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| 4 | Oxic conditions in shallow marine settings during the Permian- |
| 5 | Triassic Mass Extinction |
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| 17 | |
| 18 | ABSTRACT |
| 19 | The concept that ultra-shallow marine anoxia was a major cause of the Permian-Triassic |
| 20 | mass extinction was partly based on sections from the Dolomites (Italy). We test this hypothesis |
| 21 | by re-examining the Dolomites record, utilizing an updated redox sensitive trace metal (V, U and |
| 22 | Mo) approach, combined with Fe speciation and Th/U ratios, and paleontological observations. |

23 Redox sensitive trace metal and Fe speciation data reveal fluctuating redox conditions prior to the extinction, with periodic enrichments in highly reactive Fe likely reflecting Fe^{2+} mobilization 24 25 in anoxic ferruginous deeper waters. Oxic conditions are indicated during and after the extinction 26 event, suggesting that local redox conditions did not control biodiversity changes. However, this 27 redox reconstruction contrasts somewhat with traditional interpretation of Th/U ratios, which 28 indicate more persistent shallow water anoxia, likely due to a strong regional influence of the 29 detrital sediment composition. A comparison with other shallow marine successions reveals similar redox trends, suggesting that shallow water anoxia was not global. Instead, redox 30 31 conditions across the Permian/Triassic boundary were likely variable, rendering it vital to assess 32 the role of anoxia on a site-specific basis to understand its role in the shallow marine Permian-33 Triassic mass extinction.

34 INTRODUCTION

35 The Permian-Triassic mass extinction (PTME) marks the greatest loss of marine life in the Phanerozoic, with > 90% of marine species going extinct (e.g., Erwin, 1993). Drastic 36 37 environmental changes associated with the emplacement of the Siberian Traps Large Igneous 38 Province have been proposed as the driving mechanisms behind the extinction (e.g., Grasby and 39 Bond, 2023; Svensen et al., 2023). The release of large volumes of greenhouse gases is 40 hypothesized to have led to a rapid rise in sea surface temperatures, ocean acidification, an 41 increased heavy metal supply, and a spread in ocean anoxia (e.g., Dal Corso et al., 2022; Grasby 42 and Bond, 2023). The expansion of oxygen minimum zones, leading to anoxic or euxinic 43 conditions even in shallow basins, was one of the first proposed extinction drivers (Twitchett and 44 Wignall, 1996; Wignall and Twitchett, 1996). Many subsequent studies have presented 45 supporting evidence for the development of such conditions during the extinction (Algeo et al.,

2007; Grice et al., 2005; Hays et al., 2007; Xiang et al., 2020; Zhang et al., 2020), rendering
anoxia a frequently cited driver of the marine extinction. However, in some places the event has
been suggested to occur under partially or completely oxic conditions (Algeo et al., 2010; Collin
et al., 2015; Foster et al., 2024; Gliwa et al., 2020; Knies et al., 2013; Loope et al., 2013;
Proemse et al., 2013; Yang et al., 2024), questioning the importance of anoxia as a global
extinction driver.

52 Considering these variable redox interpretations, it is vital to not only constrain changes 53 in local redox conditions, but also to link these changes to biodiversity changes at the same 54 location, to fully understand the potential role of oxygen availability in the extinction. To address 55 this, we examined the Seis section, a shallow marine Permian-Triassic sedimentary section from 56 the Dolomites, Italy. This is a key section that has been used to suggest that shallow marine 57 anoxia was a key driver of the extinction, based on Th/U ratios, the presence of opportunistic 58 taxa (lingulids and Claraia), and pyrite morphologies (Wignall and Twitchett, 1996). However, 59 only post-extinction data have been presented for the Seis section, speaking to a delayed 60 recovery processes, while the critical interval proceeding and during the extinction remain 61 unconstrained.

