 Micromechanical model parameters

The free parameters of our micromechanical model (monocrystal parameters A', E'_{cc} , E'_{ca} , and homogenization weight α) should be chosen such that modeled E_{ij} reproduce bulk deformation tests; they are not the values derived from deformation tests on single crystals. Put differently, grain interactions are not accounted for in our micromechanical model but can, to some extent, be corrected for by appropriately choosing "effective" monocrystal and homogenization parameters, different from those derived from deformation tests on single crystals.

Since A' cancels by virtue of the division in (9), only E'_{cc} , E'_{ca} , and α need to be specified. We set for simplicity $E'_{cc} = 1$ (rendering the compressional fluidity of monocrystals isotropic) and promote the preferential activation of basal glide by making that system comparatively soft: $E'_{ca} \gg 1$. Note that grains are not assumed to be undeformable when subject to compression along the *c*- or *a*-axes, nor is nonbasal plane shear prohibited. If that were the case (i.e. setting $E'_{cc} = 0$), modeled bulk ice would become undeformable for certain arrangements of stress and fabric, whereas in reality other strain accommodation mechanisms than dislocation creep would become relevant, such as dynamic recrystallization processes or grain boundary sliding.

Rathmann and Lilien (2021) found that previously reported shear and compressional enhancements of ice with strong single-maximum fabrics (Shoji and Langway, 1985; Pimienta and others, 1987) could be reproduced by setting $(E'_{ca}, \alpha) = (1000, 0.0125)$. That result depended, however, on approximating the experimental fabrics as unidirectional. In Supplementary B, we revisit this calibration, arguing that $(E'_{ca}, \alpha) = (1000, 0.455)$ might be a better practical choice (calibration used henceforth), since it permits significant enhancements to develop in both shear and compression for fabrics of intermediate strength, relevant for the large-scale problems considered here.

254 EQUIVALENT ISOTROPIC ENHANCEMENT

Following previous work (e.g. Placidi and others, 2010; Ma and others, 2010; Treverrow and others, 2015; Graham and others, 2018), we posit that the effect of fabric on ice viscosity can be approximated by an equivalent isotropic enhancement factor, understood in the usual sense as a scalar prefactor E to the flow rate factor A in Glen's rheology (3). If additional softening/hardening effects are present, the combined effect is given by the product of the respective enhancement factors. For example, damaged (fractured) ice is softer than undamaged, and dusty ice from glacial periods is typically two times softer than interglacial ice (Dahl-Jensen and Gundestrup, 1987) (although this effect

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

is to some extent indirectly caused by the dust impeding DDRX; Durand and others (2007)), implying

$$E = E_{\text{fabric}} E_{\text{damage}} E_{\text{dust}} \cdots .$$
⁽²⁵⁾

In this work, the effect of fabric is considered in isolation, so $E = E_{\text{fabric}}$ is henceforth assumed implicit.

The hypothesis that viscous anisotropy can be represented by (reduced to) a scalar enhancement *E* implies that some tensorial viscosity components matter more than others. This is not unreasonable: if ice is (say) subject to *x*-*y* shear stress, setting $E = E_{xy}$ will probably give the most accurate strain-rate prediction, whereas the remaining directional enhancements matter less insofar as the fabric is favorably aligned to the shear stress, \mathbf{m}_1 , \mathbf{m}_2 , $\mathbf{m}_3 = \hat{\mathbf{x}}$, $\hat{\mathbf{y}}$, $\hat{\mathbf{z}}$ (or some permutation thereof). Figure 6a makes this point more clear by showing $\dot{\epsilon}_{xy}$ and $\dot{\epsilon}_{xx}$ (black lines) of the orthotropic rheology (using the above homogenization scheme and n = 3), normalized by the effective isotropic strain rate

$$\dot{\epsilon}_{\rm iso} = \sqrt{\frac{\dot{\boldsymbol{\epsilon}} : \dot{\boldsymbol{\epsilon}}}{2}},\tag{26}$$

for a strong horizontal single-maximum fabric that is increasingly unfavorably aligned to (rotated away from) a fixed 270 x-y shear stress. Note that both the stress magnitude and the rate factor A cancel out in the division. As anticipated, 271 the orthotropic x-y strain rate (solid black line) is found to decrease from E_{12} when favorably aligned at $\vartheta = 0^{\circ}$ 272 (softer than predicted by Glen's law; solid gray line) to ~ E_{11} when unfavorably aligned at $\vartheta = 45^{\circ}$ (harder than 273 predicted by Glen's law). However, using a scalar enhancement E to account for fabric-induced softening/hardening 274 is complicated by the fact that noncoaxial behavior is permitted by the orthotropic rheology, unlike Glen's isotropic 275 rheology where τ and $\dot{\epsilon}$ are coaxial. In the present example of simple shear, coaxiality is fulfilled only when the fabric 276 and stress states are favorably aligned ($\vartheta = 0^\circ$), in which case setting $E = E_{12}$ allows Glen's law to reproduce the 277 orthotropic rheology and thus give the sought strain-rate tensor. But as the misalignment increases, other strain-rate 278 components may become nonzero (e.g. ϵ_{yy} ; dashed black line) which a scalar enhancement of Glen's law cannot 279 capture (dashed grey line). The degree to which a scalar enhancement E can accurately represent fabric-induced 280 softening/hardening depends, therefore, partly on the alignment between the local fabric and the stress (or strain 281 rate) geometry, henceforth referred to as *fabric compatibility*. 282

In addition to fabric compatibility, another bias may occur whenever τ is a superposition of two or more stress states. Consider a horizontal single-maximum fabric, perfectly aligned with the *x*-axis. Such an ice fabric is soft for *x*-*y* shear but hard for compression/tension along *x* and *y*. Hence, it is not clear which enhancement-factor component E_{ij} to apply if shear and compression/tension stresses are superimposed. Figure 6b makes this point clear by showing $\dot{\epsilon}_{xy}$ and $\dot{\epsilon}_{xx}$ (black lines) of the orthotropic rheology, normalized by $\dot{\epsilon}_{iso}$, for a stress state that

Journal of Glaciology



Fig. 6. Biases resulting from approximating the viscous anisotropy of ice using a scalar enhancement factor model. (*a*): Normalized strain-rate components of the orthotropic (black lines) and isotropic (grey and colored lines) rheologies when subject to a fixed x-y shear stress that is increasingly unfavorably aligned with a horizontal single-maximum fabric (decreasing compatibility). (*b*): Same as (*a*) but for a fixed horizontal single-maximum fabric aligned with the *x* axis, subject to a stress state that varies linearly between x-y shear and uniaxial tension along y (varying stress superposition). Colored lines show predictions for Glen's rheology when using either CAFFE (purple) or EIE (green) to calculate *E*.

varies linearly between *x*–*y* shear and uniaxial tension along *y*. Scaling all components of the strain rate tensor in Glen's rheology (gray lines) by the same factor, *E*, to match the orthotropic rheology is not possible when both shear and tension stresses are simultaneously important. The degree to which *E* can accurately represent fabricinduced softening/hardening depends, therefore, also on the number and strength of stress (or strain rate) modes that contribute to τ (or $\dot{\epsilon}$), henceforth referred to as *stress* (or *strain-rate*) *superposition*.

