1	Quantifying tidal impacts on Arctic sea ice: An unexpected mechanism for
2	the regional delay of summer melting
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ABSTRACT: Tides are an important factor shaping the sea ice system in the Arctic Ocean, by 21 altering vertical heat fluxes and advection patterns. Unfortunately, observations are sparse and the 22 analysis of tides is complicated by the proximity of wind-driven inertial oscillations to the semi-23 diurnal frequencies. Furthermore, computational costs typically prohibit the inclusion of tides in 24 ocean models, leaving a significant gap in our understanding. Motivated by summer observations 25 showing elevated downward surface heat fluxes in the presence of tides, we analyzed simulations 26 carried out with an eddy-permitting coupled ice-ocean model to quantify the impact of tidal effects 27 on Arctic sea ice. In line with previous studies, we find an overall decrease in sea ice volume when 28 tides are included in the simulations, associated with increased vertical mixing and the upward flux 29 of heat from deeper layers of the Arctic Ocean, but this sea ice volume decrease is less pronounced 30 than previously thought. Surprisingly, our simulations suggest that in summer, Arctic sea ice area 31 is larger, by up to 1.5%, when tides are included in the simulations. This effect is partly caused 32 by an increased downward surface heat flux and a consequently lower sea surface temperature, 33 delaying sea ice melting predominantly in the Siberian Seas, where tides are moderately strong 34 and the warm Atlantic Water core is located relatively deep and does not encroach on the wide 35 continental shelf. Here, tidally enhanced downward heat flux from the surface in summer can 36 dominate over the increased upward heat flux from the warm Atlantic Water layer. 37

SIGNIFICANCE STATEMENT: This study sheds light on the complex and understudied role of 38 tides in Arctic sea ice dynamics. By utilizing advanced computer models, our research uncovers 39 that, contrary to common expectations, tides contribute to a seasonal increase in sea ice area by up 40 to 1.5% in summer. This effect is attributed to enhanced advection of sea ice into the Siberian Seas 41 and a local increase in downward heat flux reducing sea surface temperatures, thereby delaying 42 sea ice melting in this region. Our findings challenge prevailing notions about the negative impact 43 of tides on sea ice and highlight the importance of incorporating tidal impacts in ocean models to 44 improve predictions of Arctic sea ice changes, key for our understanding of both Arctic and global 45 climate dynamics. 46

47 **1. Introduction**

Tides play a vital role in the Arctic climate and ecosystem. Tidal currents contribute strongly to 48 vertical heat transport, by enhancing boundary layer turbulence created by frictional effects under 49 the sea ice and at the sea floor, and through internal tides, formed by the interaction of barotropic 50 tidal currents with irregular topography, especially above the continental slopes (Padman and Dillon 51 1991; Padman et al. 1992; Polyakov 1994; Rippeth et al. 2015; Fer et al. 2020; Schulz et al. 2021). 52 Tidally driven mixing over the continental slope regions is estimated to contribute approximately 53 as much to the vertical heat transport in the Arctic as double diffusion in the much larger area of 54 the deep basins (Fer et al. 2020). Furthermore, tides fracture and transport sea ice (Nansen and 55 Sverdrup 1898; Kwon and Lee 2016; Lemieux et al. 2018), and open and maintain ecologically 56 important polynyas (Hannah et al. 2009). In turn, tides are influenced by the interaction with sea 57 ice, and by changing freshwater dynamics altering stratification and hence the vertical structure of 58 internal tides (Janout and Lenn 2014). 59

Despite their importance, Arctic tides are critically understudied. Disentangling tidal signals in observational data proves challenging, as the typically dominating semi-diurnal tidal constituents cannot be clearly separated in frequency space from wind-driven near-inertial oscillations in Arctic latitudes. Tides remain too computationally expensive to be included in both Arctic Ocean and global climate models in the foreseeable future (Wang et al. 2018), and the resolution of state-ofthe-art climate models is nonetheless insufficient to explicitly resolve dynamics related to internal tides and associated mixing (Song et al. 2023). Neglecting tides in numerical models introduces ⁶⁷ biases, as they affect simulated vertical fluxes, the sea surface temperature field and surface heat
⁶⁸ fluxes, and even the large-scale circulation (Müller et al. 2010). For example, Holloway and
⁶⁹ Proshutinsky (2007) showed that including tides reduced the model bias of heat accumulation in
⁷⁰ the Arctic Ocean.

Previous studies on the impact of Arctic tides on sea ice found a negative effect on the sea 71 ice mass balance, by enhancing the upward heat flux from the warm Atlantic Water layer and by 72 opening leads and enhancing solar warming of the upper ocean in summer; and a positive effect 73 in winter by enhancing ice mobility, allowing for the formation of more leads where new ice can 74 easily grow (Holloway and Proshutinsky 2007). The most recent pan-Arctic modeling study on 75 the effect of tides on sea ice, Luneva et al. (2015) with a focus on multi-decadal trends, found the 76 dominant effect of tides to be the increase of upward vertical heat fluxes, contributing to sea ice 77 melting and exacerbating sea ice loss trends. Regional model studies furthermore highlight the 78 importance of residual tidal currents redistributing sea ice in the Canadian Archipelago and Baffin 79 Bay (Kwon and Lee 2016), and a delayed freeze-up and faster ice melting by warmer sea surface 80 temperature due to enhanced vertical mixing in the Barents and Kara Sea, with strong regional 81 gradients (Postlethwaite et al. 2011). Based on a global model, Song et al. (2023) found tides 82 to regionally decrease sea ice thickness, especially pronounced in the Canadian Archipelago (by 83 10%), when residual tidal currents export sea ice to adjacent areas. 84

Recent observations (Fig. 1, see section 2, Rabe et al. 2022, for details) suggest an additional 90 mechanism for the impact of tides on sea ice, which was not considered important in the previous 91 modeling studies listed above. In the summer of 2020, in the tidally active region around Yermak 92 Plateau in the Atlantic sector (Fig. 2), downward surface heat fluxes under sea ice were around 93 2 W m⁻², comparable with the magnitude of the upward heat flux from the Atlantic Water layer 94 (Schulz et al. 2021, 2024). Occasionally, these fluxes were as high as 40 W m^{-2} (Fig. 1b), 95 presumably due to enhanced shear production associated with ice drift-shear alignment (Fig. 1a), a 96 mechanism previously described on the Laptev Sea shelf (Lenn et al. 2011). Strong downward heat 97 fluxes during summer can potentially lower the sea surface temperature and consequently delay 98 the melting of sea ice, but the pan-Arctic importance of this mechanism cannot be derived from 99 observations alone. 100



FIG. 1. (a) Quiver plots of the depth-averaged current (black) and ice drift (teal) velocity, on different scales as indicated, to illustrate the phase of tidal currents and sea ice drift. (b) Turbulent heat fluxes over the layer from surface down to the local temperature minimum located at a depth of about 35 m (W m⁻², negative values indicate downward fluxes, see section 2c). Vertical dotted lines are for orientation. Observations are from the region above Yermak Plateau, see Fig. 2.

