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| 3 | Assessing Climate and Watershed Controls on Rain-on-Snow Runoff Using XGBoost- |
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| 4 | SHAP Explainable AI (XAI) |
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22 Abstract

23 Rain-on-snow (ROS) events significantly impact hydrological processes in snowy regions, yet their 24 seasonal drivers remain poorly understood, particularly in low-elevation and low-gradient catchments. 25 This study uses an XGBoost-SHAP explainable artificial intelligence (XAI) model to analyze 26 meteorological and watershed controls on ROS runoff in the Great Lakes Basin. We used daily 27 discharge, precipitation, temperature, and snow depth data from 2000 to 2023, available from HYSETS, 28 to identify ROS runoff. The models demonstrated acceptable predictive accuracy for ROS runoff, with 29 winter achieving higher performance ($R^2 = 0.65$, Nash-Sutcliffe = 0.59) than spring ($R^2 = 0.56$, Nash-30 Sutcliffe = 0.49), indicating greater predictability during colder months. The results reveal that Winter 31 runoff is predominantly governed by climatic factors—rainfall, air temperature, and their interactions— 32 with soil permeability and slope orientation playing secondary roles. In contrast, spring runoff shows 33 increased sensitivity to land cover characteristics, particularly agricultural and shrub cover, as vegetation-34 driven processes become more influential. Snow depth effects shift from predominantly negative in 35 winter, where snow acts as storage, to positive contributions in spring at shallow to moderate depths. ROS 36 runoff responded positively to air temperatures exceeding approximately 2.5°C in both winter and spring. 37 Land cover influences on ROS runoff differ by vegetation type and season. Agricultural areas consistently 38 increase runoff in both seasons due to limited infiltration, whereas shrub-dominated regions exhibit 39 stronger runoff enhancement in spring. The seasonal shift in dominant controls underscores the 40 importance of accounting for land-climate interactions in predicting ROS runoff under future climate 41 scenarios. These insights are essential for improving flood forecasting, managing water resources, and 42 developing adaptive strategies.

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Keywords: rain-on-snow, XAI, XGBoost, SHAP

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47 1. Introduction

48 Rain-on-snow (ROS) events, where rain falls on existing snowpack, are a critical hydrological 49 phenomenon ROS events are increasingly recognized as significant factors influencing water resources. 50 These events can lead to rapid snowmelt, increased runoff, and subsequent changes in water 51 quality. ROS events can have both positive and negative impacts on groundwater recharge. On one hand, 52 ROS events can enhance groundwater recharge by increasing the amount of water available for 53 infiltration, particularly in regions where the snowpack acts as a natural reservoir (Barnhart et al., 2016; 54 Hyman-Rabeler and Loheide, 2022; Trubilowicz and Moore, 2017). On the other hand, midwinter melt 55 events followed by freezeback can reduce groundwater recharge by increasing soil water content and 56 leaving the ground exposed to subsequent cold periods, which can lead to frozen ground and reduced 57 infiltration (Hyman-Rabeler and Loheide, 2022). ROS events are critical for understanding freshwater 58 ecosystems, particularly in forested and agricultural regions. These events can lead to rapid snowmelt 59 combined with rainfall leading to high runoff, which in turn affects water quality by mobilizing nutrients, 60 sediments, and organic matter into surface waters (Hensley et al., 2022; Eimers et al.2007; Casson et al., 61 2010). These events can lead to the mobilization of nitrogen (N) and phosphorus (P), which are essential 62 nutrients but can cause eutrophication when present in excess. Studies have shown that ROS events 63 contribute a substantial proportion of annual and seasonal nutrient export, particularly in forested 64 catchments (Crossman et al., 2016; Casson et al., 2010, 2014).