293 CAFFE

Placidi and others (2010) proposed parameterizing an equivalent isotropic enhancement in terms of the average grain deformability $\langle D \rangle$. Isotropic fabrics are then characterized by $\langle D \rangle = 1$, while fabrics that are minimally and maximally favorable to basal glide are characterized by $\langle D \rangle = 0$ and $\langle D \rangle = 5/2$, respectively. CAFFE assigns the bulk enhancements E_c and E_s to these minimally and maximally favorable states, respectively, whereas for

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

²⁹⁸ intermediate states an empirically-tuned scaling is proposed:

$$E = \begin{cases} (1 - E_{\rm c}) \langle D \rangle^{8/21(E_{\rm s} - 1)/(1 - E_{\rm c})} & \text{for } 0 \le \langle D \rangle \le 1, \\ \frac{4 \langle D \rangle^2 (E_{\rm s} - 1) + 25 - 4E_{\rm s}}{21} & \text{for } 1 < \langle D \rangle \le 5/2. \end{cases}$$
(27)

Here, we follow Placidi and others (2010) by setting $E_c = 0.1$ and $E_s = 10$, in agreement with bulk deformation tests made on strong single-maximum fabrics subject to compression or shear that is aligned with the preferred *c*-axis direction, respectively. Because CAFFE assumes that Glen's isotropic rheology is a suitable large-scale rheology, τ can be exchanged for $\dot{\epsilon}$ in the deformability *D*.

303 ESTAR

ESTAR (Graham and others, 2018; McCormack and others, 2022) builds on deformation experiments made by Budd and others (2013) showing that ice deformed in confined compression results in different tertiary creep rates compared to simple shear, even though the corresponding stress invariants are equal. Focusing on this steady-state regime where the only form of fabric anisotropy of concern is that which develops in conjunction with the tertiary deformation (termed compatible anisotropy), Graham and others (2018) proposed parameterizing *E* as a function of the shear fraction $\gamma = \dot{\epsilon}_s/\dot{\epsilon}_{iso}$ so that

$$E = E_{\rm c} + (E_{\rm s} - E_{\rm c})\gamma^2, \qquad (28)$$

where $\dot{\epsilon}_s$ is the magnitude of the simple shear strain rate on the locally non-rotating shear plane (see Graham and others (2018) for details). In this way, $\gamma = 1$ for simple shear and $\gamma = 0$ for uniaxial or confined compression/extension, yielding $E = E_s$ and $E = E_c$, respectively. So far, there is no fixed set of recommended values for E_c and E_s in ESTAR. Although there is experimental evidence for $E_c/E_s = 3/8$, the value of E_s can range from ~ 3 to 12 depending on the application (Budd and others, 2013; Treverrow and others, 2012).

Since the tertiary creep experiments were made for warm ice at -2 °C, this method is validated only for DDRXdominated fabrics. In effect, ESTAR assumes warm ice where recrystallization is instantaneous, generating fabrics in perfect agreement with (compatible with) the local stress/strain-rate state so that advective effects are negligible, which has the advantage of decoupling *E* from the need to track fabric evolution. Here, however, we wish to allow for advection to also affect fabric evolution so that non-compatible fabrics may develop. Combined with the uncertainty in which E_s to apply, we therefore do not include ESTAR in what follows, but mention it nonetheless for completeness.

322 Our definition (EIE)

Formally, *E* is the strain rate response of a parcel of anisotropic ice, divided by that of isotropic ice, for a given stress state. This ratio is only meaningful for scalar quantities, so we conjecture that the effective strain rate $\dot{\epsilon}$ in (4) is a useful quantity to consider, which fulfills the effective Norton–Bailey creep law for both isotropic and orthotropic rheologies:

$$\dot{\epsilon} = A\tau^n,\tag{29}$$

where τ is the effective deviatoric stress. The motivation for considering $\dot{\epsilon}$ is straight forward: it is a natural measure (contraction) of the strain-rate tensor that weighs each component according to E_{ij} . Further, $\dot{\epsilon}$ satisfies the requirement that if deformation is (say) simple shear aligned with \mathbf{m}_2 and \mathbf{m}_3 so that $\dot{\epsilon} \propto \mathbf{P}_4$, then $\dot{\epsilon}$ is dominated by the term involving the corresponding shear enhancement E_{23} since \mathbf{P}_4 : $\dot{\epsilon}$ is the only nonzero strain-rate projection in (6).

The problem is, however, not as easy as defining $E = \dot{\epsilon}/\dot{\epsilon}_{iso}$, where $\dot{\epsilon}$ and $\dot{\epsilon}_{iso}$ are given by the contraction (4) with C replaced by (6) and $\dot{\epsilon}$, respectively. In the aforementioned case of simple shear, it follows that

$$\frac{\dot{\boldsymbol{\epsilon}}}{\dot{\boldsymbol{\epsilon}}_{\rm iso}} = \sqrt{\frac{\eta_4 (\mathbf{P}_4 : \dot{\boldsymbol{\epsilon}})^2}{\dot{\boldsymbol{\epsilon}} : \dot{\boldsymbol{\epsilon}}}} = E_{23}^{-1/(n+1)}.$$
(30)

Hence $\dot{\epsilon}/\dot{\epsilon}_{iso}$ should be raised to the power of -n-1 to give the desired result $E = E_{23}$. We therefore propose defining *E* according to the scaling relation (henceforth Equivalent Isotropic Enhancement, or *EIE*)

$$E = \left(\frac{\dot{\epsilon}}{\dot{\epsilon}_{\rm iso}}\right)^q,\tag{31}$$

where q = -n - 1. The fact that q is negative can also be understood intuitively: if an observed flow field is (say) soft for shear due to fabric, the stress required to facilitate that deformation is less than that required for isotropic ice and therefore $\tau < \tau_{iso} \Rightarrow \dot{\epsilon} < \dot{\epsilon}_{iso}$ by virtue of (29).