In this study, we use a high-resolution coupled sea ice and ocean model of the Arctic and North 101 Atlantic (described in section 2), run for a whole year with and without tides, to quantify the regional 102 and seasonal variability of dominant tidal processes impacting sea ice dynamics on a pan-Arctic 103 scale. Advanced computational resources and limiting our analysis to only a single year allow us to 104 achieve a higher level of detail in our simulations compared to Luneva et al. (2015). Recent model 105 developments and improved boundary and forcing conditions, taken from a data-constrained state 106 estimate of the Arctic Ocean (Nguyen et al. 2021), provide further improvement of the results. We 107 evaluate our model simulations against observational data in section 3 before describing the effect 108 of tides on sea ice in sections 4 and 5, discuss the limitations of our methods and the potential 109 consequences of our findings in section 6, and conclude the study in section 7. 110

111 2. Methods

112 a. Numerical Model

Simulations of the Arctic Ocean and sea ice system were based on the Massachusetts Institute 113 of Technology general circulation model (MITgcm, Marshall et al. 1997; Adcroft et al. 2004). 114 The grid was of the Lat-Lon-Cap (LLC) family, widely used within the Estimating the Circulation 115 and Climate of the Ocean (ECCO) community (e.g., Nguyen et al. 2021; Flexas et al. 2019). 116 The regional configuration, based on the LLC-1080 grid, had nominal horizontal grid spacing of 117 about 3.5 km in the Arctic. The domain covered the Atlantic northward of the equator, the entire 118 Arctic and its marginal seas (Fig. 2). The vertical grid comprised 90 unevenly spaced levels, with 119 thicknesses ranging from 1 m to 25 m in the upper 300 m, increasing to 480 m in the lowest level. 120 Initial conditions for January 2002 were based on the World Ocean Atlas 2009 version 2 hydrog-121 raphy (Locarnini et al. 2010; Antonov et al. 2010) and the Pan-Arctic Ice Ocean Modeling and 122 Assimilation System (PIOMASS, Zhang and Rothrock 2003). Lateral open boundary ocean and 123 sea ice conditions were taken from the Arctic Subpolar gyre sTate Estimate Release 1 (ASTE R1, 124 Nguyen et al. 2021). Atmospheric forcing was applied via bulk formulae (Large and Yeager 2008), 125 with atmospheric state variables taken from the 3-hourly Japanese 55-year Reanalysis (JRA-55, 126 Kobayashi et al. 2015). The applied precipitation included a correction for the known positive bias 127 in JRA55 (Stewart et al. 2020), obtained via adjoint-based optimization of ASTE R1 (Nguyen et al. 128 2021). Monthly mean runoff was taken from the Arctic Runoff Data Base (ARDB, Grabs et al. 129 2000) with adjustments in the Arctic obtained from the Green Functions' optimization of Nguyen 130 et al. (2011). 131

Tidal forcing was calculated from the astronomical gravitational tidal potential and applied as an 132 hourly surface pressure loading term (Arbic et al. 2018; Ponte et al. 2015; Ponte and Vinogradov 133 2007). The full luni-solar tidal potential spectra were included. At the lateral open boundaries, 134 additional tidal forcing was derived from the global barotropic inverse tide model TXPO8-Atlas, 135 an update of Egbert and Erofeeva (2002). Low-mode baroclinic tides are explicitly resolved, to the 136 limit of the horizontal and vertical resolutions of the model (Arbic et al. 2018). Smaller time steps 137 and Crank-Nickelson barotropic time stepping were employed for improved accuracy of barotropic 138 tides representation and energy conservation (Campin et al. 2004). No additional parameterizations 139

of wave drag or any internal wave damping mechanisms are implemented (Arbic et al. 2018). The 140 configuration of the MITgcm used is mass /volume and tracer conserving, and respective budgets 141 are closed (see Appendix B in Nguyen et al. 2020, and the references therein). This study uses a 142 linear free surface and volume-conserving configuration of the MITgcm for stability, in line with 143 the widely utilized previous MITgcm-based global very high resolution configuration described 144 in Arbic et al. (2018). The applied barotropic tidal velocities at the lateral open boundaries are 145 harmonics with zero mean value and do not contribute to the accumulation of volume. Mass 146 convergence between pairs of runs with and without tides yields minimal differences with no 147 discernible trends. 148



FIG. 2. Model bathymetry north of the Arctic circle, with sectors used to discriminate regional contributions 149 indicated in black: the central Arctic Ocean north of 82°N, Baffin Bay (40°W to 80°W), the Canadian sector 150 (80°W to 140°W), the Pacific sector (140°W to 170°E), the Laptev and East Siberian Sea (170°E to 80°E), 151 the Barents Sea ($20^{\circ}E$ to $80^{\circ}E$), and the Atlantic sector ($20^{\circ}E$ to $40^{\circ}W$). Red squares mark the positions of the 152 NABOS moorings; areas for the validation of the temperature and salinity profiles are marked in red (EEB = 153 Eastern Eurasian Basin at 76–84°N, 100–140°E; WEB = Western Eurasian Basin at 80–90°N, 0–55°E; CS = 154 Chukchi Sea at 72–81°N, 155–190°W; BG = Beaufort Gyre at 70–80°N, 125–155°W). The short red line above 155 the Yermak Plateau (a relatively shallow expanse northwest of Svalbard, denoted as Y.P.) shows the track along 156 which the data displayed in Fig. 1 was collected. 157

¹⁵⁸ Vertical mixing was represented using the K-Profile Parameterization (KPP, Large et al. 1994) ¹⁵⁹ in the surface layer. Three dimensional interior vertical diffusivities were based on the ASTE R1

optimized combined background and parameterized diapycnal mixing fields (Nguyen et al. 2021). 160 Background values were of $O(10^{-7}-10^{-6})$ m²s⁻¹, typical for the Arctic Ocean (Fer 2009; Schulz 161 et al. 2023a, 2024). To represent internal wave breaking over rough topography (Nikurashin and 162 Ferrari 2013), background vertical diffusivities were enhanced by one order of magnitude, with 163 the multiplicative enhancing factor varying linearly over a horizontal length-scale of 120 km, from 164 10.0 at an ocean grid point horizontally adjacent to a land point to 1.0 in the interior. The dynamic 165 and thermodynamic interactive sea ice model was based on an evolved formulation by Semtner 166 (1976); Heimbach et al. (2010) and Losch and Danilov (2012), featuring a viscous-plastic rheology 167 with an elliptic yield curve and a single thickness category. Ridging is treated implicitly by limiting 168 the sea ice concentration to a maximum of one, with further ice convergence resulting in thicker 169 ice (Adcroft et al. 2018). The landfast ice parameterization that has recently been implemented 170 in the MITgcm (Liu et al. 2022) was not available at the time the simulations were carried out 171 and has not been included here. The subgrid-scale parameterization of Nguyen et al. (2009) was 172 employed to treat mixed layer salt redistribution during sea ice formation and brine rejection to 173 maintain realistic upper Arctic ocean stratification. 174

Two 1-year long simulations were performed for year 2014, one with and one without tides. 175 The period of 2014 was selected because it offers the most comprehensive data coverage from 176 the NABOS mooring array, located across the Laptev Sea slope (see Fig. 2 and section 2b), 177 used for model validation. Model 2D and 3D outputs were stored every hour. During post-178 processing, spatial averages for sea ice properties were taken over the whole model domain, 179 whilst ocean properties (e.g., sea surface temperature) were averaged for all grid points north of 180 66°N. To decompose signals into their regional contributions, we subdivided the Arctic in the 181 non-overlapping sectors indicated in Fig. 2. 182

183 b. Observational data used for model evaluation

To assess the performance of our model (section 3), we compare the model output to observational data. For this purpose, we use temperature and salinity profiles obtained in 2014 from Muilwijk and Polyakov (2022). This dataset is an updated version of the archive created by Polyakov et al. (2020a) and contains a comprehensive collection of hydrographic observations of the Arctic Ocean from 1970 to 2017 including temperature and salinity profiles obtained from ships, aircraft,