In the Great Lakes region and the eastern U.S., where ROS frequently contribute to rapid snowmelt, high runoff, and flooding (Surfleet and Tullos, 2013; Grote, 2020), ROS events are particularly common in late winter and early spring when warm, moist air masses from the Gulf of Mexico and Atlantic Ocean interact with persistent snowpack (Cohen et al., 2015; Jeong and Sushama, 2018). Studies indicate that ROS events in this region vary in frequency and intensity due to factors such as elevation, proximity to the Great Lakes (which enhance lake-effect snowfall), and synoptic-scale atmospheric patterns (Grote, 71 2020; Wachowicz et al., 2019). For instance, the Appalachian regions of Pennsylvania and New York 72 experience more frequent ROS-induced flooding due to orographic precipitation and deep snowpack 73 (Grote, 2020), while the Midwest sees variability linked to fluctuating winter temperatures and snow 74 cover duration (Cohen et al., 2015). The frequency and intensity of ROS events are influenced by climate 75 change, with warmer winters and altered precipitation patterns exacerbating their occurrence (Seybold et 76 al., 2022; Myers et al., 2023). Climate projections indicate that ROS events may become more frequent, 77 driven by increased rainfall occurrence but reduced intensity due to declining snowpack and diminished 78 melt contributions (Jeong and Sushama, 2018; Surfleet and Tullos, 2013). In the Great Lakes Basin, ROS 79 snowmelt in warmer, southern subbasins is projected to decrease by approximately 30% by the mid-21st 80 century, while colder, northern subbasins will experience less than a 5% reduction (Myers et al., 2023)

ROS events often result in rapid increases in river discharge due to the combined effects of rainfall and snowmelt. The magnitude of ROS runoff depends on the meteorological variables such as the intensity and duration of rainfall, air temperature and condition of the snowpack (Kroczynski, 2003; Maclean et al., 1995; Yang et al., 2023). For instance, in mountainous regions like the Sierra Nevada, the cold content of the existing snowpack influences how watersheds respond hydrologically to extreme ROS events (Katz et al., 2023). Similarly, in coastal mountain regions, high-elevation rainfall during ROS events can lead to enhanced runoff due to the contribution of snowmelt (Trubilowicz, 2015).

88 In addition, the magnitude of ROS runoff is also influenced by watershed characteristics, including slope, 89 forest cover, soil permeability, and aspect. Steeper slopes accelerate runoff by reducing infiltration time, 90 leading to faster peak discharges (Pomeroy et al., 2012). Forest cover modulates snowmelt rates by 91 intercepting rainfall and reducing wind-driven snow redistribution, while also influencing energy fluxes 92 through canopy shading, longwave radiation, and reduced turbulence (Storck et al., 2002; Winkler et al., 93 2005). Similarly, agricultural catchments with impermeable soils and reduced infiltration capacity may 94 experience higher runoff responses during ROS events (Aygün et al., 2022). Catchment size and drainage 95 network characteristics also influence ROS runoff generation. Smaller catchments tend to respond more

96 rapidly to ROS events, with shorter lag times between rainfall and runoff. For example, in the Sierra
97 Nevada, small headwater catchments exhibited rapid runoff responses during ROS events due to their
98 steep terrain and well-developed drainage networks (Haleakala et al., 2022). In contrast, larger
99 catchments may exhibit more attenuated runoff responses due to the greater opportunity for water to
100 infiltrate or be stored in the landscape (Barnhart et al., 2020).

101 Most existing studies on snowmelt and runoff have focused on mountainous regions, where elevation and 102 terrain dominate hydrologic responses (Pomeroy et al., 2012; Pradhanang et al., 2013). Here, we examine 103 how climate variables (rainfall, temperature, and snow depth) and watershed properties influence rain-on-104 snow runoff in low-elevation, low-gradient catchments across the U.S. Great Lakes basin, where ROS 105 events are frequent but remain understudied (Surfleet and Tullos, 2013; Jeong and Sushama, 2018). We 106 examine how land cover modifies the effects of precipitation and temperature on ROS runoff variability. 107 We also assess how this modulation changes from winter to spring, as shifts in snowpack and canopy 108 conditions may alter the relative importance of land cover versus climate controls (McNamara et al., 109 2005; Brandt et al., 2022).