In the general case where deformation is a superposition of different kinematic modes, or if fabric compatibility is poor, $\dot{\epsilon}$ will consist of multiple contributions and the appropriate exponent *q* might differ from -n - 1. However, in the following comparison between CAFFE and EIE, we find that q = -n - 1 is a good approximation for several deformation kinematics and is therefore taken to suffice.



Fig. 7. Fabric-induced enhancement factors for different deformation kinematics relevant to SSA flows, depending on whether DDRX is negligible (cold ice limit; panels a-c), strong (warm ice limit; panels d-f) or very strong (very warm ice limit; panels g-i). In each panel, the equivalent enhancement *E* is shown for each method (colored lines) compared to the most relevant component of E_{ij} (black line). MODF insets show the modeled fabrics at selected strains for reference.

343 Method comparison

Figure 7 shows E predicted by CAFFE and EIE (colored lines) for a parcel of initially-isotropic ice subject to 344 different deformation kinematics relevant to SSA flows, assuming that DDRX is either negligible (cold ice limit; 345 Figure 7a-c), strong (warm ice limit; Figure 7d-f), or very strong (very warm ice limit; Figure 7g-i), imposed by 346 setting $\Gamma_0 = 0$, $\Gamma_0 = \Gamma_0(-15 \text{ °C})$, and $\Gamma_0 = \Gamma_0(-5 \text{ °C})$, respectively. In general, EIE is found to approximately recover 347 the most important component of E_{ij} (black line). CAFFE predicts similar behavior, but with more significant 348 magnitudes. However, setting $E_c = 0.2$ and $E_s = 3.2$ enables CAFFE to approximately reproduce the behavior of 349 EIE, henceforth referred to as CAFFE[†] (dashed purple line). Note that modeled rates of DDRX depend exponentially 350 on temperature, so steady-state fabrics are quickly reached for very warm ice (fulfilling the compatibility assumption 351 of ESTAR). 352

The discrepancy between EIE and CAFFE is partly due to spectral resolution (increasing the truncation L permits larger E for fabrics of intermediate strength; not shown) and partly due to using a linear grain rheology rather than nonlinear (Rathmann and others, 2021). Insofar as CAFFE is able to correctly estimate the hardening/softening behavior for a given fabric and stress/strain-rate state, adopting CAFFE over EIE or ESTAR is probably to be preferred since it allows for *both* an evolving fabric and is able to predict strong enhancements not limited by grain-rheological assumptions.

Regarding the biases mentioned above, caused by fabric incompatibility and strain-rate superposition, none of 359 these are relevant in Figure 7 except in the case of simple shear where the fabric and strain-rate principal frames are 360 slightly misaligned (Figure 7a). To quantify how these biases affect CAFFE and EIE, Figures 6a,b therefore also 361 show the strain rate components predicted by Glen's law using either method (purple and green lines, respectively). 362 Overall, both CAFFE and EIE demonstrate a reasonable ability to capture the shear enhancement (solid lines 363 approximately agree in Figure 6), but significantly overestimate longitudinal strain rates when the stress state is 364 a superposition of shear and tension (dashed purple and green lines disagree with the dashed black line in Figure 365 6b). 366

367 Accuracy of isotropic viscosity

Although the simple comparison above provides some confidence in the ability of CAFFE and EIE to parameterize the most important component of viscous anisotropy as a scalar enhancement *E*, the error made by neglecting the tensorial viscosity structure in more realistic flow problems remains to be understood. Before proceeding to calculate *E* over Antarctic ice shelves, we therefore estimate the velocity error resulting from replacing E_{ij} with *E* in a simple ice shelf model, thus aiming to quantify (albeit not comprehensively) potential flow biases introduced

Journal of Glaciology Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves



Fig. 8. Schematic of half-width ice shelf model.

by neglecting the tensorial viscosity structure. More precisely, we tested the ability of the isotropic SSA rheology, given an equivalent enhancement E calculated using CAFFE and EIE, to reproduce the steady-state velocities of an idealized ice-shelf model that builds on the orthotropic SSA rheology (full fabric–flow coupling).

The orthotropic model considers the transient evolution of a laterally-confined shelf of half width W = 15 km, length L = 100 km, and uniform initial thickness $H_0 = 500$ m, subject to no-slip conditions at its lateral boundaries (Figure 8). The ice thickness H is allowed to evolve according to the local mass divergence plus a Laplacian term for regularization but neglects accumulation and subshelf melting,

$$\frac{\partial H}{\partial t} = -\nabla \cdot (H\mathbf{u}) + \beta_H \nabla^2 H, \tag{32}$$

where **u** is the velocity field. The thickness is taken to be constant on the inflow boundary, equal to the initial thickness H_0 . On the outflow boundary, calving is assumed instantaneous so that the shelf length is preserved, and is subject to the usual stress boundary condition according to the seawater pressure gradient (Huth and others, 2021) where the freeboard height is determined from the floatation criterion.

The crystal fabric is assumed to be isotropic at the inflow boundary but unconstrained elsewhere. The ice mass is taken to be isothermal with a temperature of -15 °C to make the effect of fabric on modeled viscosity fields unambiguous. Fabric evolution is assumed to be dominated by the depth-averaged effect of crystal processes; that is, dominated by the englacial fabric evolution owing to lattice rotation and DDRX. We therefore neglect contributions from surface and subglacial accumulation that add new ice with a different fabric signature, reducing the transient problem to

$$\frac{\partial \bar{\mathbf{s}}}{\partial t} + (\mathbf{u} \cdot \nabla) \bar{\mathbf{s}} = (\mathbf{M}_{\text{LROT}} + \mathbf{M}_{\text{DDRX}}) \cdot \bar{\mathbf{s}} + \beta_s \nabla^2 \bar{\mathbf{s}}.$$
(33)

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

The additional real-space Laplacian term in (33) is included to provide numerical stability following Rathmann and Lilien (2021) and Lilien and others (2023) at the expense of slightly limiting how large spatial fabric gradients are permitted (more sophisticated upwinding techniques were not attempted). Here, a spectral resolution of L = 10 was chosen to be consistent with the above Lagrangian parcel modeling.

