

1 Institutional barriers to food safety

2 in the urban irrigated vegetable value chain in Accra, Ghana

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16 Abstract

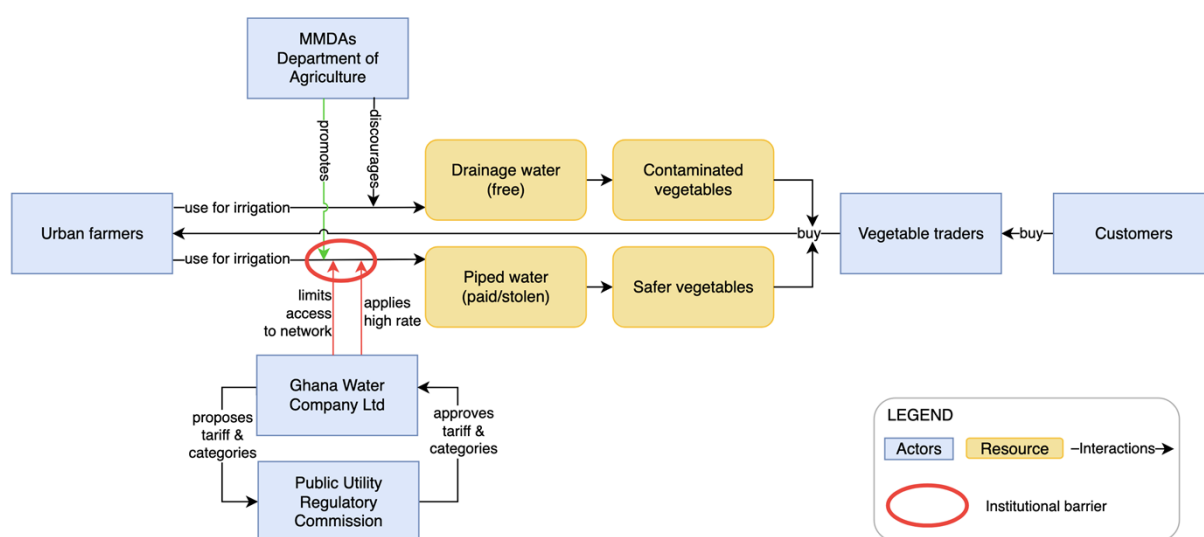
17 The faecal contamination of irrigation water threatens public health. Although safe practices
18 can mitigate hygiene and food safety risks along the urban irrigated vegetable value chain,
19 their adoption remains limited. A behaviour framework was combined with a participatory
20 approach to explore how institutions influence farmers' capability, opportunity and

21 motivation to adopt safe practices in Accra, Ghana. After extensive preparation, a dialogue
22 engaged stakeholders and institutions in identifying the actors and interactions influencing
23 stakeholder practices. We find that institutional dynamics hinder farmers' opportunity and
24 motivation to adopt safe practices. Knowledge gaps created by top-down approaches and
25 sectoral silos were bridged by engaging participants in conducting the behavioural diagnosis.
26 This shared understanding enables participants to co-design arrangements that make safe
27 practices easier to adopt.

28 Keywords

29 Sanitation, urban agri-food systems, multiple barrier approach, risk management, COM-B,
30 Companion Modelling

31 Graphical abstract (optional)



33 Introduction

34 Over 1.7 billion people in cities of Low- and Middle-Income Countries (LMICs) do not have
35 access to safely managed sanitation (1). This leads to the faecal contamination of surface
36 water that urban farmers need to irrigate the vegetables they supply to these cities (2–4).
37 Perishable vegetables, essential to a healthy diet, are expensive and difficult to transfer from
38 rural areas to cities without adequate cold transport and storage (5,6). Consequently, urban
39 and peri-urban farmers produce most of the leafy greens consumed in cities and do so year-
40 round thanks to irrigation (7). Many of these leafy greens are consumed raw as salads or
41 toppings on other dishes, making raw produce and street food leading exposure pathway to
42 faecal contamination in many LMIC cities (8,9), exposing farmers, vegetable traders, street
43 food vendors and consumers to diarrhoeal and parasitic infections (8–11). Contaminated
44 vegetables contribute to 420,000 annual deaths and 600 million illnesses from foodborne
45 diseases, costing over USD 100 billion in LMICs (12,13). Moreover, pathogens can also lead to
46 severe public health crises, such as the 2024 cholera outbreak in Ghana, which led authorities
47 to ban vegetable sales (14). Food security and nutritious diets must not come at the expense
48 of food safety (15,16).

49 While achieving universal, safely managed sanitation remains a long-term challenge (17), the
50 World Health Organization’s multiple-barrier approach recommends that stakeholders adopt
51 safe practices to mitigate the risk of faecal contamination along urban irrigated vegetable
52 value chains, ‘from farm to fork’ (18). Practices include simple on-farm water treatment and
53 non-treatment options, such as growing crops not eaten raw, adopting different irrigation
54 regimes, or washing vegetables safely. Despite its benefits, the uptake of this approach

55 remains low (19). The *COM-B* behavioural model posits that adoption requires stakeholders
56 to have the *Capabilities, Opportunities, and Motivations* to perform safe *Behaviours* (20). Top-
57 down approaches and barriers between water, agriculture and health sectors prevent
58 comprehensive identification of stakeholders' Capability, Opportunity, and Motivation needs
59 (21). Additionally, misaligned priorities lead institutions to hinder stakeholders' capabilities
60 and opportunities to adopt safe practices recommended by other institutions (22).

61 Accra's urban farmers face this situation. Agricultural extension agents from the Municipal
62 Departments of Agriculture and Public Health motivate farmers to use piped water instead of
63 surface water to reduce faecal contamination (23). However, the water utility often hesitates
64 to connect farmers willing to use piped water to the piped network, prioritising domestic
65 customers (23). Since under 10% of faecal sludge is treated, over a thousand farmers use
66 contaminated water to irrigate leafy greens, and about 15,000 street food vendors serve
67 these vegetables raw to over 85% of the city's population (24–28). Contaminated vegetables
68 are a primary source of faecal exposure for adults and children in Accra, as well as many LMIC
69 cities, where the burden of foodborne diseases is likely to increase as diets transition to
70 include more raw vegetables (12,27,29,30).