110 2. Data and Methods

111 2.1 Study Area

112 The study focuses on the US Midwest region, encompassing eight U.S. states: Wisconsin, Minnesota, 113 Illinois, Michigan, Indiana, Ohio, Pennsylvania, and New York, and the Canadian province of Ontario 114 (Figure 1). This region is characterized by its proximity to the Great Lakes, which significantly influence 115 local climate patterns, including snowfall and temperature regimes (Grote, 2020). The area experiences 116 frequent rain-on-snow (ROS) events, particularly during late winter and early spring, when warm, moist 117 air masses from the Gulf of Mexico and Atlantic Ocean interact with existing snowpack (Suriano, 2022; 118 Grote, 2020; Cohen et al., 2015; Hao et al., 2025). The terrain varies from flat plains in the Midwest to 119 more rugged topography in the Appalachian regions of Pennsylvania and New York, which can

exacerbate runoff and flooding during ROS events (Grote, 2020). The Great Lakes also contribute to lakeeffect snow, further complicating snowpack dynamics and runoff processes (Surfleet and Tullos, 2013).
This region's hydrology is critical for water resource management, flood forecasting, and understanding
climate change impacts on winter precipitation and snowmelt patterns (Jeong and Sushama, 2018; Myers
et al. 2021, 2023).

125 2.2. Data

126 We defined rain-on-snow (ROS) events as days meeting two concurrent conditions: (1) liquid

127 precipitation (rainfall) \geq 1 mm, and (2) snow water equivalent (SWE) \geq 1 mm on the ground (Jeong et al.

128 2014; Myers et al. 2023). Rainfall was partitioned from total precipitation when daily average air

temperature exceeded 0°C, following standard hydrometeorological practice (Marks et al., 2013). ROS-

induced runoff (Qr_ros) was quantified as the difference between discharge on the ROS-day and baseline
discharge (taken as the discharge one day prior to the ROS event onset), isolating the ROS contribution to

132 streamflow (Berghuijs et al., 2016). Only ROS events that generated measurable runoff increases (Qr ros

133 > 0) were retained for analysis, ensuring focus on hydrologically significant events (Pradhanang et al.,

134 2013).

135 Daily discharge data were obtained from the HYSETS database (Arsenault et al., 2020), which compiles 136 quality-controlled streamflow records from the United States Geological Survey (USGS) National Water 137 Information System (NWIS) (USGS, 2023) and the Environment and Climate Change Canada (ECCC) 138 Water Survey Canada (WSC) National Water Data Archive (HYDAT) (ECCC, 2023). Precipitation 139 (mm/day) and maximum/minimum air temperature (°C) were also sourced from HYSETS, which 140 integrates multiple data products including station observations, gridded datasets, and reanalysis data 141 (e.g., ERA5-Land). Snow water equivalent (SWE; mm) was derived from the ERA5-Land reanalysis 142 (Muñoz-Sabater et al., 2021), a high-resolution (9 km) global dataset for snowpack dynamics. Watershed 143 properties (e.g., elevation, slope, land cover) were extracted from HYSETS, which incorporates

144 physiographic data from the North American Land Change Monitoring System (NALCMS, 2010) and

digital elevation models. To ensure data quality and representativeness, we selected watersheds with: (1)

146 ≥ 2 years of complete daily records (2000-2023) without missing values, (2) $\geq 10\%$ forest cover, and (3)

147 drainage areas between 10 and 1,000 km². All variables were spatially averaged at the watershed scale.

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2.3 Meteorological and watershed controls on rain-on-snow (ROS) runoff