The problem was solved by using FEniCS (Logg and others, 2012), relying on Newton's method to solve the nonlinear momentum balance. The Jacobian of the residual form (required for Newton iterations) was calculated using the unified form language (UFL) (Alnæs and others, 2014), which is used by FEniCS to specify weak forms and supports automatic symbolic differentiation. For brevity, the reader is referred to Rathmann and Lilien (2021) for details on the weak form of the equations solved.

399 Results

The steady state of the orthotropic model is shown in Figures 9a–d and 10a–d for DDRX being negligible ($\Gamma_0 = 0$) or strong ($\Gamma_0 = \Gamma_0(-15 \,^{\circ}\text{C})$), respectively, assuming a bulk flow exponent of n = 3 and using the micromechanical model introduced above. As expected, the shelf is found to thin and accelerate towards the calving front in both cases (panel a).

When DDRX is negligible (Figure 9), significant anisotropy develops in the shear margins (horizontal single-404 maximum fabrics) as measured by the pole figure index $J = \int_{S^2} |\psi|^2 d\Omega$ (Ismail and Mainprice, 1998) (Figure 405 9b; a value of 1 represents isotropic ice, larger values represent increasing grain alignment), while girdle fabrics 406 develop in the trunk that become particularly strong near the calving front. Modeled MODFs are shown as insets 407 for selected locations, denoted by markers 1–6. When DDRX is strong (Figure 10), the horizontal anisotropy and 408 induced softening that develops in the shear margins is more pronounced than if DDRX is negligible. In the trunk 409 where flow is primarily extensional, a double maximum structure is found, making along-flow extension softer than 410 if DDRX is negligible. 411

In both orthotropic models, simulated MODFs match the patterns anticipated from the idealized deformation experiments shown in Figure 7.

Figures 9c–d and 10c–d show the horizontal components of E_{ij} expected to be most important for the simulated flows (Figure 8). In the lattice rotation model without DDRX, both EIE and CAFFE[†] are found to approximately reproduce E_{xy} in the shear margin but E_{xx} in the trunk (Figure 9e,g), resulting in velocity errors that are generally below 10 % (Figure 9f,h; see Supplementary E for actual velocity maps). In the model with strong DDRX the same applies, except in the trunk where fabric-induced hardening is somewhat underestimated, and that CAFFE[†] predicts slightly too small *E* in the shear margin (compared to EIE) that explains why upstream flow speeds are too slow by Journal of Glaciology Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves



Fig. 9. Orthotropic model results in steady state when lattice rotation is the dominant crystal process. (*a*): Ice speed (colored contours) and thickness (white contours). (*b*): Strength of fabric anisotropy as measured by the pole figure *J* index. (*c*) and (*d*): Fabric-induced shear and longitudinal enhancement factors. (*e*) and (*f*): *E* predicted by EIE and corresponding velocity misfit, respectively. (*g*) and (*h*): Same as EIE panels but for the CAFFE[†] method. Isotropic and free fabric boundaries are shown as cyan and magenta lines, respectively. Examples of MODFs are shown at selected locations denoted by markers 1–6. Dashed contours in panel (*f*) and (*h*) show the velocity misfits resulting from entirely disregarding fabric-induced enhancements (naively applying Glen's law, E = 1, for the steady-state ice geometry).

Journal of Glaciology



Fig. 10. Orthotropic model results in steady state when DDRX is the dominant crystal process. Caption same as in Figure 9.

more than 15 % (Figure 10f versus 10h). This bias is likely caused by CAFFE[†] underestimating E for DDRX fabrics

23

in simple shear (Figure 7d,g). Whether more optimal values of E_c and E_s exist, improving the CAFFE[†] misfit for DDRX fabrics, was not explored.

Note that we considered CAFFE[†], rather than CAFFE (no dagger), for these experiments, since the misfit analysis is meaningful only if E_c and E_s are chosen such that the enhancement magnitudes of the orthotropic SSA rheology are approximately reproduced (and hence overall flow speed). When applied to real ice shelves, the original CAFFE parameters are, however, most appropriate to use since they allow for the full range of observed fabric hardening and softening to be realized. Note also that if fabric-induced enhancements are not accounted for (i.e. setting E = 1), velocity errors as large as 30 % to 60 % are found (dashed contours in Figure 9f,h and 10f,h).

In conclusion, using Glen's isotropic rheology with either CAFFE or EIE is able to reproduce the behavior of the orthotropic rheology with acceptable velocity errors (at least in our idealized model), suggesting that either model of *E* is useful for understanding the potential effect of fabric anisotropy on real ice shelves. Although *E* is found to map to the components of E_{ij} largely following intuition built from the above Lagrangian parcel experiments, we warn that such intuition *might* fail if advection is important so that fabric incompatibility is significant or if recent ice-dynamical changes cause significant stress superposition (cf. the above discussion).

435 APPLICATION TO ANTARCTIC ICE SHELVES

We investigate the importance of accounting for fabric-induced viscous heterogeneities over ice shelves by using 436 CAFFE to calculate the equivalent scalar enhancement E over the Ross and Pine Island Glacier (PIG) ice shelves, 437 Antarctica. Both ice shelves and their upstream glaciers are assumed to exhibit plug flow such that the local strain-438 rate tensor can be determined from satellite-derived surface velocities (MEaSUREs; Rignot and others (2017)). To 439 close the SSA fabric problem (33), we further assume that all flows are in approximately steady state and therefore 440 fabric too, $\partial \bar{s}/\partial t = 0$. Since it is unclear where DDRX is important (observations of fabric and temperature are few 441 and sparse), we calculate the steady-state fabric field assuming that DDRX is either negligible or strong to explore 442 the range of possible predictions for E, which are supposed to represent the limits of cold and warm ice, respectively. 443 Uncertainties and caveats introduced by the above assumptions are addressed in the discussion. 444

If lattice rotation is the dominant crystal process (no DDRX), fabric evolution (33) reduces to a boundary value problem w.r.t. \overline{s} that can be solved given surface velocities alone (i.e. no velocity field modeling is required)

$$(\mathbf{u} \cdot \nabla)\overline{\mathbf{s}} = \mathbf{M}_{\text{LROT}} \cdot \overline{\mathbf{s}} + \beta_s \nabla^2 \overline{\mathbf{s}}.$$
(34)

⁴⁴⁷ Disregarding regularization, the fabric field \overline{s} that solves (34) is nothing but a balance between advective effects and ⁴⁴⁸ lattice rotation. If velocity gradients are small, then fabric is advected along flow lines (long memory timescale), whereas if velocity gradients are large, then upstream fabrics matter less compared to the local strain-induced
rotation of *c*-axes (short memory timescale).