71 This study aimed to diagnose the institutional factors hindering farmers' *capability,*
72 *opportunity* and *motivation* to adopt safe irrigation practices. We invited stakeholders and
73 institutional representatives to dialogue, share experiences and develop a shared
74 understanding of the challenges. To our knowledge, this study is the first to combine a
75 behavioural framework and a participatory approach for stakeholders and institutions to co-
76 develop a systematic and comprehensive evidence-based behavioural diagnosis and
77 actionable insights to implement a multiple barrier approach. These insights are key to co-

78 designing arrangements that enable farmers and other stakeholders to enhance hygiene and
79 food safety. The process and insights are also relevant for the many cities where irrigated
80 vegetable value chains depend on contaminated water.

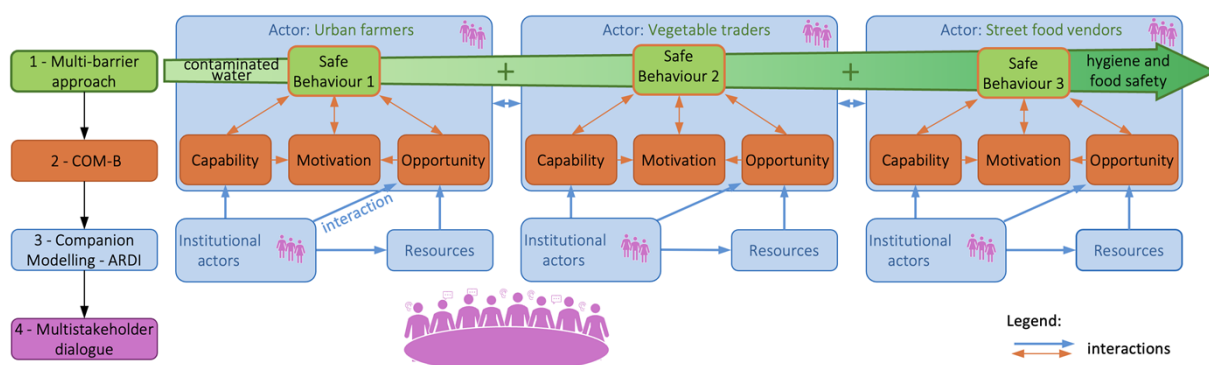
81 The subsequent method section outlines how we integrated WHO's multiple barrier
82 approach, the COM-B behavioural framework and a participatory method in a
83 multistakeholder dialogue. We then present participants' perspectives, analyse institutional
84 influences, and elaborate on the shared understanding that emerged from the dialogue. We
85 reflect on the process before concluding.

86

87 Methods

88 This study combines a behavioural theoretical framework and a participatory approach to
89 analyse how institutional obstacles hinder hygiene and food safety along Accra's irrigated
90 vegetable value chain. The following section describes how these concepts complement each
91 other (see also Figure 1).

92



93 *Figure 1 - Integrative conceptual framework for exploring institutions' influence on*
94 *stakeholders adopting safe practices to increase hygiene and food safety*

95 To protect public health, the WHO recommends a multiple-barrier approach whereby
96 stakeholders adopt a combination of safe practices 'from farm to fork' (18). Using the COM-B
97 behavioural framework ensures all factors influencing stakeholders' adoption of these safe
98 practices are considered. The Companion Modelling participatory approach helps
99 operationalise the COM-B framework by enabling stakeholders and institutional
100 representatives to participate in a dialogue to collectively develop a shared understanding of
101 the *Actors, Resources, Dynamics and Interactions* (ARDI) that influence stakeholders'
102 capability, opportunity and motivation to adopt safe practices. This strategy provides a
103 systematic and comprehensive evidence-based behavioural diagnosis and actionable insights,
104 which are prerequisites to designing an effective and sustainable intervention.

105 A previous formative study tracked vegetables from farm to fork, interviewing stakeholders
106 along the value chain about their water- and food safety-related practices and the institutions
107 that influence these (23). Here, "stakeholders" refers to farmers using contaminated water,
108 traders buying and selling farmers' vegetables, street food vendors, and consumers.

109 "Institutions" are the organisations, formal or informal, that determine the rules influencing
110 stakeholders' adoption of safe practices. Institutions mentioned by stakeholders include the
111 Departments of Agriculture of the Metropolitan, Municipal and District Assemblies (MMDAs),
112 the water utility Ghana Water Company Ltd (GWCL), the Food and Drug Authority, whose
113 representatives were subsequently interviewed. Analysis of these 75 interviews revealed that
114 sectoral barriers leave intervening institutions unaware that institutions with other priorities
115 may hinder stakeholders' adoption of the safe practices they recommend.

116 *COM-B framework*

117 Theory-based behaviour models help practitioners ensure they consider all factors influencing
118 stakeholder behaviour. The COM-B model posits that for a stakeholder to adopt a safe
119 practice or behaviour, they need to have the *Capability, Opportunity* and *Motivation* to
120 perform that *Behaviour* (20). Capability, physical and psychological, includes the strength,
121 skill, or knowledge needed to perform a behaviour. Opportunity, social and physical, refers to
122 the external factors that make a behaviour possible or easier to perform, such as
123 infrastructure or resource accessibility. Motivation refers to the mental processes, automatic
124 or reflective, that drive behaviour performance. The Capabilities and Opportunities influence
125 the Motivation, all three influencing and being influenced by the Behaviour, as illustrated in
126 Figure 1. This model synthesises earlier models into a simple yet robust framework to
127 understand what influences behaviour. Behavioural diagnosis is structured into diverse
128 sectors, including some related to sanitation and hygiene (31), food safety (32), and policy
129 development (33).

130 *Companion Modelling approach and the ARDI method*

131 This study draws on the Companion Modelling participatory approach (34,35) to facilitate
132 behavioural diagnosis. It uses dialogue between stakeholders, institutions and researchers to
133 enable them to learn from each other, provide continuous feedback and develop a shared
134 understanding of the factors influencing the adoption of safe practices along the value chain.
135 In particular, the study employs the ARDI method, one of the first steps of the Companion
136 Modelling approach whereby participants identify the Actors, Resources, Dynamics and
137 Interactions that shape a value chain (36). Systematically analysing these through the lens of

138 the COM-B model addresses the knowledge gaps around mechanisms by which institutions
139 may impede stakeholders' adoption of safe practices along a value chain.

