Complex earthquake behavior on simple faults

C. Cattania¹

¹Department of Geophysics, Stanford University, Stanford, CA

1

2	Key Points:
3	• Numerical simulations and fracture mechanics predict the occurrence of partial
4	ruptures on sufficiently large fault loaded by creep
5	• Earthquake statistics is controlled by the ratio of fault dimension to a critical length
6	related to the nucleation dimension
7	• Large faults exhibit afterslip driven temporal clustering and power-law distribu-
8	tion of seismic moments with a theoretical b-balue of $3/4$

 $Corresponding \ author: \ Camilla \ Cattania, \ \texttt{camcat@stanford.edu}$

9 Abstract

While power-law distributions in seismic moment and interevent times are ubiquitous 10 in regional catalogs, the statistics of individual faults remains controversial. Continuum 11 fault models typically produce characteristic earthquakes or a narrow range of sizes, lead-12 ing to the view that the regional statistics originates from interaction of multiple faults. 13 I present theoretical arguments and numerical simulations demonstrating that seismic-14 ity on homogeneous planar faults can span several orders of magnitude in rupture di-15 mensions and interevent times, if the fault dimension W is sufficiently large compared 16 to a characteristic length L_{crit} , related to the nucleation dimension. Large faults are in-17 creasingly less characteristic, with the fraction of system-size ruptures proportional to 18 $(L_{crit}/W)^{1/2}$. Earthquake statistics for large W/L_{crit} is remarkably close to nature, ex-19 hibiting Omori decay and power-law distributed rupture lengths. Simple crack models 20 are are consistent with a Gutenberg-Richter distribution with b = 3/4, and provide a 21 physical basis for these distributions on individual faults. 22

23 1 Introduction

Seismic hazard models are one of the most societally relevant products of earthquake research, but they often rely on poorly tested assumptions due to the scarcity of data on the recurrence interval of large earthquakes. Whether individual faults are more characteristic than predicted by Gutenberg-Richter distribution remains a subject of debate (Parsons & Velasco, 2009; Schwartz, 2010; Page, 2010; Parsons et al., 2012; Kagan et al., 2012; Page & Felzer, 2015; Mulargia et al., 2017; Parsons et al., 2018; Stirling & Gerstenberger, 2018).

The debate on the frequency-size distribution of earthquakes is echoed in the earth-31 quake physics community. Early earthquake cycle simulations of planar faults in an elas-32 tic medium produced sequences of periodic, system-size events (Tse & Rice, 1986; Rice, 33 1993). Subsequent studies (Lapusta et al., 2000; Lapusta, 2003) found that sub-system-34 size events (partial ruptures) occur for a small enough slip weakening distance. While 35 simple limit cycles with few or no partial ruptures are produced by correctly discretized 36 models, under resolved simulations exhibited richer slip complexity, including a power-37 law distribution of rupture dimensions (Rice, 1993; Lapusta et al., 2000; Ben-Zion & Rice, 38 1995). Even though these models do not correctly solve the continuum equations, one 39 interpretation is that oversized cells represent distinct fault segments, and can be con-40

sidered a proxy for geometrical heterogeneity (Ben-Zion & Rice, 1995). Similarly, discrete models of faults (such as cellular automata (Bak & Tang, 1989; Olami et al., 1992)
or discrete elastic models (Burridge & Knopoff, 1967)) produce a power-law distribution
of earthquake size, analogous to what is observed in nature.

The discrepancy between the characteristic and periodic behavior of continuum mod-45 els and the rich complexity of discrete models led to the view that the statistics of seis-46 micity on a regional scale is controlled by the discrete nature of faults (Ben-Zion & Rice, 47 1995; Ben-Zion, 2008) or by frictional/geometrical fault heterogeneity (?, ?, e.g.)]Hillers2007, 48 Aochi2009, Kaneko2010, Dublanchet2013; the latter is also understood to be responsi-49 ble for partial ruptures on megathrust faults (Li et al., 2018; Qiu et al., 2016; Dal Zilio 50 et al., 2019). An important question is then: if fault roughness, segmentation and the 51 interaction between separate fault segments are responsible for earthquake statistics on 52 a regional scale, are relatively smooth, isolated faults more likely to exhibit character-53 istic quasi-periodic behavior? 54

Here I address this question from a fracture mechanics perspective, and challenge
the view that a simple fault geometry in a linear elastic medium implies strict periodicity or a predominance of characteristic ruptures. From simple energy balance arguments,
I demonstrate that an homogeneous velocity-weakening (stick-slip) fault in an elastic medium,
adjacent to or overlying a velocity strengthening (creeping) region can rupture in earthquakes of variable magnitude, and exhibit temporal clustering, if it exceeds a critical dimension relative to the nucleation size.

