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| 3  |                                                                                                                                                          |
| 4  | Oxic conditions in shallow marine settings during the Permian-                                                                                           |
| 5  | Triassic Mass Extinction                                                                                                                                 |
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| 18 |                                                                                                                                                          |
| 19 | ABSTRACT                                                                                                                                                 |
| 20 | The concept that ultra-shallow marine anoxia was a major cause of the Permian-Triassic                                                                   |
| 21 | mass extinction was partly based on sections from the Dolomites (Italy). We test this hypothesis                                                         |
| 22 | by re-examining the Dolomites record, utilizing an updated redox sensitive trace metal (V, U and                                                         |

23 Mo) approach, combined with Fe speciation and Th/U ratios, and paleontological observations. 24 Redox sensitive trace metal and Fe speciation data reveal fluctuating redox conditions prior to the extinction, with periodic enrichments in highly reactive Fe likely reflecting  $Fe^{2+}$  mobilization 25 26 in anoxic ferruginous deeper waters. Oxic conditions are indicated during and after the extinction 27 event, suggesting that local redox conditions did not control biodiversity changes. However, this 28 redox reconstruction contrasts somewhat with traditional interpretation of Th/U ratios, which 29 indicate more persistent shallow water anoxia, likely due to a strong regional influence of the 30 detrital sediment composition. A comparison with other shallow marine successions reveals 31 similar redox trends, suggesting that shallow water anoxia was not global. Instead, redox 32 conditions across the Permian/Triassic boundary were likely variable, rendering it vital to assess 33 the role of anoxia on a site-specific basis to understand its role in the shallow marine Permian-34 Triassic mass extinction.

## **35 INTRODUCTION**

The Permian-Triassic mass extinction (PTME) marks the greatest loss of marine life in 36 37 the Phanerozoic, with > 90% of marine species going extinct (e.g., Erwin, 1993). Drastic 38 environmental changes associated with the emplacement of the Siberian Traps Large Igneous 39 Province have been proposed as the driving mechanisms behind the extinction (e.g., Grasby and 40 Bond, 2023; Svensen et al., 2023). The release of large volumes of greenhouse gases is 41 hypothesized to have led to a rapid rise in sea surface temperatures, ocean acidification, an 42 increased heavy metal supply, and a spread in ocean anoxia (e.g., Dal Corso et al., 2022; Grasby 43 and Bond, 2023). The expansion of oxygen minimum zones, leading to anoxic or euxinic 44 conditions even in shallow basins, was one of the first proposed extinction drivers (Twitchett and 45 Wignall, 1996; Wignall and Twitchett, 1996). Many subsequent studies have presented

supporting evidence for the development of such conditions during the extinction (Algeo et al.,
2007; Grice et al., 2005; Hays et al., 2007; Xiang et al., 2020; Zhang et al., 2020), rendering
anoxia a frequently cited driver of the marine extinction. However, in some places the event has
been suggested to occur under partially or completely oxic conditions (Algeo et al., 2010; Collin
et al., 2015; Foster et al., 2024; Gliwa et al., 2020; Knies et al., 2013; Loope et al., 2013;
Proemse et al., 2013; Yang et al., 2024), questioning the importance of anoxia as a global

52 extinction driver.

53 Considering these variable redox interpretations, it is vital to not only constrain changes 54 in local redox conditions, but also to link these changes to biodiversity changes at the same 55 location, to fully understand the potential role of oxygen availability in the extinction. To address 56 this, we examined the Seis section, a shallow marine Permian-Triassic sedimentary section from 57 the Dolomites, Italy. This is a key section that has been used to suggest that shallow marine 58 anoxia was a key driver of the extinction, based on Th/U ratios, the presence of opportunistic 59 taxa (lingulids and *Claraia*), and pyrite morphologies (Wignall and Twitchett, 1996). However, 60 only post-extinction data have been presented for the Seis section, speaking to a delayed 61 recovery processes, while the critical interval proceeding and during the extinction remain 62 unconstrained.