Currently, there is thus limited evidence for anoxia associated with the mass extinction in the Dolomites, and further study of marine redox conditions prior to and during the event is clearly warranted. To address this, we examined the pre-, during- and post-extinction strata of Seis using redox sensitive trace metals (V, U and Mo), alongside Fe speciation and the previously applied Th/U proxy. We then combine these data with lithological and available paleontological data to test the hypothesis that anoxia was the main driver of the shallow marine extinction in the western Tethys. 69 STUDY AREA

70 The studied section is located in the Dolomites, Italy, at 46.53374, 11.56142 (Fig. 1A) 71 and is known as the Siusi, Seis, Seis/Siusi or Seiser Klamm section (hereafter referred to as 72 Seis). Across the Permian/Triassic boundary (PTB), the Dolomites were part of the Adria 73 tectonic block located near the equator in the western Paleotethys. The Late Permian and Early 74 Triassic sedimentary successions of the Dolomites were deposited in a shallow marine basin on 75 the western margin of the Paleotethys as the Bellerophon and Werfen formations (Fig. 1B). The 76 Bellerophon Formation consists of fossiliferous limestones and dolostones, interbedded with siltstones, sandstones and gypsum (Broglio Loriga et al., 1982; Farabegoli et al., 2007). The 77 78 overlying Werfen Formation is characterized by shallow marine limestones, dolostones, 79 siltstones and sandstones (Broglio Loriga et al., 1982; Farabegoli et al., 2007) and records the 80 PTME and PTB in its lowermost members (Farabegoli et al., 2007; Posenato, 2019).

81 METHODS

82 The Seis section was logged using the formation and unit/member definitions of Broglio Loriga 83 et al. (1982) with some amendments (Fig. 2). A total of 38 samples were taken and analyzed for 84 total organic carbon (TOC) as well as major and trace elements at the Geological Survey of 85 Canada, Calgary. We report redox sensitive trace metal (RSTM) concentrations in terms of 86 enrichment factors (EFs), using the revised method of Krewer et al. (2024) to account for 87 dilution of the detrital input by carbonate (termed EF^{*}). Additionally, iron speciation separation 88 was performed at the University of Leeds. The sum of the extracted Fe phases defines a highly 89 reactive Fe pool (Fe_{Hr}), which when normalized to total Fe (Fe_T) allows oxic conditions 90 $(Fe_{Hr}/Fe_T < 0.22)$ to be distinguished from anoxic conditions ($Fe_{Hr}/Fe_T > 0.38$), with intermediate 91 ratios considered equivocal (Poulton and Canfield, 2011). For anoxic samples, pyrite Fe (Fe_{Pv})

92 normalized to Fe_{Hr} further allow ferruginous conditions ($Fe_{Py}/Fe_{Hr} < 0.6$) to be distinguished

from euxinia ($Fe_{Py}/Fe_{Hr} > 0.8$), with ratios of 0.6-0.8 considered equivocal (Poulton, 2021). The

94 detailed sample preparation, digestion and analytical techniques as well as the complete

95 geochemical data set are given in the supplemental material¹.

96 **RESULTS AND DISCUSSION**

97 Redox evolution across the PTB

98 The carbonate-carbon isotope ($\delta^{13}C_{carb}$) record of Seis (Siegert et al., 2011) shows that the 99 negative $\delta^{13}C_{carb}$ excursion indicative of the global environmental perturbations in the Late 100 Permian coincided with the PTME (Fig. 3), supporting the importance of environmental change, 101 such as deoxygenation, for the event. Redox sensitive trace metal contents fluctuate, but 102 consistent patterns appear when the data are expressed as enrichment factors (Fig. 3). The EF_V^* 103 values generally fall close to the baseline of 1 throughout the section, but with a peak at ~ 2.75 m that coincides with peaks in EF_{U}^{*} and EF_{Mo}^{*} , indicating a particularly enhanced interval of 104 anoxia. A slight decrease in EF_V^* values occurs at ~10.7 m, again consistent with the EF_U^* and 105 106 EF_{Mo}^{*} data, indicating a pronounced oxic interval and suggesting fluctuations in oxygenation levels through the pre-extinction interval. Indeed, the EF_{U}^{*} and $EF_{M_{0}}^{*}$ data, which tend to be 107 108 more sensitive redox proxies relative to V (see Li et al., 2025), suggest that redox conditions 109 were particularly dynamic during this interval, with regular fluctuations between oxic and anoxic 110 conditions (Fig. 3). This is supported by occasional spikes in TOC, as well as the presence of 111 thin, dark, fine-grained layers interbedded with the carbonates (Figs. 2, 3). 112 Intervals of elevated Fe_{Hr}/Fe_T with low Fe_{Pv}/Fe_{Hr} ratios support periodic development of 113 anoxic ferruginous water column conditions in the pre-extinction phase, likely reflecting