For 2-D earthquake cycle simulations on vertical, antiplane rate-state faults loaded 62 by downdip creep (Supplementary Information 1), the transition is illustrated in Fig. 1 63 and was first observed by Werner and Rubin (2013). The smallest fault ($W = 3.2L_{\infty}$, 64 with L_{∞} the nucleation half-length defined below) exhibits simple cycles of system-size 65 events, while two earthquakes per cycle occur at $W = 13L_{\infty}$ and about 12 earthquakes 66 per cycle at $W = 415 L_{\infty}$ (where a "cycle" is the time between two full ruptures). Small 67 faults are characteristic and periodic (Fig. 1a,c), and 2 rupture cycles have a bimodal 68 rupture distribution of rupture length and interevent times (Fig. 1b,d). In contrast, earth-69 quakes on a large fault span two orders of magnitudes in rupture length and exhibit tem-70 poral clustering (Fig. 1e,f). Below I present a simple theoretical model for these results, 71

-3-



Figure 1. Examples of simulated cycles on antiplane vertical faults with variable W/L_{∞} , with color indicating slip speed on a log scale. The dotted line marks the velocity-weakening to velocity-strengthening transition. The x-axis shows computational time steps. (a,c) For $W/L_{\infty} = 3.2$ periodic full ruptures occur; (b,d) $W/L_{\infty} = 13$ results in two ruptures per cycle; (e,f) $W/L_{\infty} = 415$ has wider range of rupture dimensions and temporal clustering. The parameters for this simulation are b = 0.02, $a - b = \pm 0.005$ in the VS/VW regions, $\sigma = 50$ MPa, $d_c = 10^{-4}$ m.

⁷² compare them with empirical power-law distributions observed in nature, and discuss

⁷³ the implications for earthquake cycle models and seismic hazard.

74 2 Theory

Consider a velocity-weakening (VW) fault segment loaded by creep from an adja-75 cent velocity-strengthening region: for example, an isolated small asperity embedded in 76 a creeping fault, or a long anti-plane fault overlying a velocity-strengthening (VS) layer. 77 The stress state in the VW region is determined by the slip that has taken place in the 78 creeping region during the interseismic period since the last full rupture, S(t). I argue 79 that the seismic behavior is controlled by the ratio of interseismic slip required to nu-80 cleate an event (S_n) to the slip required for a system-size event (S_{full}) . Intuitively, we 81 may expect S_n to increase with the nucleation dimension L_{∞} , and the slip deficit for a 82 full rupture S_{full} to increase with the size of the VW region. Since S_n is constant and 83 S_{full} increases with W, we expect two regimes: for $S_n \ge S_{full}$, all events are full rup-84 tures, with simple characteristic cycles. For $S_n < S_{full}$, partial ruptures occur. The ra-85 tio of partial ruptures to full ruptures increases with S_{full}/S_n . From dimensional argu-86 ments (and confirmed more rigorously below), we may expect S_{full}/S_n to be an increas-87 ing function of W/L_{∞} : this ratio defines the different regimes and degree to which the 88 fault is characteristic. 89

To make this argument quantitative, consider a 1D crack in an infinite medium loaded by end-point displacement S on a fault of total extent W. Quasi-static crack propagation is controlled by an energy balance criterion (Griffith, 1921), equivalent to requiring the stress intensity factor (SIF) K at the crack tip to be equal to the fracture toughness K_c (Irwin, 1957). The stress intensity factor can be written as $K = K_l - K_{\Delta\tau}$, where K_l is the SIF due to loading a stress free crack, and $K_{\Delta\tau}$ accounts for uniform stress changes within the crack. The quasi-static equation of motion for the crack tip at distance l from the load point is given by:

$$K_l(l) - K_{\Delta\tau}(l) = K_c \tag{1}$$

⁹⁹ After each earthquake, the stress near the VS-VW transition is low and the fault ¹⁰⁰ is locked; as slip accumulates in the VS region, creep penetrates within the VW region ¹⁰¹ (Fig. 1a,b). When it reaches a critical distance L_n , nucleation occurs. During creep prop-¹⁰² agation, the fracture energy term is typically negligible (Cattania & Segall, 2018), so eq. 1 can be written as $K_l(l) \approx K_{\Delta\tau}(l)$. The stress intensity factors are $K_l = \mu' S / \sqrt{2\pi l}$ and $K_{\Delta\tau} = \Delta \tau \sqrt{\pi l/2}$ (Tada et al., 2000), where $\mu' = \mu$ for antiplane and $\mu' = \mu / (1 - \nu)$ for plane strain deformation (μ is the shear modulus, ν the Poisson ratio); $\Delta \tau$ is the stress increase behind the creep front, which is equal and opposite to the stress drop in the previous event (Supplementary Information, section 2). Therefore the displacement required for the creep front to penetrate a distance L_n is:

$$S_n = \frac{\pi \Delta \tau}{\mu'} L_n . \tag{2}$$

109

116

122

As proposed by Werner and Rubin (2013), eq. 1 can also be used to estimate the minimum time between full ruptures. The condition for full rupture can be simplified noticing that $K_{\Delta\tau} = 0$ over an entire cycle (Cattania & Segall, 2018; Werner & Rubin, 2013), so that $K_l = K_c$. With the expression for K_l given above, the SIF is minimum at the top of the fault (l = W). Therefore the displacement required for a full rupture is:

$$S_{full} = \frac{\sqrt{2\pi W} K_c}{\mu'} \ . \tag{3}$$

These critical displacements can be used to estimate the relative number of earthquake nucleations and full ruptures. Suppose that slip in the creeping region accumulates at a rate $\dot{S} = V_{pl}$ (averaged across a cycle). The average seismicity rate and the rate of full ruptures are simply $r = \dot{S}/S_n$ and $r_{full} = \dot{S}/S_{full}$, and the total number of earthquakes per cycle is

$$\alpha = \frac{r}{r_{full}} = \frac{S_{full}}{S_n} \sim \frac{K_c \sqrt{W}}{\Delta \tau L_n} \tag{4}$$

Partial ruptures occur when $\alpha > 1$: the critical slip required for nucleation is smaller 123 than the slip for a full rupture. This condition can be expressed in terms of the ratio W/L_{crit} 124 with $L_{crit} \sim (L_n \Delta \tau / K_c^2)$. Note that the quantities L_n , K_c and $\Delta \tau$ are determined by 125 the elastic and frictional properties of the fault, and do not depend on any actual, mea-126 surable length scale. For certain frictional laws, this expression can be simplified by con-127 sidering how L_n depends on K_c and $\Delta \tau$. Here I assume this length to be proportional 128 to the critical nucleation length L_{∞} derived by Rubin and Ampuero (2005) for ageing 129 law simulations by considering the stability of a constant stress drop crack overcoming 130 a toughness K_c , analogous to eq. 1 with $K_l = 0$. Taking $K_{\Delta \tau} \sim \Delta \tau \sqrt{L_{\infty}} = K_c$, gives 131

132

135

157

$$L_{\infty} \sim \left(\frac{K_c}{\Delta \tau}\right)^2$$
, (5)

and with $L_n \sim L_\infty$ the number of ruptures per cycle is

$$\alpha \sim \sqrt{\frac{W}{L_{\infty}}} \ . \tag{6}$$

I therefore propose that the ratio W/L_{∞} determines the seismic regime of the fault: char-136 acteristic and periodic if $\alpha(W/L_{\infty}) \leq 1$, and with a vanishingly small fraction of system-137 size ruptures as $\alpha(W/L_{\infty}) \gg 1$. While this result was derived for cracks in a 2-D medium, 138 I argue that the scaling may remain valid for other geometries. The 2-D result for the 139 nucleation criterion (eq. 2) is also the limit for a circular asperity with $R \gg L_n$, or in-140 deed any geometry with a local curvature radius $\gg L_n$. The scaling of the critical slip 141 required for a full rupture (eq. 3) is also common to other geometries, and varies only 142 by a geometrical factor of order 1, as demonstrated by Cattania and Segall (2018) for 143 circular asperities and in the Supplementary Information, section 2 for a vertical fault 144 reaching the free surface. 145

The dependence on W/L_{∞} found here is due to the scaling of the nucleation length with stress drop and fracture energy for the ageing law and a/b > 0.378 (Rubin & Ampuero, 2005). More generally, assuming that the number of events per cycles is S_{full}/S_n , with $S_{full} \sim \sqrt{W}K_c$ and $S_n \sim \Delta \tau L_n$ where L_n is the penetration length, the number of events scales with $\sqrt{W/L_{crit}}$ where $L_{crit} = (L_n \Delta \tau/K_c)^2$.

I test these predictions against numerical simulations of vertical antiplane faults (Fig. 1), described in the Supplementary Information (section 1). For this simple geometry, the only modification to the theory above consists of including the effect of the free surface, which modifies eq. 3 by a factor $\phi = 0.71$ (Supplementary Information, section 2). Using expressions for fracture energy from rate-state friction the condition $\alpha =$ 1 is satisfied by

$$\alpha \approx 0.45 \sqrt{\frac{W}{L_{\infty}}} \ . \tag{7}$$

Therefore partial ruptures are possible when $\alpha > 1$ or $W > (5\pm 2)L_{\infty}$ (the range corresponds to the standard deviation of L_n/L_{∞} , as described in the Supplementary Information, section 2). Fig. 2a shows a set of simulations with variable frictional (rate-state) parameters and fault dimension: $W/L_{\infty} = 5\pm 2$ is a reasonable approximation of the transition between single to double rupture cycles. The number of earthquakes per cycle is also well fit, to first order, by eq. 7 (Fig. 2b). Note that eq. 7 is derived assuming



Figure 2. (a) number of earthquakes per cycle with variable W/L_{∞} and a/b. The transition occurs near the value of W/L_{∞} predicted by the crack model (grey line, indicating the estimated value for $L_n = (2.9 \pm 0.6)L_{\infty}$). Symbols refer to two values of rate-state parameters b (0.01, diamonds; 0.02, circles), and white symbols on the left represent aseismic simulations. (b) number of ruptures per cycle vs. W/L_{∞} , compared with eq. 7 (dotted line). Fractional values in the simulations are caused by the alternation of cycles with a different number of events.