If DDRX is non-negligible, then $M_{LROT} \rightarrow M_{LROT} + M_{DDRX}$ in (34) and fabric evolution depends on τ and 451 T in addition to $\dot{\epsilon}$. To close the DDRX problem, we approximate τ as coaxial to $\dot{\epsilon}$ and assume isothermal ice 452 at T = -15 °C over both Ross and PIG. Coaxiality is not guaranteed unless fabric is favorably aligned with the 453 454 local strain rate geometry (i.e., advective effects are small and no recent ice-dynamical changes have occurred; cf. above discussion). Temperatures as high as -15 °C are probably unlikely over most parts of the Ross and PIG, 455 especially in upstream regions where the ice is not in contact with the warm ocean. But we are primarily interested 456 in the *potential* effect of DDRX, which at -15 °C is strong enough that developed fabrics can differ substantially 457 from those generated by lattice rotation alone (Figure 7). If temperatures were better known over Ross or PIG, the 458 importance of DDRX in controlling fabric fields (and thus E) could be determined more precisely. Without this 459 information, the best we can do is to provide end-member solutions where the ice is assumed to be very cold or very 460 warm so that DDRX is negligible or strong, respectively. 461

The steady-state Ross and PIG fabric problems were solved numerically using FEniCS, and the method was 462 validated by reproducing the steady-state fabrics of the idealized shelf model above (see Supplementary D). For 463 both Ross and PIG, we considered a rectangular bounding model geometry and assumed isotropic ice on upstream 464 inflow boundaries but unconstrained on outflow boundaries. Because lattice rotation and DDRX are modeled to be 465 more important than advection over grounded ice, assuming isotropic ice on inflow boundaries affects the solution 466 only in the neighborhood near the inflow boundaries. That is, our method and results are robust against uncertainties 467 in fabric boundary conditions if the model boundaries are set to be sufficiently far from the region of interest (see 468 Supplementary F). 469

470 **Results**

Figures 11 and 12 show our model results for Ross and PIG, respectively, including surface speeds in panel *a* and the corresponding strain rate magnitude $\dot{\epsilon}_{iso}$ in panel *b*.

The strength of fabric horizontal anisotropy is shown in panels *d* and *f* for the model with and without DDRX, respectively, defined as the horizontal eigenvalue difference $\Delta\lambda$ of $\langle \mathbf{c}^2 \rangle$, a measure frequently reported in radioglaciological field studies (e.g. Gerber and others, 2023; Zeising and others, 2023). In the model of Ross and PIG that neglects DDRX (lattice rotation only), horizontal anisotropy is found to be well developed throughout, and horizontal single-maximum fabrics that are (sub)perpendicular to the shear plane are prevalent in shear zones. In contrast, girdle-like fabrics are modeled in regions of longitudinal or trunk flow (see MODF insets). In the DDRX-





Cold ice



Lattice rotation + DDRX

Warm ice, $T = -15 \,^{\circ}\text{C}$



Fig. 11. SSA fabric model results for the Ross ice shelf. (*a*): Satellite-derived surface velocities. (*b*): Effective strain rate. (*c*): *E* estimated using CAFFE assuming lattice rotation is the dominant crystal process (cold ice limit). (*d*): Fabric horizontal eigenvalue difference. (e-f): Same as (c-d) but assuming DDRX is strong (warm ice limit). Isotropic and free model boundaries are shown as cyan and magenta lines, respectively, and floating ice is delineated by green contours. Modeled MODFs are shown at selected locations, denoted by markers 1–4.

Journal of Glaciology



Fig. 12. PIG model results. Caption same as in Figure 11.

activated model of Ross and PIG, horizontal anisotropy is also well developed, especially in shear margins where $\Delta \lambda$ is much larger compared to the model that neglects DDRX.

Journal of Glaciology

The equivalent enhancement *E* using CAFFE is shown in panels *c* and *e*. In the case of the Ross ice shelf, we find that lattice rotation alone can give rise to widespread fabric-hardening of the shelf (E < 1), but if DDRX is strong then zones of softening are also present (E > 1), especially near the grounding line and in shear margins. For PIG, both models with and without DDRX suggest that the shelf and its near-upstream region are softened due to fabric, particularly the shear margins, while hardening is prevalent over some grounded parts of PIG if DDRX is negligible.

486 DISCUSSION

487 Ross and PIG results

The fact that fabric development results in hard ice over most of Ross when lattice rotation is dominant, but that 488 significant softening can occur when DDRX is strong, leaves us with little ability to conclude how fabric might affect 489 the shelf viscosity without knowing more about the relative importance of the two processes. In contrast, fabric 490 development over PIG seems to generally soften the shelf and upstream shear margins regardless of the strength of 491 DDRX. PIG is a dynamically active part of West Antarctica, which has contributed ~ 1.5 mm to global sea level 492 between 1995 and 2017 (Shepherd and others, 2019) and may undergo irreversible retreat should its grounding line 493 continue to migrate further backward (Favier and others, 2014). Accounting for the effect of fabric development over 494 PIG in large-scale flow models (particularly the effect of shear-margin weakening) might therefore be important for 495 accurately predicting the near-term mass loss from Antarctica, as well as other key outlet systems in Antarctica and 496 Greenland. 497

To make further progress on understanding the effect of crystal fabrics on the viscosity structure of ice shelves, 498 we emphasize the need for more field observations of fabric and temperature to help inform and validate fabric 499 modeling. Useful locations would include transects on ice shelves where fabric gradients are expected to be large, 500 such as across shear margins, along flow lines with extensional flow, and near pinning points. Only with widespread 501 ice temperature measurements (allowing for the rate of DDRX to be accurately modeled) and widespread fabric 502 measurements (allowing modeled fabric fields to be validated) do we have confidence in relaxing the "cold" and 503 "warm" ice bounds considered here. Ultimately, this is needed for large-scale ice flow models to accurately account 504 for fabric-induced viscosity contrasts in prognostic simulations of future ice mass loss. 505