140 *Multistakeholder dialogue*

141 A multistakeholder dialogue was hosted at the International Water Management Institute
142 (IWMI) in Accra on 13 September 2023. Beginning on 24 August 2023, invitations outlining its
143 purpose and agenda were hand-delivered to urban farmers, vegetable traders and street food
144 vendors involved in prior fieldwork. Invitations were also distributed to the directors of 17
145 institutions. Follow-up calls confirmed participants' attendance. The purpose and agenda
146 were verbally clarified, and invitees who could not attend were requested to delegate a
147 substitute. Almost all invitees responded positively. IWMI's history of action research in
148 collaboration with urban farmers, government bodies, and research institutions in Greater
149 Accra likely contributed to the high attendance. Previous interactions with farmers also
150 fostered trust and engagement. Unfortunately, most vegetable traders and street food
151 vendors could not attend due to timing conflicts with their income-generating activities. Some
152 institutions delegated representatives from different services, while others assigned
153 representatives at the last minute who lacked a proper briefing.

154 The dialogue brought together 29 participants (14 females and 15 males) representing 21
155 stakeholder groups and institutions (Table 1). During registration, participants provided
156 contact details to record attendance and facilitate follow-up. They were reminded of the
157 dialogue's objectives and informed that the session would be audio-recorded for research
158 purposes before giving their informed consent.

159

160 *Table 1 Participants in the multistakeholder dialogue*

| Stakeholder group | Institution | Number of participants |
|---|--|------------------------|
| Farmers | Fiesta Royal farm | 1 |
| | Atomic Vegetable Farmers Association | 1 |
| | Dzorwulu farm | 2 |
| | Plant Pool farm | 1 |
| Wholesalers | Eden Tree Ltd | 1 |
| Local government | Accra Metropolitan Assembly, Department of Agriculture | 1 |
| | Accra Metropolitan Assembly, Department of Public Health | 1 |
| | Ayawaso West Municipal Assembly, Department of Agriculture | 1 |
| | La Dade Municipal Assembly, Department of Agriculture | 1 |
| National government & other public services | Environment Protection Agency (EPA) | 1 |
| | Food and Drug Authority (FDA), Food safety and Consumer Education | 1 |
| | Ghana Green Label | 1 |
| | Ghana Water Company Limited | 1 |
| | Land Use and Spatial Planning Authority (LUSPA) | 1 |
| | Ministry of Food & Agriculture, Directorate of Agricultural Extension Services (MoFA-DAES) | 3 |

| | | |
|--------------|--|----|
| | Ministry of Food & Agriculture, Directorate of Crop Services (MoFA-DCS) | 2 |
| | Ministry of Food & Agriculture, Women in Agricultural development (MoFA-WIAD) | 2 |
| | Ministry of Local Government, Decentralisation and Rural Development (MLGDRD) | 1 |
| | Ministry of Sanitation and Water Resources (MSRW) | 1 |
| Research | International Water Management Institute (IWMI) | 4 |
| institutions | University of Ghana | 1 |
| TOTAL | 21 | 29 |

161

162 The dialogue lasted five hours, facilitated by two researchers with expertise in agro-

163 hydrology, wastewater, food safety, and microbiology. The lead facilitator is the paper's first

164 author, who is also trained in COM-B and Companion Modelling. Most participants were

165 previously interviewed by the lead facilitator, offering continuity. Water-related practices

166 affecting hygiene and food safety 'from farm to fork' were presented to participants,

167 highlighting contamination sources and the economic burden of foodborne diseases. The

168 institutional barriers to the adoption of safe practices, identified during prior interviews, were

169 introduced by the lead facilitator. These included poor access to safe water for irrigation and

170 safe vegetable washing, lack of infrastructure and land tenure insecurity. Participants were

171 invited to share their perspectives and respond to each other's viewpoints, while the

172 facilitators guided the discussion to identify the Actors, Resources, Dynamics, and Interactions

173 at play for each barrier. Participants mentioned underlying factors and proposed and

174 evaluated potential solutions. To ease farmers' reluctance to challenge authorities, the lead
175 facilitator agreed to present sensitive issues on their behalf.

176 Participants engaged in a candid and productive dialogue, remaining in a group discussion
177 rather than breaking into activities as planned. The dialogue concluded with the participants
178 summarising key insights, which the facilitators recorded on flip charts. Participants
179 appreciated that such a range of stakeholders and institutions had agreed to discuss often-
180 overlooked food safety concerns. As the Eden Tree representative stated: "Thank you very
181 much for inviting me to this. I'm actually enlightened because, for so long, my perception was
182 that nobody cared ... [So much work has been done] but we don't know".

183 The dialogue transcript was manually coded to identify the Actors, Resources, Dynamics, and
184 Interactions mentioned by participants. The coded data were then analysed using the COM-B
185 model to systematically identify institutional barriers that affect stakeholders' Capability,
186 Opportunity, and Motivation to adopt safe practices, which are discussed in the next section.
187 To protect participants from potential negative consequences, statements on sensitive topics
188 are attributed generically (e.g., 'a participant stated...') rather than to specific individuals. In
189 some cases, attribution was not possible due to the dynamic nature of discussions involving
190 multiple participants, where identifying individual speakers was not feasible.

191

192 *Ethics statement*

193 This study conformed with ethical protocols, which were approved by Loughborough
194 University (ref 2022-7727-12403) and IWMI's Institutional Review Board in Ghana (ref
195 2023_04).

196

197 Results and discussion

198 *Stakeholders' initial perspectives*

199 The Departments of Agriculture and Public Health view urban farmers using piped water for
200 irrigation as an easy solution to reduce faecal contamination and protect public health.

