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# 'You can't blame people for risky choices if there are no better options': Household water safety in the Dominican Republic

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# 'You can't blame people for risky choices if there are no better options': Household water safety in the Dominican Republic

#### 10 Introduction

11 Around a guarter of the population worldwide is reported to lack safely managed drinking 12 water services, meaning inadequate water availability, accessibility and/or quality, according 13 to the WHO/UNICEF Joint Monitoring Programme (JMP), the custodian of global data on 14 water supply, sanitation and hygiene (JMP, 2021). This figure is likely to be an 15 underestimate, however, as it relates to water that is free from contamination. Levels of 16 contamination can differ between a water source and the point of its consumption due to 17 contamination during collection, transport or storage (Shields et al., 2015) and the 18 underreporting of high-risk behaviours (Vedachalam et al., 2017). Microbial contamination of 19 water at the point of use is a dynamic phenomenon, which can be temporally affected by 20 weather and rainfall patterns (Howard et al., 2003; Guo et al., 2019; Dongzagla et al., 2021), 21 intermittent supply and system outages (Kumpel & Nelson, 2016), household water storage 22 (Slavik et al., 2020; Staddon & Brewis, 2024), and the use of high-risk unimproved sources 23 to supplement safer water sources (Cronk et al., 2024). Moreover, as water safety indicators 24 can change as often as daily (Price et al., 2021), contamination levels can vary greatly over 25 time and true levels of microbial contamination may not be captured due to the timing of 26 sampling (Harris et al., 2023).

27 This uncertainty in assessing water safety is of particular concern for households in low- and 28 middle-income countries (LMICs) that rely on multiple sources of water to fulfil their everyday 29 water needs (Elliot et al., 2017; Daly et al., 2021; Cronk et al., 2024)). When households are 30 forced to rely on water sources not covered by mainstream regulatory systems, the water 31 they use can fall into a regulatory vacuum (Majuru et al., 2016). This places the responsibility 32 of water safety on household members who have to rely on their knowledge and perceptions 33 to ensure water quality is appropriate for its intended use. There are many low-cost 34 technologies available to improve water quality at the point of use or consumption, such as 35 chlorination (Arnold & Colford, 2007), flocculation-disinfection sachets (Crump et al., 2005), 36 filtration and solar disinfection (García-Gil et al., 2021). In places where water supply is 37 unsafe or inconsistent, such options can improve the safety of drinking water, reducing diarrhoeal disease by up to 61% when used consistently and correctly (WHO, 2016). 38 39 However, these techniques rely on consistent, long-term use for the promised health 40 benefits to be realised (Brown & Clasen, 2012). Intermittent or inconsistent use undermines

41 their effectiveness (Sobsey et al., 2008). Relying on household water treatment to fulfil the

42 goal of universal access to safe water requires long-term, sustained behaviour change,

43 which places an additional burden on people already suffering the cognitive stress of

44 resource scarcity (Ray & Smith, 2021).

45 This paper presents the findings of a mixed-methods study, which considers household 46 perceptions of water safety in the Dominican Republic and how these influence water 47 storage, treatment and source selection. It uses water quality testing, using E. coli as an 48 indicator of water quality, to assess whether these perceptions of water safety are supported by objective evidence of water contamination. We argue that without adequate resources 49 50 and reliable information, households' safety strategies are not effective to ensure water 51 safety. Faulty perceptions lead to overconfidence in water that is often contaminated by the 52 processor, vendor, or consumer. Household water treatment and storage strategies should 53 not be prioritised over systemic changes to public health communication and access to water 54 services.

55 The next section presents the context of the case study and the various methods employed.

56 The quantitative data is then presented and analysed in conjunction with data from the

57 household survey to assess the suitability of water quality for its uses. Subsequently, the

58 influences of household storage and treatment on water quality are assessed, and user

59 perceptions and behaviours are analysed alongside the water quality findings. The

60 influences and vested interests of the wider regulatory and commercial context in the

61 Dominican Republic is considered, and the implications of this case study for JMP estimates

62 of water access are discussed. The conclusion summarises why many households have no

63 option but to engage in risky choices.

### 64 Methodology

#### 65 Case study location

This research focusses Malpaez, which is located in the municipality of San Gregorio de Nigua, 25 km west of the capital of Santo Domingo. Malpaez was selected as the case study location because of the variety of water sources used by its residents, its relative proximity to Santo Domingo, and the interest of its community leaders in the project. The research was undertaken in partnership with the Dominican country office of Habitat for Humanity, building on their existing relationships with communities.<sup>1</sup> Malpaez consists of three communities: one, also called Malpaez, has approximately 250 houses; Los Caguiles which also has around 250

<sup>&</sup>lt;sup>1</sup> The study design and tools were approved by Loughborough University Ethics Review Sub-Committee (ref 2023-13507-12754 and 2022-11010-11451

houses; and La Canela with approximately 200 houses. All three communities experienced
an intermittent and often unreliable water supply and employed a variety of coping strategies
to meet their water needs. These strategies included use of multiple water sources, household
water storage and treatment, and collaborative social coping strategies (Brown et al., 2024).
The most common water sources included rural piped water systems, water tankers, and
rainwater harvesting.

79 As in most of the Dominican Republic, households also purchase drinking water separately 80 from water for other uses. This water is usually treated by reverse osmosis (RO), which is a 81 water treatment technology that uses hydrostatic pressure to push water, against the 82 osmotic gradient, through a polymer membrane with a layered, web-like structure, filtering 83 out even the smallest contaminants which are retained and concentrated on the influent 84 surface of the membrane (Greenlee et al., 2009). RO is traditionally associated with 85 desalination of seawater for potable use, but in the Dominican Republic most of the water 86 intended for drinking is municipal piped water or groundwater that has been treated by RO, 87 for which distinct technology has been developed. This water is usually purchased in 201 88 bottles from local vendors or directly from the production facility, with the plastic bottles being 89 returned and refilled by the producer. Many households also purchase RO-treated water 90 from small water tankers, which are referred to here as 'RO water tankers'. To avoid 91 confusion, the large tankers which provide water for general domestic use are referred to as 92 'domestic water tankers' to differentiate them from the smaller RO water tankers.