114 mobilization of Fe^{2+} in deeper anoxic waters, followed by precipitation of Fe_{Hr} phases under oxic

| 115 | or dysoxic conditions at the chemocline or following upwelling into oxic shallow waters. |
|-----|----------------------------------------------------------------------------------------------------------------------------|
| 116 | Samples with very low Fe _T (< 0.5 wt%), which occur coincident with low TOC contents, have |
| 117 | also been suggested to represent oxic depositional conditions (Clarkson et al., 2014), thereby |
| 118 | supporting fluctuating redox conditions. These dynamics are further supported by EF_{Mn}^{*} values |
| 119 | (Fig. 3), which show pronounced peaks likely reflecting precipitation of water column Mn |
| 120 | during intervals of particularly enhanced shallow water oxygenation. We also note that Fe |
| 121 | speciation data reported for the GSSP in south China reveal a similar redox pattern, with large |
| 122 | gaps in the record and anoxic signatures leading up to the PTME, taken as evidence for fleeting |
| 123 | anoxia (Xiang et al., 2020). |
| 124 | During and after the extinction, however, the RSTM EF* values persistently fall close to |
| 125 | 1 (Fig. 3), suggesting prevailing oxic conditions. Initially low Fe _T contents ($< 0.5 \text{ wt\%}$) support |
| 126 | this interpretation, while a subsequent increase in Fe_{Hr}/Fe_T ratios and EF_{Mn}^* values in tandem, |
| 127 | suggests precipitation of reduced Fe and Mn under oxic water column conditions, hence |
| 128 | indicating upwelling of deeper anoxic waters into persistently oxic shallower waters. |
| 129 | The Th/U values at Seis (Fig. 3) provide conflicting redox results when considered |
| 130 | relative to traditional threshold value of 2, with lower values suggesting anoxia, while ratios of $>$ |
| 131 | 2 indicate oxia (Wignall and Twitchett, 1996). While the Th/U ratios shows a considerable |
| 132 | variability commonly coinciding with the other redox proxies, they are further highly correlated |
| 133 | to the detrital tracer Al ($r = 0.71$). The latter suggests that the redox control of the Th/U might be |
| 134 | partially masked by lithological change, emphasizing the advantage of utilizing EF* in sections |
| 135 | with variable detrital content. |
| 136 | Some of the intervals interpreted as being deposited under oxic conditions may in fact |

137 reflect dysoxic deposition, since when considered in isolation, none of the applied redox proxies

138 can provide a robust distinction between these two redox states. To evaluate this, we consider an 139 EF_{M0}^* versus EF_U^* cross-plot (Fig. 4; Tribovillard et al., 2012) in relation to redox trajectories 140 observed in modern redox sensitive marine environments (Li et al., 2025). The data do not 141 generally follow the redox trajectory, which marks the trend that modern dysoxic sediments tend 142 to fall on (Li et al., 2025). Instead, the majority of data plot either in the oxic zone or between the 143 particulate shuttle and redox trajectories, with the latter being typical of fully anoxic sediments 144 (Li et al., 2025). Specifically, the Casera Razzo Member and Ostracod Unit samples generally record EF* systematics typical of anoxic conditions, with the exception of some samples 145 146 indicative of oxic conditions, supporting redox fluctuations between oxic and fully anoxic. The 147 thin Bulla Member, which occurs just prior to the extinction horizon (see Fig. 2) and is 148 represented by only 1 sample, is somewhat anomalous, in that this sample plots close to the 149 redox trajectory, potentially indicating dysoxic conditions (Fig. 4). The overlying Tesero 150 (marking the PTME) and Mazzin members plot in the region typical of modern oxic sediments 151 (Li et al., 2025).

