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# 1 Mapping textures of polar ice cores using 3D laboratory X-ray microscopy

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# 12 **ABSTRACT**

Deep ice cores from polar ice sheets enable reconstructions of Earth's past climate. Ice-core 13 records are therefore crucial for projecting future climate change, however, our ability to 14 15 interpret them relies on our understanding of polycrystalline-ice microstructures and mechanics. In turn, these microstructures enable modeling of ice flow and large-scale effects of 16 ice-sheet evolution. Since drilling began in the 1950s, the ice textures and climate proxies 17 18 developed to decipher ice-core records have been analyzed in one- or two-dimensional (2D) 19 spaces, necessitated by the analytical instruments of core-processing lines and laboratories. 20 Here we develop a three-dimensional (3D), non-destructive approach to textural analysis that 21 preserves the natural context of ice and complements standard methods. Our method combines lab-based absorption and diffraction contrast tomography to simultaneously 22 visualize, measure, and spatially correlate ice grains and air bubbles from volumetric and 3D 23 24 crystallographic perspectives, both lost during traditional sample preparations. We evaluate the representation of 3D versus 2D data and discuss how access to both c- and a-axis directions of 25 26 grains may help constrain micromechanical models. We also built a specially designed cooling 27 device for the laboratory X-ray system to extend observational volumes by several orders of magnitude over previous synchrotron-based measurements. 28

#### 30 **1 INTRODUCTION**

Continental ice sheets covering Greenland and Antarctica play pivotal roles in Earth's 31 32 climate system. Deep drill cores of these ice sheets reveal they have captured and preserved their response to past climates continuously over the last one hundred thousand to one million 33 years, providing a means to reconstruct the past to help predict future climate and project 34 changes in ice-sheet mass, geometry, and sea-level (e.g., Faria and others, 2014; Lauritzen and 35 others, 2024). Reconstructions of climate records and ice dynamics use the same archive of ice-36 37 core layers and are interdependent in many ways. That is, when these layers formed through the burial and compaction of recurrent snowfalls, they trapped ancient atmospheres in the 38 39 form of air bubbles, airborne particles, and elemental and isotopic abundances, but interpreting their stratigraphic chronology relies on our understanding of ice-grain mechanics and 40 41 interactions with these climate proxies (e.g., Faria and others, 2010; NEEM community 42 members, 2013; Faria and others, 2014; Westhoff and others, 2021). More specifically, how microstructural mechanisms facilitate the creeping flow of ice sheets at the crystal-scale not 43 44 only informs the origin and integrity of ice-core stratigraphy but also allows large-scale icesheet evolution and its climatic effects to be modeled (Fan and Prior, 2023; Gerber and others, 45 2023; Zhang and others, 2024). Our ability to interpret such information, however, 46 47 fundamentally relies on our approach to textural analysis (grain and bubble morphologies, sizes, orientations) and how we access ice layers to begin with. 48 49 Since polar ice core drilling began in the 1950s, scientists have accessed thousands of 50 meters of ice-sheet stratigraphy by developing what are now standard core-processing techniques. These include an assembly line of instruments and saws to measure along-core 51 properties and to send samples to various labs for gas, chemistry, and isotope analysis. 52 53 Although traditional sample preparations and analytical techniques involve dissection of the ice cores and can remove important three-dimensional (3D) context (Westhoff and others, 2021; 54 Disbrow-Monz and others, 2024), one- and two-dimensional (2D) analyses have led to detailed 55

climate-record reconstructions and form the basis of most textural studies carried out to date

57 (e.g., Svensson, Baadsager, and others, 2003; Durand and others, 2006; Binder and others,

58 2013; Montagnat, Azuma, and others, 2014; Fan and others, 2021; Stoll and others, 2024). A

question remains, however, as to what scientists can learn if they initially and routinely have
 access to ice-core textures in 3D.

Progress towards 3D characterization of snow and ice textures has been made over the 61 62 last two decades using X-ray microtomography. Air bubbles in deep ice cores are often relatively easy to wholly visualize by X-ray attenuation given their small scale and low density 63 compared to ice grains, making it possible to measure porosity and contextualize trapped 64 greenhouse gases (Burr and others, 2018; Baker, 2019). However, in both synchrotron- and lab-65 based X-ray systems, challenges surrounding the necessary cold analytical environments and 66 sample-size limitations mean that sufficient grain numbers with crystal orientation 67 measurements have not been achieved (e.g., Flin and others, 2004; Schneebeli and Sokratov, 68 2004; Kaempfer and Schneebeli, 2007; Rolland du Roscoat and others, 2011; Heggli and others, 69 2011; Riche and others, 2013; Granger and others, 2021). 70

However, crystal orientations are thought to play a critical role in ice-sheet evolution, 71 which begins with the intrinsic visco-plastic anisotropy of each ice crystal's hexagonal structure 72 (Fan and others, 2021; Fan and Prior, 2023). The basal plane in ice has a relatively low 73 resistance to shear, thus the gravity-driven flow occurring in ice sheets causes dislocation slip 74 75 mainly along the basal plane, while the crystal *c*-axis tends toward the compressive-stress direction (Gow and Williamson, 1976; Alley, 1992). This leads to a bulk anisotropy manifesting 76 in crystallographic fabrics that develop under continued snow deposition, burial, and ice 77 deformation (Gow and Williamson, 1976). In effect, feedback occurs between fabrics and flow, 78 such that crystal orientations control the bulk mechanical behavior of the polycrystalline ice, 79 but the fabrics also evolve to conform to increased mechanical loading. Therefore, crystal 80 orientations exert an important rheological control on the large-scale flow of ice sheets (Duval 81 82 and others, 1983; Shoji and Langway, 1985; Gerber and others, 2023).

Depending on the extent and mechanisms of ice deformation, the *c*-axis fabric and mechanical anisotropy of the ice layers can vary and evolve considerably over the depth of the ice column (Faria and others, 2014). For this reason, crystal orientation measurements are routinely performed with automatic fabric analyzers (AFAs) or other mapping methods that rely on optical microscopy and cm-scale, 2D thin sections of ice cores (Wilson and others, 2003;

Kipfstuhl and others, 2006). Although these are high-resolution methods and can rapidly 88 89 measure *c*-axis directions for hundreds of grains, they cannot determine directions of the three 90 a-axes for each grain (Wilen and others, 2003; Wilson and others, 2014). This leaves 91 consequential gaps in textural data, such as whether grains are truly distinct from one another 92 outside the section plane (Disbrow-Monz and others, 2024), or whether a-axis directions can or should be used as strain markers to understand ice flow (Lilien and others, 2023). Furthermore, 93 94 an incomplete understanding of how to parameterize the elastic and visco-plastic anisotropies of individual grains partly prevents ice-flow models from accounting for their effects, at least to 95 the desired accuracy (Ranganathan and others, 2021; Richards and others, 2021; Lilien and 96 97 others, 2023; Ranganathan and Minchew, 2024). At present, coupling small-scale micromechanical models with large-scale ice-flow models is rarely considered for two main 98 reasons. First, it has proven challenging to incorporate realistic, yet efficient, representations of 99 crystal fabrics into large-scale models (e.g., Montagnat, Castelnau, and others, 2014; Lilien and 100 others, 2023). Second, only a few ways have been proposed to parameterize the predictions 101 102 made by micromechanical models, each with their own caveats and limitations (Placidi and others, 2010; Gillet-Chaulet and others, 2005). 103

104 In addition to crystal-orientation fabrics, more work is needed to understand the influence of grain sizes, shapes, boundaries, and even bubbles on ice metamorphosis and how 105 they should also be parameterized in models (Kuiper and others, 2020). However, collecting 106 this microstructural information has proven challenging for polycrystalline ice. Optical and cryo-107 electron backscatter diffraction (EBSD) techniques provide visibility of ice-grain boundaries, but 108 only in 2D (Prior and others, 2015). Whereas, X-ray tomography provides 3D context but 109 traditionally necessitates X-ray diffraction from mm-scale samples to distinguish individual 110 111 grains (Baker, 2019). Ultimately, gaining the ability to correlate 3D behaviors of microstructures 112 and bubbles in ice cores over large sample volumes could improve both paleoclimate reconstructions and the physical realism of large-scale ice-flow models. 113