149 To investigate the factors influencing rain-on-snow (ROS) runoff variability, we employed an explainable 150 machine learning approach using Extreme Gradient Boosting (XGBoost) combined with Shapley 151 Additive Explanations (SHAP). XGBoost is a powerful tree-based algorithm that excels in handling 152 nonlinear relationships and complex interactions in ecological and hydrological datasets (Chen and 153 Guestrin, 2016; Lundberg et al., 2020). We trained separate XGBoost models for winter and spring 154 seasons, to predict ROS runoff using predictors including rainfall, temperature, forest cover, and 155 watershed properties (e.g., slope, forest cover, soil permeability, soil porosity, and aspect). The model 156 hyperparameters were optimized using Bayesian optimization with k-fold cross-validation. Physical 157 relationships between predictors and hydrological response were preserved by incorporating monotonicity 158 constraints during the optimization process. The model enforced positive monotonic constraints on 159 features where higher values are physically expected to increase runoff: slope (steeper terrain enhances 160 flow), urban (impervious surfaces reduce infiltration), rainfall (greater precipitation amplifies runoff), 161 and temperature (warmer conditions accelerate snowmelt). Conversely, negative constraints were applied 162 where higher values suppress runoff: north_aspect (reduced solar exposure suppress 163 melt), soil perm (higher permeability promotes infiltration), and soil porosity (greater pore space 164 increases water retention). These constraints ensured the model's behavior aligned with hydrological 165 principles. The model incorporated several key feature interactions to capture nonlinear hydrological 166 processes: rain temp (rainfall \times temperature) to account for rain-on-snow events, forest temp (forest 167 cover \times temperature) and forest wind (forest cover \times wind speed) to modulate melt dynamics under 168 canopy effects, aspect_temp (north/east aspect \times temperature) to represent slope-dependent solar radiation 169 impacts, and temp snow (temperature \times snow depth) to capture melt-rate sensitivity to snowpack 170 conditions. These interactions were included alongside base features to better represent complex 171 watershed responses. 172 To interpret the contributions of each predictor, we used SHAP analysis, which shows the local and 173 global importance of features by decomposing model predictions into additive effects (Lundberg and Lee, 174 2017). This approach aligns with recent ecological studies leveraging SHAP to disentangle driver 175 interactions (Wang et al., 2022; Giardina et al., 2024). All analyses were conducted in R using 176 the xgboost(Just et al. 2019) and SHAPforxgboost (Liu et al., 2023) R packages. 177 To assess the performance of our XGBoost models in predicting rain-on-snow (ROS) runoff, we 178 employed three widely used evaluation metrics: the Root Mean Square Error (RMSE), coefficient of 179 determination (R²), and Nash-Sutcliffe Efficiency (NSE). RMSE quantifies the average magnitude of 180 prediction errors in the original units (mm/day), with lower values indicating better model accuracy 181 (Willmott and Matsuura, 2005). R² measures the proportion of variance in observed runoff explained by 182 the model, ranging from 0 (no predictive power) to 1 (perfect fit) (Chicco et al., 2021). The NSE 183 evaluates hydrological model performance by normalizing prediction errors against the variance of 184 observed data, where values >0 indicate model skill exceeding the mean benchmark (Nash and Sutcliffe, 185 1970). These metrics were calculated for both training and validation datasets to assess potential 186 overfitting, following best practices in hydrological machine learning (Addor et al., 2018; Knoll et al., 187 2019).

188 3. Results and Discussion

189 3.1 Performance of the XGBoost Model in Predicting Rain-on-Snow Runoff

190 The performance of the XGBoost model in predicting rain-on-snow (ROS) runoff for winter and spring

191 seasons is summarized in Table 1. The XGBoost model exhibits distinct seasonal performance in

192 predicting runoff from rain-on-snow events across the US Great Lakes region. For winter, the model

193 achieves moderate predictive accuracy with a test R² of 0.65, RMSE of 4.21, and Nash-Sutcliffe 194 efficiency of 0.59, supported by a strong Spearman correlation (0.74). In spring, the model shows slightly 195 lower explanatory power (test $R^2 = 0.56$, Nash-Sutcliffe = 0.49) but demonstrates improved 196 generalization, as evidenced by a test RMSE (3.61) slightly lower than training (3.44). The winter 197 model's higher R² and Nash-Sutcliffe values suggest that runoff processes during colder months may be 198 more predictable, possibly due to more stable snowpack dynamics. In contrast, spring runoff, influenced 199 by rapid snowmelt and variable land-surface interactions, presents greater complexity, leading to reduced 200 predictive performance. Consistent Spearman correlations (winter: 0.74; spring: 0.67) indicate that the 201 model reliably ranks ROS events within both seasons. These differences highlight the importance of 202 accounting for seasonal variability in hydrological modeling.