that each displacement increment S_n corresponds to a single rupture; as discussed in the 164 next session, this may not be true in 3-D, and the actual number of events per cycle can 165 be higher than this (and may be estimated by geometrical arguments, see Supplemen-166 tary Information 3). Moreover, in addition to the interseismic displacement accrued in 167 the creeping region, the stress field is modified by the occurrence of partial ruptures: for 168 example, the area of lower stress that can stop propagation of a further rupture (?, ?, 169 e.g.)]Lapusta2003. I find that this can lead to the occurrence of partial ruptures even 170 when the energy criterion above is satisfied $(S(t) > S_{full})$, and increase the number of 171 partial ruptures relative to eq. 7. 172

173

3 Distribution of rupture lengths and magnitudes

The distribution of rupture lengths is characteristic for small asperities and bimodal for asperities with a partial rupture per cycle (Fig. 3). On asperities exceeding hundreds of nucleation lengths, and multiple (> 10) ruptures per cycle, the distribution appears close to a power-law truncated at the characteristic length W, and spans two orders of magnitude. I do not attempt to derive this distribution from first principles, but instead



Figure 3. (a) Distribution of rupture lengths showing characteristic distribution at small W/L_{∞} ; a bimodal distribution for $W/L_{\infty} \sim 10$; a truncated power-law distributions for large W/L_{∞} (up to 415). N/N_{tot} is the normalized survival function (fraction of events exceeding a certain rupture length). The dotted line shows the the power-law exponent consistent with the scaling in eq. 6. (b) Frequency-magnitude distribution from ruptures in a 3-D medium estimated as outlined in section 3 of the Supplementary Information. The dotted lines show a GR b-value of 0.75 and 1.0.

seek the power-law exponent consistent with previous results. Consider a survival func-179 tion of the form $N(l) = A l^{-\gamma}$ between L_{min} and L_{max} , where A is a constant and N 180 is the number of events with rupture length $\geq l$. The total number of events is simply 181 $AL_{min}^{-\gamma}$, and the number of events with characteristic length L_{max} is $AL_{max}^{-\gamma}$ (there are 182 $AL_{max}^{-\gamma}$ greater than or equal to L_{max} , and zero events greater than or equal to $(L_{max})+$ 183 ε , with ε an arbitrary small positive number, since the distribution is truncated). There-184 fore the number of events per cycle is $(L_{min}/L_{max})^{-\gamma}$. Setting $L_{min} \sim L_{\infty}$ and $L_{max} =$ 185 W, and comparing this result with eq. 6, implies $\gamma = 1/2$, which is indeed the value 186 in the simulations (Fig. 3(a)). 187

Estimating the frequency-magnitude distribution for 2-D fault embedded in a 3-D medium requires some assumptions on the rupture length along strike, and the number of rupture corresponding to each event simulated in 2-D. Assuming that all ruptures have the same aspect ratio and stress drop, the survival function in terms of seismic moments has the form: $N(M_0) \sim M_0^{-1/2}$, corresponding to a Gutenberg-Richter *b*-value of 3/4 (Supplementary Information 3). Fig. 3(b) shows the frequency-magnitude distribution obtained after weighting each simulated event by the number of equivalent ruptures in 3-D, assuming constant aspect ratio. The *b*-value is close to the theoretical value of 3/4, but slightly larger; this seems to be related to a slightly sublinear scaling of slip with rupture length. This range is remarkably close to the typical *b*-value of 1. However, the assumptions made when converting ruptures simulated in 2-D into equivalent 3-D ones should be verified by running simulations in 3-D, and considering rupture propagation along strike after reaching the free surface.

201

4 Inter-event time distributions

The distribution of interevent times also undergoes a similar transition from peaked 202 at low W/L_{∞} , to power-law at high W/L_{∞} (Fig. 4(a-b)). The coefficient of variation in 203 interevent time is ~ $10^{-4} - 10^{-3}$ for $W/L_{\infty} < 4$, indicating almost perfectly periodic 204 behavior; it increases to 1.5 for the largest W/L_{∞} (415), indicating clustering. I com-205 pare the interevent time distributions with those typically found in seismic catalogs: Fig. 4(c) 206 shows the distribution of interevent times, normalized by the average rate, for $M_w \geq$ 207 6.0 events in the ANSS Comprehensive Earthquake Catalog. Corral (2004) first noted 208 that the interevent time distribution rescaled by the total rate λ follows a universal form 209 independent of location and selection criteria; later, Hainzl et al. (2006); Saichev and Sor-210 nette (2007) demonstrated that this behavior is well described by a function derived from 211 short term Omori clustering and background Poissonian seismicity. This is given by eq. 15 212 in the Supplementary Information (section 4) and shown by the grey lines in Fig. 4(a,c). 213 For $W/L_{\infty} \gg 1$, the simulated interevent time distribution approaches this expression, 214 with a clear power-law decay at short $\lambda \Delta t$, consistent with 1/t Omori-decay, and a slower 215 decay at $\lambda \Delta t \gtrsim 0.1$. As shown in Fig. 4(c), the distribution at $W/L_{\infty} = 415$ is con-216 sistent with the theoretical expression and observed seismicity. 217