Here we present a new non-destructive 3D method for textural analysis that complements standard methods used in ice-core studies. Our method makes it possible to simultaneously map ice grains and pore spaces in 3D, while measuring their volumes and

117 shapes with respect to complete crystallographic orientations of the grains. The method combines two lab-based X-ray modalities—absorption (ACT) and diffraction contrast 118 119 tomography (DCT)—which enable the visualization, measurement, and spatial correlation of 120 ice-grain and air-bubble textures, adding new perspectives on ice-core stratigraphy and new 121 ways to access modeling parameters. We achieve this by pushing the spatial resolution and sample volume limits of DCT in the lab and by mitigating challenges in X-ray diffraction posed 122 by crystal deformation. Our measurement approach is based upon a specially designed cooling 123 device that accommodates ~3 x 3 x 3 cm<sup>3</sup> samples containing hundreds to thousands of grains, 124 extending observational volumes of ice by one to four orders of magnitude over those quoted 5 125 to 30 years ago (e.g., Liu and others, 1992, 1995; Rolland du Roscoat and others, 2011; Baker, 126 2019). To demonstrate the power of greater spatial and statistical information, we evaluate the 127 representation of grain size distributions and orientations in 3D versus 2D, investigate grain and 128 bubble relationships in 3D, and discuss the relevance of complete 3D crystallographic 129 information in constraining micromechanical models that underpin current large-scale ice-flow 130 models. 131

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#### 133 **2 METHODS**

#### 134 **2.1** Building a freezer inside the laboratory X-ray microscope

A cooling device was designed at Lund University under the requirement that it (1) 135 keeps ice at a temperature well below -10°C and (2) maximizes sample size while minimizing 136 grain diffraction overlap, which we simulated beforehand. A sketch of the experimental set-up 137 is shown in Figure 1. Each sample sat directly on a 34 mm-diameter aluminum plate that was 138 cooled by a Peltier element. The hot bottom-side of the Peltier was kept cold using an external 139 140 chiller, attached to the device through an integrated slip ring system that held fluid hoses 141 stationary during sample rotation. Sample housing above the plate included a 27 mm-tall polymer (PMMA) tube with 3 mm-thick walls, as well as surrounding polyethylene foam with 13 142 mm-thick walls, bringing the total housing diameter to 66 mm. For 30 minutes, chiller fluid 143 cooling to 5°C was circulated through the device before powering the Peltier and setting it to -144 35°C, an unobtainable target that prevented temperature regulation. Plate sensor readings 145

- reached -29°C in 10 minutes and remained stable below each sample during data acquisition
- 147 (up to 24 hours). A sensor embedded 3 mm beneath the upper sample surface also read stable
- 148 at -21°C during a test. While not ideal, thermal gradients of ~0.3°C/mm across the ~3 cm
- sample height had negligible effects on ice microstructures at these temperatures and on our
- analytical timescales. Air temperatures between the upper sample surface and insulating foam
- reached -14°C in 5-7 minutes and typically continued to decrease by a few degrees.



- 153 **Figure 1.** The cooling device, sample and beam geometries used for lab-based multimodal X-ray imaging.
- 154 Examples of absorption and diffraction contrast projection data shown here correspond to a subvolume

of a firn sample described in Section 2.2. Source-to-sample and sample-to-detector distances are
 referred to as D<sub>S-S</sub> and D<sub>S-D</sub>, respectively, and are provided in Section 2.3.

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### 2.2 Selecting and working with ice core samples

We selected 10 samples from the Niels Bohr Institute's ice-core archive at the University 159 of Copenhagen. Samples include firn and Holocene-age deep ice from central, northwestern, 160 and southern drilling sites on Greenland (i.e., EGRIP, Eurocore, NEEM, and Dye-3; Fig. 2). For 161 experimental purposes, we targeted non-precious cores that had suffered drilling and recovery 162 complications in the field. Of the samples, we focused on three that cover a range in depth and 163 enabled us to develop methods and establish proof-of-concept (Fig. 2; Table 1). Each sample 164 captures less than one year, and in some cases less than one winter or summer season, based 165 on its thickness (0.03 m) and the annual ice-layer thickness at the depth it was extracted from. 166 The original cores have been stored at -30°C for durations that can be deduced from their 167 drilling dates in Table 1. 168

Sections of the 10 cm-diameter cores were sawn inside a walk-in freezer at the 169 University of Copenhagen and cut into smaller, ~3 x 3 x 3 cm<sup>3</sup> samples (Fig. 1). The samples 170 171 were then sealed in plastic bags and transported for 1 hour by cooler box to Xnovo Technology 172 in Køge, Denmark for X-ray imaging. The cooler box and its contents were stored for a few days to months between -20 and -25°C inside a small, temperature-monitored freezer next to the X-173 ray microscope. Ice exposure to room temperature lasted 2-4 seconds when samples were 174 transported by cold steel tweezers into the X-ray microscope cabinet. Mounted samples were 175 frozen to the aluminum plate using one drop of water, which temporarily increased the plate 176 temperature by 1°C. No ice metamorphosis occurred before or during data acquisition, 177 although an inconsequential <2 mm layer of frost commonly accumulated on sample surfaces 178 179 while inside the cooling device.

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Figure 2. Overview of the three ice-core sample datasets used for method development. We screened 183 184 samples from five ice-core drilling sites shown on the DEM of Greenland. In this paper, we present 185 sample data from the sites labeled in orange (also see **Table 1**). Sample depths are provided with 186 elevations and the final core depths at those drilling sites. EastGRIP was drilled between 2016 and 2023 187 within the active Northeast Greenland Ice Stream (NEGIS). The depth of the EGRIP firn sample is 188 estimated based on sample porosity and firn density profiles of NEGIS (Vallelonga and others, 2014). Eurocore was drilled in 1989, just 30 m away from the GRIP site (GReenland Ice core Project, drilled 189 190 1990-92). Our deepest sample is from the NEEM core (North Greenland Eemian ice drilling project), 191 drilled between 2009 and 2012. The observed differences in porosity and grain sizes between the three 192 samples generally reflect their different depths within the ice sheet.

Sample	Core site	Year drilled	Depth (m)	Date <sup>a-c</sup>	Age (ka)	Annual layer thickness (m/yr) <sup>a-c</sup>
Firn	EGRIP	2016	~65	~1620 CE	~0.4	0.12
Late Holocene	Eurocore (GRIP)	1989	236	~1030 CE	~1.0	0.25
Early Holocene	NEEM	2010	1324	~7610 BCE	~9.6	0.06

#### Table 1. Details of Holocene samples from Greenland ice cores

<sup>a</sup>Mojtabavi and others (2020); <sup>b</sup>Seierstad and others (2014); <sup>c</sup>Rasmussen and others (2013)

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## 2.3 Absorption and diffraction contrast tomography

Ice textures were characterized through correlative, multimodal X-ray imaging using a 196 Zeiss Xradia 520 Versa X-ray microscope equipped with a LabDCT Pro module. Absorption 197 contrast tomography was used to visualize sample morphology and determine the shapes of 198 pore spaces, including trapped (primary) air bubbles and secondary pores and fractures. 199 200 Whereas, diffraction contrast tomography was used to measure and map 3D crystallographic 201 orientations and shapes of grains. Grains can only be defined by DCT, because they lack 202 contrast in ACT due to their same composition and density. The crystal lattice distortion inherent to glacial processes prompted us to explore different X-ray scanning conditions to 203 optimize data collection from grains that are plastically deformed, a general challenge in X-ray 204 diffraction methods (Figure S1). 205

To optimize absorption contrast between ice and pore spaces, ACT scans were performed at 80 kV and 7 W. We placed the X-ray source at 61 mm and detector at 245 mm away from the sample to maximize spatial resolution while avoiding hardware collision with the cooling device (**Fig. 1**). These distances created a geometrical magnification of 5x that corresponded to a large, roughly 46 x 29 mm<sup>2</sup> field of view on the flat panel detector, which, as a result, produced a tomography image containing 3064 x 1936 pixels at a nominal size of 75 µm and effective size of 14.95 µm. We collected 3201 projections (images), each with 0.5 seconds of exposure, as the sample completed a 360° rotation. This ACT acquisition step took
less than 2 hours.