201 Agricultural Extension Agents occasionally condition farmers' access to training, subsidies, and
202 awards on their adoption of this safe practice. These Departments attribute low compliance
203 to farmers' limited awareness of risk and lack of incentives, prompting them to prefer the
204 uninterrupted supply of free surface water from canals over the safer yet charged for and
205 intermittent supply of piped water.

206 GWCL's perspective is best reflected by a statement one of their representatives made in a
207 formative interview, and that was repeated in the dialogue:

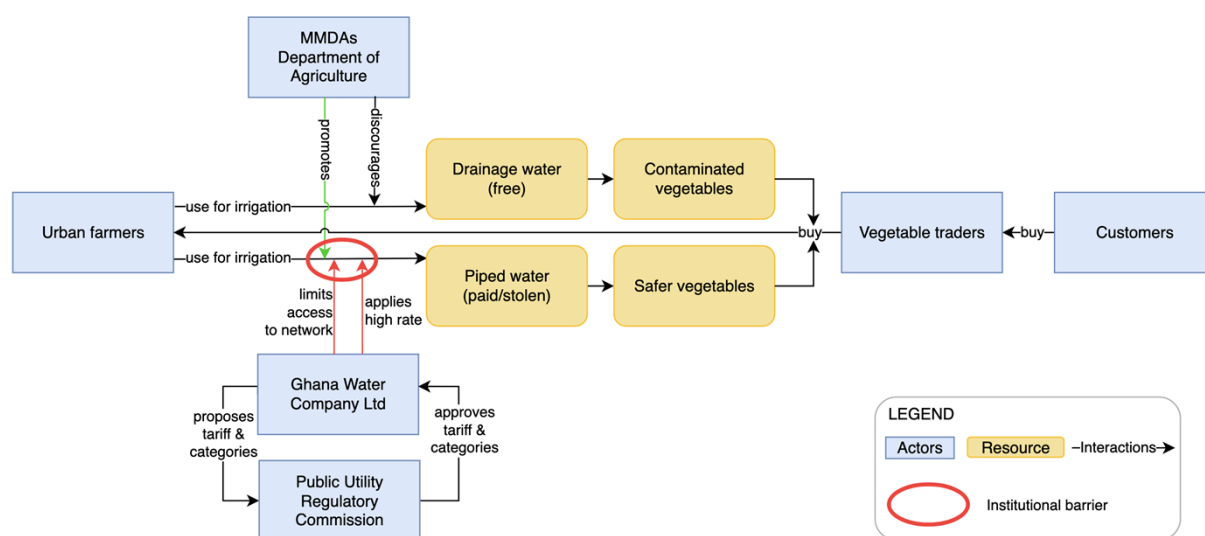
208 When they [farmers] apply [for a connection], we do install, but we are not
209 encouraged to connect them ... because they will be billed at the commercial rate, and
210 they can't pay. They can also leave their farm. The tendency for them to steal [piped
211 water] is always there because of the rate [charged]. Just recently, in February-March
212 [2023], the tariff has been increased by 167%. Even companies and other commercial
213 users are finding it very difficult [to pay], let alone peasant farmers... We encourage
214 them to sink boreholes.

215 Farmers using surface water argue that the intermittent supply of piped water disrupts their
216 irrigation schedules. Those relying on piped water confirmed doing so only because they

217 cannot access surface water, raising complaints about issues including pipe bursts and
 218 infrastructure failures, leaving them without water for weeks. Their main concern is the rising
 219 cost of piped water, which makes bill payment difficult and can lead to disconnection.
 220 Reopening the connection involves long delays and extra fees. Utility agents may charge taxi
 221 fares and repair costs for interventions in the public domain, a common practice among
 222 underfunded public utilities (37).

223 *Developing a shared understanding of the problem*

224 Farmers saw their water bill increase in February 2023, unaware of GWCL's lower “non-
 225 residential” rate, which capped other small businesses at 8.3%. GWCL learned that local
 226 agricultural and health officials were promoting piped water for irrigation to enhance food
 227 safety. Other officials discovered that GWCL did not systematically connect farmers to the
 228 piped network and had received approval from the Public Utility Regulatory Commission
 229 (PURC) to charge a substantially higher rate than that for households or small businesses. The
 230 actors, resources and interactions discussed during the multistakeholder dialogue are
 231 modelled in Figure 2.



233 *Figure 2 How actors and their interactions influence farmers' opportunity to use piped water*

234 Agricultural officers, empathising with farmers, questioned the higher rate. The GWCL
235 representative explained: "As long as you're using the water to enhance your business, you
236 have to be on the commercial [rate]", before adding:

237 Our priority is to supply water for domestic purposes and commercial ... Initially, we
238 didn't supply them [farmers] with water ... But we realised that they also need the
239 water ... They're metered, but they don't pay ... Certain areas like Plant Pool, they use
240 a shared meter. So, if a farmer decides not to pay, ... we have to cut supply to the area
241 ... The intermittent supply, we can't do anything about it. The raw water source that
242 we have is a lot, but the infrastructure to treat this water and supply it to our
243 customers is a challenge.

244 GWCL has increased tariffs despite abundant raw water resources to address rising
245 production costs and utility debt. These have been exacerbated by a devalued national
246 currency and a high non-revenue water ratio largely due to ageing infrastructure (38,39).

247 Local GWCL officers know that urban farmers struggle to pay for water, but the utility
248 prioritises cost recovery. This strategy discourages farmers who use surface water from
249 switching to piped water and incentivises those who use piped water to steal it or revert to
250 using surface water. As a farmer emphasised:

251 Today [I pay] 2000 [cedi per month, about USD174 at the time], tomorrow 3000,
252 5000, 7000! All the farmers, it is just like we are stuck. ... If you don't make it
253 affordable for me to work, either I steal from Ghana Water, or I use the stream [i.e.,
254 surface water]. If you make it affordable for me, I'll pay. But if you don't make it
255 affordable, I'll use the alternative.

256 Some farmers lost their motivation to use piped water and reverted to surface water years
257 ago when availability or cost became too challenging. Those without access to surface water
258 lose the opportunity to irrigate altogether and reduce production, losing their livelihood and
259 leaving the market to those using contaminated water. In both cases, hygiene and food safety
260 are jeopardised.