#### 93 Methods

94 A household survey was conducted between February and March, 2023. A sample size of 95 200 households was calculated a sample of the approximately 700 households in the study 96 communities, which provides a confidence level of 90% that the real value is within ±5% of 97 the measured/surveyed value. As there was greater diversity of water sources in Malpaez, 98 compared to the relative homogeneity of water access in La Canela and Los Caguiles, the 99 sample was adjusted proportionally so that the variety of household experiences could be captured. Therefore, half of participating households were resident in Malpaez and 50 in 100 101 each of La Canela and Los Caguiles. To select participating households in Malpaez and Los 102 Caguiles, every fourth house was approached, beginning with the western boundary of the 103 community and walking in a straight line. Permanently empty houses were disregarded. If a 104 household was unavailable, they were approached twice more, at least once on a weekend 105 or in the evening, before being substituted by the house to the left. Since La Canela has 106 fewer households, every third house was contacted. The first author conducted the surveys

in Spanish, accompanied by a trusted member of each community. The survey data werecollected using the Kobo Toolbox app and analysed using R-studio (version 4.3.2).

109 In addition to the survey, 10 semi-structured interviews lasting 30-60 minutes were 110 conducted with community residents between November 2022 and March 3023. Interview 111 respondents were selected using a snowball sampling method, ensuring that respondents 112 were selected from a wide range of locations within the communities, relied on a range of 113 water sources, and represented different income brackets. Key informant interviews were 114 held with the national water utility, Instituto Nacional de Agua Potable y Alcantarillados 115 (INAPA), and the government environmental health department; these interviews lasted 116 between 60 and 90 minutes. All interviews were conducted in Spanish and recorded on a 117 Dictaphone, with verbal informed consent recorded before initiating the interview. The 118 recordings were transcribed using an automatic transcription service and then edited first by 119 two local interns familiar with the local dialect and accent, followed by a final edit by the 120 interviewer. The interviewees' names were pseudonymised before transcripts were 121 thematically analysed in Spanish using NVIVO 20. The topic guides for these interviews 122 were formulated based on the finding from a period of formative research in the community. 123 During this period the first author stayed for six nights in the home of a community leader in 124 Malpaez, which enabled her to visit and participate in informal discussions, observe and 125 participate in daily activities, and build constructive relationships with the study communities. 126 One focus group discussion, lasting 45 minutes, was held with seven female residents from 127 Malpaez which also contributed to the formative part of this study.

128 In April 2024, water samples were taken from households that participated in the survey to 129 be analysed for the four 'critical parameters': *E. coli*, chlorine, turbidity and pH (WHO, 2022), 130 though pH measurements were discontinued due to technical problems with the probe. A 131 fifth parameter, Total Dissolved Solids (TDS), was also considered integral to this study, 132 since RO treatment relates to the removal of dissolved solids. A minimum of 12 samples for 133 each of the four principal water sources - reverse osmosis (RO) water tankers, 20l bottles, 134 piped network, and domestic water tankers - was the target. To achieve this, a proportional 135 sample of surveyed households was selected, and then adjusted to ensure that these water 136 sources were represented. In total, 80 water samples were collected from 37 households. 137 Households were asked to confirm the intended purposes for each water source and a 138 photograph was taken to facilitate discussion about the impact of water storage or retrieval 139 practices on the results. All available water sources in each household were tested. In four 140 households, two piped water samples were taken because the households stored water 141 differently according to the intended use. In one household, samples were taken from the 142 cistern and the water flowing from the pipe that was filling it to test whether there was a

difference in contamination levels between the water as it arrived to the household and the
water stored in the cistern. In another household, samples were taken from two barrels; one
of the barrels had been refilled from empty that morning, and the other had been half-full of
water stored for more than a day before refilling.

147 Eleven more samples were collected directly from water tankers, bottles purchased directly 148 from a local vendor, or water from these sources which had been decanted into empty 149 bottles that a household habitually used. These samples were processed in the same way 150 as the household samples, except for an opportunistic sample from an RO water tanker at 151 the side of the road where it was not possible to ensure the required conditions for 152 microbiological testing. To validate the method, nine samples were repeated by the INAPA's 153 water quality laboratory following their sampling guidelines. These samples were taken at 154 the same time and place as their counterparts and stored in a cool bag. They were delivered 155 to the lab within three hours of sampling and analysed the same day.

156 Turbidity was measured using a Hach meter 2100p. Electrical conductivity and temperature 157 readings were taken using the Hanna Instruments 98129 probe, which displays the derived 158 estimate of Total Dissolved Solids (TDS). The sample was tested for residual and total 159 chlorine using diethyl-p-phenylene diamine (DPD) reagent tablets and a colour comparator. 160 If residual chlorine was not detected, a further sample was taken for microbiological testing, 161 which was processed onsite and incubated at a temperature of 25-30° C for 48 hours using 162 Aquagenx's compartment bag test (CBT) kits that provide a Most Probable Number (MPN) 163 of E. coli per 100ml (Stauber et al., 2014).

*E. coli* count is the most common indicator of potential faecal contamination. While no *E. coli* is a good indicator of the absence of other pathogens in untreated water, the same is not
 necessarily true of treated water, where bacteria may be successfully eliminated more
 readily than other pathogens (Charles et al., 2020). The *E. coli* results were interpreted

- 168 according to the Aquagenx Most Probable Number Table for Drinking-Water, which identifies
- the MPN and upper 95% confidence level per 100ml of water (reproduced in (JMP, 2022).

#### 170 Insert Table 1 here

- 171 The Aquagenx categories are used to discuss the results of the water testing. 'Safe'
- indicates that *E. coli* was not detected in the sample, or was detected at a MPN of less than
- 173 1/100ml, and is therefore likely to be free of associated disease-causing bacteria. Values
- between 1-3.2 MPN/100ml and 3.7 MPN/100ml are categorised as 'probably safe', 3.1-9.6
- 175 MPN/100ml as 'possibly safe', 13.6 and 17.1 MPN/100ml as 'possibly unsafe', 32.6 and 48.3
- 176 MPN/100ml and 'probably unsafe', and >100 MPN/100ml as 'unsafe'. The highest value

detectable by the CBT is >100 MPN/100ml. These risk categories are used throughout thepaper to discuss the CBT results.