63 Currently, there is thus limited evidence for anoxia associated with the mass extinction in 64 the Dolomites, and further study of marine redox conditions prior to and during the event is 65 clearly warranted. To address this, we examined the pre-, during- and post-extinction strata of 66 Seis using redox sensitive trace metals (V, U and Mo), alongside Fe speciation and the 67 previously applied Th/U proxy. We then combine these data with lithological and available paleontological data to test the hypothesis that anoxia was the main driver of the shallow marineextinction in the western Tethys.

## 70 STUDY AREA

71 The studied section is located in the Dolomites, Italy, at 46.53374, 11.56142 (Fig. 1A) 72 and is known as the Siusi, Seis, Seis/Siusi or Seiser Klamm section (hereafter referred to as 73 Seis). Across the Permian/Triassic boundary (PTB), the Dolomites were part of the Adria 74 tectonic block located near the equator in the western Paleotethys. The Late Permian and Early Triassic sedimentary successions of the Dolomites were deposited in a shallow marine basin on 75 76 the western margin of the Paleotethys as the Bellerophon and Werfen formations (Fig. 1B). The 77 Bellerophon Formation consists of fossiliferous limestones and dolostones, interbedded with 78 siltstones, sandstones and gypsum (Broglio Loriga et al., 1982; Farabegoli et al., 2007). The 79 overlying Werfen Formation is characterized by shallow marine limestones, dolostones, 80 siltstones and sandstones (Broglio Loriga et al., 1982; Farabegoli et al., 2007) and records the 81 PTME and PTB in its lowermost members (Farabegoli et al., 2007; Posenato, 2019). 82 **METHODS** 

83 The Seis section was logged using the formation and unit/member definitions of Broglio Loriga 84 et al. (1982) with some amendments (Fig. 2). A total of 38 samples were taken and analyzed for 85 total organic carbon (TOC) as well as major and trace elements at the Geological Survey of 86 Canada, Calgary. We report redox sensitive trace metal (RSTM) concentrations in terms of 87 enrichment factors (EFs), using the revised method of Krewer et al. (2024) to account for dilution of the detrital input by carbonate (termed EF<sup>\*</sup>). Additionally, iron speciation separation 88 89 was performed at the University of Leeds. The sum of the extracted Fe phases defines a highly 90 reactive Fe pool (Fe<sub>Hr</sub>), which when normalized to total Fe (Fe<sub>T</sub>) allows oxic conditions

91 (Fe<sub>Hr</sub>/Fe<sub>T</sub> < 0.22) to be distinguished from anoxic conditions (Fe<sub>Hr</sub>/Fe<sub>T</sub> > 0.38), with intermediate 92 ratios considered equivocal (Poulton and Canfield, 2011). For anoxic samples, pyrite Fe (Fe<sub>Py</sub>) 93 normalized to Fe<sub>Hr</sub> further allow ferruginous conditions (Fe<sub>Py</sub>/Fe<sub>Hr</sub> < 0.6) to be distinguished 94 from euxinia (Fe<sub>Py</sub>/Fe<sub>Hr</sub> > 0.8), with ratios of 0.6-0.8 considered equivocal (Poulton, 2021). The 95 detailed sample preparation, digestion and analytical techniques as well as the complete 96 geochemical data set are given in the supplemental material<sup>1</sup>.

## 97 RESULTS AND DISCUSSION

## 98 **Redox evolution across the PTB**

99 The carbonate-carbon isotope ( $\delta^{13}C_{carb}$ ) record of Seis (Siegert et al., 2011) shows that the 100 negative  $\delta^{13}C_{carb}$  excursion indicative of the global environmental perturbations in the Late 101 Permian coincided with the PTME (Fig. 3), supporting the importance of environmental change, 102 such as deoxygenation, for the event. Redox sensitive trace metal contents fluctuate, but consistent patterns appear when the data are expressed as enrichment factors (Fig. 3). The  $EF_V^*$ 103 104 values generally fall close to the baseline of 1 throughout the section, but with a peak at ~2.75 m that coincides with peaks in  $EF_{U}^{*}$  and  $EF_{Mo}^{*}$ , indicating a particularly enhanced interval of 105 anoxia. A slight decrease in  $EF_V^*$  values occurs at ~10.7 m, again consistent with the  $EF_U^*$  and 106  $EF_{M0}^*$  data, indicating a pronounced oxic interval and suggesting fluctuations in oxygenation 107 108 levels through the pre-extinction interval. Indeed, the  $EF_{U}^{*}$  and  $EF_{Mo}^{*}$  data, which tend to be 109 more sensitive redox proxies relative to V (see Li et al., 2025), suggest that redox conditions 110 were particularly dynamic during this interval, with regular fluctuations between oxic and anoxic 111 conditions (Fig. 3). This is supported by occasional spikes in TOC, as well as the presence of 112 thin, dark, fine-grained layers interbedded with the carbonates (Figs. 2, 3).