Directly after the ACT scan, we performed the correlative DCT scan. Given that many 215 216 grains lie in the X-ray beam's path, we optimized diffraction patterns for crystallographic reconstruction by performing DCT scans (110 kV, 10 W) with the flat panel detector in a 217 projection geometry close to Laue focusing (Bachmann and others, 2019), such that source-to-218 sample (200 mm) and sample-to-detector (245 mm) distances were similar enough to produce 219 radially-focused, linear diffraction spots that reduced spot overlap (Fig. 1). Two different 220 source-beam apertures were used depending on the extent of grain deformation, which causes 221 222 a radial spread of cloudy and elongated diffraction spots, known as asterism (Figure S1). A 375 x 375 μm<sup>2</sup> square aperture was used for firn and deep ice from <300 m depths. Whereas, a 200 223 µm circular pinhole aperture improved results for much deeper (1000s of meters) and more 224 deformed ice by (1) illuminating less sample volume per projection and (2) improving 225 diffraction spot definition by constraining spots to the aperture's pinhole shape. Depending on 226 the aperture used, our source distance gave roughly a 9 x 9 mm<sup>2</sup> to 5 x 5 mm<sup>2</sup> field of view. To 227 keep experiment times to a day, partial sample volumes of approximately  $3 \times 3 \times 1.7 \text{ cm}^3$  were 228 229 covered in one advanced helical phyllotaxis raster scan (Oddershede and others, 2022) 230 consisting of 1119 to 5061 projections, each with an exposure time of either 5 or 10 seconds for the larger aperture and 10 seconds for the pinhole. The DCT acquisition step took 231 approximately 5-6 hours when using the larger aperture and 20 hours using the pinhole. From 232 start to finish, each multimodal imaging measurement was completed in ~8-24 hours. 233

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#### 2.4 Data reconstruction and processing

#### 236 **2.4.1** *Ice and pore volumes*

We reconstructed sample volumes from the ACT data using cubic voxels with sidelengths of 14.95 μm. This was performed using a filtered back projection routine and the
reconstructor tool in ZEISS Scout-and-Scan software. Because individual ice grains have the
same density, they cannot be distinguished by way of X-ray attenuation and thus form one
continuous volume with homogenous grayscale intensity. Much lower density air bubbles >40

μm in diameter and apparent microfractures were detectable at the reconstructed voxel size,
 while dust impurities, which are typically on the order of a few micrometers, fell below the
 detection limit.

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# 2.4.2 Ice grain crystallographic orientations and morphologies

Using Xnovo Technology's GrainMapper3D software, crystallographic maps were 247 reconstructed from the DCT data with 60  $\mu$ m-wide cubic voxels inside the volume mask 248 obtained from the ACT data (Bachmann and others, 2019; Fig. 2). Natural ice on Earth occurs as 249 ice Ih (ice one hexagonal), which belongs to the hexagonal crystal system and dihexagonal 250 251 dipyramidal 6/mmm Laue class (and point group). Lattice parameters for space group  $P6_3/mmc$ of a = 4.489 Å, c = 7.327 Å and the four {*hkil*} families { $2\overline{110}$ }, { $10\overline{10}$ }, { $10\overline{13}$ }, and { $2\overline{112}$ } were 252 used to reconstruct ice grains from diffraction patterns. We use Figure 3 to show how we 253 define grains and subgrains in our data. Adjacent regions in 3D space were assigned to the 254 same "grain" if the voxel-to-voxel, crystallographic angular misorientation was <2° (EGRIP firn, 255 Eurocore deep ice) or  $<3^{\circ}$  (NEEM deep ice). Lower misorientation thresholds yielded large 256 numbers of low confidence grains a few hundred microns in size, which were interpreted to be 257 unphysical. Therefore, grain maps were cleaned by replacing any grain with a neighboring grain 258 259 that it shared the largest boundary area with, based on fulfillment of at least two of the following three criteria indicative of poor reconstruction: (1) a mean completeness of <60% 260 across the grain (completeness is defined as the ratio between the number of observed and 261 expected diffraction spots for a given orientation); (2) a grain volume less than  $8^3 = 512$  voxels 262 (equivalent sphere diameter <600  $\mu$ m); (3) a misorientation to a neighbor-of-a-neighbor grain 263 of  $<2^{\circ}$  (EGRIP firn, Eurocore deep ice) or  $<4^{\circ}$  (NEEM deep ice). 264

We calculated crystallographic misorientations using the difference between a reconstructed grain's average orientation and the orientations of individual voxels composing that grain (i.e., the grain reference orientation deviation). Because we considered adjacent voxels with <2-3° misorientations to be the same "grain", the maximum misorientations of subgrains with low-angle grain boundaries were defined to be <2° or <3°, depending on the specific sample. However, different misorientation thresholds can be tested to define grains

- and subgrains, depending on the scientific question of interest. We show in **Figure 3** that
- 272 combined maps of completeness, inverse pole figures (IPFs), and grain reference orientation
- 273 deviations (GRODs) can be used to characterize subgrains.
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276 Figure 3. Grain and subgrain definition in this study. Grains were reconstructed based on our chosen 277 scanning conditions and crystallographic misorientation thresholds described in the Methods. Virtual slices of 3D grain maps of the EGRIP firn sample are used here for demonstrative purposes, wherein we 278 279 defined grains based on a 2° misorientation threshold. Grain completeness typically drops both at grain 280 and subgrain boundaries, enabling their visualization. This is because of the 1:1 relationship between 281 the shapes of diffraction spots on the detector and the shapes of the diffracting volumes (i.e., grains or 282 subgrains), with the spot edges corresponding to grain and subgrain boundaries. As a note, the 283 completeness map underlies all other maps shown in the top row. The grain definition map reflects our 284 chosen misorientation threshold to define grains, resulting in groupings of adjacent regions defined by completeness drop-off and circled in black. When looking at IPF maps for XYZ directions, regions defined 285 286 as one grain appear with the same IPF color, consistent with the grain definition map. IPF coloring may be similar for neighboring grains above the chosen misorientation threshold, such as those circled by 287 288 red-dashed lines. The grain reference orientation deviation (GROD) maps verify that apparent subgrains

identified in previous maps can be interpreted as such, given that the locations of completeness drop off correspond to low-angle misorientation boundaries.

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# 2.5 Data analysis and visualization

Our analysis was focused on demonstrating the complementarity of 3D and 2D data, as well as the new possibilities offered by 3D data available through our method. Therefore, the analysis is limited in scope regarding ice mechanics and climate records. We instead focus on comparing traditional and new perspectives using a few of the most common types of texture analyses performed on ice cores. All analyses and 3D visualizations were generated using inhouse code at Xnovo Technology, GrainMapper3D (Bachmann and others, 2019), Paraview (Ayachit, 2015), or the Python package Vedo (Musy and others, 2025).

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### 2.5.1 Grain sizes

Grain size statistics were derived from both 3D reconstructed volumes and 2D cross-302 303 sectional slices for comparison. Two grain metrics, the equivalent grain diameter and major-axis length, were chosen to demonstrate the comparisons in Figures 4 and 5 (also see Fig. S2). For 304 each grain and each slicing axis (X, Y, Z), the mean, minimum, and maximum values of the 305 306 chosen 2D metric across all slices intersecting that grain were calculated. In the generated 307 scatter plots, each point represents a single grain, with the 3D metric value compared to the mean 2D metric value calculated from all slices intersecting the grain, either along a specified 308 309 slicing axis or XYZ axes combined. Vertical bars were added to each point (grain) to indicate the minimum and maximum 2D metric value observed when slicing along a specified axis. 310 Additionally, grain size distributions based on the equivalent diameter were visualized through 311 312 histograms that emphasize the contribution of different sizes to the total volume (for 3D) or total area (for 2D slices). For demonstrative purposes, grain-related analyses include grains that 313 intersect the sample margins due the presence of larger grain sizes (cf. Svensson, Baadsager, 314 315 and others, 2003).