- 179 A laboratory evaluation of the Aquagenx CBT EC+TC MPN Kit by the JMP found that, with
- 180 incubation at 25° C, in 73% of paired samples the semi-quantitative risk class matched the
- 181 expected value. Using a presence-absence test, the kit correctly identified the presence or
- absence of E. coli in 90% of cases with a threshold of 1 CFU/100 mL (JMP, 2022). This
- 183 method was selected for its ease of use in the field and its semi-quantitative risk categories,
- 184 which suited the approach of this study.

#### 185 Results and Discussion

186 This section presents and discusses the findings of the study. It first summarises the results

- 187 of the water quality testing, breaking down the results by source, intended use, and the
- 188 implications of household storage on water safety. Household perceptions of drinking-water
- 189 safety, and the ways households evaluate whether water is suitable to drink are discussed,
- 190 followed by the factors that influence households' engagement in risky practices, and the
- 191 contextual influences on their perceptions and knowledge of water safety.

#### 192 E. coli testing results

193 Table 3 presents the test results of the 80 household water samples. Since very few 194 samples contained residual chlorine, the recorded values of turbidity, which influences the 195 effectiveness of chlorination, are shown for completeness but not discussed. Residual 196 chlorine was detected in five samples, one was lost in transit, hence 74 were tested for E. 197 coli. Of these, 42 tested positive for E. coli with 21 showing the highest possible result of 198 100+ MPN/100ml ('unsafe'). Samples taken from all water sources tested positive for E. coli 199 which suggests that the safety of all sources was inconsistent, and therefore none present a 200 reliable option for safe water at point of use.

#### 201 Insert Table 2 around here

Of the 80 household samples, 48 were from water used for drinking or cooking. Of these, 32

- were samples taken from 20l bottles and RO tankers. Of the 37 households sampled, 21
- used the same water source for cooking and drinking; the remainder used used piped water
- 205 (9), water tankers (2), rain (3), piped water and rainwater (1), and a different brand of 201
- bottles (1) for cooking, in addition to using 20l bottles or RO tankers for drinking (see table
- 207 2).

#### 208 Insert Table 3 around here

Around two-thirds (64%) of samples used for drinking and cooking were 'safe' (>1

- 210 MPN/100ml). The remaining 36% tested positive for E. coli, with a third of these testing
- 211 positive for a high concentration (>100 MPN/100ml) indicating 'unsafe' water. Contrary to
- 212 perceptions that the RO water tankers are less safe than sealed bottles, the RO water
- 213 tankers had the highest proportion of 'safe' samples (>1 MPN/100ml) at 76%, compared to
- 214 56% of sealed 20I RO bottles. There were lower proportions of 'safe' water from domestic
- 215 water tankers (53%), pipes (13%), and harvested rainwater (29%), which is to be expected
- from water sources not intended for drinking. Overall, water intended for cooking had a
- higher proportion of 'unsafe' water (26%) than water for drinking (10%), although it did
- 218 present less E. coli contamination than water intended for other uses, as shown in Figure 1.

#### 219 Insert Figure 1 around here

#### 220 Household water storage

221 All households in the study communities use water storage to cope with the intermittent 222 water supply. Interviews and surveys showed that households appeared to understand the 223 importance of cleaning storage containers regularly and covering stored water, and were 224 vigilant about these practices for water intended for cooking. However, household members 225 were observed touching water with their hands when retrieving it from barrels, using vessels 226 without handles to scoop water, and using the same vessels to drink and scoop water. 227 These practices have been shown to contribute to contamination of household water in the 228 home between the point of delivery and the point of use (Clasen & Bastable, 2003; Usman et 229 al., 2018; Staddon & Brewis, 2024). Additionally, some studies show that long-term storage 230 leads to loss of disinfectant residual due to insufficient mixing, periods of stagnation and 231 temperature changes, which increase microbial growth, particularly if water is stored for 232 multiple days (Baker et al., 2013; Nnaji et al., 2019; Slavik et al., 2020).

These previous findings appear to be confirmed by testing that showed how water that has been stored by households is more contaminated than water they take directly from the piped network. For example, a sample from a container that contained a mix of old and freshly stored water had a higher concentration of *E. coli* (<100 MPN/100ml, 'unsafe') than water in a barrel that was empty before refilling (2 MPN/100ml, 'probably safe'). Another house had an underground cistern; the water flowing from the pipe was 'probably safe' (3.2 MPN/100ml), while the water in the cistern it was filling was 'unsafe' (<100 MPN/100ml).

To further investigate the potential impact of storage on water quality, multiple samples were taken from four households: one from the container used to store water for cooking, and one from a container that was used for other domestic uses but not for cooking. Table 4 shows the results of these tests. Water for personal hygiene and household cleanliness was stored outside and considered unsuitable to cook with. In each case, water for cooking had a higher

- 245 concentration of *E. coli* than water stored outdoors. This is concerning because this risky
- water is consumed by households in salads, cooked foods, and when brushing teeth. This
- surprising result is possibly due to water stored inside being used more often, hence
- 248 experiencing more frequent encounters with hands and contaminated retrieval vessels.
- 249 The results from these four households are consistent with the wider sample of piped water
- sources. Of the samples of water from pipes, domestic water tankers and rainwater, 37%
- 251 were from water containers that households used to store water for cooking. These samples
- were more contaminated than those from the same sources that were intended for other
- 253 household purposes. This indicates that safe storage practices cannot always be relied on to
- 254 protect against contamination and waterborne diseases. If these techniques are practised
- incompletely, inconsistently, or without understanding what they are protecting against, they
- 256 may even exacerbate the risks they are intended to mitigate.