Taken together, our combined evaluation of multiple redox proxies challenges the
suggestion of a sharp swing to anoxic conditions at the beginning of the PTME (Wignall and
Twitchett, 1996).

155 Redox and biodiversity changes

The redox conditions at Seis were highly dynamic prior to the PTME, suggesting that the late Permian ecosystem underwent significant oxygen stress. However, conditions appear to have improved across the extinction horizon itself, questioning the role of anoxia as an extinction trigger in very shallow waters. Further insight into the role of anoxia as an ecological stressor may be gained by relating redox changes to the fossil record. While the redox proxy data support 161 reoccurring anoxia during deposition of the Casera Razzo Member (Figs. 3, 4), its diverse fossil

162 assemblage of algae, foraminifera, ostracods, mollusks and trace fossils (Fig. 2, Broglio Loriga et

al., 1988; Cirilli et al., 1998; Farabegoli et al., 2007; Mette and Roozbahani, 2012; Prinoth and

164 Posenato, 2023; Twitchett and Wignall, 1996) required an oxygenated environment. Hence, the

165 anoxic episodes were likely too short-lived to cause long-term uninhabitable conditions.

166 Similarly, the presence of ostracods, bivalves, gastropods and relatively large trace fossils in the

167 Ostracod Unit, as well as bioturbated beds and foraminifera in the Bulla Member (Broglio Loriga

168 et al., 1982; Cirilli et al., 1998; Crasquin et al., 2008; Farabegoli et al., 2007; Foster et al., 2017;

169 Groves et al., 2007; Mette and Roozbahani, 2012), suggest that episodic deoxygenation was not

170 widespread enough to severely impact biodiversity. Since the Ostracod Unit and Bulla Member

171 generally record lower RSTM EF* values compared to the underlying Casera Razzo Member

172 (Fig. 3), redox conditions and overall stability may have improved leading up to the PTME,

173 questioning the role of anoxia as an extinction cause in this setting.

174 Role of anoxia as driver of shallow marine extinction during the PTME

175 Many studies on the PTME present environmental changes identified at one location as a 176 potential global extinction mechanism. However, such an approach may fail to capture spatial 177 heterogeneity in extinction drivers between different water depths, ecosystems and regions. 178 Uranium isotope data suggest a global intensification of anoxia concurrent with the PTME 179 (Zhang et al., 2020), supported by many sections worldwide. However, the local geochemical 180 signatures at Seis are not consistent with a spread of anoxic waters into shallow waters at this location, suggesting the presence of habitable zones in the western Tethys, which agrees well 181 182 with previous work from Panthalassa (Algeo et al., 2010; Beatty et al., 2008; Proemse et al., 183 2013). Considering that the majority of marine fossils preserved in the rock record are found in

184 shallow marine settings, our knowledge of the PTME is mainly based on fossil data from such 185 settings. Hence, if anoxia did not impinge on the shallow western Tethys, it was not the cause of 186 the abrupt disappearance of fossils in this region.

187 While this might rule out anoxia as the main driver of the PTME in the shallow, western 188 Tethys, deoxygenation could still have been an extinction mechanism elsewhere. Redox 189 evaluations for the eastern Tethys yield heterogenous redox interpretations, but generally support 190 the development of at least episodic deoxygenation (Algeo et al., 2007; Xiang et al., 2020; Yang 191 et al., 2024). However, a recent meta-analysis of available geochemical and fossil data for the 192 GSSP in south China has revealed that temperature rise and nutrient stress were the driving 193 factors of the extinction there, while the role of anoxia has been considered ambiguous (Foster et 194 al., 2024). For the Neotethys, multiple studies have found no evidence for the development of 195 anoxic conditions within shallow carbonate platforms (Collin et al., 2015; Gliwa et al., 2020; 196 Loope et al., 2013). Finally, redox interpretations for Panthalassa support the prevalence of oxic 197 conditions as well as the development of anoxia (Algeo et al., 2010; Grice et al., 2005; Hays et 198 al., 2007; Knies et al., 2013; Proemse et al., 2013). Hence, the timing and degree of 199 deoxygenation was geographically variable, emphasizing that anoxia alone may not have been 200 responsible for the widespread extinction of life in shallow marine basins during the PTME. 201 ACKNOWLEDGMENTS