# 317 **2.5.2** Grain orientations

Pole figure analysis involved plotting separately: (1) the number-weighted grain 318 319 orientations; (2) the volume-weighted grain orientations; and (3) all grains compared to those of separate grain size fractions for (1) and (2) (Figs. 6 and 7). To do this, we plotted and 320 symmetrized discrete c-axis and a-axes directions for each grain within the sample reference 321 322 frame (XYZ). The kernel density of the distribution of crystal axis directions was estimated using a de La Vallee Poussin kernel with a halfwidth (kappa) of 10°, and weighting them by number or 323 volume. A unit sphere grid with density weights was plotted in a filled tri-contour plot in an 324 325 equal-angle, lower-hemisphere stereographic projection.

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# 2.5.3 Pore positions and shapes

328 Pore analysis in 3D leveraged complementary information from ACT and DCT datasets. To gain insight into spatial relationships between pores and grains, we correlated ACT 329 330 segmentation and DCT grain labels. After segmenting pores from the ACT volume, a connected 331 components analysis was used to identify individual pores and calculate their voxel count, volume, equivalent sphere diameter, and centroid. Pore-grain adjacency was then determined 332 333 relative to the DCT grain map, such that the neighborhood of each identified ACT pore was examined within the corresponding region of the DCT volume. The pore-grain adjacency was 334 visualized by generating a surface mesh of grains in the DCT volume (colored by IPF 335 crystallographic orientations) and overlaying it with the ACT-derived pore mesh (one color, 336 gray), which made pore and grain boundary surfaces visible. These surfaces provided a 337 visualization of ACT pores colored by the IPF orientation of their host grain(s). We then 338 characterized pores based on their *position* and size with respect to grain boundaries, 339 340 classifying them as "intragranular" or "intergranular" based on the number of unique adjacent 341 DCT grain labels. Counts and sizes of total, intragranular, and intergranular pores were analyzed statistically. Pores that intersect sample margins had a negligible effect on the analytical results. 342 To characterize each pore based on its *shape* and size, we made principal 343 measurements using ACT data and performed a convex hull fitting with a "minimum volume 344 enclosing ellipsoid" containing a set of points. Fitted axis measurements were made for 345

ellipsoids following the axis convention  $a \ge b \ge c$  [where ellipsoid factor, EF = c/b - b/a, and the 346 aspect ratio is a/c]. These measurements include axis length (L) and corresponding azimuth ( $\phi$ ) 347 and elevation ( $\theta$ ) after rotation. Analyses focused on measuring the extent and direction of 348 pore flattening or elongation with respect to the host grain's c-axis direction, as well as the 349 sample compression axis (Z), which is parallel to the ice-core axis. Only intragranular pores were 350 considered for the purpose of comparing results to those of Fegyveresi and others (2019), who 351 focused on intragrain deformation. Therefore, the intergranular pores touching grain 352 boundaries were excluded entirely from our shape-related analyses, while the intragranular 353 pores were also filtered to exclude those intersected by sample margins. 354

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#### 356 **3 RESULTS AND DISCUSSION**

#### 3.1 Visualizing ice grains and pores through correlative 3D images

Herein we demonstrate some of the utility of lab-based, multimodal (ACT + DCT) X-ray 358 359 tomography for the 3D analysis of ice cores. For brevity, we focus on one firn sample and two 360 deep ice samples that cover a range in depth and metamorphosis, as exemplified by differences in their porosities, grain sizes, shapes, and crystallographic orientations visible in Figure 2. 361 362 Again, reconstructing and correlating images of these parameters required the consecutive 363 collection of X-ray absorption and diffraction image data. When correlating the two image types, pores and ice grains are numerable and their spatial characteristics and relationships 364 measurable in 3D. Notably, the correlated images enable fast qualitative assessments of ice 365 366 core textures that can guide and contextualize measurements.

Prior to any quantitative analysis, there is visible evidence of crystallographic preferred orientations (CPOs) in the IPF maps in **Figure 2**. These maps are colored with respect to the "up" or vertical (Z+) direction of ice cores, perpendicular to the glacier surface. The dominance of red indicates a *c*-axis preferred orientation, such that many grains are oriented with the axis near-vertical. These observations match those commonly made from automatic fabric analyzer images of thin sections (e.g., Faria and others, 2014). The correlative maps also allow qualitative observations of *a*-axis CPOs, as well as volumetric differences in porosity and grain
 sizes between different samples.

For the samples we considered and the ~12-15 cm<sup>3</sup> volumes we correlated, we observed 375 representative air bubble sizes, shapes, and distributions, but capturing representative grain 376 sizes was challenging, as large grains can intersect sample margins or extend beyond imaged 377 volumes. Our observations are corroborated by previous documentations of bubbles, which 378 379 reach just tens of micrometers to millimeters beneath the lower part of the firn-column, where bubbles become closed-off (e.g., Bendel and others, 2013; Westhoff and others, 2024). In 380 contrast, ice grains can reach centimeters at depth and even meters near warm bedrock (Baker, 381 382 2019). While we achieve a total sample size of ~3 cm tall x ~3 cm diameter, which is much greater than sizes attempted previously in synchrotron- and lab-based multimodal experiments 383 (e.g., 2 mm thick up to 1 x 1 cm; Liu and others, 1992, 1995; Jia and others, 1996; Rolland du 384 Roscoat and others, 2011), we acknowledge that even larger samples would be ideal for 385 accurate characterizations of coarse-grained ice. However, larger samples equate to 386 measurement limitations, ranging from too many diffracting grains crowding the X-ray 387 detector, to resolution limits and long acquisition times. 388

389 Analyzing ice cores with grain sizes too large to capture whole is a problem for all 390 existing methods. When using optical microscopy and thin sections, the problem may be compounded by irregular grain shapes, such that duplicated measurements of grains appearing 391 more than once in the section will influence CPO analysis (Svensson, Schmidt, and others, 2003; 392 Monz and others, 2021). Furthermore, although cryo-EBSD has been applied to serial sections 393 of coarse-grained ice to identify representative volumes, shapes, and sufficient grain numbers 394 needed for accurate CPO characterizations, this is labor-intensive and can create positional and 395 396 angular uncertainties when recombining sections and stitching data together (Monz and others, 397 2021). We note that a stitching approach can also be taken with our X-ray method using serially cut ice blocks, which, when combined with recent advancements in 3D image correlation, could 398 eliminate many uncertainties and address large grain sizes. Effectively, the sample volumes we 399

400 imaged here balance the pros and cons of relatively large samples for lab-based DCT and

401 provide the option to collect lower- versus higher-resolution data to fit scientific questions.

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### 3.2 Contrasts between 3D and 2D grain size distributions

Ice rheology has both crystal orientation and grain size dependencies (Cuffy and others, 404 2000; Durand and others, 2006). How these characteristics vary across ice layers largely reflects 405 the deformation mechanisms driving the viscous flow of ice sheets under their own weight 406 407 (Ranganathan and others, 2021). Crystal orientation fabrics and grain sizes, however, are commonly decoupled (Svensson, Baadsager, and others, 2003; Faria and others, 2014). In thin 408 409 sections, the cross-sectional areas and shapes of grains change depending on burial depth, 410 temperature, amount of shear, or impurity concentrations. Observed trends between grain 411 area (2D) distributions and depth have led to informative grain growth models, although it has 412 been acknowledged that grain volume (3D) data would better suit these models (Svensson, Schmidt, and others, 2003). 413

Figures 4 and 5 demonstrate how volumetric data from 3D grain maps can be used to 414 assess the representativeness of 2D grain size distributions, which are the standard calculations 415 typically made using vertically, and sometimes horizontally, cut surfaces of ice cores (Faria and 416 417 others, 2014). While there are many ways glaciologists go about these calculations, we chose to compare 3D and 2D grain size distributions by calculating equivalent sphere and circle 418 diameters of grains from their volumes and cross-sectional areas, respectively. We also extract 419 420 the major- and minor-axis lengths of grains based on ellipsoid (3D) and ellipse (2D) fitting. We show in Figures 4 and 5 how vertical and horizontal cuts can impose bias on grain size 421 measurements and related calculations (also see Figures S2 and S3). This is a well-known 422 423 problem that continues to motivate refinement of statistical correction methods, as a single 2D measurement cannot capture the full grain shape and must be based on additional assumptions 424 (e.g., Morgan and Jerram, 2006; Mangler and others, 2022; Bretagne and others, 2023). To 425 demonstrate this dimensional bias statistically, we digitally cut the sample volume into vertical 426 and horizontal serial sections at intervals equal to the 60  $\mu$ m voxel size of the DCT grain map. 427 This enabled us to measure the mean circle (2D) diameter of each grain against its spherical 428