#### 257 Insert Table 4 around here

#### 258 Household water treatment

- 259 Household water treatment techniques, such as chlorination, are often proposed as solutions 260 to the risk presented by water storage. Chlorination was the only treatment option reported 261 by the households in the study communities: 85% reported using chlorine, none reported 262 using filters or boiling their water. To be effective, ongoing chlorination of stagnant or stored 263 water is important to prevent growth of microorganisms. The use of chlorine as a healthy 264 practice appears to be a common understanding in the study communities; 85% of 265 households reported chlorinating at least one of their storage containers, and all households 266 put chlorine in water that is used for cooking from the piped network or domestic water 267 tankers. This was most commonly in the form of household bleach purchased from 268 supermarkets, which is a sodium hypochlorite solution. Households reported adding one 269 teaspoon (15ml) to a 208l barrel.
- 270 While standard doses are easy to promote in public health messaging, the effective dose
- 271 changes according to the physio-chemical and microbial quality of the water (Nielsen et al.,
- 272 2022). Official guidance disseminated by the *Secretaria de Estado de Salud Pública y*
- 273 Asistencia Social (SESPAS), the government's public health department, followed the
- 274 Organisation of American States recommended dose of five drops of bleach per gallon of
- 275 water, and that the treated water should be left for 30 minutes before drinking (OAS &
- 276 SESPAS, 2010). Residual chlorine testing is not a common practice in the study
- 277 communities, so households have no means of assessing whether the dose is correct.
- 278 Although chlorination may be perceived as a desirable behaviour, households lack sufficient

information about correct dosages or sufficient motivation for continual implementation.

280 Consequently, even if chlorination is practised consistently, it may have unreliable effects.

Attrition and inconsistent usage of household water treatment techniques, such as chlorine,

are well-documented problems with household water treatment interventions (Crider et al.,

283 2023). Compliance with best practice tends to reduce over time because people

- 284 overestimate the safety of their water (Orgill et al., 2013). Moreover, households bear the
- cost of disinfection products so may be unwilling or unable to buy chlorine, particularly if they
- are unconvinced of the need for or the effectiveness of the practice. Relying on techniques,
- such as chlorination, to respond to chronic shortages or insufficient water access is not an
- 288 effective way to ensure positive public health outcomes and may limit their effectiveness if
- 289 needed in an acute crisis. As the Dominican Republic is vulnerable to hurricanes,
- 290 earthquakes and serious flooding events (GFDRR, 2022), the limited effectiveness of
- 291 measures to ensure water safety in such crises presents a risk to the country's resilience.

#### 292 Perceptions of quality

293 All surveyed households purchased drinking water separately to their domestic water, either 294 in sealed 20l bottles (52%) or from an RO water tanker (46%). Other sources included 295 refilling their own bottles from the treatment plant, buying bottled water cooperatively with 296 neighbours, or family members purchasing water for them. Just under half (46%) of 297 respondents had a specific brand or provider that they preferred. Around one-third (34%) of 298 these cited concerns that other sources would make them sick and mentioned previous 299 experience as a factor in their decision making. They indicated that they avoid a brand if it 300 has made them sick previously and stay loyal to one if they have drunk it for a long time 301 without getting sick. A further 12% said that the method of treatment was the most important 302 factor in assessing whether water was of good enough quality to drink, with specific 303 responses including purification, filtration, membrane osmosis and RO. For one in 10 304 households, convenience was the primary factor in their decisions when selecting a water 305 provider, whether it was a convenient delivery schedule, convenience of access, or 306 proximity. A common comment from households that used RO water tankers related to the 307 hygiene or trustworthiness of the vendors. The hygiene and cleanliness of the hoses, general personal hygiene of the vendor, and their moral character were factors influencing 308 309 which RO tankers households chose to buy from.

310 The most common reason respondents gave for their choice of drinking-water was taste,

- 311 with 37% describing it as the primary consideration. This was highlighted by Claudia, a
- teacher from La Canela who buys drinking water from RO water tankers:

The water from the pipe isn't fresh. The only drinkable water comes in the small tankers ... There is one [RO water tanker] that has lighter, better water than the others. Sometimes when you drink water it feels heavy ... it feels like it is out of the tap and the tap is metallic. But when you buy a good one, it goes down well.

317 In Claudia's account, there is an association between the hardness or softness of water and 318 its quality, which is used to determine whether water is safe to drink. Many interviewees 319 used the word 'heavy' to depict water they would not trust to drink, and described good 320 quality water as 'smooth', 'fresh', 'natural' or 'light'. Iris, a domestic worker from Malpaez, 321 recounted how inconsistent her experiences of buying drinking-water from RO tankers are: 322 'It will taste fresh one day, then another it's so heavy you can't drink it and I have to throw it 323 out.' When an RO tanker came to community leader Amalia's home during her interview, she 324 introduced the vendor saying: 'I trust him. Jorge knows if he brings me bad water, we will 325 have problems.' She explained that she only buys from this vendor, as she suspects others 326 fill their tankers up from taps. Speculation about this type of practice was common. 327 Marianela, a seamstress who worked from her home in Malpaez, explained: 'A guy used to 328 pass in the mornings [but] I stopped buying from him. I thought the water was good because 329 the tanker said [Brand Name] on it, but someone told me he fills it from his cistern at home'.

A local mechanic from Los Caguiles stated that he had seen an RO tanker being filled from the river. The validity of these claims is unknown, but it affects water purchasing practices.

332 The descriptors associated with water quality appear to align with the physical qualities of 333 RO water, which would be expected to have low total dissolved solids and electrical 334 conductivity. These are measures of the dissolved ions present in the water, with higher 335 values indicating water that consumers might describe as 'heavy' or with high salinity. As 336 Table 1 shows, the piped water networks and tankers have much higher electrical 337 conductivity and total dissolved solid values than the RO water sources. This difference 338 would be perceptible by tasting, which makes distinguishing RO water from other types of 339 water relatively simple. It is likely that consumers' evaluation of the 'heavy' or 'smooth' water 340 is related to higher or lower total dissolved solids, which reassures them that the water they 341 are drinking is actually RO water. Associations between taste and safety may arise from lack 342 of trust in the regulation of water vendors. A sample taken from an RO tanker that advertised 343 itself as a provider of drinking water had an electrical conductivity measurement of 1822 344 µS/cm and total dissolved solids of 904 ppm. These results are inconsistent with RO water, 345 which had maximum values of 98  $\mu$ S/cm and 51 ppm (see Table 1). While this is only one 346 sample, hence not representative of all water providers, it indicates there is at least one RO 347 tanker regularly servicing the case study area which sells water that is not treated in the way 348 advertised to consumers.