113 Intervals of elevated Fe<sub>Hr</sub>/Fe<sub>T</sub> with low Fe<sub>Pv</sub>/Fe<sub>Hr</sub> ratios support periodic development of 114 anoxic ferruginous water column conditions in the pre-extinction phase, likely reflecting 115 mobilization of  $Fe^{2+}$  in deeper anoxic waters, followed by precipitation of  $Fe_{Hr}$  phases under oxic 116 or dysoxic conditions at the chemocline or following upwelling into oxic shallow waters. 117 Samples with very low  $Fe_T$  (< 0.5 wt%), which occur coincident with low TOC contents, have 118 also been suggested to represent oxic depositional conditions (Clarkson et al., 2014), thereby supporting fluctuating redox conditions. These dynamics are further supported by  $EF_{Mn}^*$  values 119 120 (Fig. 3), which show pronounced peaks likely reflecting precipitation of water column Mn 121 during intervals of particularly enhanced shallow water oxygenation. We also note that Fe 122 speciation data reported for the GSSP in south China reveal a similar redox pattern, with large 123 gaps in the record and anoxic signatures leading up to the PTME, taken as evidence for fleeting 124 anoxia (Xiang et al., 2020).

During and after the extinction, however, the RSTM EF\* values persistently fall close to 125 126 1 (Fig. 3), suggesting prevailing oxic conditions. Initially low Fe<sub>T</sub> contents (< 0.5 wt%) support this interpretation, while a subsequent increase in Fe<sub>Hr</sub>/Fe<sub>T</sub> ratios and EF<sub>Mn</sub><sup>\*</sup> values in tandem, 127 128 suggests precipitation of reduced Fe and Mn under oxic water column conditions, hence 129 indicating upwelling of deeper anoxic waters into persistently oxic shallower waters. 130 The Th/U values at Seis (Fig. 3) provide conflicting redox results when considered 131 relative to traditional threshold value of 2, with lower values suggesting anoxia, while ratios of > 132 2 indicate oxia (Wignall and Twitchett, 1996). While the Th/U ratios shows a considerable 133 variability commonly coinciding with the other redox proxies, they are further highly correlated 134 to the detrital tracer Al (r = 0.71). The latter suggests that the redox control of the Th/U might be partially masked by lithological change, emphasizing the advantage of utilizing EF\* in sections
with variable detrital content.

137 Some of the intervals interpreted as being deposited under oxic conditions may in fact 138 reflect dysoxic deposition, since when considered in isolation, none of the applied redox proxies 139 can provide a robust distinction between these two redox states. To evaluate this, we consider an  $EF_{M0}^*$  versus  $EF_U^*$  cross-plot (Fig. 4; Tribovillard et al., 2012) in relation to redox trajectories 140 141 observed in modern redox sensitive marine environments (Li et al., 2025). The data do not 142 generally follow the redox trajectory, which marks the trend that modern dysoxic sediments tend 143 to fall on (Li et al., 2025). Instead, the majority of data plot either in the oxic zone or between the 144 particulate shuttle and redox trajectories, with the latter being typical of fully anoxic sediments 145 (Li et al., 2025). Specifically, the Casera Razzo Member and Ostracod Unit samples generally 146 record EF<sup>\*</sup> systematics typical of anoxic conditions, with the exception of some samples 147 indicative of oxic conditions, supporting redox fluctuations between oxic and fully anoxic. The 148 thin Bulla Member, which occurs just prior to the extinction horizon (see Fig. 2) and is 149 represented by only 1 sample, is somewhat anomalous, in that this sample plots close to the 150 redox trajectory, potentially indicating dysoxic conditions (Fig. 4). The overlying Tesero 151 (marking the PTME) and Mazzin members plot in the region typical of modern oxic sediments 152 (Li et al., 2025).