429 (3D) diameter, while noting the full range of circle diameters encountered (Figs. 4 and 5). We find that, on average, grain sizes would be severely underestimated in vertical cuts of our 430 samples, whereas grain sizes in horizontal cuts would be comparatively more representative 431 432 but with many overestimations (i.e. with respect to equivalent sphere and circle diameters). Using the major-axis, for example, consistently leads to underestimations of grain sizes in these 433 samples (Fig. 5 and S2). These results indicate the influence of grain shapes and, in particular, 434 the effect of oblate or flattened grains in the horizontal plane, which becomes prevalent in 435 deep ice due to high compressive stress. Horizontal cuts may be significantly biased when 436 bimodal grain sizes are distributed unevenly or in layers, as in the NEEM sample (Fig. 5). As one 437 would expect, the probability of making a cut that yields a 2D grain size distribution matching 438 439 the 3D one will be greater for samples with more uniform grain shapes and sizes, but this is improbable for samples containing high aspect ratio grains or varied sizes. Here we collectively 440 show how 3D grain maps provide insight into the relative contributions of grain shape versus 441 grain size, which may yield sample-specific correction factors for routine 2D grain size 442 calculations and help refine models of grain development and their utility (cf. Thorsteinsson 443 and others, 1997; Svensson, Schmidt, and others, 2003; Rollet and others, 2017). 444



446

Figure 4. Comparing grain size information between 3D grain maps and virtual 2D slices of the EGRIP firn 447 448 sample. (a) The 3D grain map, colored by grain diameter, was sliced orthogonally. (b) Grain size 449 distributions based on 3D data are compared to those calculated in single, orthogonal X and Z section 450 planes through the sample, which simulate vertical (X) and horizontal (Z) cuts typically made of ice cores. (c) Multiple XYZ slices in these plots represent 60 µm intervals (i.e., the reconstructed voxel size of 451 DCT data). Grain sizes calculated from 3D volumetric data are plotted against grain sizes calculated from 452 combined XYZ orthogonal slices, hence the 1:1 line, as well as the average 2D size of each grain found 453 454 across XYZ slices combined. Each grain's average size based on either all X-slices or all Z-slices is also

- 455 compared to its volume-calculated size, with the full 2D size range for each grain plotted as vertical bars.
- 456 Note that all plot diameters represent equivalent sphere (3D) or circle (2D) diameters.
- 457





Figure 5. Comparing grain size information between 3D grain maps and virtual 2D slices of the NEEM
deep ice sample. (a) The 3D grain map, colored by grain diameter, was sliced orthogonally. X and Z slices
simulate the typical vertical (X) and horizontal (Z) cuts made of ice cores. (b-c) Multiple XYZ slices in
these plots represent 60 μm intervals (i.e., the reconstructed voxel size of DCT data). Grain sizes
calculated from 3D volumetric data are plotted against grain sizes calculated from combined XYZ

orthogonal slices, hence the 1:1 line, as well as the average 2D size of each grain found across XYZ slices
combined. Each grain's average size based on either all X-slices or all Z-slices is also compared to its
volume-calculated size, with the full 2D size range for each grain plotted as vertical bars. Note that (b)
plots diameters represent equivalent sphere (3D) or circle (2D) diameters, whereas (c) plots the majoraxis lengths of grains based on ellipsoid (3D) and ellipse (2D) fitting.

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- 470

## 3.3 Crystallographic fabrics characterized by grain size and *c*- and *a*-axes

Glacial ice behaves like a plastically deforming monomineralic rock. Its creeping flow 471 causes crystallographic fabrics and structures to develop, like those in high-grade metamorphic 472 rocks of Earth's crust and mantle. The fabrics are manifestations of a mechanical anisotropy 473 that develops slowly through intracrystalline glide on the basal plane of ice, normal to the *c*-axis 474 of its hexagonal crystal structure (Duval and others, 1983). While there are several dislocation 475 slip systems in ice, the basal slip dominates deformation and explains why the *c*-axis 476 preferentially tends toward the direction of compressional stress (Weikusat and others, 2017). 477 As a result, polycrystalline ice becomes more difficult to compress as the basal planes of more 478 and more grains become perpendicular to the compressive direction (Durand and others, 479 2006). Therefore, it is necessary to track the strength and evolution of *c*-axis fabrics to 480 determine the induced mechanical anisotropy, which has bearing on calculations of the large-481 scale flow rates of ice sheets (e.g., Azuma, 1994; Montagnat, Castelnau, and others, 2014; Faria 482 and others, 2014; Fan and others, 2021). However, because slip is not necessarily isotropic in 483 the basal plane (Kamb, 1961), directions of the three *a*-axes are, in principle, also needed to 484 485 fully characterize deformation and might be a useful source of information to discern between models of fabric evolution. Yet, crystal *a*-axes are largely uncharacterized for polar ice cores 486 because standard optical techniques, being the universal Rigsby stage and automatic fabric 487 analyzer, simply cannot be used to measure them (Rigsby, 1951; Langway, 1958; Russel-Head 488 and Wilson, 2001; Wilen and others, 2003). Methods used to simultaneously measure c- and a-489 axes in the past, like etching and spot-based Laue X-ray diffraction, are time-intensive and 490 impractical (e.g., Matsuda, 1979; Miyamoto and others, 2011; Weikusat, Miyamoto, and others, 491 492 2011). Although modern cryo-EBSD can measure c- and a-axes and offers advantages in speed, resolution, and angular precision, it is destructive and does not currently offer volumetric 493

494 information necessary to fully characterize CPOs (Weikusat, de Winter, and others, 2011; Prior495 and others, 2015).

Our coupled measurements of grain volume and full crystallographic orientation enable 496 497 us to interrogate fabrics in ways not previously possible. For example, in Figures 6 and 7, we use volume-weighted pole figures to characterize fabrics at different grain size fractions and 498 compare them to traditional point-scatter and number-weighted pole figures that include all 499 grains. The comparison shows that weighting by volume versus number of grains does not 500 result in a significant difference in the distribution of crystal axis directions for our specific 501 samples, but the magnitudes of the distributions differ and may be relevant to different 502 503 questions concerning anisotropy. Such comparisons could be notably different for other ice samples, like those with more diverse grain size distributions. 504

Notably, we observe *c*-axis distributions commonly documented in ice cores, including 505 multimaxima, a broad or tight maximum around vertical, and a vertical girdle distribution, 506 which can be superimposed when plotting all grain sizes together. In other words, we identify 507 that different grain-size populations can contribute differently to the overall fabric developed in 508 each of our samples (Figs. 6 and 7; also see Figure S4). Therefore, 3D grain-population fabrics 509 510 could shed light on deformation or recrystallization mechanisms as they relate to grain size or 511 shape, for example, and may potentially be used to differentiate overprinted kinematics and deformation drivers (Weikusat and others, 2017; Qi and others, 2019; Fan and others, 2020; 512 Stoll and others, 2024). Additionally, while several studies have used high grain number 513 statistics to conclude that fabric strength can develop independently of grain size with depth, 514 those relationships were assessed based on measured changes in the mean crystal area across 515 thin sections (e.g., Svensson, Schmidt, and others, 2003; Svensson, Baadsager, and others, 516 517 2003). Pulling apart fabrics using volume-based grain populations, in hindsight, may even 518 permit improved interpretations of optical thin-section images that cover centimeters to 519 meters worth of ice cores.