203 The model demonstrated acceptable predictive accuracy for both seasons, with winter (test $R^2 = 0.65$, 204 Nash-Sutcliffe = 0.59) outperforming spring (test $R^2 = 0.56$, Nash-Sutcliffe = 0.49), suggesting greater 205 predictability of ROS runoff processes during colder months. This aligns with findings from similar 206 hydrological studies in snow-dominated regions, where winter ROS runoff is often more stable due to 207 consistent snowpack dynamics, while spring runoff exhibits higher variability from rapid melt and 208 heterogeneous land interactions (Pradhanang et al., 2013; Freudiger et al., 2014). However, temperature-209 driven snowmelt studies suggest spring snowmelt becomes more predictable as temperatures consistently 210 exceed freezing, leading to uniform melt rates and higher model accuracy in spring compared to that in 211 winter months (Lundquist et al., 2009; Þorsteinsson, 2015).

212 Both winter and spring XGBoost models in this study struggled with very low runoff values, as evidenced

213 by extremely high MAPE (Mean Absolute Percentage Error) values (300-400%), highlighting the

214 challenges of modeling near zero-inflated hydrological data even with transformation techniques

215 (Seybold et al., 2023; Yu et al., 2024). Machine learning models, despite their flexibility, often fail to

216 capture the physical constraints governing minimal runoff generation (e.g., infiltration capacity, residual

storage), a weakness also observed in process-based models (Addor and Melsen, 2019). Recent work

suggests that hybrid approaches or censored regression techniques may improve low-flow predictions(Feng et al., 2023).

220 3.2 Meteorological and watershed controls on rain-on-snow runoff

221 The feature importance plots reveal both consistent and seasonally distinct drivers of Rain-on-Snow 222 (ROS) runoff in the US Great Lakes Basin, with rainfall and its interaction with temperature (rain temp) 223 emerging as the dominant predictors across both winter and spring, underscoring the fundamental role of 224 precipitation intensity and thermal conditions in melt dynamics. Slope ranks highly in both seasons, 225 reflecting the universal importance of terrain steepness in runoff generation, while snow depth maintains 226 moderate influence, though its relative significance diminishes slightly in spring as snowpack depletes. 227 However, key seasonal differences emerge in secondary drivers: winter runoff is strongly shaped by soil 228 permeability (soil_perm) and north_aspect, highlighting the importance of subsurface drainage and solar 229 exposure during colder months when frozen or saturated soils dominate hydrologic response. In contrast, 230 spring runoff shows increased sensitivity to land cover, with crop and shrub gaining prominence, 231 suggesting that surface characteristics and vegetation-driven processes (e.g., albedo, interception) become 232 more influential as temperatures rise. The temperature-linked interactions (forest_temp, temp_snow) and 233 static features like urban or east_aspect remain consistently low in importance across seasons, indicating 234 their secondary role compared to climatic and broader landscape controls. Together, these patterns 235 illustrate a winter system governed by rain-snow transitions and infiltration capacity, while spring 236 behavior shifts toward land-cover-mediated surface flow, with both seasons sharing a foundational 237 dependence on precipitation and temperature synergies.

During winter, ROS events are predominantly governed by climatic factors such as rainfall intensity, air temperature, and the thermal state of the snowpack, which collectively determine the extent of rainwater infiltration and subsequent runoff generation (Brandt et al., 2022; Bouchard et al., 2024). The high cold content of the snowpack and potential presence of frozen soils during this period limit infiltration,

242 enhancing surface runoff. In contrast, spring ROS events occur when the snowpack is typically warmer 243 and more isothermal, allowing for greater percolation of rainwater, while progressing soil thaw increases 244 subsurface water transmission capacity. Consequently, both climatic drivers and land surface 245 characteristics, such as vegetation cover become more influential in modulating ROS runoff (Juras et al. 246 2021; Jennings et al., 2017). In addition, during winter, when vegetation is largely dormant, its role in 247 ROS processes is minimal (Musselman et al., 2008). However, with the onset of spring, increased 248 vegetation activity begins to significantly influence ROS runoff through multiple mechanisms. Emerging 249 foliage intercepts rainfall, reducing direct snowpack saturation and altering melt dynamics (Pomeroy et al. 250 2002). Additionally, active root water uptake through transpiration enhances soil storage capacity during 251 spring, which modulates surface runoff generation (Winkler et al., 2005). These insights are crucial for 252 refining models and developing targeted management strategies to address ROS runoff under changing 253 climate scenarios.