drift stations, autonomous drifters (Ice-Tethered Profilers), and submarines. We averaged the 189 profiles over four regions (see Fig. 2) of the Arctic Ocean following Polyakov et al. (2020a). We 190 obtained the stratification profiles from the model fields by averaging over the whole year 2014 191 and using the same regional boundaries. All temperature and salinity data have been converted to 192 Conservative Temperature (°C) and Absolute Salinity ($g kg^{-1}$) using the TEOS-10 equation of state 193 as implemented in the Gibbs-SeaWater Oceanographic Toolbox (McDougall and Barker 2011). 194 To evaluate how the Arctic boundary current and the variability of tidal currents across the 195 continental slope are reproduced in the simulations, we use data collected in the context of the 196 Nansen and Amundsen Basins Observational System (NABOS, https://uaf-iarc.org/NABOS/). An 197 array of six moorings $(M1_1-M1_6)$ along the 126°E meridian from just offshore of the Laptev 198 Sea shelf (~77°N, 250 m water depth) to the abyssal plain (~81°N, 3900 m depth, see Fig. 2) 199 was deployed for two years from September 2013 to September 2015 (Polyakov 2016; Baumann 200 et al. 2018; Polyakov et al. 2020b, 2025). All moorings were designed to obtain profiles of the 201 two-dimensional horizontal velocity over variable depth ranges. Velocities were obtained at hourly 202 resolution for the upper ~50 m using 300 kHz Acoustic Doppler Current Profiler (ADCP) at all but 203 two moorings: M11, where a 75 kHz ADCP moored near the seabed was used to capture velocities 204 throughout most of the water column, and M15, which missed its target depth and was deployed 205 \sim 30 m too deep. The ADCPs generally returned full 2-year data records, but the ADCP at M1₅ 206 stopped working after about 10 months. Manufacturer-provided accuracies for speed and direction 207 are ± 0.5 cm s⁻¹ and $\pm 2^{\circ}$ for vertical averaging bin sizes of 2 m and 5 m for the 300 kHz and 208 75 kHz ADCPs, respectively. Signals from all ADCPs were contaminated close to the surface by 209 surface reflections of sidelobe energy. For the upward-looking 300 kHz ADCPs moored near 50 m 210 depth, the upper 8 m could not be used; for the 75 kHz ADCP mounted at \sim 250 m depth at M1₁, 211 the top 30 m were discarded. The NABOS mooring array was supplemented by mooring #1893, 212 deployed in September 2013 on the Laptev Sea shelf in ~50 m water depth near 76°N, 126°E, 213 within the German-Russian "Laptev Sea System" partnership during the Transdrift 21 expedition. 214 The mooring was recovered and redeployed in 2014 during Transdrift 22 to obtain an additional 215 year of data. Both deployments included an upward-looking 300 kHz ADCP at 35 m (2013) 216 and 37 m (2014) depth, and a downward-looking, 600kHz ADCP mounted at 30 m (2013) and a 217 1200 kHz at 35 m (2014), respectively, to resolve the near-bottom part of the water column. 218

The validation of the model results with respect to the boundary and tidal currents focuses on 219 the Siberian Seas for two reasons: First, the NABOS mooring data offers an exceptional level of 220 detail, capturing cross-slope gradients with high spatial resolution. Second, one of main aspects 221 discussed in this study, the regional increase in sea ice extent and thickness in the presence of 222 tides (see section 5), emerges prominently in the Siberian Seas. To assess how the boundary 223 current is reproduced, the hourly model and observational data is first averaged to weekly values 224 before calculating the mean and standard deviation (Fig. 4), to exclude tidal and other short term 225 variability. Winter and summer averages refer to the two-month periods of January and February, 226 and June and July, respectively, to match the chosen periods to discuss sea ice anomalies in the 227 model results (section 4). Before calculating the root mean square current velocity anomaly shown 228 in Fig. 6c, we removed the weekly averaged background current from the depth-integrated velocity 229 time series. To calculate the rotary spectra shown in Fig. 7, we applied Welch's method with 230 window length of 6 months and 50% overlap to the depth-averaged velocities at the original hourly 231 resolution. 232

233 c. Observational data shown in Fig. 1

Data shown in Fig. 1 (section 1) were obtained during the Multidisciplinary drifting Observatory 234 for the Study of Arctic Climate (MOSAiC) campaign over Yermak Plateau and in the Fram Strait 235 (Rabe et al. 2022). Profiles of turbulent dissipation rates ε (W kg⁻¹) at 1 m vertical resolution 236 were estimated from the shear-probe records from a free-falling, tethered microstructure profiler 237 (MSS90L, Sea & Sun Technology, Germany). The profiler was operated through a hole drilled in 238 the sea ice, at a minimum distance of 250 m from the research icebreaker Polarstern. Profiles were 239 collected on a near-daily basis, details on the measurement setup and processing can be found in 240 Schulz et al. (2022a). Measured dissipation rates in the upper 50 m of the Yermak Plateau region 241 are well above the instrument's noise level (see Fig. 7 in Schulz et al. 2024). Horizontal current 242 velocity and sea ice drift velocity were taken from an RD Instruments 75 kHz ADCP co-located 243 with a GPS compass (Baumann et al. 2021). The ADCP was deployed through the sea ice with 244 transducers facing downward. 245

Heat fluxes in the water column were calculated as described by Schulz et al. (2023a): The vertical diffusivity K_z (m² s⁻¹) is calculated from ε and density profiles following Bouffard and ²⁴⁸ Boegman (2013), accounting for possibly different turbulent regimes and stratification ranges. ²⁴⁹ Averages of turbulence quantities such as ε and K_z are calculated using the maximum likelihood ²⁵⁰ estimator (MLE, Baker and Gibson 1987). Vertical heat fluxes over the vertical interval from 4 m ²⁵¹ depth to the temperature minimum between 20 and 40 m are calculated from the mean vertical ²⁵² gradient in potential temperature $\frac{\partial \theta}{\partial z}$ over that layer, according to

$$F_h = -\rho_0 c_p K_z \frac{\partial \theta}{\partial z},\tag{1}$$

where $\rho_0 = 1027$ kg m⁻³ is the reference density, and $c_p \approx 3,991.9$ J kg⁻¹ K⁻¹ is the specific heat capacity of seawater. Values were excluded when the near-surface stratification over the same depth interval was weak, identified as $N^2 < 3 \times 10^{-5}$ s⁻², with N^2 being the Brunt–Väisälä frequency. Positive values indicate upward heat fluxes.

257 **3. Model evaluation**

258 a. Stratification and Boundary Current

Our model simulations reproduce the vertical structure of temperature and salinity stratification 263 reasonably well (Fig. 3). The vertical position of the warm subsurface layer of Atlantic or Pacific 264 origin as well as the shallower near-surface temperature maximum in the Beaufort Gyre formed 265 locally by summer heating (e.g., Steele et al. 2011) are accurately captured. A warm temperature 266 bias exists in the Eurasian basins and Chukchi Sea, related to difficulties in accurately reproducing 267 the Atlantic Water pathway, a common issue in climate models (Heuzé et al. 2023; Wang et al. 268 2023), and an underestimation of vertical heat fluxes from the Atlantic Water layer. This bias is 269 more pronounced when tides are included in the simulations, which might partially be associated 270 with changes in lateral advection when tides are present (Fig. 4). These biases are also present in 271 ASTE R1, despite extensive optimization to reduce the misfit to a large set of satellite-based and 272 in-situ observational constraints, and are attributed to the low observation density in the Eurasian 273 Basin as well as highly unconstrained iso- and diapycnal mixing estimates (Nguyen et al. 2021). 274 Compared to observations, model simulations are fresher in the upper 100 m in the Eurasian 275 basins, and saltier in the upper 300 m in the Chukchi Sea and Beaufort Gyre. However, our model 276 exhibits minimal salinity biases compared to other climate models, and, most relevant for our study, 277



FIG. 3. Annual mean profiles of (a)-(d) Conservative Temperature (°C) and (e)-(h) Absolute Salinity (g kg⁻¹) in four regions of the Arctic Ocean indicated in red in Fig. 2. Teal lines are observations from Muilwijk and Polyakov (2022); black lines indicate simulated results from the model run including tides; orange lines the simulated results excluding tides, averaged over the same regions.

accurately captures the steepness of the salinity gradient, which ultimately dictates the strength of
 stratification with direct influence on the magnitude of vertical heat fluxes.

The cross-slope profile of the simulated Arctic boundary current is also in good agreement with 285 observations from NABOS moorings (Fig. 4). In summer (data not shown), simulations including 286 tides produce a slightly slower boundary current that is more confined to the upper slope, but the 287 difference between the model runs is small. The good reproduction of the boundary current at this 288 location may be partially attributed to the assimilation of the NABOS mooring data in the ASTE R1 289 solution (Nguyen et al. 2021), which served as the foundation of the model configuration used here. 290 This is, however, not necessarily expected due to the inclusion of a vast amount of other data in 291 ASTE's misfit cost function and limitations imposed by model representation error. Furthermore, 292



FIG. 4. Annual mean profiles of the eastward (along-slope) velocity across the continental slope of the Laptev Sea at 126°E, with mooring location depth (corresponding model grid point depth) as indicated. Teal lines are observations from the NABOS mooring array, black lines indicate results for the simulation including tides, orange lines indicate results from the simulations excluding tides. Shading indicate the respective standard deviation.

the closest model grid point to NABOS mooring $M1_1$ (i.e., the model grid point along the 126°E transect with closest matching water depth) is approximately 200 m deeper than the mooring location, which likely introduces some bias and also illustrates that accurately simulating processes above the steep continental slope requires an even finer spatial grid resolution.