520 Segregating *c*-axis fabrics can ultimately help characterize *a*-axis fabrics, a challenge 521 inherent to the presence of three indistinguishable *a*-axes in the basal plane. Distributions of *a*-522 axes appear considerably weaker by comparison, therefore we plot *a*-axes on a separate scale

unbound by the intensity of *c*-axis CPOs in Figures 6 and 7. For most grain size fractions in our 523 samples, the *a*-axes define a broad girdle that hosts multimaxima on a plane roughly normal to 524 the ice-core axis; whereas, a-axes of the largest grain sizes can deviate away from this plane 525 considerably. The mere presence of *a*-axis CPOs in our data is significant and corroborates 526 527 those observed in experiments and mountain glaciers, collectively indicating that slip is anisotropic in the basal plane wherein *a*-axes lie (Kamb, 1961; Montagnat and others, 2015; Qi 528 and others, 2019; Journaux and others, 2019; Monz and others, 2021). This suggests that *a*-axes 529 may contribute more to fabric development and therefore mechanical properties of ice sheets 530 than is currently accounted for. We note, however, that because ice cores rotate when they are 531 532 drilled and brought to the surface, the unconstrained nature of pole figures may make it challenging to interpret *a*-axis CPOs geographically, likely requiring statistically robust datasets 533 to fully evaluate their importance. Despite this challenge, access to *a*-axis information can 534 potentially provide a different avenue for validating homogenization schemes of 535 micromechanical models or to discern between these models, for example. 536 537





539 **Figure 6.** Comparing pole figure analysis for the Eurocore sample's grain orientations based upon count,

volume, and grain size fraction. Pole figures provide views down the sample Z-axis, parallel to the ice-

541 core axis. Analytical details are provided in the **Methods**. Note that glaciological studies employing EBSD

- of ice may instead represent the *a*-axes as  $[11\overline{2}0]$  (-a<sub>3</sub>), which is symmetrically equivalent to  $[2\overline{11}0]$  (+a<sub>1</sub>)
- 543 (e.g., see Qi and others, 2019).



Figure 7. Comparing pole figure analysis for the NEEM sample's grain orientations based upon count,
 volume, and grain size fraction. Pole figures provide views down the sample Z-axis, parallel to the ice core axis.

549

### 3.4 Misorientations across grains

550 Many microscopic mechanisms operate during ice deformation and to different extents over the depth of ice sheets, ultimately affecting the ice's macroscopic flow behavior 551 (Thorsteinsson and others, 1997; De La Chapelle and others, 1998; Montagnat and others, 552 553 2015; Ranganathan and others, 2021). Recrystallization mechanisms activate to reduce the strain energy that accumulates as neighboring grains interact and develop internal stress and 554 strain fields that can be highly heterogeneous near grain boundaries (Duval and others, 1983; 555 Montagnat and others, 2015). These processes can lead to grain boundary migration, grain 556 557 growth, or nucleation and introduce crystal lattice dislocations that manifest as substructures and subgrain boundaries (Montagnat and others, 2015; Rollet and others, 2017). 558

Measuring crystallographic misorientations across grains is commonly used to 559 understand areas of high dislocation density and recrystallization mechanisms. In this context, 560 cryo-EBSD has proven highly useful for mapping low-angle misorientations at resolutions of 10 561 562  $\mu$ m or higher, although some studies have employed lower (e.g., 50  $\mu$ m) resolutions to characterize subgrains (e.g., Montagnat and others, 2015, also see Weikusat, Miyamoto, and 563 others, 2011). Optical-based techniques, like AFAs, similarly provide a high (6  $\mu$ m) spatial 564 resolution but a lower angular resolution (3°) compared to EBSD (0.1-1°) (Prior and others, 565 1999; Winkelmann and others, 2020). However, cryo-EBSD and AFAs share the same 566 disadvantages in that they can only uncover information from cut surfaces, which generates 567 568 uncertainties in the representation of grain shapes and sizes. In contrast, lab-based DCT can offer a spatial resolution down to about 30  $\mu$ m for our specific sample sizes and a high (0.1°) 569 angular resolution (Sun and others, 2022). While the 2D-based techniques can cover large 570 571 sample areas (up to 7 x 3 cm for EBSD and 10 x 10 cm for AFA; Prior and others, 2015, Faria and others, 2014), DCT provides the advantage of working with bulk samples that yield volumetric 572 information and maintain 3D contexts crucial to interpretations. Therefore, a correlative 3D-2D 573

microscopy method could generate complementary data sets that offer powerful multiscale,
multidimensional information.

Our large sample volume, experimental setup, and DCT measurements permitted 576 577 realistic grain map reconstructions down to 60 µm voxel sizes, comparable to EBSD resolutions applied previously (e.g., Montagnat and others, 2015). At this resolution, we were able to 578 resolve what appear to be subgrains, whose boundaries strongly correspond to those seen in 579 grain completeness maps (Figure 3), corroborating the evidence of intracrystalline deformation 580 in X-ray diffraction patterns (Figure S1). Notably, the density and degree of misorientations 581 appear dependent on the size and average orientation of a grain, but these relationships vary 582 583 with ice depth and degree of deformation. Specifically, smaller grains in the EGRIP firn sample tend to exhibit higher misorientations across distinct subgrain boundaries, yet a relation to the 584 average grain orientation appears weak. Conversely, in the Eurocore and NEEM samples, larger 585 grains tend to show a much higher density of internal misorientations than the very smallest 586 grains, but the degree of misorientation varies between larger grains, indicating a possible 587 dependency on the average grain orientation with respect to far-field or even local stresses 588 (also see Section 3.5). Distinct subgrains are observed least in the very largest grains of these 589 590 deep ice samples. In the following section, we integrate these observations with those of 591 bubble shapes and discuss how 3D misorientation data could help constrain grain-scale deformation histories. 592

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# 3.5 Spatial relationships between ice grains and pore spaces

The decrease in ice sheet porosity with depth reflects the densification of accumulated 595 snow and its progressive burial and deformation. An interplay exists between air-bubble and 596 597 grain-scale processes that must be understood when it comes to (1) measuring atmospheric gases trapped in ice cores and (2) constraining controls on ice deformation. Knowing precisely 598 where and how air bubbles are occluded in the firn column is crucial for determining the age 599 difference between bubbles and the encapsulating ice (Burr and others, 2018; Westhoff and 600 others, 2024). Similarly, knowing where atmospheric gases become occluded in clathrates 601 under immense compression at depth, as well as how ice-core relaxation affects the 602

retransformation of clathrates to air bubbles, is crucial for understanding gas behavior (e.g.,
Pauer and others, 1996; Kipfstuhl and others, 2001). Further, knowing how grain-growth
mechanisms (that help to seal bubbles) are affected kinetically by the bubbles themselves is
important for understanding grain-scale deformation near the firn-ice transition (Roessiger and
others, 2014; Fegyveresi and others, 2019; Fan and others, 2023). Anisotropic bubble shapes in
deep ice may also record the stress distribution on individual grains and improve our
understanding of local and far-field stresses needed to model ice flow.

In **Figures 8-11**, we demonstrate how correlating bubbles (generally pores) with the 3D grain structure allows an integration of qualitative and quantitative information that is difficult to access from 2D sections of ice cores. By visualizing pores and grains as surface meshes created from 3D maps, we can view bubbles from the perspective of their host-grain orientations, which becomes a powerful guide for quantitative analysis.

Bubble position determined simply as intergranular versus intragranular is an important 615 parameter that provides insight on gas pathways, grain-growth behavior and ice-air boundary 616 mobility (e.g., grain-boundary migration and bubble pinning; Roessiger and others, 2014). In 617 Figure 8, we paired spatial classifications with bubble sizes and found expected differences 618 619 between the firn and deep ice samples. In the EGRIP firn sample, the size distribution of 620 bubbles that intersect grain boundaries is distinct from bubbles positioned wholly within grains. Different types of bubbles are identifiable by their spherical, tube-like, or amoeboid shapes, the 621 latter two of which are visibly larger. Therefore, even without quantitative measures of the 622 complex bubble shapes, one can infer from the count and volume distribution data that more 623 non-spherical bubbles intersect grain boundaries (cf. Kipfstuhl and others, 2009). Differences 624 between inter- and intra-granular bubble size distributions in the Eurocore sample are more 625 subtle, consistent with grain growth increasing the numbers of intragranular bubbles in deeper 626 627 ice (De La Chapelle and others, 1998).