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set is available in the supplemental material¹ and under https://zenodo.org/records/13757436

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329 FIGURE CAPTIONS

Fig. 1 (A) Paleogeographic map (WGS84) of the study area showing the location of Seis
outlining the emerged land (yellow), marine environment (blue) and Periadriatic lineament (red
line) (modified from Posenato, 2019). (B) Photograph of Seis, with the formation boundary
marked in red. People for scale.

Fig. 2 Log of the Seis section, including paleontological observations made in the field. The

colors reflect the rock color and the red band marks the PTME (following Farabegoli et al.,

336 2007). The abbreviations m, w, p and g stand for mud-, wack-, pack- and grainstone,

337 respectively, and OU, B and T for Ostracod Unit, Bulla Member and Tesero Member,

338 respectively.

Fig. 3 Trends in $\delta^{13}C_{Carb}$ (Siegert et al., 2011), RSM EF*, Fe speciation (black arrows marking

340 samples with iron <0.5wt%) and Th/U (Wignall and Twitchett, 1996 & this study) plotted next to

341 the log of Seis. Gray circles mark published data, the vertical gray dashed lines represent no

342 enrichment compared to PAAS, and the red dashed box marks the PTME.

343 Fig. 4 Comparison of EF_{U}^* to EF_{Mo}^* . The red arrows indicate different Mo accumulation

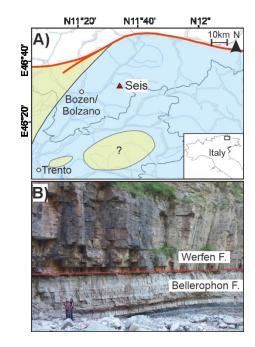
344 pathways, with the redox variation trajectory illustrating benthic deoxygenation from oxic,

345 through dysoxic and anoxic, to euxinic conditions and the particle shuttle (PS) the uptake of Mo

through redox cycling of oxyhydroxides (Tribovillard et al., 2012). The diagonal dotted lines

- 347 represent proportions of the seawater (SW) Mo/U molar ratio.
- ¹Supplemental Material. *Detailed methods description (S1.docx) and complete geochemical data*
- 349 set (S2.xlsx). Please visit <u>https://doi.org/10.1130/XXXX</u> to access the supplemental material, and
- 350 contact <u>editing@geosociety.org</u> with any questions.
- 351

352 FIGURES



354 Fig. 2

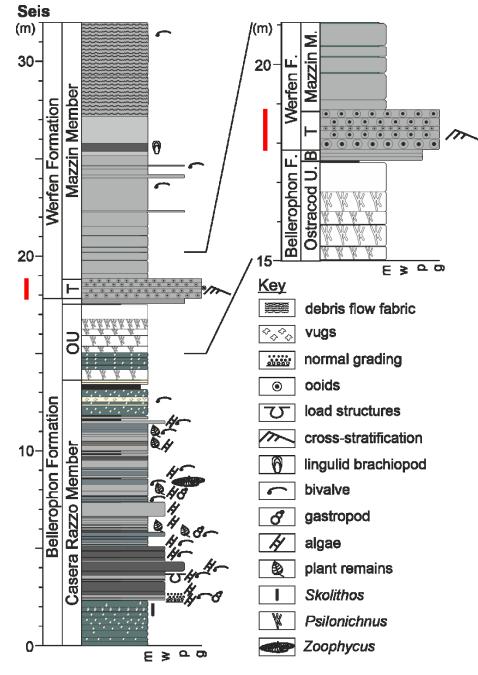




Fig. 2