Figure 3 show how meteorological, topographic, and land cover factors differentially influence ROS runoff across seasons. The SHAP values reveals the direction of these relationships between winter and spring. The SHAP summary plots provide insights into the nature of the impact of each feature on ROS runoff during winter and spring seasons. In both seasons, rainfall consistently exhibits a strong positive impact on ROS runoff, with higher rainfall values leading to increased runoff. The interaction term rain_temp also shows a predominantly positive influence, indicating that warmer temperatures during rainfall events enhance ROS runoff by promoting snowmelt and surface water generation.

The SHAP summary plots reveal distinct seasonal patterns in how crop, shrub, snow depth, and drainage
area influence ROS runoff. In winter, higher snow depth generally has a negative effect on runoff,
indicating that deeper snowpack initially absorbs or delays the release of meltwater, reducing immediate
runoff generation. However, in spring, this relationship shifts, with moderate snow depths contributing
positively to runoff.

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| 267 | Crop cover shows a consistently positive influence across both seasons, suggesting that agricultural land |
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| 268 | tends to enhance runoff potential, possibly due to reduced infiltration capacity and faster overland flow |
| 269 | compared to natural vegetation. Shrub exhibits a stronger positive impact in spring, implying that shrub- |
| 270 | dominated landscapes may promote runoff through mechanisms likely due to altered snow accumulation |
| 271 | patterns (Würzer and Jonas, 2018). ROS runoff increases with increase in crop (agricultural) land fraction |
| 272 | due to soil compaction and reduced infiltration capacity caused by agricultural practices. Compacted |
| 273 | soils, particularly those with plough pans, have significantly lower porosity and hydraulic conductivity, |
| 274 | leading to increased surface runoff (Burt and Slattery, 2006). |
| 275 | The forest_wind interaction, which serves as a proxy for turbulence energy within forested areas, shows a |
| 276 | positive contribution to ROS runoff, particularly during spring. This suggests that in the presence of forest |
| 277 | cover, stronger winds enhance runoff generation, possibly by accelerating melt through microclimatic |
| 278 | effects (Fuss et al., 2016). Soil frost in the forested catchments may alter the infiltration and flow of |
| 279 | meltwater, enhancing the magnitude of runoff (Dwivedi et al., 2024; Jones and Pomeroy 2001). The |
| 280 | forest_temp interaction, representing the influence of longwave radiation within forested canopies, |
| 281 | exhibits a more nuanced pattern. During winter, this term tends to reduce ROS runoff, likely due to the |
| 282 | insulating effect of dense canopy cover that limits snowmelt despite higher temperatures. However, in |
| 283 | spring, the same interaction shifts toward a positive contribution, indicating that forest-modulated |
| 284 | warming may enhance snowmelt and runoff. The forest canopy in snowy regions enhances longwave |
| 285 | radiation transmission to the snowpack while reducing shortwave radiation due to shading (Essery et al., |
| 286 | 2008). |
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3.3. Impact of air temperature and snow depth on rain-on-snow runoff generation

288 3.3.1 Air temperature

289 The partial dependence plots (figure 4) for ROS runoff in the winter and spring seasons illustrate the 290 relationship between air temperature and its impact on runoff prediction, while also considering snow 291 depth as a contributing factor. In the winter season plot, there is a clear positive trend in SHAP values 292 (impact on runoff prediction) as air temperature increases from 0 to approximately 10°C. However, at air 293 temperatures below approximately 2.5°C (on the X-axis), the SHAP values are negative. This observation 294 indicates that very low temperatures suppress runoff generation because they prevent significant melting 295 of the snowpack. In these cold conditions, even areas with deep snow (represented by darker colors in the 296 color gradient) do not experience substantial runoff, as the snow remains frozen and does not contribute 297 to liquid water flow. The negative SHAP values reflect the inhibitory effect of these low temperatures on 298 runoff, emphasizing that such conditions reduce the likelihood or amount of runoff compared to higher 299 temperatures. In spring, beyond approximately 5°C, SHAP values plateau and then decline, suggesting 300 that higher temperatures may reduce runoff as snowpack becomes depleted or melting accelerates, 301 promoting infiltration or evapotranspiration rather than surface runoff. However, at air temperatures 302 below approximately 2.5°C, SHAP values are still negative, indicating that runoff is suppressed due to the 303 lack of snowmelt. The transition to positive SHAP values occurs at around 2.5°C in both spring and 304 winter, reflecting the threshold temperature at which melting begins to occur and runoff generation 305 becomes more likely.