The vertical structure and small amplitude of the current velocities on the shelf are especially well-297 captured, and amplitude and variability of the boundary current core at the upper continental slope 298 are in good agreement, whilst the smaller offshore current velocities are slightly underestimated in 299 the simulations (Fig. 4). The vertical structure of the boundary current above the upper continental 300 slope is somewhat misaligned, with the velocity maximum being located further down in the 301 water column compared to the observations. This bias appears to be stronger in summer, when 302 the observed vertical structure of the boundary current is fairly homogeneous, except for a strong 303 westward component and a large variability in the upper 30 m, which are absent in the simulations 304 (data not shown). Observations and model results agree considerably better in winter. Overall, the 305 position and strength of the boundary current are fairly well reproduced. 306

307 b. Tides



FIG. 5. Time series of the depth averaged current speed at mooring position $M1_1$ (a,c,e) and $M1_2$ (b,d,f). Teal lines indicate observational data, black lines are the simulations including tides, orange lines refer to the simulations excluding tides. (a), (b) show the full annual cycle for 2014, (c), (d) show a detailed view of the time period February 1-21, (e), (f) are a detailed view of the time period September 1-21.

Both simulated and observed current speed time series show more variability and higher speeds 312 at the upper continental slope, consistent with the presence of the boundary current and the vicinity 313 to the tidally active shelf (Janout and Lenn 2014). Variability and speed are greatest in the fall 314 when sea ice is at a minimum and intermittently in December, which the model captures well 315 (Fig. 5a). During the time periods with high current speed variability, simulations can deviate from 316 the observations over short time scales especially in the energetic environment above the upper 317 slope, but tidal currents are still well-represented (5e). In winter, simulations are very close to the 318 observed current speeds and reproduce variability on sub-daily time scales accurately (Fig. 5c,d). 319

At the approximately 800 m deep M_{12} mooring site, semi-diurnal signals are also reproduced in 320 the simulations without tides (orange line, Fig. 5d, f), suggesting that these signals are predominantly 321 near-inertial oscillations rather than tides. The known proximity of Arctic inertial oscillations and 322 semi-diurnal tides in frequency space complicates their clear separation and introduces large 323 uncertainties in the analysis of tides in the Arctic. This ambiguity must be considered when 324 interpreting, e.g., the distribution of semi-diurnal tidal amplitudes (Fig. 6a,b) and spectra (Fig. 7), 325 particularly for the S₂ component and in regions with weak tidal currents and relatively strong 326 inertial oscillations such as the lower continental slope (Fig. 5d,f). Moreover, calculated velocity 327 anomalies (Fig. 6c) as a proxy for tidal energy include signals from both inertial oscillations and 328 tides, leading to overestimation of tidal strength where inertial oscillations dominate. 329



FIG. 6. Amplitude (color coded) and phase (gray lines with 45 degree spacing) for: (a) the principal lunar semi-diurnal M2, and (b) the principal solar S2 constituent. (c) Annual average root mean square current velocity anomaly (m s⁻¹). The teal line in (e) indicates the 500 m isobath, squares indicate the position of the moorings as in Fig. 2.

The regional distribution of tides in the Arctic, as simulated by the presented modeling framework 334 (Fig. 6), accurately reproduces known patterns of tidal activity from observations (Baumann et al. 335 2020; Hart-Davis et al. 2024), forward barotropic tide models (Erofeeva and Egbert 2020; Howard 336 and Padman 2021) and inverse tidal models (Padman and Erofeeva 2004). Regions of pronounced 337 tidal activity are found east of Svalbard and along the continental coast in the Barents Sea, as well 338 as in Baffin Bay and the Canadian Archipelago. Less intense tidal activity is also evident on the 339 shelf seas around the Arctic, especially in the Laptev Sea (see also Janout and Lenn 2014), and in 340 Fram Strait between Svalbard and Greenland as well as between Iceland and the eastern coast of 341 Greenland. Tidal currents are negligible in the deep Arctic basins (Fig. 6c). 342

Tidal currents are strongly influenced by topography and can vary significantly over short distances across the continental slopes. The slopes are also crucial regions for the generation of internal tidal waves and tidal mixing (e.g., Polyakov 1994; Falahat and Nycander 2015; Urbancic et al. 2022; Baumann and Fer 2023). Comparing model output with observations from seven moorings spanning the eastern Eurasian continental slope, ranging from about 50 m to 4000 m depth, allows us to assess the model's performance in a key area of tidal dynamics.

Observations reveal pronounced semidiurnal tidal peaks at all moorings, although their clarity 349 diminishes in the offshore direction (Fig. 7, teal and turquoise lines). Diurnal tidal peaks are only 350 prominent at the upper slope (mooring #1893, $M1_1$, and a faint K_1 peak at $M1_2$). Where distinct 351 tidal peaks occur, the clockwise (CW) component consistently dominates over the counterclockwise 352 (CCW) component, and semidiurnal tides generally exhibit higher energy than diurnal tides. 353 Among the semidiurnal constituents, the M₂ amplitude typically exceeds that of S₂, with exceptions 354 at the offshore moorings M1₅ and M1₆, where energy in a broad band around S₂ slightly surpasses 355 M_2 . This elevated energy in a relatively broad semidiurnal band at the offshore moorings may 356 reflect the influence of energetic near-inertial currents generated during ice-free summers: although 357 barotropic tides are weak at depths greater than 3000 m, short but intense bursts of near-inertial 358 currents, reaching velocities exceeding 0.28 m s^{-1} (data not shown), can significantly contribute to 359 the spectral energy, even when averaged over the two-year observation period. 360

As expected, the model (Fig. 7, black and gray lines) exhibits a lower noise level than the 361 observations, evident from the lower baseline energy of the gray lines between tidal frequencies. 362 This allows the model to resolve diurnal tidal energy at most mooring locations where the signal is 363 beneath the noise level in the observations. The amplitudes of the modeled and observed spectra 364 align remarkably well at moorings 1893, $M1_1$, and $M1_2$. Further offshore, the simulations match 365 the observed CCW component almost perfectly, but a slight discrepancy is found in the CW 366 component. The model appears to slightly underestimate the energy in the M₂ CW component, 367 showing only faint peaks. However, the broader energy band around the frequency is accurately 368 captured. Overall, the model effectively represents the varying amplitude and composition of tidal 369 energy across the continental slope. 370



FIG. 7. Left column: Rotary spectra, black and gray colors show the simulated data, teal and turquoise colors the observations from moorings #1893, M1₁-M1₆. Middle and right columns are zoomed-in on diurnal (green shading) and semidiurnal (red shading) frequency bands, respectively. Colored lines and labels mark the frequencies of the dominant tidal constituents as well as the local inertial frequency (f).

4. The effect of tides on sea ice



FIG. 8. First row: (a) Annual, (b) winter (January/February), and (c) summer (June /July) mean sea ice velocity for the model run with tides. Second row: Difference in sea ice velocity for the run with and without tides for the same time periods, positive values indicate faster ice drift when tides are included.

The simulated mean ice drift (Fig. 8a) exhibits the known pattern of the anti-cyclonic Beaufort 379 Gyre dominating the circulation in the deep basin. The advection of ice from the Siberian Seas 380 towards Fram Strait (the Transpolar Drift) including the accelerated transport south and towards 381 the east coast of Greenland in Fram Strait is well reproduced. These large scale sea ice circulation 382 pattern are well in line with observed sea ice drift by satellites for the year 2014 (not shown). 383 The simulated ice drift is directed towards the west along the coast of Alaska, crossing the the 384 Chukchi Sea and continuing westwards in the East Siberian Sea. There, the ice partly recirculates 385 in the Beaufort Gyre or is advected into the Transpolar Drift Stream in the Laptev Sea. In winter 386 (Fig. 8b), a northeastward drift in the Kara Sea feeds into a counterclockwise circulation pattern 387 around Franz Josef Land. Strongly elevated ice drift speed is found towards the southern part of the 388 East Greenland Current, flowing south along the eastern coast of Greenland. Intensified northward 389 ice drift near the Alaskan coast and in the Chuckchi Sea north of Bering Strait is present in summer 390 (Fig. 8c), and sea ice velocities are generally high in the marginal ice zone in the Siberian seas, 39

including a westward transport along the East Siberian Sea coast and a northward transport in the
 Laptev, Kara and Barents Sea. These higher ice speeds in summer are expected, as the sea ice is
 less compact (reduced sea ice concentration, see Fig. 9c) and thus more susceptible to wind and
 ocean forcing.