Temporal clustering is a direct consequence of afterslip: at a constant creep rate, it would always take the same time to accumulate the displacement required for nucleation S_n and interevent times would be constant even for multi-rupture cycles. The increase in clustering on larger faults is caused by faster afterslip, caused by a wider afterslip region for larger ruptures. In the Supplementary Information (section 5) I use a simple spring-slider model introduced by Perfettini (2004) to estimate the postseismic creep rate at the loading point S(t). This yields the following expression for the time to



Figure 4. (a) Probability density function of normalized interevent times calculated between any two consecutive events (full or partial ruptures) for the simulations with a/b = 0.75. They grey lines indicates eq. 15 in the Supplementary Information, compared with a 1/t decay and the exponential distribution typical of Omori decay and a Poisson process respectively (black dotted lines). Simulations with a coefficient of variation smaller than 10^{-3} are indicated by an arrow (delta function). Each curve is offset by 10^5 for clarity. (b) coefficient of variation (standard deviation in Δt divided by the mean) as a function of W/L_{∞} , color coded by number of events per cycle. Circled simulations are those shown in the left panel. (c) Distribution of normalized interevent times for $M_w \ge 6$ earthquakes in the ANSS Comprehensive Earthquake Catalog (Com-Cat), 1980-2018. The catalog was subdivided into 60 regions of 2000km x 2000km, shown by the different colors. Grey and dashed lines as in panel (a); the black line is the distribution for $W/L_{\infty} = 415$, also shown in dark blue in panel (a).

the first nucleation after a full rupture:

231

 $T_n = \frac{\sigma(a-b)L_p}{\mu' V_{pl}} \log\left[\left(\frac{V_{co}}{V_{pl}}\right)^{\pi \frac{L_n}{L_p} - 1} + 1\right],\tag{8}$

where $t_0 = \sigma(a-b)_{vs}/k(L_p)V_{pl}$. $k(L_p)$ is the spring stiffness, given by $k = \mu'/L_p$ where L_p is the penetration distance of a rupture into the VS region, and proportional to W. The duration of a cycle can be estimated from the amount of slip required for a full rupture: since creep rate averaged across a cycle is simply V_{pl} , one can write

$$T_{full} = \phi \; S_{full} / V_{pl} \tag{9}$$

with S_{full} given by eq. 3 and $\phi = 0.71$ a factor accounting for the free surface (Supplementary Information, section 2). As shown in Supplementary Figure S3, this expression is a lower bound to the occurrence of full ruptures in the simulations, and the time to the first nucleation in the simulations is well approximated by eq. 8. The strong temporal clustering for W/L_{∞} is due to the fact that the nucleation timescale (eq. 8) and the full rupture timescale (eq. 9) differ by more than 6 orders of magnitude for large W/L_{∞} .

238

5 Implications for seismic hazard

In this study I explore the statistical properties of seismic sequences on a fault adjacent to creep. I show that: 1. larger faults are intrinsically less characteristic, with the fraction of system size ruptures decreasing as $\sqrt{L_{\infty}/W}$; 2. for sufficiently large W/L_{∞} , the frequency-size distribution approaches a truncated power-law, with a theoretical Gutenberg-Richter *b* value of 3/4; 3. the interevent times display Omori type clustering driven by afterslip.

On a fundamental level, the occurrence of partial ruptures is due to gradients in 245 the stress field: in this case, the 1/x decay of stress from a dislocation representing the 246 slip accumulated in the VS region (which results in the stress intensity factor $K_l \sim 1/\sqrt{x}$). 247 The observation that the stress concentration ahead of a propagating rupture is large 248 enough to make the rupture unstoppable (Rice, 1993; Ben-Zion, 2008) is valid if the fault 249 is relatively small, so that the difference between the stress at the nucleation point and 250 the minimum stress along the rupture path is less significant. At the bottom of the seis-251 mogenic zone, a-b can be close to 0 and the nucleation length may be rather large (of 252 the order of 0.1 - 1 km for the ageing law with $d_c \sim 0.1$ m $\sigma \sim 10$ MPa). This would 253 result in $W/L_{\infty} \lesssim 100$ and few ruptures per cycle, as found by Lapusta (2003) for age-254 ing law simulations with $d_c = 0.14$ mm. On the other hand, the nucleation length in 255