Taken together, our combined evaluation of multiple redox proxies challenges the
suggestion of a sharp swing to anoxic conditions at the beginning of the PTME (Wignall and
Twitchett, 1996).

156 **Redox and biodiversity changes** 

157 The redox conditions at Seis were highly dynamic prior to the PTME, suggesting that the 158 late Permian ecosystem underwent significant oxygen stress. However, conditions appear to have 159 improved across the extinction horizon itself, questioning the role of anoxia as an extinction 160 trigger in very shallow waters. Further insight into the role of anoxia as an ecological stressor 161 may be gained by relating redox changes to the fossil record. While the redox proxy data support 162 reoccurring anoxia during deposition of the Casera Razzo Member (Figs. 3, 4), its diverse fossil 163 assemblage of algae, foraminifera, ostracods, mollusks and trace fossils (Fig. 2, Broglio Loriga et 164 al., 1988; Cirilli et al., 1998; Farabegoli et al., 2007; Mette and Roozbahani, 2012; Prinoth and 165 Posenato, 2023; Twitchett and Wignall, 1996) required an oxygenated environment. Hence, the 166 anoxic episodes were likely too short-lived to cause long-term uninhabitable conditions. 167 Similarly, the presence of ostracods, bivalves, gastropods and relatively large trace fossils in the 168 Ostracod Unit, as well as bioturbated beds and foraminifera in the Bulla Member (Broglio Loriga 169 et al., 1982; Cirilli et al., 1998; Crasquin et al., 2008; Farabegoli et al., 2007; Foster et al., 2017; 170 Groves et al., 2007; Mette and Roozbahani, 2012), suggest that episodic deoxygenation was not 171 widespread enough to severely impact biodiversity. Since the Ostracod Unit and Bulla Member generally record lower RSTM EF\* values compared to the underlying Casera Razzo Member 172 173 (Fig. 3), redox conditions and overall stability may have improved leading up to the PTME, 174 questioning the role of anoxia as an extinction cause in this setting. 175 Role of anoxia as driver of shallow marine extinction during the PTME

Many studies on the PTME present environmental changes identified at one location as a
potential global extinction mechanism. However, such an approach may fail to capture spatial
heterogeneity in extinction drivers between different water depths, ecosystems and regions.
Uranium isotope data suggest a global intensification of anoxia concurrent with the PTME

180 (Zhang et al., 2020), supported by many sections worldwide. However, the local geochemical 181 signatures at Seis are not consistent with a spread of anoxic waters into shallow waters at this 182 location, suggesting the presence of habitable zones in the western Tethys, which agrees well 183 with previous work from Panthalassa (Algeo et al., 2010; Beatty et al., 2008; Proemse et al., 184 2013). Considering that the majority of marine fossils preserved in the rock record are found in 185 shallow marine settings, our knowledge of the PTME is mainly based on fossil data from such 186 settings. Hence, if anoxia did not impinge on the shallow western Tethys, it was not the cause of 187 the abrupt disappearance of fossils in this region.

188 While this might rule out anoxia as the main driver of the PTME in the shallow, western 189 Tethys, deoxygenation could still have been an extinction mechanism elsewhere. Redox 190 evaluations for the eastern Tethys yield heterogenous redox interpretations, but generally support 191 the development of at least episodic deoxygenation (Algeo et al., 2007; Xiang et al., 2020; Yang 192 et al., 2024). However, a recent meta-analysis of available geochemical and fossil data for the 193 GSSP in south China has revealed that temperature rise and nutrient stress were the driving 194 factors of the extinction there, while the role of anoxia has been considered ambiguous (Foster et 195 al., 2024). For the Neotethys, multiple studies have found no evidence for the development of 196 anoxic conditions within shallow carbonate platforms (Collin et al., 2015; Gliwa et al., 2020; 197 Loope et al., 2013). Finally, redox interpretations for Panthalassa support the prevalence of oxic 198 conditions as well as the development of anoxia (Algeo et al., 2010; Grice et al., 2005; Hays et 199 al., 2007; Knies et al., 2013; Proemse et al., 2013). Hence, the timing and degree of 200 deoxygenation was geographically variable, emphasizing that anoxia alone may not have been 201 responsible for the widespread extinction of life in shallow marine basins during the PTME. 202 ACKNOWLEDGMENTS