349 Associating the taste of RO water with quality is a rational choice for consumers given the 350 unreliable information and lack of confidence in regulatory standards. The drinking water 351 supply network of 12 national companies and 180 local vendors (Severino, 2022) presents 352 enormous logistical challenges for regular testing and enforcement, hence regulatory 353 standards are not universally enforced (MAPAS-RD, 2016). Gloria, a single mother from Los 354 Caquiles, indicated that she knew water was safe if it was RO-treated. She said that while 355 the Instituto Domincana de Calidad (INDOCAL), issues water providers with a seal that 356 approves their treatment process, some companies falsify the seal, so its presence is a less 357 reliable measure of quality than the water's taste and appearance. However, this association 358 between taste and safety may lead consumers to drink unsafe water, which is supported by 359 the water sampling undertaken. The safety of RO water is inconsistent, with 36% of samples 360 testing positive for E. coli at a level above the national standard for drinking water of 1 361 MPN/100ml.

362 The unreliability of drinking-water safety is reflected nationally. A study of microbial 363 contamination in similar communities in Puerto Plata, Dominican Republic found that only 364 2% of bottled water samples presented little to no risk, while 35% displayed high or very high 365 risk. Despite this, bottled water was still perceived as the safest to drink (Baum et al., 2014). 366 Preliminary results released by the Institute of Microbiology and Parasitology at the 367 Universidad Autónoma de Santo Domingo show that 30% of water samples sold in 0.51 368 sealed bottles (16 oz.) failed to comply with at least one of the microbiological indicators of 369 the national standards for water quality NORDOM 1 (El Nuevo Diario, 2019). Water that 370 meets consumers' taste expectations can lead to overconfidence in the safety of the water 371 being drunk. After the 2010 earthquake in neighbouring Haiti and ensuing cholera outbreak, 372 the Dominican Republic experienced isolated outbreaks of cholera. During this time, reliance 373 on bottled water may have reduced the perceived need for additional hygiene measures, 374 increasing the risk of transmission (McLennan, 2016).

375 While point-of-use technologies, such as chlorination or home filtration, might improve water 376 safety, effective implementation of these practices would require households to undergo 377 intensive perception and behaviour change. Interviews and surveys demonstrated that there 378 is a strong association between taste and the perception of guality and safety, and the taste 379 of chlorine was associated with poor quality water, rather than with safety. Therefore, 380 disinfection practices may appear to consumers as indicators of poor quality. For example, 381 one survey respondent had tried many brands of drinking-water, claiming that their chosen 382 brand tastes 'natural' but 'many of the others taste of chlorine'. This suggests the taste of 383 chlorine is considered 'unnatural' and, therefore, unsuitable to drink.

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#### 384 Influences on behaviour

385 The economics of water access leads many people into risky strategies. The deposit for 201 386 bottles ranges from RD\$240 to RD\$600 (USD \$4-10), compared with the cost of water, 387 which is RD\$35-90. The high cost of the deposit disincentivises households from having 388 adequate storage for their needs. In addition, the bottles are the same shape as those 389 commonly used for water dispensers, which is awkward with no handles. As full bottle of 390 water weighs 20kg, they are difficult to carry and pour from. For some households, this is a 391 barrier to storing enough drinking-water in the home to meet their needs. For example, Maria 392 Carmen, an elderly resident of Malpaez who lives alone, used two different brands of 201 393 bottles to drink and to cook. She relied on a neighbour's support to refill her bottles in town 394 because she could not travel or lift the bottles. Needing to take advantage of the neighbour's 395 availability, she always asked him to decant a bottle into a bucket and take it for refilling, 396 even if it was nearly full. At the time of sampling, Bottle A (used for drinking) was decanted 397 into a 25I plastic bucket, while Bottle B (used for cooking) was in its original container. Bottle 398 A (sampled from the bucket) had an *E. coli* concentration of >100 MPN/100ml ('unsafe'), 399 while Bottle B was 'safe' (0 MPN/100ml). This is an example of a person's vulnerabilities 400 (age and lack of mobility) compounding their experience of water insecurity, increasing their 401 overall vulnerability. As others have also found, residents with disabilities and mobility 402 limitations experience worse water access (Mactaggart et al., 2018) and elevated risks from 403 the strategies they employ to manage their limitations (Groce et al., 2011).

404 The ergonomics and economics of the bottles prompted other households to employ 405 convenience measures. Of the 14 households that bought sealed 20I RO bottles, four 406 decanted them into other containers for ease of use or because of the bottle deposit cost. 407 Three households had a mechanical pump tap instead of a lid on the bottle; two of these had 408 an E. coli concentration of >100 MPN/100ml. The high levels of contamination in these 409 samples are partly due to the use of the devices without appropriate sterilisation. The pump 410 and tubing are difficult to clean; bacteria from contaminated water can accumulate inside 411 and contaminate other bottles when the pump is transferred. Other solutions included having 412 small bowls or basins of water in the kitchen for easy access while cooking, or storing bottles 413 on the floor to avoid the need for lifting. Both provide opportunities for contamination.

However, the most influential factor on households' risky behaviour is inconsistent or
insufficient access to water. Prohibitive costs motivate households to choose cheaper or
unregistered water providers, even if the quality is less reliable. Households store water for
prolonged periods of time because of the intermittent supply. This is a rational reaction to
ensure there is enough water on hand, even if it means water is stored for longer than is

419 considered safe. Households experience a high user burden, especially those with higher

- 420 levels of water insecurity, who focus on the tangible water requirements to keep daily life
- running smoothly, such as laundry, cooking, bathing and drinking, rather than on the less
- tangible risk of microbial contamination. This is especially the case if there are few reliable
- sources of information or strategies to evaluate water safety. A representative from SESPAS
- noted that increasing access was the first step in improving household water quality: 'You
- 425 can't blame people for risky choices', she said, 'if there are no better options available to
- 426 them.'