261 Participants fear decision-makers underestimate the problem, not realising that all consumers
262 may be exposed to contaminated vegetables and that urban farmers' irrigation practices are
263 key. As a MoFA representative pointed out:

264 We are all at risk because we don't know what we are eating. You can go to a hotel
265 [restaurant], you don't know where they are sourcing their vegetables from, because I
266 have seen people buying at places and their vehicles packed far away. So then you
267 don't associate them with the source where they are buying these vegetables ... Now
268 the chemical [contaminants take time] ... but the microbial will kill you.

269 Through the multistakeholder dialogue, participants developed a shared understanding of the
270 institutional barriers affecting farmers' access to piped water, bridging knowledge gaps across
271 sectors. This process revealed how pricing policies, infrastructure limitations, and regulatory
272 constraints shaped farmers' decisions, helping to map the system dynamics. These insights lay
273 the foundation for participants to propose potential solutions while better accounting for
274 their respective constraints.

275 *Co-designing solutions and discussing their feasibility*

276 Participants proposed solutions for farmers to use safer water and discussed their feasibility
277 based on their respective experiences and perspectives. The GWCL representative advised

278 farmers to drill boreholes for groundwater, but concerns arose over salinity risk and high
279 upfront costs. Participants noted landowners are hesitant to allow drilling, fearing installing
280 permanent infrastructure may grant farmers land rights. A farmer claimed that even if his
281 landowner agreed to a borehole, and he managed to secure the investment and treat the
282 salinity, “When, tomorrow, one big man comes for the land, where am I taking the borehole?
283 He’s the one who is going to benefit!”

284 Land tenure insecurity hinders farmers’ opportunity and motivation to invest in equipment
285 that would make irrigation safer, as a director of MMDA’s Department of Agriculture pointed
286 out:

287 The land for farming within Accra ... on paper, there's a green belt. On the ground,
288 there's no green belt; it's gone. And so, my farmers understand they don't have the
289 land anymore ... We look at ways of intensifying productivity per unit area so that they
290 can still get something out of it for their livelihoods. They don't invest beyond that.
291 Irrigation, they'll buy pumping machines, they'll buy sprinklers [spray tubes] because
292 you can roll it up and take it away. They will not dig a borehole because it is expensive,
293 and tomorrow the landowner will come for their land.

294 Urban farmers cultivate mostly undeveloped public or customary land, typically under power
295 lines and near railway tracks and surface water (40). Families with traditional authority own
296 most of the land, while MMDAs regulate its use (41). A Land Use and Spatial Planning
297 Authority (LUSPA) representative and a University of Ghana researcher noted that a 2020 law
298 supports MMDAs in preventing unauthorised land-use changes. However, outdated plans,
299 land speculation, financial interests, and miscommunication hinder enforcement, leading to
300 rezoning de facto floodable open spaces near streams into residential areas (41).

301 A participant proposed a piped network could supply raw water to farmers, as in other
302 countries. However, others responded that laying pipes is costly and fixing the existing
303 treated water network should be prioritised. Some suggested rainwater harvesting, but the
304 cost and space for storage between the two rainy seasons could be prohibitive.

305 Representatives of the Departments of Agriculture indicated that they favour backyard and
306 school gardening, where there is better access to land and piped water, to address the
307 demand for safer vegetables from households and schools. MoFA's Women in Agricultural
308 Development (WIAD) directorate develops educational material for this purpose.

309 Another participant suggested subsidising piped water for irrigation:

310 If we have to look at some social protection measures, we can redirect it to maybe
311 reducing the tariffs on these farmers who use potable water, and then we can pick it
312 from there [... but if the rates are high, then vices will just creep in, and we won't win.

313 Some participants suggested GWCL provide an affordable tariff for farmers, as it does for
314 other businesses:

315 Ghana Water Company must just change their policy. Ghana Water can go to
316 parliament and PURC [the Public Utility Regulatory Commission] and say it's about
317 time we provide water for irrigation for our own safety and well-being ... And water
318 and sewage must be up and running. It's about time!

319 Interestingly, this is a rare instance in which participants acknowledged ineffective sanitation.
320 During formative interviews, most representatives outside of agriculture blamed farmers for
321 using contaminated water rather than questioning why the water had become so polluted.

322 The role of the Public Utility Regulatory Commission (PURC) is to rule on GWCL’s proposed
323 new tariff structure and customer categories. PURC ratified moving from the *commercial* to
324 the newly created *non-residential* rate for any “small and medium scale non-domestic
325 customers that use water for drinking and sanitary purposes only, including churches,
326 mosques, faith-based organisations, registered non-profit organisations, schools, hospitals,
327 barbering shops, welding, carpentry and allied businesses, water sellers, shops and offices
328 including firms and retailers” (PURC website, n.d.). PURC then redefined *commercial*
329 customers as “entities and businesses that use water as a significant input in their operations
330 other than for drinking and sanitary purposes such as hotels, restaurants, chop bars, fuel
331 stations, airports, financial institutions, washing bays, laundries, block factories, hair and
332 beauty salons and public toilets” (PURC website, n.d.). Finally, PURC approved a smaller
333 increase for non-residential customers than requested by GWCL, while exceeding GWCL’s
334 request for commercial customers. PURC’s consideration that water is not a significant input
335 for water sellers and that public toilets do not use water for “sanitary purposes”, denotes the
336 flexibility of the categorisation. These arrangements arguably aim to shield domestic
337 customers and small businesses from the major tariff increase, while relying on more affluent
338 customer categories to improve GWCL’s cost recovery.

339 Keeping urban farmers in the commercial category does not substantially help GWCL’s cost
340 recovery but thwarts the Departments of Agriculture and Health’s efforts to improve hygiene
341 and food safety. While the government subsidises seeds and fertilisers to support the
342 agricultural sector (43), the water tariff is a bottleneck for urban agriculture and food safety,
343 raising public health risks. Moreover, GWCL disincentivising the use of safe water for non-
344 drinking purposes arguably contradicts its mission to meet urban customer demand and

345 protect health and safety (44). Conversely, applying an affordable rate would reduce the
346 number of unpaid bills by farmers and illegal connections, motivate more farmers to adopt
347 piped water, increase GWCL revenue, and improve hygiene and food safety.