628



### **EGRIP firn bubbles**

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Figure 8. Size distributions of air bubbles categorized by their intergranular versus intragranular
positions. Pore spaces in EGRIP firn and Eurocore deep ice samples represent primary air bubbles
captured by ice during the densification process. The overlay of ACT and DCT surface meshes enable
visualizations and correlations of bubbles and grain boundaries. Bubble surfaces are colored with
respect to the IPF(Z+) color of their host grain(s) and therefore change color across the grain boundaries.

Bubble shapes in deep ice have been proposed as possible strain gauges, given bubbles 636 deform ~1.7 times faster than the surrounding ice (Alley and Fitzpatrick, 1999; Fegyveresi and 637 others, 2019). Previous data for elongated bubbles, as well as slightly nonspherical ones typical 638 639 of deep ice cores, have led to the physical understanding that diffusion restores bubbles toward steady-state spherical shapes. Bubbles deform, however, if the diffusion rate is slower than that 640 of deformation. Flattened or elongated bubbles are therefore thought to record strain rates 641 and directions of deformation, which are expected to differ between grains and depend on 642 both local and far-field stresses (Fegyveresi and others, 2019). A closer look at the Eurocore 643 sample in Figure 9 revealed bubble-shape anisotropies that are strongly grain-dependent. More 644

specifically, intragranular bubbles belonging to the same grain are visibly flattened and/or elongated in roughly the same plane, but their sizes and aspect ratios vary (Fig. 9). Bubbleshape orientations also tend to vary considerably near grain margins. Such observations are consistent with those made previously in ice cores (e.g., Alley and Fitzpatrick, 1999; Fegyveresi and others, 2019), however, past studies employed 2D techniques that imposed measurement limitations, which did not allow bubble shapes to be used to their full potential.

For the 954 grains in the Eurocore sample, we analyzed 5248 bubbles, of which 2647 are 651 intragranular and do not intersect the sample margins (see **Methods**). Of these intragranular 652 bubbles, approximately 65% are oblate (disk-shaped), 15% are prolate (rod-shaped), and 20% 653 are roughly spherical in shape (ellipsoid factor of  $-0.05 \le x \le 0.05$ ) (**Figs. 9 and 10**). There are no 654 655 clear correlations between bubble shape, volume, and host-grain volume in this sample (Fig. 10; Fig. S5). There is a strong correlation, however, between the directions of bubble-656 flattening/elongation and the c-axis and basal plane of the host grain (Fig. 9). An analysis of all 657 2647 intragranular bubbles indicates they have a strong preference to flatten and elongate in 658 the grain basal plane, the dominant slip plane in ice during deformation. Based on bubble 659 major-axis directions, we determined that 76% of them are elongated within 20° of the basal 660 661 plane (Fig. 9), while 57% are within 10°. The minor-axis directions indicate that 57% of bubbles have a flattening, or shortening, direction within 20° of the *c*-axis. How the host grain is 662 oriented relative to the compressive direction (Z) does not appear to control the angle between 663 the directions of bubble-flattening/elongation and the *c*-axis or basal plane, suggesting a strong 664 crystallographic control (see Figure S6). 665





Figure 9. Bubble-grain relationships identified through correlative, multimodal imaging. Bubble-shape
 anisotropies in the Eurocore sample were first observed using an ACT-derived surface mesh (gray). By

670 overlaying the ACT bubble-surface mesh with a grain-surface mesh generated from the DCT volume (IPF 671 color), the visualization of ACT bubbles colored by the IPF orientation of their host grain(s) led to the 672 observation that bubble anisotropy is grain-dependent. Rotation of the sample revealed most bubbles 673 inside the same grain exhibit flattening and/or elongation in roughly the same plane, although variability 674 occurs near grain boundaries. The three largest grains (g6, g405, g142) and another grain (g223) with its 675 *c*-axis near-vertical (Z) are shown with intragranular bubbles and their fitted ellipsoids (see **Methods**). Major-axes of the ellipsoids are plotted as red vectors scaled by the ellipsoid aspect ratio, and only for 676 bubbles whose major-axis lies within 20° of the grain basal plane (the number fraction of these bubbles 677 is given as *n* for each grain). The dominant oblateness of bubbles with preferential flattening and/or 678 elongation in the grain basal plane is expressed collectively by IPFs of the fitted-ellipsoid axes. The IPFs 679 represent all intragranular bubbles plotted with respect to the crystallography of their host-grains, 680 681 which have been rotated into a single reference frame.

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While some of our observations of bubble-grain relationships are comparable to those 683 of Fegyveresi and others (2019), their 2D optical technique offers measurements within one 684 section plane and typically only *projections* of elongations. In **Figure 10**, we demonstrate the 685 limited information we would gather from a single section plane through different grains in our 686 687 Eurocore sample. Only by searching and selecting slices through 3D data could we find bubbles with the highest projected "elongation" that could then be verified against a projection of the 688 host-grain *c*-axis (determined from pole figures). Accurate measures and the true spatial 689 variability of bubble shapes across the bulk sample would never be captured without 3D 690 information in this case. Nonetheless, we find it interesting that Fegyveresi and others (2019) 691 found correlations between bubble shape, size, and grain size in their Antarctica sample, 692 whereas we do not see clear trends. Although we can identify that grain size and the density of 693 694 internal grain misorientations are roughly correlated (see section 3.4, Fig. 10), we do not see a 695 clear relationship between these characteristics and the magnitude of bubbleflattening/elongation, for example. One possibility is that grain-scale interactions generate 696 differences between local and far-field stresses, resulting in different deformation rates for 697 individual grains and variance in observable patterns (Fegyveresi and others, 2019). We suggest 698

another possibility is that the Eurocore sample's bubbles have undergone enough diffusional

restoration to erase any such correlations, if they ever existed. After all, the current bubble
configurations can be assumed to represent both the cumulative deformation and the balance
between diffusion and deformation over the entire history of the ice (Fegyveresi and others,
2019).

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**Figure 10.** Examples of possible relationships and non-relationships among intragranular bubbles and their host-grains in the Eurocore sample. The ellipsoid factor (EF) was used to classify bubbles as prolate, oblate, or spherical based on their fitted ellipsoids. As depicted in the EF distribution plot, the boundaries for "spherical" bubbles were chosen at  $-0.05 \le EF \le 0.05$ . The Flinn diagram reflects these shape classifications but adds information about host-grain volume, which has been normalized. A single slice through the 3D grain map intersects three of the grains isolated in Figure 9, which are among the
eight largest grains. Notably, these grains show a relatively high density of intragranular, low-angle
misorientation boundaries but different average-GROD values.

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On the other hand, at depths greater than the Eurocore sample, immense compression causes gases to transform into clathrates and leads to the eventual loss of all original bubbles in ice. However, following the recovery of bubble-free ice cores, the stress release can cause fractures to form and bubbles to redevelop from clathrates (e.g., Pauer and others, 1996; Kipfstuhl and others, 2001). These secondary processes have received considerable attention because they may lead to gas escape and affect gas concentration measurements that are notably destructive for ice cores.

The pore spaces in the NEEM sample are bubbles and cracks that have formed from 722 decomposed clathrates and relaxation since the core was drilled in 2010, although many pores 723 have a flattened, yet graupel-like appearance characteristic of primary clathrates (Pauer and 724 others, 1996; Kipfstuhl and others, 2001). These features are interesting because most pores 725 and apparent fracture planes have a strong preference to align with the basal plane of the host 726 grain (Fig. 11). We determined this by analyzing all 2424 intragranular pores (out of 3276 total 727 pores) for the 502 grains identified in the sample. The NEEM pore results (Fig. 11) are 728 remarkably similar to those of the Eurocore sample (Fig. 9), suggesting that the grain 729 crystallography may also exert a strong crystallographic control on relaxation processes and the 730 evolution or retransformation of clathrates. The visualization and statistical analysis of 3D sizes, 731 732 shapes, and spatial distributions of these features could be paired with Raman spectroscopy (Pauer and others, 1996), for example, to contextualize and ensure accurate measurements of 733 the ancient atmospheric gases in ice cores. 734



All intragrain pore and fracture orientations according to host-grain crystallography



Figure 11. Pore-grain relationships in the NEEM sample. The visualization of pore spaces and apparent microfractures throughout the sample (top left) were performed in the same way as described for the Eurocore sample in Figure 9. Two of the largest grains with the *c*-axis near-vertical (g168) or nearhorizontal (g176) with respect to the ice-core axis are shown with intragranular pores and their fitted ellipsoids (see **Methods**). Grain 168 is shown in two different views; one view looks down the *c*-axis and

- 742 the other looks normal to it. The features we refer to as microfractures are very thin and require careful
- raction segmentation of our data to preserve the fracture surfaces, which, at our data resolution, appear as
- adjacent lines of voxels. Our connected component analysis allowed the merging of these neighboring
voxels to fit an ellipsoid to each fracture. The vector plots and IPFs here are the same as described forFigure 9.