#### 427 Public health information and private interest marketing

428 Bottled and RO water in the Dominican Republic are supplied by several multinational, 429 national and local private companies. These companies require certification from the institute 430 of quality, the Instituto Domincana de Calidad (INDOCAL), to prove that they comply with 431 NORDOM 64, the regulation for packaged drinking water. Consumers in the Dominican 432 Republic are increasingly reliant on the private sector to supply their drinking water. This is 433 partly attributed to declining confidence in municipal water supplies; pipes are old and in 434 poor repair, so even if the disinfection and treatment process is operating at the treatment 435 plant, contamination can easily enter the distribution network. Public confidence has been 436 lost 'little by little' according to Alba, the manager responsible for domestic water quality at 437 the Secretaria se Salud Publica y Assistencia Social (SESPAS).

Private water companies and their associated lobbying groups have also engaged in promotion and advertising. During informal conversations, a television campaign was often mentioned when introducing the topic of water safety. Although the campaign could no longer be traced from online sources, it was consistently considered influential. The campaign reportedly featured a young child who was gravely ill in bed, with his mother crying and wishing she had bought bottled water instead of using piped water. Alba described the context of the video:

There was a campaign called: "Why did I give you that water?"... It plays on the maternal instinct, which is always to protect the child ... And when the sales fall, they return to those campaigns to create a need for people to feel safe.

There were multiple videos with a similar theme, some promoting sealed bottled water over RO water tankers, and some undermining confidence in tap water. Several people recalled the videos from when they were children, explaining how it caused their parents to change from using water tankers to sealed 20l bottles. Such campaigns, delivered in the interests of 452 commercial water bottling companies, appear to have played a pivotal role in shaping453 consumers' perceptions of what water is safe to drink.

454 This type of private interest communication has also had a legislative effect. Alba explained 455 that private water companies lobbied against the introduction of water quality guidelines. When 456 that failed, they did not want the word 'potable' associated with tap water: 'They were clear 457 that this was the way they were going to be able to sell'. There are two sets of national water 458 quality guidelines. NORDOM1 is the set of parameters for 'potable water', and NORDOM 64 459 refers to 'packaged water'. Water for human consumption must comply with NORDOM 64. 460 Consequently, the word 'potable' is culturally disassociated from drinkability and is generally 461 understood to refer to domestic water that is not drunk.

#### 462 JMP indicators of water access

Households' lack of capacity to ensure water quality is reflected in the national Joint 463 464 Monitoring Program (JMP) statistics on water quality. To be classified as 'safely managed', 465 water must be from an improved source, accessible on the premises, available when 466 needed, and free from contamination. Official JMP statistics show the coverage of 'safely 467 managed' drinking water services in the Dominican Republic as 45%. If water is from an 468 improved source but one of these criteria are not met, they are categorised as 'basic' 469 services. The Dominican Republic has a further 52% 'basic' service coverage. The main 470 reason for services being downgraded to 'basic' appears to be the 'free from contamination 471 criteria', which is at 45% nationally compared to 96% and 90% for the accessibility and 472 availability criteria respectively (JMP, 2023).

473 According to the 2022 census, the water sources used in the study communities are similar 474 to those used in other rural communities in the same region and nationwide (ONE, 2022). 475 The JMP states that the Dominican Republic has 35% rural coverage of safely managed 476 water services, and a further 57% of basic service coverage. Based on these statistics, an 477 approximately equivalent proportion of households with safely managed drinking water 478 services would be expected in the study communities. Sampled households were evaluated 479 using the criteria of availability, accessibility, and safety in relation to the water sources they used for drinking. Of these, 52% qualified as safely managed, however, as previously 480 481 discussed, the JMP drinking water services refer to the water households use for 'drinking, 482 cooking, personal hygiene and other domestic uses' (JMP, 2017). Consequently, the water 483 households use for drinking and cooking should both be free from contamination. Due to the 484 higher levels of *E. coli* detected in water intended for cooking, only 20% of household water 485 would qualify as safely managed under this definition.

486 The issue of reliability complicates the assessment of availability. In the study communities, 487 23 piped households (11.5%) received water every day, but of these 15 received water for 488 less than three hours a day. Despite efforts to define reliability indicators (Mokssit et al., 489 2018; Meyer et al., 2023), there are no widely accepted thresholds for availability. Therefore, 490 these estimates assume water is available when needed as it was present in the home at 491 the time of sampling. The 20% estimate of safely managed water in the study communities is 492 probably too high due to availability being overestimated. This illustrates how using the JMP 493 water services ladder can overestimate access to water due to multiple sources and 494 intermittent services. This is not a phenomenon that is unique to the study communities or to 495 the Dominican Republic. An estimated 1 billion people globally experience intermittent water 496 supply, although this may be an underestimate as experiences and degrees of intermittency 497 can vary greatly (Bivins et al., 2017). Intermittent water supply causes inconvenience to 498 consumers and requires high coping costs to mitigate its effects (Vairavamoorthy et al., 499 2007; Erickson et al., 2020). When interruption to water supply is predictable, the negative 500 impacts are reduced, although this reduction becomes less pronounced the more frequently 501 a household experiences interruptions (Thomson et al., 2024). Reliability is not a specific key 502 objective of the UN Sustainable Development Goal 6 for water and sanitation (UN, 2015), 503 and the JMP indicator of 'available when needed' does not capture experiences of 504 unreliability. Consequently, reliability is an 'underutilised or missing metric', which has 505 consequences for the accuracy of assessing the availability of water services for 506 communities around the world that have intermittent access to water supplies (Galaitsi et al., 507 2016:14).

508 Drinking water services are the primary source of water households use to drink, cook, for 509 personal hygiene and for other domestic uses. Nationally, the most common reported 510 drinking water source in the JMP MICS survey (2019) was bottled water, by 79% of 511 consumers. A further 8% used water from processed tankers, indicating that these 512 consumers purchased water to drink from RO tankers. This is supplemented by water for 513 other domestic uses; a 2021 survey by the Dominican Office of National Statistics, which 514 excludes bottled water, estimates piped water coverage at 81%. Although there is the 515 functionality to record a secondary water source in the JMP methodology, it is not easily 516 available nor communicated in the high-level results. The multiplicity of household water 517 needs, and the sources they use to meet them, create gaps in monitoring capability and 518 knowledge (Daly et al., 2021), reinforcing the view that there is a primary source of water 519 that can meet all needs. This assumption undermines understandings of what water access 520 is and how successful the aim of achieving widespread access has been. A narrow focus on 521 water for drinking has led to an overinflated sense of progress (Crow & Goff, 2014) and has

propagated the conceptualisation of water access as a means for survival, rather than
promoting equity and wellbeing (Gimelli et al., 2017; Jepsen et al., 2017).