nature may be smaller than typically assumed in numerical studies due to smaller val-256 ues of d_c (close to typical laboratory values of 0.001–0.01 mm, Dieterich (1979); Marone 257 (1998)). This would promote larger W/L_{∞} , leading to more events per cycle and the power 258 law distributions emerging at $W/L_{\infty} \gtrsim 10^2 - 10^3$. For other frictional weakening mech-259 anisms, the ratio W/L_{crit} may be different. For example, the slip law tends to result in 260 smaller nucleation lengths (Ampuero & Rubin, 2008), favoring partial ruptures; but the 261 smaler fracture energy would instead promote full ruptures. Dynamic weakening also mod-262 ifies the fracture energy. Viesca and Garagash (2015) derived expressions for the fracture 263 energy due to thermal pressurization, and I verified that the recurrence interval of full 264 ruptures and its scaling with W can be estimated from the argument above (Cattania 265 & Segall, 2016), with their expression for fracture energy. 266

The results presented here are in agreement with, and generalize, previous numer-267 ical studies. Lapusta (2003) first showed that decreasing the value of d_c partial ruptures 268 appear, and their number decreases slowly with d_c ; this was later confirmed by Werner 269 and Rubin (2013). Recent studies found an increase in partial ruptures and complex-270 ity with fault dimension in subduction zones Herrendorfer et al. (2015) and 2-D antiplane 271 faults loaded from both sides Wu and Chen (2014); Erickson et al. (2011), confirming 272 that the results of the present study can be generalized to other fault geometries and fric-273 tional laws. 274

To summarize, I show that simple, isolated faults do not necessarily produce limit 275 cycles of characteristic and periodic ruptures. Power-law distributions commonly observed 276 in nature (Gutenberg-Richter distribution and Omori decay) can occur on a planar, ho-277 mogeneous fault as long as the ratio of its size to the nucleation length is large $(10^2 -$ 278 10³). Natural faults additionally present geometrical and frictional heterogeneity, which 279 can give rise to even more variability in rupture lengths and more complex temporal pat-280 terns; however, such heterogeneity is not *required* to arrest a rupture and produce com-281 plex seismic sequences. The fraction of characteristic ruptures is a decreasing function 282 of W/L_{∞} , with faults much larger than the nucleation length increasingly less charac-283 teristic. Simple energy arguments, which can be refined by considering the particular ge-284 ometry of interest, can provide insight into the statistics of earthquakes on a fault and 285 its seismic hazard. 286

287 Acknowledgments

- I would like to thank Paul Segall and Sebastian Hainzl for discussions and guidance through
- this work. The ANSS Comprehensive Earthquake Catalog can be downloaded at: https://earthquake.usgs.gov/data/c
- ²⁹⁰ C.C. was supported by the German Academic Exchange Service (DAAD) with funds from
- the German Federal Ministry of Education and Research (BMBF) and the People Pro-
- gramme (Marie Curie Actions) of the European Union's Seventh Framework Programme
- (FP7/2007-2013) under REA grant agreement no. 605728, and NSF award no. 1620496.

294 **References**

- Ampuero, J.-P., & Rubin, A. M. (2008, jan). Earthquake nucleation on rate and
 state faults Aging and slip laws. Journal of Geophysical Research, 113(B1),
 B01302. Retrieved from http://doi.wiley.com/10.1029/2007JB005082 doi:
 10.1029/2007JB005082
- Bak, P., & Tang, C. (1989, nov). Earthquakes as a self-organized critical phenomenon. Journal of Geophysical Research: Solid Earth, 94 (B11), 15635–
 15637. Retrieved from http://doi.wiley.com/10.1029/JB094iB11p15635
 doi: 10.1029/JB094iB11p15635
- Ben-Zion, Y. (2008, dec). Collective behavior of earthquakes and faults: Continuum discrete transitions, progressive evolutionary changes, and different dynamic
 regimes. *Reviews of Geophysics*, 46(4), RG4006. Retrieved from http://
 doi.wiley.com/10.1029/2008RG000260 doi: 10.1029/2008RG000260
- Ben-Zion, Y., & Rice, J. R. (1995, jul). Slip patterns and earthquake populations along different classes of faults in elastic solids. Journal of Geophysical Research: Solid Earth, 100(B7), 12959–12983. Retrieved from http://doi.wiley.com/10.1029/94JB03037 doi: 10.1029/94JB03037
- Burridge, R., & Knopoff, L. (1967). Model and theoretical seismicity. Bulletin of the Seismological Society of America, 57(3), 341–371.
- Cattania, C., & Segall, P. (2016). Earthquake cycles on rate-state faults: how does
 recurrence interval and its variability depend on fault length? *Poster Presenta- tion at 2016 SCEC Annual Meeting.*, 2016.
- Cattania, C., & Segall, P. (2018). Crack Models of Repeating Earthquakes Predict
 Observed Moment-Recurrence Scaling. doi: 10.1029/2018JB016056
- ³¹⁸ Corral, A. (2004, mar). Long-Term Clustering, Scaling, and Universality in the Tem-