Excluding tides from the simulations (differences shown in Fig. 8d-f) only has a small effect 396 on the ice drift direction and speed in the central Arctic. Tides have a stronger influence on ice 397 advection patterns in Baffin Bay and near the south-eastern Greenland coast, where ice is exported 398 from the Arctic. In summer, tides exert the strongest influence on sea-ice drift in the marginal ice 399 zone throughout the Arctic, likely because the reduced ice concentration allows the ice to remain 400 in a free-drift state that is more susceptible to tidal forcing. Changes in the central basins and in 401 the overall pattern of ice advection, except for in Baffin Bay, are small. Excluding the effect of 402 tidal currents in coupled sea-ice ocean models might hence lead to a misrepresentation of details 403 in ice dynamics in the marginal ice zone and is not an appropriate assumption for regional studies 404 focused on Baffin Bay. However, the pan-Arctic scale ice drift patterns are fairly insensitive to the 405 presence or absence of tides in the simulations. 406

We see strong regional differences in the effect of tides on sea ice concentration and thickness 413 (Fig. 9). In winter (Fig. 9e,k), tides reduce the sea ice extent in the marginal ice zone in Baffin 414 Bay and Fram Strait, causing the ice edge to be located further north, and increase the open water 415 region around Yermak Plateau. Sea surface temperatures are consistently higher in the Atlantic 416 sector when tides are present (pink line in Fig. 10d, see Fig. 2 for the definition of the region). 417 Especially on the western side of Svalbard, where upward heat flux by enhanced tidal mixing are 418 pronounced (Fer et al. 2020), locally increases sea surface temperature by more than 3°C (June/ 419 July average, data not shown), leading to a strongly diminished sea ice concentration in summer 420 (Fig. 9f). Averaged over the Atlantic sector, sea surface temperature is higher by around 0.1°C 421 in the presence of tides (Fig. 10c). However, this region is also a hotspot for ice advection, e.g., 422 southward in the East Greenland Current, and ice dynamics are not only influenced by tidally 423 induced changed in thermodynamics, but also by changes in the surface current patterns. 424

In contrast, tides slightly increase sea ice at the marginal ice zone in the eastern Barents Sea near Novaya Zemlya, shifting the sea ice edge further south. Winter sea ice thickness is overall reduced in the presence of tides, with a very pronounced reduction in the Canadian Archipelago.



FIG. 9. First row: Regional distribution of sea ice concentration for the model run with tides. Second row: Difference in modeled sea ice concentration for the run with and without tides. Third row: Regional distribution of sea ice thickness (m) for the run with tides. Fourth row: Difference in sea ice thickness (m) for the run with and without tides. First column are annual mean values, second column are winter (January/February) mean values, and third column are summer (June/July) mean values. Positive values in (d)-(f), (j)-(l) indicate higher sea ice concentration /thicker sea ice when tides are included in the simulations, respectively.

A slightly increased sea ice thickness is found north of Greenland (Fig. 9k). The northward shift
of the marginal ice zone in Baffin Bay and Fram Strait, the increased open waters around Yermak
Plateau, as well as the ice thickness reduction in the Canadian Archipelago in winter determine the
annually averaged effect of tides in these regions.

In summer (Fig. 9f,1), tides cause an overall strong increase in sea ice concentration in central Baffin Bay. A reduction of sea ice in the northern and southern Baffin Bay points to a change in ice advection patterns by residual tidal currents as described in Kwon and Lee (2016), supported by the simulated high residual ice velocities in Baffin Bay in this study (Fig. 8). However, the regional patterns of tidal influences on sea ice in Baffin Bay are complex, and a detailed analysis lies outside the scope of the presented study.

We also find an increase in summer sea ice concentration in the Laptev and East Siberian Sea, 444 especially on the shelves, which dominates the annual mean signal in this region (Fig. 9d,f). 445 Tidal currents here are stronger than in the central basins (Fig. 6c), especially on the (outer) shelf 446 (Janout and Lenn 2014). Changes in sea ice advection by tides are generally small and confined 447 to the marginal ice zone in this region, pointing to local thermodynamics as a main driver for the 448 increased sea ice concentration (see section 5 below). In contrast, tides reduce sea ice concentration 449 in the Fram Strait and Barents Sea, in line with an increased upward heat flux from the regionally 450 shallower and warmer Atlantic Water layer. Sea ice thickness follows a roughly similar pattern, 451 with an overall thinning near Fram Strait and Bering Strait, and the regions directly downstream, 452 and in the Canadian Arctic Archipelago. Sea ice is thicker in the Laptev Sea and East Siberian Sea 453 near the coast and in central Baffin Bay when tides are included in the simulations. 454

5. A summer sea ice increase in the Siberian Seas

Integrated over the whole Arctic, tides cause a reduction in sea ice throughout most of the year. When including tides, sea ice area (thick black line in Fig. 10a) is reduced by 0.5-1%, and the average sea ice is 2-6 cm (or 1-5%) thinner, leading to a reduction of the overall sea ice volume by around 2% (thick black line in Fig. 10c). A surprising exception not reported in previous studies is found in June and July, when the sea ice area is around 1.5% *larger* when tides are included in the simulations. Compensated by thinner sea ice, the overall effect on sea ice volume is still negative, but less pronounced (1% instead of 2% reduction) during this time period. The time between July



FIG. 10. Difference between modeled daily average (a) sea ice area (km²), (b) sea ice thickness (m), (c) sea ice volume (km³), and (d) sea surface temperature (SST, °C) for the runs with and without tides, divided into the contribution by sectors. Siberian Seas is indicated in teal lines, Baffin Bay in orange, the Atlantic Sector in pink, the total seasonal differences are black lines. Changes in the other sectors marked in Fig. 2 are much smaller and only indicated in gray lines for better readability. In (b), sea ice thickness differences in Baffin Bay are occasionally much higher than elsewhere, up to 0.4 m, and therefore not displayed.

⁴⁶³ and September is also characterized by a slightly lower average sea surface temperature north of ⁴⁶⁴ 66°N (thick black line in Fig. 10d), indicating that tides might affect sea ice via thermodynamic ⁴⁶⁵ processes, e.g., via an enhanced downward heat flux in the surface ocean as suggested by the ⁴⁶⁶ observational findings outlined in section 1. When dividing the tidal effects on sea ice properties into the regional contributions of the different sectors defined in section 2 (Fig. 2), we find that the summer sea ice area increase in June/July is dominated by changes in sea ice area and volume in the Laptev and East Siberian Seas (teal lines in Fig. 10a,c). This effect is strongest beginning of July, when sea ice volume increase by tides in the Siberian Seas accounts for a difference of 1% in the *pan-Arctic* integrated sea ice volume.

To identify the dominant processes driving the positive regional sea ice anomaly in the presence of tides, we decompose the contributions of individual processes to the temporal evolution of normalized sea ice thickness h_{ice} (m), defined as the sea ice volume in the Siberian sector divided by the total area of the sector as shown in Fig. 2:

$$\partial_t h_{\rm ice} = G^{\rm adv} + G^{\rm thermo}$$

Here, G^{adv} is the rate of change of h_{ice} due to sea ice transport across the boundary of the Siberian sector, while G^{thermo} denotes the rate of change of h_{ice} driven by thermodynamic processes within the Siberian sector. G^{thermo} can be further decomposed into

$$G^{\text{thermo}} = G^{\text{ocean}} + G^{\text{atmos}} + G^{\text{other}},$$

where G^{ocean} represents the contribution of melting by ocean temperatures above the freezing point. 479 This term is always negative, as sea ice cannot form via this process. The term G^{atmos} represents the 480 rate of change in h_{ice} by atmospheric forcing, including ice formation via the vertical conductive 481 heat flux through sea ice, ice formation in open water and ice melting by warm air temperatures. 482 The contribution from other processes, G^{other} , including flooding events that transform snow into 483 sea ice and sublimation, is found to be negligible. The sea ice budget in our model framework 484 closes to machine precision. For easier interpretation, all the process rates (in m s⁻¹) have been 485 integrated over the respective months to express the absolute change (in m) between the successive 486 monthly model states (Fig. 11a-f). For example, a value of $\Delta h_{ice} = 0.4$ m for the model run including 487 tides in January (leftmost black diamond in Fig. 11a) indicates that the normalized sea ice thickness 488 in the Siberian sector increased by 0.4 m during that month. This increase is primarily driven by 489 local thermodynamic processes (G^{thermo} , purple bars in Fig. 11a, purple diamonds in Fig. 11d), 490

specifically by new ice formation in either open water or via conductive heat loss from the ocean to the atmosphere (G^{atmos} , blue bars is Fig.11d).