506 *Steady state assumption*

The Ross and PIG ice shelves have experienced large area changes of -1.3% and -16.6%, respectively, between 2009 and 2019 (Andreasen and others, 2023), casting doubt on whether crystal fabrics can indeed be predicted assuming steady flow as is done here (assuming area changes are not caused by melting alone). Moreover, in the

grounded parts of the Western Ross, there is evidence of deceleration on Ice Stream B (Joughin and others, 2002), 510 that Ice Stream C might have shut down ~ 150 to 170 years ago (Retzlaff and Bentley, 1993; Catania and others, 511 2006), and that Ice Stream D shut down ~ 450 years ago despite flowing rapidly today (Conway and others, 2002). 512 Determining how these dynamical changes affect modeled fabric fields, compared to our steady-state results, is a 513 514 major challenge that only forward ice flow modeling can answer (outside the scope of our work). We emphasize, 515 however, that our aim is *not* to provide accurate present-day maps of E over Ross and PIG, directly useful for large-scale ice flow modeling. Rather, we wish to highlight and quantify the potential effect that developed fabrics 516 can have on controlling ice viscosity in regions of floating ice, which may constitute a large rheological uncertainty 517 when attempting to estimate future ice-mass loss. 518

519 Fabric compatibility and strain rate superposition

We gauge the extent to which fabric incompatibility and strain-rate superposition could make our estimates of *E* less applicable to the Ross and PIG systems by calculating the shear fraction γ and a new measure of fabric compatibility. The shear fraction quantifies how much simple shear deformation contributes to $\dot{\epsilon}$, where $\gamma = 0$ and $\gamma = 1$ imply no or an exclusively simple shear contribution, respectively. Hence for intermediate values, $\dot{\epsilon}$ is a superposition of different deformation kinematics (simple and pure shear), and less confidence may be placed in the ability of a scalar enhancement factor to capture the full effect of fabric on viscosity.

For fabric compatibility, we construct a normalized measure χ , defined so that $\chi = 1$ represents a maximally compatible fabric (favorably aligned with the strain-rate geometry) and $\chi = 0$ represents a minimally compatible fabric:

$$\chi = \sqrt{(1-\gamma)G_{\rm p}^2 + \gamma G_{\rm s}^2},\tag{35}$$

529 where

$$G_{\rm p} = 1 - \frac{2}{\|\dot{\boldsymbol{\epsilon}}\| \| \langle \mathbf{c}^2 \rangle \|} \| \dot{\boldsymbol{\epsilon}} \cdot \langle \mathbf{c}^2 \rangle - \langle \mathbf{c}^2 \rangle \cdot \dot{\boldsymbol{\epsilon}} \|, \tag{36}$$

$$G_{\rm s} = 1 - \frac{1}{\sin(45^{\circ}) \|\mathbf{t}^2\| \| \langle \mathbf{c}^2 \rangle \|} \| \mathbf{t}^2 \cdot \langle \mathbf{c}^2 \rangle - \langle \mathbf{c}^2 \rangle \cdot \mathbf{t}^2 \|.$$
(37)

Since the commutator $\mathbf{A} \cdot \mathbf{B} - \mathbf{B} \cdot \mathbf{A}$ vanishes for coaxial matrices \mathbf{A} and \mathbf{B} (shared eigenvectors), it is useful to assess how well the fabric, represented by $\langle \mathbf{c}^2 \rangle$, is aligned with deformation. In the case of longitudinal straining (pure shear), G_p measures the corresponding degree of fabric compatibility. The normalizing denominators in (36), including the offset and prefactor of 2, are chosen such that $G_p^2 = 1$ when comparability is maximal for a singlemaximum fabric, whereas $G_p^2 = 0$ when minimal (single-maximum misaligned at 45°). For simple shear, the same



Fig. 13. Shear fraction (left-hand panels) and fabric compatibility (right-hand panels) for the idealized ice shelf model (a-b) and Antarctic ice shelves Ross (c-d) and PIG (e-f). Fabric compatibility is calculated assuming DDRX is negligible (results are similar for strong DDRX but not shown).

commutator is not useful. Instead, we replace $\dot{\epsilon}$ with the outer product of the velocity normal, t^2 . In this case, the offset and prefactor $1/\sin(45^\circ)$ are chosen such that $G_s^2 = 1$ when a single-maximum fabric is most favorably aligned with the bulk shear-plane normal **t**, whereas $G_s^2 = 0$ when shear is misaligned at 45° (least favorable). The shear fraction γ in (35) weighs how much the two measures of compatibility are relevant, depending on the relative contribution of simple versus pure shear to $\dot{\epsilon}$.

Although the end-member values $\gamma = 0, 1$ and $\chi = 0, 1$ have a clear meaning, it is not obvious what the 540 intermediate threshold values are that, once crossed, indicate that CAFFE or EIE provide a poor approximation 541 and should not be used. We therefore determine how applicable our calculated maps of E are to the Ross and PIG 542 systems by comparing γ and χ to the values calculated for the idealized shelf model (Figure 13a,b) where fabric 543 compatibility and strain rate superposition cause relatively small velocity errors when using CAFFE (Figures 9 and 544 10). For both Ross (Figure 13c,d) and PIG (Figure 13e,f), ice shelf flow is generally simple shear or longitudinal 545 $(\gamma \sim 1, 0, \text{respectively})$ like in the idealized shelf model, and fabric compatibility is no worse than in the idealized 546 model. We therefore argue that our maps of E over Ross and PIG are sufficiently unaffected by fabric incompatibility 547 and strain rate superposition to be useful for understanding the potential effect of fabric on ice viscosity. 548

549 Ice damage

As indicated in Figure 1, strongly damaged (fractured) ice is much softer than undamaged. In regions where 550 damage is prevalent, the main rheological uncertainty is possibly the ability to model ice damage and its effect 551 on ice viscosity. Between 2017 to 2020, three large calving events took place at PIG, and the resulting velocity 552 speedup (Joughin and others, 2021) has been suggested to be partly facilitated by the damage that evolved in the 553 ice-shelf shear margins (Sun and Gudmundsson, 2023). On the other hand, more recent work (Gerli and others, 554 2024) finds that remotely sensed damage maps over the PIG and Filchner–Ronne shelves are only modestly related 555 to patterns in the inferred flow rate factor A, suggesting that remotely detected damage fields are not of direct 556 relevance to present-day ice shelf flow. Either way, if the effect of fabric on ice viscosity is multiplicative as 557 assumed here (25), any damaged-induced softening should be further modified (multiplied) by the effect of fabric; 558 559 that is, the fact that ice is damaged does not cause fabric effects to become irrelevant. Indeed, recent modeling has suggested that recrystallization (causing larger grain sizes in addition to developing the orientation fabric) can 560 increase the vulnerability to fracture of ice shelves by decreasing the tensile strength of shear margins by up to 561 $\sim 75\%$ (Ranganathan and others, 2021). 562