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set is available in the supplemental material<sup>1</sup> and under https://zenodo.org/records/13757436

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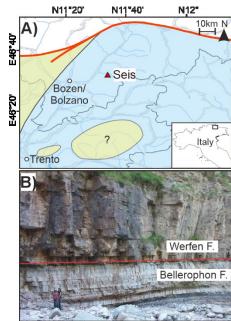
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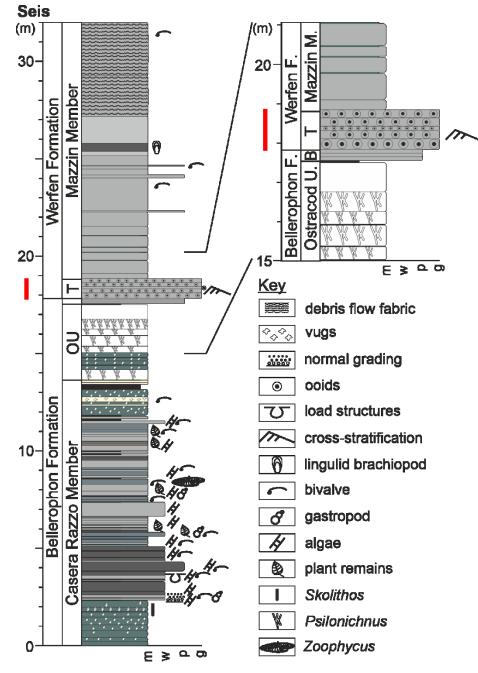
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| 329 |                                                                                                             |
| 330 | FIGURE CAPTIONS                                                                                             |
| 331 | Fig. 1 (A) Paleogeographic map (WGS84) of the study area showing the location of Seis                       |
| 332 | outlining the emerged land (yellow), marine environment (blue) and Periadriatic lineament (red              |
| 333 | line) (modified from Posenato, 2019). (B) Photograph of Seis, with the formation boundary                   |
| 334 | marked in red. People for scale.                                                                            |
| 335 | Fig. 2 Log of the Seis section, including paleontological observations made in the field. The               |
| 336 | colors reflect the rock color and the red band marks the PTME (following Farabegoli et al.,                 |
| 337 | 2007). The abbreviations m, w, p and g stand for mud-, wack-, pack- and grainstone,                         |
| 338 | respectively, and OU, B and T for Ostracod Unit, Bulla Member and Tesero Member,                            |
| 339 | respectively.                                                                                               |
|     |                                                                                                             |
| 340 | Fig. 3 Trends in $\delta^{13}C_{Carb}$ (Siegert et al., 2011), RSM EF*, Fe speciation (black arrows marking |
| 341 | samples with iron <0.5wt%) and Th/U (Wignall and Twitchett, 1996 & this study) plotted next to              |
| 342 | the log of Seis. Gray circles mark published data, the vertical gray dashed lines represent no              |
| 343 | enrichment compared to PAAS, and the red dashed box marks the PTME.                                         |
| 344 | <b>Fig. 4</b> Comparison of $EF_{U}^*$ to $EF_{Mo}^*$ . The red arrows indicate different Mo accumulation   |
|     |                                                                                                             |
| 345 | pathways, with the redox variation trajectory illustrating benthic deoxygenation from oxic,                 |
| 346 | throgh dysoxic and anoxic, to euxinic conditions and the particle shuttle (PS) the uptake of Mo             |

- 347 through redox cycling of oxyhydroxides (Tribovillard et al., 2012). The diagonal dotted lines
- 348 represent proportions of the seawater (SW) Mo/U molar ratio.
- <sup>1</sup>Supplemental Material. *Detailed methods description (S1.docx) and complete geochemical data*
- 350 set (S2.xlsx). Please visit <u>https://doi.org/10.1130/XXXX</u> to access the supplemental material, and
- 351 contact <u>editing@geosociety.org</u> with any questions.
- 352
- 353 FIGURES



- 354
- 355 Fig. 2



357 Fig. 2