#### 524 Conclusions

Households in Malpaez employ multiple strategies in their attempts to ensure that the quality
of water is appropriate for its use. In the case of water for drinking, the most prevalent
strategy is to purchase RO-treated water from a trusted source and be vigilant to changes in
taste that indicate the water is not RO-treated. In the case of water for other uses,
households procure and store water in the way they perceive to be most appropriate for the
intended use. These strategies, however, do not eliminate the danger of contamination.

531 The fact that households do not have access to consistent and reliable water means that 532 their ability to ensure the water they use is safe is compromised. Intermittent water systems 533 require water to be stored for several days, which increases the risk of contamination from 534 within the home. The high cost of drinking-water and bottle deposits prompt households to 535 reduce these costs by storing water in alternative containers, or buying from cheaper, less 536 reliable suppliers. Safe storage techniques and household water treatment are thus 537 undermined by inconsistent application. In the absence of robust regulation, consumers are 538 left relying on their own perceptions of water safety to select appropriate water sources. 539 These perceptions, which are influenced by political and cultural lobbying on behalf of 540 commercial bottled water providers, lead to overconfidence in water that may be 541 contaminated by the processor, vendor, or consumer. Household safety strategies increase 542 the risk of illness if they introduce opportunities for contamination and reduce the perceived 543 need for other strategies, such as chlorination and adequate hygiene practices. Improved 544 household water treatment and safe storage education may be a low-cost, rapidly deployed 545 solution to some of the water safety risks to health, however, cannot be relied upon to 546 produce long-term improvements. Implementing household water treatment and safe 547 storage strategies consistently requires considerable effort from households, who already 548 experience a high user burden in obtaining the water they need. They are effective in the 549 contexts and environments for which they are intended, and can provide a rapid, low-cost 550 solution for acute system failures or shortages, but cannot be relied on to resolve chronic 551 system failures.

552 The responsibility for ensuring water safety at the point of consumption is delegated to 553 households in the Dominican Republic. However, the available information and resources do 554 not facilitate effective evaluation and decision-making. Households do not have the 555 equipment, knowledge or capacity to assess the safety of their water, and even if they did 556 there are few reliable options available to them to improve it. Confidence in regulatory 557 structures is low and, as this study shows, lack of confidence is justified. Without adequate 558 regulation and enforcement, households have no way of knowing which water sources are 559 trustworthy. This lack of trust creates an information vacuum; when this vacuum is filled by 560 information that serves private interests, it hampers the effective dissemination of effective 561 public health information. This paper has shown that public health communication needs to 562 improve to ensure consumers are adequately informed and equipped to avoid health risks 563 associated with contaminated water. However, interventions focusing solely on public health 564 communication and influencing consumer behaviour will be ineffective at improving public 565 health outcomes if the systemic causes of misinformation and lack of information are not 566 addressed. Efforts must be focused on expanding access to water services, so households 567 can meet their needs without relying on mitigating strategies. A robust system of regulation 568 that responds to the ways in which suppliers deliver and consumers procure water must be 569 established and enforced. Only then can consumer confidence in public water services, 570 regulatory structures and public health information be rebuilt and household water insecurity 571 reduced.

572 This paper has also shown that the indicators used to evaluate water access can lead to 573 overestimates of safely managed drinking water services coverage, due to underestimates 574 of contamination in water, a lack of acknowledgment of multiple source use, or a lack of 575 emphasis on reliability when assessing the availability of water services. Systematic failures 576 were identified in how water safety and access are monitored, which are not unique to these 577 communities. An estimated 1 billion people globally experience intermittent water supply 578 (Bivins et al., 2017) and are at greater risk of water-borne disease, as water can be 579 contaminated in the distribution network (Kumpel & Nelson, 2013; Ercumen et al., 2014; 580 Guragai et al., 2017) or through storage techniques (Staddon & Brewis, 2024). It has been 581 shown worldwide that household water treatment options are too inconvenient, not effective 582 enough, or too costly for households to be able to rely on fully to ensure their water safety 583 (Chauque et al., 2023). There are also many voices claiming that JMP statistics 584 underestimate the scale of deficiencies in water service provision worldwide (Wutich et al., 585 2017; Yu et al., 2016; Weststrate et al., 2019). Contextual knowledge at a range of scales, 586 from neighbourhood to city to country, illustrates that statistics provide a false or incomplete 587 picture of the realities of water access (Satterthwaite, 2003). Such statistics lead to an 588 overinflated impression of the adequacy of water services. The perceived lack of urgency for 589 action and investment in water provision (Crow & Goff, 2014; Galaitsi et al., 2016), as this 590 paper has highlighted, results in many households having no option but to engage in risky 591 choices.

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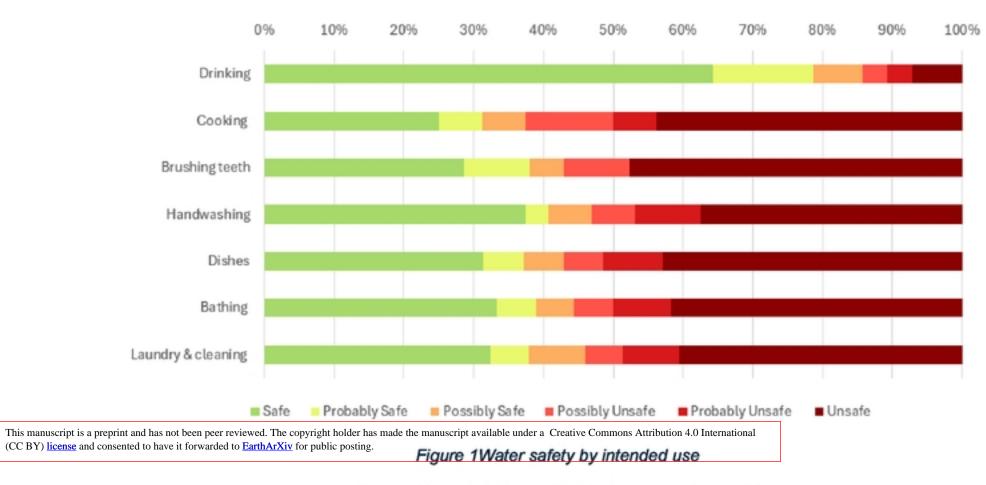
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727