306 *3.3.2 Snow depth (SWE)*

The partial dependence plots for ROS runoff in the winter and spring seasons are shown in Figure 5. The x-axis represents snow depth (in millimeters, plotted on a log scale), while the y-axis shows the SHAP value, which quantifies the marginal effect of snow depth on the predicted runoff. In the winter season plot, SHAP values (impact on runoff prediction) are predominantly negative across most snow depth ranges. This indicates that, during winter, snow accumulation generally suppresses ROS runoff rather than enhancing it. At low snow depths (below approximately 10 mm), SHAP values remain negative, suggesting that shallow snowpacks do not contribute significantly to runoff. As snow depth increases from 5 mm to around 50–60 mm, SHAP values become slightly positive, implying that moderate snow depths have a positive effect on runoff generation. Beyond this range, SHAP values continue to decline, indicating that very deep snowpacks further suppress runoff. The color gradient representing total ROS rainfall shows that larger rain (darker colors) tends to mitigate the negative impact of snow depth on runoff, but at higher snowpack the overall trend remains negative. This suggests that during winter, snow acts more as a storage medium rather than a direct source of runoff due to the cold conditions inhibiting melting.

321 The spring season plot reveals a distinct pattern compared to winter. In spring, SHAP values become 322 positive at relatively low snow depths, specifically, below approximately 5 mm, whereas in winter, SHAP 323 values do not turn positive until snow depths reach around 10 mm. This suggests that even shallow 324 snowpacks in spring can contribute positively to runoff prediction, likely due to the presence of warmer 325 temperatures and increased melt potential. Additionally, the spring plot shows a more pronounced peak in 326 SHAP values between 20–30 mm of snow depth, indicating that this range has the strongest positive 327 influence on runoff generation. This enhanced response may be attributed to optimal conditions for 328 snowmelt and rain infiltration, highlighting the seasonal differences in how snow depth influences ROS 329 runoff processes. Beyond this point, SHAP values decline again as in winter, showing diminishing returns 330 or suppression of runoff with very deep snowpacks. The color gradient for air temperature indicates that 331 larger rainfall conditions (darker colors) play a less pronounced role in enhancing runoff compared to 332 winter, reflecting the transition toward more dynamic melt processes typical of spring. The plots highlight 333 the nuanced interaction between snow depth and runoff prediction, while snow depth generally suppresses 334 runoff in winter due to cold conditions, it begins to play a more positive role in spring as temperatures 335 rise.

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338 4. Summary

339 This study investigated how climate variables (rainfall, temperature, snow depth) and watershed 340 properties influence rain-on-snow runoff variability in the U.S. Great Lakes Basin, examining how land 341 cover modifies the effects of precipitation and temperature on ROS runoff and how these relationships 342 change seasonally from winter to spring. We employed an explainable machine learning approach using 343 Extreme Gradient Boosting (XGBoost) combined with Shapley Additive Explanations (SHAP) to predict 344 and interpret ROS runoff patterns across watersheds in eight U.S. states () and Ontario, Canada, using 345 daily data from 2000-2023. The data were sourced from the HYSETS database and ERA5-Land 346 reanalysis. 347 1. The XGBoost models demonstrated acceptable predictive accuracy with notable seasonal differences.

The winter model achieved higher predictive performance ($R^2 = 0.65$, Nash-Sutcliffe = 0.59) compared to spring ($R^2 = 0.56$, Nash-Sutcliffe = 0.49), indicating greater predictability of ROS runoff processes during colder months when snowpack dynamics are more stable. Both models struggled with very low runoff values, highlighting the inherent challenges in modeling near-zero hydrological data even with advanced machine learning techniques.