Journal of Glaciology

563 Implications for large-scale modeling

The agreement between velocities modeled using the orthotropic, EIE, and CAFFE^{\dagger} rheologies suggests that a 564 scalar, isotropic enhancement can sufficiently approximate the full orthotropic rheology if the fabric field is known. 565 Typical ice flow models, including those that solve vertically-integrated stress balance problems like the SSA, 566 already permit spatially varying fields of A, so incorporating the EIE or CAFFE methods into large-scale models is 567 trivial if the fabric is known. However, the sparsity of fabric measurements limits the use of fabric as a constraint 568 on ice flow models and validation of fabric development models that could be used as a stopgap. For example, some 569 models that rely on laboratory-calibrated rates of DDRX struggle to reproduce the fabric development observed in 570 ice cores (Lilien and others, 2023; Richards and others, 2023), suggesting care must be taken to ensure the rate of 571 DDRX is accurately modeled. Considering the variation in enhancement that can result from DDRX being weak or 572 strong (Figure 11), this uncertainty in fabric directly impacts the putative effect on ice flow. In certain situations, the 573 flow enhancement appears independent of the process dominating fabric development (Figure 12), implying that, in 574 isolated areas, there may be sufficient confidence in the effect of fabric on flow to include it in large-scale models. 575 Our results show that with better validation of fabric models, or better spatial constraints on fabric, the effect 576

of fabric could be included in existing large-scale, transient models of ice shelf flow without much effort or 577 computational expense. In practice, ice flow models that rely on initialization-by-inversion may already implicitly 578 incorporate some effect of fabric by inverting for E (or equivalently, A) using remote sensing data (e.g. MacAyeal, 579 1993; Ranganathan and others, 2020). However, inferring E this way combines multiple rheological effects into a 580 single factor in the sense that $E = E_{\text{fabric}} E_{\text{damage}} E_{\text{dust}} \cdots$, making it difficult to separate individual contributions 581 (see also Minchew and others, 2018). This work suggests new ways in which individual contributions might be 582 disentangled. For example, estimates of E, inferred from inversions using an ice flow model, could be divided by 583 E_{fabric} (as calculated above for Ross and PIG), allowing one to isolate how other mechanisms than fabric cause 584 spatial variations in viscosity. Alternatively, E_{fabric} might be used as a prior to inform Bayesian inversions of E. 585

586 Relevance for ice streams

This initial application of our anisotropic SSA model focuses on ice shelves due to their simplified stress state and boundary conditions, but SSA models are commonly applied to ice streams as well. Applying our method to grounded ice requires more caution than for ice shelves. Asserting a depth-constant fabric is problematic near icestream sticky spots or topographical bumps where fabrics can develop that facilitate flows in potential in violation of the SSA assumptions (Zhang and others, 2024; Rathmann and Lilien, 2021). For example, if the fabric develops in a way that is favorable for vertical shear, the assumption of plug flow may be less valid, similar to the effect of localized Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

shear heating above topographical bumps (Liu and others, 2024). On the other hand, the undisturbed internal-layer 593 structure of the North-East Greenland ice stream (NEGIS) (Jansen and others, 2024), together with surface velocity 594 observations, indicates that the ice stream deforms by plug flow (Gerber and others, 2023). Although NEGIS is 595 unique in being relatively flat-bedded (at least upstream), this suggests that anisotropic SSA modeling might be 596 597 relevant in some parts of some ice streams. On that note, the near-bed fabrics of NEGIS (Stoll and others, 2024) 598 are affected by DDRX which would support faster vertical shearing compared to shallower fabrics if vertical shear stresses are important, similar to the above-mentioned situation over bed bumps or sticky spots. But the fabric-599 induced softness for vertical shear is irrelevant if the stress regime is predominantly longitudinal (assuming fabric 600 compatibility is good), which the observed plug flow would seem to indicate. 601

Because it is difficult to judge where applications of our method to ice streams will fail without comparing to a higher-order or full-Stokes model, it may be prudent simply to use a more complex flow model for grounded ice. That is not to say our results are irrelevant for such regions; considerable computational expense could be avoided by calculating E using EIE or CAFFE, rather than adopting an anisotropic rheology, regardless of stress balance approximation.

607 Marine ice accretion

The idealized ice shelf model considered here relies on the assumption of a bulk rheology relevant to meteoric ice. 608 In real ice shelves, there are places where this assumption is less valid, such as when significant marine ice layers 609 are present. Marine ice forms because of the accretion of platelet crystals on the ice shelf base. The microstructural, 610 chemical, and thermal characteristics of marine ice differ significantly from meteoric ice. In particular, it is 611 rheologically weaker than standard ice when in the tertiary creep phase (Craw, 2023), which may be related to 612 the presence of liquid on the grain boundaries of marine ice crystals and hence grain boundary sliding processes 613 (Barnes and others, 1971; Dash and others, 2006), that could facilitate lower viscosity and lead to faster ice flow 614 compared to meteoric ice. 615

Marine ice is unlikely to be widespread in Antarctica, but has been inferred—either directly from borehole measurements or indirectly from satellite observations or ocean modeling—on the Amery Ice Shelf (Craven and others, 2005; Fricker and others, 2001; Galton-Fenzi and others, 2012; Treverrow and others, 2010), the Filchner-Ronne Ice Shelf (Moore and others, 1994), and the Nansen Ice Shelf (Dierckx and others, 2014; Dierckx and Tison, 2013; Khazendar and Jenkins, 2003). On these ice shelves, a bulk rheology that matches that of meteoric ice may not suffice, and changes in the distribution of marine ice over time may also impact the relevance of the steady-state fabric assumption. It is also worth noting that modeling of an idealized ice shelf using ESTAR (Craw and others,

Journal of Glaciology

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

⁶²³ 2023) indicates that while fabric differences between marine and meteoric may be significant, variations in the ⁶²⁴ vertical temperature distribution through an ice shelf had an order of magnitude greater impact on flow dynamics.