Local thermodynamic processes (purple bars, Fig. 11a) follow the seasonal cycle of ice formation 493 (October to April) and melting (June to August) in the Siberian sector, and typically dominate the 494 total regional change in sea ice (black diamonds, Fig. 11a) – except during the period March 495 to April, when sea ice advection out of the Siberian sector (yellow bars, Fig. 11a) becomes the 496 dominant process. The local thermodynamic processes are primarily governed by atmospheric 497 forcing (blue bars, Fig. 11d). Melting of sea ice by oceanic heat occurs year-round at a small scale, 498 but contributes significantly to the sea ice budget only in summer (June to October, Fig. 11d). 499 The sea ice budgets for the simulations including and excluding tides (Fig. 11a,d and Fig. 11b,e, 500 respectively) are qualitatively similar. The difference between the two simulations (Fig. 11c,f) is 501 about an order of magnitude smaller than the absolute values. 502

Tides have a pronounced effect on sea ice advection in spring, especially in April, when they 514 reduce sea ice export from the Siberian sector (yellow bars, Fig. 11c), resulting in thicker sea ice 515 in the fully ice covered region. The impact of tide-induced changes in thermodynamics processes 516 on sea ice is generally high in summer (June to August) but small during other months (Fig. 11f). 517 In June, less sea ice is melted by the ocean in the presence of tides (green bars, Fig. 11f), linked 518 to lower sea surface temperatures (Fig.10d) in the region during this period. This combination 519 of advective and local effects leads to the peak positive sea ice volume anomaly in the presence 520 of tides at the end of June (Fig. 10c). In July, lower sea surface temperatures persist, resulting 521 in reduced melting in the presence of tides. However, that effect in compensated by enhanced 522 atmospheric sea ice melting, which becomes more effective with the relatively larger sea ice cover 523 in the presence of tides. Differences in advective patterns play only a minor role during this period. 524 In August, all local processes contribute to increased sea ice melting in the region (Fig. 11f), 525 reducing the differences in sea ice volume and sea surface temperature between the simulations 526 with and without tides (Fig. 10). This trend continues into the fall, albeit less strongly, and, together 527 with ongoing differences in sea ice advection, further reduces the sea ice state differences between 528 the simulations until complete ice cover is reached in early November. 529

⁵³⁰ Vertical ocean heat fluxes differ between the simulations due to a combination factors, including ⁵³¹ prior differences in ocean and sea ice states, shifts in the mesoscale velocity field, and changes



FIG. 11. Partitioning of the monthly change in Siberian sector (see Fig. 2) normalized sea ice thickness 503 $(\Delta h_{ice} \text{ in m, black diamonds})$ due to sea ice advection across the sector boundaries (G^{adv} , yellow bars) and local 504 thermodynamics (G^{thermo} , purple bars) for (a) the simulation including tides, (b) the simulation excluding tides, 505 and (c) the difference between the two simulations, i.e., (a) - (b). Further partitioning of the monthly change in 506 Siberian sector effective sea ice thickness due to local thermodynamics (G^{thermo}, purple diamonds, corresponding 507 to the purple bars in (a)-(c)) into melting by the ocean (G^{ocean} , green bars), sea ice formation of melting by 508 atmospheric forcing (G^{atmos} , blue bars) and other processes (G^{other} , brown bars) for the simulation (d) including 509 tides, (e) excluding tides, and (f) the difference between the two simulations, i.e., (d) - (e). Seasonal variability of 510 the average upper ocean vertical heat fluxes (W m⁻²) in the Siberian sector for the simulation (g) including and 511 (h) excluding tides, and (i) the difference between both simulations. Positive values in (g), (h) indicate upward 512 heat transport, daily heat flux data are smoothed with a 7-day running mean for better visibility. 513

in the local dynamics, e.g., increased kinetic energy in the presence of tides. These differences
 are strongly heterogeneous across small temporal and spatial scales, and the respective drivers of

these differences cannot be separated within the model framework. Especially the increasing sea surface temperature difference between the simulations including and excluding tides starting in June (Fig 10d) complicated the interpretation of near-surface vertical heat fluxes.

Between November and May, near-surface vertical heat fluxes are small and generally directed 537 upward in both simulations (Fig. 11g,h), as deep warm water is the only heat source in the absence 538 of solar warming. The presence of tides during this period only leads to minor changes in heat 539 transport, with no consistent directional signal (Fig. 11i). In June, when the sea ice is breaking 540 up, the magnitude of heat fluxes increases, likely to stronger near-surface mixing. Heat fluxes are 541 now a combination of upward heat transport from deep, warm water layers and downward heat 542 transport from solar warming, with upward heat transport still dominating in June (Fig. 11g,h). 543 However, in the presence of tides, this net upward transport is less pronounced (negative values 544 in Fig. 11i). In July and August, the near-surface heat transport switches sign and is directed 545 downward (Fig. 11g,h), the downward transport is slightly weaker in the presence of tides (positive 546 values in Fig. 11i). While this reduced downward heat transport can be partly attributed to the 547 relatively lower sea surface temperatures in the presence of tides (Fig. 10d), it is also consistent 548 with the decrease of sea ice volume difference between the simulations, starting in July (Fig.10c), 549 and the increased melting of sea ice in August (green bar, Fig. 11f). In September and October, 550 heat accumulated in the upper ocean is lost to the atmosphere again, more pronounced in the 551 simulation excluding tides (Fig. 11g-i) with is characterized by warmer upper ocean temperatures 552 throughout the summer. However, differences in local ice melting or formation during this period 553 are considerably smaller compared to the period June to August. 554

In contrast to other Arctic regions, particularly the Atlantic sector, where tides enhance vertical 555 mixing and transport more heat toward the surface (data not shown), the broad shelves of the 556 Siberian Seas lack a subsurface heat source, such as warm Atlantic or Pacific Water. As a result, no 557 additional heat is available to the surface layer when vertical mixing is increased by tidal processes. 558 More generally, tides can inhibit sea ice melting in summer in regions that meet the following 559 conditions: (a) Tidal velocities are strong enough to significantly alter vertical mixing near the 560 surface; (b) Deep heat reservoirs (e.g., Atlantic or Pacific Water) are either absent (as in shallow 561 shelf seas), located well below the surface, or separated from the surface by strong stratification 562 that limits upward heat transport; and (c) Sufficient open water is present to allow solar heating and 563

establish a surface temperature gradient. Additionally, the impact of this process is modulated by 564 advective patterns, including those induced by tides, which can retain sea ice within regions where 565 tidal processes reduce summer sea ice melting, rather than transporting it into areas with higher 566 melt potential, such as the Atlantic sector. In the Arctic, our results suggest that this combination of 567 factors is most prominently expressed over the wide Siberian shelves, with only minor expressions 568 in smaller regions on other Arctic shelves or in Baffin Bay. In the (seasonally) ice-covered Southern 569 Ocean around Antarctica, tidal velocities are particularly strong in the Weddell Sea (Padman et al. 570 2018, their Fig. 9). There, deep warm water masses are located at greater depths and are colder 571 than their Arctic counterparts (e.g., Azaneu et al. 2017), making this region a potential candidate 572 for tidal suppression of summer sea ice melt. A similar modeling framework to the one applied 573 in this study could be used to investigate whether tides may also contribute to preserving summer 574 sea ice area around Antarctica by enhancing downward surface heat flux and supporting favorable 575 advective patterns. 576