348 A MoFA representative suggested involving the Ghana Irrigation Development Authority
349 (GIDA) to share their experience with GWCL regarding farmers paying for irrigation water:

350 The Ghana Irrigation Development Authority ... has a way where farmers pay for
351 irrigation service charges ... They pay for fuel to draw water from the source ... So, I
352 think GIDA and the Ghana Water Company should [have] a high-level discussion so
353 that the two of them will see how GIDA does that for farmers ... and replicate the
354 same treatment down to the farmers, as far as Ghana Water Company is concerned.

355 GIDA was not invited to the dialogue because, during formative interviews, its representative
356 stated that urban irrigated agriculture fell outside its scope. The representative cited the
357 institution's lack of regulatory power, especially over informal practices. However, GIDA's
358 experience could help GWCL work with urban farmers. Another MoFA representative noted
359 GIDA's collaboration with traditional authorities to secure land tenure, which could assist the
360 Municipalities in supporting urban farmers and increasing food sovereignty and safety.

361 GWCL's customer categorisation, MMDAs' lack of enforcement of land use regulation, and
362 GIDA's disengagement raise the question of institutions' own capabilities, opportunities and
363 motivations to play their part in enabling the adoption of safe practices that improve food
364 safety and public health. GWCL and PURC may not have the motivation to apply the "non-
365 residential" rate to urban farmers. If this is the case, then MoFA may not have the
366 opportunity to bring the matter to parliament, nor have the motivation to develop a strategy

367 to support safer urban irrigated agriculture, nor the knowledge and skills specific to urban
368 agriculture. Consequently, municipalities may not have the motivation and capabilities to
369 enforce land-use regulations, or allocate adequate resources to allow Departments of
370 Agriculture to support urban agriculture. A Director of a Department of Agriculture explained:
371 “When I went to the Municipal Assembly the first time, the budget officer didn't want to
372 listen to anything agriculture”. Another added:

373 The challenge is that the voice of agriculture is not too strong, and it is not too valued
374 or recognised. Because we as a nation talk agriculture [but] we don't have agriculture
375 in our hearts, we don't have agriculture in our minds. Even though we say we are an
376 agricultural country, it's just on paper ... Our money doesn't go into agriculture.

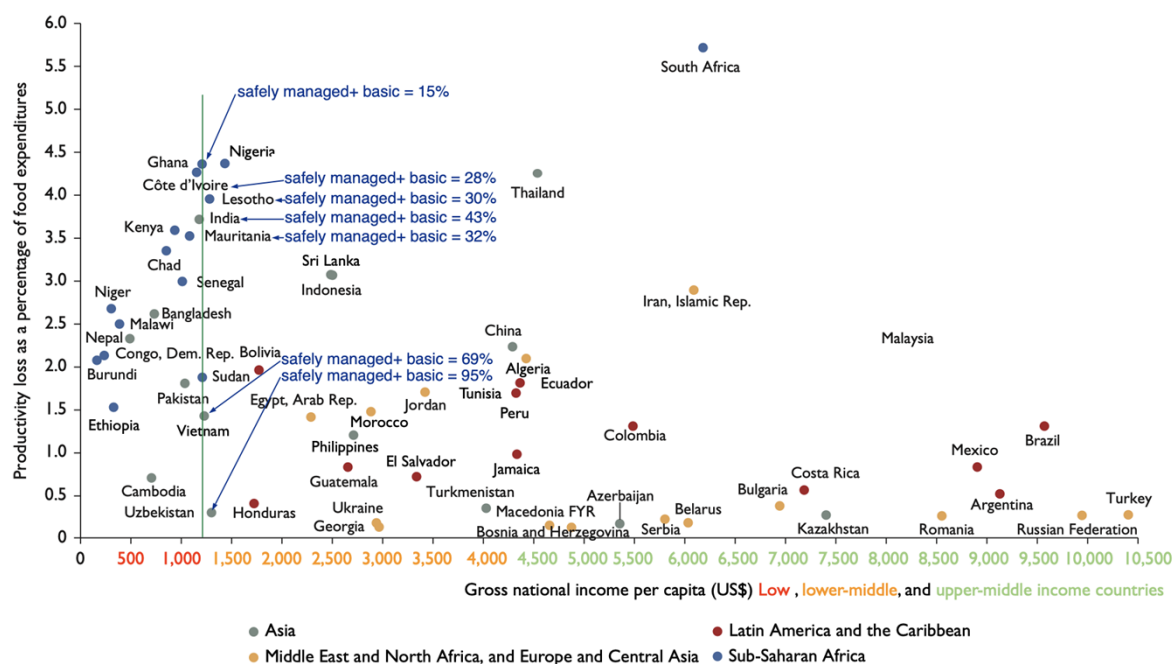
377 Another participant gave an example:

378 You go to a farm today, tomorrow ... there's a structure there ... Everybody wants to
379 [buy] land, and they are paying hundreds of thousands of dollars for the land. So, the
380 farmers are being pushed out ... On paper, there's a green belt [in Accra] where the
381 land is supposed to be left for farmers to enhance the greenery, but everything is built
382 up, and it's high-level top politicians and big management people who sit in parliament
383 and make those decisions not implementable.

384 As a MoFA representative put it:

385 It means we have to act together. ... So I think we'll have to take this to another level
386 where we can also bring up options if people are using this [water] for irrigating
387 vegetables within the urban space ... to safeguard the health of the consumer; it's very
388 crucial.

389 Similarly to Figure 3, which was presented at the start of the dialogue, the participant
 390 translates the health burden from food safety issues into economic terms and shows the dire
 391 situation of Ghana compared to other countries with similar gross national income (GNI). The
 392 horizontal axis represents the GNI of selected LMICs, while the vertical axis indicates
 393 productivity loss from foodborne diseases. A vertical line compares the economic burden with
 394 sanitation coverage in countries with similar GNI. In 2010, productivity loss in Ghana was
 395 more than twice that of Vietnam and four times that of Uzbekistan; their respective enhanced
 396 sanitation coverage was 15%, 69%, and 95%. Translating the health burden from food safety,
 397 especially contaminated irrigation water, into economic terms could motivate politicians to
 398 develop strategies across the agricultural, health and water sectors at local and national
 399 levels.