2. During winter, runoff is predominantly governed by climatic factors including rainfall intensity, air
temperature, and their interactions, with soil permeability and north-facing slopes playing important
secondary roles. In contrast, spring ROS events show increased sensitivity to land cover characteristics,
particularly crop and shrub cover, as vegetation-driven processes become more influential with rising
temperatures.

358 3. Snow depth and temperature effects vary markedly between seasons. Snow depth effects shift from
359 predominantly negative in winter, where snow acts as a storage medium, to positive contributions in
360 spring at shallow to moderate depths as melting potential increases. Air temperature below approximately
361 2.5°C tend to suppress ROS runoff in both winter and spring seasons

| 362 | 4. Land cover effects on ROS | runoff vary h | by vegetation type and | season. Agricultural | areas consistently |
|-----|------------------------------|----------------|------------------------|----------------------|--------------------|
| | | 10011 (011) 0 | j i egetation type and | Season - Ane areara | |

- 363 enhance runoff across both seasons due to reduced infiltration capacity, while shrub-dominated
- 364 landscapes show stronger positive effects in spring, likely through altered snow distribution patterns.

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366 Data Availability Statement

367 All the data used in this study are publicly available from open-access databases.

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Figure 1. Distribution of HYSET catchments used in this study. Blue dots represent the streamflow gauge of the study catchments (n = 330) concentrated primarily within the Great Lakes basin and adjacent watersheds (eight US states and Ontario, Canada).



Figure 2. Relative importance of predictor variables in XGBoost models for rain-on-snow (ROS) runoff prediction during winter (top) and spring (bottom) seasons. Bars represent mean SHAP (SHapley Additive exPlanations) values indicating the average contribution of each feature to model predictions.



Figure 3. SHAP summary plots illustrating the seasonal differences in feature impacts on rain-on-snow (ROS) runoff for (a) winter and (b) spring across the US Great Lakes region and Ontario. Each point represents a SHAP value for a given feature, with color indicating feature magnitude (yellow = low, purple = high). Positive SHAP values indicate an increase in ROS runoff associated with that feature value, while negative values indicate a decrease.



Figure 4. Partial dependence plots showing the relationship between temperature and rain-on-snow (ROS) runoff impact during winter and spring seasons. Points represent individual catchment-event combinations colored by rainfall amount (mm), with purple indicating low rainfall and yellow indicating high rainfall. The smooth curves (red lines) show the fitted relationships from generalized additive models.



Figure 5. Partial dependence plots showing the relationship between snow depth and rain-on-snow (ROS) runoff impact during winter and spring seasons. Points represent individual catchment-event combinations colored by rainfall amount (mm), with purple indicating low rainfall and yellow indicating high rainfall. The smooth curves (red lines) show the fitted relationships from generalized additive models. Snow depth is displayed on a log scale (x-axis), while the y-axis shows the standardized impact on ROS runoff prediction.

Table 1. Performance metrics of the XGBoost model for predicting rain-on-snow (ROS) runoff in the US Great Lakes region (2000–2023), comparing winter and spring seasons. Metrics include Root Mean Square Error (RMSE), Mean Absolute Error (MAE), Mean absolute percentage error (MAPE), coefficient of determination (R²), Nash-Sutcliffe efficiency, and Spearman rank correlation for both training and testing datasets.

| Performance Metric | Wir | nter | S | pri ng | |
|----------------------|--------|--------|--------|--------|--|
| | - | - | | | |
| I | Trai n | Test | Train | Test | |
| RMSE | 2.53 | 4.21 | 3.44 | 3.61 | |
| R ² | 0.84 | 0.65 | 0.72 | 0.56 | |
| MAE | 1.00 | 1.68 | 1.16 | 1.62 | |
| MAPE | 323.96 | 516.82 | 317.25 | 535.19 | |
| Nash-Sutcliffe | 0.81 | 0.59 | 0.64 | 0.49 | |
| Bi as | 0.52 | 0.77 | 0.65 | 0.70 | |
| Spearman Correlation | 0.89 | 0.74 | 0.86 | 0.67 | |