577 6. Discussion

⁵⁷⁸ a. Comparison to previous modeling studies

Most results from our state-of-the-art model simulations confirm previous findings, as outlined 579 in detail below. Tides generally decrease sea ice volume in the Arctic, by elevating the turbulent 580 upward heat flux from the warm, deep Atlantic Water layer. This effect is subject to strong regional 581 variability, with hotspots in the Canadian Archipelago, around the Yermak Plateau, and in Fram 582 Strait. Ice advection and redistribution by residual tidal currents are pronounced in Baffin Bay. 583 Increased ice formation in winter due to elevated lead formation in the presence of tides plays a 584 minor role, according to our model results. In contrast to all previous studies, we find an unexpected 585 seasonal increase in Arctic sea ice area caused by tides (Fig. 10a), dominated by processes on the 586 Siberian shelves. These model results, in conjunction with observational data (Fig. 1, section 6b), 587 point to a previously overlooked effect of tides on sea ice: the deceleration of summer ice melt in 588 regions with tidally increased surface downward heat transport. 589

The first three-dimensional modeling study investigating the effect of tides on sea ice in the Arctic was conducted when computational resources prohibited the explicit inclusion of tides in a pan-Arctic model (Holloway and Proshutinsky 2007). The effect of tides was hence parameterized

by adjusting modeled bottom layer turbulent dissipation rates, decaying exponentially with distance 593 from the sea floor to the corresponding depth-integrated values derived from a two-dimensional 594 model (Kowalik and Proshutinsky 1993, 1994). Including this tidal parameterization in the model 595 simulations increased the ventilation of the Atlantic water layer, i.e., elevated upward heat flux, 596 and led to a reduction in sea ice thickness of, on average, 0.6 to 4.5 cm, depending on parameter 597 choices. This reduction roughly matches the range of 2-6 cm (seasonal variability) found in this 598 study. The approach of Holloway and Proshutinsky (2007), however, excludes the contribution to 599 vertical mixing by baroclinic tides, and enhanced mixing in the surface layer. 600

About a decade later, the most recent model study investigating the role of tides in the Arctic was 601 published (Luneva et al. 2015). The spatial resolution of the model used in Luneva et al. (2015) 602 ranges between 6–15 km, two to five times coarser than in the setup presented here, and includes 50 603 vertical levels, with the upper 20 being terrain-following. They use a similar background diffusivity 604 of 10⁻⁶ m² s⁻¹, and a second-order turbulence closure instead of KPP. Boundary conditions are 605 taken from a global simulation of a similar model setup excluding tides. In contrast to our study 606 with emphasis on the spatial and seasonal variability of different processes associated with tides, 607 Luneva et al. (2015) focus their analysis on how tides impact Arctic sea ice and water mass 608 transformation trends on a multi-decadal scale. 609

The model results presented in Luneva et al. (2015) show a reduction in sea ice thickness by 610 2-5 cm in the presence of tides, in line with our findings, with a regionally strongest decrease in 611 sea ice thickness in the Canadian Archipelago, around Svalbard, and north of Greenland, again in 612 line with our findings (Fig. 9d). In contrast, Luneva et al. (2015) identified the Laptev and East 613 Siberian Seas as sea ice thickness reduction hotspots, while we see an increase in sea ice thickness 614 in this region. For sea ice volume, Luneva et al. (2015) report a sea ice volume reduction by on 615 average 3.9%, and up to 6% in September and December when including tides, which is two to 616 three times larger than the sea ice volume reduction we find in our model results. 617

In addition, there have been regional studies on tidal effects on the sea ice. In the Barents and Kara Sea, Postlethwaite et al. (2011) report a decrease in ice thickness by a few centimeters associated with elevated upward ocean heat fluxes when tides are included, with strong regional gradients even within their smaller model domain, in line with our findings. They also find elevated ice production in winter, as more leads form in the presence of tides, and on average thicker sea

ice and more ice volume, a signal that is not dominant in our model results. Kwon and Lee (2016) 623 identified the Canadian Archipelago and Baffin Bay as a region where tides have a strong impact 624 on sea ice conditions. Again, the authors find large regional differences, with a 8.5% increase of 625 sea ice volume in Baffin Bay, resulting from sea ice convergence driven by residual tidal currents, 626 and a 17.8% decrease of sea ice volume in the Canadian Arctic Archipelago, where ice formation 627 in winter is reduced by elevated upward heat flux. These patterns are consistent with our findings 628 (see Fig. 9k for thinner winter sea ice in the Canadian Archipelago, Fig. 9f, 1 for the convergence of 629 sea ice in the central Baffin Bay). 630

Our study provides an update on the effects of tides on sea ice, a process that is largely ignored in present day simulations of the Arctic or global climate system. Our findings underscore the potential of synergistic studies and emphasize the need for more observational data, also to refine subgrid-scale parameterizations in models. Combined with advances in numerical schemes and computational capacities that enable finer spatial and temporal resolutions, these refinements will help reduce model bias, progressively enhancing our representation of real-world processes and our understanding of the role of Arctic tides in the coupled Arctic climate system.

638 b. Observational evidence

The regional distribution of tides, water column stratification and advective processes are reasonably well reproduced in the presented model runs (see section 3). Turbulence and hence vertical heat fluxes, however, are derived from model parameterizations and associated with a larger uncertainty (see below). Direct observations of turbulence in the Arctic Ocean are sparse, and it is challenging to isolate the contribution of tides to vertical mixing in observational data. Nevertheless, existing measurements in various Arctic regions provide evidence supporting the presented model findings.

In the regions around the Yermak Plateau and in Fram Strait, we observed summer near-surface average heat fluxes of -2.1 W m⁻² (Fig. 1b), occasionally enhanced down to -40 W m⁻² when tides and sea ice drift are not in phase (see section 1). In the same data set from the MOSAiC campaign in 2020 (Rabe et al. 2022), upward heat fluxes from the Atlantic Water layer were found to be of comparable magnitude, on average 2.1 W m⁻², in the absence of strong wind events (Schulz et al. 2024). From a campaign in 2015 in the same region (N-ICE2015, Meyer et al. 2017), we know that ⁶⁵² in the presence of strong winds, upward heat fluxes from the Atlantic Water layer are much higher, ⁶⁵³ up to 100 W m⁻², especially in regions where the Atlantic Water core is very shallow, typically ⁶⁵⁴ present close to the source of the Atlantic inflow. It is hence realistic that enhanced upward heat ⁶⁵⁵ flux in the presence of tides dominates over enhanced downward heat flux in summer and that sea ⁶⁵⁶ ice reduction is particularly pronounced in this region in our model results.

On the continental shelf of the Laptev Sea, Lenn et al. (2011) report periodically elevated mixing 657 by shear production, when the shear vector across the pycnocline, set by the periodic variability of 658 the baroclinic M₂ tide, and the frictional surface stress at the sea ice interface, set by the relative 659 ice drift velocity, are aligned, similar to shear spikes associated with the alignment between the 660 shear vector and wind stress in temperate seas (Burchard and Rippeth 2009). The measurements 661 in Lenn et al. (2011) were obtained in October with a surface layer at freezing point, so we cannot 662 quantify any summer near-surface downward heat fluxes. However, no Atlantic Water is present on 663 the wide shelves of the Laptev or East Siberian Sea as a source of heat, and any elevated downward 664 heat flux in the presence of tides in summer will lower regional sea surface temperature and delay 665 the melting of sea ice on the shelf. In the weakly tidal continental slope region of the Laptev Sea, 666 Schulz et al. (2021) report a downward heat flux of on average -0.3 W m⁻² over the upper cold 667 halocline layer during September 2018 in open water or close to the marginal ice zone, resulting in 668 a heat flux convergence in the lower halocline. These observational results support the simulated 669 regional reduction of sea surface temperature and the associated increase in sea ice area here. 670

671 c. Model uncertainties

Numerical simulations are useful tools for mechanistic investigations of variability, but are subject to uncertainties arising from the initial and open boundary conditions, applied forcing, unconstrained model parameters, and structural model errors (Wunsch et al. 2009; Nguyen et al. 2020, 2021). While efforts were made to reduce some of these uncertainties by incorporating fields (e.g., atmospheric forcing adjustments, open boundary conditions) from a data-constrained state estimate (section 2), biases exist (section 3) that may impact the quantitative assessment presented above.