Source: World Bank calculations.

[JMP, Sanitation service levels, national coverage in 2010 \(consulted 2024\)](#)

400

401 *Figure 3 Relative Burden of Foodborne Disease, by per Capita Income, 2010 (adapted from*

402 *Jaffee et al., 2019, p. 42)*

403 A lack of sectoral coordination limits food safety promoters to targeting potential small
404 improvements by focusing on quick, in-depth changes, such as stakeholder practices. Small
405 gains are essential to securing farmers' commitment. However, changing practices without
406 changing the environment that generated them is labour- and cost-intensive for farmers,
407 challenging to sustain and monitor, and unlikely to improve food safety and public health at
408 the municipal scale. System-wide attempts to make changes easier in practice require
409 coordination at a high level (45,46).

410 *Achievements, potential and limitations of the process*

411 The multistakeholder dialogue successfully engaged a broad range of participants in collective
412 learning. The COM-B framework and Companion Modelling approach allowed participants to
413 specify underlying issues not previously addressed. These insights, along with the feedback
414 from participants, enriched everyone's understanding of the institutional factors hindering
415 farmers' capability, opportunity, and motivation to adopt safe practices.

416 Extending this systematic and comprehensive analysis to the entire value chain requires
417 opening the dialogue to the "extended peer community consisting of all those with a stake in
418 the dialogue on the issue" (47). Participants suggested involving institutions that had not
419 initially been considered or identified. The dialogue occurred during office hours, which was
420 convenient for institutional representatives and manageable for farmers but conflicted with
421 the daily activities of vegetable traders and street food vendors, who were unable to
422 participate. Moreover, Companion Modelling is an iterative and time-consuming process
423 which may require more time than participants are willing or able to allocate, thus
424 perpetuating a status quo where the most vulnerable groups are not heard (48).

425 Some institutions were reluctant to engage in the process, delegating representatives who
426 acknowledged being uninformed about the topic or working in a position irrelevant to the
427 matter. None of the participants positioned themselves as decision-makers. Executives are
428 less able to find time to engage in collective learning and may be more interested in
429 preserving their position, resulting in a disconnect between knowledge and decision-making
430 powers (49). While this limited the immediate impact of the first iteration, over time, further
431 multi-stakeholder dialogue could bridge this gap. Participants would need to comprise a
432 balanced mix of executives, managers and experts (including stakeholder representatives),
433 with interactions regular enough to foster trust and reciprocity to enable brokering
434 knowledge and policy-making (49).

435 The multistakeholder dialogue illustrated how stakeholders' adoption of safe practices
436 depends on institutions' own capabilities, opportunities and, particularly, their motivations.
437 Participants call for the urgent prioritisation of hygiene and food safety in local bylaws and
438 national policymaking, raising the question of the political will (i.e. institutions' motivations)
439 and its influence on institutional capabilities and opportunities. Developing narratives that
440 connect the dialogue with institutions' value systems could motivate executives to engage or
441 delegate representatives with decision-making powers (50,51). For example, while food
442 safety along the vegetable value chain may not be at the core of GWCL priorities, and they
443 may push against farmers' use of piped water for irrigation, they might engage in a dialogue
444 that gives them the opportunity to increase their cost recovery from farmers. Neoliberal shifts
445 often lead to a marginalisation of urban agriculture, which is then perceived as a practice that
446 does not belong in the modern city (52,53). Since so many urban dwellers depend on urban
447 agriculture for their livelihoods and access to a nutritious diet, it is paramount to secure

448 strong political will at the highest level to integrate urban agriculture into urban planning for
449 more sustainable and safer outcomes.

450 Further steps include simulating current and prospective practices and arrangements,
451 comparing outcomes, and assessing necessary adaptations from stakeholders and
452 institutions. Participants could then select the combination of safe practices most suited to
453 their context and co-design arrangements that enable adoption by accounting for both
454 stakeholders' and institutions' capabilities, opportunities and motivations.

455 Conclusion

456 The multistakeholder dialogue brought actors around the table who rarely meet. It clarified
457 for all participants the misalignment between institutions' strategies and farmers' realities. To
458 enhance hygiene and food safety, Departments of Agriculture and Health seek to motivate
459 farmers to use piped water for irrigation. However, to improve cost recovery, the water utility
460 implements tariffs that restrict farmers' opportunity to use piped water. Moreover, the
461 municipalities' failure to enforce land use regulations limits farmers' opportunities and
462 motivation to secure alternative safe water resources. These institutional barriers threaten
463 farmers' livelihoods, undermine food safety and perpetuate public health risks. Participants
464 suggest collaboration between the water utility, the regulatory authority, the irrigation
465 authority, and their respective parent ministries to offer farmers an affordable rate.

466 Such open discussion allows participants to diagnose stakeholders' capability, opportunity,
467 and motivation needs more extensively than interviews. Combining the COM-B framework
468 with the Companion Modelling participatory approach enables participants to bridge

469 knowledge gaps caused by sectoral silos and top-down approaches, broadening discussion
470 beyond generic needs for education and training, incentives and sanctions. Together,
471 stakeholders can identify actors, resources and interactions previously overlooked, leading to
472 participants developing a shared understanding of each other's perspectives. The dialogue
473 makes explicit how institutions' objectives and constraints may hinder stakeholders'
474 opportunity and motivation to adopt safe practices. Such open discussion lays the foundation
475 for co-designing arrangements that better account for stakeholders' and institutions'
476 capabilities, opportunities and motivations.