319	por al Occurrence of Earthquakes. Physical Review Letters, 92(10),108501. Re-
320	trieved from https://link.aps.org/doi/10.1103/PhysRevLett.92.108501
321	doi: 10.1103/PhysRevLett.92.108501
322	Dal Zilio, L., van Dinther, Y., Gerya, T., & Avouac, JP. (2019, dec). Bimodal seis-
323	micity in the Himalaya controlled by fault friction and geometry. Nature Com-
324	munications, $10(1)$, 48. Retrieved from http://www.nature.com/articles/
325	s41467-018-07874-8 doi: 10.1038/s41467-018-07874-8
326	Dieterich, J. H. (1979). Modeling of Rock Friction Experimental Results and Consti-
327	tutive Equations. Journal Geophys. Res., 84(9), 2161–2168.
328	Erickson, B. A., Birnir, B., & Lavallée, D. (2011, oct). Periodicity, chaos and lo-
329	calization in a Burridge-Knopoff model of an earthquake with rate-and-state
330	friction. Geophysical Journal International, 187(1), 178–198. Retrieved
331	<pre>from https://academic.oup.com/gji/article-lookup/doi/10.1111/</pre>
332	j.1365-246X.2011.05123.x doi: 10.1111/j.1365-246X.2011.05123.x
333	Griffith, A. A. (1921). The phenomena of rupture and flow in solids. <i>Philo-</i>
334	sophical Transactions of the Royal Society A: Mathematical, Physical and
335	Engineering Sciences, 221 (582-593), 163–198. Retrieved from http://
336	rsta.royalsocietypublishing.org/cgi/doi/10.1098/rsta.1921.0006
337	doi: 10.1098/rsta.1921.0006
338	Hainzl, S., Scherbaum, F., & Beauval, C. (2006, feb). Estimating Background
339	Activity Based on Interevent-Time Distribution. Bulletin of the Seis-
340	mological Society of America, 96(1), 313–320. Retrieved from https://
341	pubs.geoscienceworld.org/bssa/article/96/1/313-320/146796 doi:
342	10.1785/0120050053
343	Herrendorfer, R., van Dinther, Y., Gerya, T., & Dalguer, L. A. (2015). Earthquake
344	supercycle in subduction zones controlled by the width of the seismogenic
345	zone. Nature Geosci, 8(6), 471-474. Retrieved from http://dx.doi.org/
346	10.1038/ngeo2427{%}5Cn10.1038/ngeo2427{%}5Cnhttp://www.nature.com/
347	ngeo/journal/v8/n6/abs/ngeo2427.html{#}supplementary-information
348	doi: $10.1038/ngeo2427$
349	Irwin, G. (1957). Analysis of Stresses and Strains Near the End of a Crack Travers-
350	ing a Plate. Journal of Applied Mechanics, 24 (Sep), 361–364. doi: noDOI

³⁵¹ Kagan, Y. Y., Jackson, D. D., & Geller, R. J. (2012). Characteristic Earthquake

352	Model, 1884-2011, R.I.P. Seismological Research Letters, 83(6), 951–953.
353	Retrieved from http://srl.geoscienceworld.org/cgi/doi/10.1785/
354	0220120107 doi: 10.1785/0220120107
355	Lapusta, N. (2003). Nucleation and early seismic propagation of small and large
356	events in a crustal earthquake model. Journal of Geophysical Research, $108, 1-$
357	18. doi: 10.1029/2001JB000793
358	Lapusta, N., Rice, J. R., Ben-Zion, Y., & Zheng, G. (2000, oct). Elastodynamic
359	analysis for slow tectonic loading with spontaneous rupture episodes on faults
360	with rate- and state-dependent friction. Journal of Geophysical Research:
361	Solid Earth, 105(B10). Retrieved from http://doi.wiley.com/10.1029/
362	2000JB900250 doi: 10.1029/2000JB900250
363	Li, S., Barnhart, W. D., & Moreno, M. (2018). Geometrical and Frictional Effects
364	on Incomplete Rupture and Shallow Slip Deficit in Ramp-Flat Structures. Geo-
365	physical Research Letters, $45(17)$, 8949–8957. doi: 10.1029/2018GL079185
366	Marone, C. (1998, may). Laboratory-Derived Friction Laws and Their Application
367	To Seismic Faulting. Annual Review of Earth and Planetary Sciences, 26(1),
368	643-696. Retrieved from http://www.annualreviews.org/doi/abs/10.1146/
369	annurev.earth.26.1.643 doi: 10.1146/annurev.earth.26.1.643
370	Mulargia, F., Stark, P. B., & Geller, R. J. (2017, mar). Why is Probabilistic Seismic
371	Hazard Analysis (PSHA) still used? Physics of the Earth and Planetary Interi-
372	ors, 264, 63-75. Retrieved from https://www-sciencedirect-com.stanford
373	.idm.oclc.org/science/article/pii/S0031920116303016 doi: $10.1016/J$
374	.PEPI.2016.12.002
375	Olami, Z., Feder, H. J. S., & Christensen, K. (1992, feb). Self-organized criticality in
376	a continuous, nonconservative cellular automaton modeling earthquakes. $Physi-$
377	cal Review Letters, 68(8), 1244-1247. Retrieved from https://link.aps.org/
378	doi/10.1103/PhysRevLett.68.1244 doi: 10.1103/PhysRevLett.68.1244
379	Page, M. (2010). Reply to Schwartz and Open Discussion. Seismol. Res. Lett, 81,
380	331.
381	Page, M., & Felzer, K. (2015, aug). Southern San Andreas Fault Seismicity is Con-
382	sistent with the GutenbergRichter Magnitude Frequency Distribution. $Bulletin$
383	of the Seismological Society of America, 105(4), 2070–2080. Retrieved from
384	https://pubs.geoscienceworld.org/bssa/article/105/4/2070-2080/