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#### 748 **4 CONCLUSIONS**

749 Our pilot study demonstrates that ice core microstructures, fabrics, and air bubbles can be imaged in 3D using multimodal X-ray imaging in a lab setting. We show that samples as large 750 as 3 x 3 x 3 cm<sup>3</sup> are achievable and balance the advantages and disadvantages of imaging what 751 are considered large volumes for the multimodal X-ray technique. These sample sizes allowed 752 us to achieve adequate resolutions and volume-based statistics under reasonable acquisition 753 times, and they provide the opportunity to vary the spatial resolution to investigate specific 754 755 scientific questions. Our novel method for measuring, mapping, and analyzing ice textures can be combined with established ice-core analytical techniques to generate complementary 3D 756 and 2D datasets. Such datasets can maximize scientific insight through the benefits of 3D 757 sample curation, volumetric measurements, shape determinations with improved accuracy, 758 and the integration of complete ice-grain crystallographic orientations. Together, the analytical 759 method and our preliminary results demonstrate great potential for 3D textural data to 760 761 advance ice-core paleoclimate studies and the future development of more integrated models of grain-scale ice deformation and large-scale ice flow. 762

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#### 764 **5 OUTLOOK**

Using 3D data to improve the performance of micromechanical and large-scale ice-flow models will benefit from higher-resolution (<10 µm) multimodal scanning, which is needed to resolve intracrystalline deformation features to a greater accuracy. Such high-resolution 3D datasets would help constrain the complex behaviors of grains, bubbles, and potentially large impurities as they interact during ice deformation, which is currently challenging to parameterize in models. One caveat of going to higher resolutions is that the experiments will require smaller, less representative sample volumes. This may entail design modifications to the current cooling device, and perhaps the development of a correlative, multiscale approachto data collection.

774 In general, our analytical method has the potential to advance the level of textural 775 characterizations of ice-core samples, and to implement those results into advanced ice-flow models and paleoclimate reconstructions. Our preliminary results demonstrate the ability to 776 detect 3D variations in ice-core textures from different depths and climatic periods. Therefore, 777 examples of future studies that could apply our new method include (1) stratigraphic sampling 778 to investigate bubble closure, clathrate formation, grain-scale deformation, or the 3D evolution 779 of ice fabrics with depth, or (2) modeling ice-fabric evolution over the depth of the ice sheet 780 781 using *c*- and *a*-axis orientations of grains. Additionally, experimental studies could also employ our method to understand, for example, (1) the effects of melting on ice textures using 782 controlled temperature changes and time-lapse experiments, or (2) the rates of microstructural 783 evolution and bubble/clathrate transformation under ambient pressure through interrupted 784 time-series experiments. 785

786

#### 787 **AUTHOR CONTRIBUTIONS**

**O.A.B.**: Conceived the project, designed experiments, performed imaging, performed data 788 789 reconstruction, performed data visualization and analysis, created figure illustrations, wrote the manuscript. J.O.: Performed simulations to guide experimental design, supported imaging and 790 data reconstruction, performed data post-processing, supervised the project. R.R.P.P.R.P.: 791 Wrote code for data analysis and visualization, performed data analysis; H.W.Å.: Wrote code 792 793 for data analysis and visualization, performed data analysis. J.E.: Designed experiments, built 794 and tested the cooling device. A.S.: Selected and prepared samples, provided scientific insight 795 and discussion. N.M.R.: Provided scientific insight and discussion. T.B.: Provided scientific insight and discussion. F.B.: Provided data reconstruction and software support. S.H.: 796 Conceived and supervised the project, designed experiments. All authors contributed to the 797 final version of the manuscript. 798 799

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- 811

## 812 **COMPETING INTERESTS**

- 813 The authors declare no competing interests.
- 814

## 815 **DATA AVAILABILITY**

- Data reported in this paper are available on request given individual file sizes reach 10s of GB.
- 817

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# 1064 Mapping textures of polar ice cores using 3D laboratory X-ray microscopy

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1070 SUPPLEMENTARY MATERIAL





Figure S1. Examples of X-ray projections. At the top, raw X-ray diffraction projections show how the
 source-beam aperture affects the shapes of diffraction spots when using the beam geometry and flat
 panel detector as described in the Methods. The checkmarks indicate what aperture and exposure time

1075 proved optimal for DCT data acquisition on samples with different amounts of deformation. The

1076 radiographs of the NEEM sample show its appearance before and after a 24-hour-long period of ACT-

- 1077 DCT data acquisition. The only notable difference in the "after" image is the presence of a frost layer on
- 1078 the upper surface of the sample. The uppermost temperature sensor and its copper wires are in the
- 1079 field of view here; the wires had negligible effects on diffraction projections.





1083 Figure S2. Comparing grain size information between 3D grain maps and simulated 2D slices of the 1084 Eurocore deep ice sample. (a) The 3D grain map, colored by grain diameter, was sliced orthogonally. X and Z slices simulate the typical vertical (X) and horizontal (Z) cuts made of ice cores. (b-c) Multiple XYZ 1085 1086 slices in these plots represent 60 µm intervals (i.e., the reconstructed voxel size of DCT data). Grain sizes 1087 calculated from 3D volumetric data are plotted against grain sizes calculated from combined XYZ 1088 orthogonal slices, hence the 1:1 line, as well as the average 2D size of each grain found across XYZ slices 1089 combined. Each grain's average size based on either all X-slices or all Z-slices is also compared to its 1090 volume-calculated size, with the full 2D size range for each grain plotted as vertical bars. Note that (b) 1091 plots diameters represent equivalent sphere (3D) or circle (2D) diameters, whereas (c) plots the major-1092 axis length of grains based on ellipsoid (3D) and ellipse (2D) fitting.



Eurocore and NEEM samples: 2D grain size distributions for the X-slice and Z-slice

Figure S3. Grain size distributions for the single X-slice and Z-slice of the Eurocore and NEEM samples in
 Figure 5 of the main text and Figure S2.





Figure S4. Comparing pole figure analysis of firn grain orientations based upon count, volume, and grain
 size fraction. Pole figures provide views down the sample Z-axis, parallel to the ice-core axis. Analytical
 details are provided in the Methods. Note that glaciological studies employing EBSD of ice may instead

1102 represent the *a*-axes as  $[11\overline{2}0]$  (-a<sub>3</sub>), which is symmetrically equivalent to  $[2\overline{11}0]$  (+a<sub>1</sub>) (e.g., see Qi and

1103 others, 2019).



1107 **Figure S5.** Additional analytical plots supporting observations reported in Section 3.5. The Flinn diagram

1108 for bubbles in the Eurocore sample represents an inset of the diagram included in **Figure 10** of the main 1109 text. The lower plot shows the distribution of the bubbles based on bubble volume and ellipsoid factor.







1112 **Figure S6.** Stacked histograms showing the distribution of angle-differences between directions of

1113 bubble axes and the host-grain *c*-axis, with respect to how the host-grain *c*-axis is oriented relative to

- 1114 the compression axis (Z). The "grain groups" represent grains within 10-degree radial intervals away
- 1115 from the vertical (Z) direction, which represents the ice-core axis and direction of maximum
- 1116 compression. In the legend, the average *c*-axis angle misorientation from the Z-direction is reported for
- 1117 each "grain group".