⁶⁷⁹ A key uncertainty is the representation of ocean turbulent mixing and hence vertical heat flux, ⁶⁸⁰ which plays a pivotal role for sea ice thermodynamic processes. The parameterization for turbulent

mixing applied in this study (KPP, Large et al. 1994) is widely used, but known to perform 681 differently across distinct turbulence regimes (Van Roekel et al. 2018). As for most turbulence 682 parameterizations, KPP has been developed for application in the open ocean and introduces biases 683 in the representation of under-ice mixing (e.g., Rosenblum et al. 2021), as energy conversion 684 processes the frictional under-ice boundary layer might differ from the wind-and-wave generated 685 surface mixed layer in the open ocean. Further biases might be introduced by parameterizing 686 convection by brine rejection using convective adjustment (Marotzke 1991), which was tested for 687 surface cooling in the open ocean (Klinger et al. 1996). Furthermore, KPP relies on the assumption 688 of a well-mixed surface mixed layer. In spring and summer, surface buoyancy input from sea ice 689 melting stabilizes the water column and can inhibit the formation of a well-mixed surface layer at 690 least during quiescent ambient conditions (Schulz et al. 2024), rendering the concept of a "mixed 691 layer" problematic. Unfortunately, there is currently no turbulence parameterization available that is 692 specifically designed and tested for under-ice conditions, primarily due to the lack of observational 693 data. The refinement and validation of turbulence parameterizations using observational data 694 (Souza et al. 2020), e.g., the recent year-long MOSAiC turbulence dataset (Schulz et al. 2022a), 695 could reduce model uncertainties associated with the representation of vertical mixing in under-ice 696 environments and is an important avenue for future work. 697

An additional model deficiency common to other large-scale ocean and climate models is the 698 inability to skillfully simulate landfast ice (Lemieux et al. 2018), which constitutes an important 699 fraction of Arctic sea ice and typically persists on the Arctic shelves for 7-9 months each year (e.g., 700 Yu et al. 2014). Landfast sea ice decreases atmosphere-ocean exchanges (i.e., of heat, moisture and 701 momentum), reduces mixing in the underlying water column, and alters halocline stability (Itkin 702 et al. 2015). It is observed to extend furthest offshore in the East Siberian, Laptev and Kara Seas 703 (e.g., Yu et al. 2014), which are key areas of strong tidal mixing and delayed summer melting in our 704 model (Fig.9f,l). Efforts to improve the simulation of landfast sea ice include incorporation of a 705 basal drag to enable grounding in regions shallower that a critical depth (Lemieux et al. 2015) and 706 increasing tensile strength to enable arching and maintain the landfast ice cover (Itkin et al. 2015; 707 Lemieux et al. 2016). Lemieux et al. (2018) investigated the impacts of tidal forcing on landfast sea 708 ice including these combined schemes, showing an overall decrease in landfast sea ice extent. This 709 decrease was shown, however, to be largely restricted to areas of strongest tidal forcing (i.e., the 710

Canadian Arctic Archipelago), with negligible impacts in the eastern Arctic. More recent work has demonstrated that augmenting the basal drag scheme with a lateral drag parameterization further stabilizes landfast sea ice in deeper regions including the Kara Sea, where islands exist to serve as lateral anchor points (Liu et al. 2022). Future work should incorporate this latest parameterization to determine if an improved representation of landfast sea ice qualitatively impacts the results presented here.

Finally, we note that our assessment of tidal impacts is based on simulations of a single year (2014). Determining how tidally-driven mixing mechanisms vary interannually and under ongoing Arctic borealization (Polyakov et al. 2017, 2020a, 2023) should be addressed when longer simulations become available.

721 7. Summary and Conclusions

In this study, we investigate the effects of tides on Arctic sea ice based on the results of state-of-722 the-art numerical model simulations, including and excluding tides. Our model results confirm the 723 existence of strong regional differences regarding the dominance of different processes associated 724 with tides, and the overall negative impact on sea ice by elevated upward mixing of heat from 725 warm Atlantic Water. However, this negative effect is less pronounced than previously thought. 726 In contrast to all previous studies, we find an unexpected *increase* in summer sea ice area when 727 tides are included in the simulations, above the Laptev and East Siberian Sea shelves. In this 728 region, sea ice melting is delayed at the start of summer by an increased downward heat transport 729 of surface heat input, and the advection of sea ice into this region is seasonally increased by tides. 730 In contrast to regions further upstream of the boundary current, the warm Atlantic Water core is 731 located relatively deep in the water column, and does not reach the shallow shelf seas. 732

Our findings underscore the significant regional and seasonal variations in tidal effects on sea ice, posing challenges to assessing the accuracy of representing sea ice conditions in any model that does not incorporate tides, e.g., most ocean and CMIP6-style climate models. Summer sea ice concentration might be underrepresented in some areas, especially above the Siberian continental shelves, and over-represented in others. However, it is improbable that tides represent the primary source of uncertainty in both hindcasts and future projections of Arctic sea ice, given the multitude of contributing factors (Bonan et al. 2021). Notably, the difference resulting from including tides

in our simulation is small compared to the large inter-model spread of sea ice area over recent 740 decades in climate models (Notz and SIMIP Community 2020). The latter is partly attributed 741 to the large internal variability in sea ice predictions mostly arising from atmospheric variability 742 (Jahn et al. 2016; Dörr et al. 2021), which in turn affects the oceanic heat import from the Atlantic 743 and subsequent vertical heat flux (Polyakov et al. 2023). This highlights the complex interplay of 744 various factors contributing to the overall uncertainty in Arctic sea ice projections. Nonetheless, 745 while unlikely to be the dominant source of uncertainty in sea ice predictions, particularly in higher 746 resolved coupled sea ice-ocean hindcast models for regions with notable tidal activity, the exclusion 747 of tides can introduce considerable errors in sea ice conditions. These errors may subsequently 748 translate into errors in the prediction of biogeochemical and ecological parameters in coupled 749 model frameworks, e.g., by the misrepresentation of light and nutrient availability due to biases in 750 local sea ice concentration and vertical mixing. 751

As sea ice is overall declining in the Arctic, longer ice-free seasons will lead to longer periods 752 of strengthened tides (Rotermund et al. 2021). In regions where tides contribute to sea ice loss by 753 upward heat flux, this is a positive feedback mechanism that could accelerate the ongoing sea ice loss 754 associated with anthropogenic climate change. On the other hand, in regions where tidally-induced 755 downward heat flux at the surface dominates over upward heat flux from deeper layers in summer, 756 i.e., where sea ice melting is delayed by tides, sea ice loss trends might be less dramatic, resulting in 757 relatively longer sea ice cover compared to adjacent regions. In combination with elevated surface 758 nutrient (re-)supply induced by stronger vertical mixing (Schulz et al. 2022b), these regions might 759 provide increasingly beneficial conditions for under-ice algae blooms, allowing for longer growing 760 seasons and forming safe havens for the ecosystems endemic to the Arctic. However, the Laptev Sea 761 in particular is also subject to changes by Atlantification (Polyakov et al. 2017), i.e., a progression of 762 conditions rather typical for the Atlantic Ocean along the pathway of the Arctic Boundary Current, 763 entailing competing effects of a shallower Atlantic Water layer, enhanced upward vertical heat flux 764 and hence sea ice reduction. Future monitoring of both oceanographic and sea ice conditions as 765 well as advances in numerical modeling are needed to disentangle distinct drivers of changing 766 conditions and accurately predict trends for Arctic sea ice. 767

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Data availability statement. Model results used in the study are available at Nguyen (2022).
 Observational data shown in this study are publicly available at Schulz et al. (2023b) for the
 MOSAiC microstructure profiles; Baumann et al. (2021) for the MOSAiC 75 kHz ADCP and
 position data; Muilwijk and Polyakov (2022) for the Arctic Ocean hydrographic profiles, and
 Polyakov (2016) for the NABOS mooring array.

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