385	331981 doi: 10.1785/0120140340
386	Parsons, T., Geist, E. L., Console, R., & Carluccio, R. (2018, dec). Characteristic
387	Earthquake Magnitude Frequency Distributions on Faults Calculated From
388	Consensus Data in California. Journal of Geophysical Research: Solid Earth,
389	123(12), 2018JB016539. Retrieved from https://onlinelibrary.wiley.com/
390	doi/abs/10.1029/2018JB016539 doi: 10.1029/2018JB016539
391	Parsons, T., Ogata, Y., Zhuang, J., & Geist, E. L. (2012). tests. , 1425–1440. doi: 10
392	.1111/j.1365-246X.2011.05343.x
393	Parsons, T., & Velasco, A. A. (2009). On near-source earthquake triggering. Octo-
394	ber, 114, 1–14. doi: 10.1029/2008 JB006277
395	Perfettini, H. (2004). Postseismic relaxation driven by brittle creep: A possi-
396	ble mechanism to reconcile geodetic measurements and the decay rate of
397	aftershocks, application to the Chi-Chi earthquake, Taiwan. J. Geophys
398	Res., 109(B2), B02304. Retrieved from http://doi.wiley.com/10.1029/
399	2003JB002488 doi: 10.1029/2003JB002488
400	Qiu, Q., Hill, E. M., Barbot, S., Hubbard, J., Feng, W., Lindsey, E. O., Tappon-
401	nier, P. (2016). The mechanism of partial rupture of a locked megathrust: The
402	role of fault morphology. $Geology$, 44 (10), 875–878. doi: 10.1130/G38178.1
403	Rice, J. R. (1993). Spatio-temporal complexity of slip on a fault. Journal of Geo-
404	physical Research, 98(B6), 9885. doi: 10.1029/93JB00191
405	Rubin, A. M., & Ampuero, J. (2005). Earthquake nucleation on (aging) rate and
406	state faults. Journal of Geophysical Research, $110(2)$, 1–24. doi: 10.1029/
407	2005JB003686
408	Saichev, A., & Sornette, D. (2007). Theory of earthquake recurrence times. Journal
409	of Geophysical Research: Solid Earth, 112(4). doi: 10.1029/2006JB004536
410	Schwartz, D. P. (2010). Do large earthquakes on faults follow a Gutenberg-Richter
411	or characteristic distribution?: a characteristic view. Seismol. Res. Lett, 81,
412	331.
413	Stirling, M., & Gerstenberger, M. (2018, apr). Applicability of the Gutenber-
414	gRichter Relation for Major Active Faults in New Zealand. Bulletin of
415	the Seismological Society of America, 108(2), 718–728. Retrieved from
416	https://pubs.geoscienceworld.org/ssa/bssa/article/108/2/718/
417	529108/Applicability-of-the-GutenbergRichter-Relation-for doi:

418	10.1785/0120160257
419	Tada, H., Paris, P. C., & Irwin, G. R. (2000). The Stress Analysis of Cracks Hand-
420	book. Hellertown PA: Del Research Corp. doi: 10.1115/1.801535
421	Tse, S. T., & Rice, J. R. (1986, aug). Crustal earthquake instability in relation
422	to the depth variation of frictional slip properties. Journal of Geophysical
423	Research, 91(B9), 9452. Retrieved from http://doi.wiley.com/10.1029/
424	JB091iB09p09452 doi: 10.1029/JB091iB09p09452
425	Viesca, R. C., & Garagash, D. I. (2015). Ubiquitous weakening of faults due to ther-
426	mal pressurization. Nature Geosci, 8(October). doi: 10.1038/NGEO2554
427	Werner, M., & Rubin, A. (2013, dec). Mechanical Erosion of the Seismogenic Zone
428	by Creep from below on Rate-and-State Faults. In Agu fall meeting abstracts.
429	San Francisco.
430	Wu, Y., & Chen, X. (2014). The scale-dependent slip pattern for a uniform fault
431	model obeying the rate- and state-dependent friction law. Journal of Geophys-
432	ical Research: Solid Earth, 119(6), 4890–4906. doi: 10.1002/2013JB010779