477 Declaration of competing interest

478 The authors declare that they have no known competing financial interests or personal
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484 Data availability

485 Data will be made available on request.

486 **Authors contributions**

| | Conceptualisation | Methodology | Supervision | Investigation | Facilitation | Formal analysis | Validation | Visualisation | Writing - Original draft | Writing – review and editing |
|--------|-------------------|-------------|-------------|---------------|--------------|-----------------|------------|---------------|--------------------------|------------------------------|
| D G | X | X | | X | X | X | X | X | X | X |
| R S | X | X | X | | | | | | | X |
| K G | X | X | X | | | | | | | X |
| P A | | | | | X | | X | | | X |

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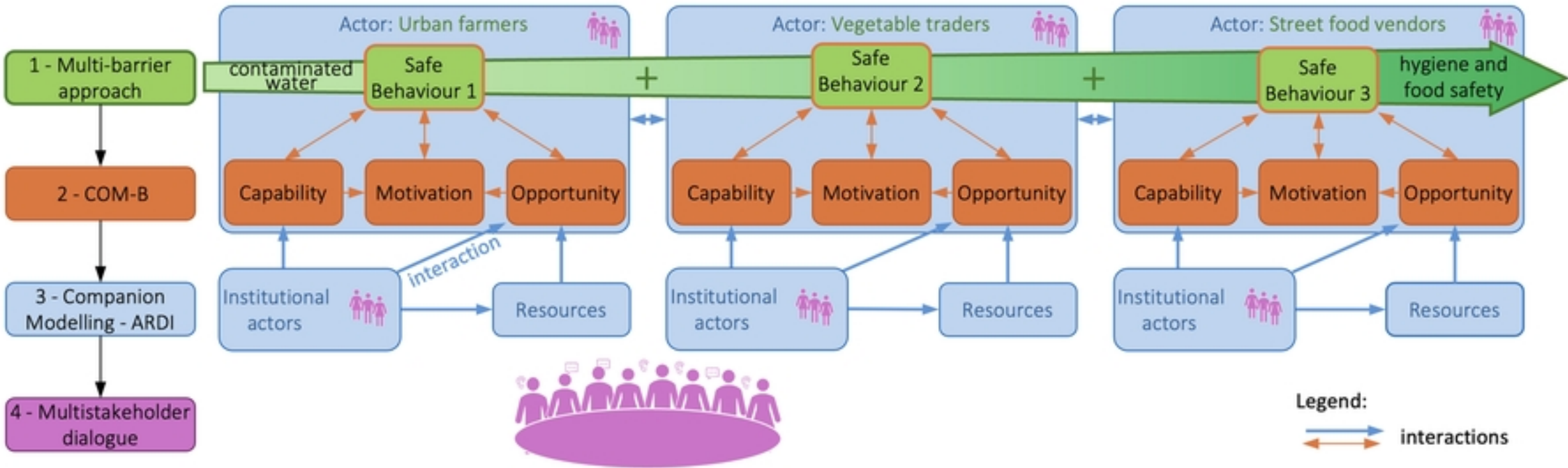


Figure 1

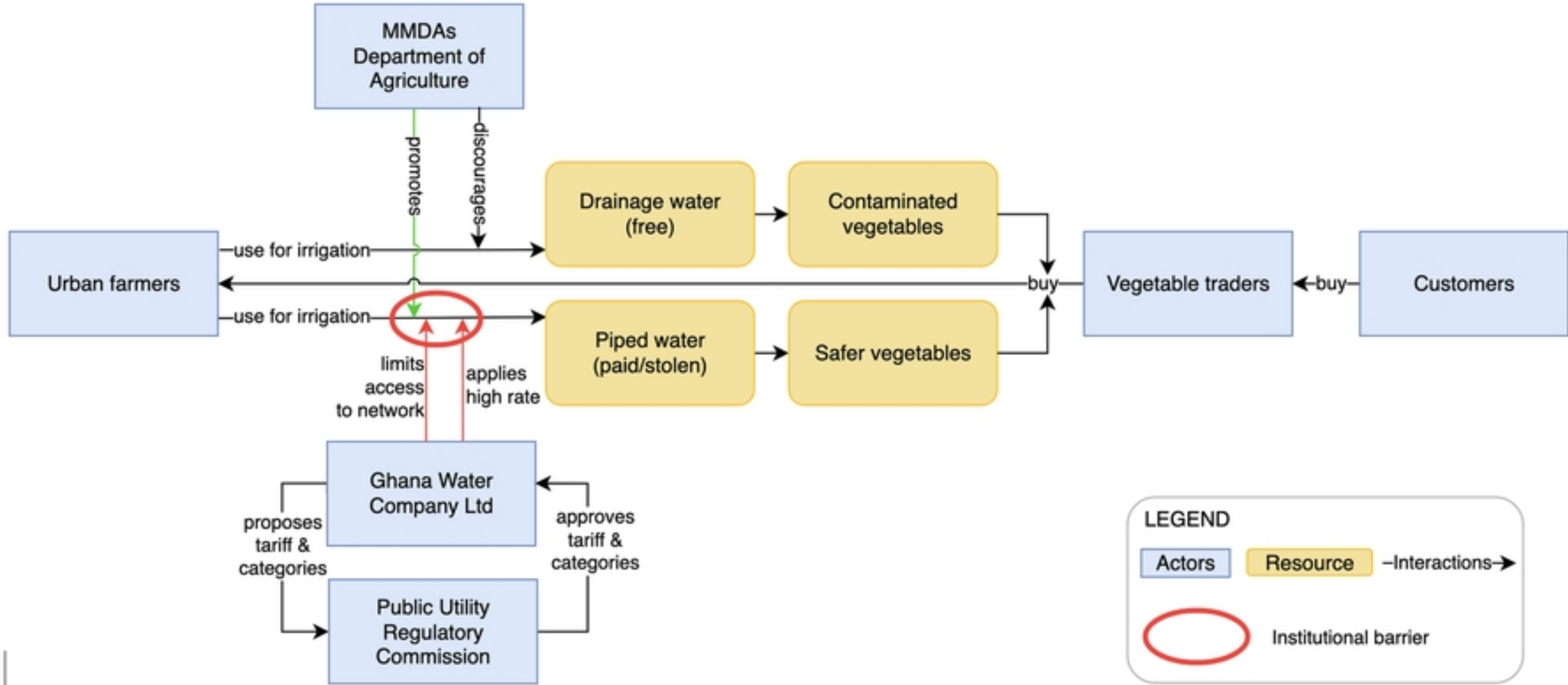


Figure 2