Source: Household bacteriological water testing, n=80

# Figure 1

## Table 1 Aquagenx MPN results categories, adapted from JMP, 2022

MPN/100ml	Aquagenx Category
>1	Safe
1-3.2 and 3.7	Probably safe
3.1-9.6	Possibly safe
13.6 and 17.1	Possibly unsafe
32.6 and 48.3	Probably unsafe
>100	Unsafe

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# Table 1

## Table 1 Intended use of sampled water by source, n=48

Intended use of sampled water	Number of samples											
Sampled water	20I bottles	20I bottles RO water tanker Pipes T										
Drinking only	9	3	0	0	0							
Drinking and Cooking	8	13	0	0	0							
Cooking only	1	0	9	2	3							

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# Table 2

[		Result		10	-		2	-1	2	13	1	1	1	1	1	1	1	1	1	12	5	1	1	1	1	3	2	1	1	3	1
	testing	Aquagenx safety category		Safe	Probably Safe	Probably Safe	Possibly Safe	Possibly Unsafe	Unsafe	Safe	Probably Safe	Probably Unsafe	Safe	Probably Safe	Probably Safe	Possibly Safe	Possibly Safe	Possibly Unsafe	Probably Unsafe	Unsafe	Safe	Possibly Safe	Possibly Unsafe	Possibly Unsafe	Probably Unsafe	Unsafe	Safe	Probably Safe	Possibly Unsafe	Unsate	Safe
	Microbiological testing	Upper 95% confidence				9.7		83.06	9435.1	2.87	7.81	351.91		6.32		12.53	21.19	83.06	351.91	9435.1		21.19		56.35	351.91	9435.1		7.81	83.06	9435.1	
This manuscript is a preprint and has CC BY) <u>license</u> and consented to ha		been peer revie forwarded to <u>F</u>	ewed E <mark>arth</mark>	. The ArXiv	copyr 2 for p	ight oublie	hold c pos	er ha sting.	160+	de th	ema	nusc 4	ripta	vaila	o Egu	nder	a Čr	9 eativ	69 60 8	1@0+	ıs At	rigu	ion 4	.0 In	tenna	tiona	0	1.5	13.6	100+	0
		E. coli test count				17					15					4	27						ç	17				r	,		٢
[		Chlorine residual	no. samples			0	,				0					c	0						Alandal	4(sate)				c	5		0
		Temperature	°C	26.4		29		11.3	2.11	28.4	30.7	26.3		28.2		1 00	4.70		24.3		000	0.02	306	0.70	0 10	24.0	27.1	28.3	30	67	29.5
	Physiochemical testing	2	NTU	0.94		2.1		0.3	0.0	1.08	3.11	0.49		1.12		100	20.1		0.34		00	0.7	10.0	10.4	0 00	0.03	3.39	11.5	000	66.0	1.56
	Physioche	Total Dissolved Solids	ppm	44	8	51		α	2	17.5	42	٢		461.5		345	ł		319		211.0	6.110	000	020		24	54.6	213	ų	0	435
		Electrical	µS/cm	21.6		88		10	2	53.5	311	24		921.7		1401	1011		635		500 5	0.770	701	10/	200	107	108.3	417	00	RJ	879
				Mean		Max		Min		Mean	Max	Min		Mean		Mau	YPLI		Min		Maan	11001.1	May	ABI'I	Min	UIL	Mean	Мах	Min	UIL	
		Sample count				17					15						54						3.	10				r	-		-
	1					201 bottles				DO LEAST		GUIKEI				Piped	water					Domootio	nutesuc	water	tanker			Rainwater	harvesting		Borehole
					(O)	4) s	SO		o əs																						

## Table 3 Physiochemical and microbiological results. n=801.

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<sup>&</sup>lt;sup>1</sup> To avoid skewing the results, duplicate samples from the same home have been removed if they were intended for similar uses and had the same level of *E. coli* 

Table 1 Piped water stored for cooking and other uses

	1a	D	Piped water 30l plastic barrel Fitted lid Stored in kitchen by back door	Yes	Washing dishes Bathing Handwashing Brushing teeth Cleaning Laundry	100+ MPN/100ml Unsafe
	1b		Piped water 55-gallon(204l) plastic barrel Improvised soft plastic lid Stored outside in garden	No	Washing dishes Bathing Handwashing Cleaning Laundry	32.6 MPN/100ml Probably unsafe
This manuscript is a preprint (CC BY) <u>license</u> and consent		been peer reviewed. The copyright ho t forwarded to <u>Barth ArXiv</u> for public p	Piped water Ider has made the manuscript available under a Creative Commons Attrib osongl plastic barrel Fitted lid Stored in hallway between front entrance and kitchen	ution 4.0 Internation <b>Yes</b>	Washing raw food Washing dishes Bathing Handwashing Brushing teeth Cleaning	100+ MPN/100ml Unsafe
	2c		Piped water 55 -gallon plastic barrel Covered with sheet metal Stored outside in garden	No	Cleaning Laundry	3.4 MPN/100ml Possibly safe
	3a		Piped water 55-gallon plastic barrel Stored outside by back door to kitchen Covered with plastic and metal	Yes	Washing raw food	13.6 MPN/100ml Possibly unsafe
	Зb		Piped water 100-gallon plastic tank Hole cut in top, covered with metal Stored in garden	No	Washing dishes Bathing Handwashing Cleaning Laundry	0 MPN/100ml Safe
	4a		Piped water 30l plastic barrel Fitted lid Stored in kitchen	Yes	Washing raw food Brushing teeth	100+ MPN/100ml Unsafe
	4b		Piped water 55-gallon plastic tank No cover Stored in open air patio with roof	No	Washing dishes Bathing Handwashing Cleaning Laundry	1.5 MPN/100ml Probably safe

# Table 4