625 Fabric model shortcomings

Simulating ice fabric evolution, whether in laboratory conditions or in glaciers and ice sheets, requires accounting for both lattice rotation due to dislocation glide (intracrystalline slip) and accommodation processes such as dynamic recrystallization (DRX). Both processes are related to the strong viscoplastic anisotropy of ice. First, because the predominance of dislocation glide in the basal plane results in strongly anisotropic fabrics. Second, because DRX mechanisms are driven by the stored strain energy, which is related to deformation incompatibilities between polycrystal grains. Any modeling approach that does not provide an accurate representation of these processes will result in biased predictions of fabric evolution that somehow need to be compensated for.

Castelnau and others (1996) demonstrated this in detail, showing that the Sachs (homogeneous stress) and 633 Taylor (homogeneous strain) approximations are bound estimates, unable to sufficiently simulate the mechanical 634 response of ice polycrystals and hence fabric evolution. By neglecting grain interactions, the two bounds lead 635 to an over- and underestimation of the mechanical anisotropy, respectively, and therefore also of lattice rotation 636 due to intracrystalline slip. In the coupled model approach presented here, fabric evolution relies on the Taylor 637 approximation, but this is (to some extent) compensated for by the α parameter, which controls how much the Sachs 638 approximation contributes to the fabric-induced mechanical anisotropy (and hence large-scale flow, which drives 639 fabric evolution). 640

The formulation of Placidi and others (2010) used here relates the occurrence of DRX to the deformability of 641 an individual grain, defined as the square of the basal-plane resolved shear stress that depends on the basal Schmid 642 factor. This approach implicitly assumes that each grain is subjected to the same macro (bulk) stress, regardless of the 643 stress redistribution resulting from intergranular interactions. The impact of these interactions on the redistribution 644 of stress and strain has been investigated experimentally and using full-field modeling (Grennerat and others, 2012; 645 Piazolo and others, 2015). Results suggest that there is no correlation between the Schmid factor (as used here) 646 and the amount of strain experienced by a grain, but also that local stresses can significantly deviate from the 647 applied (bulk) stress. This stress heterogeneity probably explains why DDRX fabrics observed in the laboratory 648 (e.g. Bouchez and Duval, 1982; Jacka, 1984; Montagnat and others, 2015; Journaux and others, 2019; Qi and others, 649 2019) are close to, but not exactly, the most favorable fabric for an applied stress. To some extent, this effect can 650 be viewed as a dispersion of grain orientations that could be taken into account by including CDRX as a diffusive 651 process in orientation space (Richards and others, 2021). 652

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

Most of the simulated fabrics presented here are in good agreement with recent full-field finite-element model 653 predictions made by Chauve and others (2024), where the local (finite element scale) resolved shear stress is used 654 to calculate an attractor toward which c-axis rotate due to DDRX. Using this approach, fabrics observed under 655 various laboratory conditions can be reproduced by appropriately adjusting one single DDRX kinetic parameter. 656 657 In particular, the double-maximum transitory fabrics that develop under simple shear (Hudleston, 1977; Bouchez 658 and Duval, 1982) are well reproduced. Despite the increased physical realism of such full-field models compared to 659 our fabric model that treats grains as interactionless and assumes crude stress/strain homogenizations, our approach has also been shown to be able to reproduce complicated transitory fabrics (Richards and others, 2021). But how 660 exactly the rate of DDRX is to be parameterized as a function of temperature and stress/strain-rate magnitude is not 661 settled; recent work suggests that lab-calibrated rate functions are not necessarily applicable to large-scale ice flow 662 modeling in East Antarctica (Lilien and others, 2023). 663

664 CONCLUSIONS

We investigated the rheological control (ease of deformation) exerted by well-developed crystal orientation fabrics 665 on the flow of the Ross and Pine Island Glacier (PIG) ice shelves, Antarctica, by calculating maps of fabric-induced 666 flow enhancement factors using the CAFFE model (Placidi and others, 2010). To do so, we estimated steady-state 667 fabric fields over Ross and PIG by solving a high-dimensional boundary value problem, assuming depth-independent 668 horizontal velocities (plug flow) and that the ice accumulation rate is small compared to the local ice thickness. In 669 effect, the problem is closed by prescribing satellite-derived surface velocities and ice temperatures. Since the latter 670 is not known in sufficient spatial detail, we considered the two end-member cases in which the Ross and PIG 671 regions are either very cold or warm, so that dynamic recrystallization is either negligible or strong, respectively. 672 The two solutions show that significant ice shelf hardening or softening can occur depending on whether dynamic 673 recrystallization is important or not. This emphasizes the ice-dynamical relevance of needing to better constrain the 674 strength of fabric processes, which in turn calls on widespread fabric and temperature measurements from the field 675 that are currently missing or too sparsely available for model validation. 676

To increase our confidence in the relevance of the calculated enhancement factor maps, we tested how well CAFFE, when combined with Glen's isotropic flow law, can reproduce the velocity field of an idealized ice-shelf box model that has a two-way coupling between fabric and flow (anisotropic plug flow rheology). We find that replacing the tensorial viscosity structure by a scalar flow enhancement factor leads to velocity errors on the order of ten percent, but if DDRX is strong, the error might be a bit larger. Given that neglecting the effect of ice fabric altogether leads to modeled velocity errors between thirty to sixty percent, this is much of an improvement. We

Rathmann and others (2025): Rheological control of crystal fabrics on Antarctic ice shelves

therefore argue that in some instances it might be reasonable to simply use CAFFE in large-scale flow models to account for the rheological control exerted by evolving ice-crystal fabrics, which can easily be implemented when combined with the spectral fabric model presented here.

686 **OPEN RESEARCH**

The FEniCS model code and scripts for generating all plots are available at https://github.com/nicholasmr/specfab

689 ACKNOWLEDGEMENTS

NMR was supported by the Independent Research Fund Denmark (DFF) grant no. 2032-00364B and the Novo
Nordisk Foundation Challenge grant no. NNF23OC0081251.

DHR was supported by the Australian Research Council Special Research Initiative, Australian Centre for Excellence in Antarctic Science (SR200100008), and the UK Natural Environment Research Council (grant no. NE/X014991/1)

FSM was supported by an Australian Research Council Discovery Early Career Research Award (DE210101433)
 and the Special Research Initiative Securing Antarctica's Environmental Future (SR200100005).

MM was supported by the European Research Council (ERC) grant no. 882450 under the European Union's

698 Horizon 2020 Research and Innovation program (ERC RhEoVOLUTION).

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